

# Phylogenomic analysis of an unusual biogeographic disjunction in the cotton tribe (Gossypieae)

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## Abstract

## Author Summary

### 1 Introduction

2 One of the intriguing phenomena that characterizes the cotton tribe, Gossypieae, is the  
3 prevalence of long-distance, trans-oceanic dispersals. The most famous of these occur  
4 within the cotton genus itself (*Gossypium*); however, multiple events are found  
5 throughout the tribe [1–10]. The sister genera *Kokia* and *Gossypoides* both represent a  
6 minimum of one such oceanic dispersal followed by individual regional speciation. Based  
7 on molecular divergence estimates derived from both chloroplast and nuclear genes,  
8 these genera collectively diverged from the cotton genus during the Miocene  
9 approximately 10-15 million years ago (mya; [10,11]), subsequently splitting into  
10 individual genera and achieving widely dispersed, yet very localized ranges.

11 *Kokia* (Malvaceae) is a small Hawaiian endemic genus composed of four species that  
12 were once widespread, major components of Hawaiian forests, yet are now all either  
13 endangered, or recently extinct (*K. lanceolata* Lewton; [12,13]). Few individuals remain  
14 of the two free-living extant species, *K. kauaiensis* (Rock) Degener & Duvel and *K.*  
15 *drynarioides* (Seem.) Lewton, the latter of which is critically endangered and nearly  
16 extinct in the wild, while the third endangered species, *K. cookei* Degener, exists only as  
17 a maintained graft derived from a single individual ([13,14]). The native region of its  
18 sister genus, *Gossypoides*, is located over 15,000 kilometers away in East Africa and  
19 Madagascar. The two species that comprise the genus, *G. kirkii* M. Mast. and *G.*  
20 *brevilanatum* Hoch. (East Africa and Madagascar, respectively), are themselves  
21 reproductively isolated and, with *Kokia*, are cytologically distinct from the remainder of  
22 the cotton tribe in that they appear to have experienced an aneuploid reduction in  
23 chromosome number. Specifically, while most genera in the Gossypieae are based on  
24  $n=13$ , species in both *Kokia* and *Gossypoides* are  $n=12$ , likely representing a  
25 chromosome loss or fusion event. The two species of *Gossypoides* also are  
26 cytogenetically distinct, with an unusually long chromosome pair in *G.*  
27 *brevilanatum* [15,16].

28 Despite the extensive research on the evolution of *Gossypium*, these sister genera  
29 have been grossly understudied, except in serving as phylogenetic outgroups for cotton

30 phylogenetic and genomic research [10, 11]. Genomic resources in both genera are  
 31 minimal, access to plant material is limited, and with the recent exception of a study by  
 32 Sherwood and Morden (2014) on diversity among *Kokia* species, much of our knowledge  
 33 regarding these genera is decades old [10, 17, 18].

34 The history of these genera, however, is intriguing. The current distribution of *Kokia*  
 35 in the Hawaiian Islands and *Gossypioides* in East Africa-Madagascar necessitates at  
 36 least one significant trans-oceanic traversal to a relatively young island chain that began  
 37 to emerge only about 3.4 mya, an age approximately equivalent to the estimated  
 38 divergence between *Kokia drynarioides* and *Gossypioides kirkii* [10] and slightly more  
 39 recent than the basal most divergence in *Gossypium*. Diversity within *Gossypioides* is  
 40 unknown, aside from acquisition of reproductive isolation between its sole two species;  
 41 however, diversity in *Kokia* has been evaluated for the purposes of conservation [13]. A  
 42 remarkable amount of diversity within and among species has been detected,  
 43 particularly given the demographic history of *Kokia*, which includes the original genetic  
 44 bottleneck of the founder, range expansion, and the subsequent bottleneck of habitat  
 45 loss and the introduction of competitive and/or damaging alien species [13].

46 Direct comparisons of these genera are limited. Hutchinson (1943) notes that  
 47 successful grafts can be made between *Kokia drynarioides* and *Gossypioides kirkii*, and  
 48 their shared chromosomal reduction ( $n=12$ ) is unique in the tribe. Estimates using a  
 49 small number of nuclear genes suggest that genic distance between *K. drynarioides* and  
 50 *G. kirkii* are similar to estimates between basally diverged species in *Gossypium*, i.e.,  
 51 approximately 2% versus 3%, although a slight increase in replacement site  
 52 substitutions is observed [11].

