## Midtern Exam

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**(1)** 

Let define variables  $x_i$ , i = 1, ..., n for the *i*th spy, and  $x_i = 1$  if the *i*th spy is chosen in the team and  $x_i = 0$  if he is not in the team; define the variables  $y_j$ , j = 1, ..., m for the *j* task, and  $y_j = 1$  if the *j*th task is assigned to  $S_j$  to do and  $y_j = 0$  if the *j*th task is assigned to  $T_j$ , then we can model this problem by an integer programming issue:

$$minimize \sum_{i=1}^{n} x_i \tag{1}$$

subject to 
$$x_i \ge y_j$$
 if  $i \in S_j$  (2)

$$x_i \ge 1 - y_j \quad \text{if } i \in T_j \tag{3}$$

$$x_i \in \{0, 1\} \tag{4}$$

$$y_j \in \{0, 1\} \quad i = 1, \dots, n, j = 1, \dots, m$$
 (5)

I first explain the equivalence of this Integer programming issue and the issue of selecting spies to finish the tasks. As our objective function is to minimize the sum of  $x_i$ , then from (3) and (4) we know that  $x_i$  is exactly 1 if the jth task is assigned to  $S_j$  and  $i \in S_j$ , or if the jth task is assigned to  $T_j$  and  $t \in T_j$ . Thus no mater the tth task is assigned to tth tasks. Therefore, the minimum of the objective function returns the minimum number of the spies in the team.

Then we can obtain the linear problem relaxation by replacing  $x_i, y_j \in \{0, 1\}$  with  $0 \le x_i \le 1, 0 \le x_i \le 1$ , then we have

$$minimize \Sigma_{i=1}^{n} x_{i}$$
 (6)

subject to 
$$x_i \ge y_j$$
 if  $i \in S_j$  (7)

$$x_i \ge 1 - y_j \quad \text{if } i \in T_j \tag{8}$$

$$0 \le x_i \le 1 \tag{9}$$

$$0 \le y_j \le 1 \quad i = 1, \dots, n, j = 1, \dots, m$$
 (10)

By solving this linear programming problem, we can obtain its optimal solution  $x_i^*$  and  $y_j^*$  and LP-OPT. Thus my approximation algorithm is to choose the set  $S_j$  with the probability  $y_j^*$  and choose the set  $T_j$  with the probability  $1 - y_j^*$  for each task j until all the tasks are assigned either by  $S_j$  or  $T_j$ .

This algorithm can be achieved in polynomial time, and we can demonstrate that this is a 2-approximation algorithm.

## **Proof:**

The expectation of the size of our team is:

$$E(\Sigma_{i-1}^n x_i) = \Sigma_{i-1}^n E(x_i) \tag{11}$$

$$= \sum_{i=1}^{n} \Pr(x_i \text{ is chosen in the team})$$
 (12)

$$= \sum_{i=1}^{n} (1 - \Pr(x_i \text{ is not chosen in the team}))$$
 (13)

Assume that there are  $k_i$  sets (in all the sets  $S_i$  and  $T_i$ ,  $i=1,\ldots,m$ ) that contain the spy  $x_i$ 

 $\Pr(x_i \text{ is not chosen in the team}) = \prod_j \Pr(S_j \text{ is not chosen when } i \in S_j) \prod_j \Pr(T_j \text{ is not chosen when } i \in T_j)$ 

(14)

$$= \prod_{j:i \in S_j} (1 - y_j^*) \prod_{j:i \in T_j} y_j^* \text{ Since (7) and (8), then}$$
 (15)

$$\geq \prod_{j:i \in S_j} (1 - x_i^*) \prod_{j:i \in T_j} (1 - x_i^*) \tag{16}$$

$$= (1 - x_i^*)^{k_i} \tag{17}$$

where  $k_i \ge 1, 0 \le x_i^* \le 1$ ,

Then (13) satisfy:

$$E(\Sigma_{i=1}^n x_i) = \Sigma_{i=1}^n (1 - \Pr(x_i \text{ is not chosen in the team}))$$
 (18)

$$\leq \sum_{i=1}^{n} (1 - (1 - x_i^*)^{k_i}) \tag{19}$$

$$\leq \sum_{i=1}^{n} 2x_i^* \tag{20}$$

$$= 2 LP-OPT \tag{21}$$

Notice that the demonstration of (20) is following:

Let function  $f(x) = 1 - (1-x)^k - 2x$ , where  $x \in [0,1], k \ge 1$ , then the derivative of  $f'(x) = k(1-x)^{k-1} - 2 \le k-2$ , thus there are two conditions:

