

# Homework 1

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**1.**

**a.**

Given a  $(\Delta + 1)$ -colorable graph, where  $\Delta$  is the maximum degree of any vertex in  $G = (V, E)$ ,

1. Find the vertex  $v$  in  $G$  with the maximum degree  $\Delta$  and its neighbor  $N(v) = \{w | (v, w) \in E, i = 1, \dots, \Delta\}$ ;
2. First color  $v$  with one color, then color its neighbors  $w_i \in N(v)$  one by one. If  $w_i$  is also connected to  $w_j, i \neq j$ , then color them with different colors. In this way, we need at most  $\Delta + 1$  colors to color  $v$  and its neighbor  $N(v)$ ;
3. Then find another  $v'$  not colored yet and its neighbor  $N(v') = \{w | (v', w) \in E, i \leq \Delta\}$ . Color them in the same way as step (2) using the  $\Delta + 1$  colors;
4. Continue to color all other vertexes with less than  $\Delta + 1$  colors until the graph  $G$  is completely colored.

As we can color  $G$  in poly-time with at most  $(\Delta + 1)$  colors, then  $G$  is  $(\Delta + 1)$  colorable.

**b.**

Bipartite graph is an undirected graph with nodes partitioned into group  $X$  and  $Y$ . For each line, its one ending node is in group  $X$  and the other ending node is in  $Y$ .

Then we can color all the nodes in group  $X$  with one color and the nodes in group  $Y$  with the other color. Therefore, bipartite graphs are 2-colorable.

**c.**

Given undirected 3-colorable graph  $G = (V, E)$ ,  $|V| = n$ . For each  $v \in V$  vertex, let  $d(v)$  be the degree of  $v$ .

If  $d(v) \geq \sqrt{n}$ , then remove  $v$  and its neighbors  $N(v) = \{w_i | (v, w_i) \in E, i \geq \sqrt{n}\}$ , and color  $v$  with the first color and then color its neighbor  $w_1$  with the second color, and then color all other neighbors one by one. If two of its neighbors  $w_i, w_j$  are connected, then color them with the second and the third color, otherwise color the neighbors with the second color. We can color the subgraph with a poly-time  $O(n)$ .

As graph  $G$  is a 3 colorable graph and thus its subgraph is also a 3-colorable graph, thus we need at most 3 colors to color each subgraph.

Each time we remove at most  $\sqrt{n} + 1$  vertex from  $G$ , which has  $n$  vertex in total, thus we need to remove at most  $\sqrt{n}$ . As we need color each subgraph with at most 3 colors, then in order to color all these vertex and its neighbors, we need at most  $O(\sqrt{n})$  colors.

Until all the vertex in  $G$  have degree less than  $\sqrt{n}$ , we can apply the algorithm in (a) to color them with  $O(\sqrt{n})$  colors with a poly-time.

In summary, we need  $O(\sqrt{n})$  colors.

**d.**

Given undirected 3-colorable graph  $G = (V, E)$ ,  $|V| = n$ . For each  $v \in V$  vertex, let  $d(v)$  be the degree of  $v$ .

If  $d(v) \geq n^{\frac{2}{3}}$ , then remove  $v$  and its neighbors  $N(v) = \{w_i | (v, w_i) \in E, i \geq \sqrt{n}\}$ , and color  $v$  with the first color and then color its neighbor  $w_1$  with the second color, and then color all other neighbors one by one. If two of its neighbors  $w_i, w_j$  are connected, then color them with the second and the third color, otherwise color the neighbors with the second color. We can color the subgraph with a poly-time  $O(n)$ .

As graph  $G$  is a 4 colorable graph and thus its subgraph is also a 3-colorable graph, thus we need at most 4 colors to color each subgraph.

Each time we remove at most  $(n^{\frac{2}{3}} + 1)$  vertex from  $G$ , which has  $n$  vertex in total, thus we need to remove at most  $n^{\frac{1}{3}}$ . As we need color each subgraph with at most 4 colors, then in order to color all these vertex and its neighbors, we need at most  $O(n^{\frac{1}{3}})$  colors.

Until all the vertex in  $G$  have degree less than  $O(n^{\frac{1}{3}}) + O(n^{\frac{2}{3}})$ , we can apply the algorithm in (a) to color them with  $O(n^{\frac{2}{3}})$  colors with a poly-time.

In summary, we need  $O(n^{\frac{2}{3}})$  colors.

**e.**

**Claim:** Suppose VERTEX COLORING is approximable to a factor better than  $\frac{4}{3}k$  for a  $k$ -colorable graph when  $P \neq NP$ , then determining if a graph is 3-colorable is solvable in poly-time.

**Proof:**  $\Rightarrow$

If VERTEX COLORING is approximable to a factor better than  $\frac{4}{3}k$  when  $P \neq NP$ , then we can find a poly-time algorithm that using less  $\frac{4}{3}k$  colors for a  $k$ -colorable graph.

When  $k = 3$  and  $\frac{4}{3}k = 4$ , as  $3 < \frac{4}{3}k$ , then we can find a poly-time algorithm to determine if a graph is 3-colorable.

This is contradict to the fact that determining if a graph is 3-colorable is NP-Complete. Therefore, VERTEX COLORING is not approximable to a factor better than  $\frac{4}{3}$  unless  $P = NP$ .

## 1.5

**(a)**

As an extreme point is a feasible solution of the linear program, we will show that if any extreme point is not in the set of  $\{0, \frac{1}{2}, 1\}$ , then it is not a feasible solution of the linear program by contradiction.

