Grid-Based Coverage Path Planning with Multiple UAVs for Search and Rescue Applications

by

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Thesis presented in partial fulfilment of the requirements for the degree of Master of Engineering (Electrical and Electronic) in the Faculty of Engineering at Stellenbosch University

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> > September 2021

Declaration

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Abstract

Grid-Based Coverage Path Planning with Multiple UAVs for Search and Rescue Applications

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> Thesis: MEng (EE) September 2021

Vibrating a tillage tool is an effective way of reducing the draft force required to pull it through the soil. The degree of draft force reduction is dependent on the combination of operating parameters and soil conditions. It is thus necessary to optimize the vibratory implement for different conditions.

Numerical modelling is more flexible than experimental testing and analytical models, and less costly than experimental testing. The Discrete Element Method (DEM) was specifically developed for granular materials such as soils and can be used to model a vibrating tillage tool for its design and optimization. The goal was thus to evaluate the ability of DEM to model a vibratory subsoiler and to investigate the cause of the draft force reduction.

The DEM model was evaluated against data ...

Uittreksel

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("Grid-Based Coverage Path Planning with Multiple UAVs for Search and Rescue Applications")

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Om 'n tand implement te vibreer is 'n effektiewe manier om die trekkrag, wat benodig word om dit deur die grond te trek, te verminder. Die graad van krag vermindering is afhanklik van die kombinasie van werks parameters en die grond toestand. Dus is dit nodig om die vibrerende implement te optimeer vir verskillende omstandighede.

Numeriese modulering is meer buigsaam en goedkoper as eksperimentele opstellings en analitiese modelle. Die Diskrete Element Metode (DEM) was spesifiek vir korrelrige materiaal, soos grond, ontwikkel en kan gebruik word vir die modellering van 'n vibrerende implement vir die ontwerp en optimering daarvan. Die doel was dus om die vermoë van DEM om 'n vibrerende skeurploeg the modelleer, te evalueer, en om die oorsaak van die krag vermindering te ondersoek.

Die DEM model was geïvalueer teen data ...

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Nomenclature

Constants

 $g = 9.81 \,\mathrm{m/s^2}$

Variables

ϕ	Camera sensor to lens angle	$[\deg]$
H	Height from camera lens to ground	[m]
FOV	Field of view (on ground)	[m]
AOV	Camera angle of view	$[\deg]$
f	Camera focal length	$[\mathrm{mm}]$
h_{len}	Camera sensor height	$[\mathrm{mm}]$
w_{len}	Camera sensor width	$[\mathrm{mm}]$
GSD	Ground sampling distance	[cm/px]

${\bf Subscripts}$

- x The x dimension
- y The y dimension

Acronyms

ACO Ant Colony Optimization

AI Artificial Intelligence

ANFIS Adaptive-Network-Based Fuzzy Interference System

CPP Coverage Path Planning

DARP Divide Areas Algorithm for Optimal Multi-Robot Coverage Path Planning

FOV Field of View

GA Genetic Algorithm

GM-VPC Geodesic-Manhattan Voronoi-Partition-Based Coverage

GSD Ground Sampling Distance

MCPP Multi-Robot Coverage Path Planning

MFC Multi-Robot Forest Coverage

MOPP Multi-Objective Path Planning

MSTC Multi-Robot Spanning Tree Coverage

PRM Probabilistic Roadmaps

PSO Particle Swarm Optimization

QoS Quality of Service

RRT Rapidly Exploring Random Trees

SAR Search and Rescue

SLAM Simultaneous Localization and Mapping

STC Spanning Tree Coverage

UAV Unmanned Aerial Vehicle

UGV Unmanned Ground Vehicle

Chapter 1

Introduction

1.1 Background

Unmanned Aerial Vehicle (UAV) are a technology that have gained popularity in various applications [7]. Originally, UAVs required a ground pilot to manoeuvre them, but are becoming an increasingly automated technology. Applications where UAV automation has been used include, but are not limited to, structure inspections[8], smart farming[9], disaster management[10], power line inspections[11], surveillance[12] and wildfire tracking[13].

Most of the research mentioned was done on the premise of using multirotor UAVs, quad-rotor vehicles in particular. It is important to note that the term UAVs also encompasses other aircraft types, like single rotor and fixed wing UAVs. Hybrids also exist that contain both rotary-wing and fixed-wing components[7].

Using UAVs poses a considerable advantage in applications like the ones mentioned when compared to Unmanned Ground Vehicles (UGVs). Their capacity to fly over landscapes and around three dimensional structures makes their potential applications increase substantially. Relatively high altitude flying is a key reason why they are well suited to the application suggested in this paper, which is automated coverage path planning for Search and Rescue (SAR) missions.

According to [14], the purpose of motion planning algorithms are determined by the field of research. In control theory, it refers to algorithms that are designed to find trajectories for agents within a non-linear system. This contrasts with the usual focus of control theory on feedback and optimization because the trajectories are usually computed using open-loop methods. Motion planning takes on a subtly different meaning in the world of robotics or artificial intelligence, but the control theory definition is the one that will be used throughout this text.

Coverage Path Planning (CPP) is a variant of the general motion planning problem. Originally, motion planning algorithms were predominantly used to

find solutions for start-goal problems[15]. This could mean getting an agent, a UAV for example, from some starting position to some goal position in an environment[16]. Coverage path planning is different from start-goal path planning in that it tries to determine a path for an agent to pass over all points in an environment[15]. It can be used with ground vehicles, for example, to automate field machines for smart farming[17]. Further examples include vacuum cleaning robots, spray painting robots[18], window cleaning robots[19] and automated lawn mowers[20]. For underwater vehicles it can be used for the inspection of difficult to reach underwater structures[21].

Furthermore, there have been developments in the use of UAVs to perform automated search and rescue operations using coverage path planning. Perhaps the most notable example is a project by DroneSAR where they use DJI drones to perform search and rescue tasks. Their implementation includes a mobile application that allows the user to designate a search area manually[1]. Search and rescue operations often span large areas and UAVs fly above most ground obstacles. Therefore, it is realistic that they assume the search environment can be mapped prior to the search operation[22].

DroneSAR uses one drone per search and rescue operation. Once the environment has been designated, the drone performs a back and forth manoeuvre across the area to achieve coverage. The search operation can be halted if the imaging system detects a possible target in the area. The drone can be switched to manual flight mode for closer inspection and the co-ordinates of the target, for example a person in turmoil, can be sent to the search and rescue team. Their system also allows for the manual assignment of way-points to a flight path to bypass the back and forth manoeuvre.[23]

In Figure 1.1, a screenshot of their mobile application is shown. It illustrates the back and forth manoeuvre used to achieve coverage of the designated area.

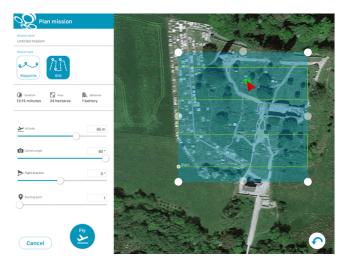


Figure 1.1: DroneSAR Mobile Application Showing Coverage Plan[1]

This paper also looks at coverage path planning for search and rescue, but suggests a multiple UAV approach to the problem. According to [1], when looking for a victim in one square kilometre on land, it takes a five-person rescue team two hours on average to find the victim. DroneSAR found that their drone could do the same job in under 20 minutes. Adding multiple UAVs to cover an area could reduce this time even more, since it would mean more area is covered per unit time. This is important because in a search and rescue operation, time is always of the essence.

This paper also focuses on a grid-based approach to the coverage problem. According to the taxonomy represented in [15], this is referred to as an approximate method. Although one can achieve complete coverage of the grid, the grid itself is not an exact representation of the environment. It does, however, greatly simplify the process of allocating areas to different UAVs, which is a key process for multiple UAV coverage. Physical implementation of this method will not be addressed as part of the scope for this paper.

1.2 Research Aim

The main goal of this research is to develop a coverage path planning algorithm for multiple unmanned aerial vehicles (UAVs) to search an area. The research is intended to be applicable in search and rescue operations using unmanned aerial vehicles to assist.

1.3 Research Objectives

Based on the main aim of this project set out in Section 1.2, a set of research objectives were formulated. These are intended to give a clearer picture of the main research goals and scope of the project. Scope and limitations are further discussed in Section 1.4 and the methodology used to achieve these objectives are detailed in Section 1.6. The research objectives are as follows:

- 1. Develop a coverage path planning algorithm for an environment that is known a priori and contains static obstacles.
- 2. Ensure that the final algorithm is an approximately complete solution.
- 3. Incorporate into the algorithm's functionality an ability to have a changing number of starting UAVs that have random initial positions.
- 4. Evaluate the algorithm's performance in both randomly generated and mapped, real world environments to ascertain whether or not it is suitable for search and rescue operations.

- 1.4 Scope and Limitations
- 1.5 System Overview
- 1.6 Methodology
- 1.7 Project Outline

Chapter 2

Literature Review

As mentioned in Section 1.1, CPP is a subset of the general motion planning problem. This chapter will therefore begin with a brief overview of motion planning before discussing CPP as a whole. Literature pertaining to CPP is then discussed in several sections. Firstly, it is addressed in the context of the single robot CPP problem. Several techniques used to achieve coverage using only one robot are summarized.

Following this are three sections dedicated to the Multi-Robot Coverage Path Planning (MCPP) problem. The first two cover distributed and non-distributed offline MCPP respectively. This is followed by a section presenting some online MCPP implementations. Many of the implementations were done with some application in mind, but the last section covers UAVs and how they have been applied to SAR operations in particular.

2.1 Motion Planning

One of the most noteworthy items of literature presented on motion planning is by Lavalle [14]. In this book, a differentiation is made between motion planning and trajectory planning. Motion planning, by their definition, refers to a series of translations and/or rotations required to get an agent from one point to another within some environment. Trajectory planning would then take this plan and find a strategy to execute it within the dynamic constraints of the agent.

Agent is a term from the field of artificial intelligence and is interchangeable with robot or decision maker. The agent will be what executes the plan once it is determined. Overall, a planning algorithm is used to develop a plan for the agent to execute within an environment. Execution generally refers to a real-world implementation of a plan on some device, for example, a UAV. It can also be performed in simulation. [14]

The type of task that is executed as well as the environment it will be executed in are important for deciding on a motion planning algorithm. The

environment may be described as discrete or continuous. Some applications, such as solving a Rubik's cube, can be represented in a discrete manner [14]. Most robotics applications, however, are in a continuous environment, which adds a layer of complexity to problem [24].

Lavalle classifies motion planning problems into discrete and continuous. He discusses point-to-point path planning algorithms such as A* and Dijkstra's Algorithm in the context of discrete path planning. He then classifies continuous problems into two major categories, namely combinatorial and sampling-based methods.

