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Abstract: This paper develops a combined cooling and power system, which consists of a carbon dioxide Brayton cycle, a dual-pressure organic Rankine cycle and an ejector refrigeration cycle, to recover waste heat from exhaust gas and jacket water in internal combustion engines. Thermodynamic models of the system are performed and exergoeconomic methods are used to calculate the levelized exergy cost of the component products. Effects of seven parameters, including Brayton cycle turbine inlet temperature and inlet pressure, organic Rankine cycle turbine highpressure side and low-pressure side inlet temperature and ejector primary inlet pressure, are evaluated. Single-objective optimization is carried out by means of genetic algorithm to obtain the minimum levelized exergy cost of system product. Results show that the increase of pressure at Brayton cycle turbine inlet and high-pressure and low-pressure side of the organic Rankine cycle turbine inlet contributes to the decrease of levelized exergy cost of the system product. Optimization results show that minimum levelized exergy cost for system product is 53.25 \$ (MWh)-1. When system product levelized exergy cost is minimum, system net power output, cooling capacity and exergy efficiency are 374.37 kW, 188.63 kW and 37.31%, respectively.

\*Cover letter

Dear Editor:

We are sending a manuscript entitled "Performance analysis and optimization of a

combined cooling and power system using low boiling point working fluid driven by

engine waste heat", which we should like to submit for publication in Energy

Conversion and Management. We investigate a combined cooling and power system

driven by exhaust gas and jacket water from an internal combustion engine. The

mathematical model of the system is established to simulate the cycles under

steady-state conditions. A parametric analysis of seven key parameters is conducted to

examine their effects on the thermodynamic and exergoeconomic performance of the

system. An optimization is conducted by genetic algorithm to obtain better system

performance.

We declare that the manuscript has not been previously published, is not currently

submitted for review to any other journal and will not be submitted elsewhere before

one decision is made. Its publication is approved by all authors. If accepted, it will not

be published elsewhere in the same form, in English or in any other language.

We appreciate your consideration of our manuscript, and we look forward to

receiving comments from the reviewers.

Sincerely,

Jiangfeng Wang (on behalf of the authors' team)

**Institute of Turbomachinery** 

Cover letter

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Highlights (for review)

Highlights

A combined cooling and power system driven by engine exhaust gas and jacket water is proposed.

Thermodynamic and exergoeconomic performance of the system are analyzed.

Optimization for the combined cooling and power system is conducted by genetic algorithm.

# Performance analysis and optimization of a combined 1 cooling and power system using low boiling point working 2 fluid driven by engine waste heat 3 4 Wenge Huang, Jiangfeng Wang\*, Jiaxi Xia, Pan Zhao, Yiping Dai 5 Institute of Turbomachinery, Shaanxi Engineering Laboratory of Turbomachinery and 6 Power Equipment, State Key Laboratory of Multiphase Flow in Power Engineering, 7 School of Energy and Power Engineering, Xi'an Jiaotong University, Xi'an 710049, 8 China 9 **Corresponding author:** Jiangfeng Wang 10 Mailing address: 11 Institute of Turbomachinery, Shaanxi Engineering Laboratory of Turbomachinery and 12 Power Equipment, State Key Laboratory of Multiphase Flow in Power Engineering, 13 School of Energy and Power Engineering 14 Xi'an Jiaotong University, Xi'an 710049, China 15 E-mail address: jfwang@mail.xjtu.edu.cn (JF Wang). 16

# Performance analysis and optimization of a combined cooling and power system using low boiling point working

# fluid driven by engine waste heat

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25 Abstract

This paper develops a combined cooling and power system, which consists of a carbon dioxide Brayton cycle, a dual-pressure organic Rankine cycle and an ejector refrigeration cycle, to recover waste heat from exhaust gas and jacket water in internal combustion engines. Thermodynamic models of the system are performed and exergoeconomic methods are used to calculate the levelized exergy cost of the component products. Effects of seven parameters, including Brayton cycle turbine inlet temperature and inlet pressure, organic Rankine cycle turbine high-pressure side and low-pressure side inlet temperature and ejector primary inlet pressure, are evaluated. Single-objective optimization is carried out by means of genetic algorithm to obtain the minimum levelized exergy cost of system product. Results show that the increase of pressure at Brayton cycle turbine inlet and high-pressure and low-pressure side of the organic Rankine cycle turbine inlet contributes to the decrease of levelized

exergy cost of the system product. Optimization results show that minimum levelized exergy cost for system product is 53.25 \$ (MWh)<sup>-1</sup>. When system product levelized exergy cost is minimum, system net power output, cooling capacity and exergy efficiency are 374.37 kW, 188.63 kW and 37.31%, respectively.

# 42 **Nomenclature**

Latin symbols		ρ	density, kg m <sup>-3</sup>	
A	area, m <sup>2</sup>	μ	dynamic viscosity, m <sup>2</sup> s <sup>-1</sup>	
$B_{ m o}$	boiling number	η	efficiency, %	
c	levelized average cost, \$(MWh) <sup>-1</sup>	δ	thickness, m	
$c_{ m p}$	specific heat, kJ kg <sup>-1</sup> K <sup>-1</sup>	Subscrip	Subscripts	
C	cost rate, \$ year <sup>-1</sup>	1-31	state points	
D	diameter, m	g1-g3	state points	
e	exergy, kJ kg <sup>-1</sup>	w1-w3	state points	
E	exergy flow rate, kJ s <sup>-1</sup>	Bt	Brayton cycle turbine	
$E_{ m y}$	exergy flow rate per year, kJ year <sup>-1</sup>	BM	bare module	
F	multiplying factor	cond	condenser	
f	friction factor	comp	compressor	
G	mass flow rate, kg s <sup>-1</sup>	D	destruction	
h	enthalpy, kJ kg <sup>-1</sup>	elec	electricity	
Н	depth, m	es	equivalent diameter	
$i_{ m eff}$	interest rate	ev	evaporation/evaporator	
l	length, m	ex	exergy	

M	mass flow rate, kg s <sup>-1</sup>	F	fuel
n	lifetime, year	g	exhaust gas
Nu	Nusselt number	gh	gas heater
P	pressure, MPa	he	heat exchanger
Pr	Prandtl number	L	loss
Pt	center distance between tubes, m	1	liquid
$P_r$	reduced pressure	M	material
Q	heat transfer rate, kW	Ot	ORC turbine
$Q_{ m cool}$	cooling capacity, kW	P	product
$q_{ m m}$	average imposed wall heat flux, W m <sup>-2</sup>	p1	pump 1
$r_{ m f}$	enthalpy of vaporization, kJ kg <sup>-1</sup>	p2	pump 2
T	temperature, K	p3	pump 3
U	overall heat transfer coefficient, W m <sup>-2</sup> K <sup>-1</sup>	p4	pump 4
W	power, kW	pf	primary flow
$W_{ m y}$	annually power, MWh year <sup>-1</sup>	prec	precooler
x	vapor quality	preh	preheater
Z	annually levelized cost value, \$ year-1	S	shell
Z	capital cost, k\$	t	tube
Acronyms		th	thermal
BC	Brayton cycle	turb	turbine
СВС	carbon dioxide Brayton cycle	vg	vapor generator
ССР	combined cooling and power	W	tube wall

CRF capital recovery factor

*CEPCI* chemical engineering plant cost index

DORC dual-pressure organic Rankine cycle

ERC ejector refrigeration cycle

GA genetic algorithm

TEG thermoelectric generator

AARC ammonia absorption refrigeration cycle

Greek symbols

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 $\alpha$  convection heat transfer coefficient, W m<sup>-2</sup> K<sup>-1</sup>

 $\lambda$  heat conductivity, W m<sup>-1</sup> K<sup>-1</sup>

#### 43 **1. Introduction**

Nowadays, internal combustion engine (ICE) is the major motive power source in

energy field, which are widely used in transport, construction, agriculture, etc. Over

50% of the total transportation fuel is consumed by ICEs [1]. However, only 30-45%

of the fuel energy is converted into effective power output, while the remaining

energy is discharged to the environment via exhaust gas, jacket water and charge air,

causing a large amount of waste fuel energy [2]. Thus, technology for waste heat

recovery from ICEs has drawn much interest of researchers in the last decade. Much

effort has been devoted to the study of organic Rankine cycle (ORC) based ICE waste

heat recovery system for its advantages of high efficiency and simple structure [3].

There are two important pathways that will lead to the improvement of the ORC

system for ICE waste heat recovery. One will be selecting organic working fluids which are suitable for the system under certain conditions. Another is to optimize the system configuration to make full use of the waste heat. The work of selecting suitable organic working fluids for ORC was carried out by many researchers to improve the efficiency of the ICE waste heat recovery. Tian et al. [4] evaluated the performance of 20 different working fluids in an ORC system for ICE waste heat recovery. Rijpkema et al. [5] compared the performance of twelve working fluids in an ORC-based ICE waste heat recovery system to find the suitable candidate. Su et al. [6] developed a theoretical efficiency model about working fluids selecting for ORC-based ICE waste heat recovery system via strict mathematical derivation. Configuration optimization in ORC-based ICE waste heat recovery system mainly focuses on reducing the system irreversible rate to fully utilize the engine waste heat. Vaja and Gambarotta [7] added a preheater and a recuperator separately to a simple ORC system to improve the performance for the ICE waste heat recovery. Kim et al. [8] proposed a novel single-loop ORC system to recovery engine waste heat. They employed two recuperators in series to heat the working fluid. Comparison showed that the net power output of the system was 35.6% more than simple ORC system. Because that the maximum power output of single-loop ORC is lower than that of the dual-loop ORC system [9], more attention has been focused on dual-loop ORC based ICE waste heat recovery system in recent years. Wang et al. [10] modeled a dual-loop ORC system for engine waste heat recovery. The high-temperature loop absorbed heat

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from exhaust gas and its residual heat acted as heat source for the low-temperature loop. Wang et al. [11] investigated a dual-loop ORC system for ICE waste heat recovery. The high-temperature loop absorbed heat from exhaust gas for the first time. Then the low-temperature loop absorbed heat from the residual heat of the exhaust gas to realize the cascading utilization of the waste heat. Huang et al. [12] proposed a complex dual-loop ORC system for engine waste heat recovery. The high-temperature loop absorbed heat from the exhaust gas and residual heat from both the exhaust gas and the high-temperature loop provided heat for the low-temperature loop. When referring to heat transfer in the high-temperature loop, thermal stability of organic working fluid is necessary to be considered. In previous studies, refrigerants were most selected as working fluids. The decomposition temperatures of refrigerants are relatively low (200-300 °C) [13], while the temperature of exhaust gas is above 450 °C [14]. Direct heat transfer between high-temperature exhaust gas and refrigerant caused the risk of working fluid decomposition. Though high decomposition temperature working fluids such as siloxanes and alkanes were adopted by some researchers, their flammability hindered their further applications [15]. Though placing a heat transfer oil intermediate loop between the exhaust gas and the ORC system could address this issue [16], it would cause a large amount of the high-temperature waste heat unharnessed. Therefore, some other high-temperature loops for waste heat recovery were employed by researchers to couple with the ORC. Miller et al. [17] introduced thermoelectric generator (TEG) technology. High-temperature exhaust gas was first exploited by the TEG, then the cooled exhaust

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gas could drive the ORC safely. But the energy conversion capacity of TEG is low because of the material limitation. Steam Rankine for its high efficiency and stable operation attracted much attention of researchers. Shu et al. [18] placed a steam Rankine cycle between the ORC and the exhaust gas. Yu et al. [19] coupled a steam Rankine cycle with an ORC for the ICE waste heat recovery. However, the large bulk of the components in steam Rankine cycle limits further applications (such as application in vehicles) [20]. Considering the requirement of high thermal efficiency and compact configuration, Brayton cycle could be a compromise solution. Brayton cycle with carbon dioxide (CO<sub>2</sub>) as working fluid has the advantage of low environmental impact and good thermodynamic performance [21]. Few studies about ORC system coupled with CO<sub>2</sub> Brayton cycle (CBC) for ICE waste heat recovery have been published. Though Zhang et al. [20] carried out some relevant studies, their attention was focused on comparing the performance of CBC, TEG and steam Rankine cycle when coupled with the same bottom ORC. Detailed analysis of the CBC was not given and the energy in jacket water was not harnessed. Jacket water, though containing large amounts of energy [22], obtained little attention in the previous studies. For its relatively low temperature, jacket water was mainly used to preheat the organic working fluid in the ORC system. In the ORC-based ICE waste heat recovery system designed by Zhang et al. [23] jacket water was used to preheat the organic working fluid. Then the organic working fluid was heat by the high-temperature exhaust gas to vapor state and expanded in the ORC turbine. In Yang's [24] ICE waste heat recovery system, jacket water and secondary

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exhaust gas were used to preheat the organic working fluids in ORC. In the dual-loop ORC based ICE waste heat recovery system investigated by Song et al. [25], jacket water was used to preheat the low-temperature-loop. Yu et al. [26] calculated the energy recovery efficiency from an ORC-based ICE waste heat recovery system. 75% waste heat could be recovered from the exhaust gas, while only 9.5% waste heat was recovery from jacket water. The relatively low utilization rate of jacket water energy in the ORC system is caused by the mismatch of working fluid mass flow rate in the preheater and the evaporator. Thus, the utilization of energy in jacket water could be further explored. Multigeneration system driven by waste heat has drawn increasing interest of researchers in light of the trend towards reducing emissions, increasing the efficiency of energy use and providing variable energy. Li et al. [27] modeled a combined cooling, heating and power system to highly utilize the waste heat. Yari et al. [28] proposed a waste heat recovery system to provide power, distilled water and heat. Bai et al. [29] investigated a cooling, heating and power system driven by exhaust gas to recovery the waste heat. Combined cooling and power (CCP) systems driven by ICE waste heat were also investigated by some researchers. Chen et al. [30] designed an ammonia-water combined cooling and power system using the waste heat from the ICEs. Ammonia-water was heated by exhaust gas and jacket water. One part of the ammonia-water vapor flew into the turbine to provide power and the other part flew into the evaporator to provide refrigeration. Salek et al. [31] coupled an ammonia absorption refrigeration cycle and a bottoming Rankine cycle with internal

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combustion engine to produce power and cooling capacity.

