# Energy- and Bandwidth-Efficient, QoS-Aware Edge Caching in Fog-Enhanced Radio Access Networks

Chayan Bhar<sup>®</sup> and Erik Agrell<sup>®</sup>, Fellow, IEEE

Abstract—The emerging video services are associated with stringent quality-of-service (QoS) requirements and place high bandwidth demands on the core networks. Edge caching can facilitate the stringent QoS demands while easing the bandwidth requirement from core networks. However, such schemes require on-field caching equipment, in which energy consumption is a function of cache utilization. Designing opportunistic caching strategies for energy efficiency is therefore essential in such schemes. This paper studies the possibilities for achieving high energy efficiency, QoS, and low bandwidth consumption from the core network, in an optically fronthauled fog-enhanced radio access network that implements edge caching. An analytical model for such a network has been derived to measure latency, bandwidth consumption, and cache utilization. It is deduced from the results that low latency (high QoS) and bandwidth consumption can be ensured in such schemes while reducing the energy consumption by up to 93%. The derived model allows to design caching strategies for addressing the trade-off between energy efficiency, QoS, and bandwidth efficiency.

*Index Terms*—Energy-efficient edge caching, QoS-aware content delivery, optically fronthauled wireless networks.

#### I. INTRODUCTION

THERE has been a rapid increase in the mobile video traffic, which is predicted to account for 79% of the overall mobile traffic in 2020 [1]. End-user mobility adversely affects the network performance, due to the involved network dynamics [2], [3]. However, delivering bandwidth-intensive multimedia videos with stringent quality-of-service (QoS) constraints in 5G communications [4], [5], to mobile users from content servers located in the core network causes high latency (poor QoS) and significant bandwidth consumption in the core network and other network segments. The deployment of fog-enhanced radio access networks (Fe-RANs) is envisioned to be a potential solution to the above network issues [6]. Fe-RANs involve caching of bandwidth-intensive videos in edge caches (ECs) that are co-located with on-field base stations called enhanced remote radio heads (eRRHs) [4].

Manuscript received June 1, 2020; revised November 20, 2020; accepted January 5, 2021. Date of publication March 9, 2021; date of current version August 19, 2021. This work was supported in part by the Vinnova under Grant 2017-05228 and in part by the Knut and Alice Wallenberg Foundation under Grant 2013.0021. (Corresponding author: Chayan Bhar.)

Chayan Bhar was with the Department of Electrical Engineering, Chalmers University of Technology, SE-41296 Gothenburg, Sweden. He is now with the Department of Electronics and Communication Engineering, National Institute of Technology Warangal, Warangal 506004, India (e-mail: cbhar@nitw.ac.in).

Erik Agrell is with the Department of Electrical Engineering, Chalmers University of Technology, SE-41296 Gothenburg, Sweden.

Color versions of one or more figures in this article are available at https://doi.org/10.1109/JSAC.2021.3064659.

Digital Object Identifier 10.1109/JSAC.2021.3064659

Moreover, optical fronthauling of Fe-RANs has been proposed to satisfy the stringent QoS demands of low latency services [7], provision high bandwidth [8], and allow simple deployment [9] of eRRHs. This allows the content providers to deliver QoS-aware bandwidth-intensive videos from network locations, that are closer to end-users. Optimal caching strategies in ECs are illustrated to decrease the video download latency [10].

The optimal caching time in ECs increases when the caching energy consumption is low [11], thereby increasing the involved complexity. However, the on-field base stations are proposed to have low complexity radio processing functionalities in 5G communications to facilitate easy deployment, energy efficiency, and centralized processing. Since installing extensive caching and processing facilities closer to the end users may increase the caching energy requirement, limited caching capabilities are typically installed at the eRRHs to limit the energy consumption [12]. The energy and complexity constraints of eRRHs enforce limitations on the cache size and energy consumption of ECs.

These challenges necessitate deployment of hierarchical caching, in which complex functionalities are implemented in centralized cloud units (CUs), while the on-field eRRHs are lightweight [13]. Implementation of hierarchical caching over Fe-RANs [14] allows centralization of complex processing elements, and decreases the network load in the fronthaul segment by up to 35% [15]. It was shown that caching schemes with more than two hierarchical levels experience high overall bandwidth consumption [16], [17]. In such schemes, videos from content providers are hosted in CUs that are located in the core network and are owned by CU providers [18]. The videos can be temporarily cached in the ECs and delivered to end-users from either the ECs or the CUs, depending on their demand. Therefore, video delivery to mobile users involves ECs at eRRHs that can be owned by different mobile network operators (MNOs), CUs, a transport network (TN) connecting the CUs and ECs (consisting of the core network, metro network, and fronthaul networks), the access network (AN), and all intermediate network equipment. Business partners, called tenants, can own the involved network segments and equipment, and lease resources to the content providers [18]. A network orchestrator manages end-to-end resource allocation in these segments for facilitating delivery of videos to end-users from ECs and CUs, through a suitable eRRH.

In a multi-tenant Fe-RAN, designing an optimal caching strategy is essential to minimize the resource consumption from different tenants and support QoS-aware applications.

0733-8716 © 2021 IEEE. Personal use is permitted, but republication/redistribution requires IEEE permission. See https://www.ieee.org/publications/rights/index.html for more information.

The latency of end-user services increases with network load [7]. On the other hand, stringent QoS specifications for decreasing latency can increase the optimal caching time [11]. Instead, hierarchical caching limits the content download delay by facilitating high end-user data-rates [4], [19]. Latency minimization through heterogeneous cache allocation to entire videos in an Fe-RAN employing hierarchical caching assuming different capacities for the CU-user and EC-user links has been studied in [20], [21]. The latency of streaming videos having different file sizes can be minimized by optimizing video delivery from ECs and the CU [4]. Moreover, caching fractions of a video (video segments (VSs)) maximizes their utility compared to caching the entire video when the request process is memoryless [20]. This facilitates low network and EC utilization in scenarios where users may consume only a part of a video [22]. Different caching strategies like the leastrecently-used, least-frequently-used, etc., can be implemented in the ECs. However, such schemes involve complicated analysis [23]. On the other hand, timer-based strategies that involve setting a timer when a video is stored in the cache and deleting it when the timer expires have simple analytical frameworks [24]. The timer duration is called the time-tolive (TTL) of the video. TTL-based strategies can accurately replicate the performance of the least-recently-used policy when the content requests are Poisson distributed [24].

It is illustrated that proactively caching the requested VSs in all nearby locations, where a mobile user can possibly migrate (given its current location) ensures low latency and seamless mobility [25]. Content download latency increases with end-user mobility [26], while the network throughput decreases when the number of mobile users approaches the threshold value that can be supported [27]. Moreover, costs of migration (network resource consumption) and latency increase if end users are highly mobile and have the opportunity to migrate to different neighbourhoods.

Although end-user mobility is a critically important aspect in Fe-RANs, its effect on energy, bandwidth, and QoS in a realistic environment has not been explicitly studied in the literature. For example, the following research illustrates QoS as a function of: EC size and fronthaul network capacity limitations for a simple scenario with only two ECs and two users [20], [21]; eRRH placement and video popularity [19]; the number of end-users [27]; the network load [7]; the effect of centralized and de-centralized decisions for caching and video size [4]; and EC capacity [26]. On the other hand, [25] studies the trade-off between QoS and cost-of-caching, [28] discusses the effect of the number of video requests on energy efficiency, and [14] studies the effect of cache size on its utilization. However, the design and the effect of energy-efficient caching strategies on QoS, bandwidth consumption in the core network and ANs, and energy consumption in the EC, AN, and TN, and the trade-off between these parameters are not studied in existing literature. Similarly, energy consumption and latency are illustrated to increase when more than two hierarchical caching levels are employed [16], [17]. Yet, existing studies do not illustrate optimization of bandwidth and energy consumption, and latency in networks employing hierarchical caches in the presence of mobile users. Finally, caching of VSs with TTL timers, called soft-TTL [22] are proposed. However, its effect on the improvement in QoS, transportation and caching energy consumption, and bandwidth consumption at different network segments, in a scenario with mobile users is absent in existing literature.

The main contributions of this paper are as follows:

- 1) We formulate analytical models for VS delivery to mobile users in an Fe-RAN from ECs that employ fractional caching; soft-TTL [22]; and proactive caching [25]; and the CU. We utilize realistic models for user mobility [3], [26] and VS request arrival [3], [22], [29].
- 2) We analyze the effect of user mobility on QoS and energy and bandwidth efficiency. Using the analytical models, we design caching strategies for ensuring QoS to mobile users, minimize bandwidth consumption in the TN and AN, and energy consumption in ECs, TN, and AN.
- 3) We illustrate that there is a trade-off between above parameters. Using results from the analytical framework, we discuss strategies available to the network orchestrator and content providers for leasing network slices from other tenants that address this trade-off and minimize the amount of resources to be leased.

The rest of this paper is arranged as follows. In the next section we formulate analytical models for the assumed network scenario. This is followed by results on the performance of such schemes in Section III and a discussion and conclusions in Section IV.

