

# Variant calling

## Detecting variants in NGS data

### The Genome Analysis ToolKit (GATK)

University of Cambridge

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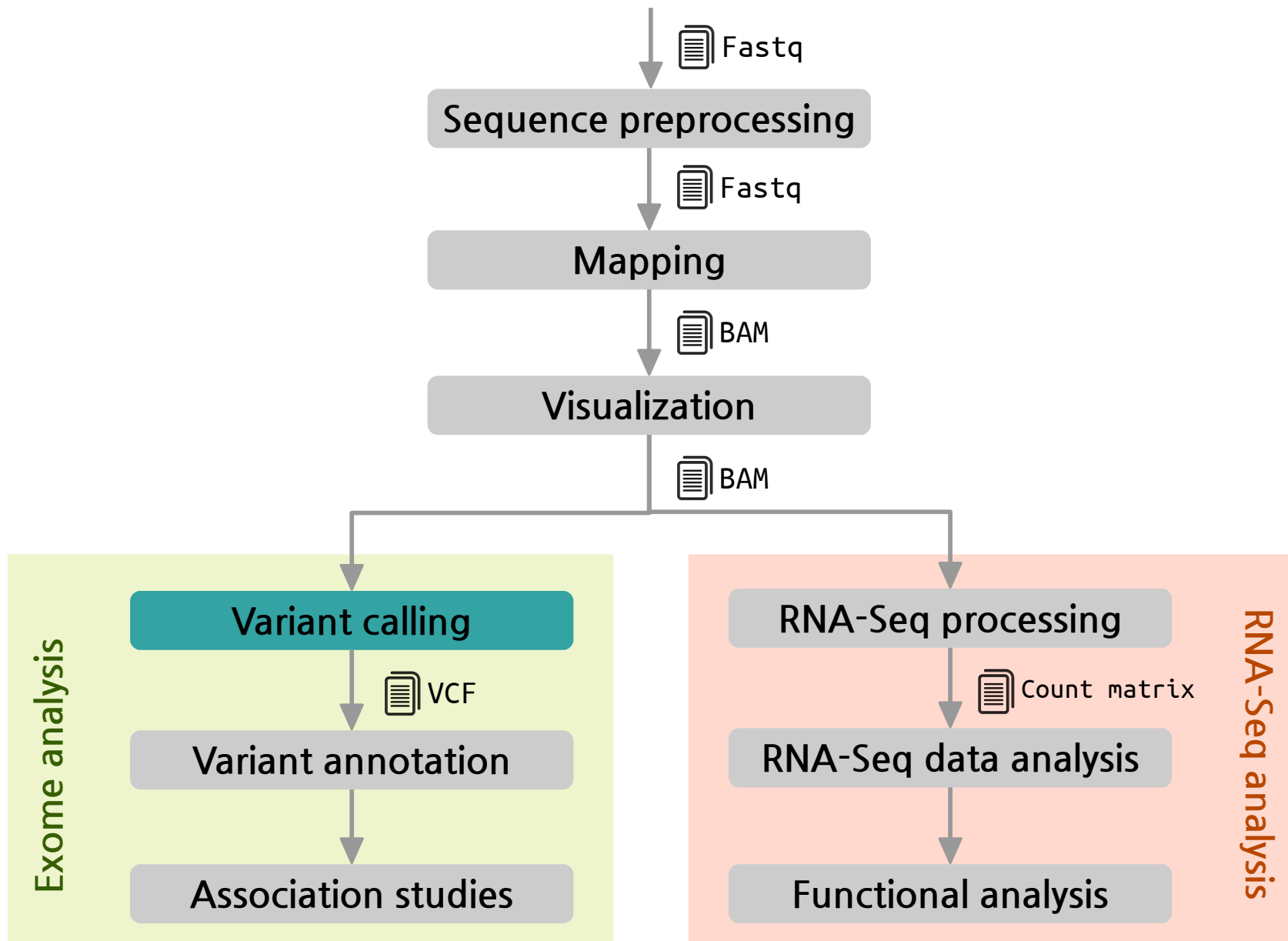
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Centro de Investigación Príncipe Felipe (CIPF)

Valencia, Spain

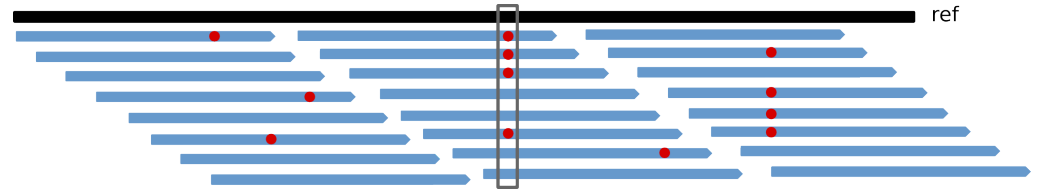
# The pipeline



# Objective

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Assign a genotype to each position



## Problems

Some variation observed in BAM files is caused by mapping and sequencing artifacts:

- **PCR artifacts:**
  - Mismatches due to errors in early PCR rounds
  - PCR duplicates
- **Sequencing errors:** erroneous call, either for physical reasons or to properties of the sequenced DNA
- **Mapping errors:** often happens around repeats or other low-complexity regions

Separate **true variation** from machine artifacts

# Variant calling process pipeline

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## 1. Mark duplicates

Duplicates should not be counted as additional evidence

## 2. Local realignment around INDELS

Reads mapping on the edges of INDELS often get mapped with mismatching bases introducing false positives

