

## CHAPTER II

# A Linear Ordering of Braids

In this chapter, we introduce the linear ordering of braids, sometimes called the *Dehornoy ordering*, that is the main subject of this book, and we list its main properties known so far. The construction starts with the notion of a  $\sigma$ -positive braid, and it relies on three basic properties, called **A**, **C**, and **S**, from which the  $\sigma$ -ordering can easily be constructed and investigated. In this chapter, we take Properties **A**, **C**, and **S** for granted, and explore their consequences. The many different proofs of these statements will be found in subsequent chapters.

The chapter is organized as follows. In Section 1, we introduce the  $\sigma$ -ordering and its variant the  $\sigma^\Phi$ -ordering starting from Properties **A** and **C**. In Section 2, we give many examples of the sometimes surprising behaviour of the  $\sigma$ -ordering, and we introduce Property **S**. In Section 3, we develop global properties of the  $\sigma$ -ordering, involving Archimedean property, discreteness, density, and convex subgroups. Finally, in Section 4, we investigate the restriction of the  $\sigma$ -ordering to the monoid  $B_n^+$  of positive braids, showing that this restriction is a well-ordering, and we give an inductive construction of the  $\sigma$ -ordering of  $B_n^+$  from the  $\sigma$ -ordering of  $B_{n-1}^+$ .

**CONVENTION.** In this chapter and everywhere in this book, when we speak of positive braids, we always mean those braids that lie in the monoid  $B_\infty^+$ , *i.e.*, those braids that admit at least one expression by a word containing no letter  $\sigma_i^{-1}$ . Such braids are sometimes called Garside positive braids, but we shall not use that name here. So the word “positive” never refers to any of the specific linear orderings we shall investigate hereafter. For the latter case, we shall introduce specific names for the braids that are larger than 1, typically  $\sigma$ -positive and  $\sigma^\Phi$ -positive in the case of the  $\sigma$ -ordering and of the  $\sigma^\Phi$ -ordering.

### 1. The $\sigma$ -ordering of $B_n$

In this section we give a first definition of the  $\sigma$ -ordering of braids, based on the notion of a  $\sigma$ -positive braid word—many alternative definitions will be given in subsequent chapters. We explain how to construct the  $\sigma$ -ordering from two specific properties of braids called **A** and **C**. We also introduce a useful variant of the  $\sigma$ -ordering, called the  $\sigma^\Phi$ -ordering, which is its image under the flip automorphism. Finally, we briefly discuss the algorithmic issues involving the  $\sigma$ -ordering.

**1.1. Ordering a group.** We start with preliminary remarks about what can be expected here. First, we recall that a *strict ordering* of a set  $\Omega$  is a binary relation  $\prec$  that is antireflexive ( $x \prec x$  never holds) and transitive (the conjunction of  $x \prec y$  and  $y \prec z$  implies  $x \prec z$ ). A strict ordering of  $\Omega$  is called *linear* (or *total*) if, for all  $x, x'$  in  $\Omega$ , one of  $x = x'$ ,  $x \prec x'$ ,  $x' \prec x$  holds. Then, we recall the notion of an orderable group.

DEFINITION 1.1. (i) A *left-invariant ordering*, or *left-ordering*, of a group  $G$  is a strict linear ordering  $\prec$  of  $G$  such that  $g \prec h$  implies  $fg \prec fh$  for all  $f, g, h$  in  $G$ . A group  $G$  is said to be *left-orderable* if there exists at least one left-invariant ordering of  $G$ .

(ii) A *bi-invariant ordering*, or *bi-ordering*, of a group  $G$  is a left-ordering of  $G$  that is also right-invariant, i.e.,  $g \prec h$  implies  $gf \prec hf$  for all  $f, g, h$  in  $G$ . A group  $G$  is said to be *bi-orderable* if there exists at least one bi-invariant ordering of  $G$ .

PROPOSITION 1.2. *For  $n \geq 3$ , the group  $B_n$  is not bi-orderable.*

PROOF. If  $\prec$  is a bi-invariant ordering of a group  $G$ , then  $g \prec h$  implies  $\varphi(g) \prec \varphi(h)$  for each inner automorphism  $\varphi$  of  $G$ . Now, in the case of  $B_n$ , the inner automorphism  $\Phi_n$  associated with Garside's fundamental braid  $\Delta_n$  of (I.4.1) exchanges  $\sigma_i$  and  $\sigma_{n-i}$  for each  $i$ . Hence it is impossible to have  $\sigma_1 \prec \sigma_{n-1}$  and  $\Phi_n(\sigma_1) \prec \Phi_n(\sigma_{n-1})$  simultaneously.  $\square$

Therefore, in the best case, we shall be interested in orders that are invariant under multiplication on one side. Then, both sides play symmetric roles, as an immediate verification gives

LEMMA 1.3. *Assume that  $G$  is a group and  $\prec$  is a left-invariant ordering of  $G$ . Define  $g \succsim h$  to mean  $g^{-1} \prec h^{-1}$ . Then  $\succsim$  is a right-invariant ordering of  $G$ .*

We shall concentrate hereafter on left-invariant orderings. Specifying such an ordering is actually equivalent to specifying a subsemigroup of a certain type, called a positive cone.

DEFINITION 1.4. A subset  $P$  of a group  $G$  is called a *positive cone* on  $G$  if  $P$  is closed under multiplication and  $G \setminus \{1\}$  is the disjoint union of  $P$  and  $P^{-1}$ .

LEMMA 1.5. (i) *Assume that  $\prec$  is a left-invariant ordering of a group  $G$ . Then the set  $P$  of all elements in  $G$  that are larger than 1 is a positive cone on  $G$ , and  $g \prec h$  is equivalent to  $g^{-1}h \in P$ .*

(ii) *Assume that  $P$  is a positive cone on a group  $G$ . Then the relation  $g^{-1}h \in P$  is a left-invariant ordering of  $G$ , and  $P$  is then the set of all elements of  $G$  that are larger than 1.*

The verification is easy. Note that the formula  $hg^{-1} \in P$  would define a right-invariant ordering.

**1.2. The  $\sigma$ -ordering of braids.** We now introduce on  $B_n$  a certain binary relation that will turn out to be a left-invariant ordering. The construction involves particular braid words defined in terms of the letters they contain.

DEFINITION 1.6. A braid word  $w$  is said to be  $\sigma$ -positive (resp.  $\sigma$ -negative) if, among the letters  $\sigma_i^{\pm 1}$  that occur in  $w$ , the one with lowest index occurs positively only, i.e.,  $\sigma_i$  occurs but  $\sigma_i^{-1}$  does not (resp. negatively only, i.e.,  $\sigma_i^{-1}$  occurs but  $\sigma_i$  does not).

For instance,  $\sigma_3\sigma_2\sigma_3^{-1}$  is a  $\sigma$ -positive braid word: the letter with lowest index is  $\sigma_2$  (there is no  $\sigma_1^{\pm 1}$ ), and there is one  $\sigma_2$  but no  $\sigma_2^{-1}$ . By contrast, the word  $\sigma_2^{-1}\sigma_3\sigma_2$ , which is equivalent to  $\sigma_3\sigma_2\sigma_3^{-1}$ , is neither  $\sigma$ -positive nor  $\sigma$ -negative: the letter with lowest index is  $\sigma_2$  again, but, here, both  $\sigma_2$  and  $\sigma_2^{-1}$  appear.

DEFINITION 1.7. For  $\beta, \beta'$  in  $B_n$ , we say that  $\beta <_n \beta'$  is true if  $\beta^{-1}\beta'$  admits an  $n$ -strand representative word that is  $\sigma$ -positive.

EXAMPLE 1.8. Let  $\beta = \sigma_2$  and  $\beta' = \sigma_3\sigma_2$ . Among the 4-strand braid words that represent the quotient  $(\sigma_2)^{-1}(\sigma_3\sigma_2)$ , there is the word  $\sigma_2^{-1}\sigma_3\sigma_2$ , which is neither  $\sigma$ -positive nor  $\sigma$ -negative, but there is also the word  $\sigma_3\sigma_2\sigma_3^{-1}$ —and many others. As the latter word is a 4-strand braid word that is  $\sigma$ -positive,  $\beta <_4 \beta'$  is true.

Similarly, we have

$$(1.1) \quad \sigma_1 >_\infty \sigma_2 >_\infty \sigma_3 >_\infty \dots$$

since, for each  $i$ , the braid word  $\sigma_{i+1}^{-1}\sigma_i$  is  $\sigma$ -positive.

The central property is the following result of [47] (see Remark 1.16) which implies the first part of the theorem mentioned in the Introduction:

PROPOSITION 1.9. (i) For  $2 \leq n \leq \infty$ , the relation  $<_n$  is a left-invariant ordering of  $B_n$ .

(ii) For each  $n$ , the relation  $<_n$  is the restriction of  $<_\infty$  to  $B_n$ .

Owing to (ii) above, we shall drop the subscripts and simply write  $<$  for  $<_n$ . The order  $<$  will be called the  $\sigma$ -ordering of braids, which is coherent with its definition in terms of the generators  $\sigma_i$ .

By definition, the relation  $\beta >_n 1$  is true if and only if  $\beta$  admits at least one  $\sigma$ -positive  $n$ -strand representative word. According to Lemma 1.5, proving Proposition 1.9(i) amounts to proving that the set of all such braids is a positive cone. The latter result is a consequence of the following two statements:

**Property A (Acyclicity).** A  $\sigma$ -positive braid word is not trivial.

**Property C (Comparison).** Every nontrivial braid of  $B_n$  admits an  $n$ -strand representative word that is  $\sigma$ -positive or  $\sigma$ -negative.

PROOF OF PROPOSITION 1.9 FROM PROPERTIES A AND C. (i) Let  $P_n$  be the set of all  $n$ -strand braids that admit a  $\sigma$ -positive  $n$ -strand representative word. We shall prove that  $P_n$  is a positive cone in  $B_n$ . First, the concatenation of two  $\sigma$ -positive  $n$ -strand braid words is a  $\sigma$ -positive  $n$ -strand braid word; hence  $P_n$  is closed under multiplication.

Then, we claim that  $B_n \setminus \{1\}$  is the disjoint union of  $P_n$  and  $P_n^{-1}$ . Indeed, Property A implies  $1 \notin P_n$ , and therefore  $1 \notin P_n^{-1}$  as  $1^{-1} = 1$  holds. So  $P_n \cup P_n^{-1}$  is included in  $B_n \setminus \{1\}$ . Now assume  $\beta \in P_n \cap P_n^{-1}$ . We deduce  $\beta^{-1} \in P_n$ , whence

$$1 = \beta\beta^{-1} \in P_n \cdot P_n \subseteq P_n,$$

which contradicts  $1 \notin P_n$ . So  $P_n$  and  $P_n^{-1}$  must be disjoint. Finally, Property C (for  $B_n$ ) means that  $P_n \cup P_n^{-1}$  covers  $B_n \setminus \{1\}$ .

(ii) Assume  $\beta, \beta' \in B_n$ . Any  $\sigma$ -positive  $n$ -strand braid word representing  $\beta^{-1}\beta'$  *a fortiori* witnesses the relation  $\beta <_\infty \beta'$ , so  $\beta <_n \beta'$  implies  $\beta <_\infty \beta'$ . Conversely, assume  $\beta <_\infty \beta'$ . As  $<_n$  is a linear ordering of  $B_n$ , one of  $\beta <_n \beta'$  or  $\beta \geq_n \beta'$  holds. In the latter case, we would deduce  $\beta \geq_\infty \beta'$ , which contradicts the hypothesis  $\beta <_\infty \beta'$ . So  $\beta <_n \beta'$  is the only possibility.  $\square$

Property A has four different proofs in this text: they can be found on pages 73, 175, 190, and 224. As for Property C, no fewer than eight proofs are given, on pages 60, 89, 116, 148, 164, 190, 201, and 205.

In addition to being invariant under left multiplication, the  $\sigma$ -ordering of braids is invariant under the shift endomorphism, defined as follows.

DEFINITION 1.10. For  $w$  a braid word, the *shifting* of  $w$  is the braid word  $\text{sh}(w)$  obtained by replacing each letter  $\sigma_i$  with  $\sigma_{i+1}$ , and each letter  $\sigma_i^{-1}$  with  $\sigma_{i+1}^{-1}$ .

The explicit form of the braid relations implies that the shift mapping induces an endomorphism of  $B_\infty$ , still denoted  $\text{sh}$  and called the *shift endomorphism*. The same argument guaranteeing that the canonical morphism of  $B_{n-1}$  into  $B_n$  is an embedding shows that the shift endomorphism of  $B_\infty$  is injective.

