ML HW2 R09946023 吳偉樂

1) (c) { (1,1,3), (7,8,9), (15,16,17), (21,23,25) }

We can shatter X if

$$X = \begin{bmatrix} -X_1^T - \\ -X_2^T - \\ -X_3^T - \\ -X_4^T - \end{bmatrix}$$
 is invertible such that $Sign(Xw) = y$

$$X = \begin{bmatrix} -X_1^T - \\ -X_2^T - \\ -X_3^T - \\ -X_4^T - \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 7 & 8 & 9 \\ 1 & 15 & 16 & 17 \\ 1 & 21 & 23 & 25 \end{bmatrix} \quad \Rightarrow \quad \det \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 7 & 8 & 9 \\ 1 & 15 & 16 & 17 \\ 1 & 21 & 23 & 25 \end{bmatrix} = 0$$

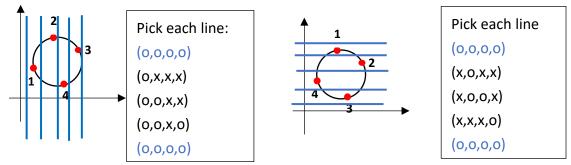
Note: (a),(e): Linear dependent; (b),(d): det [*] = 0

2) (d) 4N-2

Consider 4 points, arrange it on a circle (no collinear),

Separate it with only horizontal OR vertical line that are parallel to x-axis or y-axis.

All possible points (1,2,3,4) are: (Since it is symmetric, so I just draw half)

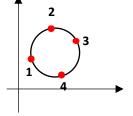


$$2\binom{N+1}{1}-1 = 2N$$
 (-1 for repeated point) $2\binom{N+1}{1}-1 = 2N$ (-1 for repeated point) Total: 4N

But we have (0,0,0,0) and (0,0,0,0) in vertical and horizontal case, so total **4N-2** Similarly for n points

3) (d) 3

 $w_0>0\;$ implies that the line DOES NOT pass through the origin. We use the graph in (2), we can't find a line such that (o,x,o,x) We still have infinite choices of lines even we do not restrict w_0 So the VC dimension is 3 (same as 2D-perceptrons).



Actually
$$d_{vc} = d + 1 = 3$$

4) (b)
$$\binom{N+1}{2} + 1$$

In 3-D dimensional space, we use a ring as our hypothesis sets.

Actually it is a hollow sphere which origin at (0,0,0), we project it into 2D space (x and y axis), and fix Z-axis in same high for simplicity.

Consider N = 4. We have Interval 1,2,3,4,5

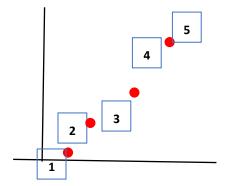
We can choose any 2 intervals, which are

a= smaller coordinate, b = larger coordinate,

then we have ${N+1 \choose 2}$ combination , and we still have

one more combination which a and b in same interval, means that all the points are -1

So the answer should be $\binom{N+1}{2}+1$



5) (b) 2

Use the growth function in (4),

When N = 2 ,
$$\binom{N+1}{2} + 1 = 4$$

When N = 3 ,
$$\binom{N+1}{2} + 1 = 7 < 2^3$$

So VC dimension = 2 (break point = 3, and vc dimension = min(break point) -1)

6) (d)

6. (d) 2
$$\sqrt{N} \ln(\frac{4m_{H}(2N)}{8})$$

Eaut (g) - Eaue (g) - En(g) + En(g) - En(g+) + En(g+) - Eaue (g+)

 $\leq E_{OUT}(g) - E_{OUT}(g) + E_{OUT}(g+) + E_{OUT}(g+) - E_{OUT}(g+)$
 $\leq E_{OUT}(g) - E_{OUT}(g) + E_{OUT}(g+) - E_{OUT}(g+)$
 $\leq E_{OUT}(g) - E_{OUT}(g+) + E_{OUT}(g+) - E_{OUT}(g+) + E_{OUT}(g+) - E_{OUT}(g+)$
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 $\leq E_{OUT}(g) - E_{OUT}(g+) + E_{OUT}(g+) + E_{OUT}(g+) + E_{OUT}(g+) + E_{OUT}(g+) - E_{OUT}(g+)$
 $\leq E_{OUT}(g) - E_{OUT}(g+) + E_{OUT$