## 3. Base quality score recalibration (BQSR)

Quality scores provided by sequencing machines are generally inaccurate and biased

## 4. Variant calling

Discover variants and their genotypes

# 1. Mark duplicates

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- All NGS **sequencing platforms are NOT single molecule sequencing** → the same DNA molecule can be sequenced several times
- **PCR** → duplicate DNA fragments in the final library
- If there is a base variation it will have **high depth support**
- Can result in **false variant calls**

## Tools

- **Samtools**: `samtools rmdup` or `samtools rmdupse`
- **Picard**: `MarkDuplicates`

# 1. Mark duplicates

---

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- Can result in **false variant calls**

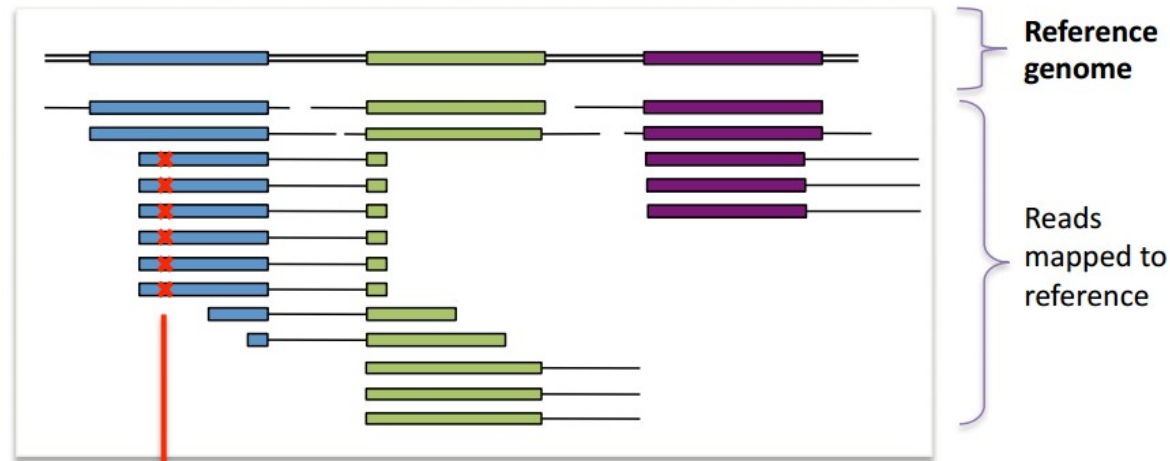
## Tools

- Samtools: `samtools rmdup` or `samtools rmdupse`
- Picard: `MarkDuplicates`

# 1. Mark duplicates

The reason why duplicates are bad

✗ = sequencing error propagated in duplicates



After marking duplicates, the GATK will only see :

