

UNIVERSITY OF ZAGREB
FACULTY ELECTRICAL ENGINEERING AND COMPUTING

MASTER THESIS nu. 1382

Image Based Phylogenetic Classification

Vinko Kodžoman

Zagreb, lipanj 2017.

Umjesto ove stranice umetnite izvornik Vašeg rada.

Da bi ste uklonili ovu stranicu obrišite naredbu \izvornik.

Thank you...

CONTENTS

1. Introduction	1
2. Research context	2
2.1. Definitions and notation	2
2.1.1. Image representation	2
2.1.2. Gradient	3
2.1.3. Activation functions	3
2.1.4. Metrics	4
2.1.5. Data	5
2.2. Machine learning	5
2.2.1. Supervised and unsupervised learning	8
2.2.2. Artificial neural network (ANN)	8
2.3. Deep learning	11
2.3.1. Convolutional neural Network (CNN / ConvNet)	11
2.3.2. Dropout	14
2.3.3. Data augmentation	15
2.3.4. Backpropagation	15
2.3.5. Vanishing gradient	19
2.3.6. Batch normalization	19
3. Model	21
3.1. Implementation	21
3.1.1. Keras	21
3.1.2. Architecture	23
3.1.3. Pretrained parameters	24
3.1.4. Improving generalization	27

4. Data	28
4.1. ImageNet	28
4.1.1. Dataset	28
4.1.2. Preprocessing	30
4.2. Species	32
5. Results	36
5.1. Hardware and software	36
5.2. Performance	36
5.3. Memory footprint	39
5.4. Future work	39
6. Conclusion	41
Bibliography	42

1. Introduction

Since the dawn of time, people have tried to explain their surroundings. Life is all around us in many forms, and as such people have tried to categorize it by keen observation, both through its visual and genetic features. Today, it is organised into a taxonomic hierarchy of eight major taxonomic ranks. The number of known species on Earth is in the millions and climbing every year. Great numbers of species make it difficult to classify them only based on images and often requires domain knowledge. Therefore, an algorithm with the capability to classify species on the field or from an image using only the image itself could provide great benefits for field researches.

Machine learning allows computers the ability to learn without being explicitly programmed (Samuel). It, together with an increase in available quality data (? , ima, kag) has yielded great results in the area of deep learning - a class of machine learning algorithms. Deep learning algorithm's accuracy scales with the amount of data used by the algorithm, that together with the improvements in hardware - mainly general purpose graphic units (GPUs) - has yielded significant performance gains in the last couple of years. One of the most rapidly advancing field of deep learning is image recognition (Krizhevsky et al.; Simonyan i Zisserman; Szegedy et al.; He et al., a) with new neural network architectures being developed almost at a yearly basis. The performance of deep neural networks on image recognition has achieved results previously thought impossible.

In this thesis I propose a solution for a scalable classification of species from images, based on convolution neural networks and recent modern deep learning techniques.

2. Research context

To fully understand the depth of the image recognition using deep learning, a better understanding of the underlying algorithms and methods in machine learning is needed, as well as fundamental terms and concepts. In the next section, an introduction of basic terms is given, followed by a detailed explanation of fundamental machine learning algorithms.

2.1. Definitions and notation

2.1.1. Image representation

Matrix is a rectangular array of numbers. It is used because some numbers are naturally represented as matrices. Matrix A with m rows and n columns often written as $m \times n$ has $m * n$ elements and is denoted as $A_{m,n}$. Elements are denoted as $a_{i,j}$ where i and j correspond to the row and column number respectively, as shown in 2.1.

$$A_{m,n} = \begin{bmatrix} a_{1,1} & a_{1,2} & \cdots & a_{1,n} \\ a_{2,1} & a_{2,2} & \cdots & a_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m,1} & a_{m,2} & \cdots & a_{m,n} \end{bmatrix} \quad (2.1)$$

Each image is represented as a 3 dimensional matrix. One pixel in the image represent a single element in the matrix and as images have multiple channels (RGB) each channel is a 2 dimensional matrix. Image I denoted as $I_{k,m,n}$ where $k \in [0, 2]$ represent the channel - red, green or blue - and $m, n \in [0, 255]$ represent the pixel intensities in a particular channel as 2 dimensional matrices. Figure 2.1 shows a representation of an image as a 3 dimensional matrix where each pixel is denote as $I_{k,m,n}$.

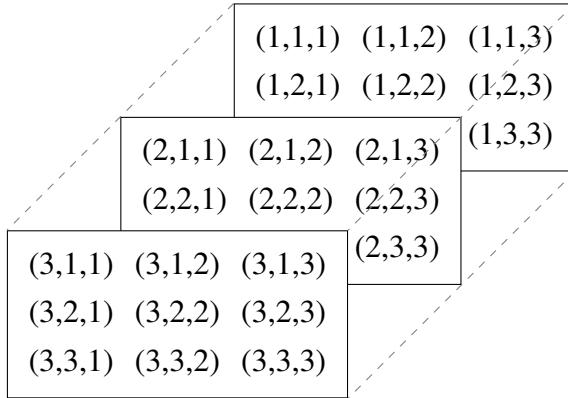


Figure 2.1: RGB image with 9 pixels represented as a 3 dimensional matrix

2.1.2. Gradient

A gradient is a generalization of the derivative in multi-variable space and as such it is represented as a vector. Like the derivative, it represents the slope of the tangent of the graph of the function. Therefore, it points in the direction of the greatest rate of increase of the function. Gradients are widely used in optimization theory as they allow the parameters to shift in a direction which will minimize or maximize a given function. In machine learning the function that is to be minimized is the loss function, which is defined in further chapters in more detail. Gradient of f is denoted as ∇f , where every component of ∇f is a partial derivative of f , denoted as $\frac{\partial f}{\partial x_i} \vec{e}_i$. Notice that gradient components are vectors denoted as \vec{e}_i . Every vector is written with a horizontal arrow above the letter - \vec{a} . The gradient for a n dimensional space is defined in 2.2.

$$\nabla f = \frac{\partial f}{\partial x_1} \vec{e}_1 + \dots + \frac{\partial f}{\partial x_n} \vec{e}_n \quad (2.2)$$

2.1.3. Activation functions

Machine learning models use nonlinear functions to gain more capacity - expressiveness . The most popular nonlinear functions are *sigmoid*, *tanh* and *relu*. All nonlinear functions have to have easy to compute gradients, as they are computed on parameters in order to reduce loss as explained above.

$$\text{sigmoid}(x) = \frac{1}{1 + e^{-x}} \quad (2.3)$$

$$\tanh(x) = \frac{1 - e^{-2x}}{1 + e^{-2}} \quad (2.4)$$

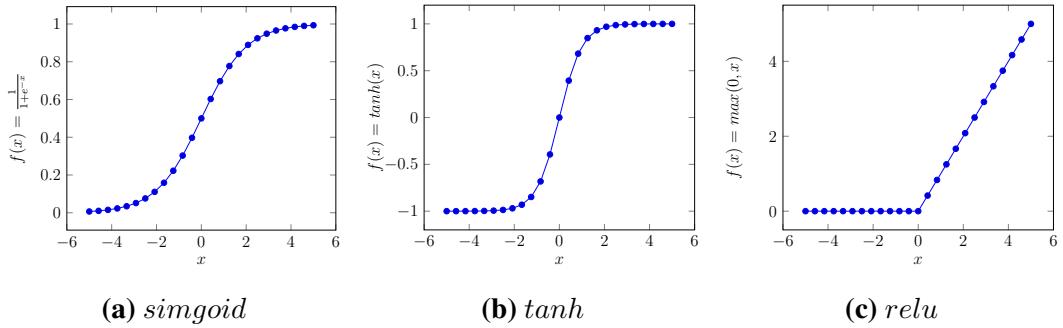


Figure 2.2: Nonlinear activation functions

$$relu(x) = \max(0, x) \quad (2.5)$$

The order of nonlinear functions is given in order of their discoveries. Today *relu* is used the most, since it solves the problem of vanishing gradients for very deep neural networks, this does not apply to all network types. Recurrent neural networks (RNN) are a class of neural networks that often use *tanh* as it is better suited for the particular recurrent architecture.

2.1.4. Metrics

In order to compare different models a set of metrics is employed. Accuracy which gives the accuracy of a model, it is often used on balance datasets (2.6). The problem with unbalanced datasets can be easily explained with a short example. Imagine having 2 classes $K = \{dog, cat\}$ and there are a total of 100 images in the dataset, of which only 2 are dogs. The model, if optimized for accuracy might say the whole dataset is composed of cats which will yield an accuracy of 98%. To solve the previous problem, additional metrics were introduced for the task of classification; precision (2.7), recall (2.8) and F1 score (2.9). Precision - positive predictive value - is defined as a fraction of retrieved instances that are relevant. Recall - sensitivity - is a fraction of relevant instances that are retrieved. In order to represent the performance of a model as a single variable F1 score was introduced, it represents a harmonic mean of recall and precision.

$$Accuracy = \frac{tp + tn}{tp + tn + fp + fn} \quad (2.6)$$

$$Precision = \frac{tp}{tp + fp} \quad (2.7)$$

$$Recall = \frac{tp}{tp + fn} \quad (2.8)$$

Table 2.1: Confusion matrix

	prediciton positive	prediction negative
actual positive	True Positive (TP)	False Positive (FP)
actual negative	False Negative (FN)	True Negative (TN)

$$F1score = 2 * \frac{precision * recall}{precision + recall} \quad (2.9)$$

Classification results are often represented as a confusion matrix, also known as an error matrix. It is way to visualise the performance of a classification model - classifier. To build the classification matrix, conditions of the experiment must be labelled as positive and negative. Using the cats and dogs example from before and making the cats a positive and dogs a negative class creates a 2×2 matrix of actual and predicted values as shown in table 2.1.

2.1.5. Data

The input data of the machine learning algorithm is labelled as D , and it consists of X and y_t , where X is one input data point (an image in our case) and y_t is the true label of that image - species' name. Written formally the whole input dataset is represented as $D = \{X^i, y^i\}_{i=1}^N$, where i is the i -th data point and N in the number of data points. Prediction of the algorithm is labelled as y_p .

The input data set is usually split into two datasets - called the *training* and the *test* dataset. The training dataset is used to optimize the model's parameters while the test data is used to evaluate the model's performance on previously unseen data - the goal of machine learning is the create models that work well on previously unseen data. Sometimes the training dataset is split further into training and *validation* where the validation dataset is used to tune the models *hyperparameters*. Hyperparameters are parameters that do not belong to the model but non the less effect the model's performance. Depth of the neural network is a type of hyperparameter and will be discussed in later chapters in more detail.

2.2. Machine learning

As said in the Introduction chapter, machine learning allows computers the ability to learn. Giving data to a machine learning algorithm - model - allows it to find patterns

within the dataset. The function that maps the input X to y_p is called a *hypotesis* and is denoted as h (2.10). The hypothesis $h(X; \vec{\theta})$ is parametrized with $\vec{\theta}$ - model's parameters.

$$h(X; \vec{\theta}) : X \rightarrow y \quad (2.10)$$

The model is defined as a set of hypotheses H , $h \in H$. Machine learning can be defined as the search of the best hypothesis h from the hypothesis space H - typical optimization problem. The algorithm tries to minimize the empirical error function $E(h|D)$ - loss function. The error indicates the accuracy of the hypothesis and is called empirical because it is computed on D . Therefore, every machine learning algorithm is defined with the model (2.11), error function (2.12) and the optimization method (2.13).

$$H = \{h(X; \vec{\theta})\}_{\theta} \quad (2.11)$$

$$E(h|D) = \frac{1}{N} \sum_{i=1}^N I\{h(X^i) \neq y^i\} \quad (2.12)$$

$$\theta^* = \operatorname{argmin}_{\theta} E(\theta|D) \quad (2.13)$$

Machine learning algorithms are divided into groups depending on the task, the groups are classification, clustering and regression. Each can be represented as a result of $h(X; \vec{\theta})$. Classification hypothesis takes the input X and returns a class k , example of this method would be image classification. Regression hypothesis takes the input X and returns a number, for example predicting house prices.

$$\text{Regression} - h(X; \vec{\theta}) : X \rightarrow y, y \in \mathbb{R} \quad (2.14)$$

$$\text{Classification} - h(X; \vec{\theta}) : X \rightarrow y, y \in K = \{k_0, \dots, k_n\} \quad (2.15)$$

In this chapter it was mentioned that machine learning algorithms are trained by minimizing the empirical loss, and in the subsection 2.1.5 the idea of having a training and test set was introduced. The goal of the machine learning model is to have good generalization - the ability to perform well on never before seen data X . The training set X_{train} is used by the optimization algorithm to tune the model's parameters, while the test set X_{test} is used to evaluate the generalization performance of the model. If the optimization algorithm does not know when to stop it can learn the whole training

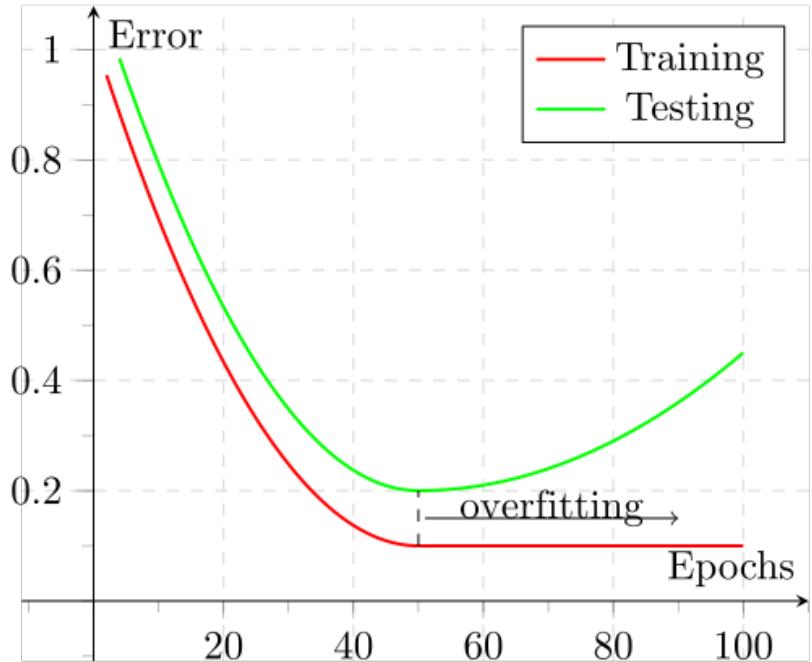


Figure 2.3: Performance of a model with time using training and test set

set and be able to predict every value, this is called overfitting and it is to be avoided. When the model overfits, it learns to predict based on the data points in X_{train} instead of learning the underlying patterns in X_{train} , which in turn leads to poor generalization performance. This is commonly seen in models with high capacity. On the other hand, if the model has low capacity, it will under-perform - work below its potential. That is referred to as underfitting. The goal is to find the optimal spot of the model's performance - between underfitting and overfitting. This behavior can be seen in figure 2.3. The x-axis shows the number of training epochs - each epoch increases the capacity of the model. We see that the training error E_{train} is falling asymptotically towards zero while the generalization performance starts to fall certain a point (50 epochs). The ideal situation for the model is to stop the training when the model starts to overfit, which is not that easy as we will see in the future chapters.

One way to combat overfitting is by early stopping during training. Another, more popular way is to use regularization. Regularization is a technique for increasing the generalization performance of the model by penalizing high parameter values or reducing the complexity of the model. There is a broad set of regularization techniques used in machine learning models. Some of the most popular for artificial neural networks are called dropout (Srivastava et al.) and data augmentation, both of which will be explained in more detail in future chapters (subsections 2.3.2 and 2.3.3). Regularization techniques usually add additional components to the loss function in order to prevent

the model from learning to map X_{train} to y_{train} directly.

2.2.1. Supervised and unsupervised learning

For the model to be trained it needs data D which is defined as $\{X^i, y^i\}_{i=1}^N$. Unfortunately, y is not always available. In such cases, the model can still use only X to infer and that is called unsupervised learning. When the model uses both the data points X and labels y it is called supervised learning. Classification and regression are both cases of supervised learning. Cluster analysis or more commonly called clustering is a type of unsupervised learning. In clustering the model tries to group a set of objects in such a way that objects in the same group are more similar based on a certain metric. The groups are called clusters, hence the name clustering. Some algorithms need the number of clusters to be predefined, while others discern it by themselves. As the purpose of the task is by itself classification, in following chapters supervised learning methods will be explained in more detail.

2.2.2. Artificial neural network (ANN)

One of the most interesting models in machine learning, with a diverse set of variations are artificial neural network (ANN). The computational model based on mathematics for neural networks was first introduced in 1943 by Warren McCulloch and Walter Pitts (McCulloch i Pitts) called threshold logic. Later on in 1951 an influential paper was published by S. C. Kleene on linking neural networks to finite state automata (Kleene). Artificial neural networks are based on a large collection of small computational units called neurons (figure 2.5). The architecture was inspired by axons (2.4) from our brains. The idea is that even though a single neuron does not have the capacity to express complicated levels of abstractions - neurons together can. Neurons are connected in order to transfer signals. If a neuron receives a strong enough signal it becomes activated and propagates the received signal toward its output. Just propagating the signal would not be of benefit, as the single would not transform while passing through the network, therefore non linear activation functions, as explained in subsection 2.1.3, are added to each output of a neuron.

One of the most popular ANN architectures are feed-forward networks (FFN), consisting of fully-connected layers or sometimes also referred to as dense layers. They are called feed-forward because the data X enters at one side of the network (the input layer) and exits at the other end (output layer). Neurons are grouped into layers, and layers make an artificial neural network. The first layer is called an input layer, the

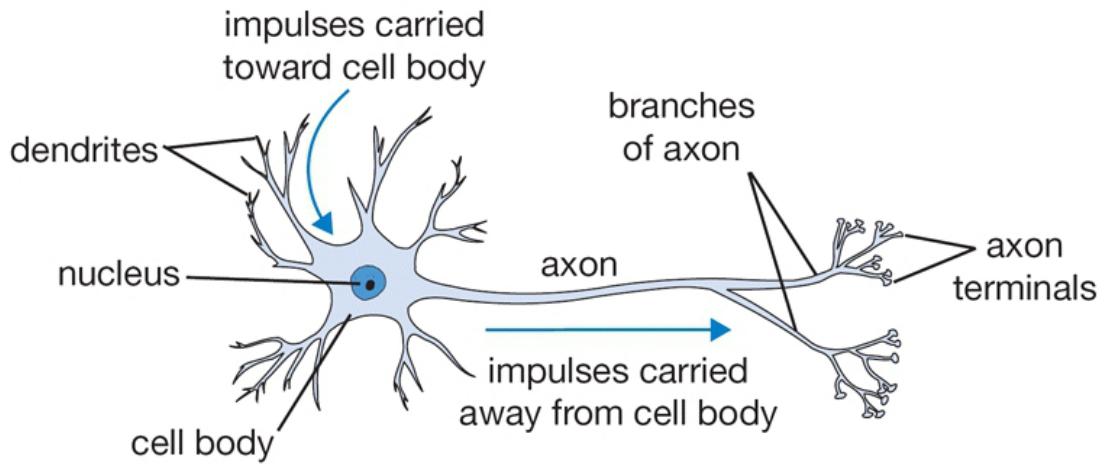


Figure 2.4: Neuron cell in a brain.

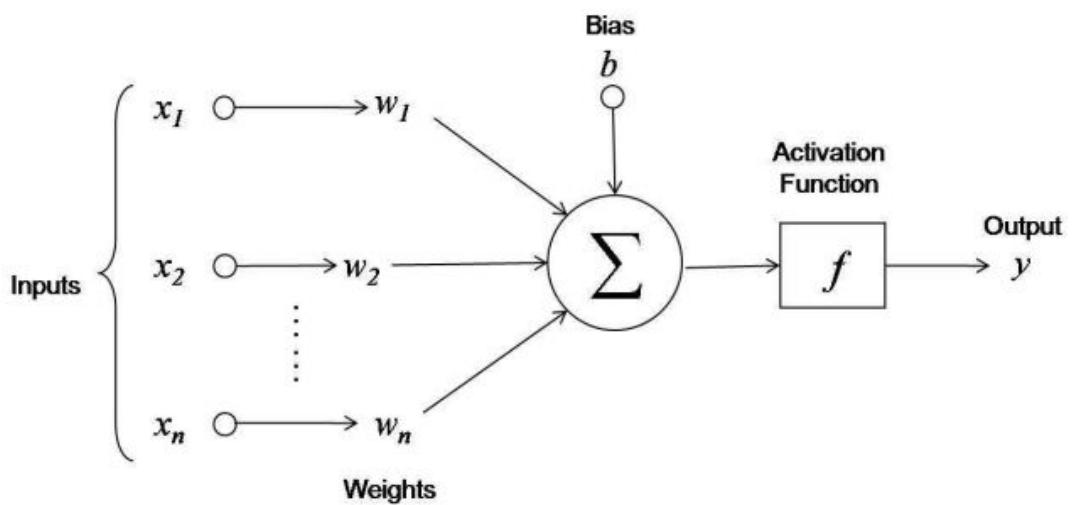


Figure 2.5: Artificial neuron cell.

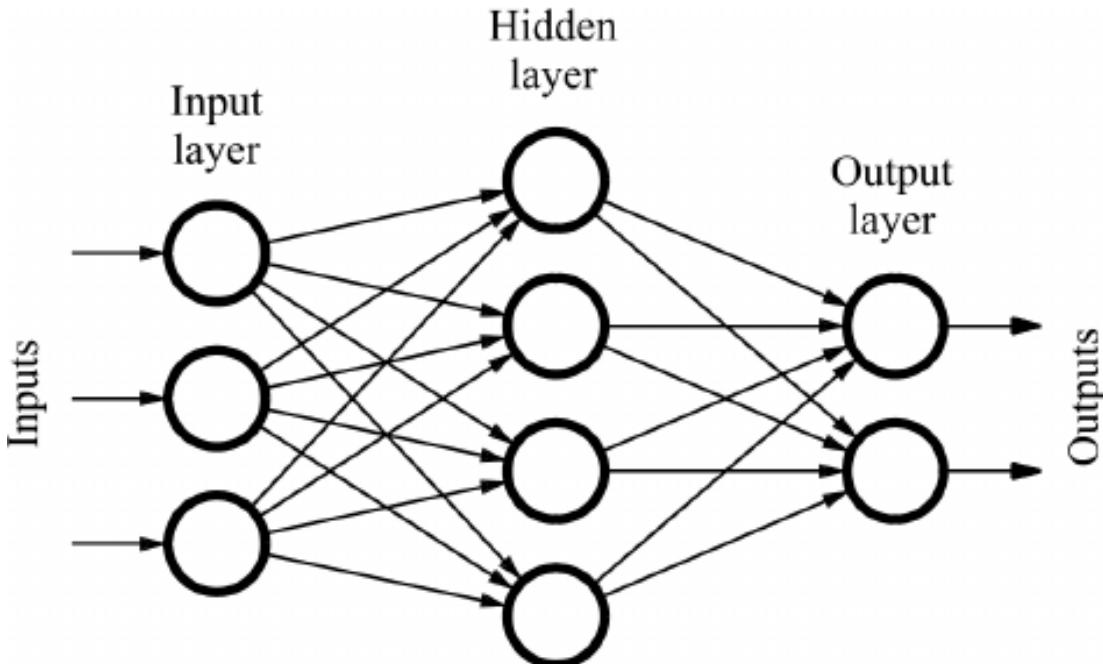


Figure 2.6: Feed-forward neural network

last layer is called the output layer and every layer in between is called a hidden layer. The network is called fully-connected because each neuron in the hidden and output layers are connected with every neuron of the previous layer as seen in figure 2.6. Each neuron consists of connections, biases and activation functions. Each connection has a weight that singles the importance of the connection to the neuron. To get the net output of the neuron we multiply the input vector \vec{x} with the weight vector \vec{w} . As the bias (commonly denoted with w_0 or b), does not have an input of its own, we assigned x_0 to 1 so we can do a vector multiplication (2.16). To get the final output y of the neuron, the activation function f is applied to the *net* output, as shown in (2.17). The computational model of the artificial neuron can be seen in the figure 2.5.

$$net = 1 * w_0 + w_1 * x_1 + \dots + w_n * x_n = \vec{x} * \vec{w} \quad (2.16)$$

$$y = f(net) = f(\vec{x} * \vec{w}) \quad (2.17)$$

Artificial neuron networks have the ability to adjust their capacity to the task. Adjusting the number of neurons in a single layer, the number of hidden layers or the type of activation function used in each neuron affects the capacity of the ANN. Such flexibility also leads to a broad number of architectures, and there is no clear way to determine which one suits best. It is often left to the user to test a broad variety of architectures. Looking at the figure 2.6 we can see that the number of neurons in the

input layer matches the input size, this is true also for the output layer. Therefore, when designing an ANN the size of input and output layers are determined by the task and the number of hidden layers by the user.

2.3. Deep learning

Deep learning is a class - subset - of machine learning algorithms. Even though, deep learning may be connect to using "deep" neural networks - neural networks with many layers - it was created as a subset of machine learning that promised the automation of feature extraction task by using unsupervised learning. Unsupervised feature extraction and feature representation is done through a cascade of many nonlinear layers, each of which contains artificial neurons.

Visual recognition is one of the fields that is most affected by improvements in deep learning. It's difficult and time consuming to build hand crafted features for each visual recognition problem. Deep learning allows the user to use raw images with minimal preprocessing - making it applicable with ease to almost any task.

Deep learning algorithms consist of enormous numbers of parameters (hundreds of millions), therefore training them is computational expensive. Recent breakthroughs in deep learning were driven by advances in hardware - graphics processing unit (GPUs). GPUs are made of a lot of processor unites, making them ideal for highly concurrent tasks. Neural networks are easily trained concurrently because of their composite structure and a special training algorithm called stochastic backpropagation (discussed in detail in subsection 2.3.4), commonly referred to as backprop.

2.3.1. Convolutional neural Network (CNN / ConvNet)

Convolutional neural network is a type of feed-forward network that was inspired by the organization of animal's visual cortex. They are used in recommender systems, natural language processing and image recognition. The architecture was inspired by the works of Hubel and Wiesel in 1950s and 60s (Hubel i Wiesel), their work showed that animal's visual cortex contains neurons that individually responds to small regions in the visual field. Their experiments showed that neighbourhood neurons had similar receptive fields.

The necognitron was introduced in 1980s by Kunihiko Fukushima (Fukushima i Miyake; Fukushima) and served as an inspiration for today's modern convolutional neural network architecture. The necognitron is a hierarchical multi-layered artificial

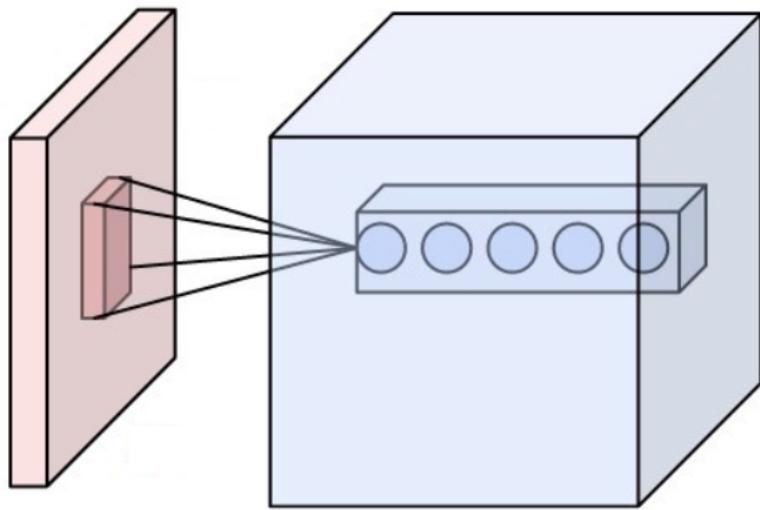


Figure 2.7: 3D structure of a convolutional filter (on the left painted in pink is the input and the blue rectangle with circle is a filter)

neuron network. It was used for handwritten character recognition, which belongs in the domain of image recognition.

Today's modern convolutional neural network architectures, such as: AlexNet, VGG, Inception and ResNet (Krizhevsky et al.; Simonyan i Zisserman; Szegedy et al.; He et al., a) are made mostly of convolution and pooling layers. These architecture have an enormous number of parameters and are trained on powerful GPUs or clusters of servers over a period of days, sometimes even weeks.

The convolution layer is a building block of modern CNNs. The layer's parameters consist of a set of kernels (filters). Each filter has a small receptive field, but extends through the whole depth of the input. During the forward pass of the input through the network, each filter is convolved across the width and height of the input volume. Each time the filter moves to neighbourhood pixels it creates an output pixel (shown in figures 2.7 and 2.8). Each convolution layer consists of multiple filters, each specialized (because of training) to detect different features in the input. Filters will detect diagonal edges, top edges, corners and other different building blocks of images. Each filter will use the features of the previous layer to create more abstract features. For example, a filter in the first layer might capture edges, while filters in deeper layers may use the edge filters to create corner and circle detectors (shown in detail in figure 2.9) (Simonyan i Zisserman).

The second core layer of almost every modern CNN is the pooling layer. It, in contrast to the convolution layer, does not have any parameters. Pooling layer takes the

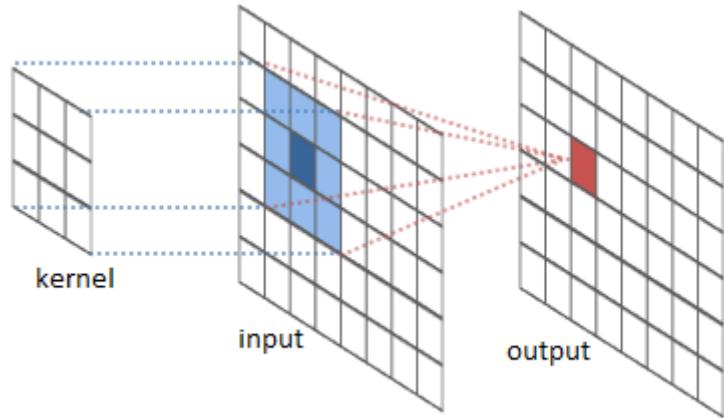


Figure 2.8: A 3×3 kernel (filter) convolving and input to generate an output

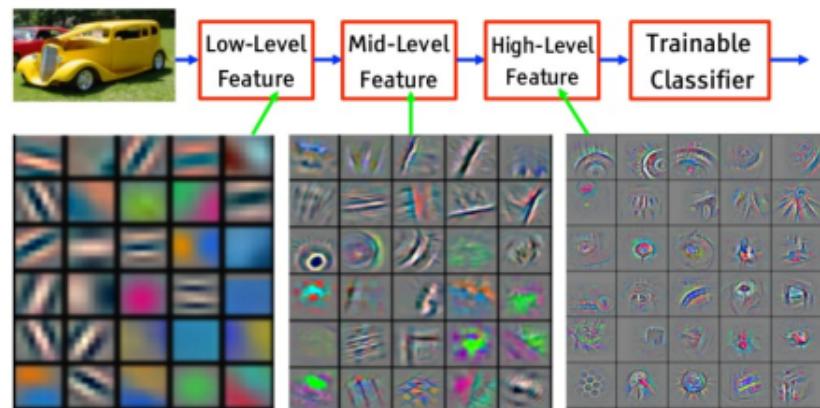


Figure 2.9: Representation of filters through the CNN

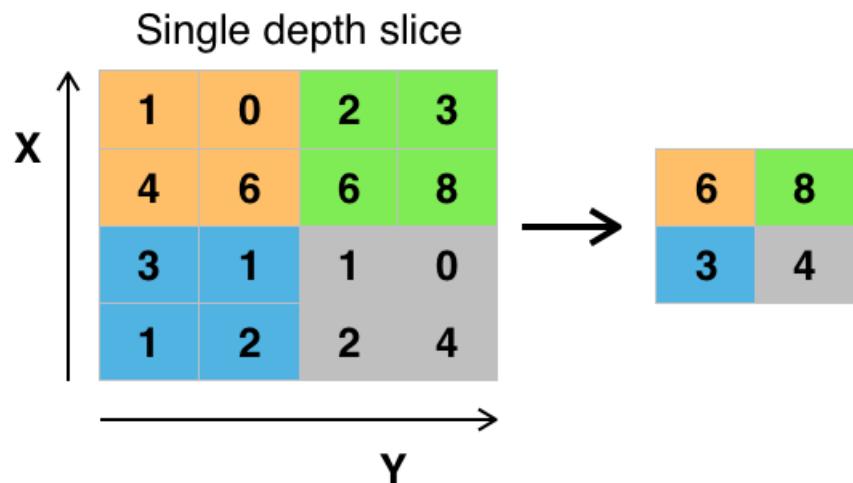


Figure 2.10: Max pooling a 4x4 input to 2x2

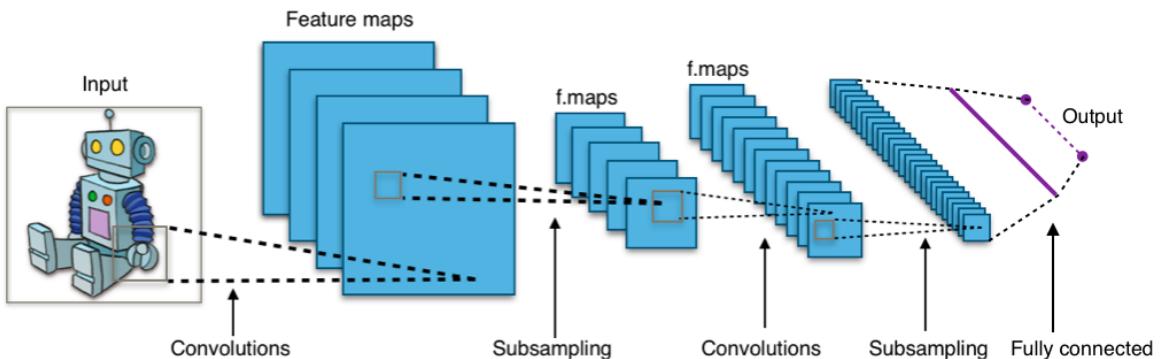


Figure 2.11: Typical modern CNN

input and reduces its dimension. CNNs use max pooling, which maps the input into a reduced output by taking only the max values in the mapping (showed in figure 2.10). Use of pooling layer is a heated topic in today's CNN architectures, unfortunately hardware can't keep up with the depth of the modern architectures without the use of pooling layers.

The typical structure in a CNN network will be a couple of convolution layers followed by a max pool layer. The most common dimension of convolution filters is 3x3, made popular by the huge success of VGG (Simonyan i Zisserman). The typical CNN is shown in figure 2.11.

2.3.2. Dropout

One of the most important techniques in machine learning is regularization (subsection 2.2). The modern approach to regularization is called dropout and was introduced

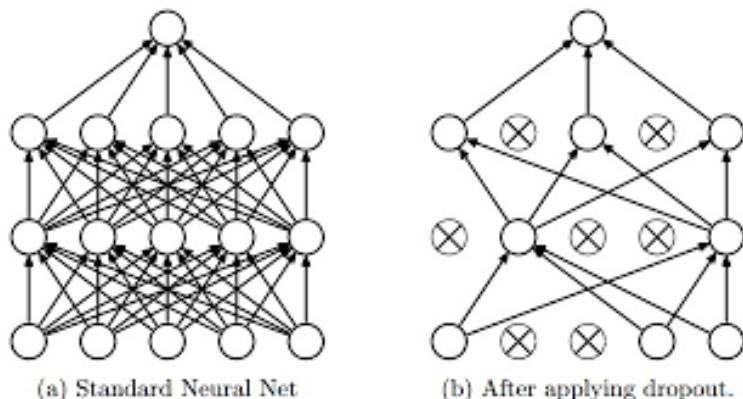


Figure 2.12: An example of the dropout technique

in 2014 (Srivastava et al.). Dropout "drops" (removes) a percent of neurons on every forward pass (figure 2.12). The network cannot count that the same neurons will always be active and, as a result it increases the robustness of the model together with its generalization performance. As in every forward pass, the network has a different set of neurons, this technique can be seen as using multiple variations of the same network on the same problem.

2.3.3. Data augmentation

Another popular regularization technique is called data augmentation. The model usually trains in tens or even hundreds of epochs - a signal epoch is when the training algorithm uses every data point in X_{train} (one pass over X_{train}). Reusing the same data points can lead to overfitting. To prevent that we can augment X_{train} on every epoch. This approach is commonly called data jittering. Usually the data is augmented with rotation, mirroring, cropping, blurring (all these effects can be seen in figures 2.13 and 2.14). Both, dropout and data augmentation is used in almost every state-of-the-art CNN model today. There are some exceptions due to a new technique called batch normalization (Ioffe i Szegedy).

2.3.4. Backpropagation

To be able to train the convolution neural network on huge datasets, an algorithm is needed that is able to train the model with a subset of X_{train} . Fitting the whole X_{train} in the GPU memory is not possible for datasets of serious size. Also, the algorithm should be highly concurrent in order to use the structure of neural network to its advantage. The backward propagation of errors or more commonly called backpropagation

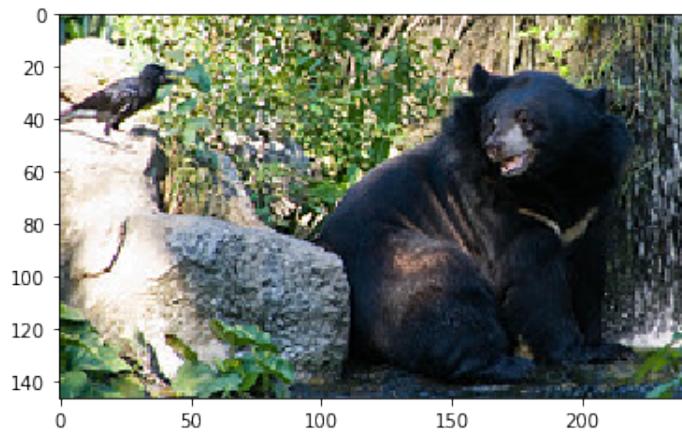


Figure 2.13: An example of the original image $224 \times 224 \times 3$ in X_{train}

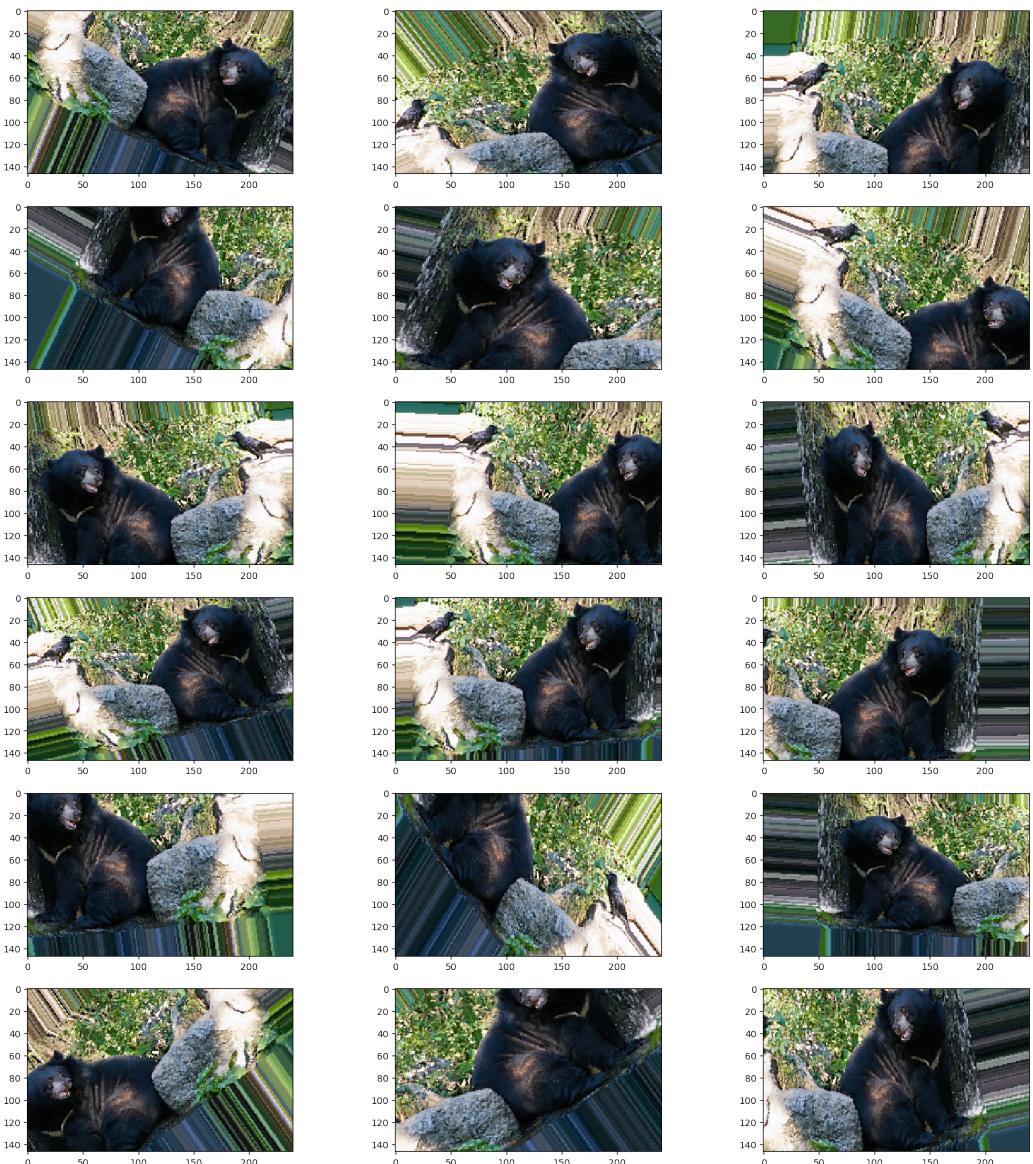


Figure 2.14: Example of data augmented images based on the original image 2.13

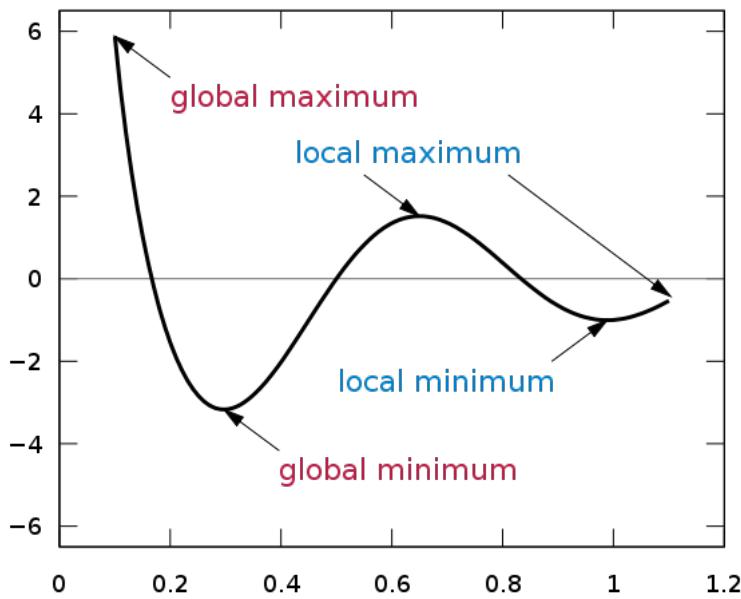


Figure 2.15: An example of local and global maxima and minima in a function

(backprop) used together with gradient descent is the most popular method for training most neural networks.

Gradient descent

Gradient descent is an iterative optimization algorithm. It uses the gradient 2.1.2 of a function to find a point on a domain that minimises or maximises the function's value. Gradient descent does not guarantee to find the global maxima or minima (figure 2.16). There have been several variations of the gradient descent algorithm that deal with the algorithm being stuck in local maxima or minima, the most famous and most widely used for deep learning is Adam (Kingma i Ba).

$$x_{n+1} = x_n - \eta * \nabla f(x_n) \quad (2.18)$$

Gradient descent uses the gradient to move in a way that minimises or maximises the given function (2.18). The amount that is used to move the data point - called the step (η) - is crucial for the convergence of the algorithm. If the step is too big the algorithm might diverge or get stuck into a local minim or maxima, while if the step is too small the algorithm might take too long to converge. Gradient descent, like other optimization algorithms needs to have its parameters properly initialise to achieve better results.

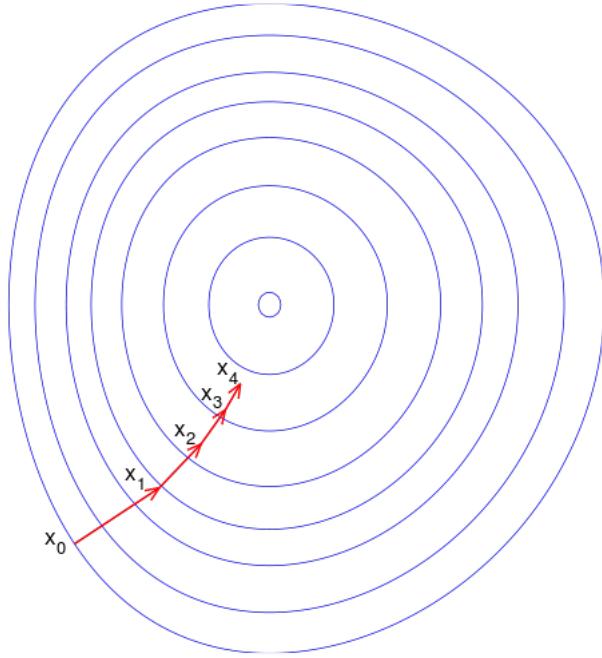


Figure 2.16: An example of gradient descent converging to global minima in a 2D space (2 variable function)

Stochastic gradient descent

The main disadvantage of the gradient descent (2.18) is the need to calculate the gradient on all the dataset for the update state. The gradient has to be calculate on the whole X_{train} . A huge improvement on the basic gradient descent is a the stochastic gradient descent (SGD). It updates the gradient by sampling the dataset in minibatchs, usually the size 64, 128 or 256 data points (depending on GPU memory limitations). After every epoch the the whole training dataset X_{train} is shuffled so that different minibatches will be sampled in the next epoch. The rule of thumb is if you can increase the size of minibatch - do it. The more data points are in the minibatch, the more precise is the gradient approximation, also the model trains faster.

Optimization target

The goal of the gradient descent algorithm is to minimise or maximise a function. In deep learning, when training the model, we want to minimise the loss function $E(h|D)$ by computing its gradient. In classification (2.15) the goal is for the predicted class y_p to match the true class y_t . Neural networks for classification usually have a softmax layer as their last layer. Softmax (2.19) is a normalization exponential func-

tion, which transforms neural network's outputs to probabilities for every class. The sum of the softmax values for all classes is equal to 1.

$$\text{softmax}(\vec{z})_j = \frac{e^{z_j}}{\sum_{k=1}^K e^z_k} \quad \text{for } j = 1, \dots, K \quad (2.19)$$

For the task of classification, the softmax function is the final layer - the output. Using this information allows us to compute the loss function. The loss of a softmax function is called cross-entropy loss and is computed with negative log likelihood (2.20).

$$L(y_t, y_p) = - \sum_{d=1}^D \sum_{k=1}^K y_t^i * \log(y_p^i) \quad (2.20)$$

To use the cross-entropy loss in the backpropagation we have to calculate its gradient. The gradient for cross-entropy loss is shown in 2.21).

$$\nabla L = \vec{y}_t - \vec{y}_p \quad (2.21)$$

2.3.5. Vanishing gradient

One of the problems in using backpropagation is the propagation of loss gradients in the neural networks. As the neural network is composed of smaller units called neurons, its output is also a function composed of linear combinations and non-linear activation functions of previous layers. The backpropagation algorithm calculates the gradient losses from the output to the front layers of the neural network - hence the name backpropagation. The deeper the network, the more information is lost due to gradient decay - low gradient values. The vanished gradient means that the network is not efficiently training and leads to poor performance. One way to combat that is to use special activation functions (Clevert et al.; Xu et al.; He et al., b) or special architectures (He et al., a) that deal with this problem.

2.3.6. Batch normalization

Normalizing input data before it enters the machine learning algorithm usually increases the performance of the algorithm. It is easier for the model to learn its task when all of its inputs will be in a certain range. Input normalization in neural networks

will not yield significant performance improvements because the input for images are normalized by nature in the range of 1 to 255 RGB (2.1.5).

The second problem is the modularity of neural networks. Each layer feeds its outputs into the inputs of the next layer - making any input normalization futile. To combat this a new solution in a form of normalization layer has been introduced in 2015 called batch normalization (Ioffe i Szegedy). It normalizes the data for the whole batch that is used in the forward pass of the backpropagation algorithm (2.3.4). As the authors have showed, using batch normalization improves generalization, in some cases removes the need for dropout (2.3.2) and makes the neural network more robust to initial hyperparameter initialization.

3. Model

To make a scalable species classifier that works with specific hardware requirements I had to make a classifier that yields the best performance and could be trained on a GPU with 2GB of RAM in a reasonable time. In this chapter I introduce my solution based on the convolution neural network architecture ResNet (He et al., a).

3.1. Implementation

There are a plethora of computational frameworks to choose to create a deep learning model; TensorFlow, Caffe2, pyTorch, Keras, Theano, CNTK and many more. I have chosen Keras as it has a high level API for creating neural networks and provides pretrained models (more on this later) which was crucial to train a high accuracy model on a weak GPU. Keras allows the user to choose a backend deep learning framework, at the time of writing this thesis there were two options - TensorFlow and Theano. TensorFlow was chosen as it is newer and has gained a lot of popularity in the past couple of months.

3.1.1. Keras

Keras provides a high level of abstraction when creating neural networks, giving the user a framework for rapid iteration and development. A simple example of the high level API is given below. Using Keras we can create a two layered feed-forward neural network in just a couple of lines of code. The "create_model" function returns a model with two layers the first layer has 32 neurons, the second (also the last) has 10 - this means the classifier has 10 classes (the number of neurons in the last layer should always match the number of classes). The last layer uses the softmax activation function (2.19) to get class probabilities. The model also defines the type of gradient descent algorithm (2.18) - RMSProp, and the loss function categorical crossentropy (2.20). The "train_model" function takes the created model and trains it in 10 epochs

with a minibatch size of 32 (2.3.4).

```
from keras.layers import Dense

def get_data():
    ...
    return X_train, y_train

def create_model():
    model = Sequential()
    model.add(Dense(32, input_shape=(500,)))
    model.add(Dense(10, activation='softmax'))

    model.compile(optimizer='rmsprop',
                  loss='categorical_crossentropy',
                  metrics=['accuracy'])

    return model

def train_model(model, X_train, y_train):
    model.fit(X_train, y_train,
              batch_size=32, epochs=10)

X_train, y_train = get_data()
model = create_model()
train_model(model, X_train, y_train)
```

Listing 3.1: Keras high level API example

TensorFlow is the backend engine of Keras, and it, same as Keras, allows the user to write computational graphs in Python. Python is a high level language that allows the user for rapid development due to its simplicity. The ease of use of Python comes at the cost of performance, usually Python programs are an order of magnitude slower than programs written in Rust, C++ or Java. All computational graph frameworks mentioned above work blazingly fast, yet they use (mostly) Python to define the computational graph (neural network). The key to fast performance for these frameworks is that they are written and run in C++, but they offer a high-level API in Python. For this to work the API usually has a "compile" function that builds the graph and the "train" or "fit" function which starts the process of training the neural network.

Table 3.1: CNN architecture performance comparison on ImageNet dataset

Network	Top-1 error	Top-5 error
ResNet-18	30.24	10.92
ResNet-34	26.70	8.58
ResNet-50	23.85	7.13
ResNet-101	22.63	6.44
ResNet-152	21.69	5.94
Inception v3	22.55	6.44
AlexNet	43.45	20.91
VGG-11	30.98	11.37
VGG-13	30.07	10.75
VGG-16	28.41	9.62
VGG-19	27.62	9.12
SqueezeNet 1.0	41.90	19.58
SqueezeNet 1.1	41.81	19.38
Densenet-121	25.35	7.83
Densenet-169	24.00	7.00
Densenet-201	22.80	6.43
Densenet-161	22.35	6.20

3.1.2. Architecture

There are a lot high performance CNN architectures (He et al., a; Simonyan i Zisserman; Szegedy et al.), they offer different performance levels with varying parameter sizes, as shown in 3.1. To classify species on a 2GB GPU I needed a powerful yet small neural network. ResNet is perfect for the task as it gives competitive performance per parameter ratio, especially the ResNet-50 - which I used with minor modifications. Obviously, one can create its own architecture but doing that removes the performance boost of pretrained models, this is explained in more detail in a future section ??.

ResNet's performance comes from a unique way in which it builds layers. ResNet is short from residual neural network - residual is the input of a layer that is being summed to the layers output, giving input information a path for input to circumvent the layer's transformation. This method allows for very deep neural networks to converge when trained. The residual can pass one layer or more, the architecture in the paper uses two convolution layers between each residual (figures 3.1 and 3.2).

Figure 3.2 shows a direct comparison between VGG and ResNet architectures, and

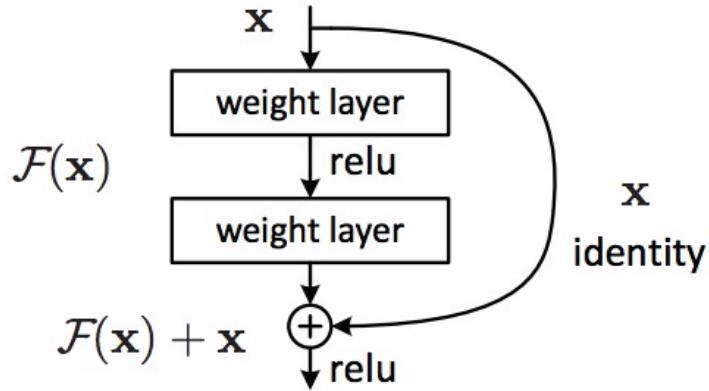


Figure 3.1: The residual layer (module) - the core building block of the ResNet

one of the main benefits of using ResNet on a lower memory GPU is the lack of fully-connected (dense) layers at the end of the network. The fully-connected layers contain the most parameters, and removing them increases the number of convolution layers while lowering the number of parameters.

3.1.3. Pretrained parameters

As seen in figure 2.9, each convolution layer learns a specific level of abstraction. Those abstractions are general building blocks of images (boxes, circles, edges, etc.). This implies that if you train a CNN on one set of images, the parameters in the front convolution layers can be reused on a different task. Using this, higher accuracy can be achieved when working on small datasets. This is called pretraining or fine-tuning the model.

One of the biggest labeled image databases is ImageNet and is the go to dataset for image classification research. Almost every modern CNN architecture was evaluated and trained on it. Downloading the parameters from those trained neural networks and initialising the model with them gives us state of the art performance even on small datasets. The only needed step is to fine-tune the network by training it on our dataset after it was initialised with the pretrained values.

Below is the Keras code for creating a pretrained model from the ImageNet dataset. First, the pretrained model is loaded without the top layer (the top layer is not important as it is a fully-connected layer which was built for 1000 classes, the convolution layers are only needed for this task). The second step is to create a small feed-forward network and append it to the end of the network. Set the pretrained convolution layers