7) (d) $\lfloor \log_2 M \rfloor$

We know that $H = \{ hypothesis \ h: X \to \{o, x\}^N \}$ and $H = \{ h_1, \dots, h_M \}$ $h(x_1, x_2, \dots, x_N) = (h(x_1), h(x_2), \dots, h(x_N)) \in \{o, x\}^N = 2^N$ 不管有多少個 h_i (即把 N input 分成 o 或 x 不同或相同組合的線(2d)、超平面 (3d)等等),我的最終組合都是 $\{o, x\}^N$

If $2^N > M$, means that we cannot shatter $X = (x_1, x_2, \dots, x_N)$

(Because we have at most M distinct combination from hypothesis line , but we have 2^N combination at here, so there exists some combination that we can't shatter) If $2^N \leq M$, means the hypothesis lines is more than or equal to the combinations of N inputs. $d_{vc}(H) = \{ \ largest \ N \ for \ which \ m_H(N) = 2^N \ \} = N$

So,
$$2^N \le M \implies N \log 2 \le \log M \implies N \le \frac{\log 2}{\log M} = \log_2 M$$

Since d_{vc} =N is an integer , so the nearest answer is $\lfloor \log_2 M \rfloor$

8) (d)

9) (c) 3

Since $d_{vc}(H)=d$, it means there exists d input such that $m_H(d)=2^d$. $m_H(d)$ is growth function that take max of all possible $(x_1,x_2,...,x_N)$. So it means that there are some set of d distinct inputs is shattered by H. d+1 is break point, means that any set of d+1 inputs is not shattered by H ,which contains some set of d+1 inputs is not shattered by H.

10) (c) sine family

[12. [ha ha (x) = sign (sin(d x))] for x,defR

Assume we have n inputs
$$\{34,...,3n\}$$
then we have the output $\{31,...,3n\}$ then we have the output $\{31,...,3n\}$ then

if $y=1$, means that $\sin dx > 0 \Leftrightarrow 0 < dx < \pi$, $2\pi < dx < 4\pi$,...

Since $x \neq 0$, we have $0 < x < \pi$, $2\pi < x < \pi$, $(d \neq 0)$

if $y=1$, means that $\sin dx < 0 < \pi$, $2\pi < x < \pi$, $(d \neq 0)$

if $y=1$, means that $\sin dx < 0 < \pi$, $\pi < \pi$ and $\pi < \pi$... $(d \neq 0)$

One point: if $y=1$, $x=\frac{1}{2}$, then we take $d=3\pi$

two points: if $y=1$, $x=\frac{1}{2}$, then we take $d=3\pi$

two points: $\frac{1}{2}$, $\frac{1}{2}$: take $d=5\pi$

observation: kt, where k is odd

 $\frac{1}{2}$ observation: kt, where k is odd

 $\frac{1}{2}$ of $\frac{1}{2}$ take $\frac{1}{2}$ of $\frac{1}{2}$ and input $\frac{1}{2}$ take $\frac{1}{2}$ of $\frac{1}{2}$ take $\frac{1}{2}$ take $\frac{1}{2}$ of $\frac{1}{2}$ o

11) (d)

11. (d) Eque
$$(h,0) = \frac{\text{Eoue}(h,z) - z}{1 - 2z}$$

(c) correct, W : wrong

$$E_{\text{ove}}(h,z) = \frac{Cz + \omega(z-z)}{z+\omega}$$

$$= \frac{\omega + z(z-\omega)}{z+\omega} = \frac{\omega + z(z-\omega)}{z+\omega} = \frac{\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} + \frac{z-\omega}{z+\omega} = \frac{z-\omega}{z+\omega} + \frac{z$$

