Using Clang LibASTMatchers for Compliance in Codebases

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Compliance

- 1. Regulatory
 - a. Laws, Standards, and Regulations set by a governing body
- 2. Organizational
 - a. Policies put forth by internal departments

Failing Compliance

- 1. Safety jeopardized
- 2. Quality suffers
- 3. Trust is eroded
- 4. Fines and disciplinary action

Noncompliance (CAPA)

- Investigation
- Correction
- Prevention

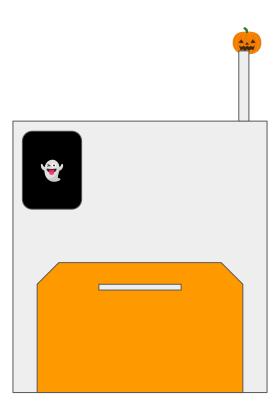
How can we comply

- Education
- Documentation
- Audit
- Automation

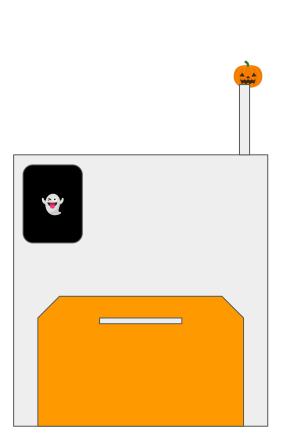
How is this relevant

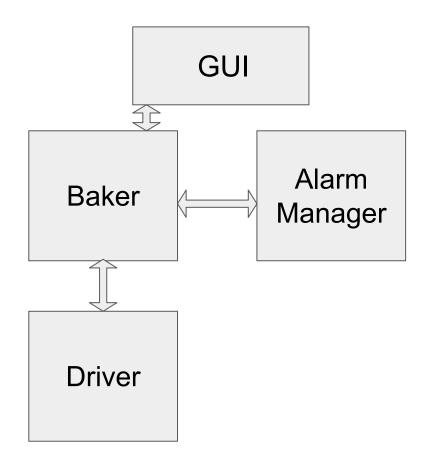
- Documentation must be submitted to a governing body
- The codebase didn't accurately reflect what was documented
- There could be a potential unmitigated unsafe condition

Device Architecture



Device Architecture





Device Architecture

- Alarms that describe an unsafe condition
- Monitors that ensure device conditions stay nominal
- Alarm Manager that will enforce corrective action

What we want

- Codebase and Documentation stay consistent
- Ensure every Alarm defined in the config file is used
- Every Alarm is raised

How do we solve this?

Manual Process

- Takes person hours to maintain
- Error prone
- Existing tools are cumbersome

```
.*AlarmClient(.*)\(\"(.*)\"\);
```

```
Spooky::Factory::AlarmClient alarmClient("SugarTooHot");
```

```
namespace Spooky::Factory {
   class WrapperMonitor {
       private:
11
           Spooky::Config::WrapperMonitorApi cfgApi_;
           std::unique_ptr<Spooky::Factory::AlarmClient>
12
                                                          noWrapperAlarm_;
13
           std::unique_ptr<Spooky::Factory::AlarmClient>
                                                          wrongWrapperAlarm_;
14
           WrapperMonitor():
           noWrapperAlarm_(std::make_unique<Spooky::Factory::AlarmClient>(cfgApi_.VarNames.NoWrapperAlarmName))
17
               wrongWrapperAlarm_= std::make_unique<Spooky::Factory::AlarmClient>(cfgApi_.VarNames.WrongWrapperAlarmName);
19
           void run() {
21
24
               wrongWrapperAlarm_->raise();
```

- Brittle
- Complex to maintain
- Low confidence we are continually capturing every case
- Difficult to capture all the information

Clang Tooling

- Clang-Tidy
- LibTooling
 - Control the output of the program
 - Specialize it for what we need
 - Enable being able to post process with additional tooling

What is Clang LibTooling

- Library to support writing standalone tools
- Allows us to run tools over single files or subsets of files
- Gives us full control and access of the Clang AST
- Allows us to share code with Clang Plugins

Clang AST

- Frontend
- ASTContext
- Core Classes
 - Decl
 - Stmt
 - Type

Clang AST

```
2 int main() {
 3
     int i = 12;
 5
    if (i < 12) {
       i +=10;
  } else {
 9
10
11
     return i;
```

Clang AST

```
-FunctionDecl 0x7fe09704bfa0 <ast example.cpp:2:1, line:12:1> line:2:5 main 'int ()
  -CompoundStmt 0x7fe09704c338 <col:12, line:12:1>
    -DeclStmt 0x7fe09704c158 <line:4:3, col:13>
     `-VarDecl 0x7fe09704c0d0 <col:3, col:11> col:7 used i 'int' cinit
       -IntegerLiteral 0x7fe09704c138 <col:11> 'int' 10
    -IfStmt 0x7fe09704c2c0 <line:6:3, line:10:3> has else
      -BinaryOperator 0x7fe09704c1c8 <line:6:7, col:11> 'bool' '<'
        -ImplicitCastExpr 0x7fe09704c1b0 <col:7> 'int' <LValueToRValue>
        `-DeclRefExpr 0x7fe09704c170 <col:7> 'int' lvalue Var 0x7fe09704c0d0 'i' 'int'
        -IntegerLiteral 0x7fe09704c190 <col:11> 'int' 12
      -CompoundStmt 0x7fe09704c258 <col:15, line:8:3>
       `-CompoundAssignOperator 0x7fe09704c228 <line:7:5, col:10> 'int' lvalue '+=' ComputeLHSTy='int' ComputeResultTy='int'
         |-DeclRefExpr 0x7fe09704c1e8 <col:5> 'int' lvalue Var 0x7fe09704c0d0 'i' 'int'
         -IntegerLiteral 0x7fe09704c208 <col:10> 'int' 12
      -CompoundStmt 0x7fe09704c2a8 <line:8:10, line:10:3>
       -UnaryOperator 0x7fe09704c290 <line:9:5, col:6> 'int' postfix '--'
          -DeclRefExpr 0x7fe09704c270 <col:5> 'int' lvalue Var 0x7fe09704c0d0 'i' 'int'
    -ReturnStmt 0x7fe09704c328 <line:11:3, col:10>
     -ImplicitCastExpr 0x7fe09704c310 <col:10> 'int' <LValueToRValue>
        -DeclRefExpr 0x7fe09704c2f0 <col:10> 'int' lvalue Var 0x7fe09704c0d0 'i' 'int'
```

Lib ASTMatcher

- Domain Specific Language
- Use a MatchCallback to access a matched predicate
- Three basic categories
 - Node Matchers
 - Narrowing Matchers
 - Traversal Matchers

Let's write a matcher together

- Dump the ast
- Use clang-query to write a matcher
- See how specific we can get. Bind it
- Compile
- Run it in a debugger inspect the code and extract the relevant pieces
- Repeat

Let's write a matcher together

Dump AST

clang -Xclang -ast-dump -fsyntax-only (-fno-color-diagnostics) SugarTooHotMonitor.cpp

Dump AST

```
-CXXRecordDecl 0x55888fbce998 <col:1, col:7> col:7 implicit class SugarTooHotMonitor
-AccessSpecDecl 0x55888fbcea28 <line:4:5, col:11> col:5 public
-CXXMethodDecl 0x55888fbcea98 <line:5:9, line:9:9> line:5:14 run 'void ()'
 -CompoundStmt 0x55888fbcf090 <col:20, line:9:9>
    -DeclStmt 0x55888fbcf008 <line:6:13, col:68>
     `-VarDecl 0x55888fbcec00 <col:13, col:67> col:42 used alarmClient 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmClient' listinit destroyed
        -ExprWithCleanups 0x55888fbcefe0 <col:42, col:67> 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmClient'
          -CXXConstructExpr 0x55888fbcefb0 <col:42, col:67> 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmClient' 'void (std::string)' list
           -CXXBindTemporaryExpr 0x55888fbcee88 <col:54> 'std::string':'std::basic string<char>' (CXXTemporary 0x55888fbcee88)
             -CXXConstructExpr 0x55888fbcee50 <col:54> 'std::string':'std::basic_string<char>' 'void (std::basic_string<char> &&) noexcept' elidable
               -MaterializeTemporaryExpr 0x55888fbcee38 <col:54> 'std::string':'std::basic string<char>' xvalue
                  -CXXBindTemporaryExpr 0x55888fbcee18 <col:54> 'std::string':'std::basic string<char>' (CXXTemporary 0x55888fbcee18)
                   -ImplicitCastExpr 0x55888fbcedf8 <col:54> 'std::string':'std::basic string<char>' <ConstructorConversion>
                      -CXXConstructExpr 0x55888fbcedc0 <col:54> 'std::string':'std::basic_string<char>' 'void (const char *, const std::allocator<char>
                        -ImplicitCastExpr 0x55888fbcecd8 <col:54> 'const char *' <ArrayToPointerDecay>
                         `-StringLiteral 0x55888fbcec68 <col:54> 'const char[12]' lvalue "SugarTooHot"
                        -CXXDefaultArgExpr 0x55888fbceda0 <<invalid sloc>> 'const std::allocator<char>':'const std::allocator<char>' lvalue
    -CXXMemberCallExpr 0x55888fbcf070 <line:8:13, col:31> 'void'
     -MemberExpr 0x55888fbcf040 <col:13, col:25> '<bound member function type>' .raise 0x55888fbcde38
       -DeclRefExpr 0x55888fbcf020 <col:13> 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmClient' lvalue Var 0x55888fbcec00 'alarmClient' 'Spooky::Factory::AlarmClient' |
```

Useful settings

- set bind-root false
- set print-matcher true
- set output diag/dump

```
Match #5858:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/shared/AlarmClient.h:8:7: note: "root" binds here
class AlarmClient {
     ANNNNNNNNN
Match #5859:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/shared/AlarmClient.h:8:7: note: "root" binds here
class AlarmClient {
     ANNNNNNNNN
Match #5860:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/SugarTooHotMonitor.cpp:6:13:
                                                                                                              "root" binds here
          Spooky::Factory::AlarmClient alarmClient{"SugarTooHot"};
           5860 matches.
clang-query> match varDecl()
```

```
No bindings.
Match #5857:
No bindings.
Match #5858:
No bindings.
Match #5859:
No bindings.
Match #5860:
No bindings.
5860 matches.
clang-query> m varDecl()
```

Explore in the debugger

Running it from matcher

```
$ ~/Github/llvm-project/build/bin/spooky-matcher code/SugarTooHotMonitor.cpp 2>a
{ "type": "AlarmClientInstantiated", "name": "SugarTooHotMonitor::run::alarmClient", "instantiatedWith": { "type": "StringLiteral",
    "value": "SugarTooHot" } }
```

Config as input

```
1 #include "shared/AlarmClient.h"
 2 #include "CfgSugarTooHotMonitorApi.h"
 3
   class SugarTooHotMonitorFromConfig {
 5
       private:
           Spooky::Config::SugarTooHotMonitorApi cfgApi ;
 6
       public:
           void run() {
 9
               Spooky::Factory::AlarmClient alarmClient{cfgApi_.VarNames.AlarmName};
10
               alarmClient.raise();
11
12
```

Config as input

```
-CXXMethodDecl 0x55ce87f55f48 <line:8:9, line:12:9> line:8:14 run 'void ()'
    `-CompoundStmt 0x55ce87f56440 <col:20, line:12:9>
       -DeclStmt 0x55ce87f563b8 <line:9:13, col:81>
        `-VarDecl 0x55ce87f560a0 <col:13, col:80> col:42 used alarmClient 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmCli
ent' listinit destroyed
           -ExprWithCleanups 0x55ce87f56390 <col:42, col:80> 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmClient'
            -CXXConstructExpr 0x55ce87f56360 <col:42, col:80> 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmClient' 'void
(std::string)' list
               -CXXBindTemporaryExpr 0x55ce87f56240 <col:54, col:71> 'std::string':'std::basic string<char>' (CXXTemporary 0x55ce87
f56240)
                `-CXXConstructExpr 0x55ce87f56208 <col:54, col:71> 'std::string':'std::basic_string<char>' 'void (const std::basic_
string<char> &)'
                  `-ImplicitCastExpr 0x55ce87f561f0 <col:54, col:71> 'const std::basic string<char>' lvalue <NoOp>
                     -MemberExpr 0x55ce87f56178 <col:54, col:71> 'std::string':'std::basic_string<char>' lvalue .AlarmName 0x55ce87
f47dd0
                      `-MemberExpr 0x55ce87f56148 <col:54, col:62> 'struct VarNamesSxn':'Spookv::Config::SugarTooHotMonitorApi::Var
NamesSxn' lvalue .VarNames 0x55ce87f4a298
                         -MemberExpr 0x55ce87f56118 <col:54> 'Spooky::Config::SugarTooHotMonitorApi':'Spooky::Config::SugarTooHotMo
nitorApi' lvalue ->cfqApi 0x55ce87f55e80
                           -CXXThisExpr 0x55ce87f56108 <col:54> 'SugarTooHotMonitorFromConfig *' implicit this
       -CXXMemberCallExpr 0x55ce87f56420 <line:11:13, col:31> 'void'
        -MemberExpr 0x55ce87f563f0 <col:13, col:25> '<bound member function type>' .raise 0x55ce87f46768
           -DeclRefExpr 0x55ce87f563d0 <col:13> 'Spooky::Factory::AlarmClient':'Spooky::Factory::AlarmClient' lvalue Var 0x55ce87f5
```

```
[(gdb) p cfgClass->getMemberDecl()->getNameAsString()
$1 = "VarNames"
[(gdb) p cfgClass->getMemberDecl()->getQualifiedNameAsString()
$2 = "Spooky::Config::SugarTooHotMonitorApi::VarNames"
(gdb)
```

```
Running without flags.

[clang-query> let configClass memberExpr(hasObjectExpression(hasType( cxxRecordDecl(isSameOrDerivedFrom("Spooky::Config::ConfigFile"
    ))))).bind("cfgClass")

[clang-query> let configSection memberExpr(hasObjectExpression(hasType( cxxRecordDecl(isSameOrDerivedFrom("Spooky::Config::ConfigFil
e::Section"))))).bind("cfgSection")

[clang-query> let alarmName allOf(anyOf(hasDescendant(configClass), anything()),anyOf(hasDescendant(configSection), anything()))
[clang-query> match varDecl(hasType(asString("Spooky::Factory::AlarmClient")), alarmName).bind("inst")
```

```
[(gdb) p cfgSection->getMemberDecl()->getNameAsString()
$3 = "AlarmName"
[(gdb) p cfgClass->getMemberDecl()->getNameAsString()
$4 = "VarNames"
[(gdb) p cfgClass->getMemberDecl()->getQualifiedNameAsString()
$5 = "Spooky::Config::SugarTooHotMonitorApi::VarNames"
(gdb)
```

```
[(gdb) p cfgSection->getMemberDecl()->getNameAsString()
$3 = "AlarmName"
[(gdb) p cfgClass->getMemberDecl()->getNameAsString()
$4 = "VarNames"
[(gdb) p cfgClass->getMemberDecl()->getQualifiedNameAsString()
$5 = "Spooky::Config::SugarTooHotMonitorApi::VarNames"
(gdb)
```

```
└$ ~/Github/llvm-project/build/bin/spooky-matcher code/SugarTooHotMonitorFromConfig.cpp 2> /tmp/x
{ "type": "AlarmClientInstantiated", "name": "SugarTooHotMonitorFromConfig::run::alarmClient", "instantiatedWith": { "type": "Confi
gValue", "classname": "Spooky::Config::SugarTooHotMonitorApi", "xmlpath": "VarNames.AlarmName" } }
```

```
1 #include "shared/AlarmClient.h"
 2 #include "CfgSugarTooHotMonitorApi.h"
 3
   class SugarTooHotMonitorBoth{
       private:
 5
           Spooky::Config::SugarTooHotMonitorApi cfgApi_;
 6
       public:
           void run() {
               Spooky::Factory::AlarmClient alarmClient{cfgApi_.VarNames.AlarmName};
               second();
10
11
12
               alarmClient.raise();
13
           };
14
           void second() {
               Spooky::Factory::AlarmClient alarmClient{"SugarTooHot"};
15
               alarmClient.raise();
16
17
18 }
```

```
[clang-query> let configClass memberExpr(hasObjectExpression(hasType( cxxRecordDecl(isSameOrDerivedFrom("Spooky::Config::ConfigFile"))))).bind("cfgClass")
[clang-query> let configSection memberExpr(hasObjectExpression(hasType( cxxRecordDecl(isSameOrDerivedFrom("Spooky::Config::ConfigFilet:Section")))).bind("cfgSection")
[clang-query> let alarmName allOf(anyOf(hasDescendant(configClass), anything()), anyOf(hasDescendant(configSection), anything()), anyOf(hasDescendant(stringLiteral().bind("strLit")), anything()))
[clang-query> match varDecl(hasType(asString("Spooky::Factory::AlarmClient")), alarmName).bind("inst")
```

```
Match #1:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/SugarTooHotMonitorBoth.cpp:9:54:
ass" binds here
            Spooky::Factory::AlarmClient alarmClient{cfgApi .VarNames.AlarmName};
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/SugarTooHotMonitorBoth.cpp:9:54:
                                                                                                                             "cfaSe
ction" binds here
            Spooky::Factory::AlarmClient alarmClient{cfgApi_.VarNames.AlarmName};
/home/workme/Github/talks/Using Clang LibASTMatchers For Compliance In Codebases/code/SugarTooHotMonitorBoth.cpp:9:13:
 binds here
            Spooky::Factory::AlarmClient alarmClient{cfqApi .VarNames.AlarmName};
Match #2:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/SugarTooHotMonitorBoth.cpp:15:13:
 binds here
            Spooky::Factory::AlarmClient alarmClient{"SugarTooHot"};
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/SugarTooHotMonitorBoth.cpp:15:54:
it" binds here
            Spooky::Factory::AlarmClient alarmClient{"SugarTooHot"};
2 matches.
```

```
{ "type": "AlarmClientInstantiated", "name": "SugarTooHotMonitorBoth::run::alarmClient", "instantiatedWith": { "type": "ConfigValue", "classname": "Spooky::Config::SugarTooHotMonitorApi", "xmlpath": "VarNames.AlarmName" } } { "type": "AlarmClientInstantiated", "name": "SugarTooHotMonitorBoth::second::alarmClient", "instantiatedWith": { "type": "StringLiteral", "value": "SugarTooHot" } }
```

```
Match #1:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/ThermometerMismatchMonitor.cpp:9:13:
hs" binds here
            alarmClient = std::make_unique<Spooky::Factory::AlarmClient>("ThermometerMismatch");
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/ThermometerMismatchMonitor.cpp:9:13:
trAssign" binds here
            alarmClient = std::make unique<Spooky::Factory::AlarmClient>("ThermometerMismatch");
Match #2:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/ThermometerMismatchMonitor.cpp:11:13:
lhs" binds here
            alarmClient_->raise();
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/ThermometerMismatchMonitor.cpp:11:13:
ptrAssign" binds here
            alarmClient ->raise();
            ANNNNNNNNNNNN
2 matches.
clang-query> m cxxOperatorCallExpr(hasDescendant(memberExpr(hasType(asString("std::unique_ptr<Spooky::Factory::AlarmClient>"))).bin
d("lhs"))).bind("ptrAssign")
```

```
-$ ~/Github/llvm-project/build/bin/spooky-matcher code/ThermometerMismatchMonitor.cpp 2> /tmp/x
{ "type": "AlarmClientInstantiated", "name": "ThermometerMismatchMonitor::alarmClient_", "instantiatedWith": { "type": "StringLiter al", "value": "ThermometerMismatch" } }
```

```
-$ ~/Github/llvm-project/build/bin/spooky-matcher code/ThermometerMismatchMonitor.cpp 2> /tmp/x
{ "type": "AlarmClientInstantiated", "name": "ThermometerMismatchMonitor::alarmClient_", "instantiatedWith": { "type": "StringLiter al", "value": "ThermometerMismatch" } }
```

```
namespace Spooky::Factory {
  class WrapperMonitor {
       private:
11
           Spooky::Config::WrapperMonitorApi cfgApi_;
           std::unique_ptr<Spooky::Factory::AlarmClient>
                                                           noWrapperAlarm_;
13
           std::unique_ptr<Spooky::Factory::AlarmClient>
                                                           wrongWrapperAlarm_;
14
           WrapperMonitor():
           noWrapperAlarm_(std::make_unique<Spooky::Factory::AlarmClient>(cfgApi_.VarNames.NoWrapperAlarmName))
17
               wrongWrapperAlarm_= std::make_unique<Spooky::Factory::AlarmClient>(cfgApi_.VarNames.WrongWrapperAlarmName);
19
           7
           void run() {
21
               wrongWrapperAlarm_->raise();
24
26 H
```

```
Match #1:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/WrapperMonitor.cpp:14:72:
                                                                                                                       "cfgClass"
nds here
        noWrapperAlarm (std::make unique<Spooky::Factory::AlarmClient>(cfgApi_.VarNames.NoWrapperAlarmName))
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/WrapperMonitor.cpp:14:72:
                                                                                                                       "cfaSection"
binds here
        noWrapperAlarm (std::make unique<Spooky::Factory::AlarmClient>(cfqApi .VarNames.NoWrapperAlarmName))
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/WrapperMonitor.cpp:14:9:
                                                                                                                      "memberInit"
inds here
        noWrapperAlarm (std::make unique<Spooky::Factory::AlarmClient>(cfqApi .VarNames.NoWrapperAlarmName))
Match #2:
/home/workme/Github/talks/Using_Clang_LibASTMatchers_For_Compliance_In_Codebases/code/WrapperMonitor.cpp:13:9:
                                                                                                                      "memberInit"
inds here
        WrapperMonitor():
2 matches.
clang-query> m cxxConstructorDecl(forEachConstructorInitializer(cxxCtorInitializer(forField(hasType(asString("std::unique ptr<Spook
v::Factory::AlarmClient>"))), withInitializer(alarmName)).bind("memberInit")))
```

```
~/Github/llvm-project/build/bin/spooky-matcher code/WrapperMonitor.cpp 2> /tmp/x
{ "type": "AlarmClientInstantiated", "name": "Spooky::Factory::WrapperMonitor::noWrapperAlarm_", "instantiatedWith": { "type": "Con figValue", "classname": "Spooky::Config::WrapperMonitorApi", "xmlpath": "VarNames.NoWrapperAlarmName" } }
{ "type": "AlarmClientInstantiated", "name": "Spooky::Factory::WrapperMonitor::wrongWrapperAlarm_", "instantiatedWith": { "type": "ConfigValue", "classname": "Spooky::Config::WrapperMonitorApi", "xmlpath": "VarNames.WrongWrapperAlarmName" } }
```

Wrapping up the tool

- These outputs can now be consumed by another tool that can reconcile config files
- Some cases are too complicated or impossible. Had to have an override mechanism

Resources

- AST Matcher Tutorial
- <u>LibASTMatchers reference</u>
- Clang documentation
- Code used