

# **FIT3152 Assignment 2**

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(NOTE: not all code workings are shown here, for full codes used in the analysis, please look at the R script

Also, all performance are from testing against our “Defects.csv” dataset. “Defects.csv” is the only dataset we are using in this work.)

## 1. Data exploration

*Working:*

```
> numberofdefects = DS[(DS$defects == TRUE),]  
> numberofnondefects = DS[(DS$defects == FALSE),]  
>  
> ratioofdefectstonondefects = nrow(numberofdefects) / nrow(numberofnondefects)  
> ratioofdefectstonondefects  
[1] 0.07364
```

```
> summary(DS)
```

	loc	v.g.	ev.g.	iv.g.	
n					
Min. :	0.0	Min. :	1.00	Min. :	1.00
: 1					Min.
1st Qu.:	7.0	1st Qu.:	1.00	1st Qu.:	1.00
u.:	25				1st Q
Median :	13.0	Median :	3.00	Median :	1.00
n :	58				2.00
Mean :	23.4	Mean :	5.51	Mean :	3.32
: 118					Mean
3rd Qu.:	26.0	3rd Qu.:	6.00	3rd Qu.:	3.00
u.:	126				3rd Q
Max. :	602.0	Max. :	136.00	Max. :	123.00
: 2785					Max.
	v	l	d	i	
e					
Min. :	0	Min. :	0.0000	Min. :	0.00
: 0					Min.
1st Qu.:	98	1st Qu.:	0.0475	1st Qu.:	5.71
u.:	561				14.1
Median :	276	Median :	0.0800	Median :	11.64
: 3191					23.6
Mean :	700	Mean :	0.1286	Mean :	15.41
: 28849					32.9
3rd Qu.:	676	3rd Qu.:	0.1600	3rd Qu.:	20.50
u.:	12391				41.1
Max. :	25943	Max. :	2.0000	Max. :	270.66
: 4279633					598.3
	b	t	lOCode	lOComment	lOB
ank					
Min. :	0.000	Min. :	0	Min. :	0.0
: 0.00					0.0
1st Qu.:	0.030	1st Qu.:	31	1st Qu.:	7.0
: 0.00					0.0
Median :	0.090	Median :	177	Median :	13.0
: 0.00					0.0
Mean :	0.235	Mean :	1603	Mean :	22.5
					4.7
					Mean

```

: 0.94
3rd Qu.:0.230 3rd Qu.: 688 3rd Qu.: 24.0 3rd Qu.: 5.0 3rd Qu.
: 1.00
Max. :8.650 Max. :237757 Max. :600.0 Max. :159.0 Max.
:48.00
lOCodeAndComment      uniq_Op      uniq_Opnd      total_Op      total
_Opnd
Min. : 0.00 Min. : 1.0 Min. : 0.0 Min. : 1.0 Min.
: 0.0
1st Qu.: 1.00 1st Qu.: 8.0 1st Qu.: 6.0 1st Qu.: 15.0 1st Qu.
: 10.0
Median : 2.50 Median :12.0 Median : 12.0 Median : 33.0 Median
: 24.0
Mean : 6.75 Mean :13.3 Mean : 20.9 Mean : 66.6 Mean
: 50.9
3rd Qu.: 8.00 3rd Qu.:17.0 3rd Qu.: 25.0 3rd Qu.: 72.0 3rd Qu.
: 56.0
Max. :225.00 Max. :99.0 Max. :538.0 Max. :1641.0 Max.
:1144.0
branchCount      defects
Min. : 1.00 Mode :logical
1st Qu.: 1.00 FALSE:1032
Median : 5.00 TRUE :76
Mean : 9.58
3rd Qu.: 11.00
Max. :236.00

```

```

> x<-DS$loc
> y<-DS$lOCode + DS$lOBlank
> t.test(x,y)

```

welch Two Sample t-test

```

data: x and y
t = -0.0017, df = 2214, p-value = 1
alternative hypothesis: true difference in means is not equal to 0
95 percent confidence interval:
-2.943 2.938
sample estimates:
mean of x mean of y
23.4 23.4

```

**Answer:**

The ratio of defect-prone to not-defect-prone is 0.07364.

We noticed that:

*[DS\$loc == DS\$IOCode + DS\$IOBlank]*

, as proven by working code shown above, where the p-value is = 1, we accept the null hypothesis that they are equal in terms of mean.