12) (b) 0.6

$$E_{out}(f(x)) = E err(f, y)$$

$$P(y|x) = \begin{cases} 0.7 & y = f(x) \\ 0.1, & y = f(x) \mod 3 + 1 \\ 0.2 & y = (f(x) + 1) \mod 3 + 1 \end{cases}$$

So,

$$f(x) = \begin{cases} 1 & err = 0.1 + 4(0.2) = 0.9 \\ 2, & err = 0.1 + 0.2 = 0.3 \\ 3 & err = 4(0.1) + 0.2 = 0.6 \end{cases}$$

$$err = P(y|x) * (f(x) - y)^2$$

 $E_{out}(f(x)) = (0.9 + 0.3 + 0.6)/3 = 0.6$

	f(x)	
1	2	3
1	2	3
2	3	1
3	1	2

13) (b)

13) (b) 0.14

$$\Delta(f, f_*) = E_{x p y y} (f(x) - f_*(x))^2, f_*(x) = \sum_{y=1}^3 y \cdot P(y|x)$$
when $f(x) = 1$, $f_*(x) = 1(0.7) + 2(0.1) + 3(0.2) = 1.5$.
when $f(x) = 2$, $f_*(x) = 2(0.7) + 3(0.1) + 1(0.2) = 1.9$
when $f(x) = 3$, $f_*(x) = 3(0.7) + 0.1 + 2(0.2) = 2.6$
when $f(x) = 3$, $f_*(x) = 3(0.7) + 0.1 + 2(0.2) = 2.6$
=1. $\Delta(f, f_*) = \frac{1}{3} \left[(1-1.5)^2 + (2-1.9)^2 + (3-2.6)^2 \right] = 0.19$.

14) (d) 12000

VC-bound =
$$\delta \implies 4m_H(2N)e^{-\frac{1}{8}\varepsilon^2N} \le \delta \implies 4(4N)e^{-\frac{1}{8}\varepsilon^2N} \le \delta$$

$$16Ne^{-\frac{1}{8}0.1^2N} \le 0.1$$

For (a)~(c) ,
$$16Ne^{-\frac{1}{8}0.1^2N} \le 0.1$$
 .For (d) , $16(12000)e^{-\frac{1}{8}0.1^2(12000)} = 0.05873 < 0.1$

For (e),
$$16(14000)e^{-\frac{1}{8}0.1^2(14000)} = 0.00563 < 0.1$$

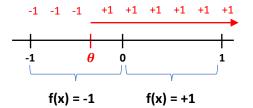
Pick smallest N, that is, N = 12000

15) (b) $\frac{1}{2} |\theta|$

 $h_{+1,\theta}$ means positive ray

Since
$$f(x) = sign(x)$$
, $h_{+1,\theta}(x) = sign(x - \theta)$

We can use positive ray graph to show it easily:



$$h_{+1,\theta}(x) = f(x) \text{ when } x \le \theta \text{ and } x \ge 0$$

 $h_{+1,\theta}(x) \ne f(x) \text{ when } \theta \le x \le 0$

So $E_{out}(h_{+1, heta},0)=rac{1}{2}\|\theta\|$, since the whole interval length is 2

