

## 1 Problem statement

Write a program to

- (1) Insert  $n$  elements to a binary min-heap
- (2) Delete an element
- (3) Extract-min

## 2 Solution Description

A Min Heap is a data structure that ensures each node's value is smaller than both of its children. It is represented as a complete binary tree, where each level is filled from left to right.

The operations supported by a Min Heap include:

- (1) **Insertion:** To add an element to the heap, it is appended at the end of the tree, similar to adding it to the last position of an array. Then, the element undergoes a process called "*Heapify Upwards*". This process compares the node with its parent and swaps them if the node is smaller. This continues until the heap property is satisfied.
- (2) **Deletion:** To remove an element from the heap, the node to be deleted is located and replaced with the last node in the heap. The heap is then reduced in size, and the replaced node undergoes a process called "*Heapify Downwards*". This process compares the node with its children (if they exist) and swaps it with the smallest child that is smaller than the node. This continues until the heap property is satisfied.
- (3) **Extract Minimum:** The minimum value in the heap is always the root node. To extract this minimum value, the "*Deletion*" operation is performed on the root, and the deleted value is returned as the extracted minimum.

## 3 Pseudocode

```
1 # Min-Heap Insertion
2 Insert(heap, value):
3     heap.append(value) # Add the new element to the end of the heap
4     index = len(heap) - 1 # Index of the new element
5     while index > 0:
6         parent_index = (index - 1) // 2 # Calculate the parent index
7         if heap[parent_index] > heap[index]:
8             heap[parent_index], heap[index] = heap[index], heap[parent_index] # Swap
               parent and child
```

```

9         index = parent_index
10     else:
11         break
12
13 # Min-Heap Deletion
14 Delete(heap, index):
15     if len(heap) == 0:
16         return None
17     if index > len(heap): # Value doesn't exist in the heap
18         return None
19     delete_value = heap[index] # Store the minimum value to be returned
20     heap[index] = heap[-1] # Replace the root with the last element
21     heap.pop() # Remove the last element
22     while True:
23         child_index1 = (2 * index) + 1 # Index of the left child
24         child_index2 = (2 * index) + 2 # Index of the right child
25         smallest = index # Assume the current element is the smallest
26         if child_index1 < len(heap) and heap[child_index1] < heap[smallest]:
27             smallest = child_index1
28         if child_index2 < len(heap) and heap[child_index2] < heap[smallest]:
29             smallest = child_index2
30         if smallest != index:
31             heap[index], heap[smallest] = heap[smallest], heap[index] # Swap current
32                                     element with smallest child
33             index = smallest
34         else:
35             break
36     return delete_value
37
38 # Extract Minimum
39 Extract_Min(heap):
40     return delete(heap, 0)

```

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## 4 Implementation

```

1 #include <iostream>
2 #include <vector>
3
4 using namespace std;
5
6 class MinHeap
7 {
8 private:
9     vector<int> heap;
10
11     int parent(int i) { return (i - 1) / 2; }
12     int leftChild(int i) { return (2 * i) + 1; }
13     int rightChild(int i) { return (2 * i) + 2; }
14
15     void heapifyUp(int i)
16     {
17         while (i > 0 && heap[i] < heap[parent(i)])

```

```

18     {
19         swap(heap[i], heap[parent(i)]);
20         i = parent(i);
21     }
22 }
23
24 void heapifyDown(int i)
25 {
26     int smallest = i;
27     int left = leftChild(i);
28     int right = rightChild(i);
29
30     if (left < heap.size() && heap[left] < heap[smallest])
31         smallest = left;
32
33     if (right < heap.size() && heap[right] < heap[smallest])
34         smallest = right;
35
36     if (smallest != i)
37     {
38         swap(heap[i], heap[smallest]);
39         heapifyDown(smallest);
40     }
41 }
42
43 public:
44     void insert(int value)
45     {
46         heap.push_back(value);
47         int index = heap.size() - 1;
48         heapifyUp(index);
49     }
50
51     void remove(int value)
52     {
53         int index = -1;
54         for (int i = 0; i < heap.size(); i++)
55         {
56             if (heap[i] == value)
57             {
58                 index = i;
59                 break;
60             }
61         }
62
63         if (index == -1)
64         {
65             cout << "Element not found in the heap." << endl;
66             return;
67         }
68
69         heap[index] = heap.back();
70         heap.pop_back();
71

```

```

72     if (index < heap.size())
73     {
74         if (index > 0 && heap[index] < heap[parent(index)])
75             heapifyUp(index);
76         else
77             heapifyDown(index);
78     }
79 }
80
81 int extractMin()
82 {
83     if (heap.empty())
84     {
85         cout << "Heap is empty." << endl;
86         return -1;
87     }
88
89     int min = heap[0];
90     heap[0] = heap.back();
91     heap.pop_back();
92     heapifyDown(0);
93
94     return min;
95 }
96
97 void display()
98 {
99     if (heap.empty())
100     {
101         cout << "Heap is empty." << endl;
102         return;
103     }
104
105     cout << "Min-Heap: ";
106     for (int i = 0; i < heap.size(); i++)
107         cout << heap[i] << " ";
108
109     cout << endl;
110 }
111 };
112
113 int main()
114 {
115     MinHeap minHeap;
116     int n, value;
117
118     cout << "Enter the number of elements to insert: ";
119     cin >> n;
120
121     cout << "Enter " << n << " elements: \n";
122     for (int i = 0; i < n; i++)
123     {
124         cin >> value;
125         minHeap.insert(value);

```

```

126     }
127
128     minHeap.display();
129     int ch;
130     int t;
131     do
132     {
133         cout << "Choose an option: \n"
134             << "1. Insert\n"
135             << "2. Delete\n"
136             << "3. Extract Min\n"
137             << "Anything else to quit\n";
138         cin >> ch;
139         switch (ch)
140         {
141             case 1:
142             {
143                 cout << "Enter value to insert: ";
144                 cin >> t;
145                 minHeap.insert(t);
146                 minHeap.display();
147                 break;
148             }
149
150             case 2:
151             {
152                 cout << "Enter value to delete: ";
153                 cin >> t;
154                 minHeap.remove(t);
155                 minHeap.display();
156                 break;
157             }
158
159             case 3:
160             {
161                 int min = minHeap.extractMin();
162                 if (min != -1)
163                     cout << "Extracted minimum element: " << min << endl;
164                 break;
165             }
166
167             default:
168             {
169                 cout << "Wrong Choice!";
170                 break;
171             }
172         } while (ch > 0 && ch < 4);
173
174         return 0;
175     }

```

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## 5 Algorithm Analysis

If  $n$  represents the number of nodes in the heap, the time and space complexity of the operations are as follows:

- Insertion: Time =  $O(\log n)$ , Space =  $O(1)$
- Deletion: Time =  $O(\log n)$ , Space =  $O(1)$
- Extract Minimum: Time =  $O(\log n)$ , Space =  $O(1)$

## 6 Reference

- MIT Lecture on Binary Heaps
- CLRS (Introduction to Algorithms) for algorithms and data structures