

Beyond single-shot fault-tolerant quantum error correction

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Abstract

Extensive quantum error correction is necessary in order to perform a useful computation on a noisy quantum computer. Moreover, quantum error correction must be implemented based on imperfect parity check measurements that may return incorrect outcomes or inject additional faults into the qubits. To achieve fault-tolerant error correction, Shor proposed to repeat the sequence of parity check measurements until the same outcome is observed sufficiently many times. Then, one can use this information to perform error correction. A basic implementation of this fault tolerance strategy requires $\Omega(rd^2)$ parity check measurements for a distance- d code defined by r parity checks. For some specific highly structured quantum codes, Bombin has shown that single-shot fault-tolerant quantum error correction is possible using only r measurements. In this work, we demonstrate that fault-tolerant quantum error correction can be achieved using $O(d \log(d))$ measurements for any code with distance $d \geq \Omega(n^\alpha)$ for some constant $\alpha > 0$. Moreover, we prove the existence of a sub-single-shot fault-tolerant quantum error correction scheme using fewer than r measurements. In some cases, the number of parity check measurements required for fault-tolerant quantum error correction is exponentially smaller than the number of parity checks defining the code.

As memory scales to higher density, error rates rise and new sources of error emerge, requiring extensive error correction. Ultimately, at the quantum scale, any manipulation of a quantum system introduces an error with a non-negligible probability. In this work, we consider the problem of error correction with a faulty quantum device. The presence of faults in parity check measurements significantly increases the cost of quantum error correction in comparison with the perfect measurement case. Our main goal is to reduce the time overhead of fault tolerance.

Error correction with **linear codes** is based on the evaluation of parity checks which provide the *syndrome* that is then used to identify the possible error. Faults may occur during the syndrome measurement resulting either in incorrect syndrome values or in additional errors injected in the data. Linear codes can be used in the quantum setting thanks to the CSS construction [1, 2] and the stabilizer formalism [3]. In the present work, we do not consider the technical details of these constructions. We incorporate the quantum constraints as follows.

Postulate 1 (Quantum parity check constraint). *If a linear code with parity check matrix H is used for quantum error correction, the only measurements available are the parity checks that are linear combinations of the rows of H .*

This constraint is satisfied for all measurement schemes considered below. Our motivation for focusing on this unique quantum constraint is two-fold. First, we want to emphasize the aspects of quantum fault tolerance that are of purely classical nature and that deserve **classical** solutions. Second, we hope to make this work and the mathematical questions it raises accessible to a broader audience.

Shor developed the first quantum error-correcting code in 1995 [4]. However, the presence of faults makes error correction challenging to implement in a quantum device since measurement outcomes cannot be trusted as Fig. 1 shows. The following year, Shor introduced a fault-tolerant mechanism in order to perform quantum error correction with faulty components [5]. This line of work led to the **threshold theorem** [6] that demonstrates that an arbitrary long quantum computation can be performed over a fault quantum device at the price of a reasonable asymptotic overhead if the noise strength is below a certain threshold value. An elegant proof of this result based on a notion of fault-tolerant computation for concatenated codes was proposed later [7, 8]. Although asymptotically reasonable, the overhead required for fault tolerance is daunting in the regime of practical applications [9, 10].

It seems natural to consider longer measurement sequences in order to distinguish between internal faults and input errors. However, this intuition is at tension with the fact that number of possible fault locations increases rapidly with the number of measurements. A sequence of m measurements for a code with length n provides only m outcome bits for about mn fault locations. The number of possible fault combinations (2^{nm}) grows exponentially faster than the number of outcome vectors (2^m) when $n, m \rightarrow \infty$. Luckily, a number of fault configurations can be considered equivalent given that they have the same effect on codewords, making fault tolerance possible.

The basic idea of Shor’s fault-tolerant scheme is to repeat the syndrome measurement until we observe the same syndrome vector enough times on consecutive measurements. We can then rely on the syndrome value and correct errors accordingly. For a linear code encoding k bits into n bits with minimum distance d , the Shor scheme requires up to $((d+1)/2)^2$ repetitions of the syndrome measurement, that is $(n-k)((d+1)/2)^2 \geq \Omega(d^3)$ parity check measurements. This is because $(d+1)/2$ consecutive identical syndrome

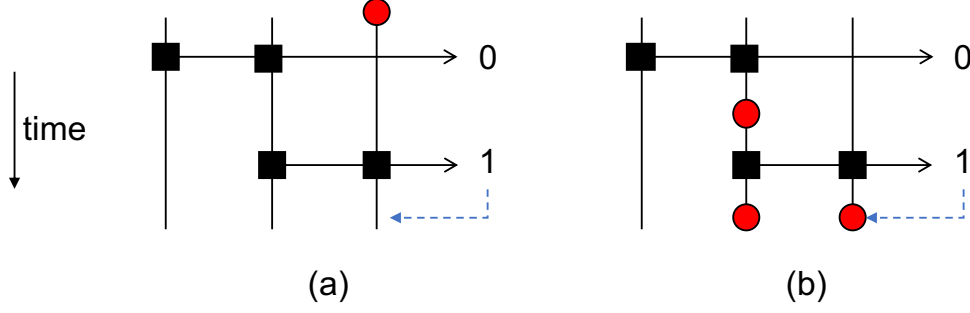


Figure 1: Error correction with the three-bit repetition code. Errors affecting a codeword (x_1, x_2, x_3) are corrected based on the measurement of the two parity checks $x_1 + x_2 \bmod 2$ and $x_2 + x_3 \bmod 2$. (a) An input bit flip on the third bit (red circle) is corrected based on the outcome $(0, 1)$ for the parity checks. (b) The red circles indicates the presence of a fault after the first measurement that flips the second bit. The outcome $(0, 1)$ obtained suggests an error on the third bit resulting in two bit flips after correction. This protocol must be made fault-tolerant in order to avoid the confusion of internal faults with errors on the input codewords.

vectors are necessary to guarantee a correct syndrome value in the presence of up to $(d - 1)/2$ faults. This large time overhead is also present in other fault-tolerant quantum error correction schemes. **Flag error correction [11, 12] considerably reduces the number of ancilla qubits but still leads to a similar time overhead.** Steane method [13] implements the syndrome readout in constant depth, however the difficulty is transferred to the fault-tolerant preparation of an ancilla state.

In the present work, Theorem 3.1 proves that fault-tolerant error correction with an arbitrary linear code respecting the quantum constraint can be implemented with $O(d \log(d))$ parity check measurements if the code distance grows polynomially with n , that is $d \geq \Omega(n^\alpha)$ for some $\alpha > 0$. In the case of a family of codes with polylog distance $d \geq \Omega(\log(n)^\alpha)$, a sequence of $O(d^{1+\frac{1}{\alpha}})$ parity check measurements is enough for fault-tolerant error correction. This speed-up is doubly beneficial for error correction. On the one hand, it reduces the time per error correction cycle. On the other hand, fewer measurements means less noise during the correction cycle, improving the life-time of encoded data.

For a code defined by r parity check equations, one may be tempted to conjecture that at least r measurements must be performed to achieve fault-tolerant error correction since the code is not fully defined by fewer than r parity checks. Moreover, Bombin proved that *single-shot* fault-tolerant error correction, based on exactly r parity check measurements, is possible for a family of highly structured quantum codes [14]. Surprisingly, our work demonstrates that one can go beyond single-shot and design fault-tolerant error correction schemes that use much fewer than r measurements. Applying Theorem 3.1 for a family of codes with positive encoding rate $k/n \rightarrow R$ with $0 < R < 1$ and minimum distance $d = \Omega(n^\alpha)$ for $0 < \alpha < 1$, we obtain a fault-tolerant error correction scheme based on $O(d \log(d)) = O(n^\alpha \log(n))$ parity check measurements for a code defined by $r = \Omega(n)$ parity checks. The same result holds for polylog distance codes with positive rate. In

that case, the length of the measurement sequence $O(d^{1+\frac{1}{\alpha}})$ is exponentially smaller than the number of checks r . This result applies to standard families of codes with sub-linear distance such as turbo codes [15], cycle space of graph [16], finite geometry codes [17], and polar codes [18], providing many examples of *sub-single-shot* fault-tolerant error correction schemes.

We were not able to prove a lower bound that matches our upper bound $O(d \log(d))$ on the length of fault-tolerant measurement sequences for polynomial distance codes. We conjecture that a linear length sequence can be achieved.

Conjecture 1. *For any family of $[n, k, d]$ linear codes with polynomial distance $d \geq \Omega(n^\alpha)$ for some constant $\alpha > 0$, there exists a sequence of $O(d)$ parity check measurements that allows for fault-tolerant error correction.*

The basic idea of our scheme is to extract as much safe information as possible from the measurement of a redundant set of parity checks. It was first noticed by [19] that additional measurements can be exploited to correct the syndrome values. Ashikhmin *et al.* [20, 21] generalized this idea to arbitrary stabilizer codes. This work also closely relates to the notion of single-shot error correction [14] generalized recently by the work of Campbell [22] which focuses on quantum Low Density Parity Check codes [23, 24]. Our formalism applies to arbitrary linear codes and takes into account internal data errors which were not considered by [19–21].

Section 1 reviews the concept of fault tolerance within the formalism of linear codes. We propose a definition of fault tolerance and we apply this definition to prove that fault-tolerant error correction increases the lifetime of encoded data. Section 2 focuses on the design of a notion of minimum distance and a minimum weight decoder adapted to the context of fault tolerance. Theorem 3.1, presented in Section 3, is the main result of this paper. Relying on the results of Section 2, it provides an upper bound on the number of measurements necessary to make a linear code fault-tolerant. Numerical results illustrating our scheme are presented in Section 4. In particular, our Monte-Carlo simulations show that the lifetime of data encoded with a fault-tolerant error correction scheme can surpass the lifetime of physical data.

1 Fault-tolerant error correction

1.1 Background on error correction

We consider error correction based on classical binary linear codes. For a more complete treatment of this topic we refer to [25, 26]. A *linear code* C , or simply a code, with length n and minimum distance d is defined to be a k -dimensional subspace of \mathbb{Z}_2^n such that the minimum Hamming weight of a non-zero codeword $x \in C$ is d . We denote by $[n, k, d]$ the parameters of the code or $[n, k]$ when the minimum distance is unknown. If some bits of a codeword x are flipped, it is mapped onto $y = x + e$ for some $e \in \mathbb{Z}_2^n$. If the number of bit

flip that occur satisfies $|e| \leq (d-1)/2$. we can recover x by selecting the closest codeword from y .