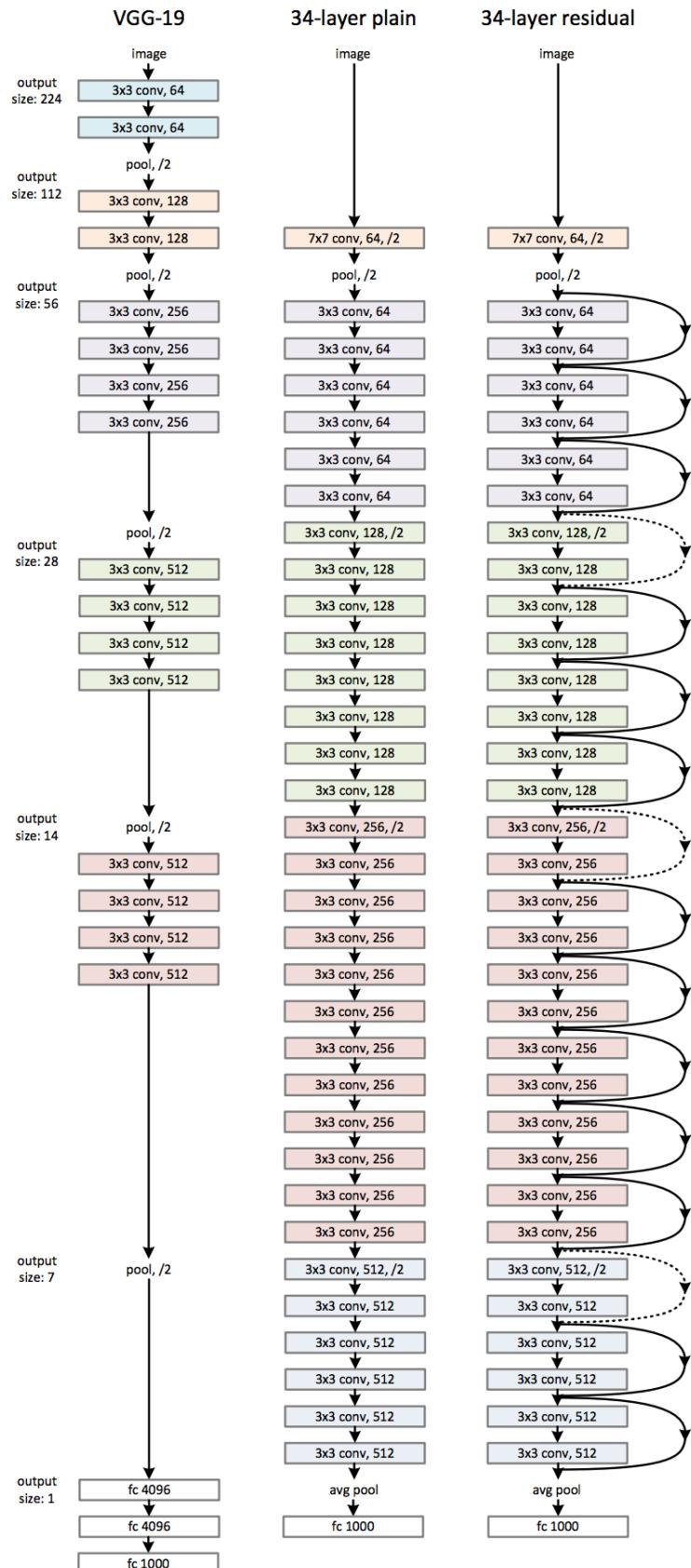


Figure 3.2: A comparison of two popular CNN architectures - ResNet and VGG

to not train and compile to model. The final softmax layer has 15 neurons as the model was tested on a dataset of 15 classes that is 2GB in size. The model was trained with backpropagation using the Adam optimizer (Kingma i Ba).

```
from keras.applications import ResNet50

IMG_DIM = 224
INPUT_TENSOR = Input(shape=(IMG_DIM, IMG_DIM, 3))

base_model = ResNet50(input_tensor=INPUT_TENSOR, include_top=False)

top_model = Sequential()
top_model.add(Flatten(input_shape=base_model.output_shape[1:]))

top_model.add(Dense(32))
top_model.add(BatchNormalization())
top_model.add(Activation('relu'))

top_model.add(Dense(64))
top_model.add(BatchNormalization())
top_model.add(Activation('relu'))

top_model.add(Dense(15, activation='softmax'))

model = Model(input= base_model.input, output= top_model(
    base_model.output))

for layer in model.layers[:-8]:
    layer.trainable = False

model.compile(loss='categorical_crossentropy',
              optimizer='adam',
              metrics=['accuracy'])
```

Listing 3.2: Creating a custom pretrained ResNet on the ImageNet dataset

3.1.4. Improving generalization

To improve the generalization of the model, batch normalization was added after every fully-connected layer in the network. Also, the input images were augmented (2.3.3). The X_{test} had its images only rescaled, while X_{train} and $X_{validation}$ were more aggressively augmented (random zoom, horizontal flip, rescale and sheered), this is show in detail in the figure 2.14.

```
batch_size = 16

train_datagen = ImageDataGenerator(
    rescale=1./255,
    shear_range=0.2,
    zoom_range=0.2,
    horizontal_flip=True)

test_datagen = ImageDataGenerator(rescale=1./255)

train_generator = train_datagen.flow_from_directory(
    '../input/train',
    target_size=(150, 150),
    batch_size=batch_size,
    class_mode='categorical')

validation_generator = test_datagen.flow_from_directory(
    '../input/validation',
    target_size=(150, 150),
    batch_size=batch_size,
    class_mode='categorical')
```

Listing 3.3: Data augmentation of the input dataset (images)

4. Data

Many would argue that the data is the most important part of the machine learning system. If the training data does not represent the real world input of the system in production (as a product) the machine learning algorithm is good as useless. Furthermore, the quantity of data is crucial for deep learning algorithms. Compared to traditional machine learning algorithms, deep learning algorithms gain more performance scale from the dataset size. Therefore, data collection for this problem is a crucial step in the machine learning pipeline and impacts the whole solution.

4.1. ImageNet

One of the largest labeled image datasets freely opened to the public is ImageNet. It contains more than 14 million images, detailed statistics of the dataset can be found in table 4.1. To train the model for species recognition I have used a subset of images found on ImageNet. Using every class that represents a species in ImageNet is not doable on a single 2GB GPU (atleast not in reasonable time), therefore I have manually picked 15 classes which represent the whole problem. The idea is to have some species that are similar to others, for example multiple species of ladybugs while still having a wide variate of species that represent the diverse form of life (typical example of an image from the ImageNet dataset can be seen in figure 4.1).

4.1.1. Dataset

As mentioned in the previous section, the dataset - X - consists of 15 species of images from the ImageNet dataset. Each species has a different number of samples, the distribution of X_{train} can be seen in detail in figure 4.2. We can see that some classes (Mexican bean beetle and Black-footed albatross) have below average number of images, this will yield a lower classification performance for these classes. Also, we can expect the classifier to work best for the classes with above average number of

Table 4.1: ImageNet statistics

High level category	synset (subcategories)	Avg images per synset	Total images
amphibian	94	591	56K
animal	3822	732	2799K
appliance	51	1164	59K
bird	856	949	812K
covering	946	819	774K
device	2385	675	1610K
fabric	262	690	181K
fish	566	494	280K
flower	462	735	339K
food	1495	670	1001K
fruit	309	607	188K
fungus	303	453	137K
furniture	187	1043	195K
geological formation	151	838	127K
invertebrate	728	573	417K
mammal	1138	821	934K
musical instrument	157	891	140K
plant	1666	600	999K
reptile	268	707	190K
sport	166	1207	200K
structure	1239	763	946K
tool	316	551	174K
tree	993	568	564K
utensil	86	912	78K
vegetable	176	764	135K
vehicle	481	778	374K
person	2035	468	952K



Figure 4.1: Typical ImageNet image, class herbivore

Table 4.2: Dataset statistics

Size [GB]	1.6
Class number	15
Type	RGB Images
Train size	14929
Validation size	1649

images like the Ice bear species. A deeper analysis on classification performance for certain classes will be shown in further sections.

The convolution network expects a fixed size input, therefore every image in the dataset - X - has to be scaled to a fixed size. Lowering the dimensions of the input image will result in a performance decrease. Therefore, after experimenting with the hyperparameter I have picked $224 * 224$ image dimensions in order to have the optimal classification and speed performance (this dimension is also the original ResNet input which is convenient).

4.1.2. Preprocessing

Preprocessing of data is an essential part of the machine learning pipeline. As stated in the previous section 4.1.1, every image has to be a fixed size in order to be able to serve as an input into the deep learning model. The fixed size that ended up working best with the pretrained ResNet was $224 * 224$. When scaling images to a fixed size sometimes because of the aspect ratio of the image we need to fill a part of the image with a constant pixel value. Examples of fixed size images that use gray filling is

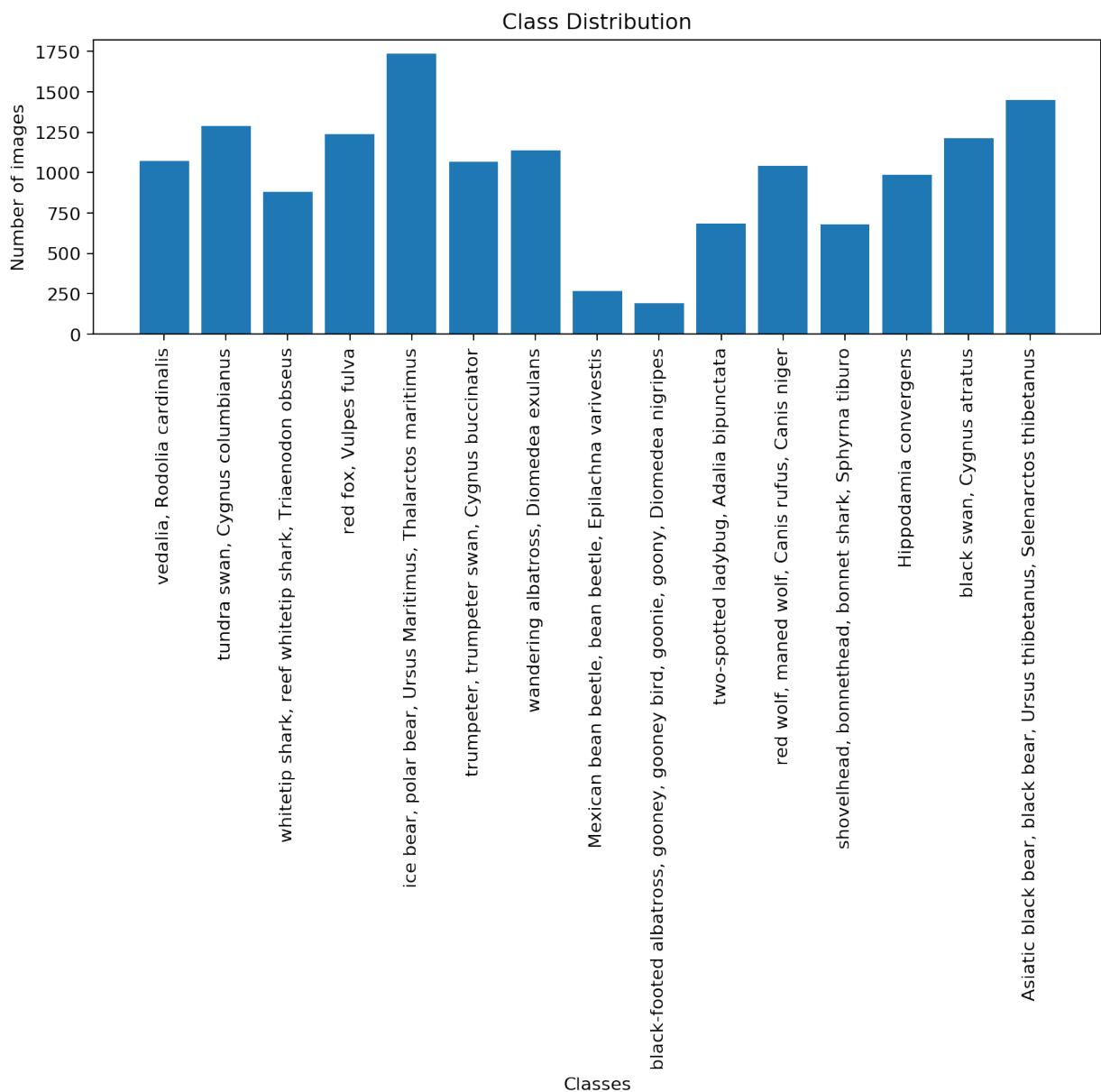


Figure 4.2: Class distribution of training data

Table 4.3: List of all 15 species used to train the model

Species (common name)	Species (Latin name)
whitetip shark, reef whitetip shark	<i>Triaenodon obesus</i>
shovelhead, bonnethead, bonnet shark	<i>Sphyrna tiburo</i>
tundra swan	<i>Cygnus columbianus</i>
trumpeter, trumpeter swan	<i>Cygnus buccinator</i>
black swan	<i>Cygnus atratus</i>
wandering albatross	<i>Diomedea exulans</i>
black-footed albatross, gooney, goonie, goony	<i>Diomedea nigripes</i>
red wolf, maned wolf	<i>Canis rufus, Canis niger</i>
red fox	<i>Vulpes fulva</i>
Asiatic black bear, black bear	<i>Ursus thibetanus</i>
ice bear, polar bear	<i>Ursus Maritimus</i>
two-spotted ladybug	<i>Adalia bipunctata</i>
Mexican bean beetle, bean beetle	<i>Epilachna varivestis</i>
convergent lady beetle	<i>Hippodamia convergens</i>
vedalia	<i>Rodolia cardinalis</i>

shown in figure 4.3.

Also, another important part of the preprocessing is the generation of the validation dataset. For the validation to be efficient the validation dataset has to represent the training dataset. Keeping that in mind, the validation set - $X_{validation}$ - was created by taking 10% of images from each class.

4.2. Species

This chapter shows typical images found in the ImageNet that were used as input for the model. The list of all 15 species used for model training is found in table 4.3.

Some of the species are shown in figure 4.4. Some species seem so similar that expert knowledge is needed (see figures 4.4g and 4.4h). These images can seem almost identical to an untrained eye while being different species, or not even close in the evolutionary tree. Neural networks with enough capacity have the ability to distinguish these traits on a level that is hard to interpret.

There is a lot of noise in the images found in X , animals are usually found in their habitat which makes them hard to be distinguished from their surroundings. This

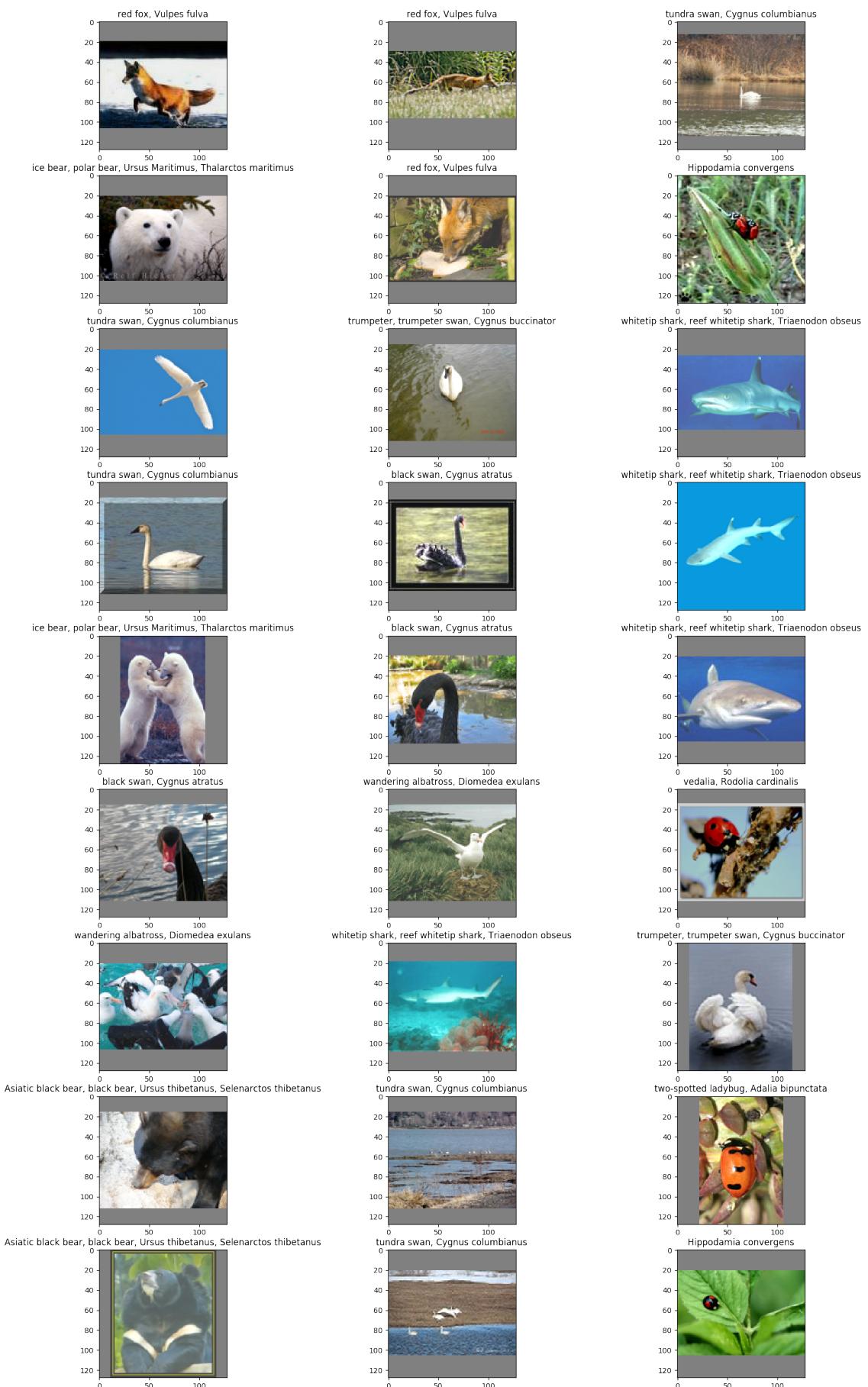


Figure 4.3: Image preprocessing

can be seen in figure 4.4k, the *Canis rufus* is hidden and hard to see because of the color of his fur in regard to his surrounding.

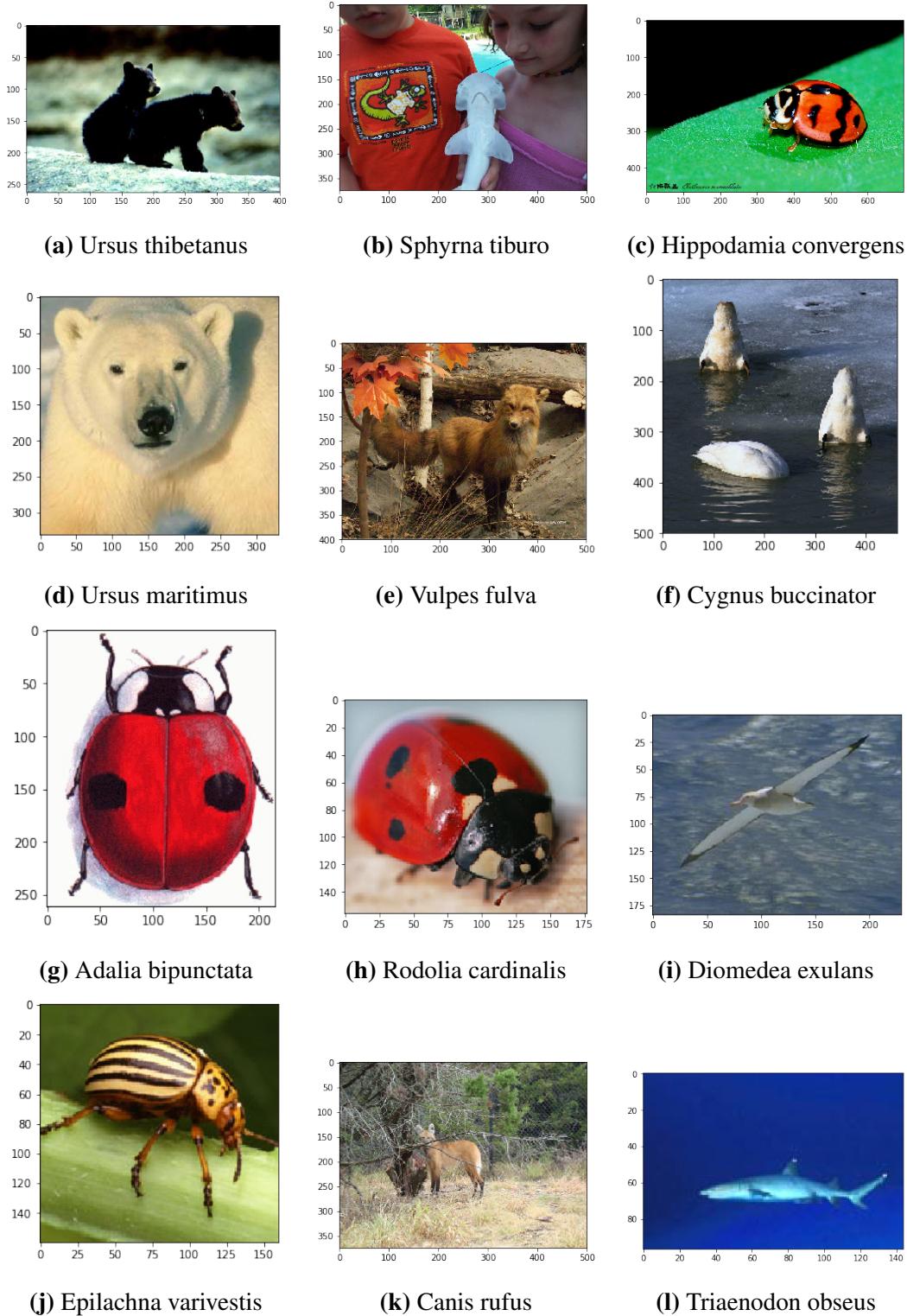


Figure 4.4: Examples of species with a randomly picked image from the input dataset

5. Results

5.1. Hardware and software

The model is implemented in Keras 2.0.4 with Python 3.5. All the results were computed on my personal laptop with the following hardware and software specifications (see tables 5.1 and 5.2). All the software was chosen to support the given hardware specifications and to be able to efficiently build and train a deep learning model, explained in detail in chapter 3. Python is currently the leading language for deep learning, as well as TensorFlow and its higher-level framework Keras. All the programs were run inside Docker containers specifically made for the previously mentioned technology stack.

5.2. Performance

In this section, different metrics and their impact on the performance of the model, as well as a detailed insight into the performance of the model is shown. The model is evaluated on a validation dataset that is created as from 10% images from the whole input dataset. The distribution of the data with more informations can be find the

Table 5.1: Hardware specifications

Hardware component	Specification
RAM	8GB DDR3
Dedicated GPU	Nvidia GTX 650m
Processor	Intel Core i7-3632QM 2.2GHz\Turbo Boost 3.2GHz
Cuda cores	384
GPU Memory Bandwidth	80.0 GB/sec
Graphics Clock	Up to 900 MHz
Memory Interface	DDR3\GDDR5

Table 5.2: Software specifications

Software	Version
Operating System	Ubuntu 16.04 LTS
Python	64-version 3.5
Keras	2.0.4
TensorFlow	1.1

chapter 2.1.5.

Classification performance is shown in table 5.3 with metrics described in detail in section 2.1.4. This table shows a detailed outline of the overall classification performance of the model, but it fails to give insight into the classification performance on a class by class level. To resolve this problem, a confusion matrix is shown in figure 5.1. The confusion matrix shows that certain species are less likely to be confused with certain other species. The confusion matrix has a couple of clusters, the clusters appear to form near similar looking species. All the images of lady bug species look almost the same and it is natural to expect the classifier to have a poorer performance with these species, a potential method for increasing the performance for these species is giving the section 5.4.

This algorithm is designed so it should scale to thousands of species. To do so it must keep its accuracy even with different subsets of the original input dataset. To test this, the model was evaluated for different subsets of species - the result is shown in figure 5.2. The accuracy falls and raises for lower class numbers meaning that the model works well but the number of images per class has the biggest effect to the model performance. Building an ingestion platform for data collection, for example with web scraping, would significantly increase the performance of the model as most of the classes have around 1000 images which is considered relatively small for deep learning.

Quality of the dataset is also crucial for the model's performance as images that do not represent the class will lower the performance and make the training phase difficult. Collecting images that represent a class is difficult, collecting high-quality images is even harder. Big companies usually have teams that annotate and collect data for machine learning algorithms and products.

