

Synchronization

Part II

and

Conclusion

Definitions

Race condition: output of a concurrent program depends on the order of operations between threads

Mutual exclusion: only one thread does a particular thing at a time

- **Critical section:** piece of code that only one thread can execute at once

Lock: prevent someone from doing something

- Lock before entering critical section, before accessing shared data
- Unlock when leaving, after done accessing shared data
- Wait if locked (all synchronization involves waiting!)

Homework Solution: Bounded Buffer

```
get() {  
    lock(_key)  
    {  
        while (front == tail) {  
            monitor.wait(_key);  
        }  
        item = buf[front % MAX];  
        front++;  
        monitor.signal(_key);  
    }  
    return item;  
}
```

```
put(item) {  
    lock._key);  
    {  
        while ((tail - front) == MAX) {  
            monitor.wait(_key);  
        }  
        buf[tail % MAX] = item;  
        tail++;  
        monitor.signal(_key);  
    }  
}
```

Initially: front = tail = 0; MAX is buffer capacity
empty/full are condition variables

Pre/Post Conditions

- What is state of the bounded buffer at lock acquire?
 - $\text{front} \leq \text{tail}$
 - $\text{front} + \text{MAX} \geq \text{tail}$
- These are also true on return from wait
- And at lock release
- Allows for proof of correctness

Pre/Post Conditions

```
methodThatWaits() {  
    lock.acquire();  
    // Pre-condition: State is consistent  
  
    // Read/write shared state  
  
    while (!testSharedState()) {  
        cv.wait(&lock);  
    }  
    // WARNING: shared state may  
    // have changed! But  
    // testSharedState is TRUE  
    // and pre-condition is true  
  
    // Read/write shared state  
    lock.release();  
}
```

```
methodThatSignals() {  
    lock.acquire();  
    // Pre-condition: State is consistent  
  
    // Read/write shared state  
  
    // If testSharedState is now true  
    cv.signal(&lock);  
  
    // NO WARNING: signal keeps lock  
  
    // Read/write shared state  
    lock.release();  
}
```

Condition Variables

- ALWAYS hold lock when calling wait, signal, broadcast
 - Condition variable is sync FOR shared state
 - ALWAYS hold lock when accessing shared state
- Condition variable is memoryless
 - If signal when no one is waiting, no op
 - If wait before signal, waiter wakes up
- Wait atomically releases lock จะไม่มีเหตุการณ์ Interrupt หรือ Context switch จะไม่มีการแทรกกลางของโปรเซสอื่นๆ ระหว่างการทำงานได้
 - What if wait, then release?
 - What if release, then wait? This is a correct version

Condition Variables, cont'd

- When a thread is woken up from wait, it may not run immediately
 - Signal/broadcast put thread on ready list
 - When lock is released, anyone might acquire it
- Wait MUST be in a loop

```
while (needToWait()) {  
    condition.Wait(lock);  
}
```
- Simplifies implementation
 - Of condition variables and locks
 - Of code that uses condition variables and locks

Synchronization Performance

- A program with lots of concurrent threads can still have poor performance on a multiprocessor:
 - Overhead of creating threads, if not needed
 - Lock contention: only one thread at a time can hold a given lock
 - Shared data protected by a lock may ping back and forth between cores
 - False sharing: communication between cores even for data that is not shared

Reducing Lock Contention

- Fine-grained locking
 - Partition object into subsets, each protected by its own lock
 - Example: hash table buckets
- Per-processor data structures
 - Partition object so that most/all accesses are made by one processor
 - Example: per-processor heap
- Ownership/Staged architecture
 - Only one thread at a time accesses shared data
 - Example: pipeline of threads

Synchronization without Lock

Communicating Sequential Processes (CSP/Google Go)

- A thread per shared object
 - Only thread allowed to touch object's data
 - To call a method on the object, send thread a message with method name, arguments
 - Thread waits in a loop, get msg, do operation
- No memory races!