A linear code can be defined by a generator matrix $G \in M_{k,n}(\mathbb{Z}_2)$, such that the rows of G form a basis of C . The code C is the set of vectors xG , where $x \in \mathbb{Z}_2^k$ and the transformation $x \mapsto xG$ is an encoding map. Alternatively, a linear code can be given by a parity check matrix $H \in M_{r,n}(\mathbb{Z}_2)$, such that the codewords of C are the vectors x with $xH^T = 0$.

For example, the Hamming code with parameters $[6, 4, 3]$ is defined by the parity check matrix

$$H = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}. \quad (1)$$

The two following generator matrices

$$G_1 = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{pmatrix} \quad \text{and} \quad G_2 = \begin{pmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \end{pmatrix} \quad (2)$$

define two linear codes with parameters $[6, 3, 3]$ and $[10, 3, 5]$ respectively.

Assume that an error e occurs on a codeword x in the code C , resulting in $x' = x + e$. Error correction is based on the computation of the *syndrome* $s = (x + e)H^T = eH^T$. A non-trivial syndrome indicates the presence of an error. The value of the syndrome depends only on the error e . By *decoding* we mean estimating the error e given its syndrome s . A decoder is a map $D : \mathbb{Z}_2^r \rightarrow \mathbb{Z}_2^n$. The decoding is said to be *successful* when e is correctly identified by the decoder that is if $D(s) = e$. For practical purposes, an efficient implementation of the map D is required.

We call *minimum weight error (MWE) decoder*, a decoder that returns an error with minimum weight among the errors with syndrome s , where s is the observed syndrome. In what follows, D_{MWE}^H denotes a MWE decoding map for the code C with parity check matrix H . A MWE decoder successfully identifies any error e with weight up to $(d-1)/2$.

A standard noise model in information theory is the *binary symmetric channel* with crossover probability p . Each bit is flipped independently with probability p . A MWE decoder can be used to correct this type of noise since when $p < 1/2$ the error $\hat{e} = D_{\text{MWE}}^H(s)$ returned for a syndrome s is a *most likely error (MLE)* for the binary symmetric channel, *i.e.* it maximizes the *conditional probability* $\mathbb{P}(e|s)$ among the errors with syndrome s . We use the notation \hat{e} to refer to an estimation of the error e .

1.2 Circuit error

We are interested in the design of fault-tolerant error correction schemes that work even when measured syndromes are noisy and *data errors can be introduced during the correction steps*.

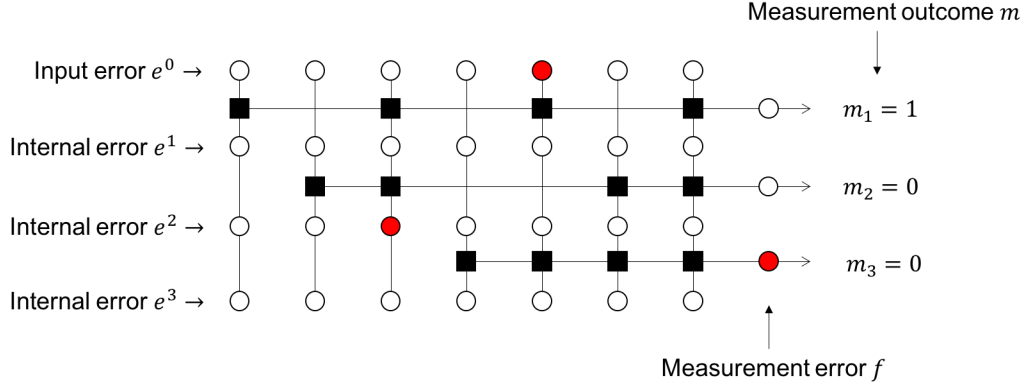


Figure 2: Errors during the syndrome measurement for the Hamming code. A sequence of three measurements given by the three rows of the parity check matrix (1) is realized. Circles indicates bit flip locations. A row of squares connected horizontally corresponds to a parity check measurement between the bits marked by a square. Red circles show a circuit error with one input error, one internal error and one measurement error. The input error is $e^0 = (0000100)$. An internal error occurs on the third data bit after measurement of m_2 , that is $e^2 = (0010000)$. The third outcome is flipped which means $f = (001)$. The observed syndrome is $m = (1, 0, 0)$.

Our goal is to protect a set of n_D data bits using a fixed linear code C_D with parameters $[n_D, k_D, d_D]$. Figure 2 presents our notations for the error model. We refer to the error present on the data bits before correction as the *input error* denoted e^0 . In order to correct errors with C_D , a sequence of n_M measurements is applied to the data bits; each measurement returns the parity $m_i \in \{0, 1\}$ of a subset of the data bits. In the fault-tolerant setting, the bit m_i may be flipped, resulting in the outcome vector $m = m(e^0) + f \in \mathbb{Z}_2^{n_M}$ where $m(e^0) = e^0 H_D^T$ is the ideal measurement outcome and f is called *measurement error*. Faults in the measurement device also affect the data bits. We model this source of error as a bit flip occurring after each parity check measurement. Denote by $e^i \in \mathbb{Z}_2^{n_D}$ the *level- i internal error* that occurs after the i th measurement for $i = 1, 2, \dots, n_M$.

Overall a *circuit error* is a pair $\varepsilon = (e, f)$ with

- Input error: $e^0 \in \mathbb{Z}_2^{n_D}$ on data bits.
- Internal error: $(e^1, e^2, \dots, e^{n_M}) \in (\mathbb{Z}_2^{n_D})^{n_M}$ on data bits.
- Measurement error: $f \in \mathbb{Z}_2^{n_M}$ on measurement outcomes.

A circuit error is a binary vector of length $(n_D + 1)(n_M + 1) - 1$. The Hamming weight of a circuit error $\varepsilon = (e, f)$ is denoted by $|\varepsilon| = |e^0| + \dots + |e^{n_M}| + |f|$.

1.3 Fault-tolerant decoder

Error correction aims at identifying the data error, but this is a moving target since internal errors can occur during the correction.

Our goal is to correct the effect of a circuit error ε on the data given the measurement outcome $m(\varepsilon)$. One could aim at identifying the exact circuit error ε , but this is too ambitious because many circuit errors lead to the same outcome m . Given $m = m(\varepsilon)$, our objective is to determine *residual data error* defined by

$$\pi(\varepsilon) = \sum_{i=0}^{n_M} e^i$$

after a sequence of n_M measurements.

A *decoder* is a map $D : \mathbb{Z}_2^{n_M} \rightarrow \mathbb{Z}_2^{n_D}$ that estimates the residual data error given the outcome m observed. When no confusion is possible, we denote by π the residual error $\pi(\varepsilon)$ and the estimation returned by the decoder is denoted by $\hat{\pi} = D(m(\varepsilon))$.

Aiming at identifying the exact residual error π is still too ambitious. Some internal bit flips occur too late to be recognized. By trying to correct those late errors, we might actually inject additional errors. The following lemma makes this idea rigorous. The *level* of a circuit error ε is the first level j such that $e^j \neq 0$.

Lemma 1.1. *For any decoder D we have*

- *Either D corrects no circuit error ε of level $n_M - 1$, i.e. $D(m(\varepsilon)) = 0$,*
- *Or D amplifies at least one error ε , i.e. $|\pi(\varepsilon) + D(m(\varepsilon))| > |\pi(\varepsilon)|$.*

Proof. Assume that the last measurement involves $s \geq 2$ data bits. A level- $(n_M - 1)$ error either results in a trivial outcome or yields $m = (0 \dots 01)$. Since $s \geq 2$, at least two distinct level- $(n_M - 1)$ errors ε and ε' lead to the outcome m . If D corrects one of them, say $D(m) = \pi(\varepsilon)$, then the error ε' is amplified. \square

The previous lemma presented motivates the following definition of fault tolerance.

Definition 1.2. *A fault-tolerant decoder is defined to be a map $D : \mathbb{Z}_2^{n_M} \rightarrow \mathbb{Z}_2^{n_D}$ such that for all circuit error $\varepsilon = (e, f)$ such that $|\varepsilon| \leq (d_D - 1)/2$ we have*

$$|\pi + \hat{\pi}| \leq |f| + \sum_{i=1}^{n_m} |e^i| = |\varepsilon| - |e^0| \quad (3)$$

where $\pi = \pi(\varepsilon)$ is the residual data error and $\hat{\pi}$ is the estimation of π returned by the decoder.

Roughly speaking, a fault-tolerant decoder corrects the input error e^0 without amplifying any internal error or measurement error. Gottesman considers a notion of fault-tolerant quantum error correction based on two conditions (ECA and ECB in [8]). Our definition is similar to ECB. We do not need ECA that is useful for code concatenation in [8]. Proposition 1.4 below proves that Definition 1.2 provides a satisfying notion of fault-tolerant error correction.

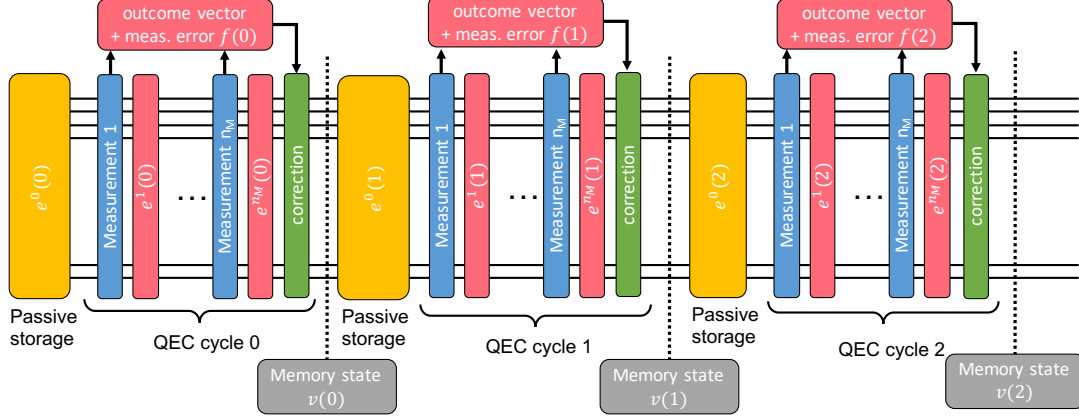


Figure 3: Storage errors and memory state over three correction cycles. The horizontal wires represent the n_D data bits and time flows from left to right. We alternate between rounds of passive storage and error-correction cycles. The size of the blocks do not represent their duration.