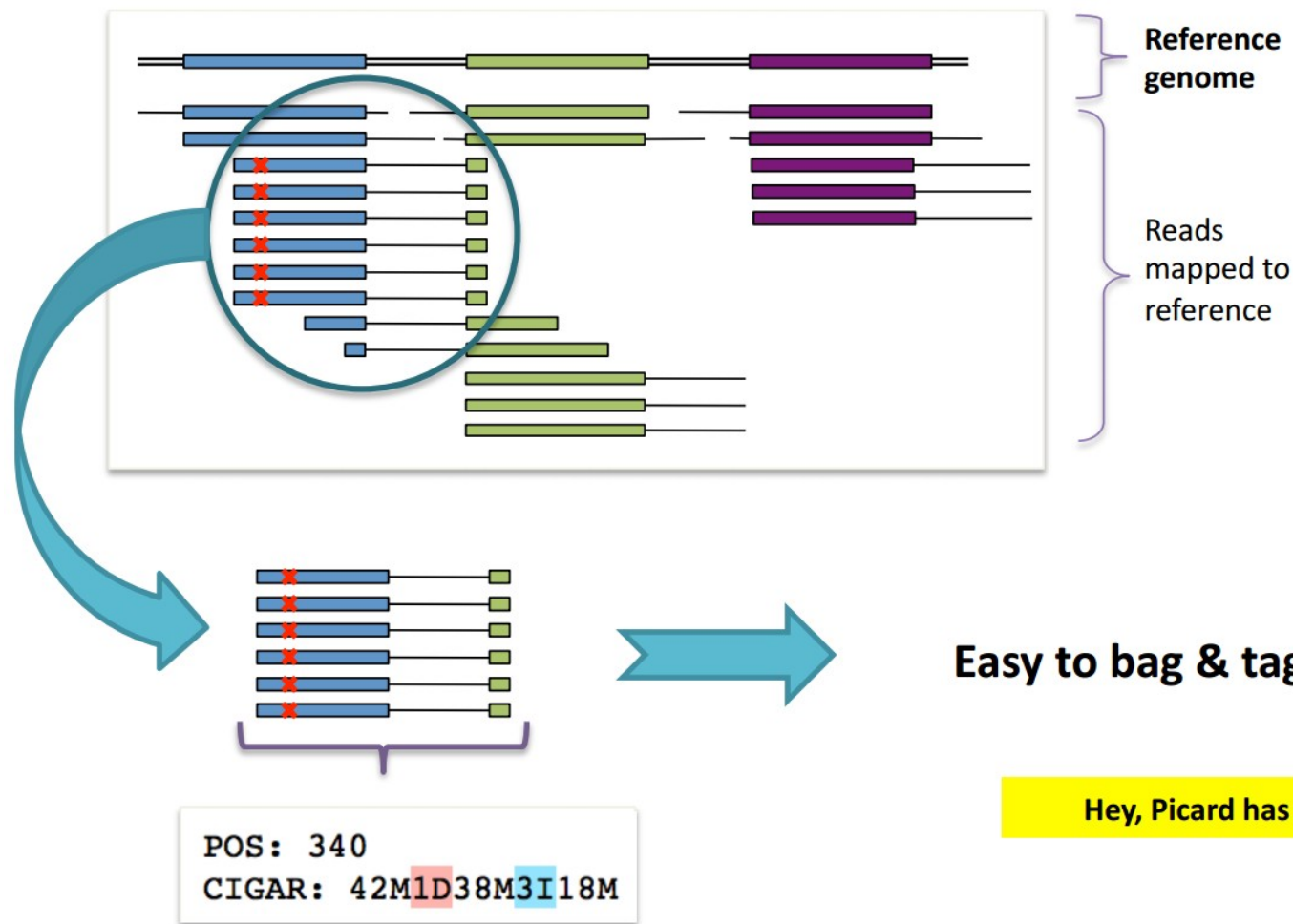


... and thus be more likely to make the right call

# 1. Mark duplicates

## Duplicate identification

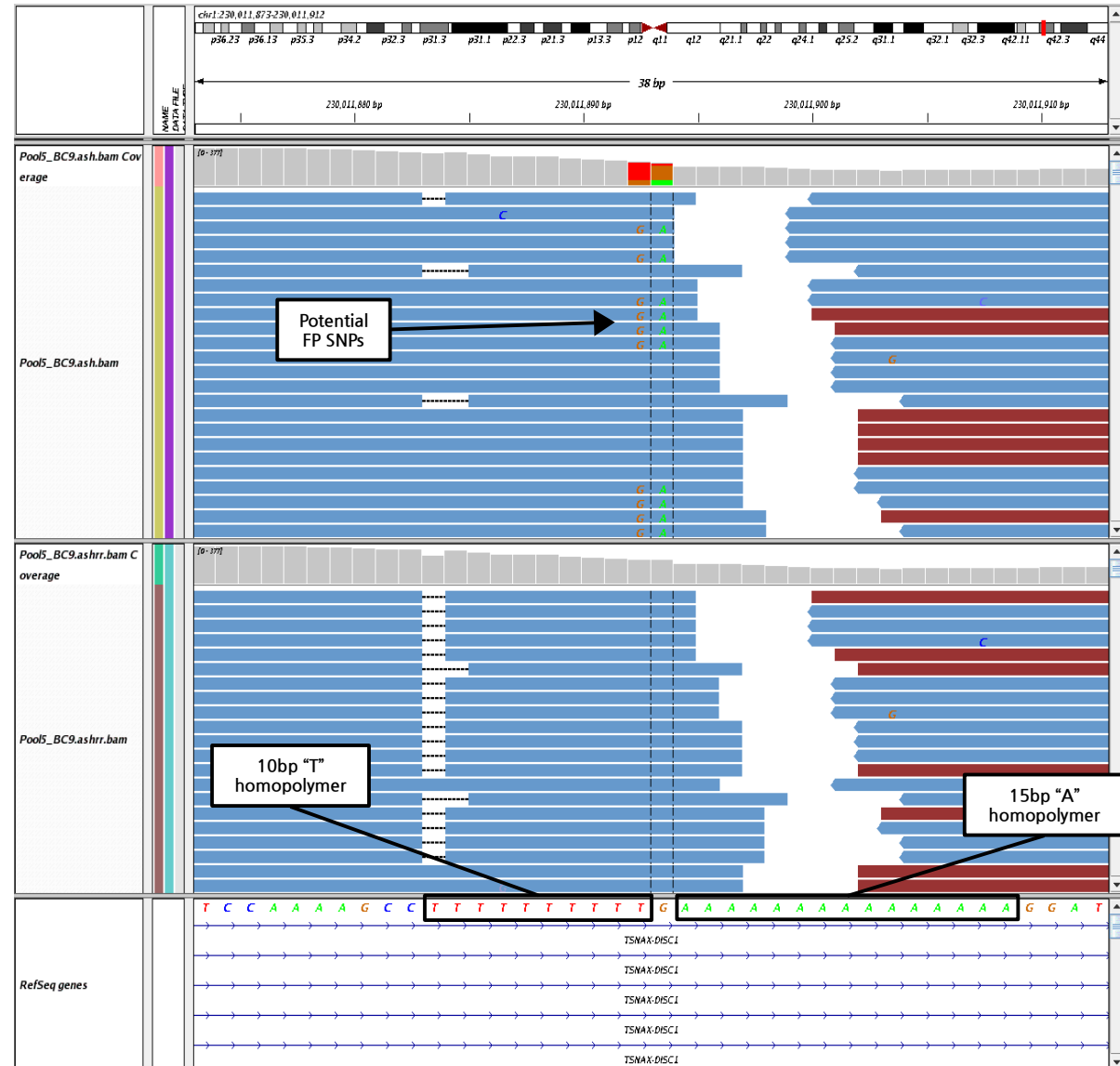
Duplicates have the **same starting position** and the **same CIGAR string**





