

# Notes for General Topology by Tom Leinster

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# Chapter 1

## Topological Spaces

### 1.1 Review of Metric Spaces

**Definition 1.1.1.** Let  $X$  be a set. A *metric* on  $X$  is a function  $\rho : X \times X \rightarrow \mathbb{R}_{\geq 0}$ , such that  $\forall x, y, z \in X$ , the following (metric axioms) holds:

M1.  $\rho(x, y) = 0 \iff x = y$  (identity of indiscernibles);

M2.  $\rho(x, y) = \rho(y, x)$  (symmetry).

M3.  $\rho(x, y) + \rho(y, z) \geq \rho(x, z)$  (triangle inequality);

A *metric space* is a set together with a metric on it, or more formally, a pair  $(X, \rho)$  where  $X$  is a set and  $\rho$  is a metric on  $X$ .

**Example 1.1.1.**

(i) The function  $\rho_p : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}_{\geq 0}$  defined by  $\forall p \in \mathbb{R}_{\geq 1}, \forall x, y \in \mathbb{R}^n$ ,

$$\rho_p(x, y) = \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}},$$

is a metric on  $\mathbb{R}^n$ . Clearly it satisfies identity of indiscernibles and symmetry. For triangle inequality, it is suggested by Minkowski inequality.

Given  $x \in \mathbb{R}^3$ ,  $r \in \mathbb{R}_{\geq 0}$ , and

$$B_\rho = \{y \in \mathbb{R}^3 \mid \rho(x, y) \leq r\}.$$

$\forall p, q \in \mathbb{R}_{\geq 1}$ , it is true that,  $\forall x, y \in \mathbb{R}^n$ ,

$$p \leq q \implies \rho_p(x, y) \geq \rho_q(x, y).$$

Thus,  $B_p \subseteq B_q$ .

Geometrically, as  $p = 1$ ,  $B$  is a octahedron in  $\mathbb{R}^3$  with center  $x$  and radius  $r$ ; as  $p = 2$ ,  $B$  is a sphere in  $\mathbb{R}^3$  with center  $x$  and radius  $r$ . It is easy to observe that as  $p \rightarrow \infty$ ,  $B$  tends to the cube in  $\mathbb{R}^3$  with center  $x$  and edge length  $2r$ ; i.e.,

$$\rho_\infty(x, y) = \lim_{p \rightarrow \infty} \rho_p(x, y) = \sup_{i \in \{1, \dots, n\}} |x_i - y_i|.$$

- (ii) Let  $f : (X, \rho) \rightarrow \mathbb{R}^n$  with  $X \subseteq \mathbb{R}^m$  be a continuous map on  $X$ . Let  $x, y \in X$ , then  $\rho' : f[X] \times f[X] \rightarrow \mathbb{R}_{\geq 0}$  defined by

$$\rho'_p(x, y) = \int_0^1 f(\ell(t)) d_p s(t)$$

where

$$\ell(t) = x + t(y - x)$$

and

$$d_p s(t) = \left( \sum_{i=1}^m \left| \frac{dg_i}{dt}(t) \right|^p \right)^{\frac{1}{p}} dt.$$

with  $p \geq 1$  is a metric on  $f[X]$ .

Fix  $x$  and given  $r \in \mathbb{R}_{\geq 0}$ , the set

$$B_p = \{y \in \mathbb{R}^m : \rho'_p(x, y) \leq r\}$$

describes a set “attached” on  $f[X]$  with center  $x$ . If  $p = 2$ ,  $m = 2$  and  $n = 3$ , and  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  is defined by

$$f(\lambda, \phi) = \begin{cases} r \sin \lambda \cos \phi, \\ r \sin \lambda \sin \phi, \\ r \cos \phi, \end{cases}$$

then  $\rho'_2$  here is a *great circle metric* defined by

$$\rho'_2(x, y) = r \arccos(\sin x_\phi \sin y_\phi + \cos x_\phi \cos y_\phi \cos(x_\lambda - y_\lambda)).$$

- (iii) Let  $a, b \in \mathbb{R}$  with  $a \leq b$ , and  $p \in \mathbb{R}_{\geq 1}$ , and  $C[a, b]$  denote the set of continuous function  $[a, b] \rightarrow \mathbb{R}$ .