1.4 Storage lifetime

In this section, we will prove that encoded data constantly corrected with a fault-tolerant decoder can be preserved for a longer time than raw data. Our proof of this property can be seen as a basic application of the **rectangle method** [7]. This provides another justification for the definition of fault tolerance proposed in Section 1.3. Figure 3 summarizes the basic idea of the storage noise model and the rectangle method is illustrated with Figure 4.

Consider some data stored in an imperfect device and assume that, at each time step, stored bits are flipped independently with probability p . On any given bit, an error occurs in average after $1/p$ time steps.

In order to extend the lifetime of our data, we store encoded data using a code C_D with parameters $[n_D, k_D, d_D]$. The state of the n_D stored bits is described by a vector $v \in \mathbb{Z}_2^{n_D}$ called **memory state**. If $v = c$ is a codeword of C_D , it represents some encoded information. The same information c can still be recovered from the vector $v = c + e^0$, affected by a low-weight error e^0 . We say that a memory state $v \in \mathbb{Z}_2^{n_D}$ *stores the information* $c \in C_D$ if c is the unique closest codeword of C_D from the vector v . We consider that a state v that admits multiple closest codewords does not store any information. For any error e^0 such that $|e^0| \leq (d_D - 1)/2$, the information stored in a vector $v = c + e^0$ can be extracted by running a MWE decoder for the code C_D .

In order to protect the stored data against the accumulation of errors, we regularly run a fault-tolerant decoder. We alternate between passive storage and rounds of error correction. Denote by $e^0(t)$ the n_D -bit error that accumulates on the memory state during the t th storage round for $t \in \mathbb{N}$. Let $f(t)$ and $e^1(t), \dots, e^{n_M}(t)$ be the measurement error and the internal errors that appear during the t th correction round which takes $e^0(t)$ as an input error. As one can see in Figure 3, this defines a sequence of circuit errors $\varepsilon(t) = (e(t), f(t))$ for each time step $t \in \mathbb{N}$ that we call **storage error**.

Consider the sequence $v(t)_{t \in \mathbb{N}}$ of memory states obtained after each round of error correction. The *storage lifetime* of c in the sequence $v(t)_{t \in \mathbb{N}}$ is defined to be the first time step t such that $v(t)$ does not store c anymore. The storage lifetime depends only on the storage error $\varepsilon(t)_{t \in \mathbb{N}}$ and not on c . In this work, $\ell(\varepsilon)$ denotes the storage lifetime for a storage error $\varepsilon = \varepsilon(t)_{t \in \mathbb{N}}$. The following lemma provides a sufficient condition to ensure that the stored data is not lost. It can be seen as a simple case of the rectangle method introduced in [7] as one can see in Fig 4.

Lemma 1.3 (rectangle method). *Consider storage device equipped with a fault-tolerant decoder. Let $\varepsilon(t)_{t \in \mathbb{N}}$ be a storage error that satisfies*

$$|\varepsilon(t-1)| - |e^0(t-1)| + |\varepsilon(t)| \leq (d_D - 1)/2 \quad (4)$$

for all $t = 0, \dots, N$, using the convention $|\varepsilon(-1)| = |e^0(-1)| = 0$. Then, the storage lifetime $\ell(\varepsilon)$ is at least N .

Proof. We use the notation $v(t)$ for the memory state after the correction round t . Without loss of generality we can assume that the initial memory state is $c = 0$. In order to prove that the information stored is preserved throughout the N first rounds of correction, it suffices to show that the error $\varepsilon(t) = (e(t), f(t))$ treated by correction round t satisfies

$$|\varepsilon(t)| \leq (d_D - 1)/2, \quad (5)$$

for all $t = 0, \dots, N$. Indeed, by definition of fault tolerance, this implies that the memory state $v(t)$ after correction has weight at most $(d_D - 1)/2$ proving that the information stored is not lost.

We can prove by induction that $\varepsilon(t)$ satisfies Eq. (5). The input error for the first round of correction is $e^0(0)$ that satisfies Eq. (5) by assumption (4). Assume now that $\varepsilon(t-1)$ satisfies the inequality (5) for some $1 \leq t \leq N$. Then, after correction it remains an error $v(t-1)$ such that $|v(t-1)| \leq |f(t-1)| + \sum_{i=1}^{n_M} |e^i(t-1)|$. The input error of the next correction round (round t) is then $v(t-1) + e^0(t)$. It satisfies

$$\begin{aligned} |v(t-1)| + |e^0(t)| + |f(t)| + \sum_{i=1}^{n_M} |e^i(t)| &\leq |f(t-1)| + \sum_{i=1}^{n_M} |e^i(t-1)| + |\varepsilon(t)| \\ &= |\varepsilon(t-1)| - |e^0(t-1)| + |\varepsilon(t)| \\ &\leq (d_D - 1)/2. \end{aligned}$$

The last inequality is the application of the hypothesis (4). This proves Eq. (5), concluding the proof of the lemma. \square

Let us define a noise model for the storage. During a storage round, the memory state bits are each flipped independently with probability p . The outcomes measured during error correction rounds are flipped independently with probability p . Moreover, during each parity-check measurement, each data bit is flipped independently with probability

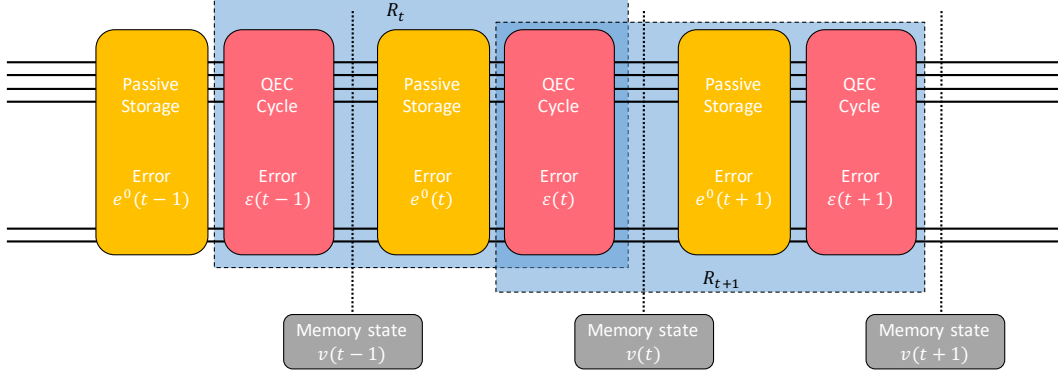


Figure 4: Illustration of the rectangle method. Eq. (4) means that the rectangle R_t contains less than $(d_D - 1)/2$ bit flips. Lemma 1.3 guarantees that the memory state $v(t)$ stores the same data as the initial state $v(0)$ assuming that all the rectangles R_0, \dots, R_t contains less than $(d_D - 1)/2$ bit flips.

p . In what follows, we denote by $\mathbb{P}_{\text{stor},p}$ the *storage distribution* induced over the set of storage errors $\varepsilon(t)_{t \in \mathbb{N}}$. To simplify, we assume the same probability for all bit flips. This is a reasonable assumption to provide a proof that error correction increases the storage lifetime if the noise strength p is small enough. For practical applications, we can adjust the different flip probabilities and introduce correlations that match the device's benchmarking results.

Proposition 1.4. *The probability that the storage lifetime is shorter than N is upper bounded as follows*

$$\mathbb{P}_{\text{stor},p}(\ell(x) < N) \leq N \binom{m}{s} p^s$$

where $s = \frac{d_D+1}{2}$ and $m = 2n_M + 2n_D n_M + n_D$.

Proof. For $t \in \mathbb{N}$, let A_t be the set of storage errors such that Eq. (4) fails, that is $|\varepsilon(t-1)| - |e^0(t-1)| + |\varepsilon(t)| > (d_D - 1)/2$. Denote by s the integer $s = \frac{d_D+1}{2}$. The probability of the event A_t is upper bounded as follows.

$$\mathbb{P}_{\text{stor}}(A_t) \leq \binom{m}{s} p^s$$



where $m = 2n_M + 2n_D n_M + n_D$.

The lifetime is shorter than N only if at least one of the events A_t occurs with $t < N$. Therefore, a union bound

$$\mathbb{P}_{\text{stor}}(\ell(\varepsilon) < N) \leq \sum_t \mathbb{P}_{\text{stor}}(A_t)$$

proves the proposition. □

The upper bound on the failure probability of Theorem 3.1 can be made arbitrarily small by selecting a code C_D with large minimum distance under the condition that one can design a fault-tolerant decoder and that m grows polynomially with the minimum distance d_D . This guarantees the exponential decays of the failure rate as $O(p^{(d_D+1)/2})$. In the rest of this paper, we prove that one can design sequences of measurements that satisfy these conditions for a arbitrary codes.

2 Fault-tolerant decoding

The main purpose of this section is to design a fault-tolerant analogue of the minimum weight error decoder.

Consider first a naive generalization of the minimum weight error decoder for linear codes. Given an outcome m , pick a minimum weight circuit error $\hat{e} = \tilde{D}_{\text{MWE}}(m)$ that reaches this outcome. The residual data error $\hat{\pi} = \pi(\hat{x})$ could be used as a correction. Unfortunately, this decoder does not satisfy the fault tolerance definition. It attempts to correct some bit flips that occur too late to be identified, as illustrated by Lemma 1.1, resulting amplified data errors. In order to make the minimum weight circuit error strategy viable, we will restrict the action of the decoder to bit flips that occurs at early stages of the measurement sequence.

In this section, we first review standard techniques allowing to correct the measurement outcome. Then, we focus on the correction of internal errors. We introduce a notion of distance for the fault-tolerant setting and we design a fault-tolerant decoder.

2.1 Correction of measurement error by syndrome encoding

Assume for now that no internal error occurs, *i.e.* $e^1 = \dots = e^{n_M} = 0$, and focus on correcting the input error with faulty measurements. The basic idea is to measure an encoded version of the syndrome in order to be able to correct measurement error and to estimate the input error. This strategy was introduced by Fujiwara [19] and Ashikhmin *et al.* [20, 21] in the context of general quantum error-correcting codes. It is also a common strategy when working with topological quantum codes [22, 27]. In the classical setting, redundant parity-check matrices appear to improve the performance of the belief propagation decoder as observed with Low Density Parity Check (LDPC) codes based on finite geometry [28].