- 16) (d) 0.3
- 17) (b) 0.02
- 18) (e) 0.4
- 19) (c) 0.05
- 20) (a) 0.00

In [2]: import numpy as np
import random

file:///D:/ML_HW2.html

```
In [317]: # 16 (d) 0.3
          def h(theta,s,x): # hypothesis function
              h = x-theta
              h=1 if h>0 else -1
              return s*h
          DATA SET = list(np.random.uniform(-1,1,100000))
          E Collect = []
          iteration = 0
          F = []
          for i in DATA_SET : \# F(x) = sign(x)
              F.append(1) if i>0 else F.append(-1)
          while iteration != 10000:
              X = sorted(list(np.random.uniform(-1,1,2)))
              f = []
              for i in X: \# f(x) = sign(x)
                  f.append(1) if i>0 else f.append(-1)
              Theta = [-1]
              for i in range(len(X)-1):
                  Theta.append((X[i]+X[i+1])/2)
              Theta.append(1) # ALL THETA ELEMENTS
              Ein, S_in, Theta_in = [], [], []
              S = [1, -1]
              for i in Theta:
                  if i == -1 or i == 1:
                       Esum = 0
                       for j in range(len(X)):
                           if h(i,1,X[j]) != f[j]:
                               Esum +=1
                       Ein.append(Esum/len(X))
                       S in.append(1)
                       Theta_in.append(i)
                  else:
                       for k in S:
                           Esum = 0
                           for j in range(len(X)):
                               if h(i,k,X[j]) != f[j]:
                                   Esum += 1
                           Ein.append(Esum/len(X))
                           S_in.append(k)
                           Theta in.append(i)
              FINAL = []
```

```
b = 99999
    M = min(Ein)
    for i in range(len(Ein)):
        if Ein[i] == M :
            FINAL.append([Ein[i],S_in[i],Theta_in[i]])
    if len(FINAL) >1 :
        for i in range(len(FINAL)):
            if FINAL[i][1] + FINAL[i][2] < b :</pre>
                b = FINAL[i][1] + FINAL[i][2]
                Einbest = FINAL[i]
    else:
        Einbest = FINAL[0]
    Eout_Sum = 0
    for i in range(len(DATA_SET)):
        if h(Einbest[2], Einbest[1], DATA_SET[i]) != F[i]:
            Eout_Sum += 1
    Eout_Sum = Eout_Sum/len(DATA_SET)
    E_Collect.append(Eout_Sum - Einbest[0])
    iteration += 1
np.mean(E_Collect)
# almost 17 mins used.....
```

Out[317]: 0.291485631

```
In [318]: # 17 (b) 0.02
          def h(theta,s,x): # hypothesis function
              h = x-theta
              h=1 if h>0 else -1
              return s*h
          DATA SET = list(np.random.uniform(-1,1,100000))
          E Collect = []
          iteration = 0
          F = []
          for i in DATA_SET : \# F(x) = sign(x)
              F.append(1) if i>0 else F.append(-1)
          while iteration != 10000:
              X = sorted(list(np.random.uniform(-1,1,20)))
              f = []
              for i in X: \# f(x) = sign(x)
                  f.append(1) if i>0 else f.append(-1)
              Theta = [-1]
              for i in range(len(X)-1):
                  Theta.append((X[i]+X[i+1])/2)
              Theta.append(1) # ALL THETA ELEMENTS
              Ein, S_in, Theta_in = [], [], []
              S = [1, -1]
              for i in Theta:
                  if i == -1 or i == 1:
                       Esum = 0
                       for j in range(len(X)):
                           if h(i,1,X[j]) != f[j]:
                               Esum +=1
                       Ein.append(Esum/len(X))
                       S in.append(1)
                       Theta_in.append(i)
                  else:
                       for k in S:
                           Esum = 0
                           for j in range(len(X)):
                               if h(i,k,X[j]) != f[j]:
                                   Esum += 1
                           Ein.append(Esum/len(X))
                           S_in.append(k)
                           Theta_in.append(i)
              FINAL = []
```

```
b = 99999
    M = min(Ein)
    for i in range(len(Ein)):
        if Ein[i] == M :
            FINAL.append([Ein[i],S_in[i],Theta_in[i]])
    if len(FINAL) >1 :
        for i in range(len(FINAL)):
            if FINAL[i][1] + FINAL[i][2] < b :</pre>
                b = FINAL[i][1] + FINAL[i][2]
                Einbest = FINAL[i]
    else:
        Einbest = FINAL[0]
    Eout_Sum = 0
    for i in range(len(DATA SET)):
        if h(Einbest[2], Einbest[1], DATA_SET[i]) != F[i]:
            Eout_Sum += 1
    Eout_Sum = Eout_Sum/len(DATA_SET)
    E_Collect.append(Eout_Sum - Einbest[0])
    iteration += 1
print('The answer of Question 17 is approximately ',np.mean(E_Collect))
# Almost 20 mins used....
```

