

一、(1) 互信息量: 对两个离散的事件集  $X$  和  $Y$ , 事件  $y_i$  的出现给出关于事件  $x$  的信息

量  $I(x_i; y_i) = \log \frac{p(x_i/y_i)}{p(x_i)}$

(2) 平均互信息量: 互信息量  $I(x_i; y_i)$  在集合  $X$  和  $Y$  上的概率加权平均值为  $I(X; Y) = \sum_x \sum_y I(x_i; y_i)$   
 $= \sum_x \sum_y p(xy) \log \frac{p(xy)}{p(x)}$

(3) 性质: ① 非负性: 即  $I(X; Y) \geq 0$

② 互异性(对称性):  $I(X; Y) = I(Y; X)$

③ 极值性:  $I(X; Y) \leq H(X)$

$$I(X; Y) \leq H(Y)$$

④ 与各种熵之间的关系:

$$I(X; Y) = H(X) - H(X/Y)$$

$$I(X; Y) = H(Y) - H(Y/X)$$

$$I(X; Y) = H(X) + H(Y) - H(X, Y)$$

⑤ 凸凹性:  $I(X; Y)$  是关于  $p(x)$  与  $p(y/x)$  的凸凹性。

二、证明:  $\because H(X) = -\sum_x p(x) \log p(x)$

$$H(Y/X) = -\sum_x \sum_y p(xy) \log p(y/x)$$

$$H(Y) = -\sum_y p(y) \log p(y)$$

$$\because \sum_y p(y) = 1, \sum_x p(x) = 1, \sum_x p(x/y) = 1, \sum_x p(xy) = p(y)$$

$$\therefore H(Y/X) = -\sum_x \sum_y p(xy) \log p(y/x)$$

$$\leq -\sum_x \sum_y p(xy) \log \left[ \sum_x p(x) \cdot p(y/x) \right]$$



$$\begin{aligned}
&= -\sum_x \sum_y p(x, y) \log \left( \sum_x p(x, y) \right) \\
&= -\sum_x \sum_y p(y) \cdot p(x/y) \log p(y) \\
&= -\sum_y \left( \sum_x p(x/y) \right) \cdot p(y) \log p(y) \\
&= -\sum_y p(y) \cdot \log p(y) = H(Y) \\
&\text{即 } H(Y/X) \leq H(Y).
\end{aligned}$$

三、证明:  $H(S) = -\sum_{i=1}^n p(s_i) \log p(s_i)$   
 $\bar{L} = \sum_{i=1}^n p(s_i) \cdot l_i$

(1) 先证  $H(S) - \bar{L} \log r \leq 0$

$$\begin{aligned}
\therefore H(S) - \bar{L} \log r &= -\sum_{i=1}^n p(s_i) \log p(s_i) - \sum_{i=1}^n p(s_i) \cdot l_i \\
&= -\sum_{i=1}^n p(s_i) \log p(s_i) + \sum_{i=1}^n p(s_i) \log r^{-l_i} = \sum_{i=1}^n p(s_i) \log \frac{r^{-l_i}}{p(s_i)}
\end{aligned}$$

由詹森不等式可得

$$H(S) - \bar{L} \log r \leq \log \sum_{i=1}^n p(s_i) \cdot \frac{r^{-l_i}}{p(s_i)} = \log \sum_{i=1}^n r^{-l_i}$$

又: 存在唯一可译码的充要条件为  $\sum_{i=1}^n r^{-l_i} \leq 1$ .

$$\therefore \text{可得 } H(S) - \bar{L} \log r \leq \log 1 = 0$$

$$\text{即 } H(S) - \bar{L} \log r \leq 0. \text{ 可得 } \bar{L} \geq \frac{H(S)}{\log r}$$

(2). 再证  $\bar{L} < 1 + \frac{H(S)}{\log r}$

$\therefore l_i \geq -\frac{\log p(s_i)}{\log r} \therefore p(s_i) \geq r^{-l_i}$

$$\text{即 } \sum_{i=1}^n r^{-l_i} \leq \sum_{i=1}^n p(s_i) = 1.$$

$$\text{而 } l_i < \frac{-\log p(s_i)}{\log r} + 1, \text{ 从而有 } \sum_{i=1}^n p(s_i) \cdot l_i < \frac{\sum_{i=1}^n p(s_i) \log p(s_i)}{\log r} + 1$$

$$\therefore \text{有 } \bar{L} < \frac{H(S)}{\log r} + 1. \text{ 综合可得 } \frac{H(S)}{\log r} \leq \bar{L} < \frac{H(S)}{\log r} + 1.$$

四. 解: (1)  $H(S) = -0.6 \log 0.6 - 0.4 \log 0.4 = 0.97$ .