The data code C_D is given by a $r_D \times n_D$ parity check matrix H_D with $r_D = n_D - k_D$. In order to protect the syndrome $s = e^0 H_D^T \in \mathbb{Z}_2^{r_D}$ of the input error e^0 , we encode s using a measurement code C_M with generator matrix G_M . The measurement code is a $[n_M, k_M, d_M]$ linear code with $k_M = r_D$. The encoded syndrome $m(e^0)$ for an input error e^0 is then $m(e^0) = s G_M = e^0 H_D^T G_M$. Equivalently, this is the syndrome of e^0 associated with the redundant parity check matrix $H_m = G_M^T H_D$. We refer to this matrix as the measurement matrix. Notice that this scheme respects Postulate 1. If an input error e^0

and a measurement error f occur, the measurement outcome

$$m(e^0, f) = m(e^0) + f = e^0 H_m^T + f$$

is obtained.

Redundancy in the measurement matrix can be used to correct measurement outcomes. A measurement code with distance d_M corrects at least $(d_M - 1)/2$ bits. Hamming [7, 4, 3]-code can be used in combination with any measurement code with dimension $k_M = 3$ to encode the 3-bit syndrome vector. One can select the smallest code with $k_M = 3$ that achieves a distance $d_M = 3$ or 5 from Grassl's code table [29–32]. Generator matrices for these codes are provided in Eq. (2) and the corresponding measurement matrices are

$$H_{m,1} = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \end{pmatrix} \quad \text{and} \quad H_{m,2} = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{pmatrix} \quad (6)$$

Both matrices define a sequence of measurements for the Hamming code allowing for the correction of **one or two flipped outcomes**. Then the corrected syndrome can be used to correct the data bits. A larger minimum distance d_M allows for correcting more measurement errors.

In general, it is better to correct both input error and measurement error simultaneously instead of sequentially correcting syndrome values and then data bits. Given an outcome m , one can identify a minimum weight pair (e^0, f) of input error and measurement error that produces the outcome m . With this strategy only five measurements suffice (the first five row of $H_{m,1}$) to correct a single bit flip either on the input data or on the outcome with the Hamming code. The measurement code is a [5,3,2] linear code.

Fujiwara [19] and Ashikhmin et al. [20, 21] designed measurement matrices suited for stabilizer codes and obtained bounds on the number of measurements required for correcting input and measurement errors. However, in order to make error correction applicable to a realistic setting, we must also include internal errors. In the remainder of this paper, we design a fault-tolerant error correction scheme that tolerates internal errors at the price of a moderate increase of the number of measurements required.

2.2 Sequential Tanner graph and cluster decomposition

The Tanner graph [33] is convenient tool for designing error-correcting codes and their decoders [34]. In our context, we associate a *sequential Tanner graph* with a $n_M \times n_D$

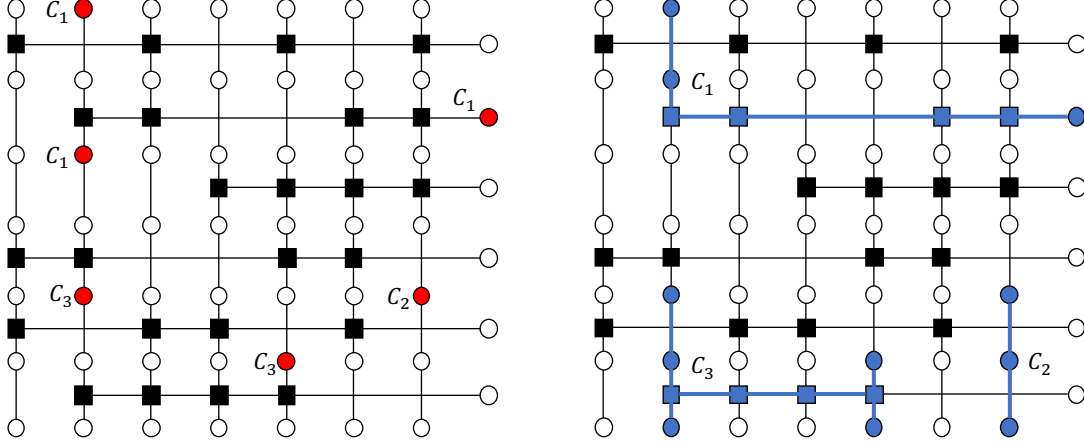


Figure 5: A circuit error (red) and the corresponding accumulated error (blue) for the Hamming code equipped with a measurement code with parameters $[6, 3, 3]$. The accumulated error has three connected components, which induces a decomposition of the circuit error into three clusters C_1 , C_2 and C_3 .

measurement matrix H_m . Figure 5 shows two representations of a circuit error using the sequential Tanner graph for the Hamming code equipped with the measurement matrix $H_{m,1}$ given in Eq. (6).

The standard Tanner graph used in classical coding theory encodes the set of all the parity check measurements. Our sequential Tanner graph contains additional information such as the order in which measurements are realized. This information is necessary in order to include outcome flips due to internal errors. This Tanner graph can be seen as a sequential version of the Tanner graph used for instance in the context of topological quantum codes or quantum LDPC codes [27, 35, 36] **with additional nodes** for measurement errors.

The sequential Tanner graph generalizes the diagram of Figure 2. There are $n_M + 1$ rows of nodes that correspond to $n_M + 1$ levels of data errors e^0, e^1, \dots, e^{n_M} from top to bottom. Denote this set of nodes by

$$V_D = \{v_{i,j} \mid (i, j) \in [0, n_M] \times [1, n_D]\}.$$

For $j = 1, \dots, n_D$, each node in the sequence $v_{0,j}, v_{1,j}, \dots, v_{n_M,j}$ is connected to its successor. Two consecutive rows e^{i-1} and e^i are separated by a row of check nodes (square) indicating the bits involved in the i th parity check measurement m_i . A node is added at the end of each check node row to mark the measurement outcome flip. Let

$$V_M = \{u_i \mid i \in [1, n_M]\}$$

be this set of nodes.

The set of nodes $V = V_D \cup V_M$ is built in such a way that each vertex corresponds to a coordinate of a circuit error. This leads to a one-to-one correspondence between circuit

errors ε and subsets $U \subseteq V$ of vertices of the sequential Tanner graph. An error can be considered alternatively as a vector $\varepsilon = (e, f)$ or as a subset $V(\varepsilon) \subseteq V$. The error ε whose support is given by $U \subseteq V$ is denoted $\varepsilon(U)$.

The sequential Tanner graph provides a graphical framework that allows to identify some properties of circuit errors. Some features of the circuit error are easier to read when considering the *accumulated error* $\bar{\varepsilon} = (\bar{e}, f)$ defined by

$$\bar{e}^i = \sum_{j=0}^i e^j \quad (7)$$

for all $i = 0, \dots, n_M$. The error \bar{e}^i is the accumulation of all data errors that appear during the first i measurements. The residual data error introduced in Section 1.3 is given by $\pi(\varepsilon) = \bar{e}^{n_M}$.

The *error graph* induced by a circuit error ε is obtained from the vertex set $V(\varepsilon) \subseteq V$ by connecting vertices as follows: (i) Two consecutive nodes $v_{i,j}$ and $v_{i+1,j}$ in the same column are connected. (ii) Two nodes $v_{i,j}$ and $v_{i,k}$ involved in the measurement of m_{i+1} , are connected, (iii) A node $v_{i,j}$ involved in the measurement of m_{i+1} is connected to the outcome node u_{i+1} .

This provides a bijection between circuit errors and error graphs that allows us to apply the language of graph theory to circuit errors. A circuit error ε is said to be *connected* if the subset $V(\varepsilon)$ induces a connected error graph. An error ε' is a *connected component* of the circuit error ε if $V(\varepsilon')$ is a connected component of the error graph induced by $V(\varepsilon)$.

The connected components of the accumulated error $\bar{\varepsilon}$, defined in Eq. (7), identify bit flips that trigger the same outcomes. This motivates the cluster decomposition that we introduce now. Let

$$V(\bar{\varepsilon}) = \bigcup_{i \in I} \bar{V}(\bar{\varepsilon}_i)$$

be the decomposition of the accumulated error $\bar{\varepsilon}$ into connected components. Each component $\bar{\varepsilon}_i$ is the accumulated error of an error ε_i such that $V(\varepsilon_i) \subseteq V(\varepsilon)$. The *cluster decomposition* of a circuit error ε is the decomposition

$$\varepsilon = \sum_{i \in I} \varepsilon_i$$

derived from the decomposition of the accumulated error $\bar{\varepsilon}$ in connected components. Figure 5 shows the cluster decomposition of a circuit error.

The *input* and *output vertices* are

$$V_{in} = \{v_{0,1}, v_{0,2}, \dots, v_{0,n_D}\} \text{ and } V_{out} = \{v_{n_M,1}, v_{n_M,2}, \dots, v_{n_M,n_D}\}$$

The following lemma justifies the cluster decomposition.

Lemma 2.1. *Let $\varepsilon = \sum_{i \in I} \varepsilon_i$ be the cluster decomposition of a circuit error.*

- *If $m(\varepsilon) = 0$ then for all $i \in I$ we have $m(\varepsilon_i) = 0$.*
- *If $\bar{\varepsilon}_i \cap V_{out} = \emptyset$ then we have $\pi(\varepsilon_i) = 0$.*

Proof. The first item holds because, by construction of the sequential Tanner graph, the outcome location m_j is connected to all the bits involved in the measurement m_j . The second item is an immediate application of the definition of the accumulated error because $\pi(\varepsilon)$ is equal to the accumulated error $\bar{\varepsilon}^{n_M}$. \square

The graphical formalism introduced in this section provides a decomposition of circuit errors and Lemma 2.1 identifies the clusters that contributes to the residual data error.

2.3 Correction of input error and circuit distance

The fault tolerance condition introduced in Definition 1.2 can be interpreted as the fact that the decoder corrects the input error without amplifying internal errors. This section deals with the correction of the input error ignoring the problem of error amplification. We introduce a notion of minimum distance d_{circ} adapted to the context of fault tolerance and we prove that we can correct the input error for any circuit error of weight at most $(d_{circ} - 1)/2$. In Section 2.4, we adapt the decoder in order to keep error amplification limited and to satisfy the fault tolerance condition.