The answer of Question 17 is approximately 0.024210523

```
In [331]: # 18 (e) 0.4
          def h(theta,s,x): # hypothesis function
              h = x-theta
              h=1 if h>0 else -1
              return s*h
          DATA SET = list(np.random.uniform(-1,1,100000))
          E Collect = []
          iteration = 0
          F = []
          for i in DATA_SET : \# F(x) = sign(x)
              F.append(1) if i>0 else F.append(-1)
          P = ['H','H','H','H','H','H','H','H','T']
          for i in range(len(F)):
              TAU = random.choice(P)
              if TAU == 'T': # TAU = 0.1
                  F[i] = -1 * F[i]
          while iteration != 10000:
              X = sorted(list(np.random.uniform(-1,1,2)))
              f = []
              for i in X: \# f(x) = sign(x)
                  f.append(1) if i>0 else f.append(-1)
              for i in range(len(f)):
                  TAU = random.choice(P)
                  if TAU == 'T': # TAU = 0.1
                      f[i] = -1 * f[i]
              Theta = [-1]
              for i in range(len(X)-1):
                  Theta.append((X[i]+X[i+1])/2)
              Theta.append(1) # ALL THETA ELEMENTS
              Ein, S_in, Theta_in = [], [], []
              S = [1, -1]
              for i in Theta:
                  if i == -1 or i == 1:
                      Esum = 0
                      for j in range(len(X)):
                          if h(i,1,X[j]) != f[j]:
                              Esum +=1
                      Ein.append(Esum/len(X))
                      S in.append(1)
                      Theta_in.append(i)
                  else:
                      for k in S:
```

```
Esum = 0
                for j in range(len(X)):
                    if h(i,k,X[j]) != f[j]:
                        Esum += 1
                Ein.append(Esum/len(X))
                S in.append(k)
                Theta_in.append(i)
    FINAL = []
    b = 99999
   M = min(Ein)
    for i in range(len(Ein)):
        if Ein[i] == M :
            FINAL.append([Ein[i],S_in[i],Theta_in[i]])
    if len(FINAL) >1 :
        for i in range(len(FINAL)):
            if FINAL[i][1] + FINAL[i][2] < b :</pre>
                b = FINAL[i][1] + FINAL[i][2]
                Einbest = FINAL[i]
    else:
        Einbest = FINAL[0]
    Eout_Sum = 0
    for i in range(len(DATA_SET)):
        if h(Einbest[2], Einbest[1], DATA_SET[i]) != F[i]:
            Eout_Sum += 1
    Eout Sum = Eout Sum/len(DATA SET)
    E_Collect.append(Eout_Sum - Einbest[0])
    iteration += 1
print('The answer of Question 18 is approximately ',np.mean(E_Collect))
```

The answer of Question 18 is approximately 0.36793075899999994

```
In [332]: # 19 (c) 0.05
          def h(theta,s,x): # hypothesis function
              h = x-theta
              h=1 if h>0 else -1
              return s*h
          DATA SET = list(np.random.uniform(-1,1,100000))
          E Collect = []
          iteration = 0
          F = []
          for i in DATA_SET : \# F(x) = sign(x)
              F.append(1) if i>0 else F.append(-1)
          P = ['H','H','H','H','H','H','H','H','T']
          for i in range(len(F)):
              TAU = random.choice(P)
              if TAU == 'T': # TAU = 0.1
                  F[i] = -1 * F[i]
          while iteration != 10000:
              X = sorted(list(np.random.uniform(-1,1,20)))
              f = []
              for i in X: \# f(x) = sign(x)
                  f.append(1) if i>0 else f.append(-1)
              for i in range(len(f)):
                  TAU = random.choice(P)
                  if TAU == 'T': # TAU = 0.1
                      f[i] = -1 * f[i]
              Theta = [-1]
              for i in range(len(X)-1):
                  Theta.append((X[i]+X[i+1])/2)
              Theta.append(1) # ALL THETA ELEMENTS
              Ein, S_in, Theta_in = [], [], []
              S = [1, -1]
              for i in Theta:
                  if i == -1 or i == 1:
                      Esum = 0
                      for j in range(len(X)):
                          if h(i,1,X[j]) != f[j]:
                              Esum +=1
                      Ein.append(Esum/len(X))
                      S in.append(1)
                      Theta_in.append(i)
                  else:
                      for k in S:
```

```
Esum = 0
                for j in range(len(X)):
                    if h(i,k,X[j]) != f[j]:
                        Esum += 1
                Ein.append(Esum/len(X))
                S in.append(k)
                Theta_in.append(i)
    FINAL = []
    b = 99999
   M = min(Ein)
    for i in range(len(Ein)):
        if Ein[i] == M :
            FINAL.append([Ein[i],S_in[i],Theta_in[i]])
    if len(FINAL) >1 :
        for i in range(len(FINAL)):
            if FINAL[i][1] + FINAL[i][2] < b :</pre>
                b = FINAL[i][1] + FINAL[i][2]
                Einbest = FINAL[i]
    else:
        Einbest = FINAL[0]
    Eout_Sum = 0
    for i in range(len(DATA_SET)):
        if h(Einbest[2], Einbest[1], DATA_SET[i]) != F[i]:
            Eout_Sum += 1
    Eout Sum = Eout Sum/len(DATA SET)
    E_Collect.append(Eout_Sum - Einbest[0])
    iteration += 1
print('The answer of Question 19 is approximately ',np.mean(E_Collect))
```

