

# Data Structure and Algorithm

Performance analysis

# Q: What is an Algorithm?

: Algorithm :

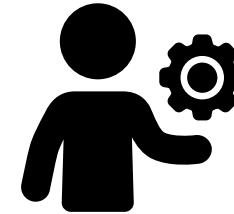
ต้องทำอะไรบ้าง และมีปัญหาที่จะแก้ไข

**sequence** of **finite steps**  
to solve a **particular problem**.

# Characteristics of an Algorithm

คุณลักษณะของ Algorithm ที่ดี

- Clear and Unambiguous ชัดเจน
- Well-Defined Inputs ต้อง Defined Input and Output ให้ชัดเจน
- Well-Defined Outputs
- Finite
- Feasible (simple, generic, and practical : มันสามารถเป็นไปได้ใน เช่น ถ้าจะไปที่ไหนที่หนึ่ง เราต้องขับรถไปหรือเดิน ไม่สามารถบินไปได้)
- Language Independent สิ่งที่สามารถใช้ได้ทั่วโลก



# Advantages of Algorithms ข้อดีข้อเสีย

Easy to understand.

A step-wise representation of a solution.

the problem is broken down into smaller pieces

Easier to convert into program.

# Disadvantages of Algorithms ข้อเสีย

Take a long time.

Difficult to explain  
complex logic.

Branching and  
Looping statements  
are difficult to  
show.

# Types of Algorithms

- **1. Brute Force Algorithm:**

- **Test every choices of answer.**
- First approach when we see a problem. ทดลองทุกอย่างที่จะเป็นไปได้

- **2. Recursive Algorithm:**

- A problem is **broken into several sub-parts and called the same function** again and again.

# Types of Algorithms

- **3. Backtracking Algorithm:**

- **Whenever a solution fails we trace back to the failure point and build on the next solution and continue this process till we find the solution**
- In SudoKo solving Problem, we try filling digits one by one. Whenever we find that current digit cannot lead to a solution, we remove it (backtrack) and try next digit.

3		6	5		8	4		
5	2							
	8	7					3	1
		3		1			8	
9			8	6	3			5
	5			9		6		
1	3					2	5	
							7	4
		5	2		6	3		

# Types of Algorithms

- **4. Searching Algorithm:**

- **Searching** elements or groups of elements from a particular data structure.

- **5. Sorting Algorithm:**

- Sorting is **arranging** a group of data in an increasing or decreasing manner.

- **6. Hashing Algorithm:**

- Searching that **contain an index with a key ID** for specific data.

- **7. Divide and Conquer Algorithm:**

- **Breaks a problem into sub-problems, solves a single sub-problem and merges the solutions together** to get the final solution.
- It consists of the following three steps: Divide , Solve , Combine



# Types of Algorithms

## • 8. Greedy Algorithm:

- the solution is built part by part. The solution of the next part is built based on the immediate benefit of the next part.
- **The one solution giving the most benefit will be chosen as the solution for the next part.**

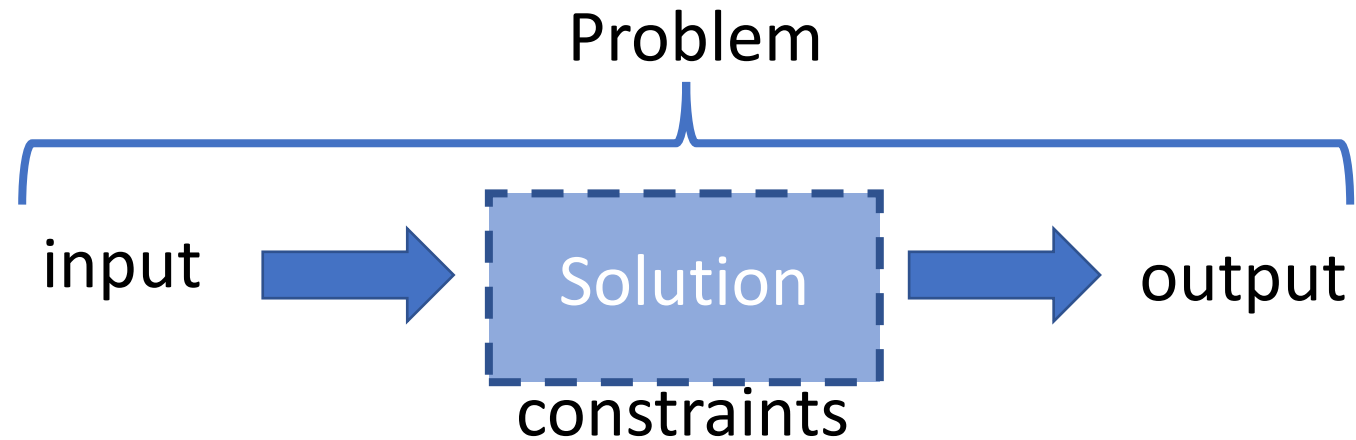
## • 9. Dynamic Programming Algorithm:

- **Use already found solution** to avoid repetitive calculation of the same part of the problem.
- It **divides the problem into smaller overlapping subproblems** and solves them.
- Ex : use array to keep factorial result and reuse it in next iteration

# Types of Algorithms

- **10. Randomized Algorithm:**
  - The random number helps in deciding the expected outcome.