对于  $S_1 \rightarrow 0, S_2 \rightarrow 1$ , 知  $\bar{L}_1 = 1$ .

$\eta = \frac{H(S)}{\bar{L}_1} = 0.971, R = 0.971$

(2)

$S$	$S_1 S_2$	$S_1 S_2$	$\bar{L}_1 S_2 S_1$	$S_2 S_2$
P	0.36	0.24	0.24	0.16
C	0	10	110	111
Li	1	2	3	3

$\therefore \bar{L}_2 = 0.36 \times 1 + 0.24 \times 2 + 0.24 \times 3 + 0.16 \times 3 = 2.06$

$\therefore \bar{L} = \frac{\bar{L}_2}{2} = 1.03$

$\therefore \eta = \frac{H(S)}{\bar{L}} = 0.942, R = 0.942$ .

五. 解: (1)  $X \begin{array}{c|cc} & 0 & 1 \\ \hline P & \frac{1}{2} & \frac{1}{2} \end{array} \quad Y \begin{array}{c|cc} & 0 & 1 \\ \hline P & \frac{1}{2} & \frac{1}{2} \end{array} \quad Z \begin{array}{c|cc} & 0 & 1 \\ \hline P & \frac{7}{8} & \frac{1}{8} \end{array}$

(2)

$X \backslash Z$	0	1
0	$\frac{1}{2}$ 0	
1	$\frac{3}{8}$ $\frac{1}{8}$	

$Y \backslash Z$	0	1
0	$\frac{1}{2}$ 0	
1	$\frac{3}{8}$ $\frac{1}{8}$	

$\therefore H(X) = -\frac{1}{2} \log \frac{1}{2} - \frac{1}{2} \log \frac{1}{2} = 1$ .

$H(Z) = -\frac{7}{8} \log \frac{7}{8} - \frac{1}{8} \log \frac{1}{8} = 0.543$ .

$H(X, Z) = -\frac{1}{2} \log \frac{1}{2} - \frac{3}{8} \log \frac{3}{8} - \frac{1}{8} \log \frac{1}{8} = 1.41$ .

$H(X, Y) = -\frac{1}{8} \times 2 \log \frac{1}{8} - \frac{3}{8} \times 2 \log \frac{3}{8} = 1.82$ .

$H(Y/X) = H(X, Y) - H(X) = 0.82$ .

$H(Z/X) = H(X, Z) - H(X) = 0.41$

$I(X; Z) = H(X) + H(Z) - H(X, Z) = 0.133$ .



27. 六. 解: (1) 信道矩阵为  $P_1 = \begin{bmatrix} \frac{1}{3} & \frac{1}{3} & \frac{1}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} & \frac{1}{3} & \frac{1}{3} \end{bmatrix}$

$L=4$ , 属于对称信道.

$$\therefore C = \log_2 4 - 2 \times \frac{1}{3} \times \log_2 \frac{1}{3} - 2 \times \frac{1}{6} \log_2 \frac{1}{6} = 0.811$$

(2). 信道矩阵  $P_2 = \begin{bmatrix} \frac{1}{2} & \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{2} & \frac{1}{3} \\ \frac{1}{3} & \frac{1}{6} & \frac{1}{2} \end{bmatrix}$

$L=3$ , 属于对称信道

$$C = \log_2 3 - H\left(\frac{1}{2}, \frac{1}{3}, \frac{1}{6}\right)$$

$$= \log_2 3 - \left(\frac{1}{2} \log_2 \frac{1}{2} + \frac{1}{3} \log_2 \frac{1}{3} + \frac{1}{6} \log_2 \frac{1}{6}\right)$$

$$= 0.126$$

七. 解: (1) 

X \ Y	0	1
P	$\frac{3}{4}$	$\frac{1}{4}$

 (2) 