Given an outcome m , denote by $\hat{\varepsilon} = \tilde{D}_{MWE}(m)$ a minimum weight circuit error with outcome m . We consider a MWE decoder that returns an estimation $D_{MWE}(m) = \pi(\hat{\varepsilon})$ of the residual error

Naively, for a circuit error $\varepsilon = (e, f)$ with outcome $m(\varepsilon) = m$, one could say that the input error is corrected by the MWE decoder if the estimation $\hat{\varepsilon} = (\hat{e}, \hat{f})$ satisfies $\hat{e}^0 = e^0$, that is if the input component e^0 is correctly estimated. This definition is not satisfying because some input errors may be indistinguishable from internal errors. To clarify this point, we introduce the set of trivial errors. A **trivial circuit error** is a circuit error ε such that $m(\varepsilon) = 0$ and $\pi(\varepsilon) = 0$. This error is impossible to detect since the corresponding outcome is trivial and it does not induce any bit flip on the data at the end of the measurement circuit. Two circuit errors that differ in a trivial error cannot be distinguished using the outcome observed or the data bits after measurement. This notion of equivalence can be seen as a special case of the **gauge equivalence** introduced by Bacon *et al.* [37] in order to design quantum LDPC codes from a quantum circuit.

Our definition of the correction of the input error relies on the notion of propagating error that we introduce now. A **propagating error** is defined to be a circuit error ε with trivial outcome $m(\varepsilon) = 0$ such that $V(\bar{\varepsilon})$ contains a path connecting V_{in} and V_{out} . It can be interpreted as an input error that propagates through the measurement circuit without being detected. Figure 6 shows a propagating error for the Hamming code. If an error ε occurs with outcome m , we say that the MWE decoder *corrects the input error* if

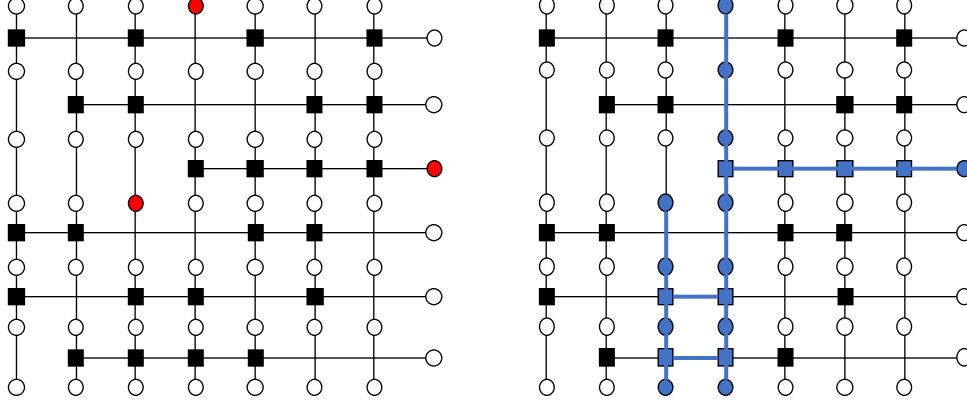


Figure 6: A minimum weight circuit error (red) and the corresponding accumulated error (blue) for the Hamming code equipped with a measurement code with parameters $[6, 3, 3]$. The accumulated error connects an input vertex (top row) with an output vertex (bottom row), which means that the circuit error is a propagating error. Note that the third measurement does not detect the bit flip on the fourth bit because of the measurement error.

$\varepsilon + \tilde{D}_{\text{MWE}}(m)$ is not a propagating error. Since this circuit error is guaranteed to have a trivial outcome, that means that it does not connect input and output sets of vertices.

The *circuit distance* d_{circ} is defined to be the minimum weight of a propagating error.

$$d_{\text{circ}} = \min\{|\varepsilon| \text{ such that } \varepsilon \text{ is propagating}\}.$$

A propagating error is undetectable in the sense that $m(\varepsilon) = 0$ and non-trivial, however all undetectable non-trivial errors are not propagating errors. For instance, the circuit distance of the Hamming code combined with the $[6, 3, 3]$ measurement code is three. A minimum weight propagating error is represented in Figure 6.

We recalled in Section 1.1 that in the standard coding theory setting the minimum distance provides an indication on the performance of the minimum weight error decoder. Any set of up to $(d - 1)/2$ bit flips can be corrected by MLE decoding. The following proposition establishes a fault-tolerant analog of this result.

Proposition 2.2. *For any circuit error ε such that $|\varepsilon| \leq (d_{\text{circ}} - 1)/2$ the MWE decoder D_{MWE} corrects the input error.*

Proof. Assume that a circuit error ε with weight $|\varepsilon| \leq (d_{\text{circ}} - 1)/2$ occurs. The MWE decoder is based on the estimation $\hat{\varepsilon} = \tilde{D}_{\text{MWE}}(m(\varepsilon))$ of the circuit error ε . By definition, it satisfies $|\hat{\varepsilon}| \leq |\varepsilon| \leq (d_{\text{circ}} - 1)/2$, which implies $|\varepsilon + \hat{\varepsilon}| \leq d_{\text{circ}} - 1$. This proves that the residual circuit error $\varepsilon + \hat{\varepsilon}$ cannot be a propagating error. The input error is corrected by the MWE decoder. \square

The circuit distance cannot be arbitrarily large. It is limited by the minimum distance d_D of the data code and the minimum distance d_M of the measurement code as

$$d_{\text{circ}} \leq \min\{d_D, n_D + d_M\}.$$

Indeed, to obtain the upper bound $d_{circ} \leq d_D$ remark that for any codeword $u \in C_D$, the circuit error $\varepsilon = (e, f)$ with input $e^0 = u$ and with $e^1 = \dots = e^{n_M} = f = 0$ is a propagating error. One can also build a propagating error out of an arbitrary input error e^0 using $f = e^0 H_m^T$. The second upper bound $d_{circ} \leq n_D + d_M$ follows.

Given a data code C_D , one can try to select a measurement code C_M with optimal circuit distance $d_{circ} = d_D$ that requires a minimum number of parity check measurements n_M . We obtain a circuit distance $d_{circ} = d_D = 3$ for the Hamming code using the linear codes $[6, 3, 3]$ or $[10, 3, 5]$ defined in Eq. (2) as a measurement code. The circuit distance can be larger than the measurement code minimum distance. The linear code $[5, 3, 2]$ with generator matrix

$$G = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 \end{pmatrix}$$

leads to a circuit distance $d_{circ} = 3$ for the Hamming code and it requires only 5 measurements.

As a second example, consider using as data code the BCH code $[15, 7, 5]$ with generator matrix

$$G_D = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{pmatrix}.$$

Searching over random generator matrices G_M , we found a measurement code with length $n_M = 16$ that leads to an optimal circuit distance $d_{circ} = d_D = 5$. It is defined by the generator matrix

$$G_M = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 0 \end{pmatrix}$$

2.4 Truncated Minimum Weight Error decoder

We saw that the MWE decoder can be generalized to the context of circuit errors by selecting a circuit error ε with minimum weight that yields the observed outcome m . Then $\pi(\varepsilon)$ provides an estimation of the residual data error that occurs. Unfortunately, this

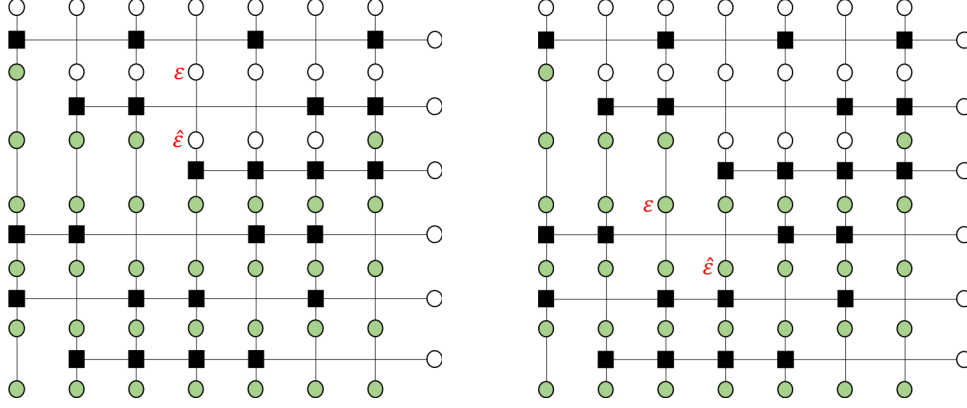


Figure 7: Left: A weight-one error ε and its estimation $\hat{\varepsilon}$. The correction succeeds although the circuit error is not exactly identified since no residual error remain at the end of the measurement cycle. Right: The decoder fails leading to an amplified residual error with weight two. To make the MWE decoder fault-tolerant, we will discard the part of $\hat{\varepsilon}$ that is included in the green region S_{out} .

strategy fails to satisfy the fault tolerance condition of Def. 1.2 due to the issue of error amplification illustrated by Lemma 1.1. Some internal errors occur too late to be corrected safely. This motivates the introduction of the *truncated* minimum weight error decoder.

In order to make the definition of the truncated decoder more intuitive, we begin with a case of failure of the minimum weight error decoder illustrated with Figure 7. An internal bit flip may be amplified by the decoder if it is included in the support of a weight-two undetectable error with a non-trivial residual error. To avoid error amplification, we will correct ε with the restriction of $\hat{\varepsilon}$ to a subset of early bit flip locations. In the rest of this section, we determine the exact shape of the restriction.

Let $A \subseteq V$ be a subset of vertices of the sequential Tanner graph. Let \tilde{D}_{MWE}^A be the map defined by $\tilde{D}_{\text{MWE}}^A(m) = \hat{\varepsilon} \cap A$ where $\hat{\varepsilon}$ is a minimum weight circuit error with outcome m . We use the notation $\hat{\varepsilon} \cap A$ as a shorthand for the restriction of the support of ε to the set A that is $\hat{\varepsilon} \cap A = \varepsilon(V(\hat{\varepsilon}) \cap A)$. The *truncated* MWE decoder with support A is defined to be the map $D_{\text{MWE}}^A : \mathbb{Z}_2^{n_M} \rightarrow \mathbb{Z}_2^{n_D}$ such that

$$D_{\text{MWE}}^A(m) = \pi(\tilde{D}_{\text{MWE}}^A(m)).$$

For $A = V$, we recover the strategy considered in the previous section, that is $\tilde{D}_{\text{MWE}}^V = \tilde{D}_{\text{MWE}}$. In the general case, the truncated decoder ignores the bit flips supported outside of the subset A . Without loss of generality, we can assume that $\tilde{D}_{\text{MWE}}^V(m) = \hat{\varepsilon}$ is fixed and that $\tilde{D}_{\text{MWE}}^A(m) = \tilde{D}_{\text{MWE}}^V \cap A$ for any subset A of V .