# How to Design an Algorithm



1. Clear **problem** definition
2. Consider the **constraints** of the problem
3. The **input** to be taken to solve the problem.
4. The **output** to be expected when the problem is solved.
5. The **solution** to this problem, is within the given **constraints**.

**One problem, many solutions**

# Why to worry about performance?

- **Cost** of time and space in application
- Performance == **Scale**
- Example :
  - Service use 1 second to finish job at the first deploy. Next year, it use 10 minutes.
  - Text editor use 1 second to spell check each page but user use this program with 1000 pages file.
  - Data analytics cases : process 100,000 data unit
    - 20 seconds per 1 data unit -> 23 days
    - 5 data unit per seconds -> 5 hours



Better performance

Better (applications and programmers) life

# Algorithm complexity

## Time Factor

amount of time that is required by the algorithm to execute and get the result.

counting the number of key operations

## Space Factor

the amount of memory used by the algorithm to store the variables and get the result.

counting the maximum memory space required

# How to analyze an Algorithm

## 1.Priori Analysis:

- checking **before** its implementation (“Priori” = “before”)
- **Assuming that all other factors**, for example, processor speed, are constant and have no effect on the implementation.
- **approximate answers** for the complexity of the program.
- **Independent** with language , compiler and hardware

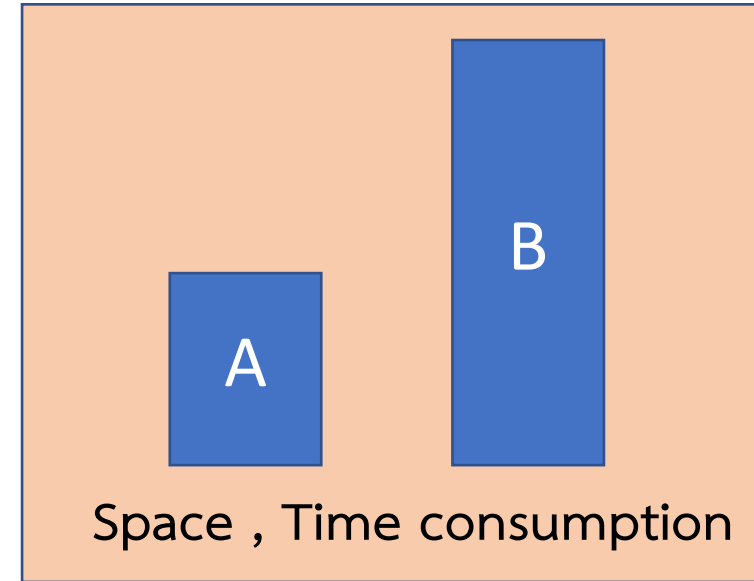
## 2.Posterior Analysis:

- checking the algorithm **after** its implementation (“Posterior” = “after”)
- checked by **implementing and executing** it.
- get the **actual analysis** about correctness, space required, time consumed etc.
- **Depend on** the language of the compiler and the type of hardware used.