The key difference between these methods is that combinatorial methods explicitly describe the environment, including obstacles, prior to searching and guarantee completeness. Sampling-based methods are generally resolution complete or probabilistically complete, which are more lax notions of completeness. Sampling-based methods sample points in the environment and tend to perform incremental collision avoidance during pathfinding. [14]

The notion of completeness refers to the ability of a planning algorithm to correctly find a solution if one exists, otherwise reporting that there is no solution. Resolution completeness simply guarantees completeness only down to a certain resolution, and probabilistic completeness means that the probability of reporting a correct solution converges to one. [14]

Sampling based methods are often better at dealing with a dynamic environment, whereas combinatorial methods, also known as exact methods, require exact knowledge of the environment beforehand and cannot handle dynamic obstacles without replanning, which is very inefficient. Rapidly Exploring Random Trees (RRT) and Probabilistic Roadmaps (PRM) are respective examples of single and multi-query sampling-based methods.

Combinatorial methods utilize methods like trapezoidal decompositions and Voronoi diagrams to generate roadmaps. Roadmaps in general can easily be navigated using discrete methods like A*. [14]

Whenever developing a plan, the task could be to move from one point to another, change orientation, or to cover every point within an environment. The task could also involve multiple agents. Optimizing paths in these scenarios can be quite challenging because agents must now not only avoid collisions with obstacles in the environment, but also with one-another, while trying to achieve a certain goal. In the context of path planning with UAVs, the nature of the goal makes the problem fall into different categories, according to the authors of [25].

In a point-to-point problem, if the goal location is the same for all UAVs, it is referred to as a rendezvous task. If they all have different goal locations, it is an allocation task. And lastly, if the goal is not to move from a starting position to a goal, but instead to cover every point in an environment, it is called a coverage task. This classification is highlighted in Figure 2.1.

One final concept to grasp for motion planning, is the difference between online and offline planners. Offline algorithms draw a distinct line between the

planning phase and the execution phase. The entire plan is already developed prior to real-world (or simulation based) execution, since the environment is known. Online planners tend to perform planning and execution in tandem. Generally, the environment is sensed as the agent moves and the plan is computed as it goes. The environment is either not known a priori, or is too costly to give as an input for the application. [24]

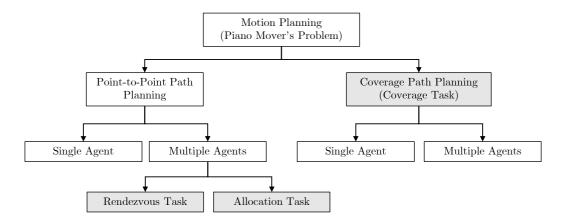


Figure 2.1: Flow diagram showing a breakdown of the different kinds of path planning as part of motion planning.

2.2 Coverage Path Planning

CPP is a subset of the general motion planning problem. The coverage task refers to visiting all points within an environment as opposed to the general start-goal type task [25]. CPP can fall into the same categories as motion planning. It can be classified as discrete or continuous, online or offline, and as a single or multiple agent problem.

A number of surveys have been done to give an overview of the literature available and progress made in the field of CPP. A survey was done in 2001 wherein Choset divides CPP into four categories [15]. In later papers this is known as Choset's taxonomy, and is widely used to describe different types of CPP algorithms.

Choset addresses heuristic and/or randomized approaches and also looks at three type of cellular decompositions, namely approximate, semi-approximate and exact. He briefly addresses the multiple robot scenario. The cellular decompositions all rely on simplifying the environment to achieve provably complete coverage.

The approximate methods mean the environment is modelled as a set of cells with equal size and shape, generally a grid. These algorithms can achieve

complete coverage of the discrete approximation of the environment, but don't guarantee complete coverage for the actual environment. Exact cellular decompositions divide the environment into polygons and cover these using simple motions e.g. back-and-forth manoeuvres. This can achieve complete coverage of the actual environment, hence the term *exact*. This is further discussed in Section 2.3.1.

In 2013, a survey was done regarding CPP in robotics [22]. It expands on Choset's taxonomy and gives more detail on recent developments in each category. This paper also addresses CPP in three-dimensional scenarios, and briefly looks at CPP where Simultaneous Localization and Mapping (SLAM) is applied due to localization uncertainties. Another recent survey was published in 2019, that once again builds on Choset's taxonomy [7]. They spend more time discussing simple manoeuvres and contrast them with more complex solutions.

Generally multi-robot approaches add a layer of complexity to CPP. The most notable challenge that arises is collision avoidance. Robots need to cooperate to achieve coverage while not only avoiding collisions with obstacles, but also with each other. In 2020, a paper was compiled that specifically deals with cooperative path planning [25]. It surveys path planning with multiple UAVs for the purpose of achieving many different goals, coverage being one of them.

In this chapter, a number of CPP techniques are explored. Methods using a single robot for coverage are discussed in Section 2.3. Sections 2.4 and 2.5 refer to offline methods of MCPP and Section 2.6 looks at online methods. The sections that discuss offline methods are categorised as distributed and non-distributed.

Distributed, for the purpose of this paper, refers to methods where the paths of individual UAVs do not overlap. They are expected to fly in their own isolated sub-regions within an environment. In non-distributed methods, UAVs are free to cross paths. The area division step is not performed and so their paths are simply computed simultaneously, with knowledge of which cells have already been visited. [26]

2.3 Single Robot Coverage Path Planning

Single robot coverage is discussed in some detail here because several of the MCPP problems make use of them. Distributed problems tend to divide an environment into sub-regions that can then be covered using single robot techniques. Several of the other methods simply use the single robot methods and scale them to multiple robot applications. The subsections discuss a number of existing planning algorithms. This is by no means a comprehensive list of all the algorithms available, but gives a brief overview of varying techniques.

2.3.1 Exact Methods

Combinatorial methods, as described by Lavalle, are also referred to as exact methods [14]. Exact methods for CPP make use of the same geometric principles to divide an area into cells. However, instead of creating a roadmap, an adjacency graph is created and used to move between cells. Each cell is then individually covered, generally using simple manoeuvres [22].

Each cell in the decomposition is a node in the adjacency graph. An exhaustive walk is used to ascertain the sequence in which to visit these nodes to achieve coverage. Simple manoeuvres, such as back-and-forth motions, are then used to cover each cell individually. These algorithms are complete, so will completely cover the environment when possible. [27]

A popular method, that is mentioned in Lavalle's book, is the trapezoidal decomposition. This method decomposes an environment into trapezoids (convex cells) based on the vertices of polygonal obstacles [14].

The trapezoidal and boustrophedon decomposition methods are applicable in two-dimensional coverage problems. They are offline approaches, since the environment must be known a priori, and only operate with polygonal obstacles. The boustrophedon method reduces the number of cells by only looking at vertices where a line can extend both upwards and downward from it. This reduces the final length of the coverage path and makes it more efficient. [22]

A more versatile exact method, that uses Morse functions for the decomposition, is also available [28]. This no longer requires polygonal environments and can in theory be expanded to higher dimensional environments.

One paper sought to optimize exact cellular decomposition somewhat. This is also applied in polygonal environments. They use a greedy recursive technique to preform the decomposition and optimize the sweep direction to reduce the number of rotations. For their application, they proved that one can reduce energy consumption and the coverage route length, and thereby the time to complete coverage, by minimising rotations. [29]

2.3.2 Sampling-Based Methods

Sampling-based methods have been adapted for coverage path planning. They are more easily scaled to three dimensional environments and are better suited to online or real-time approaches. They also deal with changing environments with dynamic obstacles more easily. [14]

RRT has been adapted to CPP. An example of this is an application involving automated lawn mowing. They used RRT as a local planner in combination with a global planner that uses a spiral motion to cover the points in a map. A solution is however, not guaranteed. Complete coverage is also not necessarily achieved because of the random nature of the paths, but it is considered a real-time approach. [30]

Two other problems, that are both proven to be probabilistically complete, are the watchman route algorithm and the redundant roadmap algorithm [21]. The watchman method constructs a roadmap, and coverage is achieved using a minimum spanning tree. The roadmap construction is done using PRM [31].

The redundant roadmap method also constructs a roadmap and then conducts local point-to-point planning using RRT, which also encompasses collision avoidance [32].

An example of an online algorithm takes PRM and RRT and combines them into a single point-to-point path planner, which includes dynamic replanning capabilities [33]. These authors later expanded this to a coverage solution involving back and forth manoeuvres [34]. This is discussed in more detail in Section 2.7.4.

2.3.3 A* and Wavefront Based Coverage

This is a discrete method of planning. As mentioned in Section 2.1, A^* is often used in point-to-point path planning. In combinatorial motion planning or multiple-query sampling-based methods such as PRM, roadmaps are generally formed to represent the environment. These roadmaps can then be navigated using A^* or another discrete algorithm like Dijkstra's algorithm or a forward search. [14].

A* was built from Dijkstra's algorithm, which is like a forward search that takes cost into account for the priority queue. A* is an extension of this that also predicts the cost to reach the goal using a heuristic.

Dijkstra has also been optimised into what is called a wavefront planner. With this technique, equal cost points are grouped together into "waves" and the algorithm essentially propagates out in waves until it reaches the goal [14]. This wavefront type planning has also been used for CPP, with the goal to minimise rotations and the number of revisited cells [35].

Some authors have taken to extending A* algorithms to CPP as well. Here the environment is generally divided into a grid and the cells can represent obstacles or free space. The starting and end-points are always within free space cells.

One of the earlier interpretations of A^* CPP is a combination of the boustrophedon method described in 2.3.1 and A^* [36]. This is an online method that constructs boustrophedon regions incrementally and uses A^* to move from one region to the next.

In point-to-point path planning, the goal is usually to achieve the shortest path possible and the heuristic function is set up for that purpose. For CPP, the cost function is changed to maximize coverage instead. One such implementation uses A* in a grid-based, offline approach where they try to minimise the amount of cells that get revisited [37]. They use critical waypoints and A* based zigzag motions.

Another implementation uses a heuristic function with the goal of minimising the number of rotations, as was the goal of the wavefront planner mentioned earlier in this section [38]. This is useful, because rotations often consume more energy than straight line motions.

2.3.4 Spanning Tree Coverage

Spanning trees are applied in discrete environments. They can be applied in offline scenarios or incrementally grown for online applications [39]. A spanning tree is created to reach all nodes in an environment. To make a path efficient, the spanning tree is generally used on nodes that represent the centres of larger cells.

These larger cells are then divided into four smaller cells each, which can then be the cells that are navigated by the agents. To reach each cell, the algorithm simply circumnavigates the tree. When operating in a continuous environment, the environment must be divided into the larger cells. A useful feature that this method provides is that it forms a closed loop path. The agent will always loop back to its starting point. [5]

The spanning trees used are usually minimum spanning trees, constructed using algorithms such as Prim's algorithm. These algorithms can minimise tree weight. This weight can represent distance, or any number of other costs. They can even be used to reduce rotations by encouraging the robot to scan an area along a particular direction. [39]

2.3.5 Artificial Intelligence Methods

One article compared several Artificial Intelligence (AI) techniques for CPP. Four methods were compared, including one that employs a Genetic Algorithm (GA). The four methods are the La Palma attraction, La Palma fuzzy logic, Adaptive-Network-Based Fuzzy Interference System (ANFIS) and Particle Swarm Optimization (PSO) approaches. [26]

All of the methods were implemented in a discretized environment (a grid). In this paper they also generate what they call a $Risk/Occupancy\ Map$. This is given as an input for their algorithms to encourage searching of certain areas first. For each cell, they generate a potential risk/occupancy value (P). The higher the value, the higher the priority of searching that cell.

They evaluated performance of these algorithms in the context of search and rescue and found that the ANFIS approach gives the best performance for that application. The attraction method works well for environments with maps that don't have a varying P value. Fuzzy logic works well when a big portion of the map has high P values, but has a lower success rate. PSO was shown to not work well at all.

2.4 Distributed Offline MCPP

A well established offline coverage path planning approach involves the divide areas technique. This partitions an area into regions for individual robots to cover. Each robot should then be able to cover its area using one of the individual area coverage techniques mentioned in Section 2.3.

The subsections are divided into different area division approaches. The methods used to perform coverage of the sub-regions are mentioned in each section seen as these are important in generating the final coverage plan. Figures are shown in these sections, all of them taken from the research papers discussed in the respective sections. These figures are purely to illustrate the different divisions that are achieved by each algorithm.

2.4.1 Hexagonal Segmentation

A notable distributed approach uses regular hexagons to segment the area of interest [2]. This implementation is reminiscent of the exact methods often used for single robot coverage path planning mentioned in Section 2.3.1. Exact methods generally divide an area into arbitrarily sized polygons called cells. The robot moves between these cells and covers them using simple manoeuvres.

In the multi-robot scenario, the area is still divided into cells, but they need to be distributed between the robots evenly for searching. The ideal situation is to assign equal sized areas to each robot so that their path lengths are similar and they can complete their paths at roughly the same time.

Hexagonal cells make it easier to assign cells to robots, seen as they are all of the same size. Hexagons are clustered using the K-means algorithm to ensure a similar number of cells are assigned to each robot. The seeds are synonymous with the robots, therefore once the seed locations are finalized for even cell distribution, the robot initial positions are established. The robots cannot start from any random location, which can be undesirable.

The hexagons that are assigned to a given robot are contiguous and form a sub-region that is then covered using simple manoeuvres. Static obstacles are considered in this implementation, but the smallest obstacle resolution is the size of a hexagon which may not be very representative of the environment.

Figure 2.2, taken directly from their paper, illustrates the back-and-forth manoeuvres used to cover the hexagonal partitions. Black hexagons represent no-fly zones and/or static obstacles. The dark red, green and blue regions represent the sub-regions as they are assigned to the respective robots for coverage.

2.4.2 Voronoi Partitioning

In the mathematics field, there are methods of area division to divide a polygon into a number of equal area polygons [40]. Another relevant method that



Figure 2.2: Simulation showing coverage of hexagonal partitions with back and forth motions using three robots. [2]

also stems from the field of mathematics, is the Voronoi partition. This assigns regions within an area to seeds based on distance. The idea is that a region assigned to a seed represents all the points where the distance to that seed is shorter than to any other seed.

If the Voronoi partition is applied to the MCPP problem, the seeds become synonymous with robots. This partition works for any number of robots at any starting positions, but unless they are evenly spaced, the areas will not have equal sizes. Distances in these scenarios are usually Euclidean and the boundaries between areas represent the position where the distances from two seeds are equal.

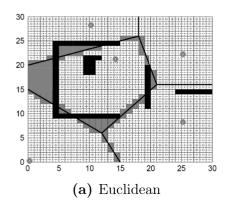
The authors of [3] implement MCPP using Voronoi partitions in discrete space with static obstacles. They use square discretisation of the area and compare several different methods. They investigate geodesic-Manhattan-, Manhattan-, geodesic- and Euclidean-distance-based Voronoi partitions.

The Euclidean-based technique results in what the authors term "non-contiguous sub-regions". This means that cells that are part of a sub-region are not accessible by the robot assigned to them, due to obstacles within that sub-region. They solve this problem by using geodesic distance. This uses Euclidean measurements, but instead of a straight line distance between two cells, it calculates the distance using a collision free path between the two cells.

Another problem arises, due to their use of discrete space. This is that when using Euclidean distances, some cells were partially in two sub-regions instead of fully in one or the other. Their solution is to use Manhattan distances. Ultimately they claim to have solved these problems by using geodesic-Manhattan-based distances to generate the partition. And thus they coined the term Geodesic-Manhattan Voronoi-Partition-Based Coverage (GM-VPC)). Figure 2.3 shows figures from the paper that show the results of an area division using different distance measures with a Voronoi partition. In both figures

the black blocks represent obstacles, the round dots are the robot starting positions and the black lines over the grid represent the partition boundaries.

Figure 2.3a shows the results using Euclidean distances. Here, the grey blocks are areas that would not be covered. This is clearly remedied using the GM-VPC technique in Figure 2.3b. They implemented two different versions of GM-VPC, which utilize respectively an exact and an approximate individual area search technique. They implemented a boustrophedon coverage plan for the exact solution and a spanning tree for the approximate version. Both of these performed better when using geodesic-Manhattan distances.



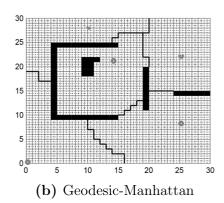


Figure 2.3: Figures showing results for the Voronoi partitioning scheme for two different distance measures. [3]

2.4.3 Negotiation Protocol

A negotiation or bargaining protocol refers to a process involving task partitioning. In the context of area division for CPP, the task represents the area to be divided [4]. The authors of [4] present a negotiation model based on Rubinstein's alternate-offers protocol, for the purpose of area division. The focus of their implementation was to develop a distributed algorithm capable of considering robot capabilities. This means that the robots wouldn't have to be homogeneous and can have different flight-time capabilities, manoeuvrability, on-board equipment and so forth [35].

They implemented their algorithm and found that it can achieve near optimum results. It tries to maximise the size of each robot's subdivision of the area (based on its capabilities), while also minimising sub-area overlap. The algorithm also works to avoid static obstacles or no fly zones that are present in the area. Moreover, they proved that it could be applied in a situation where re-planning may be necessary.

A more complete implementation of the algorithm including an individual area search technique was also developed and tested [35]. In this implementation they use a wavefront planner for the individual area coverage path

generation. This requires discretisation of the area into cells. In their case, they used rectangles whose size was determined by on-board camera Field of View (FOV). In order for the polygons generated by the negotiation protocol to work effectively, they use a method called Bresenham's line algorithm to approximate the lines that divide the areas in discrete space, so that they pass through the centroids of cells.

The area division achieved sometimes produces non-convex shapes, which the wavefront planner can handle effectively. Their implementation also minimizes energy consumption by minimizing the number of turns and not allowing backtracking. They also have the ability to specify the initial take-off positions of the robots. Distance from the specified take-off point to the starting point for sub-area coverage are considered in the sub-task negotiations. The authors also mention being able to specify robot landing positions pre-emptively.

One visible drawback in their implementation is that they coverage appears incomplete. The boundaries between areas pass through waypoints (cell centroids), that effectively get excluded from the coverage algorithm and are not covered. Using an exact method to search the individual areas could produce better results. Changing the boundaries to lie on the edges of cells rather than passing through their centroids could also make a difference.

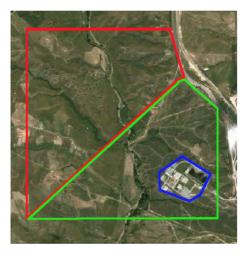


Figure 2.4: Figure showing the resulting area partition using the negotiation protocol. This example is for two robots and includes a no fly zone. [4]

2.4.4 MSTC

Multi-Robot Spanning Tree Coverage (MSTC) is a variant of single robot Spanning Tree Coverage (STC) as presented in Section 2.3.4. The authors of [5] designed the first variants of MSTC. The two variations they suggest are one that allows for backtracking and one that does not. Both variations still

utilize a single spanning tree, but simply circumnavigate the tree with multiple robots instead of a single one.

They place a lot of emphasis on robustness and efficiency, in addition to completeness. They demonstrate an algorithm that segments the path around a spanning tree to evenly distribute it among robots. This distribution of robots is however, unrealistic. Their method becomes incredibly inefficient when robots are clustered closely together. This is because a robot simply navigates the path until it reaches the initial position of the next robot on the path.

Figure 2.5 shows the paths that are generated when the robots are evenly distributed along the path that circumnavigates the tree. Blue dots represent the robot initial positions and the spanning tree is shown in red. The second method they suggest remedies this somewhat. It allows for backtracking and improves the efficiency.

The ideal situation is that all the robots have near equal path lengths, provided they are homogeneous robots. This is not guaranteed with this algorithm when the robots have random starting positions, but allowing for backtracking can improve the results and allow the coverage to be completed in a shorter amount of time.

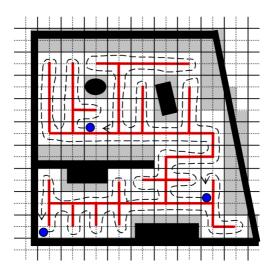


Figure 2.5: MSTC algorithm showing the paths for three robots on an environment grid. [5]

2.4.5 DARP

The Divide Areas Algorithm for Optimal Multi-Robot Coverage Path Planning (DARP) is a very interesting implementation of the distributed technique. It uses an iterative method to ensure connected sub-regions are assigned to robots, regardless of their starting positions within an environment [6].

A grid based representation of the environment is used, which includes static obstacles. Obstacles that form enclosed, unreachable spaces are not permitted. The algorithm starts by assigning cells to UAVs in the environment based on which UAV is the closest to it. One cell can only be assigned to one robot.

Once this initial assignment is completed, the algorithm adjusts the distances iteratively to change assignments. The goal is to have the same number of cells assigned to each robot. This goal has the benefit of making the regions assigned to each robot the same size. By default, this means that the coverage path lengths of all the robots will be similar. The advantage of this is that the robots will finish searching at roughly the same time, making it an efficient coverage technique.

Another goal they have is to achieve connected regions. This means that the robots will not have to traverse other sub-regions, that are not assigned to them, to reach their own sub-region for searching. This once again has the benefit of improving efficiency.

The authors claim that an optimal solution is achieved if all cells are assigned to only one robot; their sub-regions are all the same size; and their sub-regions form contiguous regions. It should be noted that the connected sub-region implies that the robot's starting position is within its assigned sub-region. The results of the area subdivision achieved by DARP can be seen in Figure 2.6, which is a graphic taken directly from their paper.

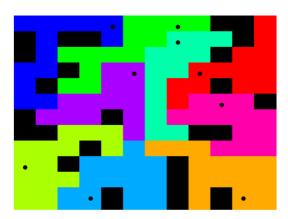


Figure 2.6: Figure showing the area division achieved on a grid with static obstacles, using DARP [6]

Once these regions are computed, any grid-based individual area CPP technique can be applied to compute coverage paths within the sub-regions. If the individual area path planners are complete, it would then mean the multi-robot path plan is complete as well.

These authors in particular decided to implement Spanning Tree Coverage (STC), as described in Section 2.3.4. This made the algorithm more comparable to MSTC and Multi-Robot Forest Coverage (MFC). These are the

algorithms it sought to improve upon. They are also described respectively in Section 2.4.4 and Section 2.5.1.

In the paper, a comparison is done between the algorithms to demonstrate which performs best in the sense of creating paths of equal lengths for each robot. DARP was shown to out perform both other algorithms, with at most a discrepancy of four cells between the longest and shortest paths.

Several other authors have made use of this algorithm to date. One paper uses DARP and STC, but also adds Ant Colony Optimization to optimize the solution even more [41]. They use this to reduce the number of turns, thereby reducing overall energy consumed during flight.

Another paper takes a three-dimensional approach. Here, they are essentially addressing the unreachable region problem by allowing for vertical manoeuvres to avoid obstacles. Generally, their algorithm operates the same as the original DARP algorithm, but adds a second phase where unconnected regions are handled using three-dimensional manoeuvres. [42]

2.5 Non-Distributed Offline MCPP

This section covers methods that cannot be classified as distributed because the UAVs would likely cross each others paths. The area is not divided into sub-regions as part of the planning process. These are not the type of methods that will be applied in this research paper and is therefore covered in less detail than the distributed case. The different subsections cover different techniques, as with previous sections. Interesting to note is that these methods generally build on single robot coverage techniques like those mentioned in Section 2.3.

2.5.1 MCPP Using MFC

MFC is a method that was developed by the authors in [43] to improve upon the MSTC method mentioned in Section 2.4.4. Their intent was to construct a tree with the consideration that it will be divided afterwards, unlike what MSTC does. It allows for robot path overlap, which means there is redundant coverage and collision avoidance would need to be considered. However it can handle unique scenarios, where backtracking is unavoidable, quite well.

Their implementation is based on an algorithm presented in [44]. This is an approximation algorithm and they specifically looked at the rooted tree cover scenario. The roots represent the robot initial positions and then a tree is generated for each robot, using the objective to minimize the weight of the maximum weight tree. These trees are each circumnavigated by their robot (root) to cover the area.

Based on the simulations they ran with MSTC and MFC, they found that MFC generated closer to optimum results and generally achieved coverage in a shorter amount of time. Because there is path overlap in certain scenarios,

MFC is not a truly distributed method. Results generated by this algorithm can resemble a distributed algorithm quite closely, depending on the environment.

2.5.2 MCPP Using Artificial Intelligence

Artificial Intelligence based methods have already been mentioned in Section 2.3.5. In this same paper, the application was extended to the multiple robot scenario [26]. They investigate the distributed case, however they don't present their method for dividing the environment into subregions. They also investigate the free formation case for the two and three UAV scenario, and this is of more interest for the purpose of this literature review.

Free formation means that the paths for multiple UAVs are planned in tandem. This means that their paths will potentially cross, implying that collision avoidance would need consideration. Distributed methods explicitly divide an area into sub-regions to be searched by individual UAVs. They will never cross each other's paths, and collision avoidance is not a consideration. This paper does not address collision avoidance in the free formation case. It simply allows the paths to cross.

Three of the methods mentioned in Section 2.3.5 are tested for distributed and free formation flying. PSO was not considered because it performed poorly in the single robot case. In general they found that the La Palma attraction method produces the shortest paths. The ANFIS method comes in close second, and the fuzzy logic approach produces significantly longer paths. Therefore, if coverage time minimisation is important, then the attraction method performs the best.

It should be mentioned that they implemented an occupancy grid, which they generate once. This encourages the algorithms to visit certain regions of the map first. Their performance in this regard may influence one's decision to choose one algorithm over another. This will be discussed further in 2.7.2, as it becomes more relevant in its particular application to SAR.

2.5.3 MCPP Using Linear Programming

Linear programming can be used to optimize linear problems with a number of variables, by trying to minimise or maximise some cost. They are also usually subject to several constraints. This methodology has been adapted to an application with multiple UAVs for CPP. [45]

The authors of this paper designed their optimization problem with the main objective of minimising coverage time. Their algorithm does this by minimising the length of the longest UAV flight path.

One contribution they provide is the consideration of setup time. By their definition, setup time refers to the time taken by an operator to prepare a UAV for flight. They specifically consider scenarios where setup times can accumulate due to there being less operators than UAVs, which leads to an accumulation of setup time for some UAVs.

They apply several constraints to the problem. They limit the flight times of UAVs based on battery power, set a constraint so that every node can be visited by only one UAV and limit the paths to closed-loop paths so they will always end their paths where they started.

To ensure complete coverage they develop a constraint that ensures all nodes in one row are visited by one UAV. They also have two optional constraints to avoid diagonal lines that cross the environment. Obstacles are not considered in their implementation nor are UAV collisions. In some cases, their implementations resemble the results of a distributed case, but in some cases the algorithm still causes paths to cross.

2.6 Online MCPP

Online path planning generally refers to scenarios where a plan is generated while information about the environment is still being collected. This is often applicable in highly dynamic environments, where obstacle positions are difficult (or costly) to predict a priori. This type of planning will not be used in this project, as the environment is mapped out a priori and is not considered to by dynamic. Therefore, online planning will only be discussed briefly.

Some of the single robot coverage algorithms of Section 2.3 have online versions. One example uses boustrophedon-A*, where the boustrophedon regions of an environment are constructed incrementally and A* is used to move from one region to the next for coverage[36]. STC can also be done online, by growing the tree incrementally [39].

Sampling-based methods are well suited to online approaches. Single query approaches like RRT avoid explicit representation of the environment and can therefore be used in dynamic environments more easily [14].

Often algorithms also use a hybrid of online and offline, where some information about the environment is known a priori, but data is still collected to update certain aspects of the environment incrementally. Often, because of the online element in the algorithm, overall it is still considered as an online approach.

The paths of the UAVs are generally created dynamically for online path planning, and because all the environment information cannot be known a priori, it is not possible to guarantee complete coverage.

When it comes to online CPP with multiple robots, there are a few examples. One such example is a plan for multiple cleaning robots [46]. This application implements a neural network to plan paths for multiple robots in a dynamic environment. No learning procedures were executed for this algorithm.

The robots treat each other as dynamic obstacles within an environment and are at all times aware of the other robot positions relative to themselves. The goal is also to minimise rotations and avoid collisions with other obstacles and robots in the environment, while also covering the whole area.

In their paper they show that the algorithm works effectively in a warehouse environment with ground vehicles, and gives real-time performance. The robots cover the entire area while avoiding collisions with each other and obstacles, and without ever crossing paths or backtracking.

An online application with multiple UAVs, intended for Search and Rescue operations, was also developed. This implementation is discussed in detail in Section 2.7.3 [47]. Their algorithm iteratively updates an occupancy grid of the environment. This represents the likelihood of the target being in any given grid cell, based on the information collected.

This information is used by each UAV to choose its next action using a steepest gradient method. This approach can arguable be considered to favour target finding over achieving coverage, but the result is similar to that of a coverage algorithm.

Section 2.7.5 describes another online algorithm. Here, GA are used to optimize coverage and communication with multiple UAV in a SAR operation. Connectivity to the ground station can be prioritised to ensure new information about the target can reach the ground station efficiently. This information can be used for dynamic replanning of UAV paths. [48]

2.7 UAVs and Search and Rescue

This section covers several applications where UAVs were used in SAR scenarios. These examples are not limited to the coverage scenario, and are also not limited to applications with multiple UAVs. Both online and offline approaches are also mentioned. The headings in this section are designed to show the unique attributes of each implementation, which are emphasised by the respective authors in their work and add to the value of their work.

2.7.1 Complete Implementation by DroneSAR

DroneSAR is an Irish company that developed a system to assist Search and Rescue with a DJI quad-copters. The created a user-friendly application, where one can mark out an area to be searched and it will plan its own coverage path of the area. Their algorithm uses simple back and forth manoeuvres or manual user input of waypoints to plot a course. On-board video footage (visual or thermal) is sent to the ground station in real time. This allows the team on the ground to react quickly when a target is found. [1]

Their goal was to find a target in a Search and Rescue situation faster, and reduce risk to SAR teams on the ground. Based on tests with Search and Rescue teams, they found that the time taken to find a victim in one square kilometre, with five people searching, is roughly two hours. Their system can find the target in under 20 minutes. [1]

Currently it is the job of the pilot to review footage as the drone is flying and locate a target. The drone then sends GPS coordinates to the team on the ground so they can get to the person in need. In future, they do intend to add automatic human detection algorithms using AI. [49]

2.7.2 Artificial Intelligence Based Approaches with Multiple UAVs

This method has been covered in Section 2.3.5 for its application in the single robot case. It has also been addressed for multiple robots in Section 2.5.2. It should be noted that this paper was specifically developed to investigate these methods for Search and Rescue applications [26].

They developed an risk/occupancy grid for this purpose. Within the environment grid, the generate a risk/occupancy value (P) for each cell. The term is generated by using three different contributions.

The first of these contributions is the terrain factor $(P_{Terrain})$. This is calculated by assessing the likelihood that someone would stay within an area or enter an area and the level of danger they would be in within those areas. This factor has the biggest contribution to the final P-value. There is also an emergency factor $(P_{emergency})$ which represents emergency situations such as fires within an area. Lastly, there is a historical factor $(P_{historical})$ that assesses the likelihood of a historical event occurring again. This is a binary variably.

Using the P-values for all the cells, an risk/occupancy grid is produced that causes the algorithms to favour visiting certain cells first. This grid is not updated recursively. It is only calculated once. In Section 2.5.2, three methods are discussed and it is mentioned that the La Palma attraction approach produces the shortest times.

In a search and rescue operation, short distances are favourable because it reduces time to complete coverage. This means that a target will likely be found faster. However, this paper also has a performance measured called weight. This evaluates the algorithms' abilities to visit high P-value cells first. These are cells that have a high likelihood of containing the target or are high risk zones for the target to be in.

The fuzzy logic approach was found to have the lowest weights of the three methods. However, this is by such a small margin that in the end the ANFIS approach seems to give the best overall performance. It has much shorter distances than the fuzzy logic approach and lower weights than the attraction approach. Therefore, the authors concluded that this approach works best for SAR in both the distributed and free formation case.

2.7.3 Online Approach with Multiple UAVs and Changing Altitudes

Several works by the same group of authors were presented regarding the use of UAVs for Search and Rescue in the years 2009 and 2010. The authors published their preliminary work regarding coordinated search operations with multiple UAVs [50].

Their setup uses quad rotors searching for a single target in a two dimensional environment. They utilise a downward facing camera as the sensing device for target detection and onboard GPS for localisation. The environment is divided into a grid and each cell is assigned a probability. This probabilistic map represents a likelihood of the target lying within each cell and forms what is called an *occupancy grid*.

Updating the occupancy grid is done using a recursive Bayesian technique. The assumption of a stationary target means that all the cells are updated for every observation. The occupancy grids are calculated locally on each UAV and are only communicated to others when in communication range. This is referred to as a decoupled approach.

Deciding on a next cell to visit is done by applying a steepest gradient method to the occupancy grid. Their simulation results show that using multiple UAVs, that share information, significantly decreases the time to find the target.

In a separate paper, also by theses authors, they build on their model by including the ability to have multiple observations for one cell and account for changing altitudes of UAVs [51]. Another paper addresses the actual target detection algorithm [52].

For target detection, they once again use a Bayesian estimator and evaluate the probability of target detection at changing altitudes using video data. They found that the sampling rate should be chosen according to the application. For search and rescue it should be chosen so as to minimise false negatives.

Ultimately they conclude that changing altitudes can speed up the search process. They went on to test this strategy online with three different approaches, specifically for Search and Rescue applications. The approaches were designed to deal with information sharing limitations, collision avoidance and uncertainties in the sensor data. The approach that gave the fastest target detection was the Partially Observable Markov Decision Process. [47]

2.7.4 Online Approach with One UAV and Human Detection Algorithm

Sampling-based methods are good for dynamic applications. Path planning algorithms such as PRM and RRT are well suited to online, or partially online applications. These two methods were incorporated into a single path planner

as part of a navigation framework by the authors of [33]. They also implemented dynamic replanning to account for unforeseen changes in the system.

This can be seen as an essentially online planning algorithm because it is reactive within the environment, which is not assumed to be known in full a priori. This can be regarded as a point-to-point path planner. The navigation is extended to CPP in a later paper intended to be used for SAR [34]. They used their sampling-based navigation to execute back and forth motions over an area with an unmanned helicopter. The back and forth manoeuvres can be seen as a series of point-to-point navigations.

In this paper, the main focus was however, human body detection. They collect video using a UAV with both visual and thermal cameras on-board. The footage is analysed online using an algorithm for human detection. The lower resolution thermal imagery is used to find locations of potential humans, and then higher resolution visual data is then analysed to confirm.

According to their results, the algorithm detects humans at a rate of 25Hz. It occasionally produces false positives, but this is generally preferred over failed detections. A failure to detect a target in Search and Rescue would be catastrophic.

2.7.5 Multiple UAV Approach with Quality of Service Requirements

The focus of the work by these authors is communication. A lot of emphasis was placed on getting information back to the ground station timeously. They developed a Multi-Objective Path Planning (MOPP) algorithm that can be tuned to favour connectivity, coverage or both in varying degrees. [48]

Coverage is important for target detection, and this is the initial goal of their algorithm. However, once a target is located, good connectivity is important to get relevant information back to the ground station as fast as possible.

Connectivity, according to the authors, is broken down into two tasks. The first task involves transmitting the location of a target back to the ground station once located. The second task is continuous monitoring of the target via a good Quality of Service (QoS) link. This sends real-time updates on the target to the ground crew.

A Genetic Algorithm (GA) is used to optimize the overall mission time, which includes searching the area for the target, sending the target location back to the ground crew, and establishing a good link to the ground station for continuous updates on the target. The paths found by this algorithm can be updated dynamically as new information about the target is received.

This method can be viewed as decentralized technique, because the assumption is that the UAVs are not always within communication range of one another. The connectivity phase of their algorithm is used to relay information

between UAVs back to the ground station, which generally requires planning paths to reconfigure them for optimal connectivity. If, once the target it located, the UAV that found the target is within range of the ground station, the connectivity phase is not required.

It is interesting to see the value of incorporating connectivity as a mission goal. Their algorithms were shown to have faster mission completion times than similar algorithms that use connectivity as a constraint instead of a goal. Their results also show that favouring connectivity gives better results for a small group of UAVs and favouring coverage gives better results for a larger group.

Conceptualization and Modelling

3.1 The SAR Problem

Aerial support in a SAR operation can be very useful. Historically, manned aircraft like helicopters have been used to do so. However, these manned aircraft have limited flying times and they flight paths are not always optimal for detecting a target in a SAR situation.

UAVs bring an exciting opportunity to have vehicles supporting an operation with autonomous flight paths. Search paths can be optimized to reduce time to find a target. Moreover, no-one is required to fly the vehicle, not only reducing the danger to a potential pilot, but also allowing the manpower to be focused on target finding. A combination of manned an unmanned vehicles may also be beneficial, where the unmanned vehicles are used to locate a target and the manned vehicles are used to retrieve a target.

DroneSAR, which is mentioned in Section 2.7.1, proved that the use of UAVs can significantly speed up a SAR operation and assist in target finding. They managed to find a target in a one square kilometre area within 20 minutes when assisting with one autonomous UAV. In contrast, a ground team of five people took about two hours to achieve the same goal without assistance [1].

It is assumed that using multiple UAVs to assist a SAR operation would improve the time to find a target even more. If they all search in tandem, larger areas can be searched in shorter amounts of time. With the starting point of a multiple UAV approach to assist a rescue operation in finding a target within an environment, one can formulate a basic SAR problem.

3.2 Search Area

Search and Rescue (SAR) is generally classified according to the terrain, seen as this would determine what type equipment, personnel and vehicles may be required. In general, SAR is divided into ground, urban, mountain, cave, combat or maritime rescue [53].

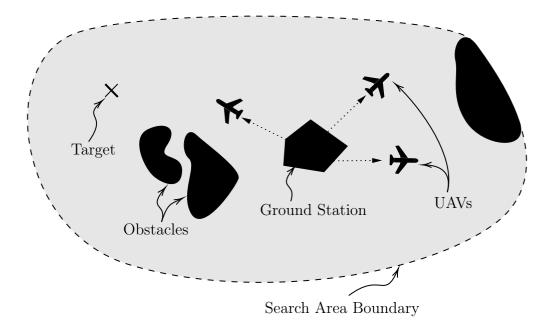


Figure 3.1: Diagram showing the general components of a Search and Rescue problem with multiple UAVs.

Ground or lowland SAR refers to any mission carried out on land. The rescue team generally consists of people on foot. This can include plains, dense forests and other wilderness regions. Urban rescue is often preceded by a disaster that causes an urban area to become treacherous. An earthquake or explosion may cause building collapses that may require this type of rescue.

Mountain and cave rescue are often grouped together. However, aerial support in the case of cave rescues is not very useful, and so it is separated out for the purpose of this paper. Mountain rescue generally refers to situations where people become lost or trapped in mountainous regions. This often includes terrain that is difficult to traverse, such as shear cliff faces.

Combat rescue refers to any rescue carried out in a war-like context. This type of rescue will not be addressed in detail in this paper, seen as the circumstances of a battlefield are volatile and unpredictable compared to the other scenarios, and would require unique consideration.

Lastly, maritime search and rescue concerns rescue within the context of some body of water. This could include people who are victims of a sunken boat or perhaps a crashed aircraft. People who get swept up from the coast by rip currents would also be in this category.

The extent of assistance that automated UAVs can provide would depend on the type of rescue. In wide open plains or oceans with few obstructions, they would have a clear view of the search area from any visual or thermal camera. In densely forested areas, visibility may be limited and aerial support would be unhelpful. In a mountainous region where the altitudes are too high or the temperatures are too low for a UAV to sustain flight they can also not be used.

Unfavourable weather conditions would also have a large impact. High wind speeds could make it impossible to fly, and heavy rains or fog may make visibility very low. Moreover, at night UAVs may have to rely on thermal images, due to lack of visibility with a conventional camera.

In general UAVs suffer from similar limitations that manned aircraft would, and it is assumed that they would be used with the same discretion. One advantage is that if a UAV flies in dangerous conditions, no pilot is placed in danger and the worst case scenario involves a crashed UAV.

If an area can benefit from an aerial search using UAVs, it is assumed they would be expected to assist the search of a bounded geographical region.

The environment wherein the UAVs are expected to assist the search operation is a bounded region. Every point within the environment map should be reachable from any other point in the map.

Obstacles in the environment could represent any region wherein the UAVs must not fly. This could be a no-fly zone or a physical obstruction at the UAV's altitude. A section wherein it would not be beneficial to use a UAV to search for a target, such as a densely forested region, can be seen as an obstruction. A mountainous region where the altitudes are to high to fly a UAV can be an obstruction as well, or perhaps a region of bad weather conditions.

It is the responsibility of the search team to mark out these obstructions and no-fly areas so they can be excluded from the search. A dynamic environment with moving obstacles is not modelled. It is reasonable to assume that UAVs fly at a high enough altitude that no dynamic obstacles need to be modelled. The entire environment can be known prior to UAV flight, and all obstacles within it are static.

3.3 Environment Model

3.4 UAV Model

3.5 Collision Model

3.6 Target Model

In the context of SAR, a target is generally a victim that requires rescue. This may be anyone that is in some form of peril within a terrain, that requires assistance.

The target is assumed to be static within the environment. This is a valid assumption in the event that someone is trapped in difficult terrain or is injured and cannot move, which are often the case in a rescue operation.

Victims are also often advised to stay put when awaiting a search team, and it is therefore assumed that in most scenarios they would.

There may be some situations wherein the target is in motion, but is lost within some terrain. However, accounting for a target in motion requires predicting their motion, or using data to estimate target trajectory as the search progresses. This is often very intuitive and may be best if left to an experienced conventional rescue team.

An approach where the target is assumed to be static may still achieve success even though the target is dynamic, which means that it could still assist in a rescue operation with a dynamic target. For example, if a sweep of the environment is used, and does not initially locate a dynamic target, the sweep can simply be performed multiple times, but this is quite sub-optimal.

3.7 Target Detection

For the purpose of this paper it is assumed that the UAVs have on-board cameras. These could be thermal or visual cameras, or both. Historically, these have been used in tandem for target detection from a UAV. Section ?? discusses an implementation where a thermal camera is used to find potential victims and a visual camera is used to verify [].

