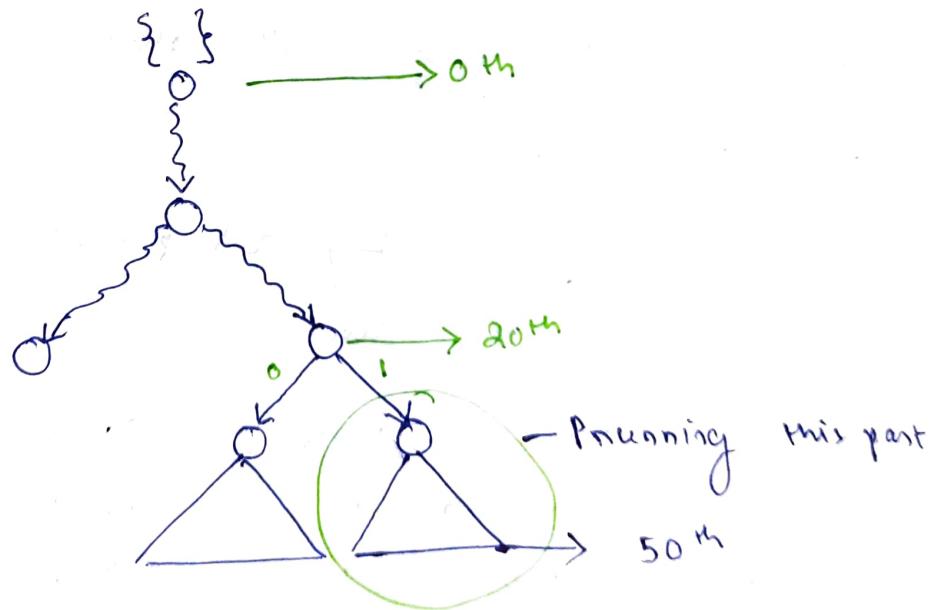


# Backtracking

- (i) Introduction
- (ii) Control Abstraction
- (iii) General Algo for Backtracking Method
- (iv) Constructing All subsets of a given set.
- (v) Constructing / All permutation of a given set
- (vi) Constructing all paths in a graph G.
- (vii) X Subset sum
- (viii) X N-Queen } by using backtracking.
- (ix) X Sudoku

## 1) Introduction



- Backtracking is an algo. design technique for listing for all possible sol<sup>n</sup> for combinational searching problem.
- It uses recursive calling to find the solution by building a sol<sup>n</sup> step by step increasing values with time.
- It removes the solution that does not give rise to the goal ~~of the soln~~ of the problem based on constraints given to solve problem.
- Backtracking is similar to ~~perm~~ permutation in combinatorics where we try different ~~path~~ path sol<sup>n</sup> of the same problem.
- We start with a possible partial sol<sup>n</sup> of the prob. and move ahead, with this approach towards one of the sol<sup>n</sup> that will satisfy all constraints.
- We would find 1/ all possible sol<sup>n</sup>s for the problems we are solving.
- At each step - we look for candidate if the path taken is not leading us to goal of the prob. we backtrack 1 level back and start with new candidate if that level again doesn't lead to correct sol<sup>n</sup> we backtrack to 1 level further up till we reach the root, we say that sol<sup>n</sup> is not available in combination with said constraints.

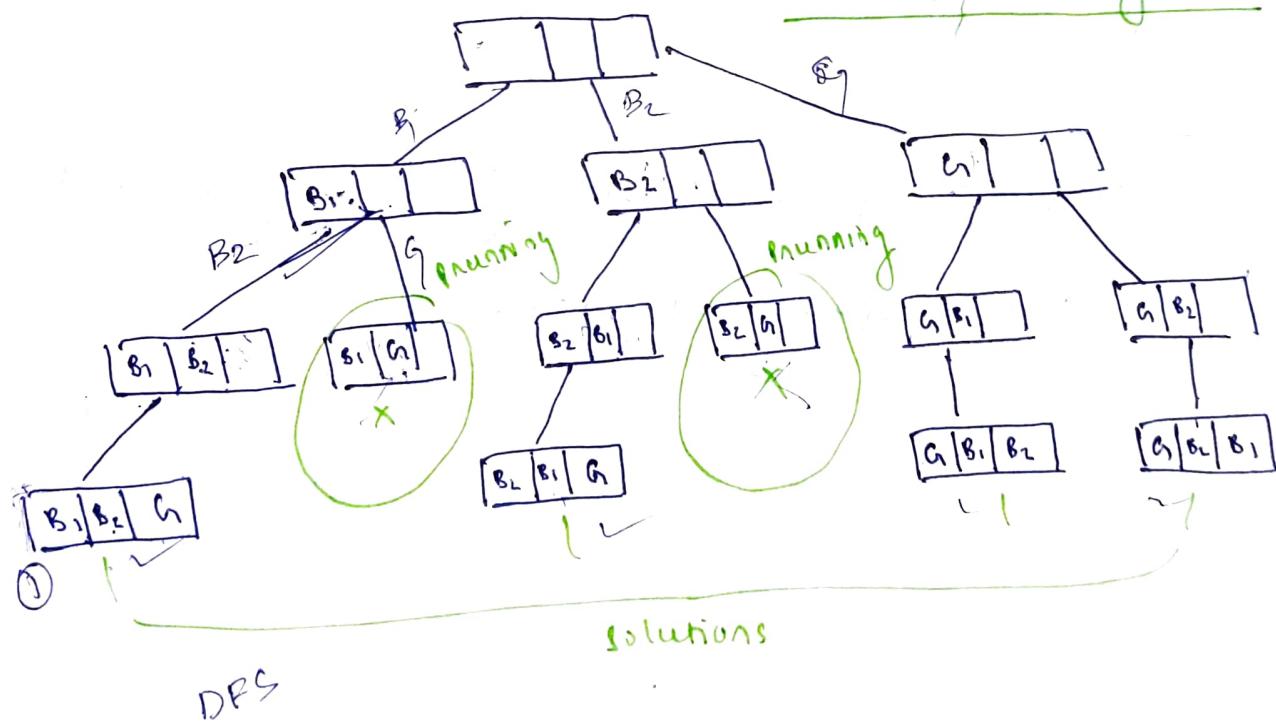
→ we find a potential candidate which will lead us to goal of problem which will become part of partial soln and that will be used as a part of final soln.

## Problem 2 ( $B_1, B_2, G$ )

we want to find all combination 2 boys, 1 girl in 3 seat

constraints :- girls should not be in middle of 2 boys

### State Space Diagram



## Strategy

### Step 1

Identify all the constraints (implicit, explicit) of given problem

### Step 2

define an appropriate "bounding func" based on given constraints.

### Step 3

construct a state space diagram on tree by using all bounding func

### Step 4

compute - optimal soln from the constructed state space diagram.

backtracking (a, k) {
 if (a is a possible sol'g of the prob.) then
 print (a);
 else {
 K  $\leftarrow$  K + 1
 compute  $s_k$ 
 while ( $s_k \neq \emptyset$ ) {
  $s_k \leftarrow s_k - a_k$ 
 }
 backtracking (a, k);
 }
 }

backtracking (a, k) {
 if (is-a-solution (a, k, input)) {
 process-solution (a, k);
 } else {
 K  $\leftarrow$  K + 1
 construct-candidate (a, k, input, c, n\_candidate);
 for (i < 0, i < n\_candidate, i++) {
 a[k] = c[i];
 # make-move (a, k, input);
 back-track (a, k, input);
 # unmark-move (a, k, input);
 }
 if (finished)
 return true;
 }
 }

```

int d[]

Void Backtracking (int a[], int K, int input) {
    int c [MAX_CANDIDATE]
    int nCandidate2 // no. of candidates in c[]
    int i
    If (IS-A-SOLUTION (a[], K, input)) {
        Process - Solution ( a[], K);
    }
    else {
        K++;
        Construct_Candidate (a[], K, input, c, nCandidate);
        for (i < 0; i < nCandidate; i++) {
            a[K] = c[i];
            Backtracking (a[], K, input);
            if (finished) {
                return;
            }
        }
    }
}

```

## Construct All Subsets

How many subsets are there of an ' $n$ ' element set  
to construct all  $2^n$  subsets of array size  $n$ /  
vector of size  $n$ .

- when the value of  $a[i]$  is either true/false
- it signifies whether  $i$ th element is on or not in the subset.
- what order will this generate the subsets

~~def~~

boolean Is\_A\_Solution (int a[], int k, int input) {

if ( $k == \text{input}$ )

then return true;

int d[]

void Process\_Solution (int a[], int k) {

int i;  
for ( $i = 0; i \leq k; i++$ ) {

if ( $a[i] == \text{TRUE}$ )  
print ( $d[i]$ )

}

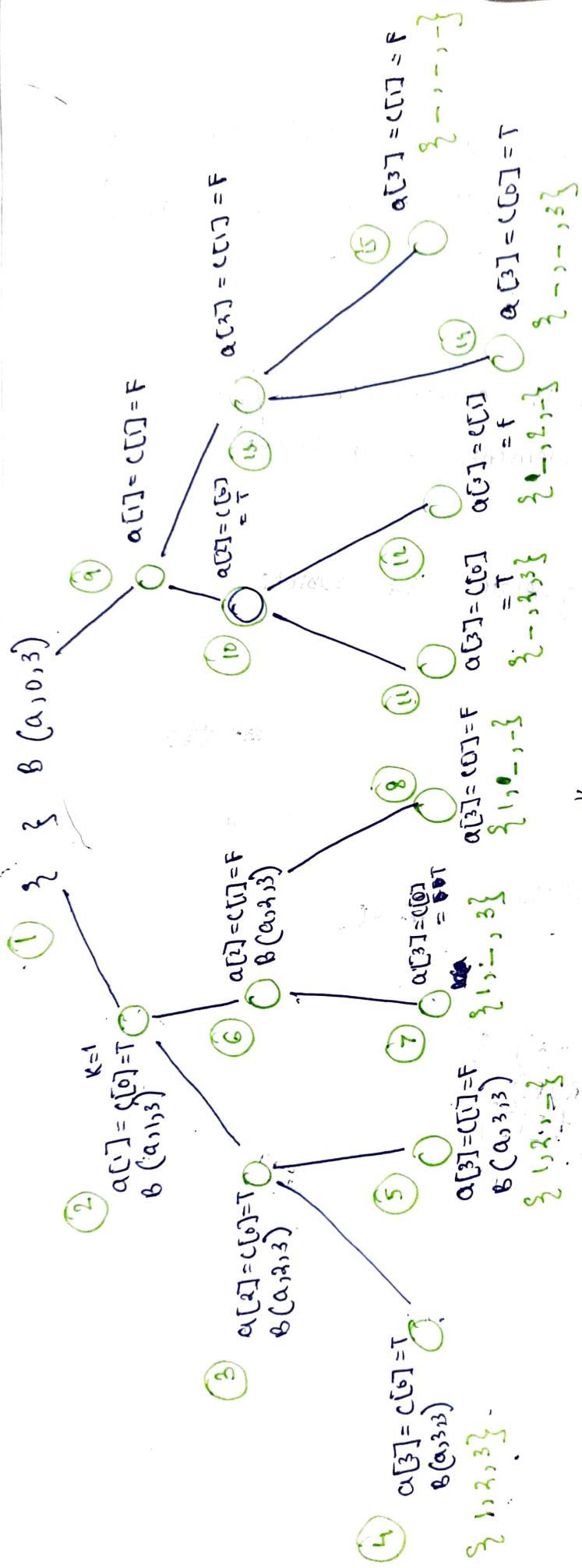
Construct\_Candidate (int a[], int k, int input, int c[], int \*nCandidate)

{

$c[0] \leftarrow \text{TRUE}$

$c[1] \leftarrow \text{FALSE}$

\*nCandidate  $\leftarrow 2$



void Is\_A\_Solution (int C[], int k, int n)  
if ( $k = n$ ) then return T;  
else

## Permutations

process\_solution (int a[], int k) {

```
int i;
for (i=1; i<=k; i++)  
    printf ("%d ", a[i]);
```

construct\_candidate (int a[], int k, int n, int C[], int  
n\_candidate);

\* Dool\_Pe\_sol [MAX]

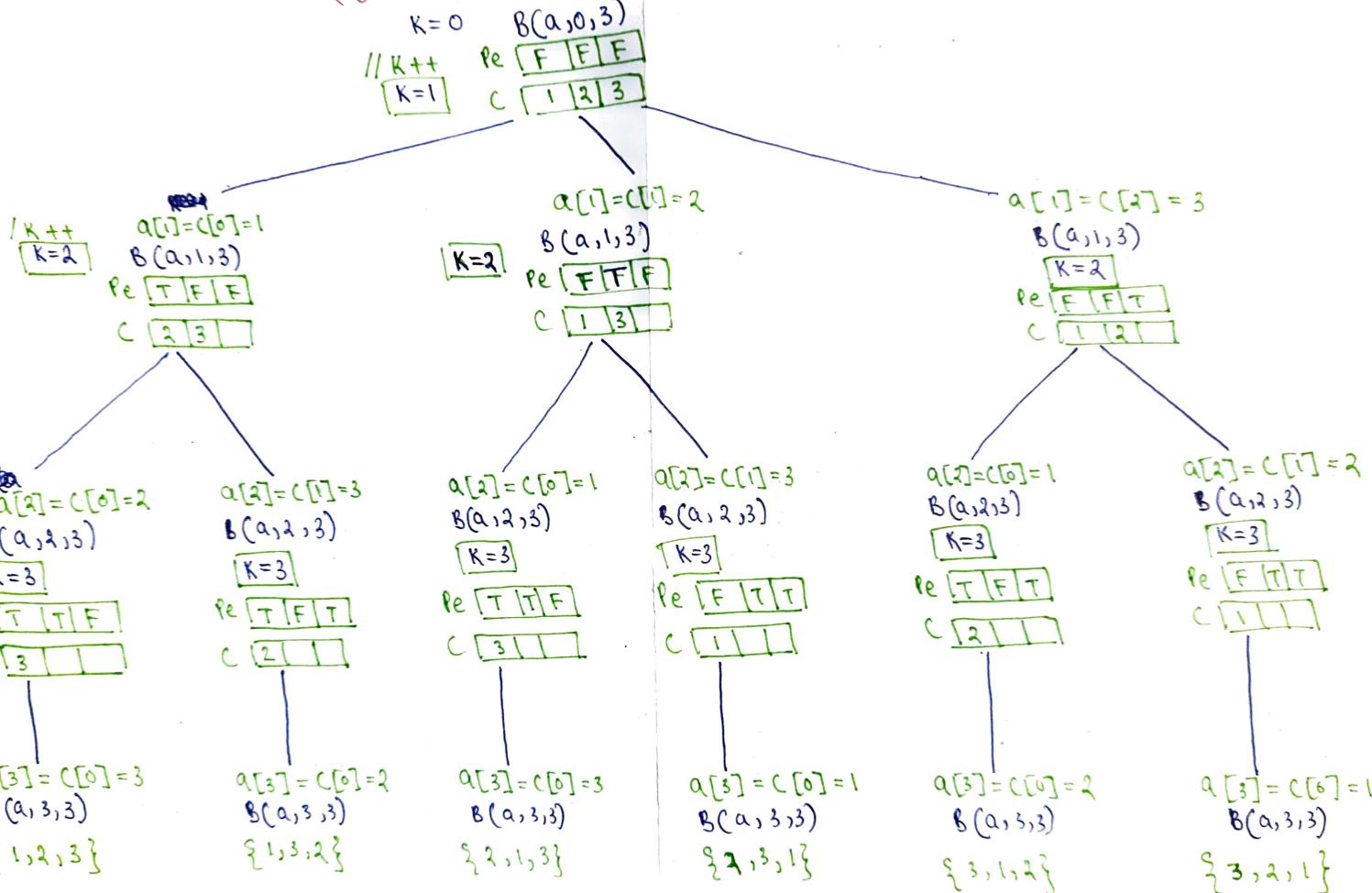
```
int i;
for (i=1; i<n; i++) {  
    Pe_sol [i] ← F
```

```
for (i=1; i<k; i++) {  
    Pe_sol [a[i]] ← T  
}
```

\* n\_candidate ← 0

```
for (i=1; i<=n; i++) {  
    if (Pe_sol [i] == F) {  
        C [* n_candidate] ← i  
        * n_candidate ++
```

}



# N-Queen Problem

## Constructing All Paths

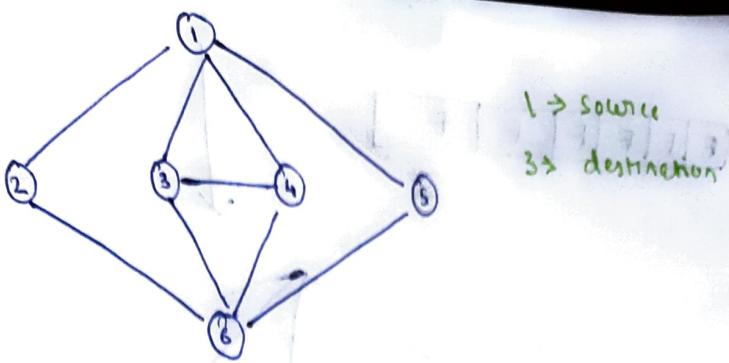
```

bool Is_A_Solution ( int a[], int k, int t ) → destination
{
    if ( a[k] == t )
        return T
}

void Process_Solution ( int a[], int k )
{
    int i;
    for ( i=1 ; i ≤ k ; i++ )
        printf ("%d ", a[i]);
}

void Construct_Candidate ( int a[], int k, int t, int c[], int *nCandidate )
{
    bool Pa_Sol [MAX];
    for ( int i=1 ; i ≤ MAX ; i++ )
        Pa_Sol [i] ← F
    for ( i=1 ; i < k ; i++ )
        Pa_Sol [a[i]] ← T
    if ( k==1 )
    {
        C[0] ← 1
        *nCandidate ← 1
    }
    else
    {
        *nCandidate = 0
        for ( i=0 ; i < adjList[a[k-1]].size() ; i++ )
            if ( !Pa_Sol [adjList[a[k-1]][i]] )
            {
                C[*nCandidate] ← adjList[a[k-1]][i];
                *nCandidate++;
            }
    }
}