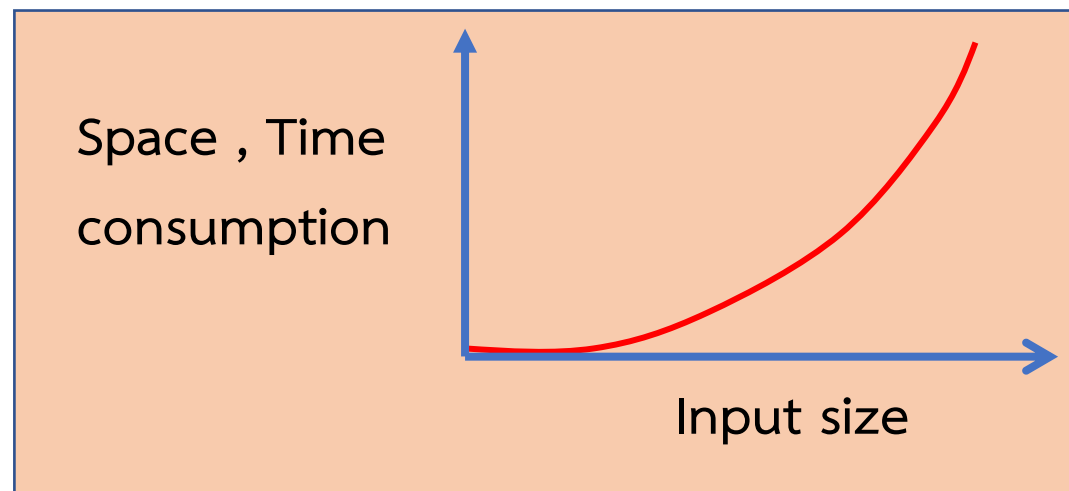


# Application (or algorithm) performance

1. **Compare** Space , Time consumption



2. **Relation** of Space , Time consumption with input size



# Measure the Execution Time

```
import time

# get the start time
st = time.time()

# main program
# find sum to first 1 million numbers
sum_x = 0
for i in range(1000000):
    sum_x += i
```

```
# wait for 3 seconds
time.sleep(3)
print('Sum of first 1 million numbers is:',
      sum_x)

# get the end time
et = time.time()

# get the execution time
elapsed_time = et - st
print('Execution time:', elapsed_time,
      'seconds')
```

```
import time
```

```
s1=[]
```

```
s2 = {}
```

```
for i in range(0,19999999):
```

```
    s1 += [i]
```

```
    s2[i]=0
```

```
# algorithm 1 -> search in list
```

```
st = time.time()
```

```
if 19999999 in s1:
```

```
    print('found')
```

```
time.sleep(1)
```

```
en = time.time()
```

```
elapsed_time = en - st
```

```
print('Execution time (search in list) :',
```

```
elapsed_time, 'seconds')
```

```
# Algorithm 2 -> search in dict
```

```
st = time.time()
```

```
if 19999999 in s2:
```

```
    print('found')
```

```
time.sleep(1)
```

```
en = time.time()
```

```
elapsed_time = en - st
```

```
print('Execution time (search in dict) :',
```

```
elapsed_time, 'seconds')
```

Output :

Execution time (search in list) : 1.2972080707550049 seconds

Execution time (search in dict) : 1.000472068786621 seconds

# 2 algorithms , which one is better

- Basic way : run 2 algorithm , finding which one take less time....
- But...
  - 1) It might be possible that **for some inputs, first algorithm performs better than the second.** And for some inputs second performs better.
  - 2) It might also be possible that **for some inputs, first algorithm perform better on one machine and the second works better on other machine** for some other inputs.

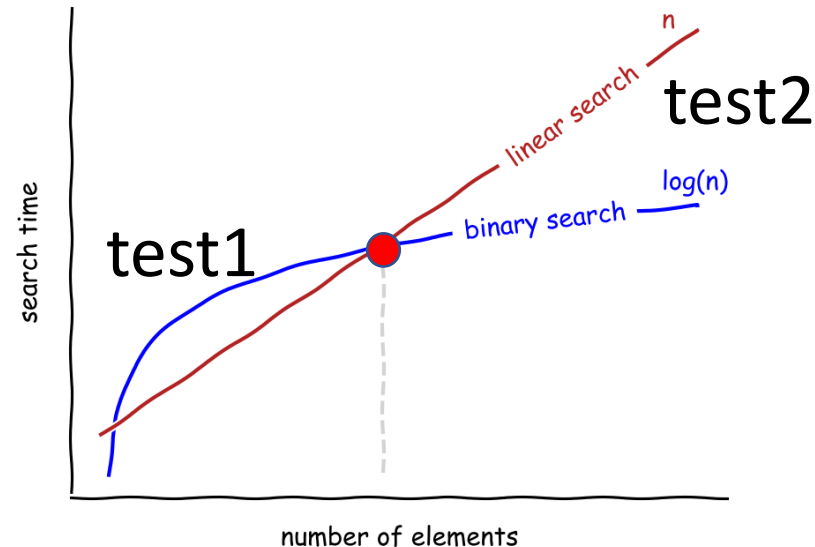
**We need algorithm analysis method that independent with unrelated attributes.**

# Asymptotic Analysis (easy to use , not perfect)

- we evaluate the performance of an algorithm in terms of input size (we don't measure the actual running time). We calculate, **how the time (or space) taken by an algorithm increases with the input size.**

Test 1 :

- small data set, Linear Search , Fast Computer A
- small data set, Binary Search , Slow Computer B



Test 2 :

- big data set, Linear Search , **Fast Computer A**
- Big data set, Binary Search , Slow Computer B

Ps. we can't judge which one is better for application , depend on maximum data size and cutting edge

# Asymptotic Notations and Analysis

- a measure of the **efficiency** of algorithms
- **don't depend on** machine-specific constants
- **don't** require algorithms to be **implemented** and time taken by programs to be compared.
- **Asymptotic notations** are mathematical tools to represent the time complexity of algorithms for asymptotic analysis.