53 Here we apply a whole-genome sequencing strategy to understanding the evolution  
 54 and divergence of these two genera, which collectively are the closest relatives of the  
 55 cotton genus *Gossypium*. We present the first draft assembly of *Kokia drynarioides*, and  
 56 compare it to the sequence of *Gossypioides kirkii* (citation of Gk paper). Through  
 57 genome sequence comparisons, we derive a precise estimate of the divergence between  
 58 these two genera, and provide a foundation for a reference sequence to use as a  
 59 phylogenetic outgroup to *Gossypium*.

## 60 **Materials and Methods**

### 61 ***Kokia drynarioides* sequencing and genome assembly**

62 DNA was extracted from mature leaves using the Qiagen Plant DNeasy kit (Qiagen).  
 63 Total genomic DNA was independently sheared via HOW into two average sizes, i.e.,  
 64 350bp and 550bp, for Illumina library construction. A single, independent libraries was  
 65 constructed from each fragment pool using the Illumina PCR-free library construction  
 66 kit (Illumina). The 350 bp library was sequenced on a single lane of Illumina HiSeq2000  
 67 and the larger, 550bp library was sequenced on two MiSeq flowcells (both at IGBB,  
 68 Mississippi State University).

69 The data were trimmed and filtered with Trimmomatic v0.32 [19] with the following  
 70 options: (1) sequence adapter removal, (2) removal of leading and/or trailing bases  
 71 when the quality score (Q) <28, (3) removal of bases after average Q <28 (8 nt window)  
 72 or single base quality <10, and (4) removal of reads <85 nt.

73 RNA was extracted . . . . MEGAHIT commit:02102e1 [20] was used to assemble the  
 74 RNA data into transcripts.

75 The trimmed DNA data and RNA assembly were assembled via ABySS v2.0.1 [21],  
 76 using every 5th kmer value from 65 through 200. The assembly with the highest  
 77 E-size [22] was retained for improvement and analysis. Each retained assembly was  
 78 further scaffolded with ABySS using the MEGAHIT-derived transcripts. ABySS Sealer

79 v2.0.1 [23] was used to fill gaps in the scaffolded assembly using every 10th kmer  
80 starting at 100 and decreasing to 30. Pilon v1.22 [24] polished the resulting gap-filled  
81 assembly using all trimmed DNA data. (Let's get this all into github)

## 82 Genome annotation

83 MAKER (v2.31.6) [25] annotation of the genome was completed in two rounds, using  
84 only contigs of <1 kb and training MAKER with Kokia-specific sequences. First, pass  
85 de novo annotations were derived from Genemark (v4.3.3) [26] and retained for  
86 MAKER training. At the same time, BUSCO (v2) [27] was used both to train Augustus  
87 and create a Snap model<sup>Corrinne</sup>. Finally, Trinity<sup>Corrinne</sup> (v2.2.0) [28] was used to create  
88 an RNASeq-assembly to pass to MAKER as EST evidence. The first pass of MAKER  
89 was run using the combination of: (1) the output from Genemark, (2) the  
90 BUSCO-generated Snap model, (3) the BUSCO-trained Augustus [29] model, (4) the  
91 Trinity RNASeq-assembly as ESTs, and (5) the UniProt protein database.

Corrinne: WHAT'S A  
SNAP MODEL

Corrinne: WHY  
TRINITY VS  
MEGAHIT

92 After the first pass of MAKER was complete, the annotations generated by MAKER  
93 were passed to autoAug.pl, an annotation training script included with Augustus, and  
94 were additionally used to generate a second Snap model. MAKER was run again with  
95 the same input except using the newly generated Snap model (#2 above) and Augustus  
96 model (#3 above) to replace those in the first pass. All annotations were output to gff  
97 format and can be found at <https://github.com/Wendellab/KokiaKirkii>.