Since they are the same, we have decided that [DS\$loc] is redundant and will be removed in pre-processing in next step.

Because all other data the column can take in the Dataset has more than 1 distinct value and does not seem redundant, we are not excluding them, since we do not understand the data enough yet to make any statements about their importance and whether they are useless in our analysis.

All columns having distinct dataset is found by using the code “apply(DS,2,unique)”.

## 2. Pre-processing

*Working:*

```
> #we are making a pre-processed dataset that does not include [DS$loc] f  
or use in our analysis  
> DSpreprocessed = DS[,2:22]  
>  
  
> #encode defects column as factor for analysis  
> DSpreprocessed$defects = as.factor(DSpreprocessed$defects)
```

*Answer:*

We created a dataframe that excludes [DS\$loc] in pre-processing, because the column is redundant in our analysis.

Also, [DSpreprocessed\$defects] column is encoded as factors in pre-processing for analysis.

### 3. Separating datasets

*Working:*

```
> #separate into training and test dataset  
> set.seed(28055322) #random seed  
> train.row = sample(1:nrow(DSpreprocessed), 0.7*nrow(DSpreprocessed))  
> iris.train = DSpreprocessed[train.row,]  
> iris.test = DSpreprocessed[-train.row,]
```

*Answer:*

We separated our pre-processed dataset into training and test dataset for our analysis later.

## 4. and 5. Creating Classifiers and testing their accuracy.

Here, we will create the following classifiers and test their accuracy:

- Decision Tree
- Naïve Bayes
- Bagging
- Boosting
- Random Forest

*Working:*

### **Tree model:**

```
> #build tree with training data, with defect as target, and others as predictors
> #and calculate its accuracy
> dtreefit <- tree(defects ~. ,data = iris.train)
> treepredict <- predict(dtreefit, iris.test, type = "class")
>
> v <- table(observed = iris.test$defects , predicted = treepredict)
> v
      predicted
observed FALSE TRUE
  FALSE   304   15
  TRUE    10    4
> accuracy <- (v[1] + v[4])/(sum(v))
> accuracy
[1] 0.9249
```

### **Naive Bayes model:**

```
> #build Naive Bayes classifier, and calculate accuracy
> dbayesfit <- naiveBayes(defects ~. ,data = iris.train)
> bayespredict <- predict(dbayesfit, iris.test)
>
> v <- table(observed = iris.test$defects , predicted = bayespredict)
> v
      predicted
observed FALSE TRUE
```



```

FALSE 295 24
TRUE   7  7
> accuracy <- (v[1] + v[4])/(sum(v))
> accuracy
[1] 0.9069

```

### **Bagging model:**

```

> #build classifier via bagging, and calculate accuracy
> dbagfit <- bagging(defects ~. ,data = iris.train, mfinal = 10)
> bagpredict <- predict.bagging(dbagfit, newdata = iris.test)
>
> v <- table(observed = iris.test$defects , predicted = bagpredict$class)
> v
      predicted
observed FALSE TRUE
FALSE    315    4
TRUE      11    3
> accuracy <- (v[1] + v[4])/(sum(v))
> accuracy
[1] 0.955

```

### **Boosting model:**

```

> #build classifier via boosting, and calculate accuracy
> dboostfit <- boosting(defects ~. ,data = iris.train, mfinal = 100)
> boostpredict <- predict.boosting(dboostfit, newdata = iris.test)
>
> v <- table(observed = iris.test$defects , predicted = boostpredict$class)
> v
      predicted
observed FALSE TRUE
FALSE    313    6
TRUE       9    5
> accuracy <- (v[1] + v[4])/(sum(v))
> accuracy
[1] 0.955

```

### **Random Forest model:**

```

> #build random forest classifier, and calculate accuracy
> drandomforestfit <- randomForest(defects ~. ,data = iris.train)
> randomforestpredict <- predict(drandomforestfit, newdata = iris.test)
>

```

```
> v <- table(observed = iris.test$defects , predicted = randomforestpredi
ct)
> v
      predicted
observed FALSE TRUE
  FALSE   314    5
  TRUE     9    5
> accuracy <- (v[1] + v[4])/(sum(v))
> accuracy
[1] 0.958
```

***Answer:***

Accuracy for each model:

- Tree: 0.9249
- Naïve Bayes: 0.9069
- Bagging: 0.955
- Boosting: 0.955
- Random Forest: 0.958

## 6. Assessing classifier performance

Here, we plot False positive rate against true positive rate for classifiers, and also calculating their AUC score(for assessing classifier performance)

*Working:*

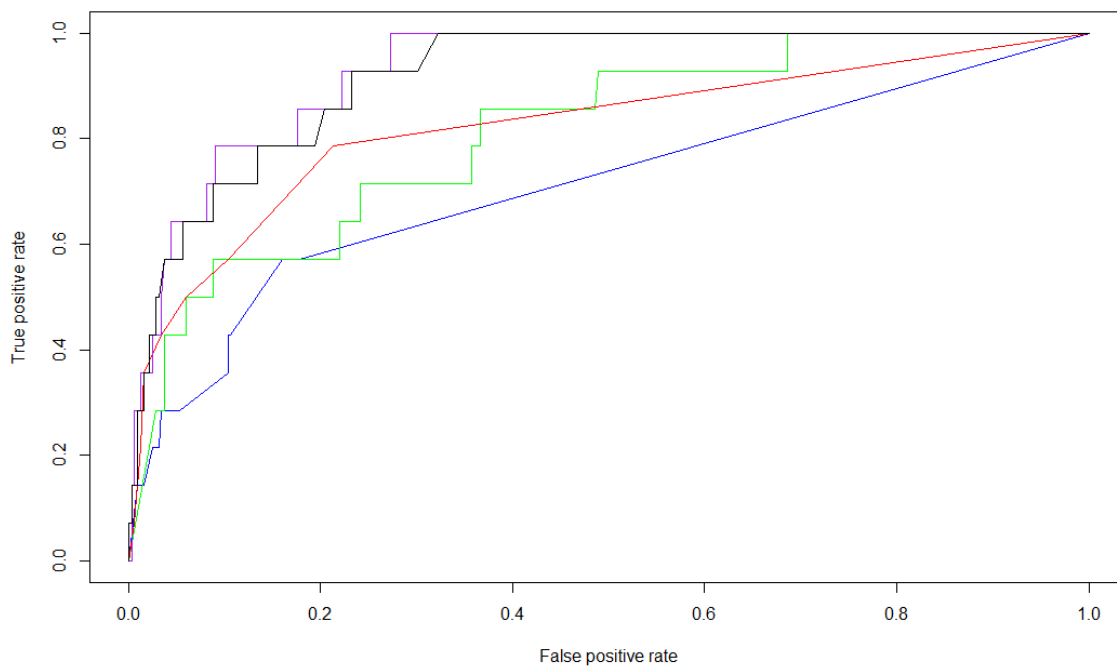
```
> ##### Question 6 #####
>
> #get confidence level for all classifiers
> conf.tree.predict <- predict(dtreesfit, iris.test)
> conf.bayes.predict <- predict(dbayesfit, iris.test, type = "raw")
> conf.bag.predict<- bagpredict$prob
> conf.boosting.predict<- boostpredict$prob
> conf.randomforest.predict <- predict(drandomforestfit, iris.test, type
= "prob")
>
>
>
> #plot ROC for all classifier
> prediction.tree <- prediction(conf.tree.predict[,2], iris.test$defects)
> perf.tree <- performance(prediction.tree, "tpr", "fpr")
> plot(perf.tree,col = "blue")
>
>
> prediction.bayes <- prediction(conf.bayes.predict[,2], iris.test$defect
s)
> perf.bayes <- performance(prediction.bayes, "tpr", "fpr")
> plot(perf.bayes,add = TRUE, col = "green")
>
>
>
> prediction.bag <- prediction(conf.bag.predict[,2], iris.test$defects)
> perf.bag <- performance(prediction.bag, "tpr", "fpr")
> plot(perf.bag,add = TRUE, col = "red")
>
>
>
> prediction.boosting <- prediction(conf.boosting.predict[,2], iris.test$
defects)
> perf.boosting <- performance(prediction.boosting, "tpr", "fpr")
> plot(perf.boosting,add = TRUE, col = "purple")
>
>
>
> prediction.forest <- prediction(conf.randomforest.predict[,2], iris.tes
t$defects)
> perf.forest <- performance(prediction.forest, "tpr", "fpr")
> plot(perf.forest,add = TRUE, col = "black")
>
>
> #calculate AUC and print
```

```

> auctree <- performance(prediction.forest,"auc")
> print(as.numeric(auctree@y.values))
[1] 0.9183
>
> auctbayes <- performance(prediction.bayes,"auc")
> print(as.numeric(auctbayes@y.values))
[1] 0.8115764
>
> auctbag <- performance(prediction.bag,"auc")
> print(as.numeric(auctbag@y.values))
[1] 0.8215
>
> aucboosting <- performance(prediction.boosting,"auc")
> print(as.numeric(aucboosting@y.values))
[1] 0.9276
>
> aucforest <- performance(prediction.forest,"auc")
> print(as.numeric(aucforest@y.values))
[1] 0.9183

```

*Graph:*



***Answer:***

For, AUC values, please refer to question 7's table.

## 7. Classifier performance assessment

*Table:*

Classifier	Accuracy	AUC score
Tree	0.9249	0.9183
Naïve Bayes	0.9069	0.8115764
Bagging	0.955	0.8215
Boosting	0.955	0.9276
Random forest	0.958	0.9183

***Answer:***

In terms of accuracy for predicting the test data used for our analysis, Random forest classifier is the best here. In terms of AUC score in relation to the test data used for our analysis, Boosting classifier is best in this regard.

For “best” classifier, there seems to be no clear winner between our Boosting and Random forest classifier.

If the test data used for our analysis changes, such as when larger testing data is used, the values for Accuracy and AUC score would change then, due to a different test data, and with that, it may be possible to determine the best classifier here.

## 8. Determining most important variables in our data

We do this by finding the most important variables in our classifiers.

*Working:*

```
> ##### Question 8 #####
>
> dtreefit
node), split, n, deviance, yval, (yprob)
    * denotes terminal node

1) root 775 400 FALSE ( 0.920 0.080 )
  2) loCodeAndComment < 6.5 527 100 FALSE ( 0.972 0.028 )
    4) l < 0.46 491 80 FALSE ( 0.984 0.016 )
      8) loComment < 2.5 411 30 FALSE ( 0.995 0.005 )
        16) loCode < 3.5 44 20 FALSE ( 0.955 0.045 )
          32) loCodeAndComment < 0.5 37 0 FALSE ( 1.000 0.000 ) *
          33) loCodeAndComment > 0.5 7 8 FALSE ( 0.714 0.286 ) *
        17) loCode > 3.5 367 0 FALSE ( 1.000 0.000 ) *
        9) loComment > 2.5 80 40 FALSE ( 0.925 0.075 )
          18) uniq_Op < 10.5 36 0 FALSE ( 1.000 0.000 ) *
          19) uniq_Op > 10.5 44 40 FALSE ( 0.864 0.136 )
            38) i < 31.815 28 9 FALSE ( 0.964 0.036 ) *
            39) i > 31.815 16 20 FALSE ( 0.688 0.312 )
              78) n < 149 10 10 FALSE ( 0.500 0.500 ) *
              79) n > 149 6 0 FALSE ( 1.000 0.000 ) *
        5) l > 0.46 36 40 FALSE ( 0.806 0.194 )
          10) v < 33.935 27 0 FALSE ( 1.000 0.000 ) *
          11) v > 33.935 9 10 TRUE ( 0.222 0.778 ) *
      3) loCodeAndComment > 6.5 248 200 FALSE ( 0.810 0.190 )
        6) loComment < 37.5 232 200 FALSE ( 0.845 0.155 )
          12) loComment < 1.5 51 60 FALSE ( 0.706 0.294 )
            24) n < 202 39 50 FALSE ( 0.615 0.385 )
              48) loCode < 26.5 33 40 FALSE ( 0.697 0.303 ) *
              49) loCode > 26.5 6 5 TRUE ( 0.167 0.833 ) *
            25) n > 202 12 0 FALSE ( 1.000 0.000 ) *
          13) loComment > 1.5 181 100 FALSE ( 0.884 0.116 )
            26) loBlank < 10.5 174 100 FALSE ( 0.902 0.098 )
              52) uniq_Opnd < 15.5 22 0 FALSE ( 1.000 0.000 ) *
              53) uniq_Opnd > 15.5 152 100 FALSE ( 0.888 0.112 )
                106) loCode < 19.5 22 30 FALSE ( 0.682 0.318 )
                  212) loBlank < 0.5 9 0 FALSE ( 1.000 0.000 ) *
                  213) loBlank > 0.5 13 20 TRUE ( 0.462 0.538 ) *
                107) loCode > 19.5 130 70 FALSE ( 0.923 0.077 )
                  214) uniq_Opnd < 35.5 54 10 FALSE ( 0.981 0.019 )
                    428) i < 25.76 5 5 FALSE ( 0.800 0.200 ) *
                    429) i > 25.76 49 0 FALSE ( 1.000 0.000 ) *
                  215) uniq_Opnd > 35.5 76 60 FALSE ( 0.882 0.118 )
                    430) branchCount < 24.5 57 50 FALSE ( 0.842 0.158 ) *
                    431) branchCount > 24.5 19 0 FALSE ( 1.000 0.000 ) *
                27) loBlank > 10.5 7 10 TRUE ( 0.429 0.571 ) *
```

```

7) lOComment > 37.5 16 20 TRUE ( 0.312 0.688 )
14) uniq_Opnd < 69.5 7 8 FALSE ( 0.714 0.286 ) *
15) uniq_Opnd > 69.5 9 0 TRUE ( 0.000 1.000 ) *

> #print summary and importance data, to determine most
important variables for each classifier
> summary(dtreefit)

Classification tree:
tree(formula = defects ~ ., data = iris.train)
Variables actually used in tree construction:
 [1] "lOCodeAndComment" "l"
 [3] "lOComment"        "lOCode"
 [5] "uniq_Op"          "i"
 [7] "n"                "v"
 [9] "lOBlank"          "uniq_Opnd"
[11] "branchCount"
Number of terminal nodes: 22
Residual mean deviance: 0.235 = 177 / 753
Misclassification error rate: 0.0542 = 42 / 775
> dbagfit$importance
      b      branchCount      d
0.0000      0.7568      2.4709
      e      ev.g.      i
3.7522      0.8004      9.5081
      iv.g.      l      lOBlank
0.9000      3.5950      12.6414
      lOCode lOCodeAndComment lOComment
8.0869      11.0901      16.0507
      n      t      total_Op
11.4449      0.0000      2.1883
      total_Opnd      uniq_Op      uniq_Opnd
0.9326      1.3570      3.3574
      v      v.g.
9.8988      1.1688
> dboostfit$importance
      b      branchCount      d
0.000      1.786      6.748
      e      ev.g.      i
3.345      1.715      12.953
      iv.g.      l      lOBlank
2.163      1.806      7.447
      lOCode lOCodeAndComment lOComment
6.483      12.832      10.756
      n      t      total_Op
6.974      0.000      2.546
      total_Opnd      uniq_Op      uniq_Opnd
2.694      4.846      7.894
      v      v.g.
3.072      3.938
> drandomforestfit$importance
      MeanDecreaseGini
v.g.      2.875
ev.g.      1.306
iv.g.      2.290
n      6.100

```



v	7.556
l	3.189
d	4.908
i	8.080
e	6.044
b	4.236
t	6.126
lOCode	6.742
lOComment	9.734
lOBlank	7.192
lOCodeAndComment	7.896
uniq_Op	4.326
uniq_Opnd	7.910
total_Op	5.940
total_Opnd	6.083
branchCount	2.907

*Answer:*

## **Tree**

Important variables for decision tree are:

- [1] "lOCodeAndComment"
- [2] "l"
- [3] "lOComment"
- [4] "lOCode"
- [5] "uniq\_Op"
- [6] "i"
- [7] "n"
- [8] "v"
- [9] "lOBlank"
- [10] "uniq\_Opnd"
- [11] "branchCount"

The most important variable for tree is "lOCodeAndComment", because it is the root node, which means it gives the most information gain and is therefore the most important.

The variables that can be omitted from tree are, the ones that are not important, meaning the ones that are not shown in the list of the 11 important variables for the decision tree above.

## **Bagging**

Important variables for our Bagging classifier are:

- [1] "lOComment"
- [2] "lOBlank"
- [3] "lOCodeAndComment"
- [4] "n"
- [5] "v"
- [6] "i"

- [7] "lOCode"

The most important variable for Bagging Classifier is "lOComment", with an importance value of 16.0507.

The variables that can be omitted from the classifier are, the ones that are not important, as in those that are not shown in the list of its 7 important variables above.

## **Boosting**

Important variables for the Boosting classifier are:

- [1] "i"
- [2] "lOCodeAndComment"
- [3] "lOComment"
- [4] "uniq\_Opnd"
- [5] "lOBlank"
- [6] "n"
- [7] "d"
- [8] "lOcode"
- [9] "uniq\_Op"

The most important variable for our Boosting classifier is "i", with an importance value of 12.953.

Variables that can be omitted are the ones that are not in the list of the 9 important variables directly above this.

## **Random Forest**

Important variables for Random Forest classifier are:

- [1] "lOComment"
- [2] "i"
- [3] "uniq\_Opnd"
- [4] "lOCodeAndComment"
- [5] "lOBlank"
- [6] "lOCode"
- [7] "t"
- [8] "n"
- [9] "total\_Opnd"
- [10] "total\_Op"
- [11] "d"

The most important variable for the Random Forest classifier is "lOComment", with an importance value of 9.734.

Variables that can be omitted are the ones that are not in the list of the 9 important variables directly above this.

Reason for omitting variables: Because they are not important.

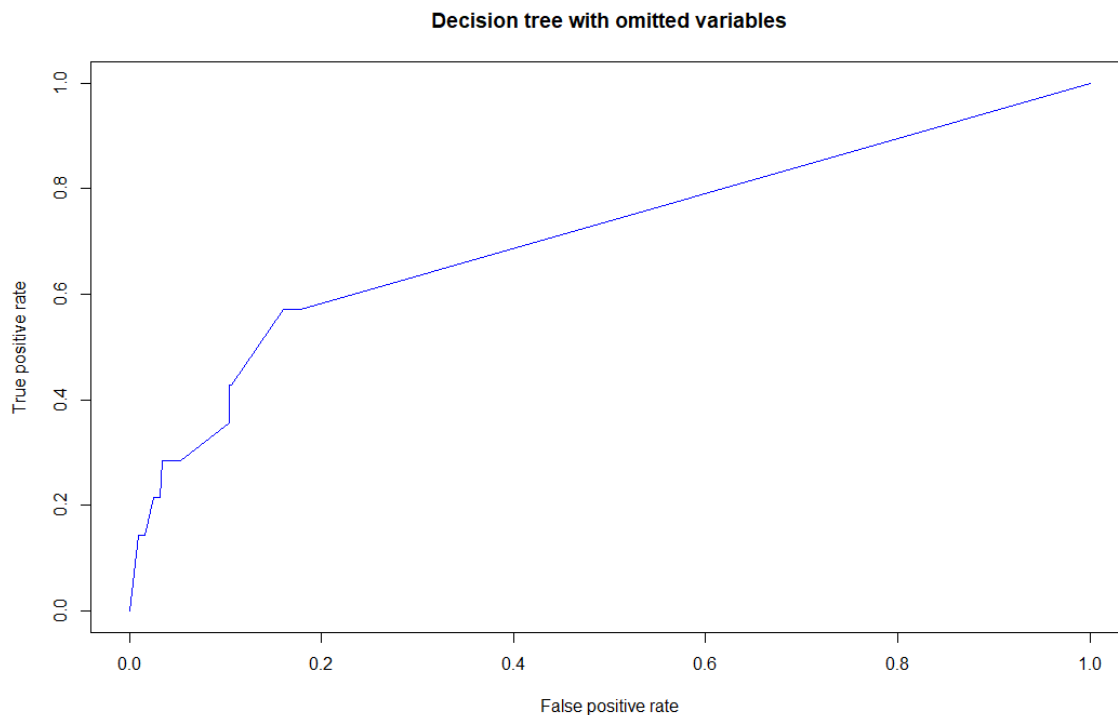
## 9. Modified tree with only important variables, and its performance