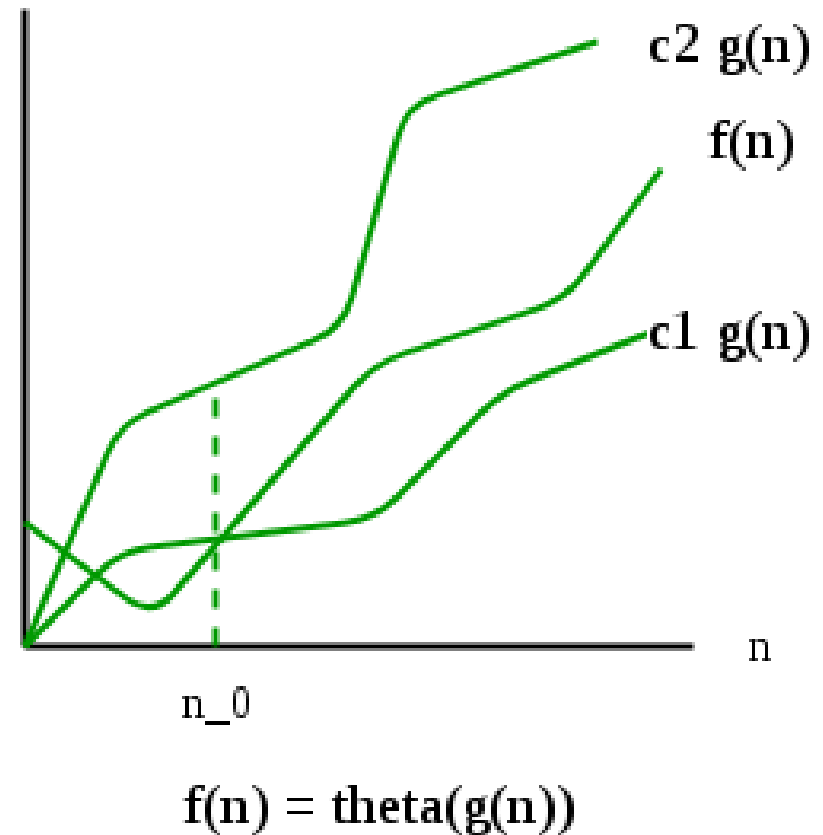
# Asymptotic notations

- **3 asymptotic notations** are mostly used
  1.  $\Theta$  Notation (theta notation)
  2. Big-O Notation
  3.  $\Omega$  Notation (omega notation)

เซตไอน์เทชัน

- **1.  $\Theta$  Notation: The theta notation** bounds a function from **above and below**, so it defines exact asymptotic behavior.

การสร้างตัวฟังก์ชันตัวหนึ่งให้เป็นกรอบ algo ที่เราสนใจ



$\Theta(g(n)) = \{f(n): \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{ such that}$   
 $0 \leq c_1 * g(n) \leq f(n) \leq c_2 * g(n)$   
 $\text{for all } n \geq n_0\}$

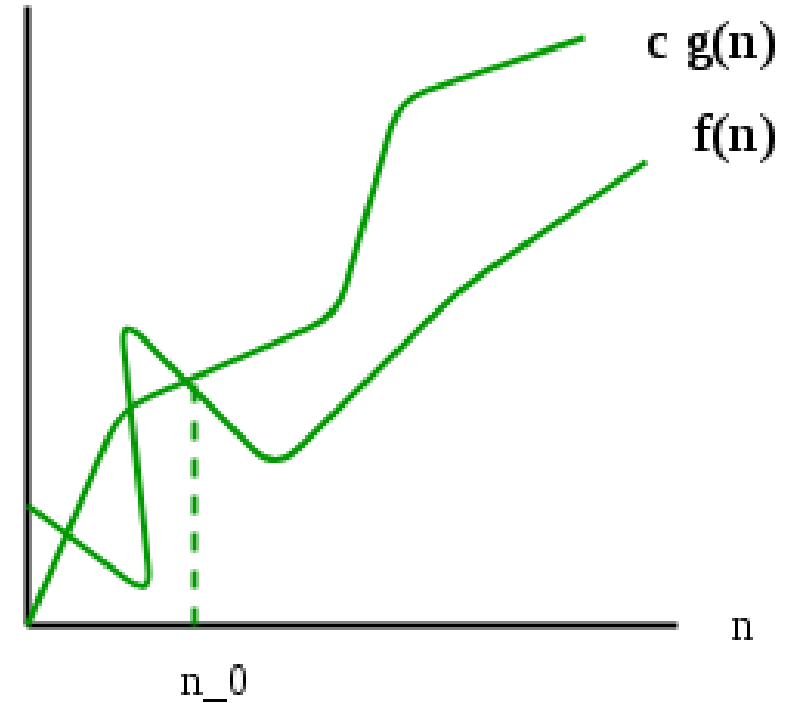


- A simple way to get the Theta notation of an expression is to **drop low-order terms and ignore leading constants**. For example, consider the following expression. วิธีง่ายๆ ในการรับสัญกรณ์ Theta ของนิพจน์คือการละทิ้งเงื่อนไขลำดับต่ำและละเว้นค่าคงที่นำหน้า

$$\cancel{3n^3} + \cancel{6n^2} + \cancel{6000} = \Theta(n^3)$$

- Examples :
  - { 100 , log (2000) , 10<sup>4</sup> } belongs to  $\Theta(1)$
  - { (n/4) , (2n+3) , (n/100 + log(n)) } belongs to  $\Theta(n)$
  - { (n<sup>2</sup>+n) , (2n<sup>2</sup>) , (n<sup>2</sup>+log(n)) } belongs to  $\Theta(n^2)$
- $\Theta$  provides exact bounds .