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Ammonia absorption refrigeration cycle (AARC) were widely used in the combined cooing and power system for its large refrigeration output. However, the complex cycle structure and high driven temperature requirement of AARC might sometimes limit its applications. On the contrary, ejector refrigeration cycle (ERC) exhibits the advantages of easy maintenance and high reliability [32] and it can be driven by low-temperature heat source such as the jacket water. Thus, ICE waste heat recovery system with ERC driven by jacket water not only simultaneously generate power and refrigeration but also fully utilized the jacket water waste heat. In this study, a combined cooling and power system is developed, which comprises a CO<sub>2</sub> Brayton cycle, a dual-pressure organic Rankine (DORC) cycle and an ejector refrigeration cycle. The CO<sub>2</sub> Brayton cycle absorbs heat from the high-temperature exhaust gas directly to prevent the decomposition risk. The turbine exhaust in the CO<sub>2</sub> Brayton cycle and the engine exhaust gas after heat transfer are respectively regarded as the heat sources for the high-pressure side and low-pressure side of the dual-pressure ORC, realizing the cascading utilization of exhaust gas. Meanwhile, organic working fluids in high-pressure side and low-pressure side are both preheated by jacket water which increases the mass flow rate of the organic working fluid preheated by jacket water. What's more, the ejector refrigeration cycle is adopted to produce refrigeration and fully utilize waste heat in jacket water. Thermodynamic and exergoeconomic analysis is carried out to examine the effects of key parameters on system performance. Then a system optimization is conducted to obtain the minimum

- levelized exergy cost for the system product by means of genetic algorithm (GA).
- The innovative features of this paper are as follow:
- A CO<sub>2</sub> Brayton cycle is investigated to prevent the risk of decomposition of organic working fluid and provide power.
- A novel dual-pressure ORC system is developed to cascading utilize the waste heat in exhaust gas and jacket water and provide large amounts of power output.
- An ejector refrigeration cycle driven by jacket water is designed to provide refrigeration and fully utilize the jacket water waste heat.

## 2. System description

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173 The combined cooling and power system is shown in Fig. 1. The system integrates 174 a dual-pressure organic Rankine cycle with a CO<sub>2</sub> Brayton cycle and an ejector 175 refrigeration, which can produce power and refrigeration simultaneously. 176 High-temperature gas heat from the ICE enters the gas heater to provide heat for the CBC. In the CBC, compressor compresses the CO<sub>2</sub> to a supercritical state. The 177 high-pressure CO<sub>2</sub> flows into the gas heater to absorb heat. Then CO<sub>2</sub> with high 178 179 temperature and high pressure expands through the BC turbine to produce power. 180 After expanding in the BC turbine, the high-temperature exhaust CO<sub>2</sub> flows into 181 vapor generator 2 to heat the organic working fluid. High-pressure side organic 182 working fluid heated by the CO<sub>2</sub> then flows into the ORC turbine to produce power. 183 Meanwhile low-pressure side organic working fluid absorbs heat from the secondary 184 engine exhaust gas in vapor generator 1 and then enters ORC turbine to produce power.

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Exhaust vapor from the ORC turbine is cooled by condenser 1 to liquid state and pressured by pump 1. Jacket water with large mass flow rate is used to preheat the organic working fluid in the preheater. The preheated organic working fluid then separates. One part of the fluid is pumped by pump 3 to the vapor generator 1 to cycle in the low-pressure side. The other part is pumped by pump 2 to the vapor generator 2 to cycle in the high-pressure side. The jacket water then flows into vapor generator 3 to provide heat for the ejector refrigeration cycle. After the condensation process in condenser 2, liquid working fluid is divided into two separated parts. One part of the fluid is pumped to the vapor generator 3 to absorb heat from jacket water and then becomes superheated vapor. The other part of the working fluid flows through the throttle valve to become low-pressure vapor-liquid mixture. The low-pressure mixture enters the evaporator to produce cooling capacity when absorbing heat from the environment and become low-pressure vapor. After that, the superheated vapor mixes with the low-pressure vapor in the ejector. The mixed working fluid enters the condenser 2 to be condensed to liquid. R245fa is selected as the working fluid for the organic Rankine cycle and the ejector refrigeration cycle because of the great thermodynamic performance [33] and the low environment effects [34].

#### 205 3. System model

206 Several assumptions are made to simplify the simulation of the system, which are: (1) the system keeps a steady state; (2) the heat and frication in the system are not 207 208 considered; (3) the pressure losses in the vapor generators, preheater, evaporator, 209 condensers and pipes are neglected; (4) the gas temperature at the outlet of the vapor 210 generator 1 is higher than 110 °C [35], considering the low gas dew point temperature; (5) the working fluids at the outlet of the condensers and the preheater are saturated 211 212 liquids, and the evaporator outlet state is saturated vapor; (6) the process through the 213 throttle valve is isenthalpic.

- 214 3.1.Energy model
- 215 The net power of the CO<sub>2</sub> Brayton cycle is expressed as:

$$216 W_{\text{BC}} = W_{\text{Bt}} - W_{\text{comp}} (1)$$

The net power of the DORC is given as:

$$218 W_{\text{ORC}} = W_{\text{Ot}} - W_{\text{pl}} - W_{\text{p2}} - W_{\text{p3}} (2)$$

The cooling capacity of the ERC is given as:

$$220 Q_{\text{cool}} = M_{\text{cool}} \cdot (h_{21} - h_{20}) (3)$$

The net power output of the whole system is calculated as:

$$222 W_{\text{net}} = W_{\text{ORC}} + W_{\text{BC}} - W_{\text{p4}} (4)$$

The thermal efficiency of the system is given as:

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$$\eta_{\text{th}} = \frac{W_{\text{net}} + Q_{\text{cool}}}{M_{\text{gl}} \cdot (h_{\text{gl}} - h_{\text{g3}}) + M_{\text{wl}} \cdot (h_{\text{wl}} - h_{\text{w3}})}$$
(5)

The detailed energy model equations of each component are list in Table 1. Note

that there are two expanding processes in the ORC turbine. The high-pressure vapor expands in the turbine and then mixes with the vapor from vapor generator 1. After that, the mixed vapor expands in the turbine for the second time.

## 3.2.Exergy model

The energy model of the system is based on the first law of thermodynamics. From
the viewpoint of the first law, it is equivalent for work and heat. Nevertheless,
according to the second law of the thermodynamics, the irreversibility of work and
heat is different. The exergy is used to quantifies the difference between them. The
exergy model of the system is based on a dead state (the ambient condition in this
study). Definition of exergy is given as:

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$$e = (h - h_0) - T_0 \cdot (s - s_0)$$
 (6)

- where  $h_0$ ,  $T_0$  and  $s_0$  are the parameters under the ambient conditions.
- The exergy flow rate in this study is given by:

$$239 E = M \cdot e (7)$$

In this study, all the components in the system are associated directly or indirectly with fuel of other heat sources, such as exhaust as and jacket water. The heat sources provide exergy for the components to operate. For each component, there is an exergy balance equation, being expressed as [36]:

$$244 E_{\rm F} = E_{\rm P} + E_{\rm D} + E_{\rm L} (8)$$

- 245 where  $E_F$ ,  $E_P$ ,  $E_D$ ,  $E_L$  donate the rate of exergy for the component fuel, the rate of exergy for component product, the rate of component exergy destruction and the rate of component exergy loss, respectively.
- The details of the exergy balance equations for each component are listed in Table

  1.
- The exergy efficiency represents the degree of the utilization of the waste heat in the system, being expressed as:

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$$\eta_{\text{ex}} = \frac{W_{\text{net}} + E_{\text{cool}}}{E_{\text{g1}} - E_{\text{g3}} + E_{\text{w1}} - E_{\text{w3}}}$$
 (9)

where  $E_{cool}$  is the exergy rate of the cooling process, being expressed as:

$$254 E_{\text{cool}} = E_{25} - E_{24} (10)$$

255 3.3.Capital cost calculation

256 A method of modeling the capital costs of main components is used in this study. According to Ref. [37], the bare module cost of the components is calculated as the 257 258 basic cost. The basic cost of the components includes the direct project cost (such as 259 component cost, material cost of the installation, etc.) and the indirect project cost (like the taxes, insurance engineering expenses, etc.). The bare module cost of the 260 261 components is calculated under basic conditions. For deviations from the based 262 conditions, multiplying factors (the specific component type, the specific system 263 pressure and the specific material of construction) are added in the calculation to correct the results. In the following text, equations from Eq. (11) to Eq. (21) are 264 proposed in Ref. [37]. 265

- Axial turbines (BC turbine and ORC turbine) are used in this study. The bare
- 267 module cost equation of the turbine is:

$$\log_{10} C_{\text{turb}}^0 = K_{1,\text{turb}} + K_{2,\text{turb}} \cdot \log_{10} W + K_{3,\text{turb}} \cdot (\log_{10} W)^2$$
(11)

- where  $K_{i}$ , turb are constants corresponding to the turbine type; and W is the power
- output of the turbine.
- Turbines used in this study are made of carbon steel (CS) and operate under high
- 272 pressure. Thus, a multiplying factor is used to correct the result. The capital cost of
- 273 the turbine is given as:

$$C_{\text{nurb}} = F_{\text{BM nurb}} \cdot C_{\text{nurb}}^0 \tag{12}$$

- where  $F_{BM,turb}$  is the multiplying factor corresponding to the working conditions of the
- turbine.
- 277 Reciprocating pumps are used in this study. The bare module cost equation of the
- pumps is given as:

$$\log_{10} C_{\text{pump}}^{0} = K_{1,\text{pump}} + K_{2,\text{pump}} \cdot \log_{10} W + K_{3,\text{pump}} \cdot (\log_{10} W)^{2}$$
(13)

- where  $K_{i, pump}$  are the constants corresponding to the pump type; and W is the power
- input of the pump.
- Pumps used in this study are made of stainless steel (SS) and work under high
- pressure. Thus, multiplying factors are used to correct the bare module cost. The
- 284 capital cost of the pump is given as:

$$C_{\text{pump}} = \left(B_{1,\text{pump}} + B_{2,\text{pump}} \cdot F_{M,\text{pump}} \cdot F_{P,\text{pump}}\right) \cdot C_{\text{pump}}^{0}$$
(14)

- where  $B_{i, pump}$  are the constants corresponding to the type of the pump;  $F_{M,pump}$  is the
- 287 material factor of the pump and  $F_{P,pump}$  is the pressure factor of the pump. The
- 288 equation of the pressure factor is given as:

$$\log_{10} F_{P,\text{pump}} = C_{1,\text{pump}} + C_{2,\text{pump}} \cdot \log_{10} P_{\text{pump}} + C_{3,\text{pump}} \cdot (\log_{10} P_{\text{pump}})^2$$
 (15)

- where  $C_{i, pump}$  are the constants corresponding to the type of the pump; and  $P_{pump}$  is the
- 291 pressure of the pump under working conditions.
- Axial compressor is used in this study. The bare module cost equation of the
- 293 compressor is given as:

$$\log_{10} C_{\text{comp}}^0 = K_{1,\text{comp}} + K_{2,\text{comp}} \cdot \log_{10} W + K_{3,\text{comp}} \cdot (\log_{10} W)^2$$
(16)

- where  $K_{i,comp}$  are the constants corresponding to the type of the compressor; W is the
- 296 power input of the compressor.
- The compressor is made of carbon steel (CS) and works under high pressure.
- 298 Correction equation of the bare module cost is given as:

$$C_{\text{comp}} = F_{\text{BM,comp}} \cdot C_{\text{comp}}^{0}$$
 (17)

- 300 where  $F_{\rm BM,comp}$  is the constant corresponding to the type of the compressor.
- 301 Shell-and-tube heat exchangers (gas heater, vapor generators, precooler, preheater,
- evaporator and condensers) are used in this study. The bare module cost equation of
- the heat exchanger is given as:

$$\log_{10} C_{\text{he}}^0 = K_{1,\text{he}} + K_{2,\text{he}} \cdot \log_{10} A + K_{3,\text{he}} \cdot (\log_{10} A)^2$$
(18)

- 305 where  $K_{i,he}$  are the constants corresponding to the type of the heat exchanger; A is the
- 306 heat transfer area of the heat exchanger. The calculation of the heat exchanger areas is
- presented in Appendix A.

Heat exchangers used in this study are made of carbon steel (CS) and work under

309 different pressure. Multiplying factors are needed to correct the results, the equation is

310 given as:

311 
$$C_{\text{he}} = (B_{1,\text{he}} + B_{2,\text{he}} \cdot F_{\text{M,he}} \cdot F_{P,\text{he}}) \cdot C_{\text{he}}^{0}$$
 (19)

- where  $B_{i,he}$  are the constants corresponding to the type of the heat exchanger.  $F_{M,he}$  and
- 313  $F_{P,he}$  are the material factor and pressure factor, respectively. The pressure factor is
- 314 obtained from the following equation:

$$\log_{10} F_{\text{P,he}} = C_{1,\text{he}} + C_{2,\text{he}} \cdot \log_{10} P_{\text{he}} + C_{3,\text{he}} \cdot (\log_{10} P_{\text{he}})^2$$
(20)

- 316 where  $C_{i,he}$  are the constants corresponding to the type of the heat exchanger;  $P_{he}$  is the
- designed working pressure for the heat exchanger.
- The values of the constants mentioned above for the main components are listed in
- 319 Appendix B.
- The calculation of the bare module cost depends on past records or published
- 321 correlations for price information. It is necessary to update the costs because of the
- inflation. This can be achieved by the following equation:

$$C_2 = C_1 \cdot \left(\frac{I_2}{I_1}\right) \tag{21}$$

- where C is the purchased cost and I is the cost index. The subscript 1 refers to base
- 325 time when cost is known and subscript 2 refers to time when cost is desired. The
- 326 CEPCI (Chemical Engineering Plant Cost Index) is employed to calculate the
- 327 inflation. The values of CEPCI<sub>2016</sub> and CEPCI<sub>ref,2001</sub> are 541.7 and 397, respectively
- 328 [38].

## 329 3.4.Exergoeconomic model

- Exergoeconomic is a branch of engineering which combines the thermodynamic
- analysis and economic principles. Thermodynamic performance and economic cost of
- the system are all taken into consideration.
- To find the relationship between the present value of the expenditure and the
- equivalent annually levelized costs, the capital recovery factor (CRF) is employed,
- being expressed as [36]:

$$Z_{i} = CRF \cdot C_{i} \tag{22}$$

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$$CRF = \frac{i_{\text{eff}} \cdot (1 + i_{\text{eff}})^n}{(1 + i_{\text{eff}})^n - 1}$$
 (23)

- 338 where  $i_{\text{eff}}$  is the effective discount rate with a value of 0.05 [39]; and n is the lifetime
- of the CCP system, being assumed as 30 years [40].
- In order to calculate the equivalent annually levelized costs, the annual working
- time of the system is assumed as 8000 h [41]. Then the annual exergy rates and annual
- power output or consumption are obtained.
- In a steady system, there are a number of entering and outing working fluid streams
- and heat and work interactions with the surroundings. In exergoeconomic analysis,
- each flowing stream is associated with a levelized exergy cost. The equations to
- calculate the cost of the stream product are given as:

$$C_{\rm in} = c_{\rm in} \cdot E_{\rm v,in} \tag{24}$$

$$348 C_{\text{out}} = c_{\text{out}} \cdot E_{\text{y,out}} (25)$$

$$C_{\text{work}} = C_{\text{work}} \cdot W_{\text{v}} \tag{26}$$

$$C_{\text{heat}} = c_{\text{heat}} \cdot E_{\text{v,heat}}$$
 (27)

- where c denotes levelized exergy cost of the streams;  $E_{y,in}$  and  $E_{y,out}$  are the exergy
- transfer rate of the stream flowing in and out of a component;  $W_{\rm v}$  and  $E_{\rm v,heat}$  are the
- power and the heat transfer rate of the components considering the annual working
- 354 time.
- The cost balance equation applied to the kth system component is given as:

$$\sum_{\text{out}} C_{\text{out,k}} + C_{\text{w,k}} = C_{\text{heat,k}} + \sum_{\text{in}} C_{\text{in,k}} + Z_{\text{k}}$$
 (28)

- Details of the cost balance equation are listed in Table 2.
- 358 The levelized exergy cost for system product is chosen to indicate the
- exergoeconomic performance, being expressed as [42,43]:

$$360 c_{\text{product}} = c_{\text{capital}} + c_{\text{fuel}} (29)$$

- 361 where  $c_{capital}$  is the capital-cost-related part of the levelized exergy cost for the system
- product, being expressed as:

$$363 c_{\text{capital}} = \frac{Z_{\text{total}}}{W_{\text{net}} + E_{\text{cool}}} (30)$$

- 364 where  $c_{\text{fuel}}$  is the fuel-cost-related part of the levelized exergy cost for the system
- product, being expressed as:

$$366 c_{\text{fuel}} = \frac{c_{\text{Bt}} \cdot W_{\text{y,comp}} + c_{\text{Ot}} \cdot W_{\text{y,pump1}} + c_{\text{Ot}} \cdot W_{\text{y,pump2}} + c_{\text{Ot}} \cdot W_{\text{y,pump3}} + c_{\text{Ot}} \cdot W_{\text{y,pump4}}}{W_{\text{net}} + E_{\text{cool}}}$$
(31)

- where  $c_{Bt}$  and  $c_{Ot}$  are the levelized exergy cost for the BC turbine power output and the
- 368 ORC turbine power output, which are calculated in Table 3. Likewise, they can be
- and the fuel-cost-related part and the fuel-cost-related part, given by Eq.
- 370 (32) and Eq. (33).

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$$c_{\text{Bt}} = \frac{Z_{\text{Bt}}}{W_{\text{y,Bt}}} + \frac{c_3 \cdot (E_{\text{y,3}} - E_{\text{y,4}})}{W_{\text{y,Bt}}}$$
(32)

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$$c_{\text{Ot}} = \frac{Z_{\text{Ot}}}{W_{\text{y,Ot}}} + \frac{c_{10} \cdot \left(E_{\text{y,10}} + E_{\text{y,11}} - E_{\text{y,12}}\right)}{W_{\text{y,Ot}}}$$
(33)

- In addition, the levelized exergy cost for the condensers and the precooler is equal
- to zero, being given by:

$$375 c_{26} = c_{28} = c_{30} = 0 (34)$$

- The levelized exergy cost for the exhaust gas as well as the jacket water is zero,
- being expressed as:

378 
$$c_{g1} = c_{w1} = 0$$
 (35)

- 3.5.Internal combustion engine
- In this study, the engine selected [7] is a 12-cylinder 4-stroke supercharged engine.
- The main designed parameters of the engine are listed in Table 3. The composition of
- the engine exhaust gas is presented in Table 4. The thermal load of the engine exhaust
- gas is about 1700 kW and 1000 kW can be obtained from the engine jacket water.
- 3.6. Simulation conditions for the system
- The thermodynamic parameters of the working fluid are calculated under the
- environment of MATLAB with the help of REFPROP 9.1 [44]. The basic conditions
- of simulation for the CCP system are listed in Table 5.
- Seven key parameters: BC turbine inlet temperature  $(T_{Bt,in})$ , BC turbine inlet
- pressure ( $P_{Bt, in}$ ), inlet temperature at the high-pressure side of ORC turbine ( $T_{Ot, in, h}$ ),
- inlet pressure at the high-pressure side of ORC turbine  $(P_{\text{Ot, in, h}})$ , inlet temperature at

the low-pressure side of ORC turbine ( $T_{Ot, in, l}$ ), inlet pressure at the low-pressure side of ORC turbine ( $P_{Ot, in, l}$ ) and the ejector primary inlet pressure ( $P_{ej, in}$ ), are chosen to analyze the thermodynamic and exergoeconomic performance of the system. When one parameter is investigated to analyze the system performance, other parameters are maintained constants based on the conditions in Table 5.

In the thermodynamic aspect, the net power output of the  $CO_2$  Brayton cycle ( $W_{BC}$ ), net power output of the DORC ( $W_{ORC}$ ), net power of the whole system ( $W_{net}$ ), cooling capacity of the system ( $Q_{cool}$ ) and the exergy efficiency of the system ( $\eta_{exergy}$ ) are selected to reflect the system performance. Levelized exergy cost for the BC turbine power output ( $c_{Bt}$ ), levelized exergy cost for the ORC turbine power output ( $c_{Ot}$ ), levelized exergy cost the system product ( $c_{product}$ ) and the system capital cost ( $Z_{capital}$ ) are chosen to represent the exergoeconomic performance.

#### 4. Results and discussion

The influence of the BC turbine inlet temperature ( $T_{Bt,in}$ ) on the output and the exergy efficiency of the system are shown in Fig. 2. The net power output of the CBC increases with the rise of  $T_{Bt,in}$ . That can be explained by the large decrease of the compressor power consumption. With the increase of the CO<sub>2</sub> temperature at the BC turbine inlet, the mass flow rate of CO<sub>2</sub> decreases, leading to the decrease of the compressor power consumption. Although the drop of CO<sub>2</sub> mass flow rate would cut down the BC turbine power output, the decrease quantity of compressor power consumption is larger than the decrease of the BC turbine power output. Thus, the

large decrease of the compressor power consumption determines the increase trend of

the CBC net power output.

It is presented that the net power output of the DORC increases with the rise of the BC turbine inlet temperature. Since the residual heat in exhaust  $CO_2$  acts as the heat source for the high-pressure side of DORC, the temperature rise of the exhaust  $CO_2$ , caused by the rise of  $T_{Bt,in}$ , would offer more heat for the bottom cycle, which causes the increase of the mass flow rate of the organic working fluid in the high-pressure side of DORC. Hence, the power output of the ORC turbine increases, leading to the increase of the net power output of the DORC.

With the increase of  $T_{\rm Bt,in}$ , the cooling capacity of the ERC decreases, as shown in Fig. 2. The increase of the organic working fluid mass flow rate in DORC would absorb more heat from jacket water, resulting in the decrease of energy available for the ERC. As a result, less secondary flow working fluid from the evaporator is entrained to the ejector, resulting the decrease of the cooling capacity of the CCP system.

The increase of the CBC net power output and the DORC net power output account for the increase of the net power output of the whole CCP system. Though the cooling capacity of the ERC is large, it produces only a small amount of exergy. The decrease of the exergy output caused by the cooling capacity drop can be made up by the increase of the power exergy output. Thus, the exergy efficiency of the system increases.

The influences of the BC turbine inlet temperature on the levelized exergy cost and

the system capital cost of the system are shown in Fig. 3. The levelized exergy cost for the BC turbine power output  $(c_{Bt})$  drops with the rise of the BC turbine inlet temperature  $(T_{Btin})$ . That can be explained by the decrease of the capital-cost-related part of  $c_{Bt}$ . The capital-cost-related part of  $c_{Bt}$  decreases with the decrease of cost of compressor, which is cut down by the drop of the compressor power consumption. The increase of the ORC turbine power output causes the decrease of both the capital-cost related part and the fuel-cost-related part of  $c_{\rm Ot}$ , resulting in the decrease of  $c_{\text{Ot}}$ . The system capital cost  $(z_{\text{capital}})$  rises with the rise of  $T_{\text{Bt,in}}$ . The large increase of the ORC turbine power output increases the cost of the ORC turbine. Moreover, the increase of the mass flow rate of the organic working fluid in the DORC causes the increase of cost for the vapor generator 2 and the preheater. Though the cost of compressor decreases, it can't change the ascending trend of the total system capital. It can be obtained in Fig. 3 that the levelized exergy cost for the system product  $(c_{\text{product}})$  decreases with the rise of  $T_{\text{Bt,in}}$ . The decline in levelized exergy cost for the BC turbine and ORC turbine power output, according to Eq. (31), would cause the decrease of the fuel-cost related part of  $c_{\text{product}}$ . Though the increase of  $z_{\text{capital}}$  would cut down the capital-cost-related part of  $c_{\text{product}}$ , the impact of levelized exergy cost for the BC turbine and ORC turbine is greater, which leads to the descending trend of  $c_{
m product}$ . The influence of the BC turbine inlet pressure  $(P_{Bt, in})$  on the output and the exergy efficiency of the system are shown in Fig. 4. The net power output of the CBC increases with the increase of  $P_{Bt, in}$ , which can be explained by the rise of enthalpy

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drop of the  $CO_2$  in the BC turbine. Though the rise of  $P_{Bt, in}$  requires more compressor power consumption, the increase of the BC turbine power output is larger in quantity

than the consumption, which leads to the increase of the CBC net power output.

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459 The net power output of the DORC decreases with the rise of  $P_{\text{Bt, in}}$ . On the one 460 hand, the temperature of the exhaust CO<sub>2</sub> at the BC turbine outlet decreases with the 461 increase of  $P_{\rm Bt, in}$ . Thus, less heat is offered to the high-pressure cycle of DORC, 462 resulting in the decrease of the high-pressure cycle power output. On the other hand, the increase of  $P_{\rm Bt, in}$  causes the increase of the compressor power consumption, which 463 464 results in the rise of the CO<sub>2</sub> temperature at the compressor outlet. Thus, less heat is 465 released in the gas heater and more heat is provided to the low-pressure cycle of 466 DORC, which leads to the increase of the low-pressure cycle power output. However, 467 the increase of the power output in low-pressure side is smaller than the decrease of the power output in the high-pressure side. Thus, the net power of the DORC 468 decreases slightly.

The cooling capacity of the system increases with the increase of  $P_{\text{Bt, in}}$ . Just like the variation of the power output, the decrease of the mass flow rate in the high-pressure side of DORC is larger than the increase of mass flow rate in the low-pressure side. Therefore, the total mass flow rate in the DORC decreases, resulting in the reduction of heat provided for the ejector refrigeration cycle. Thus, the cooling capacity of the ERC decreases.

The net power output of the whole system increases with the increase of the BC turbine inlet pressure. Though the net power output of the DORC decreases, the increase of CBC net power output is much larger. Thus, the net power output of the

CCP system increases with the increase of  $P_{\text{Bt, in}}$ . The exergy efficiency of the system

480 likewise has the same rising trend.

The influences of the BC turbine inlet pressure ( $P_{Bt, in}$ ) on the levelized exergy cost and the system capital cost of the system are depicted in Fig. 5. The levelized exergy cost for the BC turbine output  $c_{Bt}$  increases with the rise of the  $P_{Bt, in}$ , which can be explained by the variations of the capital-cost-related part and the fuel-cost-related part. The increase of  $P_{Bt, in}$  causes the increase of cost for both the BC turbine and the compressor, which lead to the rise of the two related parts.

The levelized exergy cost for the ORC turbine product  $(c_{Ot})$  increases with the rise of  $P_{Bt,in}$ . The decrease of the mass flow rate in the DORC causes that less exergy is produced in vapor generator 2, causing the increase of the fuel-related part of  $c_{Ot}$ . Therefore, the levelized exergy cost for the ORC turbine  $(c_{Ot})$  increases.

The system capital cost ( $z_{\text{capital}}$ ) increases with the rise of ( $P_{\text{Bt, in}}$ ). The increase of the mass flow rate in the ERC causes the rise of capital cost for the evaporator and vapor generator 3, which combined with the rise of the BC turbine cost and compressor cost accounts for the system capital cost rise.

The levelized exergy cost for the system product decreases with the rise of  $P_{\rm Bt,\,in}$  as presented in Fig. 5. According to Eq. (31), the rise of the  $c_{\rm Ot}$ ,  $c_{\rm Bt}$  would cause the rise of the fuel-cost-related part of  $c_{\rm product}$ . However, because of the large increase of the system net power output, the capital-cost-related part and the fuel-cost-related part decrease actually, which determines the decrease of  $c_{\rm product}$ .

The influence of inlet temperature at the high-pressure side of ORC turbine ( $T_{Ot, in, h}$ ) on the output and the exergy efficiency of the system are shown in Fig. 6. The net power output of the CBC remains unchanged since thermal parameters in dual-pressure ORC are irrelevant to the thermodynamic performance of the CBC.

The net power output of the DORC decreases with the increase of  $T_{\text{Ot, in, h}}$ . Though the increase of the vapor temperature could lead to the rise of the enthalpy drop in the ORC turbine, it would also cause the decrease of the mass flow rate in the high-pressure side, whose impact is greater than that of the enthalpy drop. Therefore, the power output of the DORC decreases.

The cooling capacity of the ejector refrigeration cycle increases with the rise of  $T_{\text{Ot}}$ ,  $I_{\text{in, h}}$ . More heat is provided for the ERC because of the decrease of the mass flow rate in the DORC, leading to the increase of the mass flow rate in vapor generator 3. Thus, more secondary flow from the evaporator is entrained into the ejector, resulting in the increase of the cooling capacity.

The net power output of the CCP system decreases with the rise of  $T_{Ot, in, h}$ . The unchanged CBC power output and the drop of the DORC power output determine the decrease of the net power output of the CCP system. The exergy efficiency of the system as well drops with the increase of the increase of  $T_{Ot, in, h}$ .

The influences of inlet temperature at the high-pressure side of ORC turbine on the levelized exergy cost and the system capital cost of the system are presented in Fig. 7. The levelized exergy cost for the ORC turbine output ( $c_{Ot}$ ) increases with the increase of  $T_{Ot, in, h}$ . The reason is that the two related parts of  $c_{Ot}$  increase with the drop of the

ORC turbine power output.

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The levelized exergy cost for the BC turbine power output  $(c_{Bt})$  increases with the rise the  $T_{Ot, in, h}$ . Since the decrease of the mass flow rate in the high-pressure side of DORC, the exergy generated in the vapor generator 2 decreases, causing the increase of the levelized exergy cost of the vapor. Thus, the increase levelized exergy cost of the vapor, which is heated by the BC turbine residual heat, causes the increase of the levelized exergy cost for the exhaust CO<sub>2</sub>. According to Eq. (32), the fuel-cost-related part of  $c_{Bt}$  increases, leading to the increase of  $c_{Bt}$ . The system capital cost  $(z_{\text{capital}})$  decreases with the increase of  $T_{\text{Ot. in. h}}$ . The decrease of the DORC power output causes the drop of the ORC turbine cost, which leads to the descending trend of  $z_{\text{capital}}$ . The levelized exergy cost for the system product  $(c_{product})$  increases with the rise of  $T_{\text{Ot, in, h}}$ , as shown in Fig. 7. The increase of the levelized exergy cost for the BC turbine and ORC turbine power output cause the rise of fuel-cost-related part of  $c_{\text{product}}$ . Meanwhile, the large decrease of the net power of the CCP system causes the increase of the capital-cost-related part. The two increase parts determine the rise of  $c_{\text{product}}$ . The influences of the inlet pressure at the high-pressure side of ORC turbine  $(P_{Ot, in, h})$ on the output and exergy efficiency of the system are presented in Fig. 8. The net power of the CBC keeps unchanged because of the unchanged thermal parameters in the cycle. The net power output of the DORC increase with the rise of  $P_{\text{Ot, in, h}}$ . The increase

of the evaporation pressure cuts down the latent heat of the organic working fluid,

which causes the increase of the mass flow rate in the high-pressure side of DORC.

As a result, the net power output of the ORC turbine increases, leading to the increase of the net power output of the DORC.

Considering the increase of the DORC net power output and the unchanged CBC net power output, the net power output of the whole system increases. Also, the exergy efficiency of the system increases.

The increase of the mass flow rate in the DORC absorbs more heat from the jacket water in the preheater. Thus, less heat is released in the vapor generator 3, causing the decrease of the mass flow rate of the working fluid in the ERC. As a result, the cooling capacity of the system decreases.

The influence of the inlet pressure at the high-pressure side of the ORC turbine ( $P_{OL}$  in, h) on the levelized exergy cost and system capital cost of the system are presented in Fig. 9. The large increase of the ORC turbine power output accounts for the decrease of the levelized exergy cost for the ORC turbine power output ( $c_{OL}$ ). The increase of the mass flow rate in the high-pressure side of DORC means that more exergy in the vapor is generated by the vapor generator 2, which leads to the decrease of its levelized exergy cost. Thus, the levelized exergy cost for the BC turbine exhaust  $CO_2$ , which provides heat for the vapor, decreases with the vapor levelized exergy cost. Moreover, the drop of the  $CO_2$  levelized exergy cost causes the decrease of the fuel-cost-related part of  $c_{BL}$ , which further results in the decrease of  $c_{BL}$ .

The increase of the ORC turbine power output and the increase of mass flow rate in the DORC cause the increase of cost for the turbine and the vapor generator 2, leading to the rise of the system capital cost.

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The levelized exergy cost for the system product  $(c_{product})$  decreases with the increase of  $P_{Ot, in, h}$ . The decrease of  $c_{Ot}$  and  $c_{Bt}$  account for the decrease of the fuel-cost-related part of the levelized exergy for the system product. The impact of  $c_{Ot}$ and  $c_{\rm Bt}$  is greater than that of the system capital cost which would result in the increase of the capital-cost-related part of  $c_{\text{product}}$ . Thus, the levelized exergy cost of the system product ( $c_{product}$ ) shows a descending trend. The influences of the inlet temperature at the low-pressure side of ORC turbine ( $T_{\rm Ot.}$ in, 1) on the output and the exergy efficiency of the system are presented in Fig. 10. Parameters changes in the DORC are irrelevant to the thermodynamic performance of the CBC. Thus, the net power of the CBC remains unchanged. The net power output of the DORC decreases with the increase of  $T_{Ot, in, l}$ . The increase of the inlet temperature causes the decrease of the mass flow rate in the low-pressure side of the DORC, leading to the decrease of the DORC net power output. Considering the decrease of the DORC net power output and the unchanged CBC net power output, the net power output of the whole system deceases. Likewise, the exergy efficiency of the system decreases. The cooling capacity of the ejector refrigeration cycle increases with the increase of  $T_{\text{Ot, in, l}}$ . The decrease of the mass flow rate in the low-pressure side means that more heat is offered to the ERC. Thus, the mass flow rate of the working fluid in the vapor

generator 3 increases and more working fluid is entrained to the ejector from the

evaporator, which leads to the increase of the refrigeration cycle.

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The influence of inlet temperature at the low-pressure side of the ORC turbine ( $T_{\rm Ot.}$ in 1) on the levelized exergy cost and system capital cost of the system are presented in Fig. 11. The levelized cost for the BC turbine power output increase with the increase of  $T_{\text{Ot, in, l}}$ . The decrease of the mass flow rate in the vapor generator 1 leads to the drop of the vapor exergy output, which results in the increase of the levelized exergy cost for the vapor. The levelized exergy cost for vapor in vapor generator 2, which is equal to that of the vapor in vapor generator 1, increases as a result, causing the increase of the levelized exergy cost of the exhaust CO<sub>2</sub> after the BC turbine. Thus, the fuel-cost-related part of  $c_{Bt}$  increases, resulting in the rise of  $c_{Bt}$ . The levelized exergy cost for the ORC turbine  $(c_{Ot})$  increases with the increase of  $T_{\text{Ot, in, l}}$ . That can be explained by the increase of the levelized exergy cost of the ORC low-pressure inlet vapor and the decrease of the power output of the ORC turbine power output. Both the fuel-cost-related part and the capital-cost-related part of  $c_{\rm Ot}$ increases. The decrease of the mass flow rate and the ORC turbine power output cause the decrease of the vapor generator 1 cost and the turbine cost. Thus, the capital cost of the system decreases. The levelized exergy cost for the system product increases with the increase of  $T_{\rm Ot}$  $_{\rm in, \, l}$ . The increase of  $c_{\rm Bt}$  and  $c_{\rm Ot}$  cause the increase of the fuel-cost-related part of the levelized exergy cost for the system product. Though of, the decrease of the system capital cost causes the decrease of the capital-cost-related part, its effect is less

- 610 important. Thus, the increase of the fuel-cost-related part determines the increase of
- the levelized exergy cost for the system product.
- The influences of the inlet temperature of the low-pressure side of the ORC turbine
- $(P_{Ot, in, 1})$  on the output of the exergy efficiency of the system are shown in Fig. 12.
- The net power of the CBC keeps unchanged with the increase of the increase of the
- low evaporation pressure. The reason is that the thermodynamic of the CBC is
- 616 irrelevant to the thermal parameters in DORC.
- The net power output of the DORC increases with the rise of  $P_{\text{Ot, in, l}}$ . The increase
- of enthalpy drop of the organic working fluid in the low-pressure side, which is
- caused by the rise of  $P_{\text{Ot, in, l}}$ , results in the increase of the power output of the
- low-pressure side. Though mass flow rate in the low-pressure side would decrease, its
- impact is less important than that of the enthalpy drop. Thus, the net power output of
- DORC increases.
- The unchanged CBC power output and the increase of the DORC power accounts
- for the increase of the system net power output and exergy efficiency of the system.
- The cooling capacity increases slightly with the increase of  $P_{\text{Ot. in } 1}$ . Because of the
- decrease of the mass flow rate in DORC, less heat is released in the preheater and
- more heat is provided in vapor generator 3. Thus, the mass flow rate of the working
- fluid in the ERC increases, resulting in the slight increase of the cooling capacity.
- The influences of inlet pressure at the low-pressure side of the ORC turbine  $(P_{Ot,in})$
- on the levelized exergy cost and system capital cost of the system are shown in Fig.
- 13. The levelized exergy cost for the ORC turbine power output decreases with the

increase of  $P_{\text{Ot, in, l}}$ . That can be explained by the decrease of the vapor generator 1 cost, caused by the decrease of the mass flow rate in DORC, and the increase of the DORC power output. Both the capital-cost-related part and the fuel-cost-related part of  $c_{\text{Ot}}$  decrease.

The levelized exergy cost for the BC turbine power output decreases with of  $P_{\text{Ot, in, l}}$ . The decrease of the  $c_{\text{Ot}}$  causes the drop of levelized exergy cost for the vapor in vapor generator 2, which is heated by the residual heat in the BC turbine exhaust  $CO_2$ . Thus, the levelized exergy cost of the exhaust  $CO_2$  decreases, which further leads to the drop of the fuel-cost-related part of  $c_{\text{Bt}}$ . Therefore, the levelized exergy cost for the BC turbine power output  $(c_{\text{Bt}})$  decreases, as shown in Fig. 13.

The increase of the ORC turbine power output causes the increase of the ORC turbine cost. Meanwhile, the increase of the cooling capacity causes the increase of the heat transfer area in the evaporator which requires the rise of the evaporator cost.

Thus, the capacity cost of the system increases.

The levelized exergy cost for the system product decreases with the increase of  $P_{\text{Ot}}$ ,  $p_{\text{in}, l}$ . The decrease of the levelized exergy cost for the BC turbine power output and ORC turbine power cause the decrease of the fuel-cost-related part of the system levelized exergy cost, which determined the decrease of the levelized exergy cost for the system product.

The influence of ejector primary inlet pressure ( $P_{\rm ej,\,in}$ ) on the output and the exergy efficiency of the system are shown in Fig. 14. Thermal parameter changes in the ERC can't affect the thermodynamic performance of the CBC and DORC. Thus, the net

power output of the two cycles remain unchanged. With the increase of the ejector primary inlet pressure, the power consumption of pump 4 increases, leading to the slight decrease of the power output of the whole system.

The increase of the ejector primary inlet pressure causes the increase of the entrainment ratio of the ejector. Thus, more secondary flow is entrained to the ejector from the evaporation, leading to the increase of the cooling capacity.

With the increase of the ejector primary inlet pressure, the power consumption of pump 4 increases gradually. At first, the exergy loss in pump 4 is smaller than the exergy produced by the cooling capacity. Then, with the increase of the pump power consumption, the exergy loss in pump 4 becomes larger than the cooling exergy increase. Thus, the exergy efficiency for the system increases at first and then decreases with the increase of the ejector primary inlet pressure.

The influence of the ejector primary inlet pressure on the levelized exergy cost and the system capital cost of the system are presented in Fig. 15. The increase of the ejector primary inlet pressure can't affect the power output of the BC turbine and the ORC turbine. Thus, the levelized exergy cost for the BC turbine and the ORC power output remain unchanged.

The increase of the pump power consumption results in the increase of the pump 4 cost. The increase of the mass flow rate in the evaporator causes the increase of the evaporator cost. Thus, the system capital cost increases, which leads to the increase of the capital-cost-related part of  $c_{\text{product}}$ . As a result, the levelized exergy cost for the system increases.

The parametric analysis reveals the potential of optimization for the CCP system. With the increase of the BC turbine inlet temperature, the net power output of the system increases while the cooling capacity decreases. With the increase of the inlet temperature at the high-pressure side of ORC turbine, the net power output of the system decreases while the cooling capacity increases. In this study, seven key parameters (BC turbine inlet temperature, BC turbine inlet pressure, inlet temperature at the high-pressure side of ORC turbine, inlet pressure at the high-pressure side of ORC turbine, inlet temperature at the low-pressure side of ORC turbine, inlet pressure at the low-pressure side of ORC turbine and the ejector primary inlet pressure) are chosen as the variables to optimize the system. The ranges of these parameters are listed in Table 6. Considering that the levelized exergy cost reflects the thermodynamic and the exergoeconomic aspect of the system, the levelized exergy cost for the system product is selected as the objective function and genetic algorithm is selected to conduct the single-objective optimization. Genetic algorithm (GA) is an optimization method based on the natural biological evaluation. [45] It simulates the natural genetic rules and searches the optimization result in all the generation. The control parameters of the GA are listed in Table 7. The optimization results of GA are listed in Table 8. It can be obtained that the minimum levelized exergy cost for the system product  $c_{\text{product}}$  is 53.25  $(MWh)^{-1}$ . The net power output, exergy efficiency of the CCP system are 374.37 kW, 37.31%

respectively. The inlet pressure at the high-pressure side of ORC turbine is 1.85 MPa.

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Meanwhile, it can be evidenced from Fig. 8 and 9 that the highest output power (about 374.37 kW), exergy efficiency (about 37.31%) and the lowest levelized exergy cost (about 53.25 \$(MWh)<sup>-1</sup>) at the highest inlet pressure at the high-pressure side ORC turbine (about 1.85 MPa). The results shown in Fig. 8 and 9 are close to the optimization results. The inlet pressure at the high-pressure side ORC turbine is varied while other six parameters are kept as constants in Fig. 8 and 9. Thus, inlet pressure at the high-pressure side ORC turbine plays a more important role than other six parameters when determining the performance of the system. When the inlet pressure at the high-pressure side ORC turbine is close to the highest permitted pressure, the system performance is close to the optimization performance.

Fig. 16 shows the exergy destruction of different components of the system under the optimization conditions. The largest exergy destruction takes place in the ORC turbine (41.26%), which is mainly caused by the mixing of the high-pressure vapor and the low-pressure vapor. Gas heater contributes 13.44% of the total exergy destruction. Three vapor generators take up 4.13%, 11.67% and 3.73% of the exergy destruction, respectively. The exergy destruction for the ejector is 5.61 %, which is also caused by the working fluid mixing. For BC turbine, condenser 1, precooler and preheater, the exergy destruction are 3.31%, 4.64%, 3.69% and 3.65%, respectively. Other components contribute to the rest 4.87% of the exergy destruction.

## 5. Conclusion

In this paper, a combined cooling and power system is developed. Seven

- 719 parameters are selected to analyze the thermodynamic and exergoeconomic
- performance of the system. Single-objective optimization is carried out with the help
- of GA. The conclusions of the study are presented as follows:
- 722 (1) In the CBC, the increase of  $T_{\rm Bt,in}$  and  $P_{\rm Bt,in}$  contribute to the increase of the system
- exergy efficiency and the decrease of the levelized exergy cost for the system
- 724 product.
- 725 (2) In the DORC, the increase of  $T_{Ot, in}$  and  $T_{Ot, in, 1}$  would cause the decrease of the
- system exergy efficiency and the increase of the levelized exergy cost for the
- system product. Meanwhile, the increase of  $P_{Ot, in, h}$  and  $P_{Ot, in, l}$  would result in the
- increase of the exergy efficiency and the decrease of the levelized exergy cost.
- 729 (3) In the ERC, the increase of  $P_{ei, in}$  would cause the increase of the refrigeration
- capacity and the decrease of the system net power output.
- 731 (4) Single -objective optimization results show that the minimum levelized exergy
- cost for the system product is obtain as 53.25 \$(MWh)<sup>-1</sup> with net power output of
- 733 374.37 kW, cooling capacity of 188.63 kW and system exergy efficiency of
- 734 37.31%.

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## 738 Appendix A

This section shows the calculation of the heat transfer area in the heat exchangers

- 740 used in this study.
- All the heat exchangers used in this study are shell-and-tube heat exchanger. The
- thermodynamic properties of the working fluid vary with the heat transfer process.
- 743 Thus, to calculate the heat transfer area actually, the heat transfer processes are
- discretized into a lot of small sections. In each section, the heat transfer area is small
- and the thermodynamic properties are assumed to be constant.
- For each section the heat transfer area is calculated as:

$$747 A_{i} = \frac{Q_{i}}{\left(\Delta T_{i} \cdot U_{i}\right)} (B1)$$

- 748 where  $\Delta T_i$  is the log-mean temperature difference (LMTD) and  $U_i$  is the overall heat
- 749 transfer coefficient.

$$750 \qquad \frac{1}{U_{i}} = \frac{1}{\alpha_{i,i}} + \frac{\delta}{\lambda} + \frac{1}{\alpha_{i,i}} \tag{B2}$$

- In Eq. (B2)  $\delta$  and  $\lambda$  represent the thickness of the tube and the thermal conductivity
- of the tube wall, respectively.  $\alpha_{t,i}$  is the convection heat transfer coefficient in the tube
- side and  $\alpha_{s,i}$  is the convection heat transfer coefficient in the shell side.
- For different heat transfer process, the convection heat transfer coefficient has
- different format. We classify the heat transfer processes into single-phase heat transfer
- process and two-phase heat transfer process. In gas heater, precooler and the preheater,
- single-phase heat transfer process happens. In evaporator, two-phase heat transfer
- process occurs. In vapor generators and the condensers, both the single-phase and the
- two-phase heat transfer process happen.
- In the single-phase heat transfer process, the convection heat transfer coefficient in

the tube side and the shell side are expressed as [46]:

$$\alpha_{t,i} = \frac{\lambda \cdot Nu}{D_i}$$
 (B3)

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$$\alpha_{s,i} = 0.36 \left(\frac{\lambda}{D_{es}}\right) \cdot \left(\frac{D_{es} \cdot G_s}{\mu}\right)^{0.55} \cdot \Pr^{\frac{1}{3}} \cdot \left(\frac{\mu}{\mu_w}\right)^{0.14}$$
(B4)

In Eq. (B3), the Nusselt number is calculated as [47,48]:

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$$Nu = \left[ \frac{(f/8) \cdot \text{Re} \cdot \text{Pr}}{12.7 (f/8)^{0.5} \cdot (\text{Pr}^{2/3} - 1) + 1.07} \right], \text{ for } \text{Re} < 10^4$$
 (B5)

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$$Nu = \left[ \frac{(f/8) \cdot (\text{Re} - 1000) \cdot \text{Pr}}{12.7 (f/8)^{0.5} \cdot (\text{Pr}^{2/3} - 1) + 1.07} \right], \text{ for } 10^4 < \text{Re} < 5 \times 10^6$$
 (B6)

- where f is the Darcy friction factor, Re is the Reynolds and Pr is the Prandtl number.
- In Eq. (B4),  $D_{\rm es}$  is the equivalent diameter of the shell, being expressed as:

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$$D_{\rm es} = \frac{1.10 {\rm Pt}^2}{D_{\rm out,i}} - D_{\rm out,i}$$
 (B7)

- where Pt is the center distance between the tubes.
- Evaporation and condensation are two different two-phase heat transfer processes.
- In this study, the cold organic working fluid flows in the tubes of the heat exchangers.
- 773 The convection heat transfer coefficient of evaporation and condensation are
- 774 expressed as [49,50]:

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$$\alpha_{\text{ev,i}} = 0.023 \left[ \frac{G(1-x)}{\mu_{\text{l}}} \right]^{0.8} \cdot \Pr_{\text{l}}^{0.4} \cdot \frac{\lambda_{\text{l}}}{d} \cdot \left[ 1 + 3000Bo^{0.86} + 1.12 \left( \frac{x}{1-x} \right)^{0.75} \cdot \left( \frac{\rho_{\text{l}}}{\rho_{\text{v}}} \right)^{0.41} \right]$$
(B8)

776 
$$\alpha_{\text{cond,i}} = 0.023 \left[ \frac{G(1-x)}{\mu_{\text{l}}} \right]^{0.8} \cdot \Pr_{\text{l}}^{0.4} \cdot \frac{\lambda_{\text{l}}}{d} \cdot \left[ \left( 1 - x \right)^{0.8} + \frac{3.8x^{0.76} \left( 1 - x \right) 0.04}{P_{r}^{0.38}} \right]$$
 (B9)

- In Eq. (B9),  $P_r$  is the reduced pressure. In Eq. (B8) Bo is the boiling number, being
- 778 expressed as:

$$Bo = \frac{q_{\rm m}}{G \cdot r_{\rm f}} \tag{B10}$$

#### 780 **Appendix B**

The constants for component capital cost calculation are list in Table B1.

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- 921 Figure captions
- 922 **Fig. 1.** Schematic diagram of the CCP system
- 923 Fig. 2. Influences of BC turbine inlet temperature on the output and the exergy
- 924 efficiency of the system.
- 925 **Fig. 3.** Influences of BC turbine inlet temperature on the levelized exergy cost and the
- 926 system capital cost of the system.
- 927 **Fig. 4.** Influences of BC turbine inlet pressure on the output and the exergy efficiency
- 928 of the system.
- 929 Fig. 5. Influences of BC turbine inlet pressure on the levelized exergy cost and the
- 930 system capital cost of the system.
- 931 **Fig. 6.** Influences of inlet temperature at the high-pressure side of ORC turbine on the
- output and the exergy efficiency of the system.
- 933 **Fig. 7.** Influences of inlet temperature at the high-pressure side of ORC turbine on the
- levelized exergy cost and the system capital cost of the system.
- 935 **Fig. 8.** Influences of inlet pressure at the high-pressure side of ORC turbine on the
- output and the exergy efficiency of the system.
- 937 **Fig. 9.** Influences of inlet pressure at the high-pressure side of ORC turbine on the
- levelized exergy cost and the system capital cost of the system.
- 939 **Fig. 10.** Influences of inlet temperature at the low-pressure side of ORC turbine on the
- output and the exergy efficiency of the system.
- 941 **Fig. 11.** Influences of inlet temperature at the low-pressure side of ORC turbine on the
- levelized exergy cost and system capital cost of the system.

943	Fig. 12. Influences of inlet pressure at the low-pressure side of ORC turbine on the
944	output and the exergy efficiency of the system.
945	Fig. 13. Influences of inlet pressure at the low-pressure side of ORC turbine on the
946	levelized exergy cost and system capital cost of the system.
947	Fig. 14. Influences of ejector primary inlet pressure on the output and the exergy
948	efficiency of the system.
949	Fig. 15. Influences of ejector primary inlet pressure on the levelized exergy cost and
950	the system capital cost of the system.
951	Fig. 16. Exergy destruction of different components
952	

Component	Energy equation	$E_{ m F}$	$E_{ m P}$	$E_{\mathrm{D}}$	$E_{ m L}$
Gas heater	$M_{g1} \cdot (h_{g1} - h_{g2}) = M_2 \cdot (h_3 - h_2)$	$E_{ m g1}-E_{ m g2}$	$E_3 - E_2$	$E_{\rm g1} + E_2 - E_3 - E_{\rm g2}$	/
BC turbine	$W_{\mathrm{Bt}} = M_{3} \cdot (h_{3} - h_{4}) = M_{3} \cdot (h_{3} - h_{4s}) \cdot \eta_{\mathrm{Bt}}$	$E_3 - E_4$	$W_{ m Bt}$	$E_3 - E_4 - W_{\rm Bt}$	/
Vapor generator 2	$M_4 \cdot (h_4 - h_5) = M_9 \cdot (h_{10} - h_9)$	$E_4 - E_5$	$E_{10} - E_{9}$	$E_4 + E_9 - E_5 - E_{10}$	/
Precooler	$M_1 \cdot (h_5 - h_1) = M_{26} \cdot (h_{27} - h_{26})$	/	/	$E_5 + E_{26} - E_1 - E_{27}$	$E_{27} - E_{26}$
Compressor	$W_{\text{comp}} = M_1 \cdot (h_2 - h_1) = M_1 \cdot (h_{2s} - h_1) / \eta_{\text{comp}}$	$W_{ m comp}$	$E_2 - E_1$	$E_1 - E_2 + W_{\text{comp}}$	/
Vapor generator 1	$M_{g2} \cdot (h_{g2} - h_{g3}) = M_8 \cdot (h_{11} - h_8)$	$E_{\mathrm{g}2}-E_{\mathrm{g}1}$	$E_{11} - E_8$	$E_{\rm g2} + E_{\rm 8} - E_{\rm 11} - E_{\rm g3}$	/
ORC turbine	$W_{\text{Ot}} = M_{10} \cdot (h_{10} - h_{12}) + M_{11} \cdot (h_{11} - h_{12})$	$E_{10} + E_{11} - E_{12}$	$W_{ m Ot}$	$E_{10} + E_{11} - E_{12} - W_{\rm Ot}$	/
Condenser 1	$M_{12} \cdot (h_{12} - h_{13}) = M_{28} \cdot (h_{29} - h_{28})$	/	/	$E_{12} + E_{28} - E_{13} - E_{29}$	$E_{29} - E_{28}$
Pump 1	$W_{\rm pl} = M_{13} \cdot (h_{14} - h_{13}) = M_{13} \cdot (h_{14s} - h_{13}) / \eta_{\rm pl}$	$W_{\mathfrak{p}1}$	$E_{14} - E_{13}$	$E_{13} - E_{14} + W_{p1}$	/
Preheater	$M_{15} \cdot (h_{15} - h_{14}) = M_{w1} \cdot (h_{w1} - h_{w2})$	$E_{ m w1}-E_{ m w2}$	$E_{15} - E_{14}$	$E_{\rm w1} + E_{\rm 14} - E_{\rm 15} - E_{\rm w2}$	/
Pump 2	$W_{\rm p2} = M_7 \cdot (h_9 - h_7) = M_7 \cdot (h_{9\rm s} - h_7) / \eta_{\rm p2}$	$W_{ m p2}$	$E_9 - E_7$	$E_7 - E_9 + W_{p2}$	/
Pump 3	$W_{\rm p3} = M_6 \cdot (h_8 - h_6) = M_6 \cdot (h_{8s} - h_6) / \eta_{\rm p3}$	$W_{ m p3}$	$E_8 - E_6$	$E_6 - E_8 + W_{\mathrm{p3}}$	/
Vapor generator 3	$M_{23} \cdot (h_{23} - h_{22}) = M_{w2} \cdot (h_{w2} - h_{w3})$	$E_{w2} - E_{w3}$	$E_{23} - E_{22}$	$E_{w2} + E_{22} - E_{23} - E_{w3}$	/
Condenser 2	$M_{16} \cdot (h_{16} - h_{17}) = M_{30} \cdot (h_{31} - h_{30})$	/	/	$E_{16} + E_{30} - E_{17} - E_{31}$	$E_{31} - E_{30}$
Valve	$h_{19} = h_{20}$	/	/	$E_{19} - E_{20}$	/
Pump 4	$W_{\rm p4} = M_{22} \cdot (h_{22} - h_{18}) = M_{22} \cdot (h_{22s} - h_{18}) / \eta_{\rm p4}$	$W_{ m p4}$	$E_{22} - E_{18}$	$E_{18} - E_{22} + W_{\rm p4}$	/
Ejector	$M_{16} \cdot h_{16} = M_{23} \cdot h_{23} + M_{21} \cdot h_{21}$	$E_{23} + E_{21}$	$E_{16}$	$E_{23} + E_{21} - E_{16}$	/
Evaporator	$M_{20} \cdot (h_{21} - h_{20}) = M_{24} \cdot (h_{24} - h_{25})$	$E_{20} - E_{21}$	$E_{25} - E_{24}$	$E_{20} + E_{24} - E_{21} - E_{25}$	/

Table 2 Cost balance and auxiliary relation [30] for each component of CCP system

Component Cost balance	Auxiliary relation
------------------------	--------------------

Gas heater	$c_{\rm g2} \cdot E_{\rm y,g2} + c_{\rm 3} \cdot E_{\rm y,3} = c_{\rm g1} \cdot E_{\rm y,g1} + c_{\rm 2} \cdot E_{\rm y,2} + Z_{\rm gh}$	$c_{\rm g1} = c_{\rm g2} = 0$
Vapor generator 2	$c_5 \cdot E_{\text{y,5}} + c_{10} \cdot E_{\text{y,10}} = c_4 \cdot E_{\text{y,4}} + c_9 \cdot E_{\text{y,9}} + Z_{\text{vg,2}}$	$c_4 = c_5$
BC turbine	$c_4 \cdot E_{y,4} + c_{Bt} \cdot W_{y,Bt} = c_3 \cdot E_{y,3} + Z_{Bt}$	$c_4 = c_3$
Precooler	$c_{1} \cdot E_{\text{y},1} + c_{26} \cdot E_{\text{y},26} = c_{5} \cdot E_{\text{y},5} + c_{27} \cdot E_{\text{y},27} + Z_{\text{prec}}$	$c_1 = c_5$
Compressor	$\boldsymbol{c}_2 \cdot \boldsymbol{E}_{\text{y,2}} = \boldsymbol{c}_1 \cdot \boldsymbol{E}_{\text{y,1}} + \boldsymbol{c}_{\text{elec,1}} \cdot \boldsymbol{W}_{\text{y,comp}} + \boldsymbol{Z}_{\text{comp}}$	$c_{\mathrm{elec},1} = c_{\mathrm{Bt}}$
Vapor generator 1	$c_{\rm g3} \cdot E_{\rm y,g3} + c_{\rm 11} \cdot E_{\rm y,11} = c_{\rm g2} \cdot E_{\rm y,g2} + c_{\rm 8} \cdot E_{\rm y,8} + Z_{\rm vg,1}$	$c_{\mathrm{g}2} = c_{\mathrm{g}3}$
ORC turbine	$c_{12} \cdot E_{y,12} + c_{Ot} \cdot W_{y,Ot} = c_{11} \cdot E_{y,11} + c_{10} \cdot E_{y,10} + Z_{Ot}$	$c_{10} = c_{11} = c_{12}$
Pump 1	$c_{14} \cdot E_{\text{y},14} = c_{13} \cdot E_{\text{y},13} + c_{\text{elec},3} \cdot W_{\text{y},\text{pump1}} + Z_{\text{pump1}}$	$c_{\mathrm{elec,3}}=c_{\mathrm{Ot}}$
Condenser 1	$c_{\rm 13} \cdot E_{\rm y,13} + c_{\rm 29} \cdot E_{\rm y,29} = c_{\rm 28} \cdot E_{\rm y,28} + c_{\rm 12} \cdot E_{\rm y,12} + Z_{\rm cond1}$	$c_{13} = c_{12}$
Preheater	$c_{\text{w2}} \cdot E_{\text{y,w2}} + c_{\text{15}} \cdot E_{\text{y,15}} = c_{\text{w1}} \cdot E_{\text{y,w1}} + c_{\text{14}} \cdot E_{\text{y,14}} + Z_{\text{preh}}$	$c_{\rm w1} = c_{\rm w2} = 0$
Pump 2	$c_9 \cdot E_{y,9} = c_7 \cdot E_{y,7} + c_{\text{elec},2} \cdot W_{y,\text{pump2}} + Z_{\text{pump2}}$	$c_{\mathrm{elec},2} = c_{\mathrm{Ot}}$
Pump 3	$c_8 \cdot E_{\text{y,8}} = c_6 \cdot E_{\text{y,6}} + c_{\text{elec,3}} \cdot W_{\text{y,pump3}} + Z_{\text{pump3}}$	$c_{\mathrm{elec,3}}=c_{\mathrm{Ot}}$
Vapor generator 3	$c_{\text{w3}} \cdot E_{\text{y,w3}} + c_{\text{23}} \cdot E_{\text{y,23}} = c_{\text{w2}} \cdot E_{\text{y,w2}} + c_{\text{22}} \cdot E_{\text{y,22}} + Z_{\text{vg,3}}$	$c_{\mathrm{w3}}=c_{\mathrm{w2}}$
Valve	/	$c_{19} = c_{20}$
Pump 4	$c_{22} \cdot E_{\text{y,22}} = c_{18} \cdot E_{\text{y,18}} + c_{\text{elec,3}} \cdot W_{\text{y,pump4}} + Z_{\text{pump4}}$	$c_{\mathrm{elec,4}} = c_{\mathrm{Ot}}$
Condenser 2	$c_{17} \cdot E_{\text{y},17} + c_{31} \cdot E_{\text{y},31} = c_{30} \cdot E_{\text{y},30} + c_{16} \cdot E_{\text{y},16} + Z_{\text{cond2}}$	$c_{16} = c_{17}$
Ejector	$c_{16} \cdot E_{y,16} = c_{23} \cdot E_{y,23} + c_{21} \cdot E_{y,21}$	/
Evaporator	$c_{\rm 21} \cdot E_{\rm y,21} + c_{\rm 25} \cdot E_{\rm y,25} = c_{\rm 20} \cdot E_{\rm y,20} + c_{\rm 24} \cdot E_{\rm y,24} + Z_{\rm ev}$	$c_{20} = c_{21}$

**Table 3** Main parameters of the engine [7]

Parameters	Value
Power output (kW)	2928
Rotation (r(min) <sup>-1</sup> )	1000

Exhaust gas temperature (°C)	470
Exhaust gas mass flow rate (kg s <sup>-1</sup> )	4.35
Temperature of jacket water (°C)	90/79
Mass flow rate of jacket water (kg s <sup>-1</sup> )	25

**Table 4** Composition of the exhaust gas [7]

Composition	Molecular (g(mol) <sup>-1</sup> )	Fraction (%)
Oxygen (O <sub>2</sub> )	32.00	9.3
Carbon dioxide (CO <sub>2</sub> )	44.00	9.1
Water (H <sub>2</sub> O)	18.01	7.4
Nitrogen (N <sub>2</sub> )	28.01	74.2

**Table 5** Condition of simulation for the CCP system

Parameter	Value
Ambient temperature (°C)	20
Ambient pressure (MPa)	0.101
Compressor inlet temperature (°C)	35
BC turbine inlet temperature (°C)	400
BC turbine inlet pressure (MPa)	18
BC turbine outlet pressure (MPa)	8
Inlet temperature at the high-pressure side of ORC turbine (°C)	150
Inlet pressure at the high-pressure side of ORC turbine (MPa)	1.6
Inlet temperature at the low-pressure side of ORC turbine (°C)	100
Inlet pressure at the low-pressure side of ORC turbine (MPa)	1.0

Outlet pressure of pump 1 (MPa)	0.9
Ejector primary inlet pressure (MPa)	0.4
Terminal temperature difference at gas heater outlet (°C)	100
Pinch point temperature difference in vapor generator 1 (°C)	30
Pinch point temperature difference in vapor generator 2 (°C)	30
Pinch point temperature difference in vapor generator 3 (°C)	25
Condensation temperature of condenser 1 (°C)	30
Condensation temperature of condenser 2 (°C)	30
Evaporation temperature of evaporator (°C)	5
Isentropic efficiency of BC turbine (%)	80
Isentropic efficiency of ORC turbine (%)	80
Isentropic efficiency of compressor (%)	80
Isentropic efficiency of pump 1 (%)	75
Isentropic efficiency of pump 2 (%)	75
Isentropic efficiency of pump 3 (%)	75
Inlet temperature of cooling water (°C)	20

**Table 6** Parameters for GA

Parameter	Operation range
BC turbine inlet temperature (°C)	330-440
BC turbine inlet pressure (MPa)	15-20
Inlet temperature at the high-pressure side of ORC turbine (°C)	130-180
Inlet pressure at the high-pressure side of ORC turbine (MPa)	1.4-2

	Inlet temperature at the low-pressure side of ORC turbine (°C)		90-150
	Inlet pressure at the low-pressure side of ORC turbine (MPa)		0.9-1.3
	Ejector primary inlet pressure (MPa)		0.3-1
959	Table 7 Control parameters of GA		
	Tuning parameters	Value	
	Population size	20	
	Mutation probability	0.01	
	Crossover probability	0.8	
	Stop generation	200	
960	Table 8 Single-objective optimization results		
	Parameter		Value
	BC turbine inlet temperature (°C)		425.46
	BC turbine inlet pressure (MPa)		20.00
	Inlet temperature at the high-pressure side of ORC turbine (°C)		144.32
	Inlet pressure at the high-pressure side of ORC turbine (MPa)		1.85
	Inlet temperature at the low-pressure side of ORC turbine (°C)		100.03
	Inlet pressure at the low-pressure side of ORC turbine (MPa)		1.26
	Ejector primary inlet pressure (MPa)		0.54
	Net power output (kW)		374.37
	Cooling capacity (kW)		188.63
	Exergy efficiency (%)		37.31
	Levelized exergy cost (\$ (MWh) <sup>-1</sup> )		53.25

 Table B1 Constants for component costs [37]

Constant	Value	Constant	Value	Constant	Value
$B_{1,\mathrm{he}}$	1.63	$K_{3,\mathrm{pump}}$	0.1538	$C_{3,\mathrm{he}}$	0.08183
$B_{2,\mathrm{he}}$	1.66	$K_{1, ext{turb}}$	2.7051	$C_{1,\mathrm{pump}}$	-0.3635
$B_{1,\mathrm{pump}}$	1.89	$K_{2,\text{turb}}$	1.4398	$C_{2,\mathrm{pump}}$	0.3957
$B_{2,\mathrm{pump}}$	1.35	$K_{3,\text{turb}}$	-0.1776	$C_{3,\mathrm{pump}}$	-0.0026
$K_{1,\mathrm{he}}$	4.3247	$K_{1,\text{comp}}$	2.2897	$F_{ m M,he}$	1.0
$K_{2,\mathrm{he}}$	-0.3030	$K_{2,\text{comp}}$	1.3604	$F_{ m BM,turb}$	3.5
$K_{3,\mathrm{he}}$	0.1634	$K_{3,\text{comp}}$	-0.1027	$F_{ m BM,comp}$	2.7
$K_{1,\mathrm{pump}}$	3.3892	$C_{1,\mathrm{he}}$	0.03881	$F_{ m M,pump}$	2.2
$K_{2,\mathrm{pump}}$	0.0536	$C_{2,\mathrm{he}}$	-0.11272		

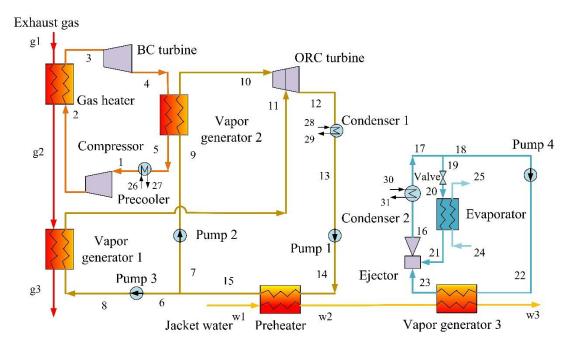
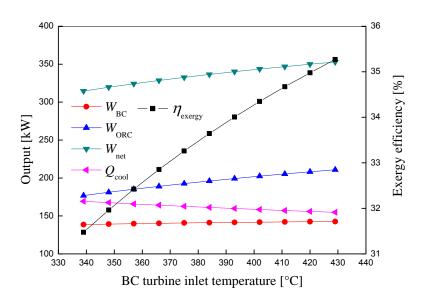
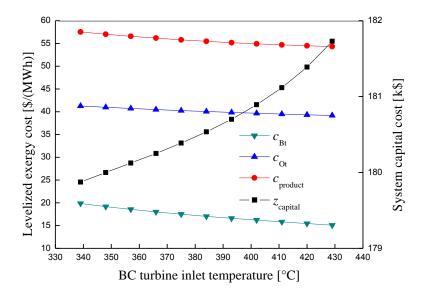


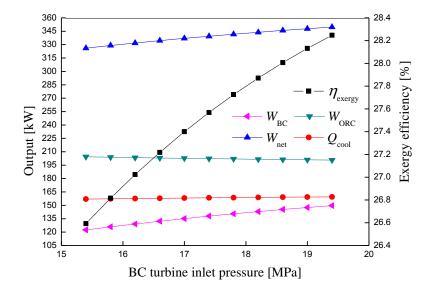
Fig. 1. Schematic diagram of the CCP system



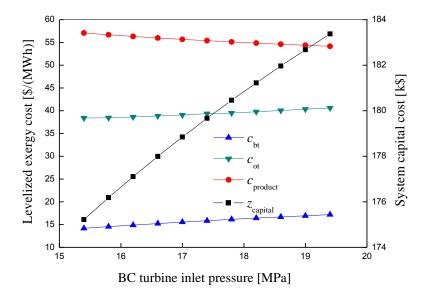
**Fig. 2.** Influences of BC turbine inlet temperature on the output and the exergy efficiency of the system.



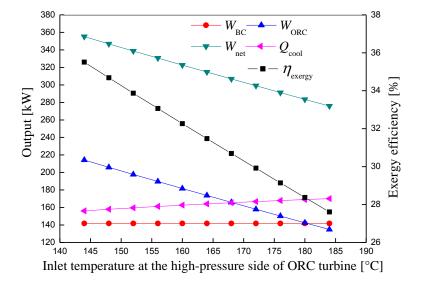
**Fig. 3.** Influences of BC turbine inlet temperature on the levelized exergy cost and the system capital cost of the system.



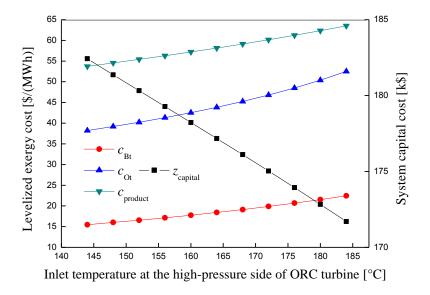
**Fig. 4.** Influences of BC turbine inlet pressure on the output and the exergy efficiency of the system.



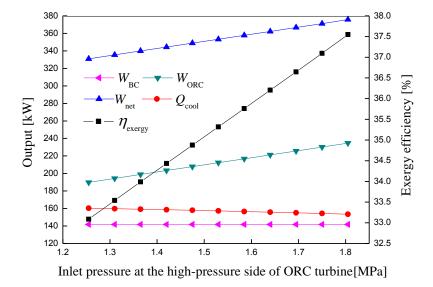
**Fig. 5.** Influences of BC turbine inlet pressure on the levelized exergy cost and the system capital cost of the system.



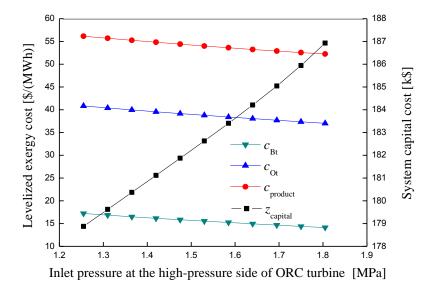
**Fig. 6.** Influences of inlet temperature at the high-pressure side of ORC turbine on the output and the exergy efficiency of the system.



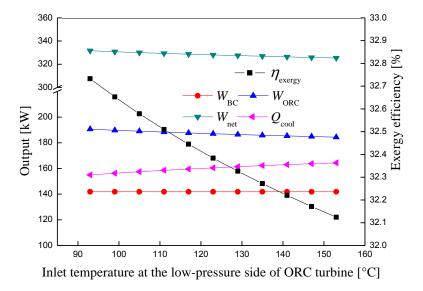
**Fig. 7.** Influences of inlet temperature at the high-pressure side of ORC turbine on the levelized exergy cost and the system capital cost of the system.



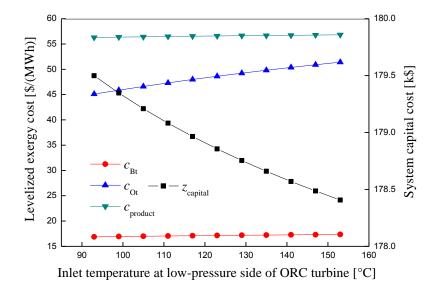
**Fig. 8.** Influences of inlet pressure at the high-pressure side of ORC turbine on the output and the exergy efficiency of the system.



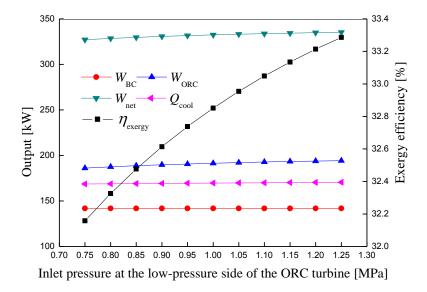
**Fig. 9.** Influences of inlet pressure at the high-pressure side of ORC turbine on the levelized exergy cost and the system capital cost of the system.



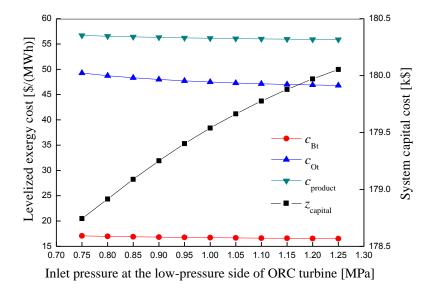
**Fig. 10.** Influences of inlet temperature at the low-pressure side of ORC turbine on the output and the exergy efficiency of the system.



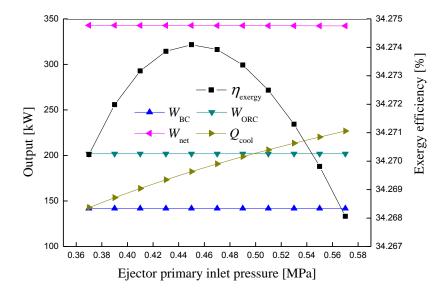
**Fig. 11.** Influences of inlet temperature at the low-pressure side of ORC turbine on the levelized exergy cost and system capital cost of the system.