## 98 Identification of Orthologs

99 Amino acid sequences from *G. kirkii*, *G. raimondii* and *K. drynarioides* were clustered  
100 using OrthoFinder v1.1.41 [30], which utilizes a Markov clustering algorithm of  
101 normalized BLASTp scores to infer homology between proteins sequences of different  
102 species. OrthoFinder is similar to OrthoMCL2 [31], but reduces the number of BLAST  
103 results by filtering scores based on reciprocal best hits (RBHs) and corrects for gene  
104 length biases and floor-limitation of e-values in BLAST scores prior to clustering. These  
105 corrections have been shown to increase precision by improved clustering of singletons  
106 (i.e., groups in which only one gene from each species is present) instead of entire gene  
107 families into a given orthologous group. Default values were used for the inflation  
108 parameter (1.5) in the Markov clustering, and the “-og” flag was used to prevent  
109 downstream analyses after the groups were generated.

## 110 dN/dS Estimation and Timing of Divergence

111 Singletons inferred from OrthoFinder were separated into all 3 possible pairwise groups  
112 (Gr + Gk, Gr + Kd, Kd + Gk). Amino acid sequences from each pairwise group were  
113 then aligned using the pairwise2 python package and the BLOSUM62 substitution  
114 matrix. The highest scoring alignments were then used as a guide to codon-align the  
115 CDS sequences. The CODEML package in PAML [32] was used to calculate the dN, dS,  
116 and dN/dS values. Singletons in which any pairwise comparison resulted in a dS value  
117 greater than 0.03<sup>JustinCorrinne</sup> was removed from the analysis and inferred to be a  
118 cluster of non-orthologous proteins. Distributions of all pairwise dN, dS, and dN/dS  
119 values were then plotted, and mean value and standard deviation is reported. Estimates  
120 of total divergence time between each pairwise group was calculated using the equation  
121  $T = dS / (2r)$  where r is the absolute rate of synonymous substitutions of Adh genes in  
122 palms ( $2.6 \times 10^{-9}$  substitutions X substitution site<sup>-1</sup> X year<sup>-1</sup>) [11, 33] or members of  
123 Brassicaceae ( $1.5 \times 10^{-8}$  substitutions X synonymous site<sup>-1</sup> X year<sup>-1</sup>) [34].

Justin: May need to  
adjust after doing  
said analysis

Corrinne: What was  
our justification for  
this again?

## 124 Copy Number Variation Estimation

125 A custom Python script (<https://github.com/Wendellab/KokiaKirkii>) was used to  
126 calculate lineage-specific gene losses and duplications as inferred by OrthoFinder. A  
127 gene loss was defined as an orthologous group in which 2 species had the same number  
128 of genes present ( $n$ ), but the third species contained  $n-1$  genes. Likewise, a gene  
129 duplication was identified by 2 species containing  $n$  genes, while the third contained  
130  $n+1$ . [JustinCorrinne](#)

Justin: Very rough estimate of gene loss and duplication; do we want more sophisticated method? Other parts to this section?

## 131 Repeat clustering and annotation

132 All reads from one of the paired-end files (i.e., R1) were filtered for quality and trimmed  
133 to a standard 95nt using Trimmomatic version 0.33 [19] as per  
134 (<https://github.com/Wendellab/KokiaKirkii>). Surviving reads were randomly  
135 subsampled to represent a 1% genome size equivalent for each genome [35,36] and  
136 combined as input into the RepeatExplorer pipeline [37,38], which is designed to cluster  
137 reads based on similarity and identify putative repetitive sequences using low-coverage,  
138 small read sequencing. Clusters containing a minimum of 0.01% of the total input  
139 sequences (i.e., 201 reads from a total input of 2,013,469 reads) were annotated by the  
140 RepeatExplorer implementation of RepeatMasker [39] using a custom library derived  
141 from a combination of Repbase version WHATEVER [40] and previously annotated  
142 cotton repeats [41–45]. A cutoff of 0.01% read representation is common; however, we  
143 evaluated the suitability of this cut using a log of diminishing returns (FIGURE  
144 WHATEVER; <https://github.com/Wendellab/KokiaKirkii>).