PROPOSITION 1.11. *For all braids  $\beta, \beta'$ , the relation  $\beta < \beta'$  is equivalent to  $\text{sh}(\beta) < \text{sh}(\beta')$ .*

PROOF. The shifting of a  $\sigma$ -positive braid word is a  $\sigma$ -positive braid word, so  $\beta < \beta'$  implies  $\text{sh}(\beta) < \text{sh}(\beta')$ . Conversely, as  $<$  is a linear ordering, the only possibility when  $\text{sh}(\beta) < \text{sh}(\beta')$  is true is that  $\beta < \beta'$  is true as well, as  $\beta \geq \beta'$  would imply  $\text{sh}(\beta) \geq \text{sh}(\beta')$ .  $\square$

It is straightforward to check that, conversely, the  $\sigma$ -ordering is the only partial ordering on  $B_\infty$  that is invariant under multiplication on the left and under the shift endomorphism, and satisfies for all braids  $\beta, \beta'$  the inequality

$$1 < \text{sh}(\beta) \sigma_1 \text{sh}(\beta').$$

**1.3. Equivalent formulations.** Before proceeding, we introduce derived notions in order to restate Properties **A** and **C** in slightly different forms. First, we can refine the notion of a  $\sigma$ -positive braid word by taking into account the specific index  $i$  that is involved.

DEFINITION 1.12. A braid word is said to be  $\sigma_i$ -*positive* if it contains at least one letter  $\sigma_i$ , but no  $\sigma_i^{-1}$  and no  $\sigma_j^{\pm 1}$  with  $j < i$ . Similarly, it is said to be  $\sigma_i$ -*negative* if it contains at least one  $\sigma_i^{-1}$ , but no  $\sigma_i$  and no  $\sigma_j^{\pm 1}$  with  $j < i$ . It is said to be  $\sigma_i$ -*free* if it contains no  $\sigma_j^{\pm 1}$  with  $j \leq i$ .

So a braid word is  $\sigma$ -positive if and only if it is  $\sigma_i$ -positive for some  $i$ . Note that, for  $i \geq 2$ , a word  $w$  is  $\sigma_i$ -positive if and only if it is  $\text{sh}^{i-1}(w_1)$  for some  $\sigma_1$ -positive word  $w_1$ —we recall that  $\text{sh}$  is the shift mapping of Definition 1.10. Similarly, a braid word  $w$  is  $\sigma_i$ -free if and only if it is  $\text{sh}^i(w_1)$  for some  $w_1$ .

Then Properties **A** and **C** can be expressed in terms of  $\sigma_1$ -positive,  $\sigma_1$ -negative, and  $\sigma_1$ -free words.

PROPOSITION 1.13. *Property **A** is equivalent to:*  
**Property A** (second form). *A  $\sigma_1$ -positive braid word is not trivial.*

PROOF. Every  $\sigma_1$ -positive braid word is  $\sigma$ -positive, so the first form of Property **A** implies the second form.

Conversely, assume the second form of Property **A**. Let  $w$  be a  $\sigma$ -positive word. Then  $w$  is  $\sigma_i$ -positive for some  $i$ . As observed above, this means that we have  $w = \text{sh}^{i-1}(w_1)$  for some  $\sigma_1$ -positive word  $w_1$ . By the second form of Property **A**, the word  $w_1$  is not trivial, *i.e.*, it does not represent the unit braid. As the shift endomorphism of  $B_\infty$  is injective, this implies that  $w$  is not trivial either. So, the first form of Property **A** is satisfied.  $\square$

PROPOSITION 1.14. *Property C is equivalent to:*

**Property C** (second form). *Every braid of  $B_n$  admits an  $n$ -strand representative word that is  $\sigma_1$ -positive,  $\sigma_1$ -negative, or  $\sigma_1$ -free.*

PROOF. A  $\sigma$ -positive braid word is either  $\sigma_1$ -positive or  $\sigma_1$ -free, so the first form of Property C implies the second form.

Conversely, assume the second form of Property C. We prove the first form using induction on  $n \geq 2$ . For  $n = 2$ , the two forms coincide. Assume  $n \geq 3$ . Let  $\beta$  be a nontrivial  $n$ -strand braid. By the second form of Property C, we find an  $n$ -strand braid word  $w$  representing  $\beta$  that is  $\sigma_1$ -positive,  $\sigma_1$ -negative, or  $\sigma_1$ -free. In the first two cases, we are done. Otherwise, let  $w_1 = \text{sh}^{-1}(w)$ , which makes sense as, by hypothesis,  $w$  contains no letter  $\sigma_1^{\pm 1}$ . As the shift endomorphism of  $B_\infty$  is injective, the word  $w_1$  does not represent 1, so the induction hypothesis implies that  $w_1$  is equivalent to some  $(n-1)$ -strand braid word  $w'_1$  that is  $\sigma$ -positive or  $\sigma$ -negative. By construction, the word  $\text{sh}(w'_1)$  represents  $\beta$  and it is  $\sigma$ -positive or  $\sigma$ -negative.  $\square$

On the other hand, it will be often convenient in the sequel to have a name for the braids that admit a  $\sigma$ -positive word representative. So, we introduce the following natural terminology.

DEFINITION 1.15. A braid  $\beta$  is said to be  $\sigma$ -positive inside  $B_n$  (resp.  $\sigma$ -negative,  $\sigma_i$ -positive,  $\sigma_i$ -negative,  $\sigma_i$ -free) if, among all word representatives of  $\beta$ , there is at least one  $n$ -strand braid word that is  $\sigma$ -positive (resp.  $\sigma$ -negative,  $\sigma_i$ -positive,  $\sigma_i$ -negative,  $\sigma_i$ -free).

We insist that, in Definition 1.15, we only demand that there exists *at least one* word representative with the considered property. So, for instance, the braid  $\sigma_2^{-1}\sigma_3\sigma_2$  is  $\sigma_2$ -positive since, among its many word representatives, there is one, namely  $\sigma_3\sigma_2\sigma_3^{-1}$ , that is  $\sigma_2$ -positive—there are many more:  $\sigma_3\sigma_2\sigma_3^{-1}\sigma_3\sigma_3^{-1}$  is another  $\sigma_2$ -positive 4-strand braid word that represents the braid  $\sigma_2^{-1}\sigma_3\sigma_2$ .

With this terminology,  $\beta <_n \beta'$  is equivalent to  $\beta^{-1}\beta'$  being  $\sigma$ -positive inside  $B_n$ . Similarly, Property A means that a  $\sigma$ -positive braid is not trivial, and Property C that every nontrivial braid of  $B_n$  is  $\sigma$ -positive or  $\sigma$ -negative inside  $B_n$ .

REMARK 1.16. By Proposition 1.9(ii), a braid  $\beta$  of  $B_n$  satisfies  $\beta >_n 1$  if and only if it satisfies  $\beta >_\infty 1$ , hence  $\beta$  is  $\sigma$ -positive inside  $B_n$  if and only if it is  $\sigma$ -positive inside  $B_\infty$ . In other words, if an  $n$ -strand braid admits a word representative that is  $\sigma$ -positive, then it admits a word representative that is  $\sigma$ -positive and is an  $n$ -strand braid word, an *a priori* stronger property. Building on this result, we shall often drop the mention “inside  $B_n$ ”, exactly as when we write  $<$  for  $<_n$ . However, a careful distinction has to be made when proving Property C. It can be mentioned that the original argument of [47] only leads to a proof of Property C in  $B_\infty$ : this is enough to order every braid group  $B_n$ , but not to deduce Property C in  $B_n$ ; see Chapter IV.

**1.4. The  $\sigma^\Phi$ -ordering of braids.** If  $\prec$  is an ordering of a group  $G$  and  $\varphi$  is an automorphism of  $G$ , then the relation  $\varphi(g) \prec \varphi(h)$  defines a new ordering of  $G$  with the same invariance properties as  $\prec$ . In the case of  $B_n$ , the flip automorphism, *i.e.*, the inner automorphism  $\Phi_n$  associated with the braid  $\Delta_n$ , plays an important role, and it is natural to introduce the image of the  $\sigma$ -ordering under  $\Phi_n$ , *i.e.*, the flipped version of the  $\sigma$ -ordering. As will be seen in Section 4, the new ordering so

obtained has some nice properties not shared by the original version, particularly in terms of avoiding the infinite descending sequence of (1.1).

We recall from Lemma I.4.4 that  $\Phi_n$  exchanges  $\sigma_i$  and  $\sigma_{n-i}$  for  $1 \leq i < n$ , thus corresponding to a symmetry in the associated braid diagrams.

DEFINITION 1.17. For  $2 \leq n < \infty$  and  $\beta, \beta'$  in  $B_n$ , we declare that  $\beta <_n^\Phi \beta'$  is true if we have  $\Phi_n(\beta) < \Phi_n(\beta')$ .

PROPOSITION 1.18. *The relation  $<_n^\Phi$  is a left-invariant ordering of  $B_n$ . Moreover, for all  $\beta, \beta'$  in  $B_n$ , the relations  $\beta <_n^\Phi \beta'$  and  $\beta <_{n+1}^\Phi \beta'$  are equivalent.*

PROOF. The first part is clear as  $\Phi_n$  is an automorphism of  $B_n$ .

Assume  $\beta, \beta' \in B_n$  and  $\beta <_n^\Phi \beta'$ . By definition, we have  $\Phi_n(\beta) < \Phi_n(\beta')$ , hence  $\text{sh}(\Phi_n(\beta)) < \text{sh}(\Phi_n(\beta'))$  by Proposition 1.11. By construction, we have

$$\Phi_{n+1}(\beta) = \text{sh}(\Phi_n(\beta)) \quad \text{and} \quad \Phi_{n+1}(\beta') = \text{sh}(\Phi_n(\beta')),$$

so  $\beta <_{n+1}^\Phi \beta'$  follows. As  $<_n^\Phi$  is a linear ordering, this is enough to conclude that  $<_n^\Phi$  coincides with the restriction of  $<_{n+1}^\Phi$  to  $B_n$ .  $\square$

Owing to Proposition 1.18, we shall drop the subscripts and simply write  $<^\Phi$  for the ordering of  $B_\infty$  whose restriction to  $B_n$  is  $<_n^\Phi$ . For instance, we have

$$1 <^\Phi \sigma_1 <^\Phi \sigma_2 <^\Phi \dots$$

The flipped order  $<^\Phi$  is easily described in terms of word representatives.

DEFINITION 1.19. (i) A braid word  $w$  is said to be  $\sigma^\Phi$ -positive (resp.  $\sigma^\Phi$ -negative) if, among the letters  $\sigma_i^{\pm 1}$  that occur in  $w$ , the one with *highest* index occurs positively only (resp. negatively only).

(ii) A braid  $\beta$  is said to be  $\sigma^\Phi$ -positive (resp.  $\sigma^\Phi$ -negative) if it admits at least one braid word representative that is  $\sigma^\Phi$ -positive (resp.  $\sigma^\Phi$ -negative).

The only difference between a  $\sigma$ -positive and a  $\sigma^\Phi$ -positive braid word is that, in the former case, we consider the letter  $\sigma_i$  with lowest index, while, in the latter case, we consider the letter  $\sigma_i$  with highest index.

PROPOSITION 1.20. *For all braids  $\beta, \beta'$ , the relation  $\beta <^\Phi \beta'$  holds if and only if  $\beta^{-1}\beta'$  is  $\sigma^\Phi$ -positive.*

PROOF. By construction, an  $n$ -strand braid word  $w$  is  $\sigma^\Phi$ -positive if and only if the  $n$ -strand braid word  $\Phi_n(w)$  is  $\sigma$ -positive.  $\square$

Thus the flipped order  $<^\Phi$  is the counterpart of the  $\sigma$ -order  $<$  in which the highest index replaces the lowest index, and  $\sigma^\Phi$ -positive words replace  $\sigma$ -positive words. It is therefore natural to call it the  $\sigma^\Phi$ -ordering of braids.

As the flip  $\Phi_n$  is an automorphism of the group  $B_n$ , the properties of  $<$  and  $<^\Phi$  are similar. However, there are at least two reasons for considering both  $<$  and  $<^\Phi$ . First, there is no flip on  $B_\infty$ , and the two orderings differ radically on  $B_\infty$ : (1.1) shows that  $(B_\infty^+, <)$  has infinite descending sequences, while we shall see in Section 4.1 below that  $(B_\infty^+, <^\Phi)$  is a well-ordering, and, therefore, it has no infinite descending chain. The second reason is that, in subsequent chapters, certain approaches demand that one specific version be used: the original version  $<$  in Chapter IV, the flipped version  $<^\Phi$  in Chapters VII and VIII.

**1.5. Algorithmic aspects.** The  $\sigma$ -ordering of braids is a complicated object. However, it is completely effective in that there exist efficient comparison algorithms. In this section (and everywhere in the sequel) we denote by  $\overline{w}$  the braid represented by a braid word  $w$ —but, as usual, we use  $\sigma_i$  both for the letter and for the braid it represents.