The answer of Question 19 is approximately 0.051816922999999994

```
In [333]: # 20 (a) 0.00
          def h(theta,s,x): # hypothesis function
              h = x-theta
              h=1 if h>0 else -1
              return s*h
          DATA SET = list(np.random.uniform(-1,1,100000))
          E Collect = []
          iteration = 0
          F = []
          for i in DATA_SET : \# F(x) = sign(x)
              F.append(1) if i>0 else F.append(-1)
          P = ['H','H','H','H','H','H','H','H','T']
          for i in range(len(F)):
              TAU = random.choice(P)
              if TAU == 'T': # TAU = 0.1
                  F[i] = -1 * F[i]
          while iteration != 10000:
              X = sorted(list(np.random.uniform(-1,1,200)))
              f = []
              for i in X: \# f(x) = sign(x)
                  f.append(1) if i>0 else f.append(-1)
              for i in range(len(f)):
                  TAU = random.choice(P)
                  if TAU == 'T': # TAU = 0.1
                      f[i] = -1 * f[i]
              Theta = [-1]
              for i in range(len(X)-1):
                  Theta.append((X[i]+X[i+1])/2)
              Theta.append(1) # ALL THETA ELEMENTS
              Ein, S_in, Theta_in = [], [], []
              S = [1, -1]
              for i in Theta:
                  if i == -1 or i == 1:
                      Esum = 0
                      for j in range(len(X)):
                          if h(i,1,X[j]) != f[j]:
                              Esum +=1
                      Ein.append(Esum/len(X))
                      S in.append(1)
                      Theta_in.append(i)
                  else:
                      for k in S:
```

```
Esum = 0
                for j in range(len(X)):
                    if h(i,k,X[j]) != f[j]:
                        Esum += 1
                Ein.append(Esum/len(X))
                S in.append(k)
                Theta_in.append(i)
    FINAL = []
    b = 99999
    M = min(Ein)
    for i in range(len(Ein)):
        if Ein[i] == M :
            FINAL.append([Ein[i],S_in[i],Theta_in[i]])
    if len(FINAL) >1 :
        for i in range(len(FINAL)):
            if FINAL[i][1] + FINAL[i][2] < b :</pre>
                b = FINAL[i][1] + FINAL[i][2]
                Einbest = FINAL[i]
    else:
        Einbest = FINAL[0]
    Eout_Sum = 0
    for i in range(len(DATA_SET)):
        if h(Einbest[2], Einbest[1], DATA_SET[i]) != F[i]:
            Eout_Sum += 1
    Eout Sum = Eout Sum/len(DATA SET)
    E_Collect.append(Eout_Sum - Einbest[0])
    iteration += 1
print('The answer of Question 20 is approximately ',np.mean(E_Collect))
```

The answer of Question 20 is approximately 0.005134032999999998

In []: