Form X-17A-5 FOCUS Report	FOCUS REPORT (FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)			2023-11-27 03:31PM EST Status: Accepted OMB Approval
Cover Page	Part II			OMB Number: 3235-0123, 3235-0749
This report is being	filed by a/an:			Estimated average burden hours per response: 12.00 (3235-
1) Broker-dea	ler not registered as an SBSD or MSBSP			0123) 16.00 (3235-0749)
(stand-alon	e broker-dealer)			X 12000
2) Broker-dea	ler registered as an SBSD (broker-dealer SBS	5D)		12001
3) Broker-dea	ler registered as an MSBSP (broker-dealer MS	SBSP)		12002
4) SBSD without	out a prudential regulator and not registered as	s a broker-dealer (stand-alone SBSD)	12003
5) MSBSP wit	hout a prudential regulator and not registered	as a broker-dealer	r (stand-alone MSBSP)	12004
Check here	if respondent is an OTC derivatives dealer .			12005
This report is being	filed by a: Firm authorized to use models	12006 U.S. pers	on X 12007 Non-U.S. pe	rson 12008
	g filed pursuant to (check applicable block(s)):		- Non 6.6. po	
•	goc paredant to (erreat applicable block(e)).			. X 16
2) Rule 17a	a-5(b)			. 17
3) Special i	request by DEA or the Commission			. 19
4) Rule 18a	a-7			12999
5) Other (e.	xplain:))	. 26
NAME OF REPORTI	NG ENTITY		SEC FILE NO.	
		_		
INTERACTIVE BROKER		_	3 8-47257	14
	CIPAL PLACE OF BUSINESS (Do not use P.O.	·	FIRM ID NO.	
PICKWICK PLAZA		2	36418	15
	(No. and Street)		FOR PERIOD BE	EGINNING (MM/DD/YY)
GREENWICH	21 CT 22	06830 2	10/01/23	24
(City) (State/Province)	(Zip Code)	AND ENDING (M	IM/DD/YY)
UNITED STATES	12009		10/31/23	25
	(Country)			
NAME OF PERSON	O CONTACT IN REGARD TO THIS REPORT		`	REA CODE) TELEPHONE NO.
James Menicucci				03) 618-8085
NAME(S) OF SUBSID	DIARIES OR AFFILIATES CONSOLIDATED IN	THIS REPORT	T OFFIC	CIAL USE
		3		33
		3		35
		3		
		3		39
Is this report consolid	ated or unconsolidated?		onsolidated 198 U	Inconsolidated X 199
Does respondent carr	y its own customer or security-based swap cu	stomer accounts?	Yes X 40	No 41
Check here if respond	lent is filing an audited report			42
	gistrant submitting this Form and its attachme			
information contained	I therein is true, correct and complete. It is und	lerstood that all red	quired items, statements, an	nd schedules are considered
	Form and that the submission of any amendme	ent represents that	all unamended items, state	ments, and schedules remain
	plete as previously submitted.	2		
	day of			
Signatures of:		Names of:		l.aa.ul
Principal Execu	tive Officer or Comparable Officer	Principal Ev	ecutive Officer or Comparab	ole Officer
2)		James Menici		12012
Principal Financial Officer or Comparable Officer Principal Financial Officer or Comparable Officer			le Officer	
3)				
	<u> </u>			
78ff(a).)	onal misstatements and/or omissions of facts o	onsulute lederal c	mininai violations. (See 18 l	7.3.C. 1001 and 15 U.S.C.
Name of Firm: INTERA	CTIVE BROKERS LLC Persons	who are to respond t	to the collection of information c	contained in this form are not

As of: 10/31/23

required to respond unless the form displays a currently valid OMB control number

COMPUTATION OF NET CAPITAL (FILER NOT AUTHORIZED TO USE MODELS)

2023-11-27 03:31PM EST Status: Accepted

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer (Not Authorized to use models)

Stand-Alone SBSD (Not Authorized to use models)
Broker-Dealer SBSD (Not Authorized to use models)
Broker-Dealer MSBSP (Not Authorized to use models)

Computation (of Ne	t Capital
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A. Total nonallowable assets from Statement of Financial Condition					
3. Total ownership equily qualified for net capital. \$ 6.484,345,285 \$500 A. Add: A. Liabilities subordinated to claims of creditors allowable in computation of net capital \$ 0 \$520 B. Other (deductions) or allowable credits (list), \$ 0 \$525 B. Other (deductions) or allowable credits (list), \$ 0 \$525 S. Total capital and allowable subordinated liabilities \$ 0 \$525 S. Total capital and allowable subordinated liabilities \$ 0 \$550 D. Additional charges for customers' and non-customers' security accounts \$ 0 \$550 S. Additional charges for customers' and non-customers' security accounts \$ 0 \$550 S. Additional charges for customers' and non-customers' seval paccounts \$ 1205 A. Additional charges for customers' and non-customers' swap accounts \$ 1205 A. Additional charges for customers' and non-customers' swap accounts \$ 1205 B. Aged fail-to-deliver \$ 795,939 \$3670 S. C. Aged fail-to-deliver \$ 795,939 \$3670 S. C. Aged short security differences-less \$ 0 \$350 C. Aged short security differences-less \$ 0 \$350 C. Caped short security differences-less \$ 0 \$350 D. Secured demand note deficiency \$ 0 \$350 D. Secured demand note deficiency \$ 32,1982,504 \$3610 D. G. Deductions for accounts card under Rules 15c3-1(a)(6) and (c)(2)(x) \$ 0 \$3610 F. Other deductions and/or charges \$ 0 \$3600 B. Total deductions and/or charges \$ 0 \$3600 B. Subordinated securities communitients \$ 0 \$3600 C. Trading and investment securities				\$8,484,345,285	3480
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non-customers' commodity accounts \$ 0 3550		\$0	3550		
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Name	·	\$0	3560		
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B. Aged fail-to-deliver \$795,939 3570 1. number of items 105 3450 C. Aged short security differences-less reserve of \$ 0 3460 3470 D. Secured demand note deficiency \$ 0 3590 E. Commodify futures contracts and spot commodities \$ proprietary capital charges \$ 321,982,504 3610 G. Deductions and/or charges \$ 321,982,504 3610 G. Deductions for accounts carried under Rules 15c3-1(a)/(6) and (c)/(2)/(x) 3615 H. Total deductions and/or charges \$ 321,982,504 3610 G. Deductions for accounts carried under Rules 15c3-1(a)/(6) and (c)/(2)/(x) 3615 H. Total deductions and/or charges \$ 997,443,020 3620 7. Other additions and/or allowable credits (list) \$ 987,443,020 3620 7. Other additions and/or allowable credits (list) \$ 987,443,020 3620 7. Other additions and/or allowable credits (list) \$ 7,496,902,265 3640 9. Haircuts on securities other than security-based swaps A. Contractual securities commitments \$ 0 3660 B. Subordinated securities borrowings \$ 0 3670 C. Trading and investment securities \$ 1 390,411 3700 4. Corporate obligations \$ 0 3680 2. U.S. and Canadian government obligations \$ 13,901,411 3700 4. Corporate obligations \$ 13,901,411 3700 5. Stocks and warrants \$ 0 3720 6. Options \$ 494,850 3730 7. Arbitrage \$ 0 3734 9. Other securities \$ 0 3734 1. Haircuts on swaps \$ 12058 11. Haircuts on swaps \$ 12058 12. Total haircuts (sum of Lines 9A-9E, 10, and 111) \$ \$ \$ 19,320,450 3740 12. Total haircuts (sum of Lines 9A-9E, 10, and 111) \$ \$ \$ 19,320,450 3740 12. Total haircuts (sum of Lines 9A-9E, 10, and 111) \$ \$ \$ 19,320,450 3740 12. Total haircuts (sum of Lines 9A-9E, 10, and 111) \$ \$ \$ 19,320,450 3740 12. Total haircuts (sum of Lines 9A-9E, 10, and 111) \$ \$ \$ 19,320,450 3740 12. Total haircuts (sum of Lines 9A-9E, 10, and 111) \$ \$ \$ 19,320,450 3740 12. Total haircuts (sum of Lines 9A-9E, 10, and 111) \$ \$ \$ 19,320,450 3740		\$	12051		
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C. Aged short security differences-less reserve of		\$ 795,939	3570		
reserve of	1. number of items				
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D. Secured demand note deficiency E. Commodity futures contracts and spot commodities - proprietary capital charges F. Other deductions and/or charges G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x) H. Total deductions and/or charges H. Total deductions and/or charges S. 10 3650 Haricuts on securities other than security-based swaps A. Contractual securities other than security-based swaps A. Contractual securities other than security-based swaps A. Contractual securities berrowings S. 10 3660 B. Subordinated securities of deposit, commercial paper, and money market instruments S. 0 3660 B. Subordinated securities berrowings S. 10 3660 B. Subordinated securities berr		\$0	3580		
E. Commodity futures contracts and spot commodities - proprietary capital charges	number of items				
Proprietary capital charges 0 3600	D. Secured demand note deficiency	\$0	3590		
F. Other deductions and/or charges G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x) H. Total deductions and/or charges V. Other additions and/or charges V. Other additions and/or allowable credits (list) S. Tentative net capital (net capital before haircuts) V. Haircuts on securities other than security-based swaps A. Contractual securities commitments V. A. Contractual securities borrowings V. Trading and investment securities V. Trading and investment securities V. Trading and investment securities V. S. S. Subordinated securities of deposit, commercial paper, and money market instruments V. S. S. Subsection of S. S. Subsection of S.	E. Commodity futures contracts and spot commodities -	\$			
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7. Arbitrage	5. Stocks and warrants	\$0	3720		
8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a \$ 12028 9. Other securities \$ 0 3734 D. Undue concentration \$ 0 3650 E. Other (List:) \$ 4,924,189 3736 10. Haircuts on security-based swaps \$ 12053 11. Haircuts on swaps \$ 12054 12. Total haircuts (sum of Lines 9A-9E, 10, and 11) \$ (19,320,450) 3740	6. Options	\$ 494,850	3730		
or 17 CFR 240.18a-1a \$ 12028 9. Other securities \$ 0 3734 D. Undue concentration \$ 0 3650 E. Other (List:	7. Arbitrage	\$0	3732		
9. Other securities \$ 0 3734 D. Undue concentration \$ 0 3650 E. Other (List:	8. Risk-based haircuts computed under 17 CFR 240.15c3-1a				
D. Undue concentration \$ 0 3650 E. Other (List:	or 17 CFR 240.18a-1a	\$	12028		
E. Other (List:	9. Other securities	\$0	3734		
10. Haircuts on security-based swaps \$	D. Undue concentration	\$0	3650		
11. Haircuts on swaps \$	E. Other (List:)	\$ 4,924,189	3736		
12. Total haircuts (sum of Lines 9A-9E, 10, and 11) \$(19,320,450) 3740	10. Haircuts on security-based swaps	\$	12053		
13. Net capital (Line 8 minus Line 12)				\$(19,320,450)	3740
	13. Net capital (Line 8 minus Line 12)			\$ 7,477,581,815	3750

Name of Firm: INTERACTIVE BROKERS LLC

As of: 10/31/23

COMPUTATION OF MINIMUM REGULATORY CAPITAL REQUIREMENTS

2023-11-27 03:31PM EST Status: Accepted

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer

Broker-Dealer SBSD (other than OTC Derivatives Dealer)

Broker-Dealer MSBSP

Calculation of Excess Tentative Net Capital (If Applicable)			
1. Tentative net capital	\$		3640
2. Minimum tentative net capital requirement			12055
3. Excess tentative net capital (difference between Lines 1 and 2)			12056
4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2			12057
Calculation of Minimum Net Capital Requirement	· 		
5. Ratio minimum net capital requirement			
A. 62/3% of total aggregate indebtedness (Line Item 3840)	\$		3756
B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-			
i. Minimum CFTC net capital requirement (if applicable)\$ 200,398,683 7490			00.0
C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1(a)(7)(i) or (a)(10)	\$		12058
D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(ii			12059
E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable)			
6. Fixed-dollar minimum net capital requirement			
7. Minimum net capital requirement (greater of Lines 5E and 6)			
8. Excess net capital (Item 3750 minus Item 3760)			
9. Net capital and tentative net capital in relation to early warning thresholds	·	-, -, - ,	
A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7	\$	6,569,389,083	12061
B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for		, ,	
Reserve Requirements pursuant to Rule 15c3-3.	\$	5,585,513,623	3920
Computation of Aggregate Indebtedness (If Applicable)	-		
10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230)	Ф		3790
11. Add	Ψ		3790
A. Drafts for immediate credit			
B. Market value of securities borrowed for which no equivalent			
value is paid or credited			
C. Other unrecorded amounts (list)			
D. Total additions (sum of Line Items 3800, 3810, and 3820)	\$		3830
12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))			3838
13. Total aggregate indebtedness (sum of Line Items 3790 and 3830)			3840
14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750)			3850
15. Percentage of aggregate indebtedness to net capital after anticipated capital withdrawals	/u		[5050]
(Item 3840 divided by Item 3750 less Item 4880)	0/_		3853
	/u		[5055]
Calculation of Other Ratios			
16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470)	%	19.76	3851
17. Percentage of net capital, after anticipated capital withdrawals, to aggregate debits			
(Item 3750 less Item 4880, divided by Item 4470)			
18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d)	%	0.00	3860
19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating			
equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital	%	0.00	3852

Name of Firm: INTERACTIVE BROKERS LLC

As of: 10/31/23

COMPUTATION FOR DETERMINATION OF CUSTOMER RESERVE REQUIREMENTS

2023-11-27 03:31PM EST Status: Accepted

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer

Broker-Dealer SBSD
Broker-Dealer MSBSP

CREDIT BALANCES			
Free credit balances and other credit balances in customers'			
security accounts (see Note A)	53,506,999,426 4340		
2. Monies borrowed collateralized by securities carried for the accounts			
of customers (see Note B)\$	3,710,815,929 4350		
3. Monies payable against customers' securities loaned (see Note C)			
4. Customers' securities failed to receive (see Note D) · · · · · · · · · · · · · · · · · ·	49,515,894 4370		
5. Credit balances in firm accounts which are attributable to principal sales to customers\$	0 4380		
Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	85.917.958 4390		
7. ** Market value of short security count differences over 30 calendar days old\$	0 4400		
3. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days			
9. Market value of securities which are in transfer in excess of 40 calendar days	104,000,700		
and have not been confirmed to be in transfer by the transfer agent or			
the issuer during the 40 days\$	0 4420		
10. Other (List:)	96,580,206 4425		
I1. TOTAL CREDITS (sum of Lines 1-10)	· · · · · · · \$ _	63,926,745,364	4430
DEBIT BALANCES 12. ** Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (see Note E)	30 019 295 628 4440		
13. Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver\$			
14. Failed to deliver of customers' securities not older than 30 calendar days\$	4,111,252,291 4450 0 4460		
15. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (see Note F)\$			
	3,710,815,929 4465		
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in customer accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G)	0 4467		
17. Other (List:) \$	0 4469		
18. ** Aggregate debit items (sum of Lines 12-17) · · · · · · · · · · · · · · · · · · ·		37,841,363,848	4470
19. ** Less 3% (for alternative method only – see Rule 15c3-1(a)(1)(ii)) (3% x Line Item 4470) · · · · ·	-	1,135,240,915)	4471
20. **TOTAL DEBITS (Line 18 less Line 19) · · · · · · · · · · · · · · · · · · ·	• • • • • • • • • • • • • • • • • • • •	36,706,122,933	4472
RESERVE COMPUTATION			
21. Excess of total debits over total credits (line 20 less line 11)			4480
22. Excess of total credits over total debits (line 11 less line 29)- · · · · · · · · · · · · · · · · · · ·	• • • • • • • • • • • • • • • • • • • •	27,220,622,431	4490
23. If computation is made monthly as permitted, enter 105% of excess of total credits over total debits	\$		4500
24. Amount held on deposit in "Reserve Bank Account(s)", including			
\$26,504,930,749 4505 value of qualified securities, at end of reporting period 25. Amount of deposit (or withdrawal) including	\$_	27,130,040,842	4510
\$ 551,735,264 4515 value of qualified securities		553,750,948	4520
26. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including	* _	555,750,940	
\$ 27,056,666,013 4525 value of qualified securities	\$	27,683,791,790	4530
27. Date of deposit (MM/DD/YY)		11/01/23	4540
FREQUENCY OF COMPUTATION	_	, 5 ., 20	
28 Daily V 4332 Weekly 4333 Monthly 433	34		

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

Name of Firm: INTERACTIVE BROKERS LLC

As of: 10/31/23

2023-11-27 03:31PM EST Status: Accepted

FOCUS Report Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

A. Cash \$ 7,335,297,051 [7010] B. Securities (at market) \$ 0 7020 2. Net unrealized profit (loss) in open futures contracts traded on a contract market \$ 0.0020 3. Exchange traded options A. Add market value of open option contracts purchased on a contract market \$ 339,565,287 [7032] B. Deduct market value of open option contracts granted (sold) on a contract market \$ 3493,448,850 [7033] 4. Net equity (deficit) (total of Lines 1, 2, and 3) • (and 3) •	1. Net ledger balance		
2. Net unrealized profit (loss) in open futures contracts traded on a contract market \$ (107.695,884) 7030 3. Exchange traded options \$ 339,556,287 7032 A. Add market value of open option contracts granted (sold) on a contract market \$ 339,556,287 7033 4. Net equit (deficit) (total of lines 1, 2, and 3) \$ 7,073,808,597 7040 5. Accounts liquidating to a deficit and accounts with debit balances \$ 1,088,945 7045 Less: amount offset by customer owned securities \$ 1,088,945 7045 Less: amount offset by customer owned securities \$ 1,088,945 7050 6. Amount required to be segregated (add lines 4 and 5) \$ 7,074,897,542 7060 FUNDS IN SEGREGATED ACCOUNTS \$ 1,088,945 7070 7. Deposited in segregated funds bank accounts \$ 2,242,055,735 7070 8. Securities representing investments of customers' funds (at market) \$ 2,040,296,920 7080 8. Margins on deposit with derivatives clearing organizations of contract markets \$ 2,418,747 7100 8. Securities representing investments of customers' funds (at market) \$ 3,157,427,468 7110 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 30,123 7130 10. Exchange traded options \$ 339,556,285 7132 8. Value of open long option contracts \$ 339,556,285 7132 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 339,556,285 7132 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 339,556,285 7132 10. Exchange traded options \$ 339,556,285 7132 11. Net equities with other FCMS \$ 0,7160 12. Segregated funds on hand (describe:) \$ 0,7160 13. Total amount in segregation (subtract line 6 form line 13) \$ 2,232,880 7190 14. Excess (deficiency) funds in segregation (subtract line 6 form line 13) \$ 1,55,000,000 7194 15. Management Target Amount for Excess funds in segregation (subtract line 6 form line 13) \$ 1,55,000,000 7194 15.		T	7010
A. Add market value of open option contracts purchased on a contract market B. Deduct market value of open option contracts granted (sold) on a contract market B. Deduct market value of open option contracts granted (sold) on a contract market B. Deduct market value of open option contracts granted (sold) on a contract market B. Deduct market value of open option contracts granted (sold) on a contract market S. 7.073.808.597 (7040) S. 7.073.808.597 (7040) S. Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer owned securities S. (o) (7047) S. 1.088.945 (7050) G. Amount required to be segregated (add lines 4 and 5) S. 7.074.897.542 (7060) FUNDS IN SEGREGATED ACCOUNTS 7. Deposited in segregated funds bank accounts A. Cash S. 2.242.055.735 (7070) B. Securities representing investments of customers' funds (at market) S. 2.040,296.920 (7080) G. Securities held for particular customers on option customers in lieu of cash (at market) S. 2.418,747 (7100) B. Securities representing investments of customers' funds (at market) S. 2.418,747 (7100) B. Securities held for particular customers or option customers in lieu of cash (at market) S. 2.418,747 (7100) S. Securities held for particular customers or option customers in lieu of cash (at market) S. 3.157,427,468 (7110) G. Securities held for particular customers or option customers in lieu of cash (at market) S. 3.30,123 (7130) 9. Net settlement from (to) derivatives clearing organizations of contract markets A. Value of open long option contracts S. 3.39,556,285 (7132) B. Value of open long option contracts S. 3.39,556,285 (7132) B. Securities representing investments of customers' funds (at market) S. 0 (7140) G. Securities held for particular customers or option customers in lieu of cash (at market) S. 0 (7140) G. Securities held for particular customers or option customers in lieu of cash (at market) S. 0 (7140) G. Securities held for particular customers or option	B. Securities (at market)		
A. Add market value of open option contracts granted (sold) on a contract market B. Deduct market value of open option contracts granted (sold) on a contract market 4. Net equity (deficit) (total of Lines 1, 2, and 3) 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer owned securities 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer owned securities 6. Amount required to be segregated (add lines 4 and 5) 7. Deposited in segregated funds bank accounts A. Cash 8. Securities representing investments of customers funds (at market) 8. Securities representing investments of customers in lieu of cash (at market) 8. Securities representing investments of customers funds (at market) 8. Securities representing investments of customers funds (at market) 8. Securities representing investments of customers in lieu of cash (at market) 8. Securities representing investments of customers funds (at market) 8. Securities representing investments of customers funds (at market) 8. Securities representing investments of customers in lieu of cash (at market) 9. Net settlement from (to) derivatives clearing organizations of contract markets A. Value of open long option contracts A. Value of open short option contracts A. Net liquidating equity B. Securities representing investments of customers funds (at market) 9. Securities representing investments of customers funds (at market) 9. Securities representing investments of customers funds (at market) 9. C. Securities held for particular customers or option customers 11. Net equities with other FCMs A. Net liquidating equity 9. Securities representing investments of customers funds (at market) 12. Segregated funds son hand (describe: 13. Total amount in segregation (subtract line 6 from line 13) 14. E	2. Net unrealized profit (loss) in open futures contracts traded on a contract market · · · · · · · · · · · · · · · · · · ·	\$ (107,695,884)	7030
B. Deduct market value of open option contracts granted (sold) on a contract market 493.348.857) 7033 4. Net equity (deficit) (total of Lines 1, 2, and 3)	3. Exchange traded options		
Net equity (deficit) (total of Lines 1, 2, and 3) 7040	A. Add market value of open option contracts purchased on a contract market	\$339,556,287	7032
Second S	B. Deduct market value of open option contracts granted (sold) on a contract market · · · · · · · · · · · · · · · · · · ·	\$ (493,348,857)	7033
Securities peresenting investments of customers' funds (at market) Securities peresenting investments of customers in lieu of cash (at market) Securities peresenting funds (at market) Securities peresenting funds open short option contracts Securities peresenting funds of peresenting funds (at market) Securities peresenting funds (at market) Securities peresenting funds (at market) Securities peresenting investments of customers' funds (at	4. Net equity (deficit) (total of Lines 1, 2, and 3) · · · · · · · · · · · · · · · · · ·	\$	7040
Less: amount offset by customer owned securities \$ (0) 7047 \$ 1,088,945 7050 \$ 6. Amount required to be segregated (add lines 4 and 5) \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7060 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,074,897,542 7070 \$ 7,090 7090 \$ 7,090 7090 \$ 7,090 7090 \$ 7,090 7090 \$ 7,090 7090 \$ 7,090 7090 7090 \$ 7,090 7090			
FUNDS IN SEGREGATED ACCOUNTS Toposited in segregated (add lines 4 and 5) Toposited in segregated funds bank accounts Toposited in segregation (add lines 7 through 12) Toposited in segregation (add lines 7 through 12) Toposited in segregation (add lines 6 from line of cash (at market) Toposited in segregation (add lines 7 through 12) Toposited in segregation Topos			
### FUNDS IN SEGREGATED ACCOUNTS 7. Deposited in segregated funds bank accounts A. Cash B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) S. 2,040,296,920 7080 C. Securities held for particular customers or option customers in lieu of cash (at market) 8. Margins on deposit with derivatives clearing organizations of contract markets A. Cash S. 2,418,747 7100 B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) S. 3,157,427,468 7110 C. Securities held for particular customers or option customers in lieu of cash (at market) 9. Net settlement from (to) derivatives clearing organizations of contract markets A. Value of open long option contracts S. 339,556,285 7132 B. Value of open long option contracts S. 339,556,285 7132 B. Value of open short option contracts A. Net liquidating equity S. 0 7140 B. Securities with other FCMs A. Net liquidating equity S. 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) S. 0 7150 12. Segregated funds on hand (describe: S. 7,288,726,422 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount for Excess funds in segregation S. 155,000,000	= = = = = = = = = = = = = = = = = = =	*	
7. Deposited in segregated funds bank accounts \$ 2,242,055,735 7070 A. Cash \$ 2,040,296,920 7080 B. Securities representing investments of customers' funds (at market) \$ 2,040,296,920 7080 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7090 8. Margins on deposit with derivatives clearing organizations of contract markets \$ 2,418,747 7100 B. Securities representing investments of customers' funds (at market) \$ 3,157,427,468 7110 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7120 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 320,123 7130 10. Exchange traded options \$ 339,556,285 7132 B. Value of open long option contracts \$ 339,556,285 7132 B. Value of open short option contracts \$ 339,556,285 7132 B. Value of open short option contracts \$ 339,556,285 7132 B. Securities with other FCMs \$ 0 7140 A. Net liquidating equity \$ 0 7140 B. Securities representing investments of customers' funds (at market) \$ 0 7150 B. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7150 12. Segregated funds on hand (describe: \$ 7,288,726,422 71	6. Amount required to be segregated (add lines 4 and 5) · · · · · · · · · · · · · · · · · ·	\$	7060
A. Cash \$ 2,242,055,735 7070 B. Securities representing investments of customers' funds (at market) \$ 2,040,296,920 7080 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7090 8. Margins on deposit with derivatives clearing organizations of contract markets A. Cash \$ 2,418,747 7100 B. Securities representing investments of customers' funds (at market) \$ 3,157,427,468 7110 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7120 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 320,123 7130 10. Exchange traded options A. Value of open long option contracts \$ 339,556,285 7132 B. Value of open short option contracts \$ 339,556,285 7133 11. Net equities with other FCMs A. Net liquidating equity \$ 0 7140 B. Securities representing investments of customers' funds (at market) \$ 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7170 12. Segregated funds on hand (describe:	FUNDS IN SEGREGATED ACCOUNTS		
B. Securities representing investments of customers' funds (at market) \$ 2,040,296,920 7080 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7090 8. Margins on deposit with derivatives clearing organizations of contract markets A. Cash \$ 2,418,747 7100 B. Securities representing investments of customers' funds (at market) \$ 3,157,427,468 7110 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7120 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 320,123 7130 10. Exchange traded options A. Value of open long option contracts \$ 339,556,285 7132 B. Value of open short option contracts \$ (493,348,856) 7133 11. Net equities with other FCMS A. Net liquidating equity \$ 0 7140 B. Securities representing investments of customers' funds (at market) \$ 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7160 The contract of the customers or option customers in lieu of cash (at market) \$ 0 7160 12. Segregated funds on hand (describe:) \$ 0 7150 13. Total amount in segregation (add lines 7 through 12) \$ 7,288,726,422 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 213,828,880 7190 15. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194 16. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194 17. Segregated funds on the function of the customers funds in segregation \$ 155,000,000 7194 18. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194 19. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194 19. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194 19. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194 19. Management Target Amount for Excess funds in segregation	· · · · · · · · · · · · · · · · · · ·		
C. Securities held for particular customers or option customers in lieu of cash (at market) 8. Margins on deposit with derivatives clearing organizations of contract markets A. Cash \$\$\$\$\$\$\$\$2,418,747 7100\$		Ф	
8. Margins on deposit with derivatives clearing organizations of contract markets A. Cash S. 2,418,747 7100 B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 9. Net settlement from (to) derivatives clearing organizations of contract markets 10. Exchange traded options A. Value of open long option contracts S. 339,556,285 7132 B. Value of open short option contracts S. 493,348,856) 7133 11. Net equities with other FCMs A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) S. 0 7140 12. Segregated funds on hand (describe: S. 0 7150 13. Total amount in segregation (add lines 7 through 12) S. 7,288,726,422 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) S. 213,828,880 7190 15. Management Target Amount for Excess funds in segregation S. 2,418,747 7100 S. 2,418,747 7100 7100 71100 7120 9. Net settlement from (to) derivatives clearing organizations of contract market) S. 33,157,427,468 7110 7110 7120 9. Net settlement from (to) derivatives clearing organizations of contract market) S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts S. 339,556,285 7132 8. Value of open long option contracts			7080
A. Cash \$ 2,418,747 7100 B. Securities representing investments of customers' funds (at market) \$ 3,157,427,468 7110 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7120 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 320,123 7130 10. Exchange traded options A. Value of open long option contracts \$ 339,556,285 7132 B. Value of open short option contracts \$ (493,348,856) 7133 11. Net equities with other FCMs A. Net liquidating equity \$ 0 7140 B. Securities representing investments of customers' funds (at market) \$ 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7160 12. Segregated funds on hand (describe:)\$ 0 7150 13. Total amount in segregation (add lines 7 through 12) \$ 7,288,726,422 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 213,828,880 7190 15. Management Target Amount for Excess funds in segregation	C. Securities held for particular customers or option customers in lieu of cash (at market) · · · · · · · · · · · · · · · · · · ·	\$0	7090
B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 9. Net settlement from (to) derivatives clearing organizations of contract markets 10. Exchange traded options A. Value of open long option contracts B. Value of open short option contracts 11. Net equities with other FCMs A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe: 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount for Excess funds in segregation 17. Segregated funds on segregation (subtract line 6 from line 13) 18. Securities representing investments of customers funds in segregation 18. Securities representing investments of customers in lieu of cash (at market) 19. Total amount in segregation (subtract line 6 from line 13) 19. Total amount for Excess funds in segregation			
C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7120 9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 320,123 10. Exchange traded options A. Value of open long option contracts \$ 339,556,285 B. Value of open short option contracts \$ 493,348,856) 11. Net equities with other FCMs A. Net liquidating equity \$ 0 7140 B. Securities representing investments of customers' funds (at market) \$ 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7150 12. Segregated funds on hand (describe: \$ 7,288,726,422 13. Total amount in segregation (add lines 7 through 12) \$ 7,288,726,422 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 213,828,880 155,000,000 17190		T	
9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 320,123 \ \begin{array}{cccccccccccccccccccccccccccccccccccc			
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A. Value of open long option contracts B. Value of open short option contracts \$\frac{339,556,285}{7132}\$ B. Value of open short option contracts \$\frac{493,348,856}{7133}\$ 11. Net equities with other FCMs A. Net liquidating equity \$\frac{0}{7140}\$ B. Securities representing investments of customers' funds (at market) \$\frac{0}{7160}\$ C. Securities held for particular customers or option customers in lieu of cash (at market) \$\frac{0}{7170}\$ 12. Segregated funds on hand (describe: \$\frac{0}{7150}\$ 13. Total amount in segregation (add lines 7 through 12) \$\frac{7,288,726,422}{7180}\$ 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$\frac{213,828,880}{7190}\$ 155,000,000 \$\frac{7194}{7194}\$	9. Net settlement from (to) derivatives clearing organizations of contract markets · · · · · · · · · · · · · · · · · · ·	\$320,123	7130
B. Value of open short option contracts \$\(\) 493,348,856 \(\) 7133 11. Net equities with other FCMs A. Net liquidating equity \$\(\) 0 \(\) 7140 B. Securities representing investments of customers' funds (at market) \$\(\) 0 \(\) 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$\(\) 0 \(\) 7170 12. Segregated funds on hand (describe: \) \$\(\) 0 \(\) 7150 13. Total amount in segregation (add lines 7 through 12) \$\(\) 7,288,726,422 \(\) 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$\(\) 213,828,880 \(\) 7190 15. Management Target Amount for Excess funds in segregation \$\(\) 155,000,000 \(\) 7194			
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A. Net liquidating equity \$ 0 7140 B. Securities representing investments of customers' funds (at market) \$ 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7170 12. Segregated funds on hand (describe:)\$ 0 7150 13. Total amount in segregation (add lines 7 through 12) \$ 7,288,726,422 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 213,828,880 7190 15. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194	B. Value of open short option contracts	\$ (493,348,856))[7133]
B. Securities representing investments of customers' funds (at market) \$ 0 7160 C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7170 12. Segregated funds on hand (describe:)\$ 0 7150 13. Total amount in segregation (add lines 7 through 12) \$ 7,288,726,422 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 213,828,880 7190 15. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194	•		
C. Securities held for particular customers or option customers in lieu of cash (at market) \$ 0 7170 12. Segregated funds on hand (describe:)\$ 0 7150 13. Total amount in segregation (add lines 7 through 12)			
12. Segregated funds on hand (describe:			
13. Total amount in segregation (add lines 7 through 12) \$ 7,288,726,422 7180 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 213,828,880 7190 15. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194	C. Securities held for particular customers or option customers in lieu of cash (at market)	Ψ ————	
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 213,828,880 7190 15. Management Target Amount for Excess funds in segregation \$ 155,000,000 7194	• • • • • • • • • • • • • • • • • • • •	Ψ ————	
15. Management Target Amount for Excess funds in segregation			
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess · · · · · · · · · \$ 58,828,880 [7198]			
	16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$58,828,880	7198

Name of Firm: INTERACTIVE BROKERS LLC

As of: _____10/31/23

FOCUS Report Part II

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2023-11-27 03:31PM EST Status: Accepted

Items on this page to be reported by a:

Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$7305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers	
A. Cash	\$ 603,528,685 7315
B. Securities (at market)	\$
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 11,959,739 7325
3. Exchange traded options	
A. Market value of open option contracts purchased on a foreign board of trade	\$ 128,573 7335
B. Market value of open contracts granted (sold) on a foreign board of trade	
4. Net equity (deficit)(add lines 1. 2. and 3.)	\$ 615,580,287 7345
5. Accounts liquidating to a deficit and accounts with	
debit balances - gross amount \$ 3,904 [7351]	
Less: amount offset by customer owned securities \$() 7352	\$ 3,904
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 615,584,191 7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$ 615,584,191 7360

Name of Firm: INTERACTIVE BROKERS LLC

As of: 10/31/23

FOCUS Report Part II

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2023-11-27 03:31PM EST Status: Accepted

Items on this page to be reported by:

Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17	7 CFR. 30.7 ACCOUNTS				
1. Cash in banks					
A. Banks located in the United States	s	\$	27,768,896	7500	
B. Other banks qualified under 17 CF	FR. 30.7		· · · · · ·		
Name(s): JPMorgan, BMO I	Harris 7510	\$		7520 \$	27,768,896 7530
2. Securities		·			
A. In safekeeping with banks located	I in the United States	\$	476,459,805	7540	
B. In safekeeping with other banks d	esignated by 17 CFR. 30.7				
Name(s):	7550	\$		7560 \$	476,459,805 7570
3. Equities with registered futures comr					
A. Cash		\$		7580	
B. Securities		\$		7590	
C. Unrealized gain (loss) on open fut	tures contracts	\$		7600	
D. Value of long option contracts		\$		7610	
E. Value of short option contracts				7615 \$	7620
4. Amounts held by clearing organization	ons of foreign boards of trade				
Name(s):	7630				
A. Cash		\$		7640	
B. Securities				7650	
C. Amount due to (from) clearing org	anizations - daily variation	\$		7660	
D. Value of long option contracts		\$		7670	
E. Value of short option contracts		\$()	7675 \$	7680
5. Amounts held by members of foreign	boards of trade				
Name(s): BBVA, IBC, IBUK,	IBJP, IBHK, IBA				
A. Cash		\$	222,252,757	7700	
B. Securities		\$		7710	
C. Unrealized gain (loss) on open fut	tures contracts	\$	11,388,741	7720	
D. Value of long option contracts		\$	128,573	7730	
E. Value of short option contracts		\$((36,710)	7735 \$	233,733,361 7740
6. Amounts with other depositories des	ignated by a foreign board of trad	е			
Name(s):	7750]		\$	7760
7. Segregated funds on hand (describe	:)		\$	7765
8. Total funds in separate 17 CFR 30.7	accounts			\$	737,962,062 7770
9. Excess (deficiency) set aside funds f	or secured amount				
(Line Item 7770 minus Line Item 736				\$	122,377,871 7380
10. Management target amount for exc	ess funds in separate 17 CFR 30.	7 accounts		\$	80.000.000 7780

Name of Firm: INTERACTIVE BROKERS LLC

As of: 10/31/23

11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess\$ 42,377,871 7785