**Proof:**  $\Rightarrow$

Suppose there is one extreme point  $x_{i'}$  of the linear program that has  $x_{i'} \notin \{0, \frac{1}{2}, 1\}$ .

$\Rightarrow$  the optimal solution  $f^*$  of the linear program is

$$f^* = x_{i'}w_{i'} + \sum_{i \in V, i \neq i'} w_i x_i \quad (1)$$

where  $x_i$  are all the extreme points.

As  $\forall (i, j) \in E, x_i + x_j \geq 1$  and there is at least one point  $x'_j$  that  $(i', j') \in E$ , we have  $x_{i'} \geq 1 - x_{j'}$ .  
 $\Rightarrow$  (1) becomes:

$$f^* = x_{i'}w_{i'} + x_{j'}w_{j'} + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i \quad (2)$$

$$\geq (1 - x_{j'})w_{i'} + x_{j'}w_{j'} + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i \quad (3)$$

$$= w_{i'} + x_{j'}(w_{j'} - w_{i'}) + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i \quad (4)$$

However, we can find other solutions when  $x_{i'} \in \{0, \frac{1}{2}, 1\}$ ,

1. If  $x_{i'} = 0$  and  $x_{j'} = 1$ , then the solution  $f_1 = w_{j'} + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i$
2. If  $x_{i'} = \frac{1}{2}$  and  $x_{j'} = \frac{1}{2}$ , then the solution  $f_2 = \frac{1}{2}(w_{i'} + w_{j'}) + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i$
3. If  $x_{i'} = 1$  and  $x_{j'} = 0$ , then the solution  $f_3 = w_{i'} + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i$

Then we will show the contradiction that  $f^*$  is not the minimum.

(1) . If  $w_{i'} > w_{j'}$ , then from (2), we have

$$f^* \geq x_{i'}w_{j'} + x_{j'}w_{j'} + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i \quad (5)$$

$$\geq w_{j'} + \sum_{i \in V, i \neq i', i \neq j'} w_i x_i \quad (6)$$

$$= f_1 \quad (7)$$

(2) . If  $w_{i'} = w_{j'}$ , then from (4), we have  $(w_{j'} - w_{i'}) = 0$  and  $f^* \geq f_3$ ;

(3) . If  $w_{i'} < w_{j'}$ , then from (4), we have  $(w_{j'} - w_{i'}) > 0$  and  $f^* > f_3$ .

Therefore,  $f^*$  is not the minimum and this is contradict to our assumption. Hence, we have demonstrated that any the extreme point of the linear program has the property that  $x_i \in \{0, \frac{1}{2}, 1\}$  for all  $i \in V$ .

## (b)

I first give an algorithm for the vertex cover problem when the input graph is planar, then I will show that it is a  $\frac{3}{2}$ -approximation algorithm.

My algorithm is as following:

1. Input the planar graph and run the 4-color for it (assign each vertex one of four colors such that for any edge  $(i, j) \in E$ , vertices  $i$  and  $j$  have been assigned different colors);
2. Find the color  $*$  that is assigned to the maximum number of points;
3. Take all the points that are assigned by the other three colors rather than the color  $*$ . Then these points form the vertex cover of the graph.

Then I will demonstrate that this algorithm is  $\frac{3}{2}$ -approximation.

**Proof:**  $\Rightarrow$

1. As running the 4-color algorithm and finding the color with the maximum number can be finished in poly-time, this algorithm is a poly-time method;
2. As each line is assigned with two distinct colors and at least one of them is not the color  $*$ , at least one of the points of each line is selected by this algorithm. Thus our solution is a vertex cover;

3. We employ the optimal solution of linear program LP-OPT as the lower bound of the vertex cover problem.

When there are  $n$  points in the graph, then the LP-OPT =  $\frac{n}{2}$  and the optimal solution of vertex cover LP-OPT  $\leq$  OPT.

As all the  $n$  points of the graph is assigned with one of the four colors, the maximal number of points  $N(*)$  assigned by the color  $*$  is larger than  $\frac{n}{4}$ , otherwise the total number of all these four colors is less than  $n$ . Thus the number of points selected by my algorithm  $S$  satisfies

$$S = n - N(*) \leq n - \frac{n}{4} \quad (8)$$

$$= \frac{3n}{4} \quad (9)$$

$$= \frac{3}{2} \text{LP-OPT} \quad (10)$$

$$\leq \frac{3}{2} \text{OPT} \quad (11)$$

Therefore, this algorithm is a  $\frac{3}{2}$ -approximation algorithm.

4. There is one example in Figure 1 that shows when the equal sign is reached. For different colors are assigned to these 4 points and our algorithm select 3 of them. The number of vertex cover is 2. Therefore, our algorithm is  $\frac{3}{2}$  times of the OPT.

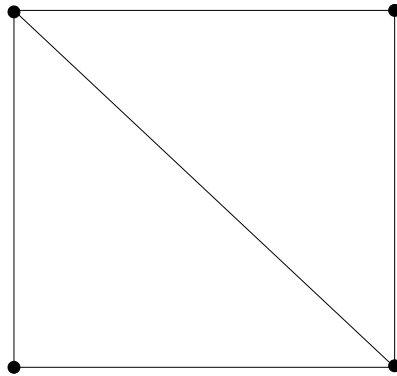


Figure 1: One example