```



1 → source  
3 → destination

AdjList

{ { } ;

a[1] { 2, 3, 4, 5 } ;

a[2] { 1, 6 } ;

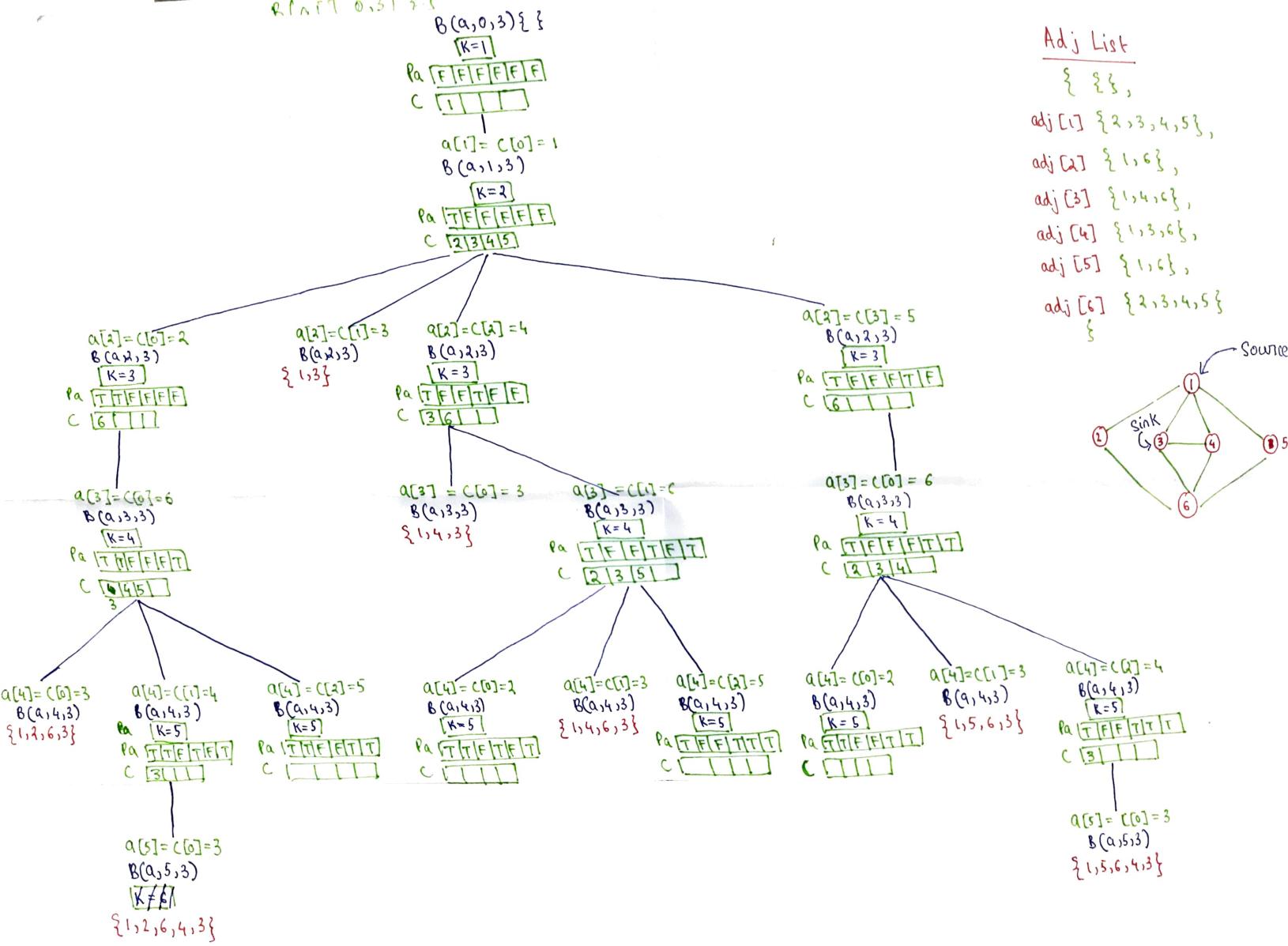
a[3] { 1, 4, 6 } ;

a[4] { 1, 3, 6 } ;