**PROPOSITION 1.21.** *For each  $n$ , the  $\sigma$ -ordering of  $B_n$  has at most a quadratic complexity: there exists an algorithm that, starting with two  $n$ -strand braid words  $w, w'$  of length  $\ell$ , runs in time  $O(\ell^2)$  and decides whether  $\overline{w} < \overline{w'}$  holds.*

At this early stage, we cannot yet describe the algorithms witnessing to the above upper complexity bound. It turns out that most of the proofs of Property **C** alluded to in Section 1.2 provide an effective comparison algorithm. Some of them are quite inefficient—typically the one of Chapter IV—but several lead to a quadratic complexity. This is particularly the case with those based on the  $\Phi$ -normal form of Chapter VII and on the  $\phi$ -normal form of Chapter VIII: in both cases, the normal form can be computed in quadratic time, and, then, the comparison itself can be made in linear time. This is also the case with the lamination method of Chapter XII: in this case, the coordinates of a braid can be computed in quadratic time, and the comparison (with the unit braid) can then be made in (sub)linear time. Similar results are conjectured in the case of the handle reduction method of Chapter V and the Tetris algorithm of Chapter XI—see Chapter XVI for further discussion.

Let us mention that, for a convenient definition for the RAM complexity of the input braids, the algorithm of Chapter XII even leads to a complexity upper bound which is quadratic independently of the braid index  $n$ , *i.e.*, there exists an absolute constant  $C$  so that the running time for complexity  $\ell$  input braids in  $B_\infty$  is bounded above by  $C \cdot \ell^2$ .

We also point out that every comparison algorithm for the  $\sigma$ -ordering of braids automatically gives a solution to the braid word problem, *i.e.*, to the braid isotopy problem: indeed, we have  $\overline{w} = \overline{w'}$  if and only if we have neither  $\overline{w} < \overline{w'}$  nor  $\overline{w} > \overline{w'}$ . It also leads to a comparison for the flipped version  $<^\Phi$  of the  $\sigma$ -ordering, as, if  $w, w'$  are  $n$ -strand braid words,  $\overline{w} <^\Phi \overline{w'}$  is equivalent to  $\overline{\Phi_n(w)} < \overline{\Phi_n(w')}$ , and the flip automorphism  $\Phi_n$  can be computed in linear time.

Another related question is that of effectively finding  $\sigma$ -positive representative words, *i.e.*, starting with a braid word  $w$ , finding an equivalent braid word  $w'$  that is  $\sigma$ -positive,  $\sigma$ -negative, or empty. Property **C** asserts that this is always possible. Every algorithmic solution to that problem gives a comparison algorithm as, by Property **A**,  $w'$  being  $\sigma$ -positive implies  $\overline{w} = \overline{w'} > 1$ , but, conversely, deciding  $\overline{w} > 1$  does not require that we exhibit a  $\sigma$ -positive witness.

**PROPOSITION 1.22.** *The  $\sigma$ -positive representative problem has at most an exponential complexity: there exist a polynomial  $P(n, \ell)$  and an algorithm that, starting with an  $n$ -strand braid word  $w$  of length  $\ell$ , runs in time  $2^{P(n, \ell)}$  and returns a braid word of length bounded by  $2^{P(n, \ell)}$  that is equivalent to  $w$  and is  $\sigma$ -positive,  $\sigma$ -negative, or empty.*

The handle reduction approach of Chapter V gives the precise form of such a polynomial:  $P(n, \ell) = n^4 \ell$ . From the transmission-relaxation approach of Chapter XI, an asymptotically better estimate can be extracted:  $P(n, \ell) = \text{const} \cdot n \ell$ . However, the algorithm outlined in Chapter XI is just polynomial, but the output

of the algorithm is not a braid word in the standard sense but a zipped word, this meaning that, sometimes, instead of writing one and the same subword many times, the algorithm outputs the subword once and specifies the number of repetitions. This allows us to make the size of the output bounded above by a polynomial in  $n$  and  $\ell$  though the length of the word after unzipping is not known to be of polynomial size so far.

It is likely that the approach of Chapter VIII leads to much better results: very recently, J. Fromentin announced a new algorithm that solves the  $\sigma$ -positive representative problem with a quadratic time complexity and a linear space complexity, without zipping the output. We refer to Chapter XVI for further discussion.

## 2. Local properties of the $\sigma$ -ordering

We shall now list—with or without proof—some properties of the  $\sigma$ -ordering of braids. In this section, we consider properties that can be called local in that they involve finitely many braids at a time.

**2.1. Curious examples.** We start with a series of examples, including some rather surprising ones, that illustrate the complexity of the  $\sigma$ -ordering. The reader should note that all examples below live in  $B_3$ . This shows that, despite its simple definition, even the  $\sigma$ -ordering of 3-strand braids is a quite complicated object.

The first example shows that the  $\sigma$ -ordering is not invariant under multiplication on the right, as was already known from Proposition 1.2.

**EXAMPLE 2.1.** Let  $\beta = \sigma_1\sigma_2^{-1}$ , and  $\gamma = \sigma_1\sigma_2\sigma_1$ , *i.e.*,  $\gamma = \Delta_3$ . The word  $\sigma_1\sigma_2^{-1}$  contains one occurrence of  $\sigma_1$  and no occurrence of  $\sigma_1^{-1}$ , so the braid  $\beta$  is  $\sigma$ -positive, and  $\beta > 1$  is true. On the other hand, the braid  $\gamma^{-1}\beta\gamma$  is represented by the word  $\sigma_1^{-1}\sigma_2^{-1}\sigma_1^{-1}\sigma_1\sigma_2^{-1}\sigma_1\sigma_2\sigma_1$ , hence also by the equivalent word  $\sigma_2\sigma_1^{-1}$ , as, by Lemma I.4.4, we have  $\Delta_3^{-1}\sigma_i\Delta_3 = \sigma_{3-i}$  for  $i = 1, 2$ . The word  $\sigma_2\sigma_1^{-1}$  contains one letter  $\sigma_1^{-1}$  and no letter  $\sigma_1$ . So, by definition, we have  $\gamma^{-1}\beta\gamma < 1$ , and, therefore,  $\beta\gamma < \gamma$ . So  $1 < \beta$  does not imply  $\gamma < \beta\gamma$ .

A phenomenon connected with the noninvariance under right multiplication is that a conjugate of a braid that is larger than 1 may be smaller than 1. Example 2.1 actually gives us an illustration of this situation: in fact, in this case, the conjugate is the inverse.

**EXAMPLE 2.2.** Let  $\beta = \sigma_1\sigma_2^{-1}$  again. Then  $\beta$  is  $\sigma_1$ -positive, hence larger than 1. By Lemma I.4.4, conjugating by  $\Delta_3$  amounts to exchanging  $\sigma_1$  and  $\sigma_2$ . So we have  $\Delta_3\beta\Delta_3^{-1} = \sigma_2\sigma_1^{-1}$ , a  $\sigma_1$ -negative braid, hence smaller than 1, *i.e.*, we have  $\beta > 1$  and  $\Delta_3\beta\Delta_3^{-1} < 1$ ; however, we shall see in Corollary 3.7 below that the conjugates of a braid  $\beta$  cannot be too far from  $\beta$ .

An easy exercise is that every left-invariant ordering such that  $g \prec h$  implies  $g^{-1} \succ h^{-1}$  is also right-invariant. As the braid ordering is not right-invariant, there must exist counterexamples, *i.e.*, braids  $\beta, \gamma$  satisfying  $\beta < \gamma$  and  $\beta^{-1} < \gamma^{-1}$ . Here are examples of this situation.

**EXAMPLE 2.3.** Let  $\beta = \Delta_3$  and  $\gamma = \sigma_2^2\sigma_1$ . Then we find  $\beta^{-1}\gamma = \sigma_1\sigma_2^{-1}$ , a  $\sigma_1$ -positive word, and  $\beta\gamma^{-1} = \sigma_1\sigma_2^{-1}$ , again a  $\sigma_1$ -positive word, So, in this case, we have  $1 < \beta < \gamma$  and  $\beta^{-1} < \gamma^{-1}$ .



EXAMPLE 2.4. Here is a stronger example. Let  $\beta = \sigma_2^{-1}\sigma_1^2\sigma_2$  and  $\gamma = \Delta_3$ . We now find  $\beta^{-1}\gamma = \sigma_1\sigma_2^{-1}\sigma_1$  (see below), a  $\sigma_1$ -positive word, and  $\beta\gamma^{-1} = \sigma_2^{-1}\sigma_1\sigma_2^{-1}$ , a  $\sigma_1$ -positive word. So we obtain again  $1 < \beta < \gamma$  and  $\beta^{-1} < \gamma^{-1}$ . But there is more. We claim that  $\beta^{-p}\gamma = \sigma_1\sigma_2^{-2p+1}\sigma_1$  holds for  $p \geq 1$ . Indeed, for  $p = 1$ , we have

$$\beta^{-1}\gamma = \sigma_2^{-1}\sigma_1^{-1} \cdot \sigma_1^{-1}\sigma_2\sigma_1\sigma_2 \cdot \sigma_1 = \sigma_2^{-1}\sigma_1^{-1} \cdot \sigma_2\sigma_1 \cdot \sigma_1 = \sigma_1\sigma_2^{-1}\sigma_1.$$

For  $p \geq 2$ , applying the induction hypothesis, we find

$$\begin{aligned} \beta^{-p}\gamma &= \sigma_2^{-1}\sigma_1^{-2}\sigma_2 \cdot \beta^{-p+1}\gamma \\ &= \sigma_2^{-1}\sigma_1^{-2}\sigma_2 \cdot \sigma_1\sigma_2^{-2p+3}\sigma_1 = \sigma_1\sigma_2^{-2} \cdot \sigma_2^{-2p+3}\sigma_1 = \sigma_1\sigma_2^{-2p+1}\sigma_1. \end{aligned}$$

As  $\sigma_1\sigma_2^{-2p+1}\sigma_1$  is a  $\sigma_1$ -positive word for each  $p$ , we have in this case  $1 < \beta^p < \gamma$  for each positive  $p$ , and  $\beta^{-1} < \gamma^{-1}$ .

Even more curious situations occur. Assume that  $\beta$  is a  $\sigma_1$ -positive braid. Then the sequence  $1, \beta, \beta^2, \dots$  is strictly increasing, and its entries admit expressions in which more and more letters  $\sigma_1$  occur. One might therefore expect that, eventually, the braid  $\beta^p$  dominates  $\sigma_1$ , which only contains one letter  $\sigma_1$ . The next example shows this is not the case.

EXAMPLE 2.5. Consider  $\beta = \sigma_2^{-1}\sigma_1$ . Then  $\beta^p < \sigma_1$  holds for each  $p$ . The inequality clearly holds for  $p \leq 0$ . For positive  $p$ , we will show that  $\sigma_1^{-1}\beta^p$  is  $\sigma_1$ -negative. To this end, we prove the equality

$$(2.1) \quad \sigma_1^{-1}\beta^p = \sigma_2(\sigma_2\sigma_1^{-1})^{p-1}\sigma_1^{-1}\sigma_2^{-1}$$

using induction on  $p \geq 1$ . For  $p = 1$ , (2.1) reduces to  $\sigma_1^{-1}\sigma_2^{-1}\sigma_1 = \sigma_2\sigma_1^{-1}\sigma_2^{-1}$ , which directly follows from the braid relation. For  $p \geq 2$ , we find

$$\begin{aligned} \sigma_1^{-1}\beta^p &= (\sigma_1^{-1}\beta^{p-1}) \cdot \sigma_2^{-1}\sigma_1 \\ &= \sigma_2(\sigma_2\sigma_1^{-1})^{p-2}\sigma_1^{-1}\sigma_2^{-1} \cdot \sigma_2^{-1}\sigma_1 \\ &= \sigma_2(\sigma_2\sigma_1^{-1})^{p-2}\sigma_2\sigma_1^{-2}\sigma_2^{-1} = \sigma_2(\sigma_2\sigma_1^{-1})^{p-1}\sigma_1^{-1}\sigma_2^{-1}, \end{aligned}$$

using the induction hypothesis and the equality  $\sigma_1^{-1}\sigma_2^{-2}\sigma_1 = \sigma_2\sigma_1^{-2}\sigma_2^{-1}$ .

It can be observed that, more generally,  $\beta^p < \sigma_2^{-q}\sigma_1$  holds for all nonnegative  $p$  and  $q$ . So the ascending sequence  $\beta^p$  does not even approach  $\sigma_1$ , as it remains below each entry in the descending sequence  $\sigma_2^{-q}\sigma_1$ .

Our last example will demonstrate that the  $\sigma$ -ordering of  $B_n$  is not Conradian.

DEFINITION 2.6. A left-invariant ordering  $\prec$  of a group  $G$  is *Conradian* if for all  $g, h$  in  $G$  that are greater than 1, there exists a positive integer  $p$  satisfying  $h \prec gh^p$ .

Conrad used this property in [38] to show that such left-ordered groups share many of the properties of bi-orderable groups; see Section XV.5 for more details.

PROPOSITION 2.7. For  $n \geq 3$ , the  $\sigma$ -ordering of the braid group  $B_n$  is not Conradian.

PROOF. Let  $\beta = \sigma_2^{-1}\sigma_1$  and  $\gamma = \sigma_2^{-2}\sigma_1$ . Clearly,  $\beta$  and  $\gamma$  are  $\sigma_1$ -positive, so  $\beta > 1$  and  $\gamma > 1$  hold. We claim that  $\gamma\beta^p < \beta$  holds for each  $p \geq 0$ . To see that, using induction on  $p \geq 0$ , we prove the equality

$$(2.2) \quad \beta^{-1}\gamma\beta^p = \sigma_2^2(\sigma_1^{-1}\sigma_2)^{p-1}\sigma_1^{-1}\sigma_2^{-1}.$$

For  $p = 0$ , using the braid relations, we find

$$\beta^{-1}\gamma = \sigma_1^{-1}\sigma_2\sigma_2^{-2}\sigma_1 = \sigma_1^{-1}\sigma_2^{-1}\sigma_1 = \sigma_2\sigma_1^{-1}\sigma_2^{-1} = \sigma_2^2(\sigma_1^{-1}\sigma_2)^{-1}\sigma_1^{-2}\sigma_2^{-1}.$$

For  $p = 1$ , we have

$$\beta^{-1}\gamma\beta = \sigma_1^{-1}\sigma_2\sigma_2^{-2}\sigma_1\sigma_2^{-1}\sigma_1 = \sigma_1^{-1}\sigma_2^{-1}\sigma_1\sigma_2^{-1}\sigma_1 = \sigma_2\sigma_1^{-1}\sigma_2^{-2}\sigma_1 = \sigma_2^2\sigma_1^{-2}\sigma_2^{-1}.$$

For  $p \geq 1$ , again using the equality  $\sigma_1^{-1}\sigma_2^{-2}\sigma_1 = \sigma_2\sigma_1^{-2}\sigma_2^{-1}$  of Example 2.5, we find

$$\begin{aligned} \beta^{-1}\gamma\beta^p &= (\sigma_2^2(\sigma_1^{-1}\sigma_2)^{p-2}\sigma_1^{-2}\sigma_2^{-1})(\sigma_2^{-1}\sigma_1) \\ &= \sigma_2^2(\sigma_1^{-1}\sigma_2)^{p-2}\sigma_1^{-1}\sigma_2\sigma_1^{-2}\sigma_2^{-1} = \sigma_2^2(\sigma_1^{-1}\sigma_2)^{p-1}\sigma_1^{-2}\sigma_2^{-1}. \end{aligned}$$

For  $p \geq 1$ , the right-hand side of (2.2) is  $\sigma_1$ -negative, and, for  $p = 0$ , it is equivalent to the  $\sigma_1$ -negative word  $\sigma_2\sigma_1^{-1}\sigma_2$ , so, in each case, we obtain  $\beta < \gamma\beta^p$ .  $\square$

**2.2. Property S.** After the many counterexamples of Section 2.1, we turn to positive results.

We have seen in Example 2.1 that the  $\sigma$ -ordering of braids is not invariant under multiplication on the right, and, therefore, that a conjugate of a braid larger than 1 need not be larger than 1. This phenomenon cannot, however, occur with conjugates of positive braids, *i.e.*, of braids that can be expressed using the generators  $\sigma_i$  only, and not their inverses. The core of the question is the last of the three fundamental properties of braids we shall develop here:

**Property S (Subword).** *Every braid of the form  $\beta^{-1}\sigma_i\beta$  is  $\sigma$ -positive.*

Property **S** was first proved by Richard Laver in [137]. In this text, proofs of Property **S** appear on pages 83, 152, 193, and 263.

Using the compatibility of  $<$  with multiplication on the left and a straightforward induction, we deduce the following result, which explains our terminology:

**PROPOSITION 2.8.** *Assume that  $\beta, \beta'$  are braids and some braid word representing  $\beta'$  is obtained by inserting positive letters  $\sigma_i$  in a braid word representing  $\beta$ . Then we have  $\beta' > \beta$ .*

We recall that  $B_\infty^+$  denotes the submonoid of  $B_\infty$  generated by the braids  $\sigma_i$ . Another consequence of Property **S** is:

**PROPOSITION 2.9.** *If  $\beta$  belongs to  $B_\infty^+$  and is not 1, then  $\beta' > 1$  is true for every conjugate  $\beta'$  of  $\beta$ . More generally,  $\beta > 1$  is true for every quasi-positive braid  $\beta$ , the latter being defined as a braid that can be expressed as a product of conjugates of positive braids.*

**PROOF.** Assume  $\beta' = \gamma^{-1}\beta\gamma$  with  $\beta \in B_\infty^+$ . By definition,  $\beta$  is a product of finitely many braids  $\sigma_i$ , so, in order to prove  $\beta' > 1$ , it suffices to establish that  $\gamma^{-1}\sigma_i\gamma > 1$  holds for each  $i$ , and this is Property **S**.  $\square$

As was noted by Stepan Orevkov [166], the converse implication is not true: the braid  $\sigma_2^{-5}\sigma_1\sigma_2^2\sigma_1$  is a non-quasi-positive braid but every conjugate of it is  $\sigma$ -positive.

By applying the flip automorphism  $\Phi_n$ , we immediately deduce from Property **S** that every braid of the form  $\beta^{-1}\sigma_i\beta$  is also  $\sigma^\Phi$ -positive, and that the counterpart of Proposition 2.8 involving the ordering  $<^\Phi$  is true. A direct application is the following result, which is important for analysing the restriction of  $<^\Phi$  to  $B_\infty^+$ :

**PROPOSITION 2.10.** *For each  $n$ , the set  $B_n^+$  is the initial segment of  $(B_\infty^+, <^\Phi)$  determined by  $\sigma_n$ , *i.e.*, we have  $B_n^+ = \{\beta \in B_\infty^+ \mid \beta <^\Phi \sigma_n\}$ .*

PROOF. By definition,  $\beta <^{\Phi} \sigma_n$  holds for every  $\beta$  in  $B_n^+$ . Indeed, if  $w$  is any  $n$ -strand braid word representing  $\beta$ , then  $w^{-1}\sigma_n$  is a  $\sigma_n^{\Phi}$ -positive word representing  $\beta^{-1}\sigma_n$ .

Conversely, assume that  $\beta$  is a positive braid satisfying  $\beta <^{\Phi} \sigma_n$ . Let  $w$  be a positive braid word representing  $\beta$ , and let  $\sigma_i$  be the generator with highest index occurring in  $w$ . By the counterpart of Proposition 2.8, we have  $\beta \geq^{\Phi} \sigma_i$ , and, therefore,  $i \geq n$  would contradict the hypothesis  $\beta <^{\Phi} \sigma_n$ .  $\square$

Another application of Property **S** is the following property from [51]. We recall that  $\text{sh}$  denotes the shift endomorphism of  $B_{\infty}$  that maps  $\sigma_i$  to  $\sigma_{i+1}$  for every  $i$ .

PROPOSITION 2.11. *For each braid  $\beta$ , we have  $\beta < \text{sh}(\beta)\sigma_1$ .*

PROOF. Let  $\beta$  be an arbitrary braid in  $B_n$ . We claim that the braid  $\beta^{-1}\text{sh}(\beta)\sigma_1$  is  $\sigma_1$ -positive. To see that, we write, inside  $B_{n+1}$ ,

$$\beta^{-1}\text{sh}(\beta)\sigma_1 = (\beta^{-1}\sigma_2 \dots \sigma_n \beta) \cdot (\sigma_n^{-1} \dots \sigma_2^{-1}) \cdot (\sigma_2 \dots \sigma_n \beta^{-1} \sigma_n^{-1} \dots \sigma_2^{-1}) \cdot \text{sh}(\beta)\sigma_1.$$

The first underlined fragment is a conjugate of the positive braid  $\sigma_2 \dots \sigma_n$ , so, by Property **S**, it is  $\sigma$ -positive, hence either  $\sigma_1$ -positive or  $\sigma_1$ -free. The second underlined fragment is  $\sigma_1$ -free. Next, it is easy to check with a picture that the third underlined fragment is equal to  $\sigma_1^{-1}\text{sh}(\beta^{-1})\sigma_1$ . Putting things together, we obtain

$$\beta^{-1}\text{sh}(\beta)\sigma_1 = \beta' \cdot \sigma_1^{-1}\text{sh}(\beta^{-1}) \cdot \sigma_1 \cdot \text{sh}(\beta)\sigma_1,$$

where  $\beta'$  is a braid that is either  $\sigma_1$ -positive or  $\sigma_1$ -free. But, now, we see that the underlined expression is a conjugate of  $\sigma_1$ , so, by Property **S**, it is  $\sigma$ -positive, hence  $\sigma_1$ -positive or  $\sigma_1$ -free. We deduce that  $\beta^{-1}\text{sh}(\beta)\sigma_1$  itself is  $\sigma_1$ -positive or  $\sigma_1$ -free.

Finally, it is impossible that  $\beta^{-1}\text{sh}(\beta)\sigma_1$  be  $\sigma_1$ -free. Indeed, let  $\pi$  be the permutation of  $\{1, \dots, n\}$  induced by  $\beta$ . Then the initial position of the strand that finishes at position 1 in any diagram representing  $\beta^{-1}\text{sh}(\beta)\sigma_1$  is  $\pi^{-1}(\pi(1) + 1)$ , which cannot be 1.

So the only possibility is that  $\beta^{-1}\text{sh}(\beta)\sigma_1$  is  $\sigma_1$ -positive, hence  $\sigma$ -positive.  $\square$

### 3. Global properties of the $\sigma$ -ordering

We turn to more global properties, involving infinitely many braids at a time. Here we successively consider the Archimedean property, the question of density and the associated topology, and convex subgroups.

**3.1. The Archimedean property.** We shall show that the  $\sigma$ -ordering and, more generally, any left-invariant ordering of  $B_n$  fails to be Archimedean for  $n \geq 3$ . However, certain partial Archimedean properties involving the central elements  $\Delta_n^2$  are satisfied.

DEFINITION 3.1. A left-ordered group  $(G, <)$  is said to be *Archimedean* if, for all  $g, h$  larger than 1 in  $G$ , there exists a positive integer  $p$  for which  $g < h^p$  holds.

In other words, the powers of any nontrivial element are cofinal in the ordering. For example, an infinite cyclic group, with either of the two possible orderings, is Archimedean. On the other hand,  $\mathbb{Z} \times \mathbb{Z}$  with lexicographic ordering is not Archimedean, whereas Archimedean orderings for the same group do exist, by embedding  $\mathbb{Z} \times \mathbb{Z}$  in the additive real numbers, sending the generators to rationally independent numbers, and taking the induced ordering.

PROPOSITION 3.2. *The  $\sigma$ -ordering of  $B_n$  is not Archimedean for  $n \geq 3$ .*

PROOF. For every positive integer  $p$ , we have  $1 < \sigma_2^p < \sigma_1$ .  $\square$

One can say more.

PROPOSITION 3.3. *For  $n \geq 3$ , every left-invariant ordering of  $B_n$  fails to be Archimedean.*

This follows from the fact that  $B_n$  is not Abelian for  $n \geq 3$  and from a result of P. Conrad [38] generalizing the classical theorem of Hölder [111]: any left-invariant Archimedean ordering of a group must also be right-invariant, and the group embeds, simultaneously in the algebraic and order senses, in the additive real numbers. In particular, such a group is Abelian.

By contrast to the previous negative result, there is a partial Archimedean property involving the central element  $\Delta_n^2$ , namely that every braid is dominated by some power of the braid  $\Delta_n^2$ .

The results we shall establish turn out to be true not only for the  $\sigma$ -ordering, but also for any left-invariant ordering of  $B_n$ . So, for the rest of this section, we consider this extended framework. When  $\prec$  denotes a strict ordering,  $\preceq$  denotes the corresponding nonstrict ordering, i.e.,  $x \preceq y$  stands for “ $x \prec y$  or  $x = y$ ”.

LEMMA 3.4. *Assume that  $\prec$  is a left-invariant ordering of  $B_n$ . Then  $\Delta_n^{2p} \prec \beta$  implies  $\beta^{-1} \prec \Delta_n^{-2p}$ , and the conjunction of  $\Delta_n^{2p} \prec \beta$  and  $\Delta_n^{2q} \prec \gamma$  implies  $\Delta_n^{2p+2q} \prec \beta\gamma$ . The same implications hold for  $\preceq$ .*

PROOF. Assume  $\Delta_n^{2p} \prec \beta$ . Multiplying by  $\beta^{-1}$  on the left, we get  $\beta^{-1}\Delta_n^{2p} \prec 1$ , which is also  $\Delta_n^{2p}\beta^{-1} \prec 1$ . Multiplying by  $\Delta_n^{-2p}$  on the left, we deduce  $\beta^{-1} \prec \Delta_n^{-2p}$ .

Now assume  $\Delta_n^{2p} \prec \beta$  and  $\Delta_n^{2q} \prec \gamma$ . By multiplying the first inequality by  $\Delta_n^{2q}$  on the left, we obtain  $\Delta_n^{2p+2q} \prec \Delta_n^{2q}\beta = \beta\Delta_n^{2q}$ . By multiplying the second inequality by  $\beta$  on the left, we obtain  $\beta\Delta_n^{2q} \prec \beta\gamma$ . We deduce  $\Delta_n^{2p+2q} \prec \beta\gamma$ .  $\square$

LEMMA 3.5. *Assume that  $\prec$  is a left-invariant ordering of  $B_n$  satisfying  $1 \prec \Delta_n$ . Then, for each  $i$  in  $\{1, \dots, n-1\}$ , we have  $\Delta_n^{-2} \prec \sigma_i \prec \Delta_n^2$ .*

PROOF. By Lemma I.4.4, we have  $\delta_n^n = \Delta_n^2$ , so the hypothesis  $1 \prec \Delta_n$  implies  $1 \prec \Delta_n^2 = \delta_n^n$ , hence  $1 \prec \delta_n$ , and, therefore,  $1 \prec \delta_n \prec \delta_n^2 \prec \dots \prec \delta_n^n = \Delta_n^2$ .

Assume that  $\Delta_n^2 \preceq \sigma_i$  holds for some  $i$ . Let  $j$  be any element of  $\{1, \dots, n-1\}$ . By formulas (I.4.3) and (I.4.4), we can find  $p$  with  $0 \leq p \leq n-1$  satisfying  $\sigma_j = \delta_n^{-p}\sigma_i\delta_n^p$ . Then we obtain

$$1 \prec \delta_n^{-p} = \delta_n^{-p}\Delta_n^2 \preceq \delta_n^{-p}\sigma_i \preceq \delta_n^{-p}\sigma_i\delta_n^p = \sigma_j.$$

So  $1 \prec \sigma_j$  holds for each generator  $\sigma_j$ . Applying Lemma 3.4, we deduce that, if a braid  $\beta$  can be represented by a positive braid word that contains at least one letter  $\sigma_i$ , then  $\Delta_n^2 \preceq \beta$  holds. This applies in particular to  $\Delta_n$ , and we deduce  $\Delta_n^2 \preceq \Delta_n$ , which contradicts the assumption  $1 \prec \Delta_n$ .

Similarly, assume that  $\sigma_i \preceq \Delta_n^{-2}$  holds. Consider again any  $\sigma_j$ . If  $p$  is as above, we also have  $\sigma_j = \delta_n^{-p}\sigma_i\delta_n^p$ , since  $\delta_n^n$  lies in the center of  $B_n$ . Then we find

$$\sigma_j = \delta_n^{-p}\sigma_i\delta_n^p \prec \delta_n^{-p}\sigma_i \preceq \delta_n^{-p}\Delta_n^{-2} = \delta_n^{-p} \preceq 1.$$

This time,  $\sigma_j \prec 1$  holds for each  $j$ . As  $\Delta_n$  is a positive braid, this implies  $\Delta_n \prec 1$ , which contradicts the assumption  $1 \prec \Delta_n$ .  $\square$

Gathering the results, we immediately deduce:

PROPOSITION 3.6. Assume  $\prec$  is a left-invariant ordering of  $B_n$  and  $1 \prec \Delta_n$  holds. Then, for each braid  $\beta$  in  $B_n$ , there exists a unique integer  $p$  for which  $\Delta_n^{2p} \preceq \beta \prec \Delta_n^{2p+2}$  is true. Moreover, if  $\beta$  can be represented by a braid word of length  $\ell$ , we have  $|p| \leq \ell$ .

PROOF. Lemma 3.5 implies that each generator  $\sigma_i$  lies in the interval  $(\Delta_n^{-2}, \Delta_n^2)$ . Then Lemma 3.4 implies that every braid that can be represented by a word of length  $\ell$  lies in the interval  $[\Delta_n^{-2\ell}, \Delta_n^{2\ell})$ . As this interval is the disjoint union of the intervals  $[\Delta_n^{2p}, \Delta_n^{2p+2})$  for  $-\ell \leq p < \ell$ , the result of the proposition follows.  $\square$

In this way, we obtain a decomposition of  $(B_n, \prec)$  into a sequence of disjoint intervals of size  $\Delta_n^2$ , as suggested in Figure 1.

As noted by A. Malyutin and N.Yu. Netsvetaev in [150], the previous result implies that the action of conjugacy cannot move a braid too far.

COROLLARY 3.7 (Figure 1). Assume that  $\prec$  is a left-invariant ordering of  $B_n$  satisfying  $1 \prec \Delta_n$ . Then, if  $\beta$  and  $\beta'$  are conjugate,

$$(3.1) \quad \Delta_n^{2p} \preceq \beta \prec \Delta_n^{2p+2} \quad \text{implies} \quad \Delta_n^{2p-2} \preceq \beta' \prec \Delta_n^{2p+4}.$$

So, in particular,  $\beta \Delta_n^{-4} \prec \beta' \prec \beta \Delta_n^4$  is always true.

PROOF. Assume  $\Delta_n^{2p} \preceq \beta \prec \Delta_n^{2p+2}$  and  $\beta' = \gamma \beta \gamma^{-1}$ . By Proposition 3.6, we have  $\Delta_n^{2q} \preceq \gamma \prec \Delta_n^{2q+2}$  for some  $q$ . Lemma 3.4 first implies  $\Delta_n^{-2q-2} \prec \gamma^{-1} \preceq \Delta_n^{-2q}$ , and then

$$\Delta_n^{2q+2p-2q-2} \prec \gamma \beta \gamma^{-1} \prec \Delta_n^{2q+2+2p+2-2q},$$

which gives  $\Delta_n^{2p-2} \prec \beta' \prec \Delta_n^{2p+4}$ .  $\square$

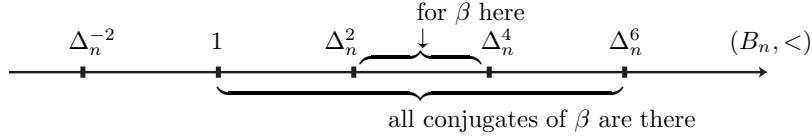


FIGURE 1. Powers of  $\Delta_n^2$  and the action of conjugacy on  $(B_n, <)$ .

All the previous results apply to the  $\sigma$ -ordering, as it is a left-invariant ordering of  $B_n$  and  $1 < \Delta_n$  is satisfied. Note that, in this case, Corollary 3.7 is optimal in the sense that we cannot replace intervals of length  $\Delta_n^2$  with intervals of length  $\Delta_n$  in Lemma 3.4: for instance, we have  $1 < \sigma_1^2 \sigma_2 < \Delta_3$  and  $\Delta_3^2 < \Delta_3 \sigma_1^2 \sigma_2 < \Delta_3^3$ .

**3.2. Discreteness and density.** Left-invariant orderings of a group have a sort of homogeneity—the ordering near any two group elements has similar order properties, because of invariance under left translation. In particular, there is a basic dichotomy between discrete and dense orders.

DEFINITION 3.8. A left-invariant ordering of a group is said to be *discrete* if its positive cone has a least element; it is said to be *dense* if the positive cone does not have a least element.

Equivalently, a left-invariant ordering of a group is discrete if every group element has an immediate successor and predecessor, and it is dense if between any two group elements one can find another element of the group. One verifies easily that, in a discretely left-ordered group, with least element  $\varepsilon$  larger than 1, the immediate successor of a group element  $g$  is  $g\varepsilon$  and its immediate predecessor is  $g\varepsilon^{-1}$ .

The braid orderings display both types.

**PROPOSITION 3.9.** *The  $\sigma$ -ordering of  $B_n$  is discrete, with least  $\sigma$ -positive element  $\sigma_{n-1}$ .*

**PROOF.** Clearly  $\sigma_{n-1}$  is  $\sigma$ -positive. Conversely, assume that  $\beta$  belongs to  $B_n$  and is  $\sigma$ -positive. If  $\beta$  is  $\sigma_i$ -positive for some  $i$  with  $i \leq n-2$ , then  $\sigma_{n-1}^{-1}\beta$  is  $\sigma_i$ -positive as well, so  $\sigma_{n-1} < \beta$  holds. On the other hand, if  $\beta$  is  $\sigma_{n-1}$ -positive, it must be  $\sigma_{n-1}^p$  for some  $p \geq 1$ , and we find  $\sigma_{n-1}^{-1}\beta = \sigma_{n-1}^{p-1}$ , hence  $\sigma_{n-1} \leq \beta$ .  $\square$

As the flip automorphism  $\Phi_n$  is an isomorphism of  $(B_n, <)$  to  $(B_n, <^\Phi)$ , the flipped version  $<^\Phi$  of the  $\sigma$ -ordering is also discrete on  $B_n$ , and  $\sigma_1$  is the least  $\sigma^\Phi$ -positive element. In the inclusions  $B_n \subseteq B_{n+1}$ , the  $\sigma^\Phi$ -ordering has the pleasant property that the same element  $\sigma_1$  is least  $\sigma$ -positive in each braid group. For this reason, we see a difference in the two orderings in the limit. The reader may easily verify the following.

**PROPOSITION 3.10.** *The  $\sigma$ -ordering of  $B_\infty$  is dense, whereas the  $\sigma^\Phi$ -ordering of  $B_\infty$  is discrete, with  $\sigma_1$  being the least element larger than 1.*

**COROLLARY 3.11.** *The ordered set  $(B_\infty, <)$  is order-isomorphic to  $(\mathbb{Q}, <)$ .*

**PROOF.** A well-known result of Cantor says that any two countable linearly ordered sets that are dense—there always exists an element between any two elements—and unbounded—there is no minimal or maximal element—are isomorphic: assuming that the sets are  $\{a_n \mid n \in \mathbb{N}\}$  and  $\{b_n \mid n \in \mathbb{N}\}$ , one alternatively defines  $f(a_0)$ ,  $f^{-1}(b_0)$ ,  $f(a_1)$ ,  $f^{-1}(b_1)$ , etc. so as to keep  $f$  order-preserving.

Here the rationals are eligible, and the set  $B_\infty$  is countable. So, in order to apply Cantor's criterion, it suffices to prove that  $(B_\infty, <)$  is dense and unbounded. The former result is Proposition 3.10. The latter is clear: for every braid  $\beta$ , we have  $\beta\sigma_1^{-1} < \beta < \beta\sigma_1$ .  $\square$

Of course, the order-isomorphism of Corollary 3.11 could not be an isomorphism in the algebraic sense, as  $B_\infty$  is non-Abelian.

Every linearly ordered set has an order topology, with open intervals forming a basis for the topology. If the ordering is discrete, as is the case for the  $\sigma$ -ordering of  $B_n$  for  $n < \infty$ , then the topology is also discrete. Since  $B_\infty$ , with the  $\sigma$ -ordering, is order isomorphic with the rational numbers, its order topology is metrizable. In fact, it has a natural metric, as follows.

**PROPOSITION 3.12.** *For  $\beta \neq \beta'$  in  $B_\infty$ , define  $d(\beta, \beta')$  to be  $2^{-p}$  where  $p$  is the greatest integer satisfying  $\beta^{-1}\beta' \in \text{sh}^p(B_\infty)$ , completed with  $d(\beta, \beta) = 0$ . Then  $d$  is a distance on  $B_\infty$ , and the topology of  $B_\infty$  associated with the linear order  $<$  is the topology associated with  $d$ .*

**PROOF.** It is routine to verify that  $d$  is a distance. The open disk of radius  $2^{-p}$  centered at  $\beta$  is the left coset  $\beta \text{sh}^p(B_\infty)$ , i.e., the set of all braids of the form  $\beta \text{sh}^p(\gamma)$ .

Assume now that  $\beta_1, \beta, \beta_2$  lie in  $B_n$  and  $\beta_1 < \beta < \beta_2$  holds. We will show that the open  $d$ -disk around  $\beta$  of radius  $2^{-n+1}$  is included in the interval  $(\beta_1, \beta_2)$ . Indeed, if  $d(\beta, \gamma) < 2^{-n+1}$ , then  $\beta^{-1}\gamma$  belongs to  $\text{sh}^n(B_\infty)$ . The hypothesis  $\beta_1 < \beta$  implies that  $\beta_1^{-1}\beta$  is  $\sigma_i$ -positive for some  $i \leq n-1$ . Writing  $\beta_1^{-1}\gamma = (\beta_1^{-1}\beta)(\beta^{-1}\gamma)$ , we see that  $\beta_1^{-1}\gamma$  is also  $\sigma_i$ -positive and, therefore,  $\beta_1 < \gamma$  is true. A similar argument gives  $\gamma < \beta_2$ .

Conversely, let us start with an arbitrary open  $d$ -disk  $\beta \text{sh}^p(B_\infty)$ . Let  $\beta'$  be a braid in this disk; we have to find an open  $<$ -interval containing  $\beta'$  which lies entirely in the disk. By hypothesis, we have  $\beta' = \beta \text{sh}^p(\gamma)$  for some  $\gamma$  of  $B_\infty$ . Let  $\gamma_1$  and  $\gamma_2$  be any braids satisfying  $\gamma_1 < \gamma < \gamma_2$ . Then the interval  $(\beta \text{sh}^p(\gamma_1), \beta \text{sh}^p(\gamma_2))$  contains  $\beta \text{sh}^p(\gamma)$  and is included in the disk, because  $\text{sh}^p(B_\infty)$  is convex—see Proposition 3.17 below. This completes the proof that the topologies associated with  $<$  and with  $d$  coincide.  $\square$

**3.3. Dense subgroups.** It is clear that densely ordered groups can have subgroups which are discretely ordered (by the same ordering)—witness  $\mathbb{Z}$  in  $\mathbb{Q}$ . But the reverse can happen, too. For example, the lexicographic ordering on  $\mathbb{Q} \times \mathbb{Z}$  is discrete—with least positive element  $(0, 1)$ —whereas the subgroup  $\mathbb{Q} \times \{0\}$  is densely ordered. This latter phenomenon happens quite naturally also for the braid groups.

Note that, if one allows the generators  $\sigma_i$  to commute, the braid relation  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  implies that  $\sigma_i$  and  $\sigma_{i+1}$  become equal. From this one sees that the Abelianization of  $B_n$  is infinite cyclic, and the Abelianization map  $B_n \rightarrow \mathbb{Z}$  can be identified with the sum of the exponents of a word in the  $\sigma_i$  generators. The commutator subgroup  $[B_n, B_n]$  consists exactly of braids expressed in the generators  $\sigma_i$  with exponent sum zero.

**PROPOSITION 3.13 ([37]).** *For  $n \geq 3$ , the commutator subgroup  $[B_n, B_n]$  is densely ordered under the  $\sigma$ -ordering.*

**PROOF.** For simplicity, we will prove this just for  $n = 3$ , referring the reader to [37] for the general case, whose proof is similar.

For contradiction, suppose  $[B_3, B_3]$  has a least  $\sigma$ -positive element  $\beta$ . We consider the braid  $\beta \sigma_2 \beta^{-1}$ . There are three possibilities:

Case 1:  $\beta \sigma_2 \beta^{-1}$  is  $\sigma_1$ -positive. Then  $\beta$  must be  $\sigma_1$ -positive. So is  $\beta \sigma_2 \beta^{-1} \sigma_2^{-1}$  and we have  $1 < \beta \sigma_2 \beta^{-1} \sigma_2^{-1}$ . On the other hand, as  $\beta$  is  $\sigma_1$ -positive,  $\sigma_2 \beta^{-1} \sigma_2^{-1}$  is  $\sigma_1$ -negative, and we have  $\sigma_2 \beta^{-1} \sigma_2^{-1} < 1$  and  $\beta \sigma_2 \beta^{-1} \sigma_2^{-1} < \beta$ . So the commutator  $\beta \sigma_2 \beta^{-1} \sigma_2^{-1}$  is a smaller  $\sigma$ -positive element of  $[B_3, B_3]$  than  $\beta$ , contradicting the hypothesis on  $\beta$ .

Case 2:  $\beta \sigma_2 \beta^{-1}$  is  $\sigma_1$ -negative. A similar argument gives  $1 < \beta \sigma_2^{-1} \beta^{-1} \sigma_2 < \beta$ , again a contradiction.

Case 3:  $\beta \sigma_2 \beta^{-1}$  is  $\sigma_2^p$  for some  $p$ . Counting the exponents, we see that the only possibility is  $p = 1$ , i.e.,  $\beta$  commutes with  $\sigma_2$ . It is shown in [84] that the centralizer of the subgroup of  $B_3$  generated by  $\sigma_2$  is the subgroup (isomorphic to  $\mathbb{Z} \times \mathbb{Z}$ ) generated by  $\sigma_2$  and  $\Delta_3^2$ , so we must have  $\beta = (\sigma_1 \sigma_2 \sigma_1)^{2q} \sigma_2^r$  for some integers  $q, r$ . But, since  $\beta$  is  $\sigma_1$ -positive and a commutator, we have  $q > 0$  and  $6q + r = 0$ . Now, consider  $\beta' = \sigma_1 \sigma_2^{-1}$ . We have  $\beta' > 1$  and  $\beta' \in [B_3, B_3]$ , and an easy calculation gives  $\beta' < \beta$ , again contradicting the hypothesis on  $\beta$ .  $\square$

Other subgroups of  $B_n$  with  $n \geq 3$  which are shown to be densely ordered by the  $\sigma$ -ordering in [37] include the following:

- $[PB_n, PB_n]$ , the commutator subgroup of the pure braid group; but  $PB_n$  itself is discretely ordered, with least positive element  $\sigma_{n-1}^2$ ;
- the subgroup of Brunnian braids—defined as braids such that, for every strand, its removal results in a trivial braid;
- the subgroup of homotopically trivial braids, as considered in [99];
- kernels of the Burau representation for those  $n$  for which this representation is unfaithful—it is known to be unfaithful for  $n \geq 5$  and faithful for  $n \leq 3$ .

The method of proof is to identify explicitly which braids can possibly be the least  $\sigma$ -positive elements of a given normal subgroup of  $B_n$ .

**3.4. Convex subgroups.** Convex subgroups play an important role in the theory of orderable groups.

DEFINITION 3.14. If  $(G, <)$  is a left-ordered group, a subgroup  $H$  of  $G$  is said to be *convex* if, for all  $h, h'$  in  $H$  and  $g$  in  $G$  satisfying  $h < g < h'$ , one has  $g \in H$ .

An equivalent criterion for convexity of  $H$  is the conjunction of  $1 < g < h$ ,  $g \in G$ , and  $h \in H$  implies  $g \in H$ . It is easy to verify that the collection of convex subgroups of a given group is linearly ordered by inclusion. Moreover, if  $N$  is a normal convex subgroup of the left-ordered group  $G$ , then the quotient group  $G/N$  is left-orderable by ordering cosets according to their representatives.

If the ordering of  $G$  is discrete, and  $H$  is a convex subgroup distinct from  $\{1\}$ , then the ordering on  $H$  is also discrete, and  $H$  contains the minimal positive element of  $G$ , which is also minimal positive in  $H$ .

We shall see that there are rather few convex subgroups in the braid groups under the  $\sigma$ -ordering.

PROPOSITION 3.15. *The group  $B_n$  has no proper normal convex subgroup.*

PROOF. Suppose  $H$  is a normal and convex subgroup of  $B_n$  distinct of  $\{1\}$ . As remarked above, the minimal positive element  $\sigma_{n-1}$  of  $B_n$  belongs to  $H$  by convexity. Since  $H$  is normal,  $\sigma_1$  also belongs to  $H$ , as the Garside braid  $\Delta_n$  conjugates it to  $\sigma_{n-1}$ . All the other  $\sigma_i$  generators are positive and less than  $\sigma_1$ , so they must also be in  $H$ , and therefore we have  $H = B_n$ , alternatively, we can observe that all generators  $\sigma_i$  are conjugated to  $\sigma_{n-1}$  in  $B_n$ , as seen in Lemma I.4.4.  $\square$

PROPOSITION 3.16. *For  $i$  in  $\{1, \dots, n-1\}$ , let  $H_i$  be the subgroup of  $B_n$  generated by  $\sigma_i, \dots, \sigma_{n-1}$ . Then each subgroup  $H_i$  is convex in  $B_n$  and these are the only nontrivial convex subgroups.*

PROOF. First, we verify that  $H_i$  is convex. Suppose  $1 < \gamma < \beta$  with  $\beta \in H_i$  and  $\gamma \in B_n$ . Note that the  $\sigma$ -positive elements of  $H_i$  are exactly the  $\sigma_j$ -positive braids in  $B_n$  with  $j \geq i$ . So  $\beta$  is  $\sigma_j$ -positive for some  $j \geq i$ . By hypothesis,  $\gamma$  is  $\sigma_k$ -positive for some  $k$  in  $\{1, \dots, n-1\}$ . If we had  $k < j$ , then  $\beta^{-1}\gamma$  would be  $\sigma_j$ -positive, implying  $\beta < \gamma$  and contradicting the hypothesis. Therefore, we have  $k \geq j \geq i$  and  $\gamma$  lies in  $H_i$ .

It remains to show that there are no other nontrivial convex subgroups. Assume that  $C$  is a convex subgroup of  $B_n$  distinct of  $\{1\}$ . Let  $i$  be the least positive integer such that  $C$  contains a  $\sigma_i$ -positive braid, say  $\beta$ . We will show that  $C = H_i$ . First note that  $C$  contains each  $\sigma_j$  with  $j > i$ , because  $\sigma_j^{-1}\beta$  is  $\sigma_i$ -positive and we have  $1 < \sigma_j < \beta \in C$ .



Now we may write  $\beta = \beta_0 \sigma_i \beta_1 \sigma_i \dots \sigma_i \beta_m$  for some  $m \geq 1$  and some  $\beta_i$  belonging to  $H_{i+1}$ , hence to  $C$ . Since  $C$  is a subgroup and  $\beta_0$  belongs to  $C$ , the braid  $\beta'$  defined by  $\beta' = \sigma_i \beta_1 \sigma_i \dots \sigma_i \beta_m$  also belongs to  $C$ . In case  $m > 1$ , we conclude  $\sigma_i^{-1} \beta'$  is also  $\sigma_i$ -positive and therefore we have  $1 < \sigma_i < \beta'$ . On the other hand, if  $m = 1$  holds, we have  $\beta' = \sigma_i \beta_1$ . In either case, we conclude that  $\sigma_i$  belongs to  $C$ . We have shown that  $C$  is included in  $H_i$ . If the inclusion were proper, then  $C$  would contain a braid which is  $\sigma_j$ -positive for some  $j < i$ , contradicting our choice of  $i$ .  $\square$

Almost exactly the same argument shows the following.

**PROPOSITION 3.17.** *The nontrivial convex subgroups of  $B_\infty$  are exactly those of the form  $\text{sh}^i(B_\infty)$ . None of these is normal.*

Finally, using the flip automorphism  $\Phi_n$ , we see that, when the  $\sigma^\Phi$ -ordering  $<^\Phi$  replaces the  $\sigma$ -ordering, then the convex subgroups of  $B_n$  are the groups  $B_i$  with  $i \leq n$ . The same holds for  $B_\infty$ .

#### 4. The $\sigma$ -ordering of positive braids

In this section, we review some results about the restriction of the orderings  $<$  and  $<^\Phi$  to the braid monoids  $B_n^+$ , most of which will be further developed in Chapters VII and VIII. As the many examples of Section 2.1 showed, the  $\sigma$ -ordering is a quite complicated ordering. By contrast, its restriction to the monoid  $B_n^+$  is a simple ordering, namely a well-ordering. In particular, every nonempty set of positive braids has a least element, and, if it is bounded, it has a least upper bound.

We give two proofs of the well-order property for the  $\sigma$ -ordering of  $B_n^+$ . Due to Laver [137] and based on Property **S**, the first one uses Higman's subword lemma, and it is not constructive. Then, we give another argument, which is constructive and much more precise. It is based on Serge Burckel's approach in [27]. Here we follow the new description of [62], which relies on an operation called the  $\Phi_n$ -splitting of a braid. It shows that the ordering of  $B_n^+$  is a sort of lexicographical extension of the ordering of  $B_{n-1}^+$ .

Most of the properties described in this section for the monoids  $B_n^+$  extend to the case of the so-called dual braid monoids  $B_n^{+*}$ . Introduced by Birman, Ko, and Lee in [15], the dual monoid  $B_n^{+*}$  is a submonoid of  $B_n$  that properly includes  $B_n^+$ . Interestingly, the proofs turn out to be easier in the case of  $B_n^{+*}$  than in the case of  $B_n^+$ . We refer to Chapter VIII for details.