## 2. Local realignment around INDELS

- Reads **near INDELS** are mapped with mismatches
- **Realignment** can identify the most consistent placement for these reads
  1. **Identify** problematic regions
  2. **Determine the optimal** consensus sequence
- **Minimizes mismatches** with the reference sequence
- **Refines** location of **INDELS**



DePristo MA, et al. A framework for variation discovery and genotyping using next-generation DNA sequencing data. Nat Genet. 2011 May;43(5):491-8. PMID: 21478889

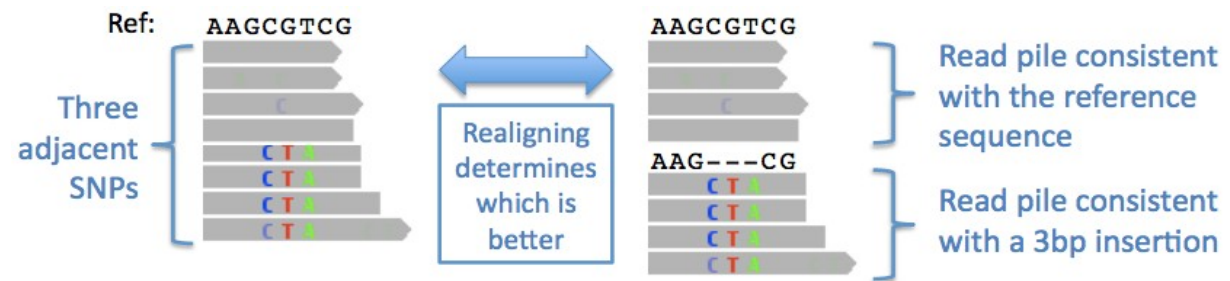
## 2. Local realignment around INDELS

- Reads **near INDELS** are mapped with mismatches
- **Realignment** can identify the most consistent placement for these reads

1. **Identify** problematic regions

2. **Determine the optimal** consensus sequence

- **Minimizes mismatches** with the reference sequence
- **Refines** location of **INDELS**



# 3. Base quality score recalibration

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- **Calling algorithms** rely heavily on the **quality scores** assigned to the individual base calls in each sequence read
- Unfortunately, the scores produced by the machines are subject to various sources of **systematic error**, leading to over- or under-estimated base quality scores in the data

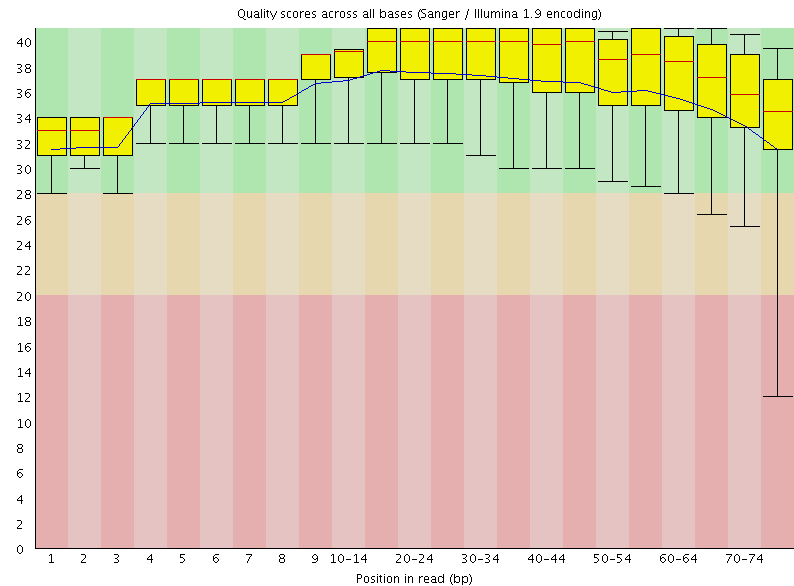
## How?

1. **Analyze covariation** among several features of a base:
  - Reported quality score
  - Position within the read
  - Preceding and current nucleotide
2. Use a set of **known variants** (i.e.: dbSNP) to model error properties of real polymorphism and determine the **probability that novel sites are real**
3. **Adjust** the quality scores of all reads in a BAM file

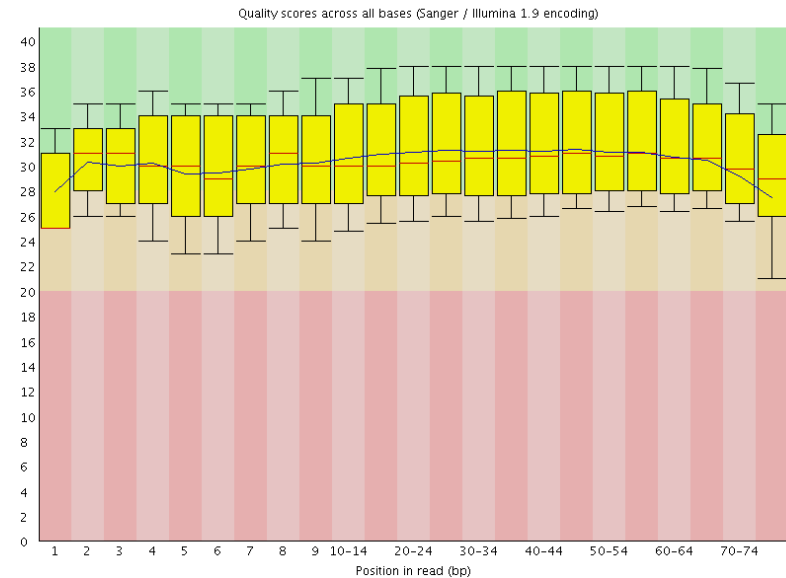
•

# 3. Base quality score recalibration

Before



After



Phred Quality score:

$$Q_{\text{Phred}} = -10 \log_{10} P(\text{error}).$$

A score of 20 corresponds to 1 % error rate in base calling

# 4. Variant calling

## Variant discovery process

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### Steps

1. **Variant calling:** Identify the positions that differ from the reference
2. **Genotype calling:** calculate the genotypes for each sample at these sites

### Initial approach

**Independent** base assumption

Counting the number of times each allele is observed

### Evolved approach

**Bayesian inference** → Compute genotype likelihood

Advantages:

Provide statistical measure of **uncertainty**

Lead to **higher accuracy** of genotype calling

# 4. Variant calling

## Variant discovery process

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Reference = **A**

# 4. Variant calling

## Variant discovery process



Reference = A

AAAAAAAAAAAAAAAAAAAAAAAAAAAA

GGGGGGGGGGGGGGGGGGGGGGGGGG

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGGG

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGCT

AAAGGGCCTT

$N$  = nucleotides  
 $G$  = true genotype  
 $R$  = reference base  
 $V$  = variant base  
 $X$  = variant nucleotides

Outcomes:  
RR RV VV

# Variant discovery process


$$N=30, \quad X=0$$
$$N=30, \quad X=30$$
$$N=30, \quad X=15$$
$$N=30, \quad X=12$$
$$N=10, \quad X=3$$

- $c = 30\%$        $X \leq c \rightarrow \mathbf{RR}, \quad X > c \rightarrow \mathbf{RV}$

- $N$  = nucleotides
- $G$  = true genotype
- $R$  = reference base
- $V$  = variant base
- $X$  = variant nucleotides

17



# 4. Variant calling

## Variant discovery process



Reference = **A**

AAAAAAAAAAAAAAAAAAAAAAAAAAAAA

$N=30, \quad X=0 \rightarrow \mathbf{RR}$

GGGGGGGGGGGGGGGGGGGGGGGGGGGG

$N=30, \quad X=30 \rightarrow \mathbf{VV}$

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGGGGG

$N=30, \quad X=15 \rightarrow \mathbf{RV}$

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGGGCT

$N=30, \quad X=12 \rightarrow \mathbf{RV}$

AAAGGGCCTT

$N=10, \quad X=3 \rightarrow \mathbf{RV?}$

Cutoff for  $X \rightarrow$  value or proportion

•  $c = 30\% \quad X \leq c \rightarrow \mathbf{RR}, \quad X > c \rightarrow \mathbf{RV}$

•  $c_1 = 10\%, \quad c_2 = 30\% \quad X \leq c_1 \rightarrow \mathbf{RR}$

$c_1 < X < c_2 \rightarrow \mathbf{RV}$

$X \geq c_2 \rightarrow \mathbf{RR}$

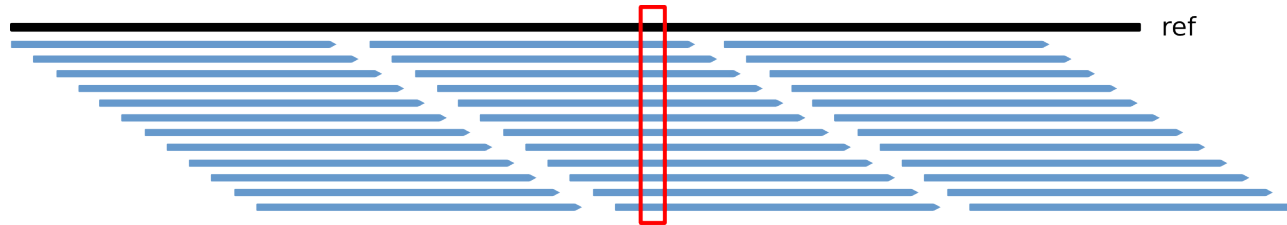
$N$  = nucleotides  
 $G$  = true genotype  
 $R$  = reference base  
 $V$  = variant base  
 $X$  = variant nucleotides

Outcomes:

RR RV VV

# 4. Variant calling

## Variant discovery process



### Bayesian approximation

$\alpha$  = nucleotide-base error rate

$N$  = nucleotides  
 $G$  = true genotype  
 $R$  = reference base  
 $V$  = variant base  
 $X$  = variant nucleotides

Outcomes:

RR   RV   VV

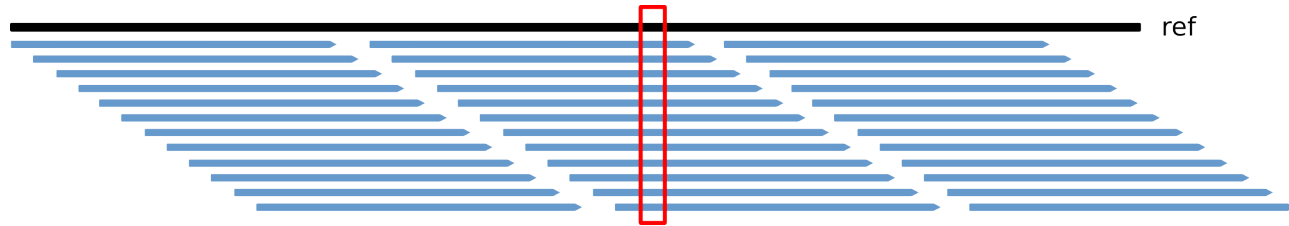
$P(G=RR, X|N, \alpha)$  = P of all R calls being correct and all V calls being wrong