Let $S_{in} \subseteq V$ be the union of the supports of all connected circuit errors ε with weight up to $d_D - 1$ such that $\varepsilon \cap V_{in} \neq \emptyset$. Define $S_{out} \subseteq V$ as the union of the supports of all connected circuit errors ε with weight up to $d_D - 1$ such that $\varepsilon \cap V_{out} \neq \emptyset$.

Theorem 2.3. *If $(V_{in} \cup S_{in}) \cap S_{out} = \emptyset$, then the truncated decoder D_{MWE}^A with $A = S_{out}^C$ is a fault-tolerant decoder.*

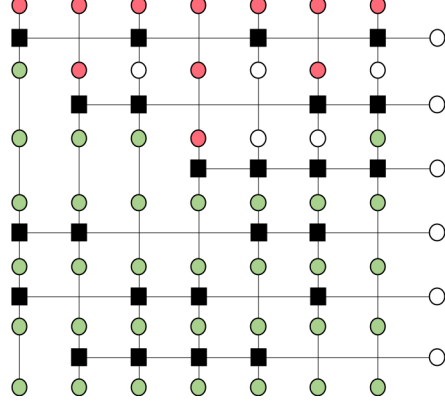


Figure 8: The set $V_{in} \cup S_{in}$ (red) and S_{out} (green) do not overlap for the Hamming code combined with the measurement code $[6, 3, 3]$. The restriction of the MWE decoder to the set $A = S_{out}^C$ is fault-tolerant by Theorem 2.3.

In what follows, when we refer to the truncated MWE decoder, we assume that the support of the truncated decoder is $A = S_{out}^C$. The condition $V_{in} \cap S_{out} = \emptyset$ is equivalent to $d_{circ} = d_D$. A large circuit distance is therefore required in order to ensure fault tolerance.

Proof. Consider an error $\varepsilon = (e, f)$ with outcome m such that $|\varepsilon| \leq (d_D - 1)/2$ and denote by

$$\hat{\pi}^A = \pi(\hat{\varepsilon} \cap A)$$

the residual error estimation returned by the truncated MWE decoder where $A = S_{out}^C$.

We are interested in the residual data error after correction, *i.e.*

$$\pi(\varepsilon) + \hat{\pi}^A = \pi(\varepsilon + \hat{\varepsilon} \cap A).$$

Let us prove that it satisfies the fault tolerance condition $|\pi(\varepsilon + \hat{\varepsilon} \cap A)| \leq |\varepsilon| - |e^0|$.

Partition of the circuit error: Denote $\omega = \varepsilon + \hat{\varepsilon}$. The set $V(\omega)$ is the set of locations where ε and its estimation $\hat{\varepsilon}$ do not match. We will prove the fault tolerance condition in two steps through the partition $V = V(\omega) \cup V(\omega)^C$.

Denote $\varepsilon \cap V(\omega) = (e_1, f_1)$ and $\varepsilon \cap V(\omega)^C = (e_2, f_2)$ the two components of ε . It is enough to show that both components satisfy the fault tolerance constraint, that is

$$|\pi(\varepsilon \cap V(\omega) + \hat{\varepsilon} \cap V(\omega) \cap A)| \leq |\varepsilon \cap V(\omega)| - |e_1^0| \quad (8)$$

and

$$|\pi(\varepsilon \cap V(\omega)^C + \hat{\varepsilon} \cap V(\omega)^C \cap A)| \leq |\varepsilon \cap V(\omega)^C| - |e_2^0| \quad (9)$$

Assuming that Eqs. (8) and (9) are satisfied, we obtain the fault tolerance condition as follows:

$$\begin{aligned}
|\pi(\varepsilon + \hat{\varepsilon} \cap A)| &= |\pi((\varepsilon + \hat{\varepsilon} \cap A) \cap V(\omega) + (\varepsilon + \hat{\varepsilon} \cap A) \cap V(\omega)^C)| \\
&\leq |\pi((\varepsilon + \hat{\varepsilon} \cap A) \cap V(\omega))| + |\pi((\varepsilon + \hat{\varepsilon} \cap A) \cap V(\omega)^C)| \\
&= |\pi(\varepsilon \cap V(\omega) + \hat{\varepsilon} \cap V(\omega) \cap A)| + |\pi(\varepsilon \cap V(\omega)^C + \hat{\varepsilon} \cap V(\omega)^C \cap A)| \\
&\leq |\varepsilon \cap V(\omega)| - |e_1^0| + |\varepsilon \cap V(\omega)^C| - |e_2^0| \\
&= |\varepsilon| - |e^0|
\end{aligned}$$

In the remainder of the proof, we demonstrate Eq. (8) and Eq. (9).

Proof of Eq. (9): By definition, the set $V(\omega)^C$ is the subset of V over which ε and $\hat{\varepsilon}$ coincide, *i.e.* $\varepsilon \cap V(\omega)^C = \hat{\varepsilon} \cap V(\omega)^C$. Consequently,

$$\varepsilon \cap V(\omega)^C + \hat{\varepsilon} \cap V(\omega)^C \cap A = \varepsilon \cap V(\omega)^C \cap A^C$$

which produces

$$\begin{aligned}
|\pi(\varepsilon \cap V(\omega)^C + \hat{\varepsilon} \cap V(\omega)^C \cap A)| &\leq |\pi(\varepsilon \cap V(\omega)^C \cap A^C)| \\
&\leq |\varepsilon \cap V(\omega)^C \cap A^C| \\
&\leq |\varepsilon \cap V(\omega)^C| - |e_2^0|
\end{aligned}$$

where the last inequality exploits the fact that $A^C = S_{out}$ does not intersect V_{in} . This proves Eq. (9).

Proof of Eq. (8): Consider the cluster decomposition $\omega = \sum_{i \in I} \omega_i$ of ω and denote by $\varepsilon_i = \varepsilon \cap V(\omega_i)$ and $\hat{\varepsilon}_i = \hat{\varepsilon} \cap V(\omega_i)$. Since $\omega = \varepsilon + \hat{\varepsilon}$, we have $\omega_i = \varepsilon_i + \hat{\varepsilon}_i$. From Lemma 2.1, $m(\omega_i) = 0$ for each cluster since $m(\omega) = 0$. The clusters also satisfy $|\omega_i| \leq |\omega| \leq d_D - 1$ as required in the definition of S_{in} and S_{out} . The cluster decomposition leads to

$$\pi(\varepsilon \cap V(\omega) + \hat{\varepsilon} \cap V(\omega) \cap A) = \sum_{i \in I} \pi(\varepsilon_i + \hat{\varepsilon}_i \cap A) \quad (10)$$

by linearity of π . The term $\pi(\varepsilon_i + \hat{\varepsilon}_i \cap A)$ depends on the relative position of the error ω_i and the truncated set A . We will establish the fault tolerance inequality for each term $\varepsilon_i + \hat{\varepsilon}_i \cap A$ by considering three cases as illustrated with Figure 9.

- (a) Assume first that $\omega_i \subseteq A = S_{out}^C$. Then, we have $\varepsilon_i + \hat{\varepsilon}_i \cap A = \varepsilon_i + \hat{\varepsilon}_i = \omega_i$. The accumulated error $\bar{\omega}_i$ cannot intersect V_{out} otherwise it would be included in S_{out} . Hence Lemma 2.1 tells us that

$$\pi(\varepsilon_i + \hat{\varepsilon}_i \cap A) = \pi(\omega_i) = 0. \quad (11)$$

- (b) Consider now the case $\omega_i \subseteq A^C = S_{out}$. Then, we have $\varepsilon_i + \hat{\varepsilon}_i \cap A = \varepsilon_i$ that yields

$$|\pi(\varepsilon_i + \hat{\varepsilon}_i \cap A)| = |\pi(\varepsilon_i)| \leq |\varepsilon_i|. \quad (12)$$

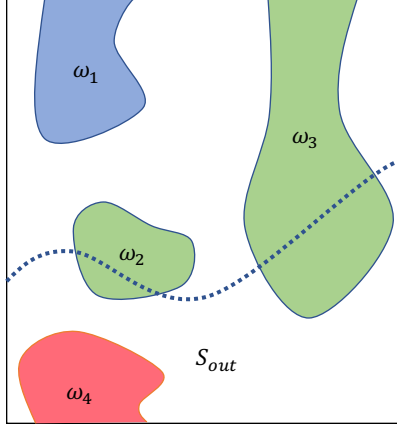


Figure 9: The three types of configurations for the cluster of ω in Step 3 of the proof of Theorem 2.3. The clusters of ω included in the top region like ω_1 appear early enough to be corrected (case (a)). The cluster ω_4 which is fully included in S_{out} and it is entirely truncated because it appears too late to be corrected (case (b)). The clusters that overlap with both S_{out} and its complementary like ω_2 and ω_3 are partly corrected (case (c)). The only input error that contributes to the residual error after correction belongs to the cluster ω_3 . The assumption of Theorem 2.3 guarantees that such a cluster cannot exist.

- (c) The remaining clusters ω intersect both A and its complementary A^C . By definition of S_{out} , such an error ω_i cannot meet V_{out} otherwise it would be fully included in $A^C = S_{out}$. One can thus apply Lemma 2.1 showing that $\pi(\omega_i) = \pi(\varepsilon_i + \hat{\varepsilon}_i) = 0$. This leads to

$$|\pi(\varepsilon_i + \hat{\varepsilon}_i \cap A)| = |\pi(\hat{\varepsilon}_i \cap A^C)| \leq |\hat{\varepsilon}_i| \leq |\varepsilon_i|. \quad (13)$$

Therein, the last inequality is a consequence of Lemma 2.4 below.