Then  $d_p$  defined by  $\forall f, g \in C[a, b]$ ,

$$\rho_p(f, g) = \left( \int_a^b |f - g|^p \right)^{\frac{1}{p}}$$

is a metric on  $C[a, b]$ .

Similar to  $\rho_p$  on  $\mathbb{R}^n$ ,

$$B_p = \{g \mid \rho(f, g) \leq r\}$$

defines a set with “center”  $f$  and “radius”  $r \in \mathbb{R}_{\geq 0}$ .

It also implies that, on  $C[a, b]$ ,  $\forall p, q \in \mathbb{R}_{\geq 1}$ ,  $\forall x, y \in \mathbb{R}^n$

$$p \leq q \implies d_p(f, g) \geq d_q(f, g),$$

and, naturally,  $B_p \subseteq B_q$ . This is a straight corollary from the same case of  $d_p$  on  $\mathbb{R}^n$ .

(iv) Let  $A$  be a set. The *Hamming metric*  $\rho$  on a set  $A^n$  is given by  $\forall x, y \in A^n$

$$\rho(x, y) = \# \{i \in \{1, \dots, n\} : x_i \neq y_i\}.$$

An example from Wikipedia. The word “karolin” and “kathrin” can be considered as tuples

$$x = (\text{k, a, r, o, l, i, n}), \quad y = (\text{k, a, t, h, r, i, n}).$$

For all  $i \in \{0, \dots, 6\} \setminus \{0, 1, 4, 6\}$ ,  $x_i \neq y_i$ , and  $\#(\{0, \dots, 6\} \setminus \{0, 1, 4, 6\}) = 3$ , thus

$$\rho(x, y) = 3.$$

(v) Let  $(M, \rho)$  be a metric space (for example,  $\rho = \rho_2$  on  $\mathbb{R}^n$ ), and  $X, Y \in \mathcal{P}(M)$ . The Hausdorff metric  $\rho_H$  on  $\mathcal{P}(M)$  is defined by

$$\rho_H(X, Y) = \max \left\{ \sup_{x \in X} \rho(x, Y), \sup_{y \in Y} \rho(X, y) \right\},$$

where  $\rho(a, B) = \inf_{b \in B} \rho(a, b)$  for all  $B \in \mathcal{P}(M)$  and  $a \in M$ .

This metric can be used to measure how close two figures (as sets of points) are.

**Definition 1.1.2.** Let  $X$  be a metric space, let  $x \in X$ , and  $\varepsilon > 0$ . The *open ball with center  $x$  and radius  $\varepsilon$* , or more briefly the *open  $\varepsilon$ -ball about  $x$*  is the subset

$$B(x, \varepsilon) = \{y \in X : \rho(x, y) < \varepsilon\} \subseteq X.$$

Similarly, the *closed  $\varepsilon$ -ball around  $x$*  is

$$\overline{B}(x, \varepsilon) = \{y \in X : \rho(x, y) \leq \varepsilon\} \subseteq X.$$

**Note 1.1.1.** Clearly, the word “ball” does not mean it should look like a ball. Clearly, for all  $x \in \mathbb{R}^3$ , the ball  $\{y \in \mathbb{R}^3 : \rho_\infty(x, y) < 1\}$  is a cube without its surface.

And it is interesting to think that on  $C[a, b]$  with conditions above,

$$\{g \in C[a, b] : \rho_p(f, g) < 1\}$$

defines a open ball in  $C[a, b]$ .

**Note 1.1.2.** For hamming metric  $\rho$  with conditions above, for  $\varepsilon \in \mathbb{R}_{(0,1)}$ , the ball

$$\{y \in A^n : \rho(x, y) < 1\} = \{x\}.$$

is a singleton.