Corrinne: We probably should cross-check these to make sure things didn't get screwed up, e.g., a gene "loss" is actually where something got thrown in as a "duplication" or as a loner (true singleton with no match in other genomes)

145 Within the annotated clusters, the number of megabases (Mb) attributable to that  
146 cluster (i.e., element type) for each genome/accession was calculated based on the 1%  
147 genome representation of the sample and the standardized read length of 95 nt; total  
148 repetitive amounts for each broad repetitive classification were summed from these  
149 results. The genome occupation of each cluster (i.e., the calculated number of Mb) was  
150 normalized by genome size for each accession, resulting in the percent of each genome  
151 occupied by that element type, for use in multivariate visualization (i.e., Principle  
152 Coordinate Analysis and Principal Component Analysis). All analyses were conducted  
153 in R [46]; R versions and scripts are available at  
154 (<https://github.com/Wendellab/KokiaKirkii>).

## 155 Repeat heterogeneity and relative age

156 Relative cluster age was approximated using the among-read divergence profile of each  
157 cluster, as previously used for *Fritillaria* [47] and dandelion [48]. Briefly, an all-versus-all  
158 BLASTn [49,50] was conducted on a cluster-by-cluster basis using the same BLAST  
159 parameters implemented in RepeatExplorer. A histogram of pairwise percent identity  
160 was generated for each cluster and the trend (i.e., biased toward high-identity, "young"  
161 or lower-identity, "older" element reads) was described for each via regression models  
162 using R. Specifically, two regression models were used to describe the data as either  
163 linear ( $Y = a + bX$ ) or quadratic ( $Y = a + bX + cX^2$ ), and the model with the highest  
164 confidence was determined via Bayesian Information Criterion [51]. The read similarity  
165 profile for each cluster was automatically evaluated for each histogram to determine if  
166 the reads trend toward highly similar "young" or more divergent "older" reads, as per  
167 (Julie paper) with an additional category. These categories include (1) positive linear  
168 regression; (2) absence of linear regression; (3) negative linear regression; (4) positive  
169 quadratic vertical parabola, trend described by right-side of vertex; (4b) positive  
170 quadratic vertical parabola, trend described by left-side of vertex; (5) negative quadratic  
171 vertical parabola, trend described by right-side of vertex; and (6) negative quadratic

vertical parabola, trend described by left-side of vertex and vertex at  $\approx 99\%$  pairwise-identity (Figure WHATEVER). Categories which trend toward highly identical reads (i.e., 1, 4, and 6) were interpreted as having relatively young membership, whereas categories which trend toward lower identity (i.e., 2, 3, 4b, and 5) were interpreted as being composed of older elements. As with Ferreira de Carvalho (2016), this regression simply provides a relative characterization of cluster/element age and is not designed to detect statistically significant differences.

## Repetitive profiles between *Kokia drynarioides* and *Gossypioides kirkii*

Comparison of abundance for the annotated clusters in *Kokia drynarioides* and *Gossypioides kirkii* were visualized via ggplot [52], including a 1:1 ratio line to indicate the expected relationship between *K. drynarioides* and *G. kirkii* cluster sizes if their repetitive profiles had remained static post-divergence. Differential abundance (in read counts) between *K. drynarioides* and *G. kirkii* for each cluster was evaluated via two-sample chi2 tests; all p-values were subject to Benjamini-Hochberg correction for multiple testing [53].

## Results

### *Kokia* genome assembly and annotation

#### Molecular evolution between *Kokia drynarioides* and *Gossypioides kirkii*

1. Outgroup equivalency/utility: are they equal for molecular evolutionary purposes
  - (a) Limited by no population data
  - (b) Ks/Ks of Gk-Gr versus Kd-Gr; are they equivalent
  - (c) Gene cluster comparisons: does Gk or Kd perform equivalently? i.e., number of Gr-Kd only groups versus number of Gr-Gk only groups
  - (d) when would having two outgroups be of a benefit
  - (e) Ka/Ks for Gk-Kd: high or low? What do we expect?
  - (f) Gene content comparison : what is “missing”? What is unique?
2. Colinearity (at all?) or just intergenic SNPs/indels via gatk?