- 2) Big O Notation: defines **an upper bound** of an algorithm, it bounds a function only from above.



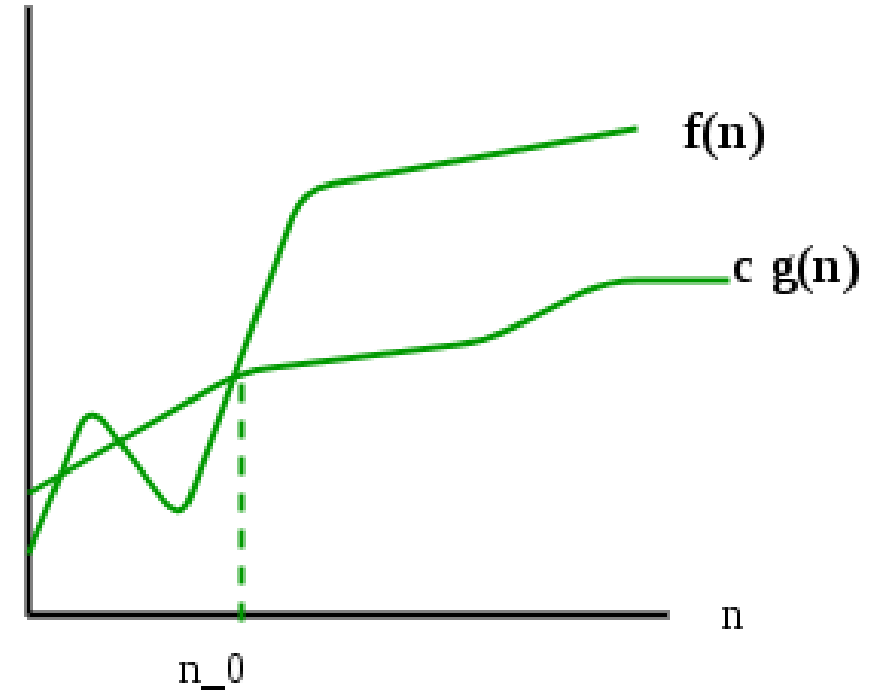
$$f(n) = O(g(n))$$

$O(g(n)) = \{ f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$

$$0 \leq f(n) \leq c * g(n) \\ \text{for all } n \geq n_0 \}$$

- Examples :
  - $\{ 100, \log(2000), 10^4 \}$  belongs to  $O(1)$
  - $U \{ (n/4), (2n+3), (n/100 + \log(n)) \}$  belongs to  $O(n)$
  - $U \{ (n^2+n), (2n^2), (n^2+\log(n)) \}$  belongs to  $O(n^2)$
  - Here U represents union, we can write it in these manner because O provides exact or upper bounds.

- **3)  $\Omega$  Notation:** provides an asymptotic **lower bound**.
- the best case performance of an algorithm is generally not useful, the Omega notation is the least used notation among all three.
- we are generally interested in worst-case and sometimes in the average case.



$$f(n) = \Omega(g(n))$$

$\Omega(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$

$$0 \leq c \cdot g(n) \leq f(n) \text{ for all } n \geq n_0\}.$$

## Examples :

- $\{ (n^2+n) , (2n^2) , (n^2+\log(n)) \}$  belongs to  $\Omega(n^2)$
- $U \{ (n/4) , (2n+3) , (n/100 + \log(n)) \}$  belongs to  $\Omega(n)$
- $U \{ 100 , \log(2000) , 10^4 \}$  belongs to  $\Omega(1)$
- Here U represents union , we can write it in these manner because  $\Omega$  provides exact or lower bounds .

# Analysis of Algorithms example

# $O(1)$

- Time complexity of a function (or set of statements) is considered as  $O(1)$  if it **doesn't contain loop, recursion, and call to any other non-constant time function.** ไม่มีลูป input เป็น  $o(1)$  หมด
- A loop or recursion that runs a **constant number of times** is also considered as  $O(1)$

```
// Here c is a constant
for (int i = 1; i <= c; i++) {
    // some  $O(1)$  expressions
}
```

# $O(n)$

- Time Complexity of a loop is considered as  $O(n)$  **if the loop variables are incremented/decremented by a constant amount**

// Here c is a positive integer constant

Order(n) นับจำนวนต่อการทำงานค่าคงที่ไปเรื่อยๆ ต่อบรอบ

```
for (int i = 1; i <= n; i += c) {  
    // some  $O(1)$  expressions  
}
```

```
for (int i = n; i > 0; i -= c) {  
    // some  $O(1)$  expressions  
}
```



# $O(n^c)$

- Time complexity of **nested loops** is equal to **the number of times the innermost statement is executed.**
- For example, Selection sort and Insertion Sort have  $O(n^2)$  time complexity.