In general, the consensus for SAR is that a false positive detection is acceptable, but a failed detection is not []. This is assumed to be the bias of the algorithm that will be used, and it is therefore it is assumed that a target will always be detected when in view.

Target detection can be online or offline. The processing can be done on-board the UAV, but this means that the UAV may require more processing power and as a result have heavier, more expensive equipment on-board. It is therefore favourable to have this processing done in post at a ground station. This requires a stable communication link. Real-time data transfer would be ideal.

Data delays are common, but it is assumed that these delays are negligible and would not noticeably affect the time to find a target. Therefore, the assumption is that real-time data transfer is achieved. Communication failures can also negatively influence the performance of UAVs in assisting SAR. However, it is assumed that the UAVs would store enough of the data captured on-board to ensure that it reaches the ground station once communication is re-established.

This makes it favourable that the UAVs can follow some planned path without contact with a ground station. It should either have a pre-planned path that it can navigate or some online mechanism to plan its own path during flight. A pre-planned path has the advantage of ensuring very little on-board processing is required, whereas the online approach may be more reactive ina

changing environment. It is intuitive to assume that an on-board GPS would help the UAV navigate along its path.

A manned aircraft does not rely on communication links to the same extent and can immediately execute a rescue if a target is found. However, if a target is not reachable via repelling, they would rely on communication with a ground team, just as a UAV would.

Generally manned vehicles rely on people on-board to spot the target, while the pilot flies. For UAVs, the ground team would observe transmitted video footage in order to locate a target, whilst safely on the ground. Moreover, they could use target detection algorithms that process the images to detect targets and provide additional assistance. UAVs that have a carrying capacity can also be used to deliver essential supplies to a victim as soon as they are located. Flying close to the ground or surface of water is a much less risky manoeuvre for an unmanned vehicle.

Cameras can be placed on a gimble and it is therefore assumed that the camera angle can be adjusted to always point exactly downwards toward the ground or ocean.

In summary, it is assumed that the target is static and will be detected when within the view of some visual or thermal camera on-board the UAV. It is important to clarify what it means to be within view. For the case of an on-board camera, this means the victim or target is detected the moment it enters the FOV of the camera.

Chapter 4
System Overview

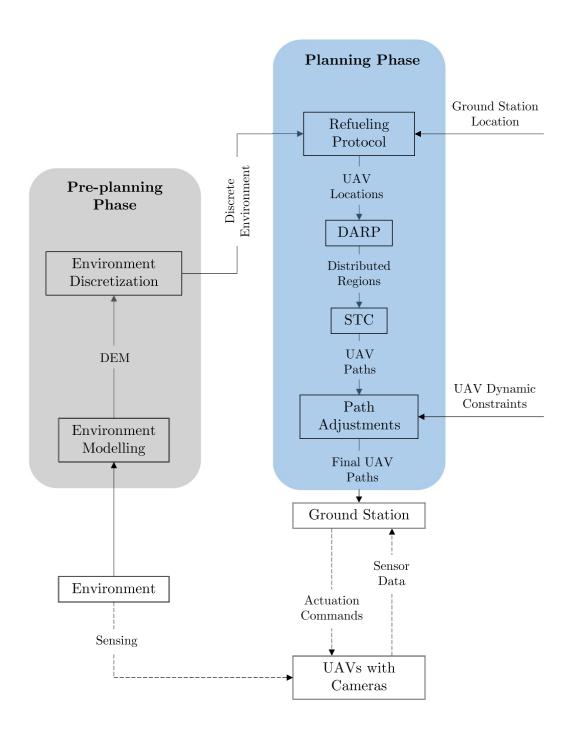


Figure 4.1: Diagram showing an overview of the multi-robot SAR system.

Environment Representation

This Chapter describes the thought process behind the environment representation. Section 5.1 gives relevant background information and context for the problem, and Section 5.2 describes how this information is used to formulate a discrete environment representation. Lastly, Section 5.3 shows a few practical scenarios using this strategy with different on-board cameras.

5.1 Background

5.1.1 Assumptions

The goal of this paper is to develop a coverage path planning algorithm applicable in a Search and Rescue (SAR) situation, using Unmanned Aerial Vehicles (UAVs). To achieve success in such an application, the first step would be to find a way to represent the environment. Essentially, the context in which the UAVs exist needs to be described in some way for them to navigate it successfully.

The starting assumption is that an offline approach is sufficient. This implies that there is always enough information known about the environment to represent it fully prior to the execution of the path planning algorithm. At the altitude that a UAV flies, it is reasonable to assume that any obstructions, for example power lines or mountains, can be mapped out a priori. Moreover, SAR operations often have fixed geographical bounds in which to search.

Furthermore, it is also assumed that there are no transients in the environment, such as a moving target or dynamic obstacles. Search and rescue operations will rarely have moving elements at the altitude of the UAVs. They are more likely to have fixed no fly zones and static obstacles.

Because they are airborne vehicles, UAVs can execute three dimensional movements, which implies the necessity for a three dimensional representation of the environment. In this paper however, it is assumed that altitude changes are not necessary to search an area. Assuming that there is some form of

camera on-board the UAV, a constant altitude will be an advantage. It means that the Ground Sampling Distance (GSD) will remain roughly constant, which is a widely accepted measure of camera accuracy. Assuming a camera is on a UAV pointing down towards the ground, GSD is the distance on the ground as represented by the width of one pixel in an image [54].

Without altitude changes, UAV motions can be represented in two-dimensional space, which greatly simplifies the problem. For offline coverage path planning, it is important to have some demarcated two-dimensional region that requires coverage. The identified region, or environment, can be represented in either a discrete or continuous manner.

In the context of SAR, complete coverage is very important. It will ensure that every possible point in the environment map is covered. In this scenario, it implies that the camera will have viewed all points on the map. Achieving completeness is by no means trivial, but is more achievable in complex environments when using a discrete approach.

5.1.2 Discretisation Using Cameras

Discretising the environment can be done in a few different ways. Assuming a generic UAV with some thermal or visual camera on-board, one has a few options. One can discretise the area based on the UAV size, but a more common practice is to base it off the tool size. In this case, that would be the Field of View (FOV) of the on-board camera. This also makes the process of complete coverage easier, because if the camera is guaranteed to see the entirety of each discrete cell, it is a complete algorithm so long as each cell is visited.

Therefore, to discretise the environment, the FOV needs to be calculated. The camera specifications and UAV altitude will be the determining factors to calculate the FOV. The type of camera and the flight altitude are design decisions and will depend on the GSD necessary to realistically be able to locate the target in a SAR operation.

The diagram in Figure 5.1 shows all the relevant variables needed to calculate the Field of View along one dimension (FOV_x) . A similar diagram can be used to calculate the Field of View along the other dimension (FOV_y) . The only difference would be the sensor size variable, which changes from the sensor width (w_{len}) to the sensor height (h_{len}) . The other variables include the focal length of the camera (f), the height of the lens above ground (H) and the camera's angle of view (AOV). Lastly, there is the variable ϕ which is an angle created due to the sensor being slightly smaller than the diameter of the cone of light projected onto it.

The resulting Field of View will be a rectangle of the same aspect ratio of the camera sensor, provided the camera is pointing directly down and the ground is level. For this application, it is assumed the camera is always pointing downwards. This can be accomplished when the UAV banks by placing the

camera on a gimble. The assumption that the ground is level is not entirely reasonable, for example, in a mountainous region. This can be addressed by adding overlap between images to add some redundant coverage, which will be added in Chapter 9. Doing a topographical inspection is beyond the scope of this project and will not be addressed in more detail. The calculations

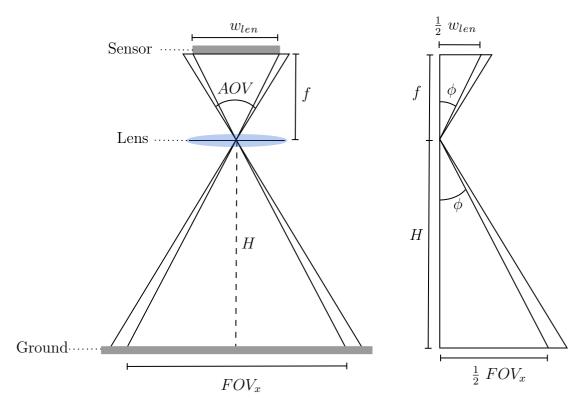


Figure 5.1: Diagram showing relevant variables concerned with calculating the Field of View for a camera.

required to do a discretisation based on the camera FOV will now be discussed. The first equation that is required is the calculation of the angle ϕ which makes use of the small triangle:

$$\tan \phi = \frac{\frac{1}{2}w_{len}}{f}$$

$$\phi = \tan^{-1}\left(\frac{w_{len}}{2f}\right)$$
(5.1)

Now that ϕ is known, the bigger triangle is used to calculate FOV_x :

$$\frac{FOV_x}{2} = H \times \tan \phi$$

$$FOV_x = 2H \times \tan \left(\tan^{-1}\left(\frac{w_{len}}{2f}\right)\right)$$

$$FOV_x = H \times \frac{w_{len}}{f}$$
(5.2)

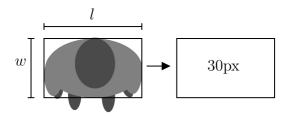


Figure 5.2: Figure showing the rectangular approximation for a human viewed from above for calculation of the GSD

Similarly, FOV_y can be calculated using the other sensor size dimension h_{len} :

$$FOV_y = H \times \frac{h_{len}}{f} \tag{5.3}$$

Both FOV_x and FOV_y will have the same units as H, which is metres. The resolution of the camera is the number of pixels along the image width (px_w) multiplied with the number of pixels along its height (px_h) . These can be used to calculate the GSD by dividing either FOV by the pixel value associated with that dimension:

$$GSD = \frac{100FOV_x}{px_w}$$

$$GSD = \frac{100H \times w_{len}}{f \times px_w}$$
(5.4)

5.2 Discretisation Methodology

If the size of the environment discretisation is set equal to the rectangular camera field of view, and the type of camera used is known, then a desired GSD can be used to decide on an appropriate flying height. Choosing a GSD would depend on the application, seen as it represents the level of detail that can potentially be detected in an image.

Taking the conservative approach, the assumption is that one is looking for a human being standing upright, viewed from above. To get a good estimate of the space occupied by a human in this orientation, one needs anthropometric data. A survey was done in Europe for people between the ages of 18 and 60 [55]. Among other measurements, they measured chest depth (w) and elbowto-elbow length (l). These dimensions represent those of an upright human from above and can be seen in Figure 5.2.

To calculate GSD, a minimum number of pixels needed to make a human visible must be chosen. There is an article wherein they developed an image processing algorithm for human detection in a Search and Rescue scenario [34]. In this article they made the decision to put a 30 pixel requirement on human detection. Figure 5.2 shows the rectangular approximation for a human that the 30 pixels should represent.

Using the lower percentile measurements of 170mm chest depth and 390mm elbow-to-elbow length along with the 30 pixel requirement, one gets a GSD of roughly 4.7cm/px. For a child, these values could be even smaller. Therefore, 4cm/px will be used to calculate an appropriate flying height, which is a reasonable value considering that most aerial surveys operate at a GSD of less than 5cm/px [54].

To show how the GSD requirement can be used, a series of cameras are chosen to demonstrate how the discretisation could be determined using the camera specifications and the maximum allowable GSD. Before showing the calculations applied to a specific scenario, they are shown in a more general sense. Firstly, one calculates the maximum allowable height:

$$H_{max} = \frac{GSD_{max} \times f \times px_w}{100w_{len}} \tag{5.5}$$

It is desirable to fly as high as possible, because this decreases the coverage time by increasing the camera FOV. Therefore, the assumption is that the height chosen would be the maximum, provided this is within the capabilities of the UAV. Using Equations 5.2 and 5.3, one can then calculate the camera FOV at the height chosen.

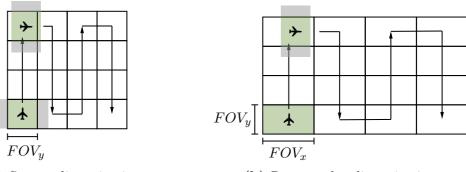
One can now discretise the environment based on this field of view. One option is to do a square discretisation. One would make the squares have sides equal to the smaller FOV dimension, which is FOV_y . An example of this discretisation can be seen in Figure 5.3a. This technique will always have cross-track overlap. This means that there will be a percentage of redundant coverage. If a camera has a square FOV then the overlap goes away, but this is uncommon. They tend to have a 4:3 or 3:2 aspect ratio. There is the option of making the squares have side lengths equal to FOV_x , but then complete coverage would not be achievable by simply following the square centroids. This adds a layer of complexity unnecessarily. Setting the square side length equal to l, one can calculate the cross-track overlap using the following equation:

Provided,
$$l \le FOV_y$$

Then, $\%Overlap = \frac{l(FOV_x - l)}{l^2} \times 100$ (5.6)

Figure 5.3b shows an alternative technique where the environment is divided into rectangles. With this there is no overlap when moving in the y direction, but there is when moving in the x direction. An environment would be covered faster with this technique provided the y direction is favoured during flight, but no overlap in the y direction is risky. In this scenario, the cross-track overlap over the span of one rectangle when moving in the y direction is zero and for the x direction it can be calculated as follows:

$$\%Overlap = \frac{FOV_x(FOV_x - FOV_y)}{FOV_x - FOV_y} \times 100$$
 (5.7)



- (a) Square discretisation
- (b) Rectangular discretisation

Figure 5.3: Diagrams showing cross track overlap for camera Field of View for different discretisation techniques.

Both techniques assume sharp 90 degree turns are possible. Some UAVs can make sharp turns, such as multi-rotors, but this often requires deceleration to a hover, which significantly slows coverage time by lowering the average velocity during coverage. Chapter 8 covers a proposed solution for a constant speed scenario. This is considerably more favourable in a scenario where a fixed-wing UAV is used instead of a multi-rotor. Fixed-wing craft are desirable for SAR due to there high endurance. They can generally cover larger areas before needing to refuel.

5.3 Implementation with Different Cameras

Divide Areas Algorithm

In this project, the distributed method of multi-robot coverage path planning is implemented. Several different algorithms of this type are discussed in Section 2.4. The algorithm being discussed in this chapter is briefly addressed in Section 2.4.5. Section 6.1 addresses the algorithm in more detail, and Section 6.2.2 discusses the implementation of the algorithm with different distance measures. Section 6.2 then goes on to discuss the implementation of this algorithm in the context of this project.

6.1 Background Theory

Grid-based coverage path planning can be implemented using a number of different methods. The Voronoi partition method of Section 2.4.2 and the Multi-Robot Spanning Tree Coverage method of Section 2.4.4 are good examples. Both of these also fall into the distributed category. The Artificial Intelligence techniques of Section 2.5.2 and the Multi-Robot Forest Coverage method described in Section 2.5.1 are counter examples of the non-distributed variety.

Naturally, achieving the most optimal solution possible would be most desirable. The authors of the divide areas algorithm described in this section, propose a set of requirements for optimal coverage path planning using a grid-based approach [6]. These fundamental conditions, as they call them, are listed below.

- 1. Every cell in the environment, that is not classified as an object, must be covered. This is known as complete coverage.
- 2. Each cell in the environment must only be visited once, and only by one of the robots. This is known as the non-backtracking requirement.
- 3. Each robot should have as close to an equal amount of cells as possible assigned to it for covering. Their sets of cells should be of roughly the same size.

- 4. The sets of cells assigned to each robot should be a connected sub-region. This means that when generating a path to cover the cells within its set, a robot would not need to traverse the sub-region of another robot to reach its own.
- 5. The initial position of each robot should be contained within the set of cells assigned to it. This means that a robot would not need to traverse another robot's sub-region to reach its sub-region for coverage.

The authors developed a methodology to achieve these optimal conditions. They called it the Divide Areas Algorithm for Optimal Multi-Robot Coverage Path Planning (DARP). Their solution seeks to divide a known environment containing static obstacles into contiguous sub-regions. These regions are formed based on the robot starting positions so that each robot starts within one of the regions.

The solution is found in an iterative manner and it converges to where the sub-regions are cohesive and roughly the same size. DARP only divides the environment appropriately between robots. A single robot Coverage Path Planning (CPP) algorithm can then be utilized to achieve complete coverage of each sub-region, which translates to complete coverage of the whole environment.

6.1.1 Algorithm Description

The algorithm works on a two-dimensional environment that is divided into discrete cells. Once the discretisation is complete, the algorithm starts by operating similar to a Voronoi partition. It constructs a matrix for each robot with the same dimension as the environment. The authors refer to these as the Evaluation Matrices (E_i) . They contain the distances from each cell in the environment to the respective robots. Euclidean distance was used as the distance measure.

6.2 Implementation

For this implementation, two alterations were made to the DARP algorithm. The first was a minor change involving an enclosed region checker. The capability was added to detect an enclosed space within the environment and treat it as an obstacle. This change will not be discussed in detail. The second change was adding the ability to use different distance measures, aside from the Euclidean distance measure it was originally implemented with.

This section has three subsections, starting with a section discussing the suitability of DARP for this application. The next section goes into detail regarding the different distance measure implementations, and the final sec-

tion shows some illustrative results where DARP is implemented in different scenarios.

6.2.1 Algorithm Suitability and Limitations

DARP is a grid-based, distributed , offline algorithm. In this project it has been chosen as an appropriate algorithm in the context of SAR for a number of different reasons.

Many existing algorithms make use of a grid-based representation of the environment. In offline approaches, this is convenient to represent the environment. One downside is that if the grid resolution is too low, the representation of the environment becomes less accurate. This is why it is called an approximate approach [15].

The low resolution can be combated by introducing some sensor overlap, or by simply ensuring the resolution is very high. However, higher resolution means more computational power is required, so this should not be done irresponsibly.

Because it is a distributed method, each robot travels only within its allocated sub-region. These regions don't overlap, meaning that the robots will never collide, provided they follow their planned path. Therefore, it removes a layer of complexity that often gets added with multi-robot approaches. So long as the UAVs follow their paths within a reasonable margin of error, they will never collide with one-another.

It is also an offline approach to CPP, so the environment is known prior to the planning phase. The divide areas algorithm and the sub-region coverage algorithm, which is discussed in Chapter 7, are both included in the planning phase.

Online approaches are generally considered appropriate for dynamic environments. This could refer to any amount of objects in motion within the environment that need to be avoided. Often times, the other UAVs in the environment are modeled as dynamic obstacles from the perspective of a single UAV. With a distributed approach, this would be unnecessary, because the UAV paths will never cross one-another.

Sometimes, online approaches are deemed appropriate because inputting the environment into the planner a priori is considered too costly. In the case of SAR, it is reasonable to assume that plotting the environment from a bird's eye view is uncomplicated due to available satellite imagery.

There is generally enough information to map out the aerial environment beforehand, seen as UAVs fly high enough to avoid most obstructions in an environment. Obstacles, in this type of scenario, could be a physical obstruction like power lines or a mountain in a plain. They could also be no-fly zones such as restricted air space or populous regions.

It should be noted that in online approaches, information sharing becomes quite critical. If the UAVs have a large enough communication range they can

follow a centralized approach, where all data is sent to a central command station or UAV, that plans all their paths simultaneously. They could also all operate independently and simply share information when in range, which is a decentralized approach. [25]

For the offline case, approaches can generally be viewed as centralized. Their paths are planned in full beforehand, and the only thing that requires monitoring during flight, is whether they follow this trajectory within an acceptable margin of error to avoid collisions, and achieve good coverage. For SAR it would also be beneficial to receive real-time data that can be used to locate a target.

This project develops the algorithm for CPP in the context of SAR. The trajectory following control system and target detection method will become relevant if this project is extended to a physical implementation in future. Other dynamic elements in the environment, such as birds or other air vehicles, can also be accounted for using some form of collision avoidance. This could mean that the algorithm becomes more of a hybrid approach, depending on how much of the functionality is implemented online.

6.2.2 Distance Measure Comparisons

6.2.3 Implementation with Different Environments

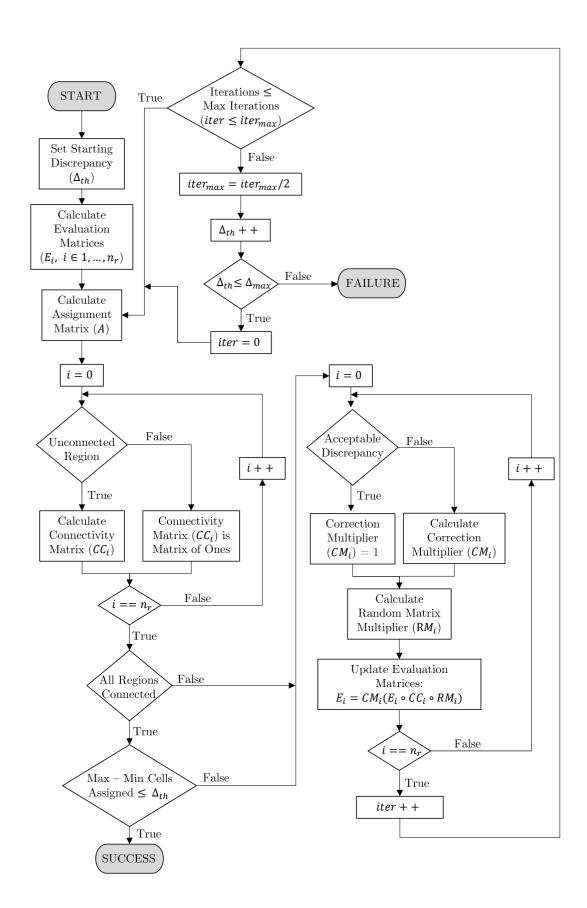


Figure 6.1: Flow diagram representing the logic for DARP.

Subregion Coverage Technique

7.1 Background

7.2 Spanning Tree Generation

7.3 Spanning Tree Circumnavigation

Once the tree has been generated, the circumnavigation is achieved in two phases. Initially, a series of arrows are generated to represent a clockwise motion around the tree. This looks similar to a directed graph, because the edges are essentially assigned direction. However, because these arrows are used to generate a path for tree circumnavigation, there will be two arrows on each edge and the order in which they are used is crucial. In the second phase, these arrows are used to generate the way-points necessary to circumnavigate the tree.

A convention was formed in order to generate the arrows and way-points consistently. Firstly, once the tree is generated, a starting node is chosen. From this node, a walk is done from one node to the next to form arrows. These arrows are generated in such a way that they can be used to represent a clockwise motion around the tree. Keeping this clockwise convention in mind, way-points are generated for each arrow.

The direction an arrow points always represents a forward motion. If the next arrow is pointing in the same direction, it is considered a forward motion. Three other motions are possible, namely left, right and backward motions. It is important to note that, although backtracking is allowed for arrows, this is not the case for the way-points. A representation of how a reference frame would move with the arrows in the event of a right turn is shown in Figure 7.1.

Figure 7.2 shows a grid-based representation of an environment. This square environment is divided into a four-by-four grid of large cells. Each large cell represents four smaller cells wherein the UAV will actually be moving. The

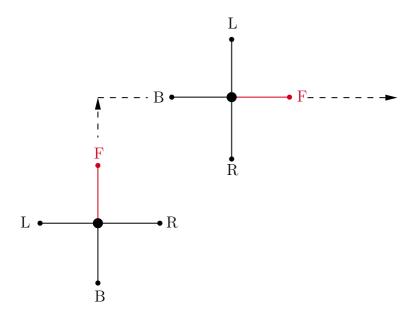


Figure 7.1: Figure showing how the reference frame representing motions would move with a right turn.

dotted lines show the smaller cells. The darker black lines connect the centres of the large cells in a spanning tree. In this case, the edges all have equal weights.

To generate the arrows, the algorithm first chooses a starting node. This node is simply one of the nodes on the tree with only one edge. Of the nodes numbered in Figure 7.2, nodes 0, 10 and 13 would qualify. Assuming node 0 is chosen, the arrows can then be generated as shown in Figure 7.3.

The are following the edges using a clockwise protocol. The orange arrows are generated first, followed by the blue and then the green. When a node has multiple edges, the correct direction is chosen by cycling through the possible motions in the reference frame (Figure 7.1).

The priorities are to choose left, then forward, then right and lastly backwards. Interesting to note is that this is a clockwise choosing of priority which ultimately results in a clockwise circumnavigation. As an example, observe node 7 with the assumption that the previous arrow ran from node 6 to 7. The next arrow is chosen by looking at the edges of node 7.

Node 7 does not have a left edge and so the next arrow will not be in that direction. However, it has a forward edge and so that is chosen as the next arrow. The right and backward edges are not considered because of the existence of the forward edge. The next arrow is thus one going from from node 7 to node 8. The order of the arrows is essential for way-point generation.

Figure 7.4 shows the four different motions and how way-points would be generated for them. The reference frame of the previous arrow is used to establish what motion occurred. For a left turn, one way-point would be added. Correspondingly, a forward motion would mean adding two way-points,

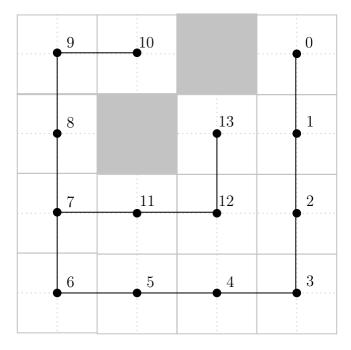


Figure 7.2: Figure showing a spanning tree generated for a four-by-four environment grid

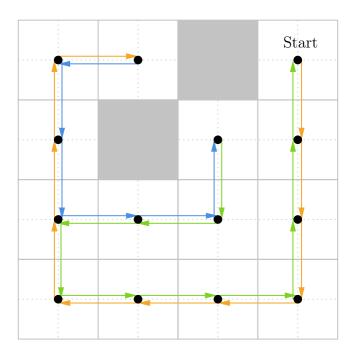


Figure 7.3: Figure showing arrows generated for first phase of spanning tree circumnavigation.

a right turn requires adding three, and a backward motion requires adding four way-points. These four scenarios are shown next to each other in the figure. The reference frame of the previous arrow is shown as well.

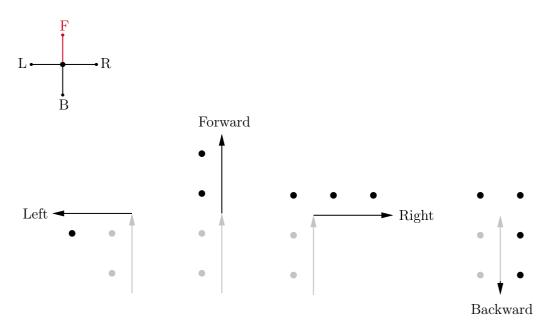


Figure 7.4: Figure showing the four different motions of an arrow in a particular reference frame.

The start node will always be treated as a backward motion, and so the way-points phase will always start with the generation of four way-points. Figure 7.5a shows all the way-points generated from the arrows of corresponding colours. The first four orange way-points are linked to the arrow running from node 0 to node 1. All way-points after that follow the motions as depicted in Figure 7.4.

Figure 7.5b then shows the path generated from these waypoints overlaid on the original spanning tree. This clearly shows the resulting circumnavigation.

The resulting path achieves full coverage of the environment, provided the UAV follows it exactly. The assumption here is that the camera footprint will cover the cell when the UAV enters that cell.

7.4 Spanning Tree Weights

7.5 Spanning Tree Coverage with DARP

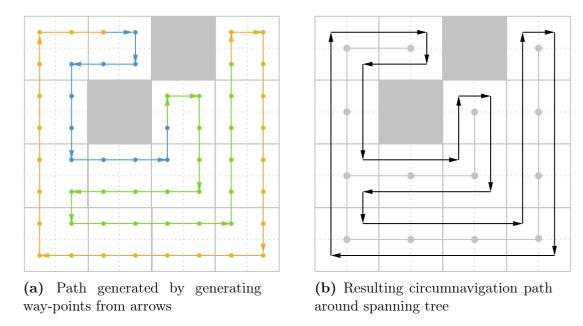


Figure 7.5: Figures showing way-points generated from arrows along with the resulting circumnavigation path around the spanning tree.

Dynamic Constraints of a UAV

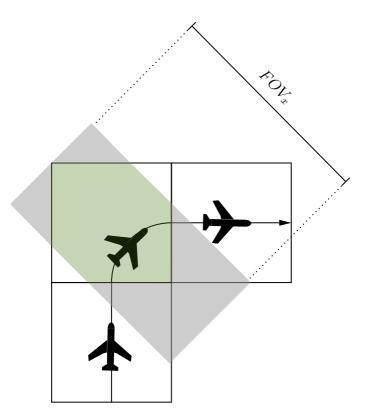


Figure 8.1: Figure showing required FOV to ensure no corner cutting on a square discretisation

$$r_{min} = \frac{v^2}{g \tan(\theta_{max})} \tag{8.1}$$

$$\frac{FOV_x}{2} = 2\sqrt{2}r_{min} - r_{min}$$

$$FOV_x = r_{min}(4\sqrt{2} - 2)$$
(8.2)

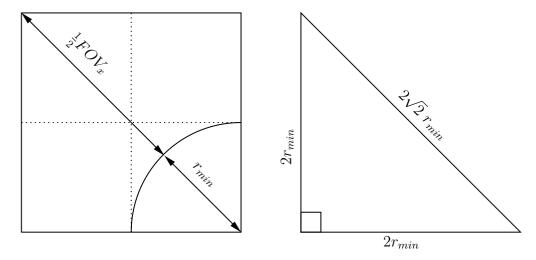


Figure 8.2: Diagram showing the dimensions necessary to calculate FOV on a square discretisation.

$$A.R. = \frac{w_{len}}{h_{len}} \tag{8.3}$$

$$FOV_y = \frac{FOV_x}{A.R.} \tag{8.4}$$

Referring back to Equation 5.2, one can calculate the minimum allowable height for this velocity using the following:

$$H_{min} = \frac{FOV_x \times f}{w_{len}} \tag{8.5}$$

Section 5.2 chooses the maximum allowable GSD of 4cm/px for this application. From this, a maximum allowable height can be calculated using Equation 5.5. Now, any height between H_{min} and H_{max} can be selected. The FOV at that height can then be calculated using Equations 5.2 and 5.3.

Equation 8.2 can then be reformulated to calculate the appropriate size of a square discretisation for this FOV. The radius in the equation is now the maximum allowable radius for this discretisation. This should be equal to or more than the minimum turning radius, which is limited by the velocity.

$$r_{max} = \frac{FOV_x}{4\sqrt{2} - 2}$$

$$l = 2r_{max}$$
(8.6)

Equation ?? can also be reformulated to calculate the minimum required bank angle to turn within this square discretisation at the chosen velocity. It is then the decision of the operator what bank angle to use for the turns. It can be anywhere between this θ_{min} and θ_{max} . The minimum is limited by the square discretisation, which is ultimately limited by the GSD. The maximum is the mechanical limit of the UAV itself.

Refuelling Protocol

9.1 Background

Results

Conclusions and Future Work

Appendices

Appendix A

Discrete Element Method Theory

A.1 Ball elements

A.1.1 Ball mass and inertia parameters

Consider a volume element dV with respect to a static base S of an arbitrary solid body with density ρ . The mass of the body is obtained by integrating over the volume of the body,

$$m = \int_{\text{body}} \rho \, dV \tag{A.1}$$

In figure A.1, a ball with radius R_i and uniform density ρ_i is depicted. The mass of the ball is after integration of equation (A.1)

$$m_i = \frac{4}{3}\pi\rho_i R_i^3. \tag{A.2}$$

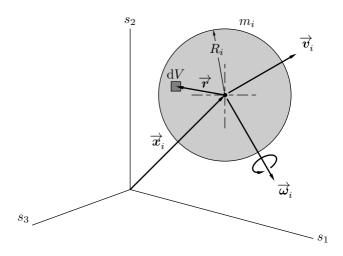


Figure A.1: Ball Element Parameters

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