$P(G=VV, X|N, \alpha)$  = P of all V calls being correct and all R calls being wrong

$P(G=RV, X|N, \alpha)$  = P of all R and V calls being correct

# 4. Variant calling

## Variant discovery process



### Bayesian approximation

$\alpha$  = nucleotide-base error rate

$N$  = nucleotides  
 $G$  = true genotype  
 $R$  = reference base  
 $V$  = variant base  
 $X$  = variant nucleotides

Outcomes:

RR   RV   VV

$$P(G=RR, X|N, \alpha) = \binom{N}{X} \alpha^X (1-\alpha)^{N-X}$$

$$P(G=VV, X|N, \alpha) = \binom{N}{X} (1-\alpha)^X \alpha^{N-X}$$

$$P(G=RV, X|N, \alpha) = \binom{N}{X} \left(\frac{1}{2}\right)^N$$

# 4. Variant calling

## Variant discovery process



### Bayesian approximation

$\alpha$  = nucleotide-base error rate

$p_{VV}$  }  
 $p_{VR}$  } Prior probabilities

$N$  = nucleotides  
 $G$  = true genotype  
 $R$  = reference base  
 $V$  = variant base  
 $X$  = variant nucleotides

Outcomes:  
RR   RV   VV

$$P(G=RR, X|N, \alpha) = \binom{N}{X} \alpha^X (1-\alpha)^{N-X} (1 - p_{VV} - p_{RV})$$

$$P(G=VV, X|N, \alpha) = \binom{N}{X} (1-\alpha)^X \alpha^{N-X} p_{VV}$$

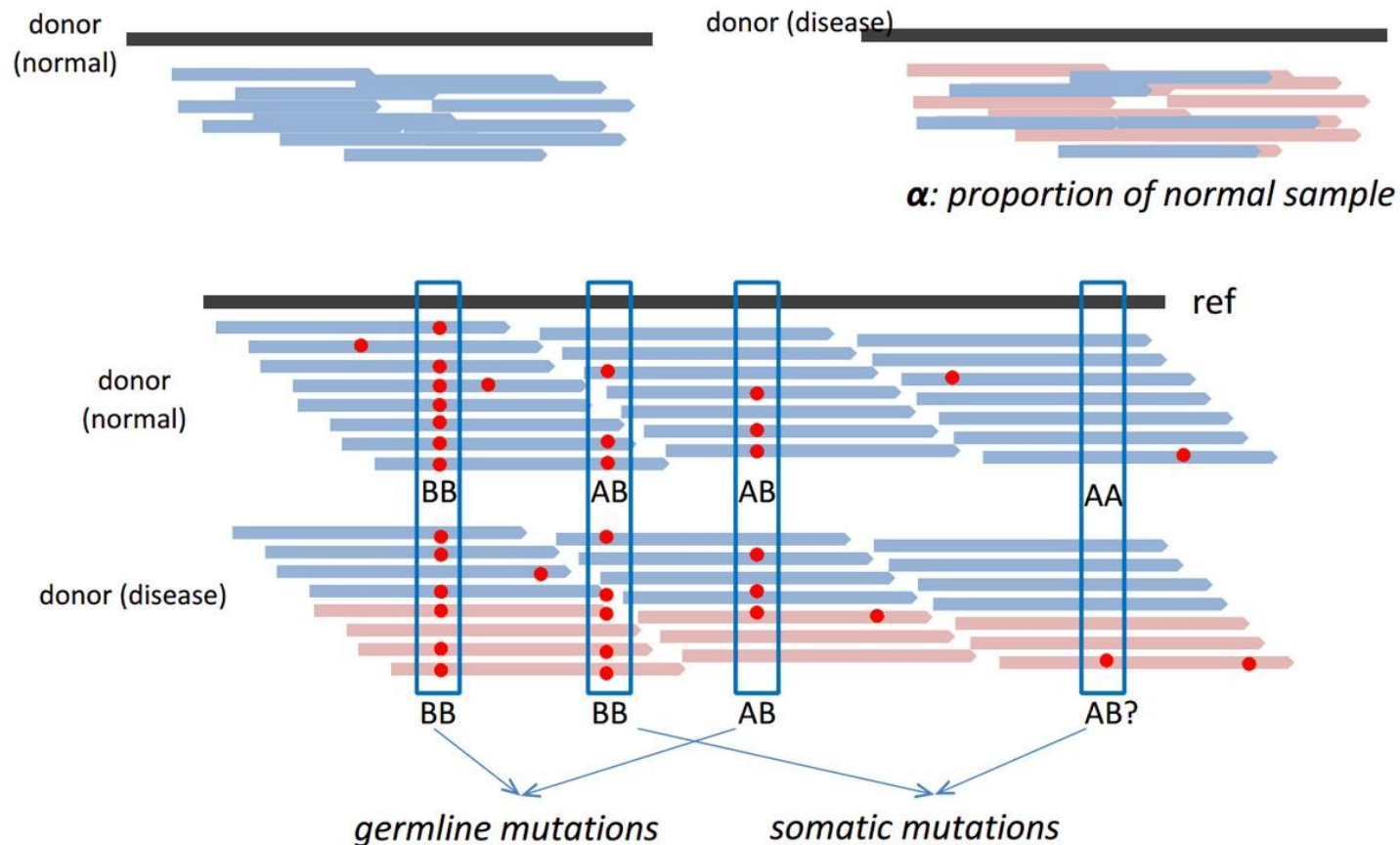
$$P(G=RV, X|N, \alpha) = \binom{N}{X} \left(\frac{1}{2}\right)^N p_{RV}$$