#### Changes in the repetitive landscape between *Kokia drynarioides* and *Gossypioides kirkii*

Because *K. drynarioides* and *G. kirkii* have relatively compact genomes, multiple representatives of three cotton species previously used for repetitive analysis [54] were included in the clustering to aid in the identification of repeat-derived sequences. Just over two million reads derived from these five species (comprising 1% genome size equivalents each) were co-clustered using the RepeatExplorer pipeline, producing a total 74,001 clusters (n  $\approx 2$  reads). Because the smallest clusters are neither informative nor reliable indicators of repetitiveness, we chose to annotate only those clusters composed of greater than 0.01% of the total reads input (=201 reads), resulting in 274 retained

STATS ON  
THE KOKIA  
GENOME  
HERE. STATS  
ON THE ANNO-  
TATION TOO.

212 clusters. We evaluated the cumulative read sum as the cluster number increases  
213 (clusters are numbered from largest to smallest) to confirm that this represents a  
214 reasonable partitioning of the data set.

cotton\_cutoff.png

215 Despite identically sized genomes, *K. drynarioides* and *G. kirkii* show an  
216 approximately 1 Mb<sup>Corrinne</sup> difference in clustered repeats, although this lacks  
217 statistical significance. Contingency table analysis of the repetitive profiles of each  
218 species, as well as the total amount of repetitive DNA calculated for each, suggest that  
219 these profiles are indistinguishable (at  $p < 0.05$ ), despite the intergeneric comparison.  
220 Interspecies (intra-genus) repetitive profiles for those *Gossypium* species present in the  
221 analysis showed a different pattern, whereby the basally divergent *G. raimondii*  
222 compared to either A-genome species (i.e., *G. herbaceum* and *G. arboreum*) shows a  
223 highly distinct repetitive profile ( $p < 0.05$ ), although, notably, the sister A-genome species  
224 are not distinct (see discussion).

Corrinne: put the  
linear regression stuff  
in here?

225 To ascertain the extent of the differences between *K. drynarioides* and *G. kirkii*, we  
226 considered the possibility that while the overall repetitive profiles may not be  
227 significantly different, individual clusters may be. Toward this end, we conducted a chi2  
228 test of independence for each cluster and applied a Benjamini-Hochberg correction for  
229 multiple testing. At  $p < 0.05$ , XXX clusters (out of XXX) are differentially abundant in *K.*  
230 *drynarioides* versus *G. kirkii*, with the species displaying greater abundance occurring  
231 approximately the same number of times for both (XXX with greater abundance in *K.*  
232 *drynarioides* versus XXX in *G. kirkii*; Table Abundance). Because these differentially  
233 abundant clusters could represent differences in either proliferation or decay/removal,  
234 we gauged the relative age of each cluster based of the method of Ferreira de Carvalho  
235 (2016). This analysis attempts to characterize the age of each cluster<sup>Corrinne</sup> based on  
236 the distinctiveness of the reads which comprise the cluster; that is, younger clusters will  
237 have reads that are highly similar, whereas older clusters will have reads that show a  
238 number of differences. While an imperfect measure, this characterization permits a  
239 generalized perspective on the repeats identified here. Overall, most of the repeats in *K.*  
240 *drynarioides* and *G. kirkii* displayed a pattern suggestive of older elements (202 versus  
241 72 “young”); however, of the XXX differentially abundant clusters, XXX were  
242 categorized as “young” and XXX as “older” (Table Abundance), potentially reflecting  
243 SOMETHING ABOUT GAIN VERSUS LOSS.

Corrinne: should  
we redo this just for  
the Kok/Kirk reads?  
would the A-genome  
reads, minimally, be  
biasing some of these  
toward “youth”?

244 Most of the clusters were broadly annotated as belonging to the Ty3/gypsy  
245 superfamily, a result not surprising for a plant lineage (Figure Amounts). Overall, gypsy  
246 elements comprise XXX to XXX of the *K. drynarioides* and *G. kirkii* genomes,  
247 respectively, with uncategorized LTR-retrotransposons and Ty1/copia elements  
248 comprising the next most abundant repeats and comprising similar amounts in each  
249 genome. Unsurprisingly, the small genomes of *K. drynarioides* and *G. kirkii* had lower  
250 absolute abundance of most repeat types except the predicted non-LTR retrotransposons, in  
251 which these two species had comparable or slightly greater occupation as the cotton  
252 species, which possess 2-3x larger genomes. This difference is due to the sole retrotransposon  
253 clusters recovered, which was in the top 5 largest clusters for both *K. drynarioides* and  
254 *G. kirkii*. The high percent identity among reads for this cluster suggests it is relatively  
255 young, and it has likely experienced proliferation in both species. Furthermore, the  
256 cluster shows differential abundance between the two species, suggesting that either the  
257 proliferation began prior to species divergence and continued with varying success  
258 afterwards, or the two lineages experienced similar releases from repression for this  
259 element, although again to varying degrees. The other differentially abundant clusters  
260 were largely annotated as putative gypsy elements (RIGHT?) (XX %).