ลูปซ้อนลูป  $Order(n^x)$   
ออเดอร์เอ็นสแควร์

```
for (int i = 1; i <= n; i += c) {  
    for (int j = 1; j <= n; j += c) {  
        // some  $O(1)$  expressions  
    }  
}
```

```
for (int i = n; i > 0; i -= c) {  
    for (int j = i+1; j <= n; j += c) {  
        // some  $O(1)$  expressions  
    }  
}
```

# O(Logn)

ในกรณีที่ไมคงที่ ถ้าด้านล่างนี้ จะเพิ่มค่าเป็นเท่าตัว จำนวนลูปก็จะหดสั้นขึ้นเรื่อยๆ

- Time Complexity of a loop is considered as  $O(\text{Log}n)$  if the **loop variables are divided/multiplied by a constant amount**. And also for recursive call in recursive function the Time Complexity is considered as  $O(\text{Log}n)$ .
- For example, Binary Search(refer iterative implementation) has  $O(\text{Log}n)$  time complexity.

```
for (int i = 1; i <= n; i *= c) {  
    // some O(1) expressions  
}  
for (int i = n; i > 0; i /= c) {  
    // some O(1) expressions  
}
```

```
void recurse(n)  
{  
    if(n==0)  
        return;  
    else{  
        // some O(1) expressions  
    }  
    recurse(n-1);  
}
```

# $O(\text{LogLog}n)$

ลูปแปลกๆ แทนที่จะขึ้นทีละเรื่อย มันกระโดดค่าขึ้นไปไกลมากๆ มันก็จะหัดสั้นและการทำงานลูปในตัวเลขเยอะๆก็จะไวมากๆ

- Time Complexity of a loop is considered as  $O(\text{LogLog}n)$  if the loop variables are **reduced/increased exponentially by a constant amount**.

```
// Here c is a constant greater than 1
for (int i = 2; i <= n; i = pow(i, c)) {
    // some O(1) expressions
}

// Here fun is sqrt or cuberoot or any
other constant root
for (int i = n; i > 1; i = fun(i)) {
    // some O(1) expressions
}
```

# complexities of consecutive loops

- When there are **consecutive loops**, we **calculate time complexity as a sum of time complexities of individual loops**.

```
for (int i = 1; i <= m; i += c) {  
    // some O(1) expressions  
}  
  
for (int i = 1; i <= n; i += c) {  
    // some O(1) expressions  
}
```

Time complexity of above code is  **$O(m) + O(n)$**  which is  **$O(m+n)$** . If  $m == n$ , the time complexity becomes  $O(2*n)$  which is  $O(n)$ .

# time complexity when there are many if, else statements inside loops

- **consider the worst case.**
- We evaluate the situation when values in if-else conditions cause a **maximum number of statements to be executed.**
- When the code is **too complex** to consider all if-else cases, we can get an upper bound by **ignoring if-else and other complex control statements.**

# Worst, Average and Best Cases

- Worst Case :
  - Find **maximum number** of operations to be executed
  - For Linear Search, the worst case happens when the element to be searched (x in the above code) is not present in the array.
  - Therefore, the worst-case time complexity of linear search would be  $\Theta(n)$ .
  - Big Theta = bounded both above and below asymptotically

# Worst, Average and Best Cases

- Average Case :
  - take all possible inputs and calculate computing time for all of the inputs
  - **Sum all the calculated values and divide the sum by the total number of inputs**
  - **We must know (or predict) the distribution of cases.**
  - For the linear search problem, let us assume that all cases are uniformly distributed (including the case of x not being present in the array). So we sum all the cases and divide the sum by (n+1). Following is the value of average-case time complexity.

$$\sum_{i=1}^n \frac{\Theta(i)}{(n+1)} = \frac{\Theta((n+1) * (n+2)/2)}{(n+1)} = \Theta(n)$$

average case คือ หาตัวที่ดีที่สุดแล้วเอาค่าตัวนั้นออกมา

# Worst, Average and Best Cases

- Best Case :
  - we calculate the lower bound on the running time of an algorithm. **We must know the case that causes a minimum number of operations to be executed.**
  - In the linear search problem, the best case occurs when  $x$  is present at the first location. The number of operations in the best case is constant (not dependent on  $n$ ). So time complexity in the best case would be  $\Theta(1)$



- **Most of the times, we do worst-case analysis to analyze algorithms.** In the worst analysis, we guarantee an upper bound on the running time of an algorithm which is good information.
- **The average case analysis is not easy to do in most practical cases and it is rarely done.** In the average case analysis, we must know (or predict) the mathematical distribution of all possible inputs.
- **The Best Case analysis is bogus.** Guaranteeing a lower bound on an algorithm doesn't provide any information as in the worst case, an algorithm may take years to run.