Denote by $I_{(a)} = \{i \mid \omega_i \subseteq A\}$, $I_{(b)} = \{i \mid \omega_i \subseteq A^C\}$ and $I_{(c)} = I \setminus (I_{(a)} \cup I_{(b)})$, the index sets corresponding to the previous three cases. Injecting the three inequalities (11), (12) and (13) in Eq. (10) leads to

$$\pi(\varepsilon \cap V(\omega) + \hat{\varepsilon} \cap V(\omega) \cap A) \leq \sum_{i \in I_{(b)} \cup I_{(c)}} |\varepsilon_i|. \quad (14)$$

To show Eq. (8), it remains to prove that this sum is at most $|\varepsilon \cap V(\omega)| - |e_1^0|$. Consider the error $\omega_{in} = \sum_{i \in I_{in}} \omega_i$ which is the sum of all the clusters of ω that intersect with V_{in} . The input error e^0 of ε is included in the support of $\sum_{i \in I_{in}} \varepsilon_i$. By definition, if $i \in I_{in}$ then $\omega_i \subseteq S_{in}$. Using the hypothesis $S_{in} \cap S_{out} = \emptyset$ this proves that $\omega_i \subseteq S_{in} \subseteq S_{out}^C = A$. This shows that $I_{in} \subseteq I_{(a)}$ and thus $I_{(b)} \cup I_{(c)} \subseteq I_{in}^C$. Coming back to Eq. (14), we obtain

$$\pi(\varepsilon \cap V(\omega) + \hat{\varepsilon} \cap V(\omega) \cap A) \leq \sum_{i \in I_{(b)} \cup I_{(c)}} |\varepsilon_i| \leq \sum_{i \in I_{in}^C} |\varepsilon_i| \leq |\varepsilon \cap V(\omega)| - |e_1^0|$$

concluding the proof of Eq. (8). The Theorem follows. \square

Consider an error ε with outcome m and let $\hat{\varepsilon} = D_{\text{MWE}}^V(m)$. The following lemma proves that a minimum weight error $\hat{\varepsilon}$ is also locally minimum within each cluster of $\varepsilon + \hat{\varepsilon}$.

Lemma 2.4. *Let ε be a circuit error with outcome m , let $\hat{\varepsilon} = \tilde{D}_{\text{MWE}}^V(m)$ and let $\omega = \varepsilon + \hat{\varepsilon}$. Denote by $\omega = \sum_i \omega_i$ the cluster decomposition of ω and let $\varepsilon_i = \varepsilon \cap V(\omega_i)$ and $\hat{\varepsilon}_i = \hat{\varepsilon} \cap V(\omega_i)$. Then, for all i , we have $|\varepsilon_i| \geq |\hat{\varepsilon}_i|$.*

Proof. If there exists a cluster i such that $|\varepsilon_i| < |\hat{\varepsilon}_i|$ then replacing $\hat{\varepsilon}_i$ by ε_i in $\hat{\varepsilon}$ provides an error $\hat{\varepsilon}' = \hat{\varepsilon} + \varepsilon_i + \hat{\varepsilon}_i$ with reduced weight and unchanged outcome $m(\hat{\varepsilon}') = m(\hat{\varepsilon})$. This last equality is based on the fact that $m(\varepsilon_i + \hat{\varepsilon}_i) = m(\omega_i) = 0$ proven in Lemma 2.1. This cannot happen by definition of the MWE decoder. \square

3 Time overhead of fault tolerance

The choice of the encoding scheme is driven by the application considered. The application dictates the number of data bits k that we need to encode and the error rate targeted is used to estimate the minimum distance d required. Encoding increases the volume of the data. The *space overhead* is the inverse of the rate of the code used, *i.e.* roughly we need $1/R$ bits per data bit. The *time overhead* to implement a fault-tolerant error correction scheme is the number of parity check measurements per correction cycle. Fault tolerance may considerably increase the number of measurements needed to perform error correction with a code of length n_D . In this section, we obtain an upper bound on number of measurements required to guarantee fault tolerance by analyzing the circuit distance of random measurement matrices.

The following theorem demonstrates the existence of short length fault-tolerant sequences for general families of codes. By a fault-tolerant sequence, we mean a sequence of parity check measurements that makes the data code fault-tolerant using the truncated MWE decoder.

Theorem 3.1. *Let C_D be a family of data codes with minimum distance d_D and length n_D .*

- **Polylog distance:** *Suppose that $d_D \geq A \cdot \log(n_D)^\alpha$ for some constants $A, \alpha > 0$. There exists a constant d_0 such that if $d_D \geq d_0$ the code C_D admits a fault-tolerant measurement sequence with length $n_M = O(d_D^{1+1/\alpha})$.*
- **Polynomial distance:** *Suppose that $d_D \geq A \cdot n_D^\alpha$ for some constants $A, \alpha > 0$. There exists a constant d_0 such that if $d_D \geq d_0$ the code C_D admits a fault-tolerant measurement sequence with length $n_M = O(d_D \log(n_D))$.*

In term of circuit distance, we prove that there exists a family of measurement codes with length n_M that produces an optimal circuit distance $d_{\text{circ}} = d_D$ for the data code C_D .

Naturally, one can trade time for space. In this context, this can be done by encoding our k data bits with a longer code C_D with same minimum distance d_D . This extra cost

in space can be compensated with a shorter fault-tolerant measurement sequence. If the distance d_D grows linearly with n_D , then the theorem provides a fault-tolerant sequence of $\Omega(n_D \log(n_D))$ measurements. However, using a code with minimum distance $d_D = \Omega(n_D^\beta)$ for some $0 < \beta < 1$, only $\Omega(n_D^\beta \log(n_D))$ parity check measurements suffice for fault-tolerance.

Proof. The basic idea is to build a family of measurement codes C_M that maximizes the circuit distance of the pair (C_D, C_M) .

In order to guarantee an optimal circuit distance, we must prove that it is possible to construct a measurement matrix H_m such that there is no circuit error with weight $w \leq d_D - 1$ that is a propagating error. We will use the probabilistic method. Fix the code C_D and pick a random measurement matrix $H_m = G_M^T H_D$ whose rows are n_M vectors of C_D^\perp selected independently according to a uniform distribution.

For a circuit error $\varepsilon \in \mathbb{Z}_2^N$, define the random variable X_ε by

$$X_\varepsilon(H_m) = \begin{cases} 1 & \text{if } \varepsilon \text{ is a propagating error for } H_m \\ 0 & \text{otherwise} \end{cases}$$

Note that $N = (n_M + 1)(n_D + 1) - 1$. Then, for $\rho \in \mathbb{N}$ denote

$$X_\rho = \sum_{\substack{\varepsilon \in \mathbb{Z}_2^N \\ |\varepsilon| \leq \rho}} X_\varepsilon$$

the random variable that counts the number of propagating errors with weight up to ρ for the code C_D with the measurement matrix H_m . In what follows, $\rho = d_D - 1$ and our goal is to bound the expectation of X_ρ .

By definition, the expectation of X_ε is the probability that $\varepsilon = (e, f)$ is a propagating error. Based on Lemma 3.2 below, this probability is upper bounded by the probability that $m(e, 0) = f$. First, let us prove that the vector $m(e, 0)$ is a uniformly random bit string of $\mathbb{Z}_2^{n_M}$. For all $i = 1, \dots, n_M$, the i th component m_i of $m(e, 0)$ is the inner product between row i of H_m and the component $\bar{e}^{(i-1)}$ of the accumulated error. Moreover, Lemma 3.2 shows that $\bar{e}^{(i-1)} \notin C_D$, which proves that m_i is a uniform random bit. Given that rows of H_m are selected independently, for any circuit error x with weight $|x| < d_D$, the vector $m(e, 0)$ is uniformly distributed in $\mathbb{Z}_2^{n_M}$. This produces the upper bound

$$\mathbb{E}(X_\varepsilon) = \mathbb{P}(\varepsilon \text{ is a propagating error}) \leq \mathbb{P}(m(e, 0) = f) = 2^{-n_M}$$

where the last equality is based on the uniformity of $m(e, 0)$.

Linearity of the expectation, combined with the upper bound on $\mathbb{E}(X_\varepsilon)$ leads to

$$\mathbb{E}(X_\rho) = \sum_{\substack{\varepsilon \in \mathbb{Z}_2^N \\ |\varepsilon| \leq \rho}} \mathbb{E}(X_\varepsilon) \leq \rho \cdot \binom{N}{\rho} 2^{-n_M} \leq d_D \cdot \binom{n_D n_M}{d_D} 2^{-n_M}$$

where $N = (n_D + 1)(n_M + 1) - 1$ and $\rho = d_D - 1$.

Applying Lemma 3.3, we get

$$\mathbb{E}(X_\rho) \leq d_D \cdot 2^{d_D(\log_2(e \cdot n_D \cdot n_M / d_D)) - n_M} \quad (15)$$

Consider first the polylog distance case. We have $d_D \geq A \log(n_D)^\alpha$, or equivalently $e^{Bd_D^{1/\alpha}} \geq n_D$ for some constant B . For a sequence with length n_M , this leads to the following exponent in Eq. (15):

$$\begin{aligned} & d_D \log_2(e \cdot n_D \cdot n_M / d_D) - n_M \\ & \leq d_D \log_2(e^{Bd_D^{1/\alpha}}) + d_D \log_2(e \cdot n_M / d_D) - n_M \\ & = Cd_D^{1+1/\alpha} + d_D \log_2(e \cdot n_M / d_D) - n_M \end{aligned}$$

for some constant C . One can select a sequence length $n_M = O(d_D^{1+1/\alpha})$ such that this exponent goes to $-\infty$ and therefore $\mathbb{E}(X_\rho) \rightarrow 0$ when $d_D \rightarrow +\infty$.

Consider now the polynomial distance case: $d_D \geq An_D^\alpha$, which means $Bd_D^{1/\alpha} \geq n_D$ for some constant B . The resulting exponent in Eq. (15) is

$$\begin{aligned} & d_D \log_2(e \cdot n_D \cdot n_M / d_D) - n_M \\ & \leq d_D \log_2(Bd_D^{1/\alpha}) + d_D \log_2(e \cdot n_M / d_D) - n_M \\ & = d_D \log_2(B) + Cd_D \log_2(d_D) + d_D \log_2(e) + d_D \log_2(n_M / d_D) - n_M \end{aligned}$$

for some constant C . Consider a sequence length $n_M = A'd_D \log_2(d_D)$ for some constant A' such that $A' > \max(1, C)$. The last term n_M dominates the terms $d_D \log_2(B)$, $d_D \log_2(e)$ and $Cd_D \log_2(d_D)$. It remains the term $d_D \log_2(n_M / d_D) = d_D \log_2(A') + d_D \log_2(d_D)$ which is also dominated by n_m . Again, this proves that for $n_M = O(d_D \log(d_D))$, the sequence $\mathbb{E}(X_\rho)$ goes to 0 when $d_D \rightarrow +\infty$.