Locks/CVs vs. CSP

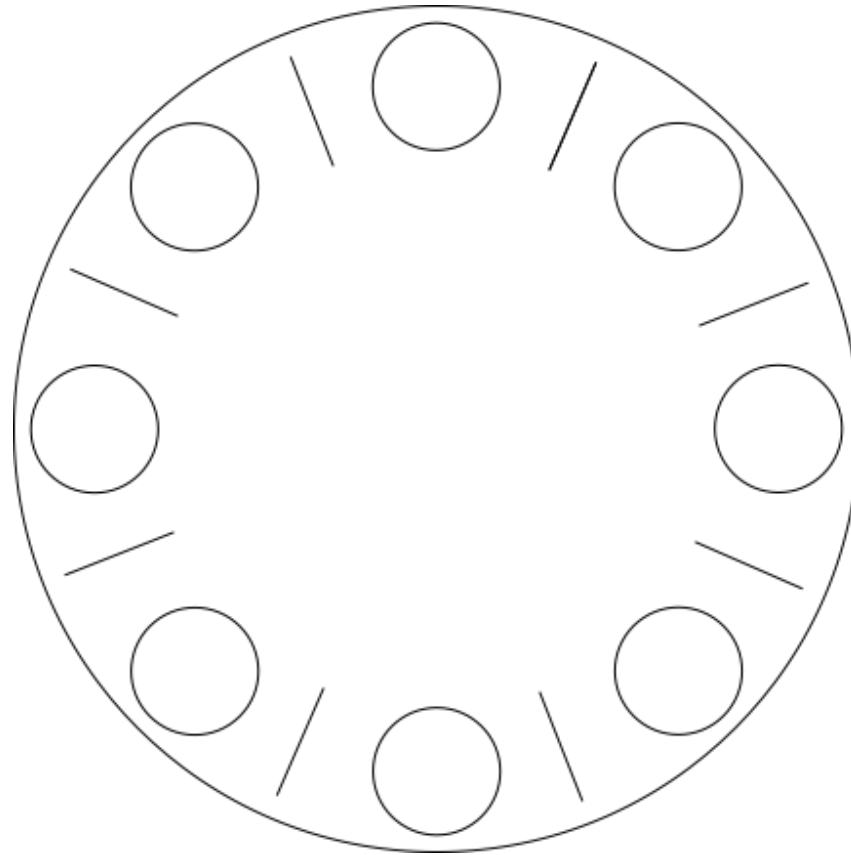
- Create a lock on shared data
 - = create a single thread to operate on data
- Call a method on a shared object
 - = send a message/wait for reply
- Wait for a condition
 - = queue an operation that can't be completed just yet
- Signal a condition
 - = perform a queued operation, now enabled

Multi-Object Synchronization

Multi-Object Programs

- What happens when we try to synchronize across multiple objects in a large program?
 - Each object with its own lock, condition variables
- Performance
- Semantics/correctness
- Deadlock
- Eliminating locks

Dining Lawyers



Each lawyer needs two chopsticks to eat.
Each grabs chopstick on the right first.

Deadlock Definition

- Resource: any (passive) thing needed by a thread to do its job (CPU, disk space, memory, lock)
 - Preemptable: can be taken away by OS
 - Non-preemptable: must leave with thread
- Starvation: thread waits indefinitely
- Deadlock: circular waiting for resources
 - Deadlock => starvation, but not vice versa

Example: two locks

Thread A

```
lock1.acquire();  
lock2.acquire();  
lock2.release();  
lock1.release();
```

Thread B

```
lock2.acquire();  
lock1.acquire();  
lock1.release();  
lock2.release();
```

Two locks and a condition variable

Thread A

```
lock1.acquire();  
...  
lock2.acquire();  
while (need to wait) {  
    condition.wait(lock2);  
}  
lock2.release();  
...  
lock1.release();
```

Thread B

```
lock1.acquire();  
...  
lock2.acquire();  
...  
condition.signal(lock2);  
...  
lock2.release();  
...  
lock1.release();
```

Necessary Conditions for Deadlock

- Limited access to resources
 - If infinite resources, no deadlock!
- No preemption
 - If resources are virtual, can break deadlock
- Multiple independent requests
 - “wait while holding”
- Circular chain of requests

Preventing Deadlock

- Exploit or limit program behavior
 - Limit program from doing anything that might lead to deadlock
- Predict the future
 - If we know what program will do, we can tell if granting a resource might lead to deadlock
- Detect and recover
 - If we can rollback a thread, we can fix a deadlock once it occurs

Exploit or Limit Behavior

- Provide enough resources
 - How many chopsticks are enough?
- Eliminate wait while holding
 - Release lock when calling out of module
 - Telephone circuit setup
- Eliminate circular waiting
 - Lock ordering: always acquire locks in a fixed order
 - Example: move file from one directory to another

Semaphores

- Semaphore has a non-negative integer value
 - P() atomically waits for value to become > 0 , then decrements
 - V() atomically increments value (waking up waiter if needed)
- Semaphores are like integers except:
 - Only operations are P and V
 - Operations are atomic
 - If value is 1, two P's will result in value 0 and one waiter
- Semaphores are useful for
 - Unlocked wait: interrupt handler, fork/join

Example:

```
semaphore smp = new semaphore;
```

```
smp.v();
```

smp's value = 1

```
smp.p();
```

try to reduce smp by 1 (acquire lock)

```
ShR = 100;
```

Working with shared resource(s)

```
smp.v();
```

try to increase smp by 1 (release lock)