X \ Y	0	1
0	$\frac{1}{2}$	$\frac{1}{4}$
1	$\frac{1}{12}$	$\frac{1}{6}$

Y	0	1
P	$\frac{7}{12}$	$\frac{5}{12}$

$$\therefore H(X) = -\frac{3}{4} \log_2 \frac{3}{4} - \frac{1}{4} \log_2 \frac{1}{4} = 0.811$$

$$H(X, Y) = -\frac{1}{2} \log_2 \frac{1}{2} - \frac{1}{4} \log_2 \frac{1}{4} - \frac{1}{12} \log_2 \frac{1}{12} - \frac{1}{6} \log_2 \frac{1}{6} = 1.729$$

$$H(Y) = -\frac{7}{12} \log_2 \frac{7}{12} - \frac{5}{12} \log_2 \frac{5}{12} = 0.98$$

$$\therefore H(X/Y) = H(X, Y) - H(Y) = 0.749$$

$$H(Y/X) = H(X, Y) - H(X) = 0.918$$

$$I(X; Y) = H(X) + H(Y) - H(X, Y) = 0.082$$

(2). 当输入为等概率分布即 

X	0	1
P	$\frac{1}{2}$	$\frac{1}{2}$

 时

$$\text{可得 } C = I(X; Y) = 0.082$$



评分

1. 解:  $H(S) = H(0.32, 0.22, 0.18, 0.16, 0.08, 0.04)$

(1) 香农编码

$s_i$	$P(s_i)$	累加 $P(s_i)$	$-\log P(s_i)$	$l_i$	$c$
$s_1$	0.32	0	1.64	2	00
$s_2$	0.22	0.32	2.18	3	010
$s_3$	0.18	0.54	2.48	3	100
$s_4$	0.16	0.72	2.64	3	101
$s_5$	0.08	0.88	3.64	4	1100
$s_6$	0.04	0.96	4.64	5	11110

$$\bar{L} = 2 \times 0.32 + 3 \times 0.22 + 3 \times 0.18 + 3 \times 0.16 + 4 \times 0.08 + 5 \times 0.04 = 2.84$$

$$\therefore R = \frac{H(S)}{\bar{L}} = 0.83$$

(2) 霍夫曼编码

$s_i$	$P(s_i)$	第一次组合	第二次组合	第三次组合	第四次组合	$c$	$l_i$
0.32	0.32		0			00	2
0.22	0.22	0	1			01	2
0.18	0.18		0			10	2
0.16	0.16	/		0		110	3
0.08	0.08		/		0	1110	4
0.04	0.04			/	1	1111	4



$$(0.08, 0.04) = 2.35$$

$$\bar{L} = 2 \times 0.32 + 2 \times 0.22 + 2 \times 0.18 + 3 \times 0.16 + 4 \times 0.08 + 4 \times 0.04$$

$$= 2.32$$

$$\bar{R} = \frac{H(S)}{\bar{L}} = 0.987$$

(3) 质量最好的霍夫曼编码

$S_i$	$P(S_i)$	编码过程	C	$l_i$
$S_1$	0.32	→ 0.32 → 0.32	00	2
$S_2$	0.22	→ 0.22 → 0.28	10	2
$S_3$	0.18	→ 0.18 → 0.22	11	2
$S_4$	0.16	→ 0.16 → 0.12	010	3
$S_5$	0.08	→ 0.12	<del>011</del>	<del>3</del>
$S_6$	0.04	→ 0.12	0110	4
			0111	4

$$\bar{L} = 2 \times 0.32 + 2 \times 0.22 + 2 \times 0.18 + 3 \times 0.16 + 4 \times 0.08 + 4 \times 0.04 = 2.3$$

$$\therefore \bar{R} = \frac{H(S)}{\bar{L}} = 0.987$$

(4) 质量最好的三元霍夫曼编码

$S_i$	$P(S_i)$	编码过程	C	$l_i$
$S_1$	0.32	→ 0.32 → 0.44	1	1
$S_2$	0.22	→ 0.22 → 0.32	2	1
$S_3$	0.18	→ 0.18 → 0.22	00	2
$S_4$	0.16	→ 0.16 → 0.12	01	2
$S_5$	0.08	→ 0.12	020	3
$S_6$	0.04	→ 0.12	021	3
$S_7$	0			

$$\bar{L} = 1 \times 0.32 + 1 \times 0.22 + 2 \times 0.18 + 2 \times 0.16 + 3 \times 0.08 + 3 \times 0.04 = 1.58$$

$$\therefore \bar{R} = \frac{H(S)}{\bar{L}} = 1.487$$