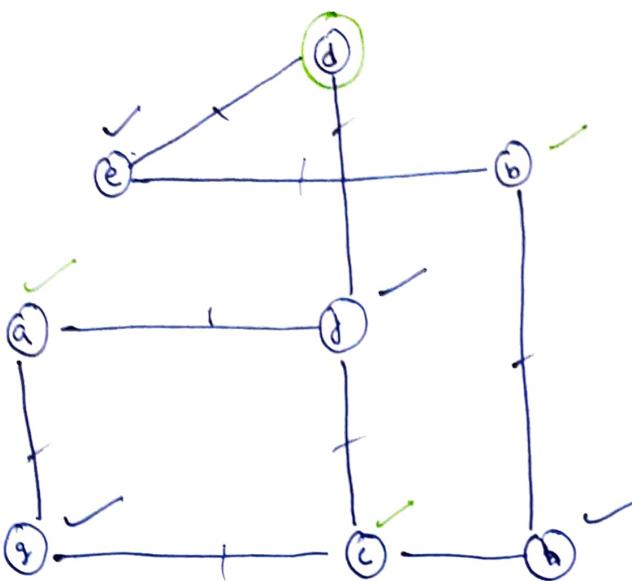
a[5] { 1, 6 } ;

a[6] { 2, 3, 4, 5 } ;

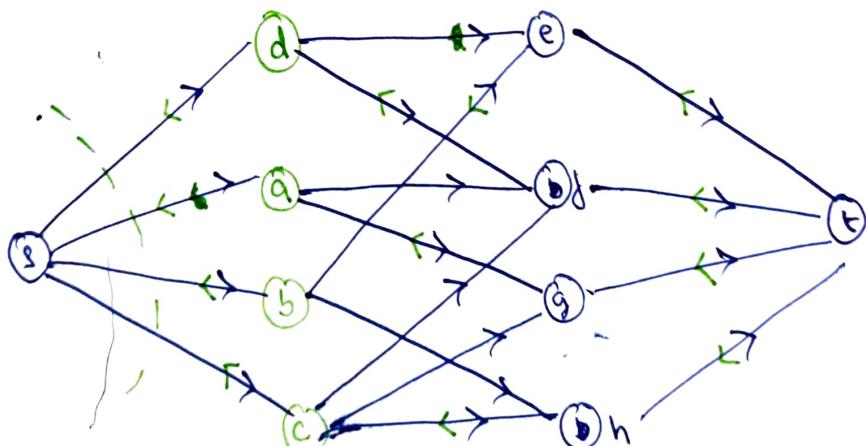
}



Q7



- (i) Is graph bipartite
- (ii) If bipartite find max matching
- (iii) Find the cut where max flow = min cut.
- (iv) Is the matching a perfect matching



$$P_1 : s \rightarrow b \rightarrow e \rightarrow t \quad c_j = 1$$

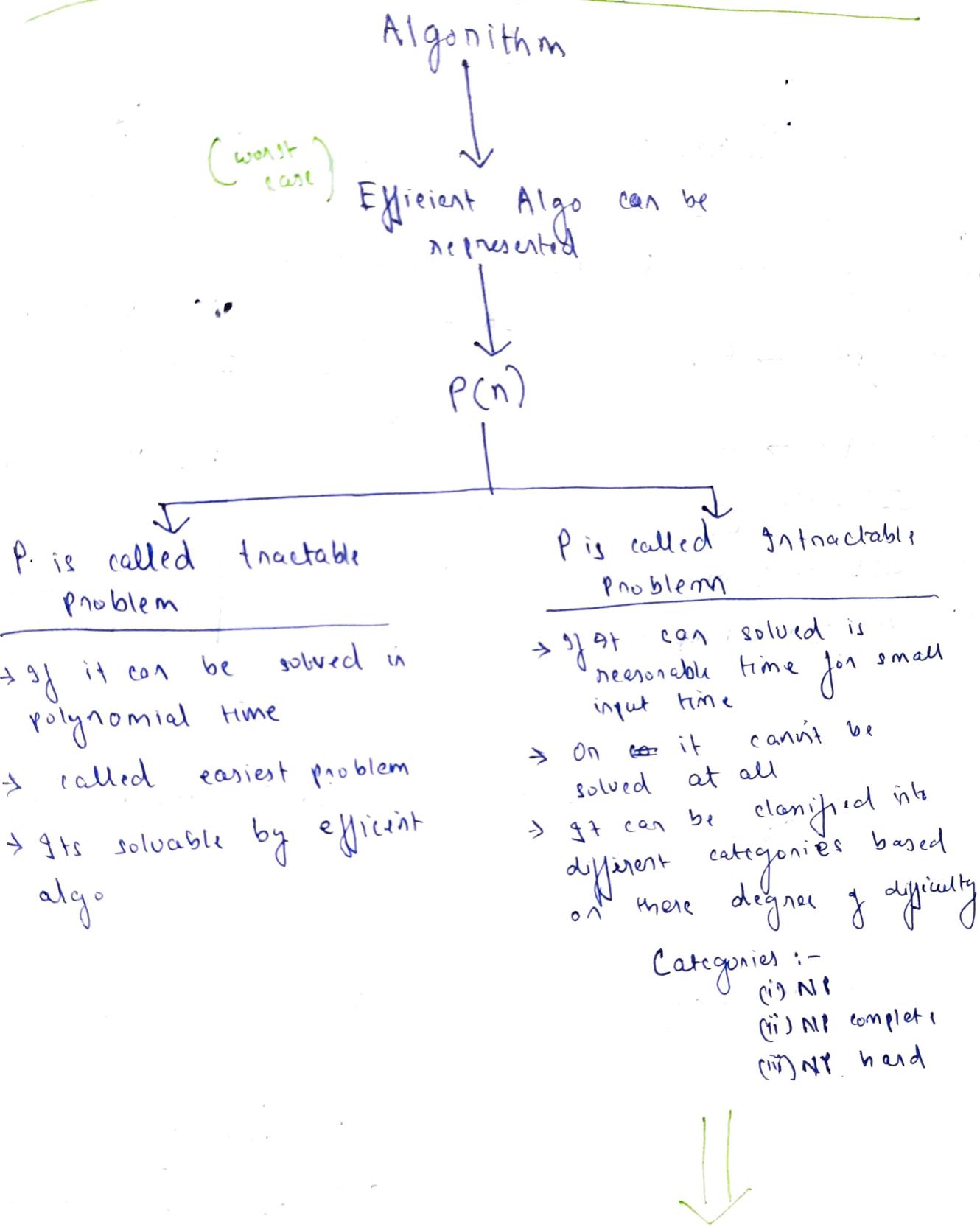
$$P_2 : s \rightarrow c \rightarrow h \rightarrow t \quad c_j = 1$$

$$P_3 : s \rightarrow a \rightarrow g \rightarrow t \quad c_j = 1$$

$$P_4 : s \rightarrow d \rightarrow f \rightarrow t \quad c_j = 1$$

Max flow = 4

# Intractable Problem and Approximation Algo. and P SPACE





## Intractability

### Problem Reduct?

Example for simple Reduction

- (i) Max Bipartite Matching  $\rightarrow$  FN
- (ii) Closest pair  $\rightarrow$  sorting
- (iii) LIS  $\rightarrow$  Max. Edit distance
- (iv) GCD  $\rightarrow$  LCM
- (v) ~~Sorting  $\rightarrow$  Convex Hull~~

### Example for elementary Håndler Reduction

- (i) SAT  $\rightarrow$  clique
- (ii) clique  $\rightarrow$  VC
- (iii) VC  $\rightarrow$  IS
- (iv) HC  $\rightarrow$  TSP
- (v) IS  $\rightarrow$  clique
- (vi) 3SAT  $\rightarrow$  IS

Approximation Algo.

- (i) AA for vertex cover problem
- (ii) AA for TSP problem
- (iii) AA for set cover problem.

VC - vertex cover

IS - independent set

SAT - Satisfiability

HC - Hamiltonian cycle

TSP - Travelling Salesman Problem.

~~SAT~~

SAT  
(satisfiability)

(Mother of NP complete problem)

circuit satisfiability

Formula satisfiability

3-CNF (3-SAT)

CNF  $\Rightarrow$  Conjunctive Normal Form

# Problem

Abstract problem

Decisions problem

Optimization problem

(i) Subset Sum Problem

(ii) TSP

↳ covers all vertex  
and  $s = t$   
→ no vertex repeat

↳ covers all edge  
and  $s = t$   
→ no edge repeat

→ given a undirected

TSP - Optimization prob

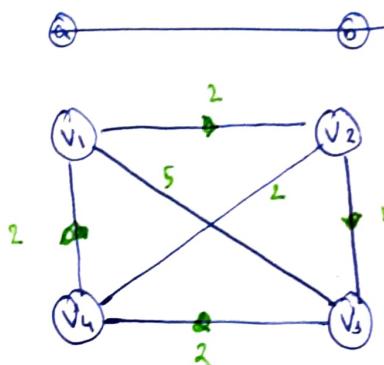
no. of paths =  $n!$   $\rightarrow O(n!)$



TSP - Decision problem

Given a complete weighted undirected graph  $G(V, E)$   
and target  $T$ , determine if the graph has  
a simple cycle that covers all vertices of  $G$   
and have a cost  $\leq T$

# TSP Decision Problem $\in$ NP



$$T = 7$$

Candidate Input

$\langle v_1, v_2, v_3, v_5, v_1 \rangle$

$\langle v_1, v_2, v_3, v_4, v_1 \rangle$

- (i) ~~All~~ all vertices belongs to G or not.
- (ii) 1st and last vertex are same or not.
- (iii)
- (iv)