# Activity : Time complexity of following Code

# Python program for implementation of Bubble Sort

**def bubbleSort(arr):**

**n = len(arr)**

    # optimize code, so if the array is already sorted, it doesn't need

    # to go through the entire process

**swapped = False**

    # Traverse through all array elements

**for i in range(n-1):**

        # range(n) also work but outer loop will

        # repeat one time more than needed.

        # Last i elements are already in place

**for j in range(0, n-i-1):**

            # traverse the array from 0 to n-i-1

            # Swap if the element found is greater

            # than the next element

**if arr[j] > arr[j + 1]:**

**swapped = True**

**arr[j], arr[j + 1] = arr[j + 1], arr[j]**

**if not swapped:**

        # if we haven't needed to make a single swap, we

        # can just exit the main loop.

**return**

# Driver code to test above

**arr = [64, 34, 25, 12, 22, 11, 90]**

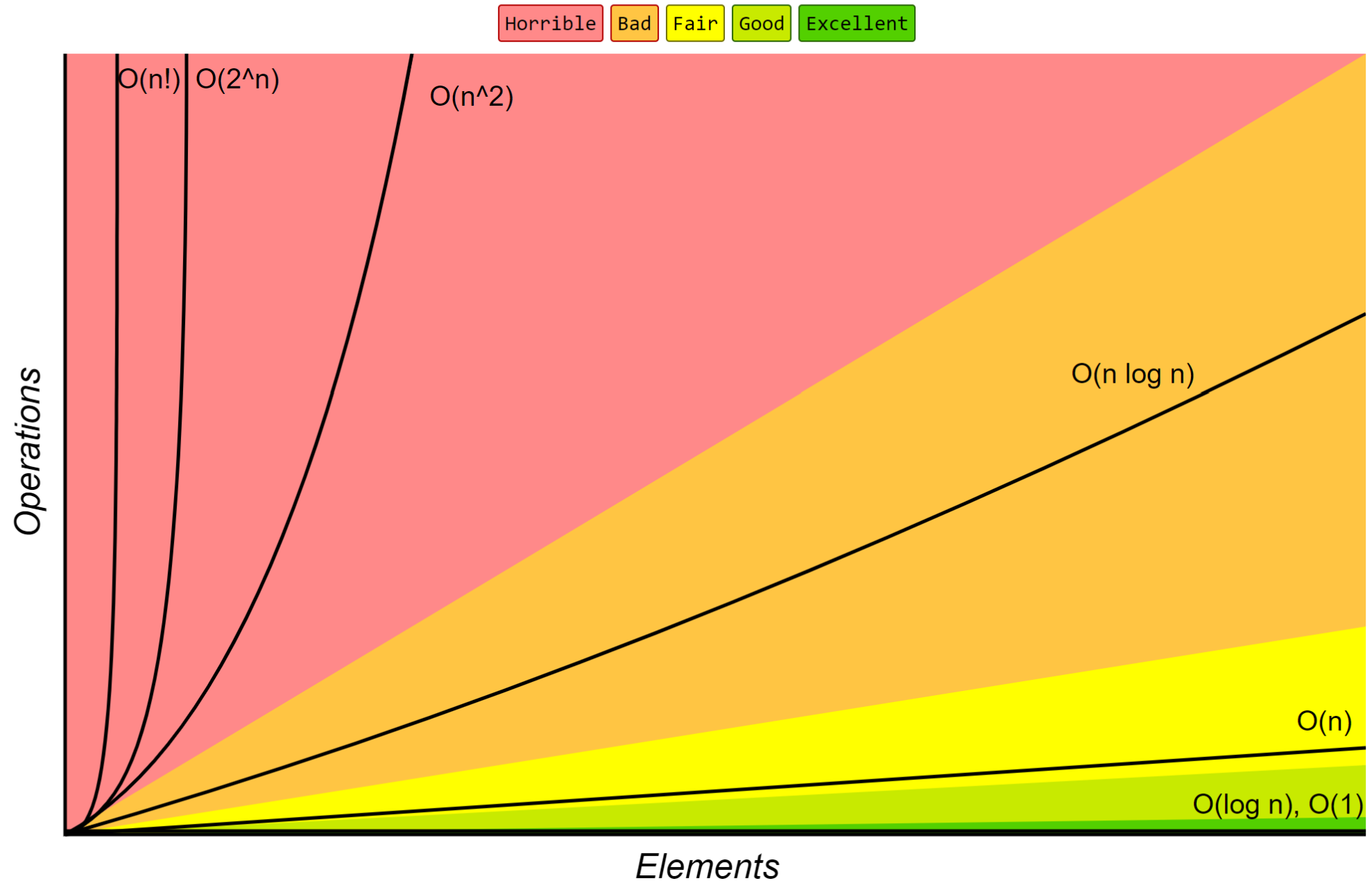
**bubbleSort(arr)**

**print("Sorted array is:")**

**for i in range(len(arr)):**

**print("% d" % arr[i], end=" ")**

# Big-O Complexity Chart



# Common Data Structure Operations

Data Structure	Time Complexity								Space Complexity
	Average				Worst				Worst
	Access	Search	Insertion	Deletion	Access	Search	Insertion	Deletion	
<u>Array</u>	$\theta(1)$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$
<u>Stack</u>	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$
<u>Queue</u>	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$
<u>Singly-Linked List</u>	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$
<u>Doubly-Linked List</u>	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$	$\theta(n)$	$\theta(1)$	$\theta(1)$	$\theta(n)$
<u>Skip List</u>	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n \log(n))$
<u>Hash Table</u>	N/A	$\theta(1)$	$\theta(1)$	$\theta(1)$	N/A	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$
<u>Binary Search Tree</u>	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$
<u>Cartesian Tree</u>	N/A	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	N/A	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$
<u>B-Tree</u>	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(n)$
<u>Red-Black Tree</u>	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(n)$
<u>Splay Tree</u>	N/A	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	N/A	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(n)$
<u>AVL Tree</u>	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(n)$