## 261 Discussion

262 Divergence and speciation are expected outcomes of long-distance insular dispersal,  
263 whose conceptual foundations are rooted in the observations of Darwin and other early  
264 evolutionary biologists. The tribe Gossypieae is characterized by such dispersals,  
265 ultimately achieving worldwide distribution on all tropical and subtropical-inclusive  
266 continents. Most Gossypieae genera, save for the eponymous *Gossypium* (cotton genus),  
267 have been grossly understudied except as each pertains to the evolution of cotton. Here  
268 we present first-pass genome assemblies for the outgroup congeners to *Gossypium*,  
269 which together provide insight into the interesting biogeographic history of these genera  
270 and their equivocality as outgroups in studying the evolution of the cotton genus.

- 271 1. Compare molecular differences to perceived degree of morphological  
272 differentiation?

273 Phylogenetics in the tribe: *ndhF* shows longer NJ branch length for *Kokia* than  
274 *kirkii* (congruence and consensus)

275 Long-distance salt water dispersal common in gossypieae

276 Advance Agronomy

277 • *Lebronnecia* – marquesas (south pacific)

278 • *Thespecia thespesioides* – pan tropical

279 • *Hampia* – neotropical (americas)

280 • *Thespesia populnea* – pan tropical

281 • *Cephalohibiscus* – new guinea and solomon islands (Australia)

282 Maybe we would expect there to be stepping speciation among these island regions,  
283 e.g., south pacific *lebronnecia* to be between *Kokia* and *kirkii*, or neotropical *Hampea* to  
284 be between the two. Clearly congeners, molecularly and united by  $n=12$ . Hawaiian  
285 islands only  $\approx 3$ myo, so *Kokia* probably colonized them as they were formed. What  
286 about *kirkii*? Is it an older population, from which *Kokia* is derived (probably not given  
287 the data), or was it a dispersal event from who knows where of a now extinct ancestor?

## 288 Supporting Information

### 289 S1 Video

290 **Bold the first sentence.** Maecenas convallis mauris sit amet sem ultrices gravida.  
291 Etiam eget sapien nibh. Sed ac ipsum eget enim egestas ullamcorper nec euismod ligula.  
292 Curabitur fringilla pulvinar lectus consectetur pellentesque.

### 293 S1 Text

294 **Lorem Ipsum.** Maecenas convallis mauris sit amet sem ultrices gravida. Etiam eget  
295 sapien nibh. Sed ac ipsum eget enim egestas ullamcorper nec euismod ligula. Curabitur  
296 fringilla pulvinar lectus consectetur pellentesque.

### 297 S1 Fig

298 **Lorem Ipsum.** Maecenas convallis mauris sit amet sem ultrices gravida. Etiam eget  
299 sapien nibh. Sed ac ipsum eget enim egestas ullamcorper nec euismod ligula. Curabitur  
300 fringilla pulvinar lectus consectetur pellentesque.

## 301 S1 Table

302 **Lorem Ipsum.** Maecenas convallis mauris sit amet sem ultrices gravida. Etiam eget  
303 sapien nibh. Sed ac ipsum eget enim egestas ullamcorper nec euismod ligula. Curabitur  
304 fringilla pulvinar lectus consectetur pellentesque.

## 305 Acknowledgments

306 Cras egestas velit mauris, eu mollis turpis pellentesque sit amet. Interdum et malesuada  
307 fames ac ante ipsum primis in faucibus. Nam id pretium nisi. Sed ac quam id nisi  
308 malesuada congue. Sed interdum aliquet augue, at pellentesque quam rhoncus vitae.

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