## II. SYSTEM DESCRIPTION AND MODELING

In this section, we first describe the considered system in Fig. 1. Thereafter, the assumptions made for modeling the Fe-RAN of Fig. 1 are stated. Finally, an analytical model is formulated for the proposed system.

## A. Assumptions

We consider the Fe-RAN illustrated in Fig. 1 that implements two levels of caches, at the ECs and CUs, respectively, (for minimizing energy and bandwidth consumption [16], [17]) similar to [7], [14], [20], [21], [26], and [30]. The network configuration and the assumptions made for modeling the system are stated below using Fig. 1. The symbols used in this paper are described in Table I. K ECs are assumed to be deployed. The formulated model and the discussion below is for a particular VS which may be present in an eRRH  $E_i$ , where  $i \in \{1, ..., K\}$ . The eRRH  $E_i$  consists of an EC and provides services to the users of its associated cell i. The CUs are located in the core network as in [7], [21], [26]. An on-field eRRH  $E_i$  provides connection and services to the users of its respective cell i. The Fe-RAN employs an optical fronthaul network similar to [7], [27], [31]. The fronthaul network consists of an optical line terminal (OLT) at the central office and K on-field optical network units (ONUs), one in each cell. The OLT forms an interface between the AN and TN and is co-located with the baseband unit (BBU) that performs complex baseband functionalities. The ONUs are co-located with the respective eRRHs  $E_1, \ldots, E_K$ . The AN, eRRHs, and BBU are owned by MNOs, the CUs by

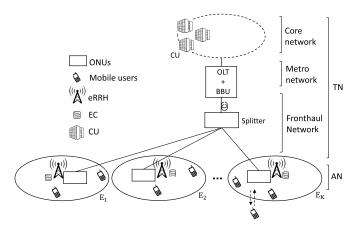


Fig. 1. Optically fronthauled Fe-RAN with edge caching at eRRHs.

cloud unit providers, the videos by content providers, and the intermediate TN consisting of the core network, metro network, and fronthaul network by network providers. In each of the above cases, there can be a single or multiple tenants at the same horizontal level. In order to facilitate end-user content delivery, the content providers lease slices consisting of AN bandwidth and EC space from the MNO, TN bandwidth from the network providers, and CU space from the cloud unit provider, while the network orchestrator designs pricing strategies that facilitate QoS, energy efficiency, and bandwidth efficiency.

The Fe-RAN implements fractional caching and soft-TTL [22] in the ECs implying that VSs are cached and deleted from the ECs as functions of their requests and the caching strategy implemented. VSs are streamed at higher download rates from ECs with rate  $r_{\rm AN}$ , compared to when they are streamed from the CU with rate  $r_{\rm TN}$ , i.e.,  $r_{\rm AN} > r_{\rm TN}$ , since the ECs are located closer to the users [26], [30], while network congestion and statistical multiplexing are involved in the metro network and core network segments. The VS download times are assumed to be exponentially distributed for simplicity of analysis.

In this paper the analysis is performed with respect to a particular VS of size  $\kappa$  in a particular eRRH  $E_i$ . Users arrive to cell i associated with  $E_i$  following a Poisson process with a mean inter-arrival time  $\bar{v}_i$ , and depart from cell i after an exponentially distributed interval  $\tau_i$  with mean  $\bar{\tau}_i$  similar to [3], [26] assuming a small handover margin (< 0 dB) and small  $\tau_i$  ( $\leq 100$  s) [32]. A small  $\bar{\tau}_i$  corresponds to fast end-user mobility. Since the end-user mobility follows a Poisson process [3], [26], the average time after starting the VS download, when the user moves away from the cell, can be derived from splitting of Poisson process [33, Chapter 2.2] as  $\bar{\tau}_i - 1/\lambda_i$ , where  $1/\lambda_i$  is the average inter-request interval. Our model does not capture the behaviour of users after they download the VS. A maximum of  $N_i$  users can simultaneously request and download the same VS from  $E_i$ , while requests for the same VS from other users are dropped. The users first arrive to the cell and thereafter may request for the VSs. The request process for VSs follows a Poisson distribution with exponentially distributed inter-request intervals, similar to [3], [22], [29], [34]. The popularity of a VS is assumed to affect its per-user request arrival rate  $\lambda_i$  (overall request arrival rate normalized by the number of users considered to be present in the cell). Streaming of VSs to the requesting users is performed according to the flowchart of actions in Fig. 2, as described below. The possible events are marked as  $e_1$  e<sub>6</sub> in Fig. 2. Users' request (Request) for VSs are served by the eRRHs either from the EC, or CU, in the order of availability. Moreover, a VS is cached at ECs from the CU when the number of requests  $\varepsilon_i$  from a particular eRRH  $E_i$  exceeds a threshold  $\zeta_i$  for that particular VS in  $E_i$ .  $\lambda_i$ is assumed to be equal for all users and can be calculated using the methods outlined in [14]. Since any caching strategy affects the TTL of a VS we select the VS TTL in  $E_i$   $\sigma_i$ , as the design parameter for caching. The TTL results in deletion (Deleted during download in Fig. 2) of the VS from  $E_i$  after an exponentially distributed interval with mean  $\sigma_i$ of caching it (as a function of the caching strategy). This causes on-going VS downloads from  $E_i$  to be re-streamed from the CU as in [35]. Content providers employ proactive caching [25], in which the VS can be immediately downloaded (without requesting) from all cells to which a user can possibly move, thereby ensuring seamless connectivity to mobile users and low delay. A fraction  $p_i$  of users arriving to cell i are assumed to be downloading the VS at the time of migration.  $p_i$  is independent of  $N_i$ . Therefore, VS requests from users that require proactive caching are independent of the requests from other users connected to  $E_i$ . Therefore, the overall user arrival rate  $[N_i - (\omega_i + \varepsilon_i + \alpha_i)] \cdot 1/\bar{\nu}_i$  can be divided into  $[N_i - (\omega_i + \varepsilon_i + \alpha_i)] \cdot 1/\bar{\nu}_i(1-p_i)$  that can request the VS with Poisson intensity  $\lambda_i$  and  $[N_i - (\omega_i + \varepsilon_i + \alpha_i)]p_i/\bar{v}_i$  that immediately start downloading the VS using Poisson splitting. Moreover, if proactive caching is absent, then  $p_i = 0$ .

We analyze the effect of user mobility  $\bar{v}_i$ ,  $\bar{\tau}_i$ ; VS parameters  $\lambda_i$ ,  $\kappa$ ; network parameters  $r_{\text{TN}}$ ,  $N_i$ ; and caching policy  $\zeta_i$ ,  $\sigma_i$  on VS download time  $\delta_i$ ; energy consumption in AN  $\chi_{\text{AN}}$ , TN  $\chi_{\text{TN}}$ , and EC  $\chi_{\text{EC}}$ ; and the bandwidth consumption in the AN  $\gamma_{\text{AN}}$  and TN  $\gamma_{\text{TN}}$ , by formulating queuing models for the above scenario. These parameters are grouped into parameters that are controllable by tenants  $\sigma_i$ ,  $\zeta_i$ ,  $r_{\text{TN}}$ ,  $\kappa$ , and user dependent parameters  $N_i$ ,  $\lambda_i$ ,  $\bar{v}_i$ , and  $\bar{\tau}_i$ . Using the analytical model, we derive values of  $\sigma_i$ ,  $r_{\text{TN}}$ ,  $\kappa$ , and  $\zeta_i$  that can simultaneously minimize the expectations of  $\delta_i$ ,  $\chi_{\text{AN}}$ ,  $\chi_{\text{TN}}$ ,  $\chi_{\text{EC}}$ ,  $\gamma_{\text{AN}}$ , and  $\gamma_{\text{TN}}$  in Section II-D.

The queuing models are formulated from the perspective of a single VS. This implies that:

- (i) the request arrival process of each VS is independent [24]. The performance for a particular video is obtained by assuming that respective VSs have independent request arrival processes [22]. Although the VSs influence each other due to the size restriction of ECs, we have neglected this aspect for simplicity of analysis.
- (ii) the download rate of a VS is independent of other VSs being downloaded.
- (iii) ECs allow caching of a VS independently of other VSs.

## B. Markov Model for ECs

A continuous-time Markov model with discouraged arrivals and finite calling population [33, Chapter 3.8] is formulated for  $E_i$ . The model specifies the states of  $N_i$  users that are

TABLE I LIST OF SYMBOLS USED IN THE TEXT

Symbol	Description
$E_i$	i <sup>th</sup> eRRH, consists of an EC and provides service
	to users of cell $i$
K	Number of ECs deployed
$N_i, N$	The maximum number of users that are yet to
-,	download the VS from $E_i$ . $N_i$ consists of the
	users that are downloading, that have arrived but
	are yet to request, and that are yet to arrive,
	$N = \sum_{i=1}^{K} N_i$
$\overline{U}_i$	Mean of the inter-arrival time of users to cell $i$
$\kappa$	VS size
$\lambda_i$	Average per-user VS request arrival rate in cell i
$ au_i,  ar{ au}_i$	Exponentially distributed time interval after enter-
	ing cell i when users move away and its mean
$\sigma_i$	Mean caching time of a particular VS in $E_i$
$r_{\rm AN},r_{\rm TN}$	Average VS download rates from the AN and TN
$\beta_i, \omega_i, \varepsilon_i,$	Components of the state variable: Indicator vari-
$lpha_i$	able for the availability of the VS in $E_i$ , the number
	of users that have arrived to the cell but are yet to
	request the VS, downloading the VS from the EC,
	and downloading from the CU
$\bar{\beta}_i, \bar{\omega}_i, \bar{\varepsilon}_i,$	Expectations of the state variables $\beta_i$ , $\omega_i$ , $\varepsilon_i$ , and
$\bar{\alpha}_i$	$\alpha_i$ , respectively
$\alpha$ , $\bar{\alpha}$	State variable for the number of VS downloads in
	progress from the CU and its expectation
$\zeta_i$	Maximum number of VS downloads from the CU
	beyond which the VS is stored in EC
$R_i$	average data-rate observed by a user while down-
	loading the VS in $E_i$
$\delta_i$	Average delay while downloading the VS in cell i
$\chi_{ ext{EC}}$	Energy consumption for caching the VS in EC
$\chi_{\rm AN},\chi_{\rm TN}$	Energy consumption for VS transport at the AN
	and TN segments due to VS download in $E_i$
$p_i$	Fraction of arriving users that require proactive
	caching
$\gamma_{ m AN}, \; \gamma_{ m TN}$	Bandwidth consumption in the AN and TN

connected to  $E_i$  and can request or have requested the VS. The state variables for analyzing the system performance with respect to a particular VS in  $E_i$  can be the number of downloads in progress from  $E_i$   $\varepsilon_i$ , the number of downloads in progress from the CU in  $E_i$   $\alpha_i$ , indicator for availability of the VS in  $E_i$   $\beta_i$ , the number of users that can request the VS in cell i, and the number of users that can arrive to cell i.  $\beta_i$  can be either 0 or 1, while other state variables lie in the range  $\{0,\ldots,N_i\}$ . Such a system description will encompass  $\sum_{i=1}^{K} N_i$  users and the state space will be of the order  $\sum_{i=0}^{K} N_i^{\frac{i-1}{4i}} \times 2^i$ . Solving for the steady state probabilities of such a large state space is complicated. Instead, we analyze the system by reducing the state space size and making separate models for eRRHs and the CU.

In the model for a VS in  $E_i$  we concentrate on the users that (can) potentially affect the network performance, i.e., the users downloading the VS from  $E_i$   $\varepsilon_i$ , or the CU  $\alpha_i$ , and the users that have arrived to the cell but have not requested for the VS  $\omega_i$ . We do not consider other users present in the cell. We assume that  $\varepsilon_i + \alpha_i + \omega_i$  is upper limited by  $N_i$ . Therefore,  $N_i - (\varepsilon_i + \alpha_i + \omega_i)$  users can arrive to cell i. Although this places a limitation on the arrival of users, thereby introducing a memory between the involved processes, we illustrate through network simulations that if  $1/\lambda_i$  is in the

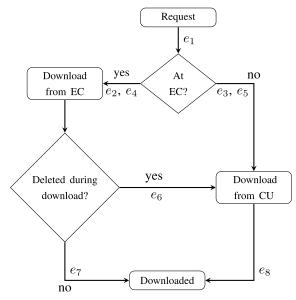


Fig. 2. Flowchart of actions for streaming VS to a user.

order of seconds, the analytical results are sufficiently accurate. We define the state vector  $s_1 = (\beta_i, \omega_i, \varepsilon_i, \alpha_i)$  and  $S_1$  as the set of possible values for  $s_1$ . The events  $e_1 - e_8$  in Fig. 2 result in the following state transitions of the Markov chain, mobility of end-users cause  $e_9 - e_{11}$ , while VS transfer from CU to EC causes  $e_{12}$ . The state transitions caused by the events along with the necessary conditions for the transitions are also described in Table II, in which the initial state is assumed to be  $s_1 = (\beta_i, \omega_i, \varepsilon_i, \alpha_i)$  before the respective events.

- (i)  $e_1$ : Arrival of a non-requesting user, i.e., the user is yet to request the VS and proactive caching is not done for it, to cell i if  $\omega_i + \varepsilon_i + \alpha_i < N_i$ , with rate  $[N_i - (\omega_i + \varepsilon_i + \alpha_i)](1 - p_i)/\bar{\nu}_i \text{ s}^{-1}.$
- (ii) Arrival of a VS request with rate  $\omega_i \lambda_i$  s<sup>-1</sup> to
  - $e_2$ : EC if  $\beta_i = 1$ ,
- $e_3$ : CU if  $\beta_i=0$ . (iii) Arrival of VS request with rate  $[N_i-(\omega_i+\varepsilon_i+\alpha_i)]p_i/\bar{v}_i$ s<sup>-1</sup> due to proactive caching to
  - $e_4$ : EC if  $\beta_i = 1$ ,
  - $e_{\underline{5}}$ : CU if  $\beta_i = 0$ .
- (iv)  $e_6$ : VS deletion from the EC after  $\sigma_i$  s, along with transfer of the  $\varepsilon_i$  VS downloads from the EC to CU.
- (v) Completion of VS download from either the
  - $e_7$ : EC at rate  $r_{\rm AN}/\kappa$  VSs s<sup>-1</sup>
  - $e_8$ : or CU with rate  $r_{\rm TN}/\kappa$  VSs s<sup>-1</sup>.
- (vi) Mobility of a user that
  - $e_9$ : has not requested VS  $\bar{\tau}_i$  s after entering the cell
  - $e_{10}$ : is downloading from the EC  $1/(\bar{\tau}_i 1/\lambda_i)$  s after starting VS download.
  - $e_{11}$ : is downloading from CU  $1/(\bar{\tau}_i 1/\lambda_i)$  s after starting VS download.
- (vii)  $e_{12}$ : VS transfer to the EC from CU at rate  $r_{TN}$ if  $\alpha_i \geq \zeta_i$ .

The state variables follow the conditions  $\omega_i + \varepsilon_i + \alpha_i \leq N_i$ (finite calling population) and  $\beta_i \in \{0, 1\}$  with the additional constraints  $\varepsilon_i = 0$  if  $\beta_i = 0$ , resulting in  $|\mathcal{S}_1| = \sum_{n=0}^{N} {n+2 \choose 2} +$  $\binom{n+1}{1}$  states. The above description of the Markov chain allows us to formulate the  $|S_1| \times |S_1|$  state transition rate matrix Q for the transition from one state to another.

Event	Rate $(s^{-1})$	Condition	New state
$e_1$	$[N_i - (\omega_i + \varepsilon_i + \alpha_i)](1 - p_i)/\bar{v}_i$	$\omega_i + \varepsilon_i + \alpha_i < N_i$	$\beta_i, \omega_i + 1, \varepsilon_i, \alpha_i$
$e_2$	$\omega_i \lambda_i$	$\beta_i = 1,  \omega_i > 0$	$1, \omega_i - 1, \varepsilon_i + 1, \alpha_i$
$e_3$	$\omega_i \lambda_i$	$\beta_i = 0,  \omega_i > 0$	$0, \omega_i - 1, \varepsilon_i, \alpha_i + 1$
$e_4$	$[N_i - (\omega_i + \varepsilon_i + \alpha_i)]p_i/\bar{v}_i$	$\beta_i = 1$	$1, \omega_i, \varepsilon_i + 1, \alpha_i$
$e_5$	$[N_i - (\omega_i + \varepsilon_i + \alpha_i)]p_i/\bar{v}_i$	$\beta_i = 0$	$0, \omega_i, \varepsilon_i, \alpha_i + 1$
$e_6$	$1/\sigma_i$	$\beta_i = 1$	$0, \omega_i, 0, \varepsilon_i + \alpha_i$
$e_7$	$r_{ m AN}/\kappa$	$\varepsilon_i > 0,  \beta_i = 1$	$1, \omega_i, \varepsilon_i - 1, \alpha_i$
$e_8$	$r_{ m TN}/\kappa$	$\alpha_i > 0$	$\beta_i, \omega_i, \varepsilon_i, \alpha_i - 1$
$e_9$	$1/ar{ au}_i$	$\omega_i > 0$	$\beta_i, \omega_i - 1, \varepsilon_i, \alpha_i$
$e_{10}$	$1/(ar{ au}_i-1/\lambda_i)$	$\beta_i = 1$	$1, \omega_i, \varepsilon_i - 1, \alpha_i$
$e_{11}$	$1/(ar{ au}_i-1/\lambda_i)$	$\alpha_i > 0$	$\beta_i, \omega_i, \varepsilon_i, \alpha_i - 1$
$e_{12}$	$r_{TN}/\kappa$	$\alpha_i \ge \zeta_i, \ \beta_i = 0$	$1, \omega_i, \varepsilon_i, \alpha_i$

 ${\it TABLE~II}$  Description and Consequences of Events at  $E_i$  From a State  ${m s}_1=(\beta_i,\omega_i,\varepsilon_i,\alpha_i)$ 

The Markov chain so formed has the following properties: (i) is time-homogeneous as the state transitions are independent of time, (ii) has a finite number of states, and (iii) is connected. The steady-state probability for a state  $s_1$  is denoted by  $\pi_{s_1}$ , while the steady-state probability vector is  $\pi_1 = \{\pi_{s_1}\}_{s_1 \in \mathcal{S}_1}$ . These properties of the Markov chain allow us to solve for the steady state probabilities using  $\pi_1 Q = 0$  together with  $\sum_{s_1 \in \mathcal{S}_1} \pi_{s_1} = 1$ . We have solved the steady state probabilities in MATLAB. Furthermore, we define

$$(\bar{\beta}_i, \bar{\omega}_i, \bar{\varepsilon}_i, \bar{\alpha}_i) = \sum_{s_1 \in S_1} \pi_{s_1}(i) \ s_1, \tag{1}$$

where  $\bar{\varepsilon}_i$  and  $\bar{\alpha}_i$  are the average number of VSs in download from the EC in  $E_i$  and the CU at any given instant, respectively. The occupancy of the EC in  $E_i$  is  $\bar{\beta}_i$ .

## C. Markov Model for the CU

The model for CU is an abstract model and utilizes parameters from the EC model for each cell that summarize all processes at the respective cells. We assume that  $N = \sum_{i=1}^{K} N_i$  users can simultaneously download the VS from the CU and concentrate only on those users that request and download the VS from the CU. Other users and the streaming of VS from CU to ECs are not considered in the model for CU. The state variable consists of a single non-negative integer  $\alpha$ , representing the number of downloads in progress from the CU at a given time. The possible values for  $\alpha$  are  $S_2 = [0, 1, ..., N]$ . A user can request the VS from cell i if  $\beta_i = 0$ , whereas j users downloading the VS from cell i can request the VS from the CU if it is deleted from the EC. The state transitions caused by the events along with the necessary conditions for the transitions are described in Table III, in which the initial state is assumed to be  $\alpha$  before the respective events. The case of VS deletion during download is represented here as  $J = \max_i N_i$  separate events  $c_{2,1}, \ldots, c_{2,J}$ , in which each have different arrival rates.

(i)  $c_1$ : For a user requesting from cell i, the VS is streamed from the CU only if  $\beta_i=0$  with rate  $\lambda_i\omega_i+\{N_i-(\omega_i+\varepsilon_i+\alpha_i)\}p_i/\bar{\nu}_i$  from Section II-B. Therefore, requests for the VS from cell i is with the average

rate  $\sum_{s_1 \in S_1, \beta_i = 0} \pi_{s_1}(i)$  [ $\lambda_i \omega_i + \{N_i - (\omega_i + \varepsilon_i + \alpha_i)\} p_i/\bar{v}_i$ ]. The overall request rate is the sum of request rates from individual cells. The per-user request rate from the CU is obtained by dividing the overall request rate by the maximum number of downloads possible for a VS N. Assuming that  $\alpha$  downloads are in progress,  $N - \alpha$  more users can request the VS from K cells. Therefore, the per-user request rate is  $(N-\alpha)\sum_{i=1}^K \sum_{s_1 \in S_1, \beta_i = 0} \pi_{s_1}(i) \{\lambda_i \omega_i + [N_i - (\omega_i + \varepsilon_i + \alpha_i)] p_i/\bar{v}_i\}/N$  due to non-availability of the VS in ECs, i.e.,  $e_3$  and  $e_5$  in Section II-B.

- (ii)  $c_{2,j}$ : VS deletion in  $E_i$  causes the ongoing j downloads to be re-streamed, i.e, requested from the CU, as the download process is assumed to be memoryless. Since the probability for multiple events at the same instant is almost zero, only one EC can delete the considered VS at any time. Therefore, bulk arrival [33, Chapter 4.1] of  $j=1,\ldots,J$  VS requests from cell i to the CU can occur with the rate  $\sum_{s_1\in\mathcal{S}_1,\varepsilon_i=j}\pi_{s_1}(i)~1/\sigma_i$  and probability  $1/\sigma_i/\sum_{i=1}^K 1/\sigma_i$ . This results in the overall arrival rate  $(\sum_{i=1}^K \sum_{s_1\in\mathcal{S}_1,\varepsilon_i=j}\pi_{s_1}(i)~1/\sigma_i^2)/\sum_{i=1}^K 1/\sigma_i$  due to VS deletion from ECs  $e_6$  in Section II-B.
- (iii)  $c_3$ : Completion of a VS download.
- Since  $\alpha \leq N$  (finite calling population), there are N+1 states. Table III allows us to formulate the  $(N+1)\times (N+1)$  state transition rate matrix Q. The Markov chain so formed is time-homogeneous as the state transitions are independent of time, has a finite number of states N, and is connected, as in Section II-B. Assuming the steady state probability for a state  $\alpha$  is denoted by  $\pi_{\alpha}$ , the steady state probability vector is  $\pi_2 = \{\pi_{\alpha}\}_{\alpha=0}^N$ . These properties of the Markov chain allow us to solve for the steady state probabilities using  $\pi_2 Q = 0$  together with  $\sum_{\alpha=0}^N \pi_{\alpha} = 1$ . We have solved the steady state

(iv)  $c_4$ : Mobility of of an user downloading from CU.

$$\bar{\alpha} = \sum_{\alpha=0}^{N} \pi_{\alpha} \alpha, \tag{2}$$

where  $\bar{\alpha}$  is the average number of VS downloads from the CU at any given instant.

probabilities in MATLAB. Furthermore, we define

TABLE III  $\label{eq:table_energy} \mbox{Description and Consequences of Events at CU From a State } \alpha$ 

Event	Rate $(s^{-1})$	Condition	New state
$c_1$	$(N-\alpha)\sum_{i=1}^K \sum_{s_1 \in \mathcal{S}_1, \beta_i = 0} \pi_{s_1}(i) \left\{ \lambda_i \omega_i + (N_i - (\omega_i + \varepsilon_i + \alpha_i)) p_i / \bar{v}_i \right\} / N$	$\alpha < N$	$\alpha + 1$
$c_{2,j}, j=1,\ldots,J$	$ \frac{1}{(\sum_{i=1}^{K} \sum_{s_1 \in \mathcal{S}_1, \varepsilon_i = j}^{K} \pi_{s_1}(i) \ 1/{\sigma_i}^2) / \sum_{i=1}^{K} 1/\sigma_i } $	$\alpha + j < N$	$\alpha + j$
$c_3$	$lpha r_{ m TN}/\kappa$	$\alpha > 0$	$\alpha - 1$
$c_4$	$1/ar{ au}_i$	$\alpha > 0$	$\alpha - 1$

TABLE IV
ENERGY CONSUMPTION OF NETWORK COMPONENTS [12]

Network device	Energy consumption (nJ/bit)	Symbolic representation
Core router	17	$ ho_{\mathrm{CR}}$
Edge router	26.3	$ ho_{ m ER}$
Aggr. switch	8.21	$ ho_{AS}$
OLT	19.2	$ ho_{ m OLT}$
eRRH (eNodeB)	$2 \cdot 10^{3}$	$ ho_{ m eRRH}$
Solid state drive	$1.97 \cdot 10^{5}$	$\rho_{ m SSD}^{a}$

 $^a \rm Assuming$  operation over a one year period,  $\rho_{\rm SSD} = 6.25 \cdot 10^{-12} \ \rm W/b \times 31536 \cdot 10^3 \ s$ 

## D. Performance Parameters From the Markov Model

The average data-rate  $R_i$  observed by a user in cell i while downloading a VS is the sum of  $r_{\rm AN}$  and  $r_{\rm TN}$  multiplied with the average number of downloads  $\bar{\varepsilon}_i$  and  $\bar{\alpha}_i$ , respectively, divided by the average number of VS downloads from EC and CU in cell i. Thus,

$$R_i = \frac{\bar{\varepsilon}_i \ r_{\text{AN}} + \bar{\alpha}_i \ r_{\text{TN}}}{\bar{\varepsilon}_i + \bar{\alpha}_i}.$$
 (3)

The delay is calculated from (3) as  $\delta_i = \kappa/R_i$ . Since all processes are assumed to be memoryless, the analytical model considers that upon deletion of the VS from an EC, it is streamed again from CU to the users that were downloading from the EC. However, (3) does not take this repeated VS streaming into consideration. Therefore, (3) is accurate only when  $r_{\rm AN}$  and  $r_{\rm TN}$  are high or  $\kappa$  is small.

The energy consumed by the transportation and caching of VSs at different network levels are modeled by:

- TN: core and edge routers, OLT and aggregation switch,  $\rho_{\rm TN} = \rho_{\rm CR} + \rho_{\rm ER} + \rho_{\rm OLT} + \rho_{\rm AS}$ ,
- AN: eRRH,  $\rho_{AN} = \rho_{eRRH}$ , and
- EC: Solid state drives,  $\rho_{SSD}$ ,

where the energy consumed by the respective network components are given in Table IV. The baseline energy consumption in solid state drives is neglected, as other VSs may be also cached. Therefore, the baseline energy consumption is not directly influenced by a single VS.  $\bar{\beta}_i$  depends on the probability that the VS is present at  $E_i$ . Since a cache needs to be operational for caching the VS, the energy consumption of EC is  $\chi_{\rm EC} = \bar{\beta}_i \rho_{\rm SSD}$ . The transport of VSs from eRRH or CU due to the switches located in these network segments, is assumed to cause energy consumption at the AN

$$\chi_{\rm AN} = (\bar{\varepsilon}_i + \bar{\alpha}_i)\rho_{\rm AN},\tag{4}$$

and at the TN

$$\chi_{\rm TN} = \bar{\alpha} \rho_{\rm TN},\tag{5}$$

because the CU utilizes the TN and AN, while the EC utilizes only the AN to stream a VS.

The average bandwidth consumed in the AN  $\varepsilon_i r_{\rm AN}$  is normalized with the bandwidth available from the AN to  $N_i$  users  $N_i r_{\rm AN}$  to derive the normalized bandwidth consumption in the AN,

$$\gamma_{\rm AN} = \frac{\bar{\varepsilon}_i}{N_i}.\tag{6}$$

Similarly, the average bandwidth consumed in the TN due to VS download from the CU  $\bar{\alpha}$   $r_{\text{TN}}$  is normalized with the bandwidth available from the TN to N users,  $Nr_{\text{TN}}$ , to derive the normalized bandwidth consumption in the TN,

$$\gamma_{\rm TN} = \frac{\bar{\alpha}}{N}.\tag{7}$$

#### III. RESULTS

In this section, we perform a case study using the model derived in Section II for the scenario depicted in Table V along with an additional round-trip delay of 300 ms for VS download from the CU [36]. The average time spent by a user in a cell  $\bar{\tau}_i$  is calculated by assuming that cells cover an area of 1 km<sup>2</sup> and vehicle speeds 180 km/h or 50 km/h [27]. Thus, low  $\bar{\tau}_i$  corresponds to high mobility. Although, the analytical and simulation frameworks have been implemented for  $N_i = \{25, 30\}$  users that are yet to download the VS from  $E_i$ , these models can also be scaled up to analyze scenarios with higher  $N_i$ . The values of parameters that are common in all simulations are grouped under the scenario C and listed in Table V. The performance results for C are a benchmark in the calculations for energy and bandwidth efficiency. The scenarios  $C_1$ – $C_3$  consist of the parameters in C with variation in the parameters controllable by tenants, namely the maximum number of VS downloads from the CU beyond which it is stored in  $E_i \zeta_i$ , average download rate from TN  $r_{\rm TN}$ , and VS size  $\kappa$ . Furthermore, the scenarios  $C_4$ – $C_7$ consist of user-dependent parameters, namely  $N_i$ , per-user VS request arrival rate in cell i  $\lambda_i$ , mean inter-arrival time of users to cell  $i \bar{v}_i$ , and  $\bar{\tau}_i$  as mentioned in Table V. The delay and bandwidth consumption obtained from the analytical models proposed in Section II are validated using network simulations in OMNET++.

## A. Analysis of VS Download Time

There is a finite probability of VS deletion from  $E_i$  before download completion when  $\kappa$  is high, result(4) ing in high delay  $\delta_i$ , as  $r_{\rm AN} > r_{\rm TN}$ . This highlights

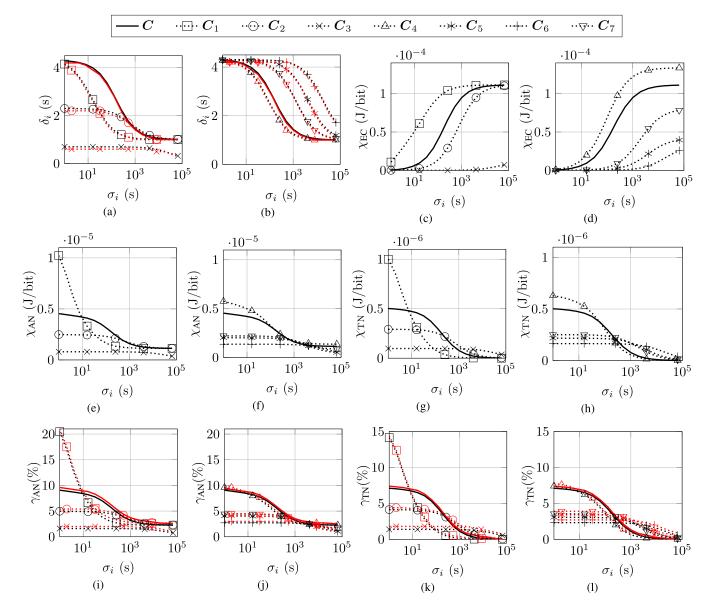


Fig. 3.  $\delta_i$ ,  $\chi_{EC}$ ,  $\chi_{AN}$ ,  $\chi_{TN}$ ,  $\gamma_{AN}$ ,  $\gamma_{TN}$ , as a function of  $\sigma_i$ . Analytical model - black and network simulations - red.

TABLE V VALUES ASSUMED FOR DIFFERENT SCENARIOS (Sc.). ARROWS INDICATE CHANGES COMPARED WITH THE BENCHMARK  $oldsymbol{C}$ 

Sc.	Para- meter	Value	Sc.	Para- meter	Value
$oxed{C}$	$egin{array}{ccc} \kappa & & & \\ ar{v}_i & & & \\ \lambda_i & & \\ ar{ au}_i & & & \\ K & & & \\ p_i & & & \end{array}$	$10^9$ bits 20  s $0.05 \text{ s}^{-1}$ [29] 72  s [27] 4 0.1	C	$egin{array}{c} \zeta_i \ N_i \ r_{ m TN} \ r_{ m AN} \ N_i \end{array}$	5 25 250 Mbps 1 Gbps [37] 25
$egin{array}{c} m{C}_1 \ m{C}_3 \ m{C}_5 \ m{C}_7 \ \end{array}$	$egin{array}{c} \zeta_i \ \kappa \ \lambda_i \ ar{ au}_i \end{array}$	$\begin{array}{c} 2 \\ \downarrow 10^8 \text{ bits} \\ \downarrow 0.01 \text{ s}^{-1} \text{ [29]} \\ \downarrow 25 \text{ s [27]} \end{array}$	$egin{array}{c} oldsymbol{C}_2 \ oldsymbol{C}_4 \ oldsymbol{C}_6 \end{array}$	$r_{ ext{TN}} \ N_i \ ar{v}_i$	7 500 Mbps 7 30 7 100 s

the importance of fractional caching [22], i.e., storing VSs with small  $\kappa$  at ECs. Decreasing  $\zeta_i$ , forces  $E_i$  to cache the VS even for a small  $\varepsilon_i$ . This increases  $\bar{\beta}_i$ .

Similarly, high  $\sigma_i$  causes high  $\bar{\beta}_i$  and  $\bar{\varepsilon}_i$ . Therefore, the VS is streamed to the demanding users at  $r_{\rm AN} > r_{\rm TN}$  and consequently low  $\delta_i$ . The overall VS request rate in  $E_i$  decreases with  $\lambda_i$  and on increasing  $\bar{v}_i$ , resulting in low  $\bar{\beta}_i$ . Under such circumstances the VS is streamed from the CU, resulting in a high  $\delta_i$ . High user mobility, i.e., low  $\bar{\tau}_i$  implies that more users move out of the cell faster. Hence,  $\bar{\varepsilon}_i$  and  $\bar{\beta}_i$  decrease. Therefore,  $\delta_i$  decreases with decreasing  $\kappa$ ,  $\zeta_i$ , and  $\bar{v}_i$ ; and with increasing  $\lambda_i$ ,  $\bar{\tau}_i$ , and  $\sigma_i$ , as illustrated in Figs. 3(a) and 3(b).

### B. Energy-Efficient VS Caching

The caching energy consumption  $\chi_{\rm EC}$  is a function of  $\bar{\beta}_i$  and  $\bar{\varepsilon}_i$  (Section II-D) which are affected by different parameters as discussed below. Frequent requests due to high  $N_i$ , and  $\lambda_i$ , low  $\bar{v}_i$ , or low  $\zeta_i$ , cause  $\varepsilon_i$  to reach  $\zeta_i$  faster. Therefore, cells serving VSs with high popularity will experience high  $\chi_{\rm EC}$  as discussed in [27]. On the other hand,  $\bar{\beta}_i$  increases

with  $\sigma_i$ . These factors also cause high  $\bar{\beta}_i$  and correspondingly high  $\chi_{\rm EC}$ . A high  $\bar{\tau}_i$ , i.e., low mobility, allows more users to complete the VS download before moving out from the cell, resulting in high  $\bar{\varepsilon}_i$  and  $\chi_{\rm EC}$ . In summary,  $\chi_{\rm EC}$  increases with increasing  $N_i$ ,  $\lambda_i$ ,  $\sigma_i$ , and  $\bar{\tau}_i$ ; and with decreasing  $\bar{v}_i$  and  $\bar{\zeta}_i$ , as illustrated in Figs. 3(c) and 3(d).

#### C. Energy-Efficient VS Transport

The analytical model assumes memoryless processes. Therefore, on deletion of a VS from  $E_i$ , it is assumed to be re-streamed entirely from the CU. VS transportation energy consumption in the AN  $\chi_{\rm AN}$  and TN  $\chi_{\rm TN}$  as a function of  $\sigma_i$  is discussed below.

- 1) VS Transport in the AN: Increasing  $\sigma_i$  and decreasing  $\kappa$  increases the probability that a VS is completely streamed from  $E_i$ . For low  $\sigma_i$  and  $\zeta_i$ ,  $\bar{\alpha}_i$  and consequently  $\chi_{\rm AN}$  are high. Users arrive faster to the cell for low  $\bar{v}_i$  which increases the overall VS request rate. Finally, increasing  $\bar{\tau}_i$  allows more users to complete VS download, before moving away from cell i. Therefore,  $\chi_{\rm AN}$  increases with increasing  $\kappa$ , and  $\bar{\tau}_i$ ; and with decreasing  $\sigma_i$ ,  $\zeta_i$ ,  $r_{\rm TN}$ ,  $\bar{v}_i$ .
- 2) VS Transport in the TN: A low overall VS request rate at high  $\bar{v}_i$  and incomplete downloads at low  $\bar{\tau}_i$  cause low  $\chi_{\text{TN}}$ . When  $\sigma_i$  and  $\zeta_i$  are simultaneously low,  $\bar{\beta}_i$  is also low and the VS is rapidly deleted from ECs, resulting in high  $\chi_{\text{TN}}$ . However,  $\bar{\beta}_i$  increases rapidly at high  $\sigma_i$  and low  $\zeta_i$  thereby causing  $\chi_{\text{TN}}$  to decrease.  $\bar{\varepsilon}_i$  increases with  $\sigma_i$  (Sections III-A, III-B) which causes  $\bar{\alpha}_i$  and  $\chi_{\text{TN}}$  to decrease. In summary,  $\chi_{\text{TN}}$  decreases with decreasing  $\bar{\tau}_i$  and with increasing  $\sigma_i$ ,  $\bar{v}_i$ ,  $r_{\text{TN}}$ , and  $\zeta_i$ .

 $\chi_{\rm AN}$  is observed to be more significant than  $\chi_{\rm TN}$  from Figs. 3(e)–3(h). This is because VSs from both the CU and the EC are streamed through the AN. Finally, the energy consumed for downloading a video can be calculated by multiplying  $\chi_{\rm EC}$ ,  $\chi_{\rm AN}$ , and  $\chi_{\rm TN}$  with the number of VSs.

#### D. Analysis of Bandwidth Consumption

The effect of  $\sigma_i$  on bandwidth consumption in AN  $\gamma_{\rm AN}$  and TN  $\gamma_{\rm TN}$  is discussed below.

- 1) Bandwidth Consumption in AN:  $R_i$  increases with  $\sigma_i$  and  $r_{\rm TN}$  (discussed in Sections III-A and III-C), whereas, increasing  $\bar{v}_i$  decreases the request rate (Section III-C) resulting in low  $\gamma_{\rm AN}$ .  $\bar{\alpha}_i$  decreases with  $\zeta_i$  which causes  $\gamma_{\rm AN}$  to simultaneously decrease. An increase in  $\bar{\tau}_i$  allows more users to complete VS downloads, before moving out of the considered cell, resulting in high  $\gamma_{\rm AN}$ . In summary,  $\gamma_{\rm AN}$  decreases with increasing  $\sigma_i$ ,  $r_{\rm TN}$ , and  $\bar{v}_i$ ; and with decreasing  $\zeta_i$  and  $\bar{\tau}_i$ , as observed from Figs. 3(i) and 3(j).
- 2) Bandwidth Consumption in TN:  $\gamma_{\text{TN}}$  decreases on increasing  $\sigma_i$ ,  $\zeta_i$ ,  $r_{\text{TN}}$ , and  $\bar{v}_i$ , and on decreasing  $\bar{\tau}_i$  as observed from Figs. 3(k) and 3(l). The reasons for these observations are similar to that for  $\gamma_{\text{AN}}$  (Section III-D.1). Fig. 4 illustrates the effect of different  $\bar{v}_i$  and  $\lambda_i$  on  $\gamma_{\text{TN}}$ . Heterogeneous user mobility patterns can cause different  $\bar{v}_i$  in the respective cells, whereas different VSs in the same or different cells can have different  $\lambda_i$ . Moreover, a particular VS can also be associated with different  $\lambda_i$  due to the spatio-temporal variation of VS

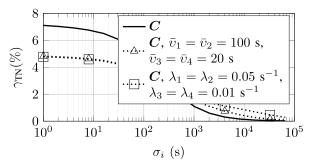


Fig. 4.  $\gamma_{TN}$  as a function of  $\sigma_i$ .

popularity [15]. It is observed from Fig. 4 that  $\gamma_{\rm TN}$  has a similar trend as  $\gamma_{\rm TN}$  in Fig. 3(l). However,  $\bar{v}_i \in \{20~{\rm s},~100~{\rm s}\}$  in Fig. 3(l), whereas  $\bar{v}_1 = \bar{v}_2 = 100~{\rm s}$  and  $\bar{v}_3 = \bar{v}_4 = 20~{\rm s}$  in Fig. 4. Therefore,  $\gamma_{\rm TN}$  is intermediate between the plots of  $\bar{v}_i = 20~{\rm s}$  and  $\bar{v}_i = 100~{\rm s}$  of Fig. 3(l). A similar observation is made by comparing the plots for  $\lambda_1 = \lambda_2 = 0.05~{\rm s}^{-1}$  and  $\lambda_3 = \lambda_4 = 0.01~{\rm s}^{-1}$  in Fig. 4, with  $\lambda_i = 0.05~{\rm s}^{-1}$  and  $\lambda_i = 0.01~{\rm s}^{-1}$  in Fig. 3(l).

#### E. Design of Caching Strategies

In this sub-section we discuss the strategies that can be adopted by the content providers, MNOs, and network provider, owning the VSs, AN, and the TN, respectively. The discussion below is with respect to  $\sigma_i$ ,  $\zeta_i$ ,  $r_{\text{TN}}$ , and  $\kappa$ that are controllable by tenants. We assume that MNOs aim for caching energy efficiency, i.e., low  $\chi_{EC}$ , while network providers target bandwidth efficiency in the TN, i.e., low  $\gamma_{TN}$ . The content providers aim to achieve delay efficiency, i.e., low  $\delta_i$ , by leasing required network slices from MNOs and network providers depending on  $N_i$ ,  $\bar{\tau}_i$ ,  $\lambda_i$ , and  $\bar{v}_i$ . As discussed in Section I, ensuring low  $\gamma_{TN}$  (7) is the prime motivation behind deploying an Fe-RAN. However, there is a trade-off between  $\chi_{\rm EC}$ ,  $\gamma_{\rm TN}$ , and  $\delta_i$ . This results in conflicting goals, thereby requiring slice management by the network orchestrator. Since the on-field ECs are more numerous compared to a centrally located CU and  $\chi_{\rm EC} > \chi_{\rm TN}$ , the minimization of caching energy efficiency prioritizes low  $\chi_{EC}$ . On the other hand,  $\gamma_{TN}$ is prioritized for transport energy efficiency, as TN resources are more expensive [18].

In a scenario with highly mobile users (low  $\bar{\tau}_i$ ) or low request arrival rate (low  $\lambda_i$  and  $\bar{v}_i$ ), the content provider can minimize  $\delta_i$  if  $\sigma_i \geq 30$  s. Although  $\delta_i$  can also be minimized using high  $r_{\text{TN}}$ , it is concluded from Figs. 3(c) and 3(d) that content providers can support services with stringent QoS bounds using the required  $\sigma_i$ , instead of leasing bandwidth slices with high  $r_{\text{TN}}$  from network providers that are more expensive. This highlights the importance of edge caching [7]. Therefore, millisecond order  $\delta_i$  ( $\approx 700$  ms) can be achieved if  $\kappa$  is limited to  $10^8$  bits.

The energy efficiency of ECs can improve by more than  $1-4.5\cdot 10^{-5}/1.1\cdot 10^{-4}=59\%$  if  $\sigma_i\leq 100$  s. Furthermore, if  $\sigma_i\leq 100$  s and  $\kappa=10^8$  bits simultaneously, the energy efficiency can improve by  $1-7.2\cdot 10^{-6}/1.1\cdot 10^{-4}=93\%$ . Such a choice of  $\sigma_i$  is essential for the MNOs to ensure low  $\chi_{\rm EC}$  in scenarios with high  $\bar{\tau}_i$ ,  $N_i$ , and  $\lambda_i$ , or low  $\bar{v}_i$ . On the

other hand MNOs will tend to select  $\kappa=10^8$  bits and high  $\sigma_i$ , i.e.,  $\sigma_i \geq 30$  s to reduce bandwidth consumption. MNOs select small  $\kappa$  for delay, bandwidth, and energy efficiency which highlights the importance of fractional caching and soft-TTL [22]. The size of ECs is assumed to be 1 TB in the network simulations. A change in the EC size will not affect  $\chi_{\rm EC}$  for respective VSs under the independence assumption of Section II. However, it will allow ECs to cache more VSs. The overall energy consumed by an EC can be calculated by summing  $\chi_{\rm EC}$  of all VSs.

For energy efficiency in the TN, it is concluded from Figs. 3(g) and 3(h) that if  $\sigma_i \geq 30$  s, then  $\chi_{\rm TN}$  is limited to  $4.2 \cdot 10^{-7}/1 \cdot 10^{-6} = 42\%$  of the worst case energy consumption  $1 \cdot 10^{-6}$  J/bit. Instead, decreasing  $\kappa$  to  $10^8$  bits limits  $\chi_{\rm TN}$  to  $9.7 \cdot 10^{-8}/1 \cdot 10^{-6} = 9.7\%$ , while if  $\sigma_i \geq 100$  s,  $\chi_{\rm TN}$  can be  $\leq 2.9 \cdot 10^{-7}/1 \cdot 10^{-6} = 29\%$ . Since the TN is involved in delivering multiple services, network providers may be interested in limiting  $\chi_{\rm TN}$ . On the other hand,  $\chi_{\rm AN}$  is limited to  $3.8 \cdot 10^{-6}/4.53 \cdot 10^{-6} = 83\%-2.9 \cdot 10^{-6}/4.53 \cdot 10^{-6} = 64\%$  for  $30 \text{ s} \leq \sigma_i \leq 100 \text{ s}$ , and to  $8 \cdot 10^{-7}/4.53 \cdot 10^{-6} = 17.7\%$  if  $\kappa = 10^8$  bits, resulting in an energy efficiency of 17%-36% (Figs. 3(e) and 3(f)).

It is observed from Figs. 3(c)–3(f) that low  $\kappa$  will enhance energy efficiency when users do not download an entire VS [22]. Such conditions arise in high user mobility scenarios (low  $\bar{\tau}_i$ ), where some users may move to a new cell before download completion. Due to proactive caching, the VS is streamed afresh from the new cell. Thus, the same VS is downloaded over a longer period, resulting in low  $\chi_{TN}$  in one cell, but high overall energy consumption. Without proactive caching, the  $p_i$  fraction of concerned users will experience high latency [25]. However, our model does not capture the effect of proactive caching on overall latency experienced by these mobile users and energy consumption in the cells through which the users move. The proposed model also does not consider the scenario in which proactive caching is implemented only when the ECs are vacant [25].

It is also concluded that the network orchestrator can encourage network providers (through pricing strategies) to increase statistical multiplexing on the TN by installing more ANs with low  $r_{TN}$ . This will result in economic benefits for the network providers. In such scenarios, degradation of QoS and energy efficiency in the AN can be prevented if the MNOs can be incentivized to maintain high  $\sigma_i$ . In scenarios with high user mobility, more caching resources (high  $\sigma_i$ ) are required to ensure low  $\delta_i$ . Although  $\chi_{EC}$  decreases when  $\bar{\tau}_i$ increases, thereby profiting MNOs, limited cache availability may allow MNOs to exploit content providers through overcharging. MNOs and network providers may also overcharge content providers for VSs with high  $\lambda_i$  which causes an increase in  $\chi_{EC}$  and  $\gamma_{TN}$ . The network orchestrator can prevent such situations by providing incentives to MNOs and network providers for leasing more resources. This ensures fair pricing due to market competition among network providers and MNOs, while allowing MNOs and network providers to achieve energy and bandwidth efficiency respectively; ensuring QoS to mobile users; and sufficient profits to content providers. It is also observed from Figs. 3(c)-3(h), that ECs form

the most energy-expensive segment, thereby highlighting the importance of this study.

It is concluded from Figs. 3(i)–3(l) that network providers can limit  $\gamma_{\rm AN}$  and  $\gamma_{\rm TN}$  to 7.7% and 6.1% respectively, if  $\sigma_i \geq 30$  s. Furthermore,  $\gamma_{\rm TN}$  is limited to  $\leq 1.3\%$ , if  $\kappa = 10^8$  bits. Since the TN bandwidth slice is a more expensive resource than the AN slice [18], it is recommended that content providers lease sufficient resources (high  $\sigma_i$ ) from the MNOs so that  $\sigma_i \geq 30$  s can be maintained.

The above benefits are observable only if there is no constraint on the total cache size deployed in the entire network. Instead, if there is a fixed budget on the cache size deployed in the network, then cache deployment at intermediate levels is essential to maximize resource utilization [34].

### IV. DISCUSSION AND CONCLUSION

Real-time networks are often faced with a trade-off between delay, energy efficiency, and bandwidth efficiency. In the network scenario considered in Section III, reasonable delay, transport energy, and bandwidth efficiency are achieved if the mean caching time  $\sigma_i \geq 30$  s, while caching energy efficiency is achieved for low  $\sigma_i$ , i.e.,  $\sigma_i \leq 100$  s. Therefore, delay, energy efficiency, and bandwidth efficiency are simultaneously achieved if  $\sigma_i$  is maintained between 30 s and 100 s for the considered scenario. This allows the network orchestrator to address the trade-off between bandwidth efficiency, energy efficiency, and delay in an Fe-RAN, and facilitates simple EC design for 5G to MNOs. Moreover, caching energy efficiency improves marginally on decreasing  $\sigma_i$  (say from 10 s to 1 s) which highlights the importance of the derived model. Therefore, arbitrarily choosing high  $\sigma_i$  to enhance QoS or low  $\sigma_i$  for energy efficiency will incrementally improve the respective figures at the cost of a significant decrease in other performance metrics.

Moreover, it is concluded that in a scenario with a low delay  $\delta_i$  requirement, energy efficiency can be achieved by selecting low VS size  $\kappa$  and moderate  $\sigma_i$  (Figs. 3(a), 3(c)). Otherwise, the content provider will have to pay a high amount to the MNO in order to maintain a high  $\sigma_i$ . Furthermore, a QoS-aware energy- and bandwidth-efficient caching strategy should also aim to appropriately select the maximum number of VS downloads from the CU beyond which it is transferred to  $E_i$ . Finally, low user mobility and high caching time adversely affects caching energy efficiency as discussed in Section III.

Properly designed pricing strategies by the network orchestrator can enable MNOs to maintain  $\sigma_i$  in the desirable range [38]. This will facilitate bandwidth efficiency to the network providers by limiting the bandwidth consumed in the TN  $\gamma_{\rm TN}$  to less than 6.1% (Section III-E), and allow content providers to satisfy stringent QoS requirements by limiting  $\delta_i$  to  $\approx 1$  s. It will also allow MNOs to decrease VS caching and transportation energy consumption by up to 93% and 90.3%, respectively, under the assumed network scenario (Section III-E). This corresponds to relatively better bandwidth efficiency and QoS compared to [15], in which a fronthaul load reduction of  $\approx 35\% - 50\%$  was reported.

The performance results derived from the model illustrate the importance of setting the VS deletion rates at ECs to achieve delay, energy, and bandwidth efficiency. Moreover, the ability to set the desired delay (QoS, Fig. 3(a)) can allow the network orchestrator to design caching schemes for heterogeneous services. The analytical framework also provides insights into a possible game-theoretic setup, in which multiple tenants own the ECs and TN, and independently control  $\sigma_i$  and  $\zeta_i$  to achieve the desired network performance with high energy efficiency.

#### REFERENCES

- [1] "Cisco visual networking index: Global mobile data traffic forecast update, 2017-2022," CISCO, San Francisco, CA, USA, Tech. Rep., 2019.
- [2] A. Aissioui, A. Ksentini, A. M. Gueroui, and T. Taleb, "On enabling 5G automotive systems using follow me edge-cloud concept," *IEEE Trans. Veh. Technol.*, vol. 67, no. 6, pp. 5302–5316, Jun. 2018.
- [3] T. Taleb, A. Ksentini, and P. A. Frangoudis, "Follow-me cloud: When cloud services follow mobile users," *IEEE Trans. Cloud Comput.*, vol. 7, no. 2, pp. 369–382, Apr. 2019.
- [4] S. He, C. Qi, Y. Huang, Q. Hou, and A. Nallanathan, "Two-level transmission scheme for cache-enabled fog radio access networks," *IEEE Trans. Commun.*, vol. 67, no. 1, pp. 445–456, Jan. 2019.
- [5] C.-F. Liu, M. Bennis, and H. V. Poor, "Latency and reliability-aware task offloading and resource allocation for mobile edge computing," in Proc. IEEE Globecom Workshops (GC Wkshps), Dec. 2017, pp. 1–7.
- [6] R. Ferrus, O. Sallent, J. Perez-Romero, and R. Agusti, "On 5G radio access network slicing: Radio interface protocol features and configuration," *IEEE Commun. Mag.*, vol. 56, no. 5, pp. 184–192, May 2018.
- [7] J. Li, X. Shen, L. Chen, J. Ou, L. Wosinska, and J. Chen, "Delay-aware bandwidth slicing for service migration in mobile backhaul networks," *J. Opt. Commun. Netw.*, vol. 11, no. 4, pp. B1–B9, Apr. 2019.
- [8] H. Chen et al., "Cost-minimized design for TWDM-PON-based 5G mobile backhaul networks," J. Opt. Commun. Netw., vol. 8, no. 11, pp. B1–B11, Nov. 2016.
- [9] X. Liu and F. Effenberger, "Emerging optical access network technologies for 5G wireless," *J. Opt. Commun. Netw.*, vol. 8, no. 12, pp. B70–B79, Dec. 2016.
- [10] K. Shanmugam, N. Golrezaei, A. G. Dimakis, A. F. Molisch, and G. Caire, "FemtoCaching: Wireless content delivery through distributed caching helpers," *IEEE Trans. Inf. Theory*, vol. 59, no. 12, pp. 8402–8413, Dec. 2013.
- [11] H. Hsu and K.-C. Chen, "Optimal caching time for epidemic content dissemination in mobile social networks," in *Proc. IEEE Int. Conf. Commun. (ICC)*, Kuala Lumpur, Malaysia, May 2016, pp. 1–6.
- [12] O. Ayoub, F. Musumeci, M. Tornatore, and A. Pattavina, "Energy-efficient video-on-demand content caching and distribution in metro area networks," *IEEE Trans. Green Commun. Netw.*, vol. 3, no. 1, pp. 159–169, Mar. 2019.
- [13] Z. Zhao, M. Peng, Z. Ding, W. Wang, and H. V. Poor, "Cluster content caching: An energy-efficient approach to improve quality of service in cloud radio access networks," *IEEE J. Sel. Areas Commun.*, vol. 34, no. 5, pp. 1207–1221, May 2016.
- [14] Y. Jiang, M. Ma, M. Bennis, F.-C. Zheng, and X. You, "User preference learning-based edge caching for fog radio access network," *IEEE Trans. Commun.*, vol. 67, no. 2, pp. 1268–1283, Feb. 2019.
- [15] J. Kwak, Y. Kim, L. B. Le, and S. Chong, "Hybrid content caching in 5G wireless networks: Cloud versus edge caching," *IEEE Trans. Wireless Commun.*, vol. 17, no. 5, pp. 3030–3045, May 2018.
- [16] Y. T. Hou, J. Pan, B. Li, X. Tang, and S. Panwar, "Modeling and analysis of an expiration-based hierarchical caching system," in *Proc. Global Telecommun. Conf.*, Nov. 2002, pp. 2468–2472.
- [17] Y. T. Hou, J. Pan, C. Wang, and B. Li, "On prefetching in hierarchical caching systems," in *Proc. IEEE Int. Conf. Commun.*, Anchorage, AK, USA, May 2003, pp. 814–818.
- [18] I. Afolabi, T. Taleb, K. Samdanis, A. Ksentini, and H. Flinck, "Network slicing and softwarization: A survey on principles, enabling technologies, and solutions," *IEEE Commun. Surveys Tuts.*, vol. 20, no. 3, pp. 2429–2453, 3rd Quart., 2018.

- [19] S. Zhang, P. He, K. Suto, P. Yang, L. Zhao, and X. Shen, "Cooperative edge caching in user-centric clustered mobile networks," *IEEE Trans. Mobile Comput.*, vol. 17, no. 8, pp. 1791–1805, Aug. 2018.
- [20] J. Goseling, O. Simeone, and P. Popovski, "Delivery latency tradeoffs of heterogeneous contents in fog radio access networks," in *Proc.* GLOBECOM-IEEE Global Commun. Conf., Singapore, Dec. 2017, pp. 1–6.
- [21] R. Tandon and O. Simeone, "Cloud-aided wireless networks with edge caching: Fundamental latency trade-offs in fog radio access networks," in *Proc. IEEE Int. Symp. Inf. Theory (ISIT)*, Barcelona, Spain, Jul. 2016, pp. 2029–2033.
- [22] J. Goseling and O. Simeone, "Soft-TTL: Time-varying fractional caching," *IEEE Netw. Lett.*, vol. 1, no. 1, pp. 18–21, Mar. 2019.
- [23] V. Martina, M. Garetto, and E. Leonardi, "A unified approach to the performance analysis of caching systems," in *Proc. IEEE INFOCOM-IEEE Conf. Comput. Commun.*, Toronto, ON, Canada, Apr. 2014, pp. 2040–2048.
- [24] H. Che, Y. Tung, and Z. Wang, "Hierarchical Web caching systems: Modeling, design and experimental results," *IEEE J. Sel. Areas Commun.*, vol. 20, no. 7, pp. 1305–1314, Sep. 2002.
- [25] X. Vasilakos, V. A. Siris, G. C. Polyzos, and M. Pomonis, "Proactive selective neighbor caching for enhancing mobility support in information-centric networks," in *Proc. ACM Inf.-Centric Netw. Workshop*, Helsinki, Finland, 2012, pp. 61–66.
- [26] K. Zhang, S. Leng, Y. He, S. Maharjan, and Y. Zhang, "Cooperative content caching in 5G networks with mobile edge computing," *IEEE Wireless Commun.*, vol. 25, no. 3, pp. 80–87, Jun. 2018.
- [27] Y. Lu et al., "A multi-migration seamless handover scheme for vehicular networks in fog-based 5G optical fronthaul," in Proc. 24th OptoElectron. Commun. Conf. (OECC) Int. Conf. Photon. Switching Comput. (PSC), Fukuoka, Japan, Jul. 2019, pp. 1–3.
- [28] M. Savi, O. Ayoub, F. Musumeci, Z. Li, G. Verticale, and M. Tornatore, "Energy-efficient caching for video-on-demand in fixed-mobile convergent networks," in *Proc. IEEE Online Conf. Green Commun.*, Nov. 2015, pp. 17–22.
- [29] E. Cohen, E. Halperin, and H. Kaplan, "Performance aspects of distributed caches using TTL-based consistency," *Theor. Comput. Sci.*, vol. 331, no. 1, pp. 73–96, Feb. 2005.
- [30] Y. Sun, M. Peng, S. Mao, and S. Yan, "Hierarchical radio resource allocation for network slicing in fog radio access networks," *IEEE Trans. Veh. Technol.*, vol. 68, no. 4, pp. 3866–3881, Apr. 2019.
- [31] W. Wang, Y. Zhao, M. Tornatore, H. Li, J. Zhang, and B. Mukherjee, "Coordinating multi-access edge computing with mobile fronthaul for optimizing 5G end-to-end latency," in *Proc. Opt. Fiber Commun. Conf.*, San Diego, CA, USA, 2018, pp. 1–3.
- [32] S. Kourtis and R. Tafazolli, "Evaluation of handover related statistics and the applicability of mobility modelling in their prediction," in *Proc.* 11th IEEE Int. Symp. Pers. Indoor Mobile Radio Commun., London, U.K., Sep. 2000, pp. 665–670.
- [33] L. E. Clarke, D. Gross, and C. M. Harris, Fundamentals of Queueing Theory, 4th ed. Hoboken, NJ, USA: Wiley, 2008.
- [34] O. Ayoub, F. Musumeci, D. Andreoletti, M. Mussini, M. Tornatore, and A. Pattavina, "Optimal cache deployment for video-an-demand delivery in optical metro-area networks," in *Proc. IEEE Global Commun. Conf.* (GLOBECOM), Abu Dhabi, United Arab Emirates, Dec. 2018, pp. 1–6.
- [35] N. Wang, G. Shen, S. K. Bose, and W. Shao, "Zone-based cooperative content caching and delivery for radio access network with mobile edge computing," *IEEE Access*, vol. 7, pp. 4031–4044, 2019.
- [36] B. P. Rimal, D. Pham Van, and M. Maier, "Mobile-edge computing versus centralized cloud computing over a converged FiWi access network," *IEEE Trans. Netw. Service Manage.*, vol. 14, no. 3, pp. 498–513, Sep. 2017.
- [37] X. Ma, S. Zhang, P. Yang, N. Zhang, C. Lin, and X. Shen, "Cost-efficient resource provisioning in cloud assisted mobile edge computing," in *Proc. GLOBECOM-IEEE Global Commun. Conf.*, Singapore, Dec. 2017, pp. 1–6.
- [38] W. Huang, W. Chen, and H. V. Poor, "Request delay-based pricing for proactive caching: A Stackelberg game approach," *IEEE Trans. Wireless Commun.*, vol. 18, no. 6, pp. 2903–2918, Jun. 2019.