$\Rightarrow \in$  NP

## Subset Sum Problem ∈ NP

$$S = \{2, 3, 4\}$$

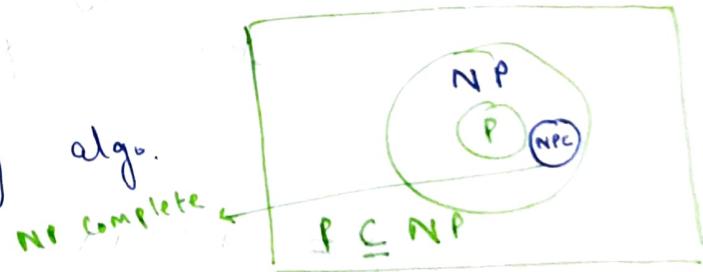
$$T = 5$$

- (i) The nos. are the  $\in$  to  $S$ ,  
(ii) The sum  $= T$ ,  
 $\Rightarrow \in \text{NP}$

## Class P

A problem denoted by  $\alpha$  or  $(\alpha)$  is in class P if that can be solvable in polynomial time or if there is a deterministic algo to solve in polynomial time.

ex: all sorting, searching algo.



## Class NP

A problem  $\alpha$  is in class of NP if for which the candidate soln for this problem can be verified in polynomial time.

ex: all sorting, search.

- TSP
- subset sum
- vertex cover
- set cover
- Independent set

## NP Complete class

A problem  $\alpha$  is in NP-C class if all problems in NP are polynomially reducible to  $\alpha$ .

## Reducibility

$Y$  is reducible to  $X$  if we can transform any instance of  $Y$  into an instance of  $X$ .

### Notation

small -  $Y \leq X$  - big  
~~( $Y$  is polynomially reducible to  $X$ )~~

( $Y$  is reducible to  $X$ )

small -  $Y \leq_p X$  - big

( $Y$  is polynomially reducible to  $X$ )

Any instance of  $Y$  into instance of  $X$  polynomially  
(in polynomial time)

$Y = \text{BMM}$  (Bipartite Max Matching)

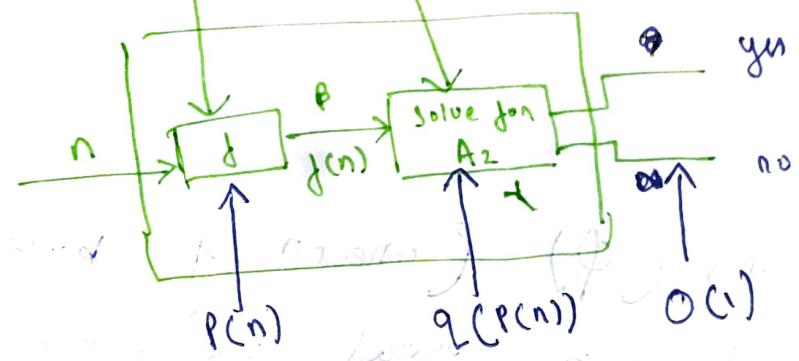
$X = \text{FN}$  (Flow network)

$$\boxed{\begin{array}{l} \text{BMM} \leq_p \text{FN} \\ \text{BMM} \leq_p \text{X} \end{array}}$$

## Property of Reducibility

- 1) If  $X \leq_p Y$  and  $Y$  has a polynomial time algo  
 $\Rightarrow X$  has a polynomial time algo
- 2) If  $X \leq_p Y$  and  $X$  has no polynomial time algo  
 $\Rightarrow Y$  has no polynomial time algo

### Proof



$$T(n) = r(n) + [q(r(n))] + O(1) \quad (\text{because } Y \text{ is harder})$$

$$= q(r(n))$$

## NP-Complete

A problem  $X$  is in NP-C if

- (i)  $X \in \text{NP} \rightarrow$  verify  $\xrightarrow{\text{reject}}$
- (ii)  $\forall X' \in \text{NP}$ , where  $X' \leq_p X$   
 $\downarrow$  small       $\downarrow$  Big

### To prove

- (i) choose an appropriate problem from NP-complete class ( $L'$ )
- (ii)  $L' \leq_p X$
- $\Rightarrow$   $|X$  is NP-C problem.

## NP-Hard



- (i)  $x \notin \text{NP}$
- (ii)  $\forall x' \in \text{NPC}, x' \leq_p x$

## SAT (Satisfiability problem)

A boolean expression ( $\phi$ ) (collection of boolean variable, connected with logical OR, AND ) is a satisfiable.

i.e. assign the value (True or false) for the boolean variable ~~so~~ to which the boolean expression  $\phi$  returns true

$$\phi = x_1 \vee x_2 \vee \underbrace{x_3 \wedge x_4}_{\text{boolean variables}} \quad \begin{matrix} \text{OR} \\ \text{AND} \end{matrix}$$