In both cases (polylog and polynomial distance), we showed that $\mathbb{E}(X_\rho)$ goes to 0. Since X_ρ takes integer values, this is enough to prove the existence of a measurement code family such that $X_\rho = 0$ for all sufficiently large d_D . By definition of X_ρ , this family has an optimal circuit distance for the data codes C_D .

To conclude, we apply Theorem 2.3. In general, the condition $(V_{in} \cup S_{in}) \cap S_{out} = \emptyset$, required to apply the theorem, is not satisfied. But it is sufficient to repeat twice the measurement sequence to guarantee this condition. This concludes the proof. \square

Lemma 3.2. *If $\varepsilon = (e, f)$ is a propagating error with weight $|\varepsilon| < d_D$ then the accumulated error \bar{e}_i introduced in Eq. (7) is not a codeword of C_D and $m(e, 0) = f$.*

Property (i) of Lemma 3.2 is independent of the codes C_D and C_M . However, the value of $m(e, 0)$ used in (ii) depends on these codes.

Proof. By definition of a propagating error, we have $\bar{e}^i \neq 0$ for all i and the condition $|\varepsilon| < d_D$ implies that \bar{e}^i cannot belong to C_D . This proves item (i). The second property is an immediate consequence of the property $m(\varepsilon) = 0$ \square

The proof of Theorem 3.1 relies on the following standard bound on combinatorial factors.

Lemma 3.3. *For all integers m, n such that $1 \leq m \leq n$, we have*

$$\binom{n}{m} \leq \left(\frac{ne}{m}\right)^m = 2^{m \log_2(n/m) + m \log_2(e)}.$$

Proof. It is an immediate application of the bound $m! \geq \left(\frac{m}{e}\right)^m$ □

4 Numerical results

This section illustrates our results with numerical simulations. As proven in Proposition 1.4, we observe an increase of the lifetime of encoded data, corrected regularly using the truncated MWE decoder, when the initial physical noise rate is sufficiently low. Then, we analyze the importance of different types of noise by varying the relative probabilities of input errors, internal errors and measurement errors, proving that internal errors are the most harmful.

Given a data code C_D , we select a measurement matrix with optimal circuit distance. We pick a length n_M as small as possible. The truncated MWE decoder is used for fault-tolerant error correction. We implement this decoding algorithm as a lookup table. This strategy applies to a restricted set of codes since the amount of memory required to store the table grows exponentially with the code length. The main advantage of this approach is the rapidity of the decoding that returns the correction to apply in constant time.

Figure 10 plots the average lifetime obtained by numerical simulations. We assume that we perform cycles of measurement and error correction at regular intervals. Between two such error correction cycles, the stored data is affected by independent bit flips with probability p_s . We refer to p_s as the storage error rate. During a correction cycle, each parity check measurement may flip the measured bits. We assume that the noise on the bits that are not involved in the parity check is negligible. Measured bits are affected by independent bit flip with probability p_m . During a full measurement cycle, a bit involved in r parity checks suffers from an error rate that is roughly rp_m . Each outcome bit is flipped independently with probability p_f . We estimate the lifetime of encoded data by compute the average lifetime over 10000 trials. When the physical error rate is small enough the lifetime of the encoded data surpasses the unencoded lifetime. In the case of a uniform noise $p_s = p_f = p_m$, this happens for

$$p_{th} \approx 1.1 \cdot 10^{-3}$$

for the Hamming code combined with the linear code $[5, 3, 2]$. When the error rate p is below the threshold value p_{th} , often called *pseudo-threshold* [38], it becomes advantageous to encode. For a uniform noise a smaller number of measurements, that is smaller length

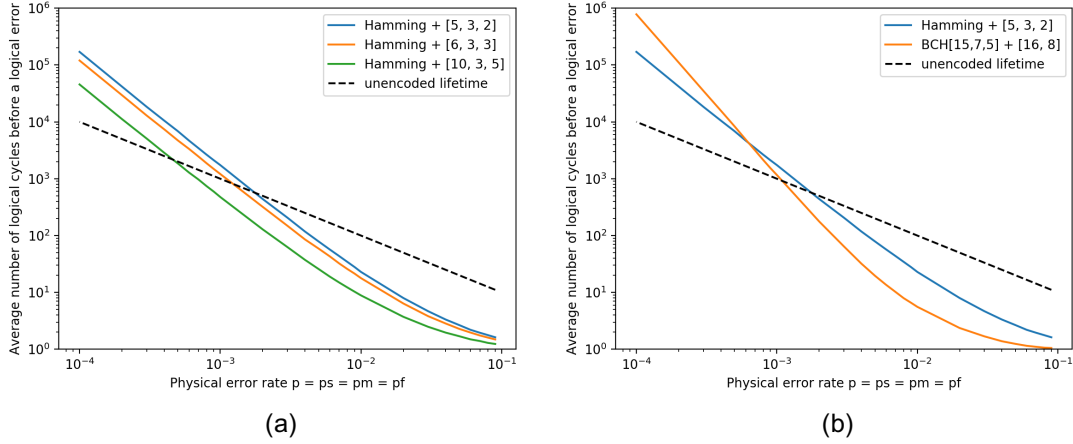


Figure 10: (a) Average lifetime of the Hamming code with different measurement codes for a uniform noise with parameters $p = p_s = p_m = p_f$. In this noise regime, the shortest measurement sequence gives the best results. (b) Comparison between the Hamming code and a distance-five BCH code. The larger minimum distance of the BCH code leads to a more favorable scaling of the encoded lifetime.

for the measurement code is preferable. A larger minimum distance d_D brings a greater improvement of the average lifetime below the pseudo-threshold but it generally also degrades the value of the pseudo-threshold of the scheme.

The average lifetime and the pseudo-threshold of a fault-tolerant error correction scheme depends on the three parameters p_s, p_f, p_m of the storage noise model. Figure 11 shows that the parameter p_m has a greater influence on the performance of the scheme than the flip error rate p_f . An internal bit flip is more likely to cause a logical error than a flipped outcome. This is because an error that affects only the measurement outcome leads to introducing an error $D_{\text{MWE}}(m)$ in the data and by construction of the decoder this error is chosen to have low weight. Through this process flipped outcomes are converted into low-weight residual errors that can be corrected by the next error correction cycle. This is true even when many outcomes is flipped. This phenomenon makes outcome flips far easier to correct than bit flips corrupting the data.

5 Conclusion

We have introduced a simple formalism for fault-tolerant error correction with linear codes. Based on a notion of minimum distance and a decoding strategy adapted to this model and we obtain bounds on the time-overhead for fault tolerance. Our work suggests further extensions.

- *Efficient fault-tolerant decoding algorithm:* We chose to implement the truncated MWE decoder as via a lookup table which is extremely fast but the large amount of

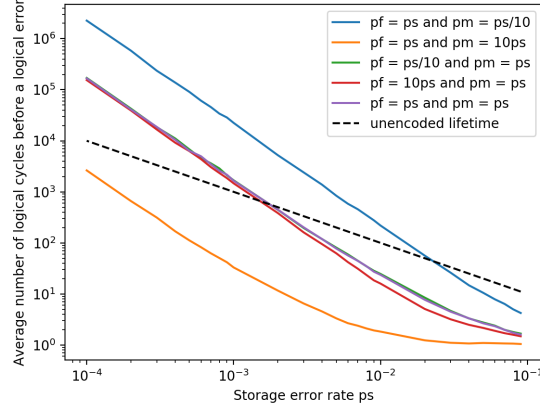


Figure 11: Average lifetime of encoded data with the Hamming code combined with the $[5,3,2]$ measurement code for different noise parameters obtained over 10,000 trials. We vary the strength of the measurement noise p_f and the internal noise p_m . Increasing or decreasing the value of p_f keeps the lifetime roughly unchanged. However, the encoded lifetime is very sensitive to the internal noise p_m .

memory required is an important drawback of this approach, restricting our scheme to short-length codes. It is unclear whether a general efficient implementation of the truncated MWE decoder exists. However, an efficient decoder can be designed for specific families of measurement matrices. This would significantly extend the scope of the current fault-tolerant error correction scheme.

- *Most Likely Coset decoder:* We observed in Section 2.3 that some circuit errors are trivial. That means that cosets of circuit errors are indistinguishable. Identifying the most likely coset instead of the most likely circuit error would lead to a better decoder. In the quantum setting, the equivalence between two errors that differ in a stabilizer should also be considered. This is another notion of coset that should be exploited in an ideal decoder [27, 37, 39].
- *Optimal time overhead:* Theorem 3.1 provides an upper bound $O(d_D \log(d_D))$ on the number of measurements required for fault-tolerant error correction with codes with polynomial distance. We conjecture that the time overhead can be reduced further with fault-tolerance sequences with length $O(d_D)$ (Conjecture 1).
- *Space-time tradeoff:* In order to reduce the time overhead, it may be advantageous to encode data in a code with large length n_D and suboptimal minimum distance d_D . We leave the study of the tradeoff between the space overhead n_D/k_D and the time overhead n_M/k_D for future research.
- *Beyond sequential measurements:* We use the number of measurements as a proxy to measure the time-overhead for fault-tolerance. This is a good estimate for the number of time-steps required for a correction round only if most measurement cannot

be implemented simultaneously which is the case for general unstructured codes or code with dense parity-check matrices. Using quantum LDPC codes [23, 24], defined by sparse parity-check matrices, a measurement round can be implemented in constant depth while preserving a low residual noise after correction [35, 36, 40, 41]. The main reason for this difference is that internal errors only affect $O(1)$ outcome bits. Consequently, one can ignore internal error and replace them by low weight correlated measurement errors. This relates to the notion of single-shot quantum error correction [14, 22] extensively used for topological quantum codes [42–45]. In the case of quantum LDPC codes, one may consider minimizing the total number of measurements implemented in addition to the measurement sequence length.

This work is motivated by quantum computing applications, where quantum error correction must be implemented via hardware suffering from high noise rate. This work may also find applications in other settings for error correction in very noisy environment, for example in flash storage, where the noise rate of the densest flash cells reaches 10^{-4} or more [46].

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