*Working:*

```
>
> #save random seed
> x<- .Random.seed
>
>
>
> ##### Question 9 #####
>
>
>
> set.seed(x)
>
>
> #make a decision tree, with omitted variables
> new.treefit <- tree(defects ~ loCodeAndComment + l + loComment + loCode
+ uniq_Op + i + n + v + loBlank + uniq_Opnd + branchCount, data = iris.t
rain)
> new.treepredict <- predict(new.treefit, iris.test,type = "class")
>
>
> #calculate accuracy and print
>
> v <- table(observed = iris.test$defects , predicted = new.treepredict)
> v
      predicted
observed FALSE TRUE
  FALSE   307   12
  TRUE    10    4
> accuracy <- (v[1] + v[4])/(sum(v))
> accuracy
[1] 0.9339
>
> #plot ROCR
> new.conf.tree.predict <- predict(new.treefit, iris.test)
> new.prediction.tree <- prediction(new.conf.tree.predict[,2], iris.test$
defects)
> new.perf.tree <- performance(new.prediction.tree, "tpr", "fpr")
> plot(new.perf.tree,col = "blue", main = "Decision tree with omitted var
iables")
>
> #calculate AUC and print
> new.auctree <- performance(new.prediction.tree,"auc")
> print(as.numeric(new.auctree@y.values))
[1] 0.7109
>
```

```
>
>
>
> y <- .Random.seed
```

**Graph:**



**Answer:**

As shown in the Code workings, the decision tree created by omitting non-important variables has improved accuracy, but poorer AUC score, compared to the original decision with nothing omitted.

New tree(made with only important variables shown in the section for “Determining most important variables in our data”):

**Accuracy | AUC score**

0.9339	0.7109
--------	--------

Original tree(with all attributes included):

**Accuracy | AUC score**

0.9249	0.9183
--------	--------

## 10. Neural network classifier and its performance

*Working:*

```
> ##### Question 10 #####
> set.seed(y)
>
> #make indicators for neuralnet
> iris.test$thedefects <- iris.test$defects == TRUE
> iris.test$thenondefects <- iris.test$defects == FALSE
> iris.train$thedefects <- iris.train$defects == TRUE
> iris.train$thenondefects <- iris.train$defects == FALSE
>
> #make neural network classifier, and check accuracy, using 3 most important variables from each classifier in question 8
> nnfit = neuralnet(thedefects + thenondefects~ 10Comment + 1 + 10CodeAndComment + i + 10Blank + uniq_Opnd, iris.train , hidden=2, threshold = 0.01)
>
> #does not need the target attribute for predicting
> nnpredict <- compute(nnfit, iris.test[,1:20])
> round.nnpredict <- round(nnpredict$net.result,0)
>
> df.round.nnpredict <- as.data.frame(as.table(round.nnpredict))
>
> s.df.round.nnpredict <- df.round.nnpredict[!df.round.nnpredict$Freq==0,]
> s.df.round.nnpredict$FREQ = NULL
> colnames(s.df.round.nnpredict) = c("Obs", "defects")
> s.df.round.nnpredict = s.df.round.nnpredict[order(s.df.round.nnpredict$Obs),]
> #calculate accuracy and print
>
> v <- table(observed = iris.test$thedefects , predicted = s.df.round.nnpredict$defects)
> v
      predicted
observed   A    B
  FALSE    3  316
   TRUE    3   11
> accuracy <- (v[2] + v[3])/(sum(v))
> accuracy
[1] 0.958
```

*Answer:*

For our data, the classifier's accuracy is as good as Random Forest's classifier's accuracy.

This is because it used the 3 most important attributes of all other classifier for classifying, and it is Neural network.

## Summary:

### Question//Step 1(Data exploration):

- We explored the data and found that:
  - $[DS\$loc == DS\$IOCode + DS\$IOBlank]$
  - *All other attributes seems normal, and we don't know enough about them to exclude*

### Question//Step 2(Pre-processing):

- Excluded  $[DS\$loc]$  in our pre-processing because it is redundant

In Question//Step 3 to Question//Step 7, we made classifiers for tree, naïve baiyes, etc, and summary of its performance is shown in the table:

Table:

Classifier	Accuracy	AUC score
Tree	0.9249	0.9183
Naïve Bayes	0.9069	0.8115764
Bagging	0.955	0.8215
Boosting	0.955	0.9276
Random forest	0.958	0.9183

### Question 8(Determining most important variables in our data):

- Found the most important variables for the classifiers

### Question `10(Neural network classifier):

- Made neural network classifier with 3 most important attributes from each classifier
- The classifier's accuracy is as good as Random Forest's classifier's accuracy, which is 0.958