# Somatic calling

## Detecting somatic SNVs in cancer

### Challenges:

- Somatic variants occur at low frequency in genome
- Most tumors are impure and heterogeneous



# VCF file format

- Specification defined by the 1000 genomes (current version 4.2):  
<http://www.1000genomes.org/wiki/Analysis/Variant%20Call%20Format/vcf-variant-call-format-version-41>
- Commonly **compressed and indexed** with bgzip/tabix
- Single-sample or multi-sample VCF

```
##fileformat=VCFv4.1
##fileDate=20090805
##source=myImputationProgramV3.1
##reference=file:///seq/references/1000GenomesPilot-NCBI36.fasta
##contig=<ID=20,length=62435964,assembly=B36,md5=f126cdf8a6e0c7f379d618ff66beb2da,species="Homo sapiens",taxonomy=x>
##phasing=partial
##INFO=<ID=NS,Number=1,Type=Integer,Description="Number of Samples With Data">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Total Depth">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency">
##INFO=<ID=AA,Number=1,Type=String,Description="Ancestral Allele">
##INFO=<ID=DB,Number=0,Type=Flag,Description="dbSNP membership, build 129">
##INFO=<ID=H2,Number=0,Type=Flag,Description="HapMap2 membership">
##FILTER=<ID=q10,Description="Quality below 10">
##FILTER=<ID=s50,Description="Less than 50% of samples have data">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=HQ,Number=2,Type=Integer,Description="Haplotype Quality">
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT NA000001 NA000002 NA000003
20 14370 rs6054257 G A 29 PASS NS=3;DP=14;AF=0.5;DB;H2 GT:GQ:DP:HQ 0|0:48:1:51,51 1|0:48:8:51,51 1/1:43:5:..
20 17330 . T A 3 q10 NS=3;DP=11;AF=0.017 GT:GQ:DP:HQ 0|0:49:3:58,50 0|1:3:5:65,3 0/0:41:3
20 1110696 rs6040355 A G,T 67 PASS NS=2;DP=10;AF=0.333,0.667;AA=T;DB GT:GQ:DP:HQ 1|2:21:6:23,27 2|1:2:0:18,2 2/2:35:4
20 1230237 . T . 47 PASS NS=3;DP=13;AA=T GT:GQ:DP:HQ 0|0:54:7:56,60 0|0:48:4:51,51 0/0:61:2
20 1234567 microsat1 GTC G,GTCT 50 PASS NS=3;DP=9;AA=G GT:GQ:DP 0/1:35:4 0/2:17:2 1/1:40:3
```

# VCF file format

The diagram shows a VCF file header and sample data. The header fields are: #CHROM, POS, ID, REF, ALT, QUAL, FILTER, and INFO. The sample data is for three samples: NA00001, NA00002, and NA00003. The FORMAT field is split across the samples: GT, GQ, DP, and HQ. Arrows point from the labels 'genotype', 'genotype quality', 'read depth', and 'haplotype qualities' to the corresponding fields in the FORMAT field.

#CHROM	POS	ID	REF	ALT	QUAL	FILTER	INFO
20	14370	rs6054257	G	A	29	PASS	NS=3;DP=14;AF=0.5;DB;H2
FORMAT	NA00001	NA00002	NA00003				
GT:GQ:DP:HQ	0 0:48:1:51,51	1 0:48:8:51,51	1/1:43:5:.,.				

genotype genotype quality read depth haplotype qualities

- **CHROM:** chromosome
- **POS:** position
- **ID:** identifier
- **REF:** reference base(s)
- **ALT:** non-reference allele(s)
- **QUAL:** quality score of the calls (phred scale)
- **FILTER:** “PASS” or a filtering tag
- **INFO:** additional information
- **FORMAT:** describes the information given by sample