- 1. If  $k \leq 2$ , then  $f'(x) = k(1-x)^{k-1} 2 \leq k-2 \leq 0, \Rightarrow f(x)$  is a decreasing function and  $f(x) \leq f(0) = 0$ , thus  $1 (1-x)^k 2x \leq 0 \Rightarrow 1 (1-x_i^*)^{k_i} \leq 2x_i^*$  since  $x_i^* \in [0,1], k_i \geq 1$
- 2. If k > 2, then we have

$$f(x) = 1 - (1 - x)^k - 2x (22)$$

$$<1-(1-x)^2-2x$$
 (23)

$$=-x^2\tag{24}$$

$$\leq 0 \tag{25}$$

Therefore, we can conclude that  $f(x)=1-(1-x)^k-2x\leq 0, \Rightarrow 1-(1-x)^k\leq 2x\Rightarrow \Sigma_{i=1}^n(1-(1-x_i^*)^{k_i})\leq \Sigma_{i=1}^n2x_i^*$ 

**(2)** 

(a)

Let  $N(v) = \{w \in V | (v, w) \in E\} \cup \{v\}$  and  $x_v$  for each  $v \in V$ , then define the set  $\mathcal{N} = \{N(v) | \forall v \in V, N(v) = \{w \in V | (v, w) in E\} \cup \{v\}\}$ , we can define a LP-relaxation and its dual for the DOMINANTING SET problem as follows:

$$minimize \Sigma_{v \in V} x_v \omega(v) \tag{26}$$

subject to 
$$\Sigma_{v:v\in N(v)}x_v \ge 1 \quad \forall N(v) \in \mathcal{N}$$
 (27)

$$x_v \ge 0, \quad \forall (v) \in V$$
 (28)

and its dual problem is:

$$maximize \Sigma_{N \in \mathcal{N}} y_N \tag{29}$$

subject to 
$$\sum_{N:v\in N(v)} y_N \le \omega(v), \quad \forall v \in V$$
 (30)

$$y_N \ge 0, \quad \forall N(v) \in \mathcal{N}$$
 (31)

**(b)** 

## Algorithm 1 The primal-dual method of DOMINATING SET

Initialization:  $y \leftarrow 0, C \leftarrow \emptyset$ 

while there are some N(v) that has no vertex in the set  ${\bf C}$  do

Pick a set  $N(v) \in \mathcal{N}$  has no vertex in C

For any one vertex  $u \in N(v)$ , increase the  $y_N$  of all the sets  $N \in \mathcal{N}$  that contains  $u \ u \in N$  until for one node  $\bar{u} \in N(v)$  satisfies that  $\Sigma_{N:\bar{u} \in N} y_N = \omega(\bar{u})$ 

 $C \leftarrow C \cup \{(\bar{u})\}$ 

return C

 $\triangleright$  The minimum-weight doimnant set C

**Claim 1:** This is a  $\Delta$ -approximation algorithm.

## **Proof:**

The total weight of our algorithm satisfy:

$$\Sigma_{v \in C} \omega(v) = \Sigma_{v \in C} \Sigma_{N:v \in N} y_N \tag{32}$$

$$<\Sigma_{N\in\mathcal{N}}|N|y_N\tag{33}$$

$$= \sum_{N \in \mathcal{N}: v \in N} |\text{degree} + 1| y_N \text{ As the maximum degree is } \Delta$$
 (34)

$$\leq \Sigma_{N \in \mathcal{N}} |\Delta + 1| y_N \tag{35}$$

$$\leq |\Delta + 1| \text{OPT}$$
 (36)

Notice that in each iteration of our method, we only add a single new node  $\bar{u} \in N(v)$  to C, and N(v) is the set that has no vertex in C. As the degree of any one set is  $\geq 1$ , there are at least two vertexes in each N(v). Then after we add one vertex  $\bar{u}$  of N(v) to set C, there remain at least one vertex not in C, but we will never add any vertex of set N(v) to C since N(v) already has one vertex in C, therefore there are at most  $\Delta$  vertexes of each N(v) added to set C, then we have:

$$\Sigma_{v \in C} \omega(v) = \Sigma_{v \in C} \Sigma_{N:v \in N} y_N \tag{37}$$

$$= \sum_{N \in \mathcal{N}} \{ \text{The number of vertexes of } N \text{ are added to set } C \} y_N$$
 (38)

$$\leq \Sigma_{N \in \mathcal{N}} |\Delta| y_N \tag{39}$$

$$\leq |\Delta| \text{OPT}$$
 (40)

Hence, this is a  $\Delta$ -approximation algorithm.