<u>KD Tree</u>	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(\log(n))$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$	$\theta(n)$

# Array Sorting Algorithms

Algorithm	Time Complexity			Space Complexity
	Best	Average	Worst	Worst
<u>Quicksort</u>	$\Omega(n \log(n))$	$\Theta(n \log(n))$	$O(n^2)$	$O(\log(n))$
<u>Mergesort</u>	$\Omega(n \log(n))$	$\Theta(n \log(n))$	$O(n \log(n))$	$O(n)$
<u>Timsort</u>	$\Omega(n)$	$\Theta(n \log(n))$	$O(n \log(n))$	$O(n)$
<u>Heapsort</u>	$\Omega(n \log(n))$	$\Theta(n \log(n))$	$O(n \log(n))$	$O(1)$
<u>Bubble Sort</u>	$\Omega(n)$	$\Theta(n^2)$	$O(n^2)$	$O(1)$
<u>Insertion Sort</u>	$\Omega(n)$	$\Theta(n^2)$	$O(n^2)$	$O(1)$
<u>Selection Sort</u>	$\Omega(n^2)$	$\Theta(n^2)$	$O(n^2)$	$O(1)$
<u>Tree Sort</u>	$\Omega(n \log(n))$	$\Theta(n \log(n))$	$O(n^2)$	$O(n)$
<u>Shell Sort</u>	$\Omega(n \log(n))$	$\Theta(n(\log(n))^2)$	$O(n(\log(n))^2)$	$O(1)$
<u>Bucket Sort</u>	$\Omega(n+k)$	$\Theta(n+k)$	$O(n^2)$	$O(n)$
<u>Radix Sort</u>	$\Omega(nk)$	$\Theta(nk)$	$O(nk)$	$O(n+k)$
<u>Counting Sort</u>	$\Omega(n+k)$	$\Theta(n+k)$	$O(n+k)$	$O(k)$
<u>Cubesort</u>	$\Omega(n)$	$\Theta(n \log(n))$	$O(n \log(n))$	$O(n)$


# Space Complexity

- Space Complexity of an algorithm is the **total space taken by the algorithm** with respect to the input size. Space complexity includes both Auxiliary space and space used by input.
- Auxiliary Space is the extra space or temporary space used by an algorithm.
- **Space complexity is a parallel concept to time complexity. If we need to create an array of size  $n$ , this will require  $O(n)$  space. If we create a two-dimensional array of size  $n*n$ , this will require  $O(n^2)$  space.**

# In recursive calls stack space also counts.

```
int add (int n){  
    if (n <= 0){  
        return 0;  
    }  
    return n + add (n-1);  
}
```

- Here each call add a level to the stack :



1. add(4)
2. -> add(3)
3. -> add(2)
4. -> add(1)
5. -> add(0)

- Each of these calls is added to call **stack** and takes up actual memory.
- So it takes  **$O(n)$  space.**

However, just because you have  $n$  calls total doesn't mean it takes  $O(n)$  space.

```
int addSequence (int n){  
    int sum = 0;  
    for (int i = 0; i < n; i++){  
        sum += pairSum(i, i+1);  
    }  
    return sum;  
}
```

```
int pairSum(int x, int y){  
    return x + y;  
}
```

- There will be roughly  **$O(n)$  calls** to pairSum. However, those calls do not exist simultaneously on the call stack,
- so you only need  **$O(1)$  space**.