**4.1. The well-order property.** Restricting a linear ordering to a proper subset always gives a linear ordering, but the properties of the initial ordering and of its restriction may be very different—we already saw examples in Section 3.3. This is what happens with the  $\sigma$ -ordering of  $B_n$  and its restriction to  $B_n^+$ . For instance, we saw in Proposition 3.9 that  $(B_n, <)$  is discrete, and that every braid  $\beta$  has an immediate predecessor, namely  $\beta \sigma_{n-1}^{-1}$ . The situation is radically different with  $B_n^+$ . In particular,  $(B_n^+, <)$  has limit points: for instance, in  $(B_3^+, <)$ , the braid  $\sigma_1$  is the least upper bound of the increasing sequence  $(\sigma_2^p)_{p \geq 0}$ ; see Figure 2.

We recall that a linear ordering is called a *well-ordering* if every nonempty subset has a least element, or, equivalently, provided some very weak form of the Axiom of Choice is assumed, if it admits no infinite descending sequence. A direct consequence of Property **S** is the following important result.

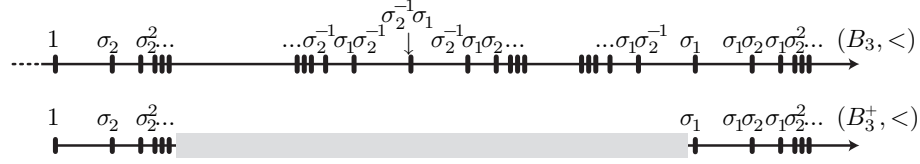


FIGURE 2. Restricting to positive braids completely changes the ordering: for instance, in  $(B_3^+, <)$ , the braid  $\sigma_1$  is the limit of  $\sigma_2^p$ , whereas, in  $(B_3, <)$ , it is an isolated point with immediate predecessor  $\sigma_2^{-1}\sigma_1$ ; the grey part in  $B_3$  includes infinitely many braids, such as  $\sigma_2^{-1}\sigma_1$  and its neighbours—and much more—but none of them lies in  $B_3^+$ .

PROPOSITION 4.1. *For every  $n$ , the restriction of  $<$  to  $B_n^+$  is a well-ordering.*

PROOF. A theorem of Higman [108], known as Higman's subword lemma, says: An infinite set of words over a finite alphabet necessarily contains two elements  $w, w'$  such that  $w'$  can be obtained from  $w$  by inserting intermediate letters (in not necessarily adjacent positions). Let  $\beta_1, \beta_2, \dots$  be an infinite sequence of braids in  $B_n^+$ . Our aim is to prove that this sequence is not strictly decreasing. For each  $p$ , choose a positive braid word  $w_p$  representing  $\beta_p$ . There are only finitely many  $n$ -strand braid words of a given length, so, for each  $p$ , there exists  $p' > p$  such that  $w_{p'}$  is at least as long as  $w_p$ . So, inductively, we can extract a subsequence  $w_{p_1}, w_{p_2}, \dots$  in which the lengths are nondecreasing. If the set  $\{w_{p_1}, w_{p_2}, \dots\}$  is finite, there exist  $k, k'$  such that  $w_{p_k}$  and  $w_{p_{k'}}$  are equal, and then we have  $\beta_{p_k} = \beta_{p_{k'}}$ . Otherwise, by Higman's theorem, there exist  $k, k'$  such that  $w_{p_k}$  is a subword of  $w_{p_{k'}}$ , and, by construction, we must have  $p_k < p_{k'}$ . By Property **S**, this implies  $\beta_{p_k} \leq \beta_{p_{k'}}$  in  $B_n^+$ . So, in any case, the sequence  $\beta_1, \beta_2, \dots$  is not strictly decreasing.  $\square$

The previous proof actually shows more.

PROPOSITION 4.2. *Assume that  $M$  is a submonoid of  $B_\infty$  generated by finitely many braids, each of which is a conjugate of some  $\sigma_i$ —hence of  $\sigma_1$ . Then the restriction of  $<$  to  $M$  is a well-ordering.*

PROOF. In the proof of Proposition 4.1, Property **S** is used to ensure that, if a word  $w$  in the generators  $\sigma_i$  of  $B_n$  is a subword of another word  $w'$ , then we have  $\overline{w} \leq \overline{w'}$ , where  $\overline{w}$  denotes the braid represented by  $w$ . Now the same property holds for the generators of  $M$ , as each of them is a conjugate of some  $\sigma_i$ . Indeed, inserting a pattern of the form  $v\sigma_i v^{-1}$  after  $w_1$  in a braid word  $w_1 w_2$  amounts to inserting  $\sigma_i$  in the equivalent braid word  $w_1 v v^{-1} w_2$ , and, therefore, the braid represented by  $w_1 \cdot v\sigma_i v^{-1} \cdot w_2$  is larger than the braid represented by  $w_1 w_2$ .  $\square$

Typically, the dual braid monoids investigated in Chapter VIII are eligible for Proposition 4.2.

REMARK 4.3. The hypothesis that the monoid  $M$  is finitely generated is crucial in Proposition 4.2. For instance, we already observed that the submonoid  $B_\infty^+$  of  $B_\infty$  is not well-ordered by the  $\sigma$ -ordering, as we have an infinite descending sequence  $\sigma_1 > \sigma_2 > \dots$ . Such phenomena already occur inside  $B_3$ : for instance, the submonoid of  $B_3$  generated by all conjugates  $\sigma_2^{-p}\sigma_1\sigma_2^p$  of  $\sigma_1$ —and, more generally, the submonoid of all quasi-positive  $n$ -strand braids, defined to be the submonoid

of  $B_n$  generated by all conjugates of  $\sigma_1, \dots, \sigma_{n-1}$ —contains the infinite descending sequence  $\sigma_1 > \sigma_2^{-1}\sigma_1\sigma_2 > \sigma_2^{-2}\sigma_1\sigma_2^2 > \dots$ .

Being a well-ordering has strong consequences. In particular, in contrast to what the examples of Section 2.1 showed, the well-order property implies the most general form of the phenomenon observed in Figure 2:

**COROLLARY 4.4.** *Every nonempty subset of  $B_n^+$  is either cofinal or it has a least upper bound inside  $(B_n^+, <)$ .*

Indeed, for  $X$  included in  $B_n^+$ , unless  $X$  is unbounded in  $B_n^+$ , the set of all upper bounds of  $X$  is nonempty, hence it admits a least element.

**4.2. The recursive construction of the ordering on  $B_n^+$ .** We gave above a quick proof for Proposition 4.1, but the latter is not constructive, and it gives no direct description of the well-ordering  $(B_n^+, <)$ . We shall now give such a description, based on a recursive construction that connects  $(B_{n-1}^+, <)$  and  $(B_n^+, <)$ . This approach leads in particular to considering the ordering of  $B_n^+$  as an iterated extension of the ordering of  $B_2^+$ , *i.e.*, of the standard ordering of natural numbers.

To explain the results, it is crucial to use the flipped version of the  $\sigma$ -ordering, *i.e.*, the ordering  $<^\Phi$  defined from  $\sigma^\Phi$ -positive braids. The reason is that, although  $(B_n^+, <)$  and  $(B_n^+, <^\Phi)$  are isomorphic, the pairs  $(B_n^+, B_{n-1}^+, <)$  and  $(B_n^+, B_{n-1}^+, <^\Phi)$  are not, and the connection between  $B_n^+$  and  $B_{n-1}^+$  is more easily described in the case of  $<^\Phi$ .

The starting point of the approach is the following result from [62]. We recall that  $\Phi_n$  denotes the flip automorphism (both of  $B_n$  and of  $B_n^+$ ) that exchanges  $\sigma_i$  and  $\sigma_{n-i}$  for  $1 \leq i \leq n-1$ .

**PROPOSITION 4.5.** *Assume  $n \geq 3$ . Then, for each braid  $\beta$  in  $B_n^+$ , there exists a unique sequence  $(\beta_p, \dots, \beta_1)$  in  $B_{n-1}^+$  such that  $\beta$  admits the decomposition*

$$(4.1) \quad \beta = \Phi_n^{p-1}(\beta_p) \cdot \dots \cdot \Phi_n(\beta_2) \cdot \beta_1,$$

*and for each  $r$  the only generator  $\sigma_i$  that right divides  $\Phi_n^{p-r}(\beta_p) \cdot \dots \cdot \beta_r$  is  $\sigma_1$ . The sequence  $(\beta_p, \dots, \beta_1)$  is called the  $\Phi_n$ -splitting of  $\beta$ .*

The result easily follows from the fact that every positive braid  $\beta$  of  $B_n^+$  admits a unique maximal right divisor that lies in  $B_{n-1}^+$ . The unusual enumeration of the sequence from the right emphasizes that the construction starts from the right and involves right divisors.

Now, the main result says that, through the  $\Phi_n$ -splitting, the ordering of  $B_n^+$  is just a lexicographical extension of the ordering of  $B_{n-1}^+$ , more exactly a **ShortLex**-extension in the sense of [77], *i.e.*, the variant of the lexicographical extension in which the length is first taken into account.

**PROPOSITION 4.6.** *Assume  $n \geq 3$ . Let  $\beta, \beta'$  belong to  $B_n^+$ , and let  $(\beta_p, \dots, \beta_1)$  and  $(\beta'_{p'}, \dots, \beta'_1)$  be their  $\Phi_n$ -splittings. Then  $\beta <^\Phi \beta'$  holds if and only if  $(\beta_p, \dots, \beta_1)$  is smaller than  $(\beta'_{p'}, \dots, \beta'_1)$  for the **ShortLex**-extension of  $(B_{n-1}^+, <^\Phi)$ , *i.e.*, we have either  $p < p'$ , or  $p = p'$  and there exists  $q \leq p$  satisfying  $\beta_r = \beta'_r$  for  $r > q$  and  $\beta_q <^\Phi \beta'_q$ .*

The result appears as Corollary VII.4.6, and it is also a consequence of Corollary VIII.3.3, with a disjoint argument.

The  $\Phi_n$ -splitting of a positive braid can be computed easily, and a direct outcome of Proposition 4.6 is the existence, already mentioned in Section 1.5, of a quadratic upper bound for the complexity of the  $\sigma$ - and  $\sigma^\Phi$ -orderings.

**COROLLARY 4.7.** *For each  $n$ , the orderings  $<^\Phi$  and  $<$  of  $B_n$  can be recognized in quadratic time.*

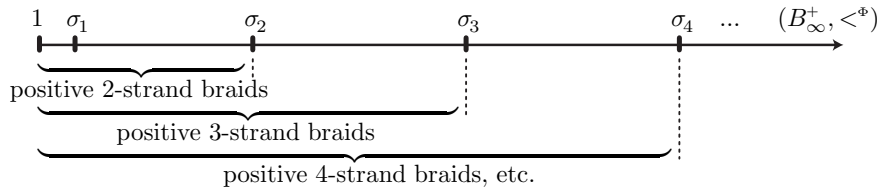
**PROOF.** We use induction on  $n \geq 2$ . Let  $w$  be an  $n$ -strand braid word of length  $\ell$ . By Proposition I.4.6, we can obtain in time  $O(\ell)$  two positive  $n$ -strand braid words  $w_1, w_2$  such that  $w$  is equivalent to  $w_1^{-1}w_2$ . Then  $\overline{w} >^\Phi 1$  is equivalent to  $\overline{w_2} >^\Phi \overline{w_1}$ . The  $\Phi_n$ -splittings of the braids  $\overline{w_1}$  and  $\overline{w_2}$  can be computed in time  $O(\ell^2)$ ; see Chapter VII. The induction hypothesis implies that the comparison of the sequences so obtained can be done in time  $O(\ell^2)$  as well. The argument is similar for the  $\sigma$ -ordering as the shift automorphism  $\Phi_n$  is computable in linear time.  $\square$

**4.3. The length of  $(B_n^+, <^\Phi)$ .** Contrary to an arbitrary linear ordering, a well-ordering is completely determined up to isomorphism by a unique parameter, namely its length, usually specified by an ordinal number. In the case of the braid ordering on  $B_n^+$ , the length easily follows from the recursive characterization of Proposition 4.6.

We recall that ordinals are a transfinite continuation of the sequence of natural numbers: after the natural numbers comes  $\omega$ , the first infinite ordinal, then  $\omega + 1$ ,  $\omega + 2$ , etc. For our purposes, it is enough to know that ordinals come equipped with a well-ordering and with arithmetic operations (addition, multiplication, exponentiation) that extend those of  $\mathbb{N}$ . For more background information about ordinals, we refer to any textbook in set theory, for instance [138].