Table 5.3: Classifier performance

Metrics	Value
Accuracy	0.83505
Precision	0.80856
Recall	0.80211
F1	0.80311

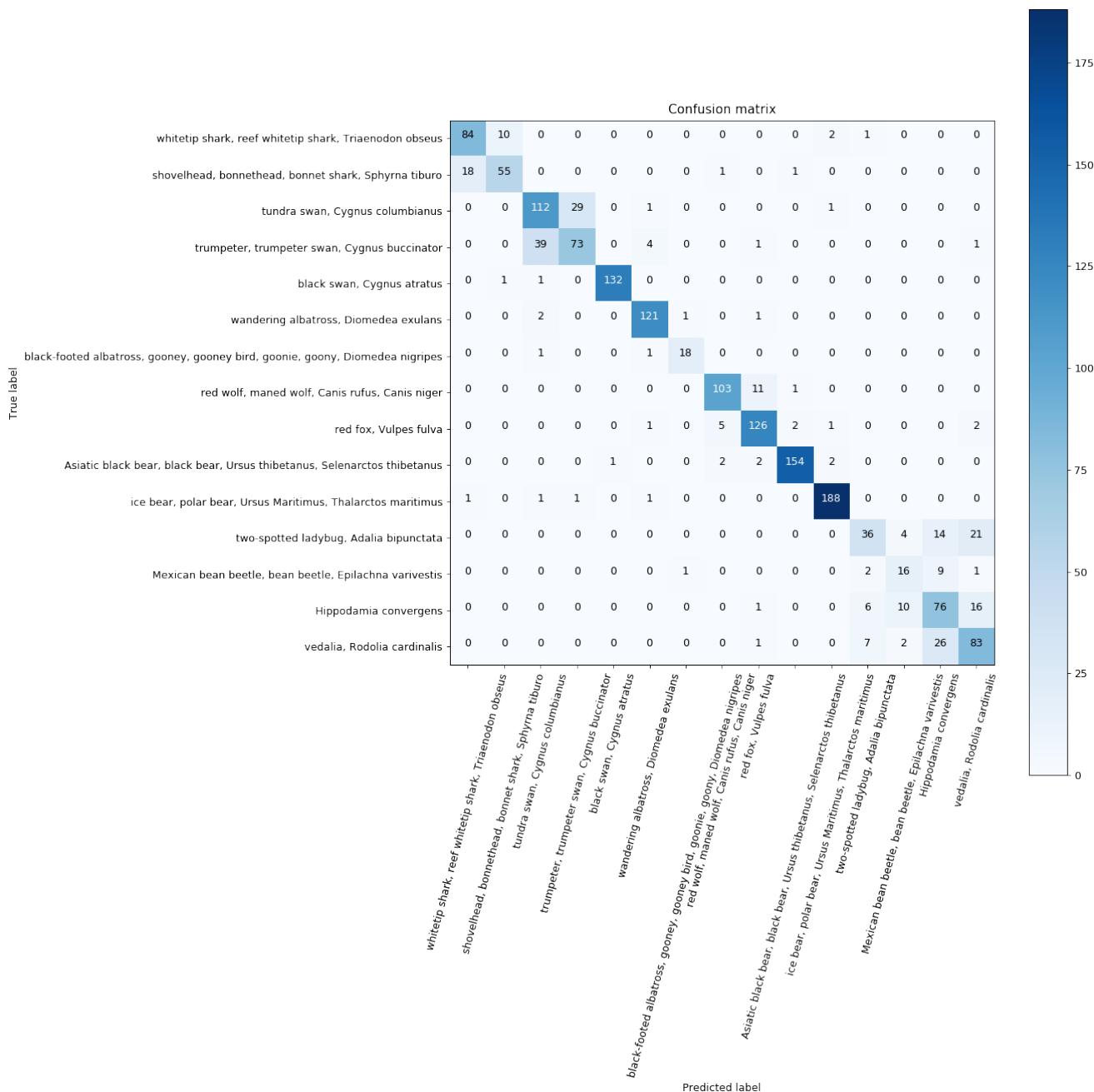


Figure 5.1: Confusion matrix generated on $X_{validation}$

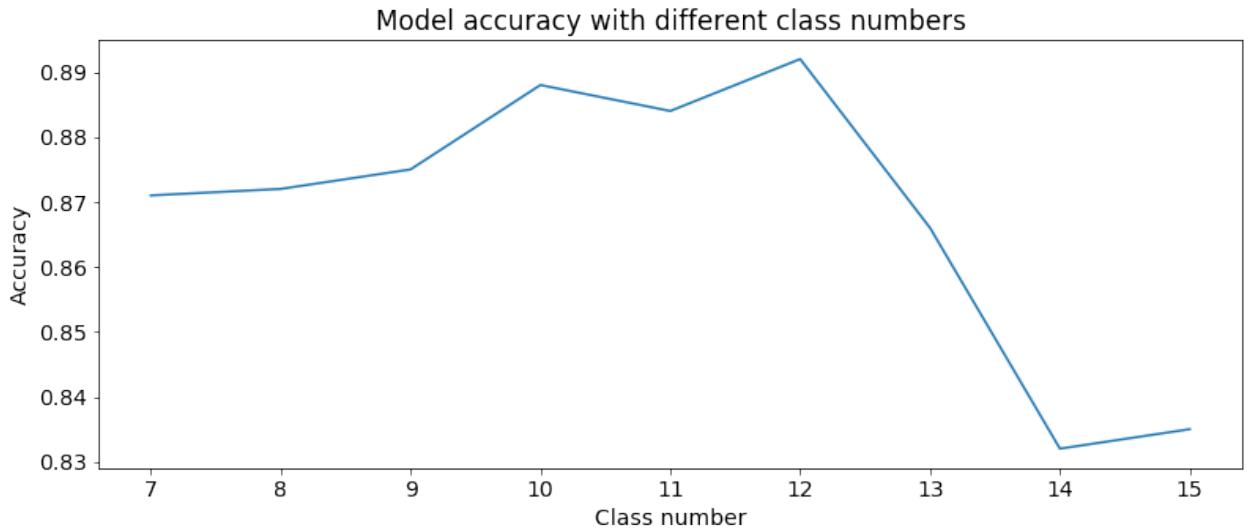


Figure 5.2: Model accuracy compared with different number of classes in $X_{validation}$

Table 5.4: Number of parameters

Parameters	Number
Trainable	23,534,592
Non-trainable	53,120
Total	23,587,712

5.3. Memory footprint

Using a pretrained model allows for faster training as the only parameters that change are the ones in the last layer of the network. Number of trainable and non-trainable parameters can be found in table 5.4. TODO FIX THE NUMBER OF PARAMS IN THIS TABLE

Each parameter is a weight - 32-bit float number.

5.4. Future work

Firstly, getting better hardware and scaling the model to more than a thousand species is crucial for a reasonable product. Currently results give the information that the model is scalable and that the current flaw is in species that look similar.

The confusion matrix is able to give insight into which classes confuse the model. Using this information an algorithm can be made that combats those deficiencies. For example, building separate classifiers for each cluster in confusion matrix could yield

better model performance. This is called a hierarchical convolution neural network (HD-CNN) as is a topic that is being currently research (Yan et al., b,a).

6. Conclusion

Zaključak.

BIBLIOGRAPHY

Imagenet. URL <http://www.image-net.org/>.

Kaggle. URL <https://www.kaggle.com/>.

Djork-Arné Clevert, Thomas Unterthiner, i Sepp Hochreiter. Fast and accurate deep network learning by exponential linear units (elus). URL <https://arxiv.org/abs/1511.07289>.

Kunihiko Fukushima. A hierarchical neural network model for selective attention. U Rolf Eckmiller i Christoph v.d. Malsburg, urednici, *Neural Computers*, stranice 81–90. Springer Berlin Heidelberg. ISBN 978-3-540-50892-2 978-3-642-83740-1. URL http://link.springer.com/10.1007/978-3-642-83740-1_10. DOI: 10.1007/978-3-642-83740-1_10.

Kunihiko Fukushima i Sei Miyake. Neocognitron: A self-organizing neural network model for a mechanism of visual pattern recognition. U *Competition and cooperation in neural nets*, stranice 267–285. Springer. URL http://link.springer.com/chapter/10.1007/978-3-642-46466-9_18.

Kaiming He, Xiangyu Zhang, Shaoqing Ren, i Jian Sun. Deep residual learning for image recognition. U *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, stranice 770–778, a. URL http://www.cv-foundation.org/openaccess/content_cvpr_2016/html/He_Deep_Residual_Learning_CVPR_2016_paper.html.

Kaiming He, Xiangyu Zhang, Shaoqing Ren, i Jian Sun. Delving deep into rectifiers: Surpassing human-level performance on imagenet classification. U *Proceedings of the IEEE international conference on computer vision*, stranice 1026–1034, b. URL http://www.cv-foundation.org/openaccess/content_iccv_2015/html/He_Delving_Deep_into_ICCV_2015_paper.html.

- D. H. Hubel i T. N. Wiesel. Receptive fields and functional architecture of monkey striate cortex. 195(1):215–243. ISSN 0022-3751. URL <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1557912/>.
- Sergey Ioffe i Christian Szegedy. Batch normalization: Accelerating deep network training by reducing internal covariate shift. URL <https://arxiv.org/abs/1502.03167>.
- Diederik Kingma i Jimmy Ba. Adam: A method for stochastic optimization. URL <https://arxiv.org/abs/1412.6980>.
- Stephen Cole Kleene. Representation of events in nerve nets and finite automata. URL <http://oai.dtic.mil/oai/oai?verb=getRecord&metadataPrefix=html&identifier=ADA596138>.
- Alex Krizhevsky, Ilya Sutskever, i Geoffrey E. Hinton. Imagenet classification with deep convolutional neural networks. U *Advances in neural information processing systems*, stranice 1097–1105. URL <http://papers.nips.cc/paper/4824-imagenet-classification-with-deep-convolutional-neural-network>
- Warren S. McCulloch i Walter Pitts. A logical calculus of the ideas immanent in nervous activity. 5(4):115–133. URL <http://link.springer.com/article/10.1007/bf02478259>.
- A. L. Samuel. Some studies in machine learning using the game of checkers. 3(3): 210–229. ISSN 0018-8646. doi: 10.1147/rd.33.0210.
- Karen Simonyan i Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. URL <https://arxiv.org/abs/1409.1556>.
- Nitish Srivastava, Geoffrey E. Hinton, Alex Krizhevsky, Ilya Sutskever, i Ruslan Salakhutdinov. Dropout: a simple way to prevent neural networks from overfitting. 15(1):1929–1958. URL <http://www.jmlr.org/papers/volume15/srivastava14a.old/source/srivastava14a.pdf>.
- Christian Szegedy, Wei Liu, Yangqing Jia, Pierre Sermanet, Scott Reed, Dragomir Anguelov, Dumitru Erhan, Vincent Vanhoucke, i Andrew Rabinovich. Going deeper with convolutions. U *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, stranice 1–9. URL http://www.cv-foundation.org/openaccess/content_cvpr_2015/html/Szegedy_Going_Deeper_With_2015_CVPR_paper.html.

Bing Xu, Naiyan Wang, Tianqi Chen, i Mu Li. Empirical evaluation of rectified activations in convolutional network. URL <https://arxiv.org/abs/1505.00853>.

Zhicheng Yan, Vignesh Jagadeesh, Dennis DeCoste, Wei Di, i Robinson Piramuthu. Hd-cnn: Hierarchical deep convolutional neural network for image classification. U *International Conference on Computer Vision (ICCV)*, svezak 2, a. URL <https://pdfs.semanticscholar.org/1275/125b71b999b7c49bc554c6ec404a764eb299.pdf>.

Zhicheng Yan, Hao Zhang, Robinson Piramuthu, Vignesh Jagadeesh, Dennis DeCoste, Wei Di, i Yizhou Yu. HD-CNN: hierarchical deep convolutional neural networks for large scale visual recognition. U *Proceedings of the IEEE International Conference on Computer Vision*, stranice 2740–2748, b. URL http://www.cv-foundation.org/openaccess/content_iccv_2015/html/Yan_HD-CNN_Hierarchical_Deep_ICCV_2015_paper.html.

Image Based Phylogenetic Classification

Sažetak

Sažetak na hrvatskom jeziku.

Ključne riječi: Ključne riječi, odvojene zarezima.

Title

Abstract

Abstract.

Keywords: Keywords.