# Software

Software	Available from	Calling method	Prerequisites	Comments	Refs
SOAP2	<a href="http://soap.genomics.org.cn/index.html">http://soap.genomics.org.cn/index.html</a>	Single-sample	High-quality variant database (for example, dbSNP)	Package for NGS data analysis, which includes a single individual genotype caller (SOAPsnp)	15
realSFS	<a href="http://128.32.118.212/thorfinn/realSFS/">http://128.32.118.212/thorfinn/realSFS/</a>	Single-sample	Aligned reads	Software for SNP and genotype calling using single individuals and allele frequencies. Site frequency spectrum (SFS) estimation	-
Samtools	<a href="http://samtools.sourceforge.net/">http://samtools.sourceforge.net/</a>	Multi-sample	Aligned reads	Package for manipulation of NGS alignments, which includes a computation of genotype likelihoods (samtools) and SNP and genotype calling (bcftools)	53
GATK	<a href="http://www.broadinstitute.org/gsa/wiki/index.php/The_Genome_Analysis_Toolkit">http://www.broadinstitute.org/gsa/wiki/index.php/The_Genome_Analysis_Toolkit</a>	Multi-sample	Aligned reads	Package for aligned NGS data analysis, which includes a SNP and genotype caller (Unified Genotyper), SNP filtering (Variant Filtration) and SNP quality recalibration (Variant Recalibrator)	32,33
Beagle	<a href="http://faculty.washington.edu/browning/beagle/beagle.html">http://faculty.washington.edu/browning/beagle/beagle.html</a>	Multi-sample LD	Candidate SNPs, genotype likelihoods	Software for imputation, phasing and association that includes a mode for genotype calling	42
IMPUTE2	<a href="http://mathgen.stats.ox.ac.uk/impute/impute_v2.html">http://mathgen.stats.ox.ac.uk/impute/impute_v2.html</a>	Multi-sample LD	Candidate SNPs, genotype likelihoods	Software for imputation and phasing, including a mode for genotype calling. Requires fine-scale linkage map	44
QCall	<a href="ftp://ftp.sanger.ac.uk/pub/rd/QCALL">ftp://ftp.sanger.ac.uk/pub/rd/QCALL</a>	Multi-sample LD	'Feasible' genealogies at a dense set of loci, genotype likelihoods	Software for SNP and genotype calling, including a method for generating candidate SNPs without LD information (NLDA) and a method for incorporating LD information (LDA). The 'feasible' genealogies can be generated using Margarita ( <a href="http://www.sanger.ac.uk/resources/software/margarita">http://www.sanger.ac.uk/resources/software/margarita</a> )	54
MaCH	<a href="http://genome.sph.umich.edu/wiki/Thunder">http://genome.sph.umich.edu/wiki/Thunder</a>	Multi-sample LD	Genotype likelihoods	Software for SNP and genotype calling, including a method (GPT_Freq) for generating candidate SNPs without LD information and a method (thunder_glf_freq) for incorporating LD information	-

A more complete list is available from <http://seqanswers.com/wiki/Software/list>. LD, linkage disequilibrium; NGS, next-generation sequencing.



# GATK (Genome Analysis ToolKit)

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<http://www.broadinstitute.org/gatk/>

- Probabilistic method: **Bayesian estimation** of the most likely genotype
- Calculates many **parameters** for each position of the genome
- INDEL realignment
- Base quality recalibration
- SNP and INDEL calling
- **Multi-sample** calling
- Uses standard input and output files
- Used in **many NGS projects**, including the 1000 Genomes Project, The Cancer Genome Atlas, etc.

# GATK prerequisites

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- Requires Java (<http://www.oracle.com/technetwork/java/javase/downloads/index.html>)

- Check your java version

```
java -version
```

GATK  $\geq$  2.6 → Requires Java version 1.7

- Picard

- Website: <http://picard.sourceforge.net/>
- Go to Download page and select  
[Download picard-tools-1.114.zip \(48.0 MB\)](#)

- Testing:

```
java -jar AddOrReplaceReadGroups.jar -h
```

- Usage

```
java -jar <ToolName> [options]
```

## General Information

[FAQ](#)

[Download Page](#)

[Getting help](#)

[Picard SourceForge Project Page](#)

[SAMTools Home Page](#)

[SAM Format Specification](#)

[SAMTools mailing Lists](#)

[SVN Browse](#)


[Explain SAM Flags](#)

[Description of output of metrics programs](#)

# GATK installation

- GATK 3.1 download

<http://www.broadinstitute.org/gatk/>

- We need to register before download
- Go to Downloads and click 
- Accept the license agreement
- Extract the file in the applications folder

You must be logged into the forums to proceed

You do not seem to be logged into the forums

Register

Login Here »

- Check if GATK is working

Show GATK help

```
java -jar GenomeAnalysisTK.jar -h
```

- Usage

```
java -jar GenomeAnalysisTK.jar -T <ToolName> [arguments]
```

# MuTect installation

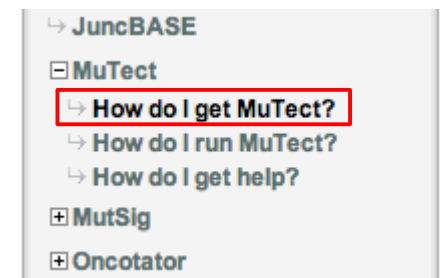
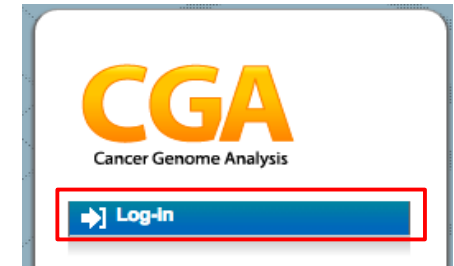
- MuTect download

<http://www.broadinstitute.org/cancer/cga/mutect>

- Click *Log-in* and go to the *Create new account* tab
- Fill the form
- Go to *How do I get mutect* and accept the license agreement
- Download the latest version

[muTect-1.1.4-bin.zip](#)

- Extract the file in the applications folder



- Check if MuTect is working

```
java -jar muTect-1.1.4.jar -h
```

- Usage

```
java -jar muTect-1.1.4.jar --analysis_type MuTect [arguments]
```

**THANK YOU.**