**PROPOSITION 4.8.** *For each  $n$ , the ordered set  $(B_n^+, <^\Phi)$  has ordinal type  $\omega^{\omega^{n-2}}$ .*

In other words, the length of  $(B_n^+, <^\Phi)$  is the ordinal  $\omega^{\omega^{n-2}}$ . The proof is an easy induction on  $n$ .



**FIGURE 3.** The well-ordered set  $(B_\infty^+, <^\Phi)$ : an increasing union of end-extensions; for each  $n$ , the subset  $B_n^+$  is the initial interval determined by  $\sigma_n$ .

By Proposition 2.10, the ordered set  $(B_\infty^+, <^\Phi)$  is the increasing union of the sets  $(B_n^+, <^\Phi)$ , each set  $B_n^+$  being an initial segment of the next one; see Figure 3. It is easy to deduce

**PROPOSITION 4.9.** *The ordered set  $(B_\infty^+, <^\Phi)$  is a well-ordering with ordinal type  $\omega^{\omega^\omega}$ .*

As the flip automorphism  $\Phi_n$  preserves  $B_n^+$  globally, the results about  $(B_n^+, <^\Phi)$  translate into similar results about  $(B_n^+, <)$ . In particular, Proposition 4.8 implies

COROLLARY 4.10. *For each  $n$ , the well-ordering  $(B_n^+, <)$  has ordinal type  $\omega^{n-2}$ .*

However, we have no counterpart of Proposition 4.9 for  $<$ : the set  $B_n^+$  is not an initial segment of  $(B_\infty^+, <)$ , and the latter is not a well-ordered set since it contains the infinite descending sequence of (1.1).

**4.4. The rank of a positive braid.** One of the nice features when an ordering  $<$  of a set  $\Omega$  is a well-ordering is that, for  $x \in \Omega$ , the position of  $x$  in  $(\Omega, <)$  is unambiguously specified by an ordinal number, called the *rank* of  $x$ , namely the order type of the initial segment  $\{y \in \Omega \mid y < x\}$ . The rank function establishes an isomorphism between  $(\Omega, <)$  and an initial segment of the sequence of ordinals: by construction,  $x < x'$  is true if and only if the rank of  $x$  is smaller than the rank of  $x'$ .

So, in our current case, every positive braid  $\beta$  in  $B_n^+$  is associated with a well-defined ordinal number, the rank of  $\beta$ , that specifies its position in  $(B_n^+, <^\Phi)$ . Moreover, Proposition 2.10 (or simply Figure 3) shows that the rank of  $\beta$  in  $(B_n^+, <^\Phi)$  coincides with its rank in  $(B_\infty^+, <^\Phi)$ , and we can forget about the braid index.

Some values of the rank function are easily computed. For instance, the rank of the braid  $\sigma_i$  is the ordinal  $\omega^{i-2}$  for  $i \geq 2$ : indeed, it is the ordinal type of the initial interval determined by  $\sigma_i$ . By Proposition 2.10, the latter is  $B_i$ , which, by Proposition 4.8, has ordinal type  $\omega^{i-2}$ . More values can be read in Figure 4.

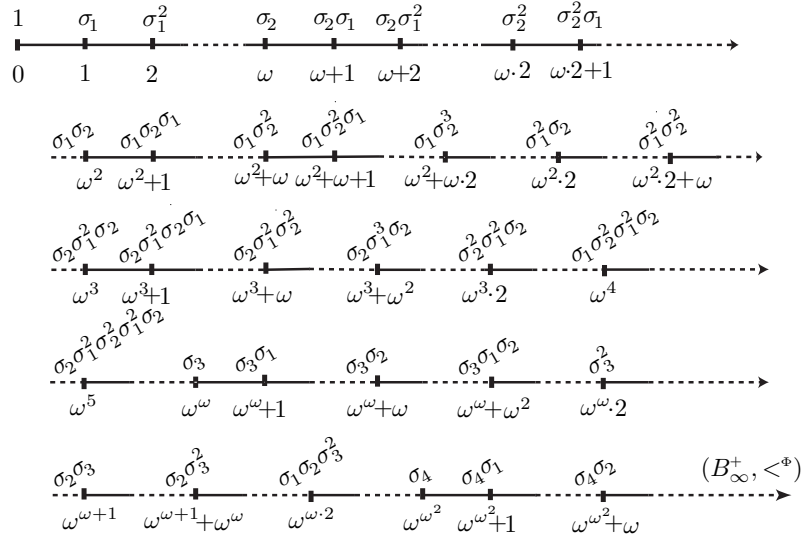


FIGURE 4. Ranks in the well-ordering  $(B_\infty^+, <^\Phi)$ : the position of each braid is unambiguously specified by an ordinal number that measures the length of the initial interval it determines.

REMARK 4.11. By construction, the rank mapping provides an order-isomorphism between positive braids and ordinals. Except for 2-strand braids, this mapping is *not* an algebraic homomorphism with respect to the ordinal sum: in general, the rank of  $\beta_1\beta_2$  is not the sum of the ranks of  $\beta_1$  and  $\beta_2$ . This happens to be true

for  $\beta_2 = \sigma_1$ , which has rank 1, but, for instance, we can read in Figure 4 that the rank of  $\sigma_2$  is  $\omega$ , while that of  $\sigma_1\sigma_2$  is  $\omega^2$ , which is not  $1 + \omega$ .

Arguably, an optimal description of  $(B_\infty^+, <^\Phi)$  would consist of a closed formula explicitly computing, for each positive braid  $\beta$ , the rank of  $\beta$ , *i.e.*, determining the absolute position of  $\beta$  in  $(B_\infty^+, <^\Phi)$ . An algorithmic method has been described in [28], but, so far, it leads to no closed formula in the general case. However, in the case of 3-strand braids, such a formula exists. It relies on identifying distinguished word representatives called  $\Phi$ -normal, from which the rank can be directly read.

**DEFINITION 4.12.** A nonempty positive 3-strand braid word  $\sigma_{[p]}^{e_p} \dots \sigma_2^{e_2} \sigma_1^{e_1}$  is said to be  $\Phi$ -normal if the inequalities  $e_p \geq 1$  and  $e_r \geq e_r^{\min}$  for  $r < p$  are satisfied, where we set  $e_1^{\min} = 0$ ,  $e_2^{\min} = 1$ , and  $e_r^{\min} = 2$  for  $r \geq 3$ , and use  $[p]$  to denote 1 for odd  $p$ , and 2 for even  $p$ .

So the criterion is that a positive 3-strand braid word  $w$  is  $\Phi$ -normal if the successive blocks of letters  $\sigma_1$  and  $\sigma_2$  in  $w$ , enumerated from the right, and insisting that the rightmost block is a (possibly empty) block of  $\sigma_1$ , have a minimal legal size prescribed by the absolute numbers  $e_r^{\min}$ . It is easy to check that every nontrivial braid  $\beta$  of  $B_3^+$  is represented by a unique  $\Phi$ -normal word, naturally called its  $\Phi$ -normal form. Then we have the following explicit formula for the rank.

**PROPOSITION 4.13.** *For each braid  $\beta$  in  $B_3^+$ , the rank of  $\beta$  in  $(B_\infty^+, <^\Phi)$  is*

$$(4.2) \quad \omega^{p-1} \cdot e_p + \sum_{p > r \geq 1} \omega^{r-1} \cdot (e_r - e_r^{\min}),$$

where  $\sigma_{[p]}^{e_p} \dots \sigma_2^{e_2} \sigma_1^{e_1}$  is the  $\Phi$ -normal form of  $\beta$ .

This makes the description of the ordered set  $(B_3^+, <^\Phi)$  complete.

**EXAMPLE 4.14.** The  $\Phi$ -normal form of  $\Delta_3$  is  $\sigma_1\sigma_2\sigma_1$ , as the latter word satisfies the defining inequalities, contrary to  $\sigma_2\sigma_1\sigma_2$ , *i.e.*,  $\sigma_2^1\sigma_1^1\sigma_2^0$ , in which the third exponent from the right, namely 1, is smaller than the minimal legal value  $e_3^{\min} = 2$ . So, in this case, the sequence  $(e_p, \dots, e_1)$  is  $(1, 1, 1)$ , and, applying (4.2), we deduce that the rank of  $\Delta_3$  in  $(B_3^+, <^\Phi)$  is  $\omega^2 \cdot 1 + \omega \cdot (1 - 1) + 1 \cdot (1 - 0)$ , *i.e.*,  $\omega^2 + 1$ . The reader can check that, more generally, the flip normal form of  $\Delta_3^d$  corresponds to the length  $d + 2$  exponent sequence  $(1, 2, \dots, 2, 1, d)$ , implying that the rank of  $\Delta_3^d$  is the ordinal  $\omega^{d+1} + d$ . More values can be read in Figure 4.

**4.5. Connection between positive and arbitrary braids.** By Proposition I.4.6, every braid is a quotient of two positive braids. It follows that, in theory, the ordering of arbitrary braids is determined by its restriction to positive braids.

**PROPOSITION 4.15.** *Let  $\beta_1, \dots, \beta_p$  be a finite family of braids in  $B_n$ . Then, for  $d$  large enough,  $\Delta_n^d\beta_1, \dots, \Delta_n^d\beta_p$  lie in  $B_n^+$ , and the mutual positions of  $\beta_1, \dots, \beta_p$  in  $(B_n, <)$  are the same as the mutual positions of the positive braids  $\Delta_n^d\beta_1, \dots, \Delta_n^d\beta_p$  in  $(B_n^+, <)$ .*

The result is clear, as the braid ordering  $<$  is left-invariant. A similar result holds for  $<^\Phi$ .

However, it turns out that this result is of little help in establishing global properties of the braid ordering, and so far there is not much to say about the connection. We just mention two easy remarks involving the left numerators and denominators introduced in Proposition I.4.9 and their right counterpart.

PROPOSITION 4.16. *For each braid  $\beta$ , the right denominator  $D_R(\beta)$  (resp. the left denominator  $D_L(\beta)$ ) is the  $<$ -minimal positive braid  $\beta_1$  such that  $\beta\beta_1$  (resp.  $\beta_1\beta$ ) is positive.*

PROOF. By construction, we have  $\beta \cdot D_R(\beta) = N_R(\beta)$  and  $D_L(\beta) \cdot \beta = N_L(\beta)$ , and both  $N_R(\beta)$  and  $N_L(\beta)$  are positive braids.

Conversely, assume that  $\beta_1$  and  $\beta\beta_1$  lie in  $B_\infty^+$ . Then we have  $\beta = (\beta\beta_1)\beta_1^{-1}$ . By the right counterpart of Proposition I.4.9, we have  $\beta_1 = D_R(\beta)\gamma$  for some  $\gamma$  in  $B_\infty^+$ . Necessarily  $\gamma$  is trivial or  $\sigma$ -positive, and, therefore, we have both  $\beta_1 \geq D_R(\beta)$  and  $\beta_1 \geq^* D_R(\beta)$ .

Symmetrically, assume that  $\beta_1$  and  $\beta_1\beta$  lie in  $B_\infty^+$ . Then we have  $\beta = \beta_1^{-1}(\beta_1\beta)$ . By Proposition I.4.9, there exists  $\gamma$  in  $B_\infty^+$  satisfying  $\beta_1 = \gamma D_L(\beta)$ . As  $\gamma$  belongs to  $B_\infty^+$ , Property **S** implies both  $\beta_1 \geq D_L(\beta)$  and  $\beta_1 \geq^* D_L(\beta)$ .  $\square$

PROPOSITION 4.17. *For each braid  $\beta$ , the relations  $\beta > 1$  and  $N_L(\beta) > D_L(\beta)$  are equivalent. Similarly,  $\beta >^* 1$  and  $N_L(\beta) >^* D_L(\beta)$  are equivalent.*

The verification is straightforward as  $<$  and  $<^*$  are left-invariant. Note that no such relation exists with the right numerators and denominators: for instance, for  $\beta = \sigma_2^{-1}\sigma_1$ , we have  $\beta > 1$ , but  $N_R(\beta) = \sigma_1\sigma_2 < D_R(\beta) = \sigma_2\sigma_1$ .

The previous observations are rather trivial and do not shed much light on the structure of  $(B_n, <)$ . The point is that the fractionary decompositions defines two injections  $\iota_L$  and  $\iota_R$  of  $B_n$  into a subset of  $B_n^+ \times B_n^+$ , but neither of them preserves the ordered structure. On the other hand, we can easily define a well-ordering on  $B_n^+ \times B_n^+$  by using a lexicographical extension of the ordering of  $B_n^+$ , and, appealing to  $\iota_L$  or  $\iota_R$ , deduce a well-ordering of  $B_n$ , but the latter will not be invariant under left (or right) multiplication.