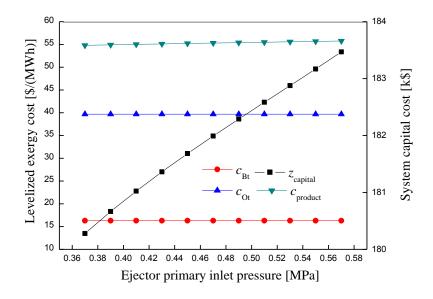
**Fig. 12.** Influences of inlet pressure at the low-pressure side of ORC turbine on the output and the exergy efficiency of the system.



**Fig. 13.** Influences of the inlet pressure at the low-pressure side of ORC turbine on the levelized exergy cost and system capital cost of the system.



**Fig. 14.** Influences of ejector primary inlet pressure on the output and the exergy efficiency of the system.



**Fig. 15.** Influences of ejector primary inlet pressure on the levelized exergy cost and the system capital cost of the system.

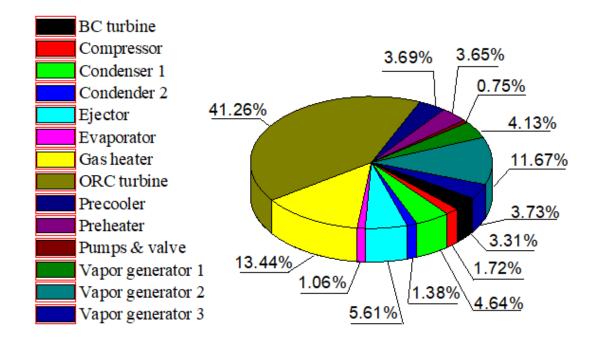


Fig. 16. Exergy destruction of different components

#### **Response to Reviewers**

Paper No. ECM-D-18-05037, Energy Conversion and Management

Title: Performance analysis and optimization of a combined cooling and power system using low boiling point working fluid driven by engine waste heat

Dear editor & reviewers,

Thank you very much for your review of our paper entitled "Performance analysis and optimization of a combined cooling and power system using low boiling working fluid driven by engine waste heat", and for your comments and recommendations. These commends and recommendations help us to make better modifications and improve the quality of the paper. We have modified the manuscript accordingly in the revised manuscript. Please find below our response and explanations for your comments and questions.

#### **Editor Comments:**

1. The first time you use a chemical formula in the text, please write the full compound name and the formula in parenthesis.

We thank the editor for this suggestion. There are four kinds of material we used as chemical formula in the text and we have written the full compound name and the formula in parenthesis in the revised manuscript the first time it comes out. They are at Line 106: "carbon dioxide ( $CO_2$ )"; at Line 956: "Oxygen ( $O_2$ )"; "Water ( $H_2O$ ); Nitrogen ( $N_2$ )".

#### Reviewer #2:

1. In the Nomenclature, change the word "subscribers" to "subscripts"

We thank the reviewer for helping us find the error. It was corrected in the revised manuscript.

2. Throughout the text, approximate the numeric values to the percent symbol, that is, without space.

We thank the reviewer for helping us find the error. We have removed the space between the numeric value and the percent symbol in the revised manuscript.

3. At line 388, remove the space before ":".

We thank the reviewer for helping us find the error. We have removed that space in the revised manuscript at Line 388.

4. At line 595, remove the article "the" before "equal".

We thank the reviewer for helping us find the error. We have removed that "the" in the revised manuscript at Line 595.

5. At line 608, change the word "decease" by "decrease".

We thank the reviewer for helping us find the error. We have changed the word in the revised manuscript at Line 608.

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# Performance analysis and optimization of a combined 1 cooling and power system using low boiling point working 2 fluid driven by engine waste heat 3 4 Wenge Huang, Jiangfeng Wang\*, Jiaxi Xia, Pan Zhao, Yiping Dai 5 Institute of Turbomachinery, Shaanxi Engineering Laboratory of Turbomachinery and Power Equipment, State Key Laboratory of Multiphase Flow in Power Engineering, 6 7 School of Energy and Power Engineering, Xi'an Jiaotong University, Xi'an 710049, 8 China 9 **Corresponding author:** Jiangfeng Wang 10 Mailing address: 11 Institute of Turbomachinery, Shaanxi Engineering Laboratory of Turbomachinery and 12 Power Equipment, State Key Laboratory of Multiphase Flow in Power Engineering, 13 School of Energy and Power Engineering

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# Performance analysis and optimization of a combined cooling and power system using low boiling point working

# fluid driven by engine waste heat

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25 Abstract

This paper develops a combined cooling and power system, which consists of a carbon dioxide Brayton cycle, a dual-pressure organic Rankine cycle and an ejector refrigeration cycle, to recover waste heat from exhaust gas and jacket water in internal combustion engines. Thermodynamic models of the system are performed and exergoeconomic methods are used to calculate the levelized exergy cost of the component products. Effects of seven parameters, including Brayton cycle turbine inlet temperature and inlet pressure, organic Rankine cycle turbine high-pressure side and low-pressure side inlet temperature and ejector primary inlet pressure, are evaluated. Single-objective optimization is carried out by means of genetic algorithm to obtain the minimum levelized exergy cost of system product. Results show that the increase of pressure at Brayton cycle turbine inlet and high-pressure and low-pressure side of the organic Rankine cycle turbine inlet contributes to the decrease of levelized

exergy cost of the system product. Optimization results show that minimum levelized exergy cost for system product is 53.25 \$ (MWh)<sup>-1</sup>. When system product levelized exergy cost is minimum, system net power output, cooling capacity and exergy efficiency are 374.37 kW, 188.63 kW and 37.31%, respectively.

# 42 **Nomenclature**

Latin symbols		ρ	density, kg m <sup>-3</sup>
A	area, m <sup>2</sup>	μ	dynamic viscosity, m <sup>2</sup> s <sup>-1</sup>
$B_{ m o}$	boiling number	η	efficiency, %
c	levelized average cost, \$(MWh) <sup>-1</sup>	δ	thickness, m
$c_{ m p}$	specific heat, kJ kg <sup>-1</sup> K <sup>-1</sup>	Subscrip	ots
C	cost rate, \$ year <sup>-1</sup>	1-31	state points
D	diameter, m	g1-g3	state points
e	exergy, kJ kg <sup>-1</sup>	w1-w3	state points
E	exergy flow rate, kJ s <sup>-1</sup>	Bt	Brayton cycle turbine
$E_{ m y}$	exergy flow rate per year, kJ year <sup>-1</sup>	BM	bare module
F	multiplying factor	cond	condenser
f	friction factor	comp	compressor
G	mass flow rate, kg s <sup>-1</sup>	D	destruction
h	enthalpy, kJ kg <sup>-1</sup>	elec	electricity
Н	depth, m	es	equivalent diameter
$i_{ m eff}$	interest rate	ev	evaporation/evaporator
l	length, m	ex	exergy

M	mass flow rate, kg s <sup>-1</sup>	F	fuel
n	lifetime, year	g	exhaust gas
Nu	Nusselt number	gh	gas heater
P	pressure, MPa	he	heat exchanger
Pr	Prandtl number	L	loss
Pt	center distance between tubes, m	1	liquid
$P_r$	reduced pressure	M	material
Q	heat transfer rate, kW	Ot	ORC turbine
$Q_{ m cool}$	cooling capacity, kW	P	product
$q_{ m m}$	average imposed wall heat flux, W m <sup>-2</sup>	p1	pump 1
$r_{ m f}$	enthalpy of vaporization, kJ kg <sup>-1</sup>	p2	pump 2
T	temperature, K	p3	pump 3
U	overall heat transfer coefficient, W m <sup>-2</sup> K <sup>-1</sup>	p4	pump 4
W	power, kW	pf	primary flow
$W_{ m y}$	annually power, MWh year <sup>-1</sup>	prec	precooler
x	vapor quality	preh	preheater
Z	annually levelized cost value, \$ year <sup>-1</sup>	S	shell
z.	capital cost, k\$	t	tube
Acronyms		th	thermal
BC	Brayton cycle	turb	turbine
СВС	carbon dioxide Brayton cycle	vg	vapor generator
ССР	combined cooling and power	W	tube wall

CRF capital recovery factor

*CEPCI* chemical engineering plant cost index

DORC dual-pressure organic Rankine cycle

ERC ejector refrigeration cycle

GA genetic algorithm

TEG thermoelectric generator

AARC ammonia absorption refrigeration cycle

Greek symbols

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 $\alpha$  convection heat transfer coefficient, W m<sup>-2</sup> K<sup>-1</sup>

 $\lambda$  heat conductivity, W m<sup>-1</sup> K<sup>-1</sup>

#### 43 **1. Introduction**

Nowadays, internal combustion engine (ICE) is the major motive power source in

energy field, which are widely used in transport, construction, agriculture, etc. Over

50% of the total transportation fuel is consumed by ICEs [1]. However, only 30-45%

of the fuel energy is converted into effective power output, while the remaining

energy is discharged to the environment via exhaust gas, jacket water and charge air,

causing a large amount of waste fuel energy [2]. Thus, technology for waste heat

recovery from ICEs has drawn much interest of researchers in the last decade. Much

effort has been devoted to the study of organic Rankine cycle (ORC) based ICE waste

heat recovery system for its advantages of high efficiency and simple structure [3].

There are two important pathways that will lead to the improvement of the ORC

system for ICE waste heat recovery. One will be selecting organic working fluids which are suitable for the system under certain conditions. Another is to optimize the system configuration to make full use of the waste heat. The work of selecting suitable organic working fluids for ORC was carried out by many researchers to improve the efficiency of the ICE waste heat recovery. Tian et al. [4] evaluated the performance of 20 different working fluids in an ORC system for ICE waste heat recovery. Rijpkema et al. [5] compared the performance of twelve working fluids in an ORC-based ICE waste heat recovery system to find the suitable candidate. Su et al. [6] developed a theoretical efficiency model about working fluids selecting for ORC-based ICE waste heat recovery system via strict mathematical derivation. Configuration optimization in ORC-based ICE waste heat recovery system mainly focuses on reducing the system irreversible rate to fully utilize the engine waste heat. Vaja and Gambarotta [7] added a preheater and a recuperator separately to a simple ORC system to improve the performance for the ICE waste heat recovery. Kim et al. [8] proposed a novel single-loop ORC system to recovery engine waste heat. They employed two recuperators in series to heat the working fluid. Comparison showed that the net power output of the system was 35.6% more than simple ORC system. Because that the maximum power output of single-loop ORC is lower than that of the dual-loop ORC system [9], more attention has been focused on dual-loop ORC based ICE waste heat recovery system in recent years. Wang et al. [10] modeled a dual-loop ORC system for engine waste heat recovery. The high-temperature loop absorbed heat

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from exhaust gas and its residual heat acted as heat source for the low-temperature loop. Wang et al. [11] investigated a dual-loop ORC system for ICE waste heat recovery. The high-temperature loop absorbed heat from exhaust gas for the first time. Then the low-temperature loop absorbed heat from the residual heat of the exhaust gas to realize the cascading utilization of the waste heat. Huang et al. [12] proposed a complex dual-loop ORC system for engine waste heat recovery. The high-temperature loop absorbed heat from the exhaust gas and residual heat from both the exhaust gas and the high-temperature loop provided heat for the low-temperature loop. When referring to heat transfer in the high-temperature loop, thermal stability of organic working fluid is necessary to be considered. In previous studies, refrigerants were most selected as working fluids. The decomposition temperatures of refrigerants are relatively low (200-300 °C) [13], while the temperature of exhaust gas is above 450 °C [14]. Direct heat transfer between high-temperature exhaust gas and refrigerant caused the risk of working fluid decomposition. Though high decomposition temperature working fluids such as siloxanes and alkanes were adopted by some researchers, their flammability hindered their further applications [15]. Though placing a heat transfer oil intermediate loop between the exhaust gas and the ORC system could address this issue [16], it would cause a large amount of the high-temperature waste heat unharnessed. Therefore, some other high-temperature loops for waste heat recovery were employed by researchers to couple with the ORC. Miller et al. [17] introduced thermoelectric generator (TEG) technology. High-temperature exhaust gas was first exploited by the TEG, then the cooled exhaust

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gas could drive the ORC safely. But the energy conversion capacity of TEG is low because of the material limitation. Steam Rankine for its high efficiency and stable operation attracted much attention of researchers. Shu et al. [18] placed a steam Rankine cycle between the ORC and the exhaust gas. Yu et al. [19] coupled a steam Rankine cycle with an ORC for the ICE waste heat recovery. However, the large bulk of the components in steam Rankine cycle limits further applications (such as application in vehicles) [20]. Considering the requirement of high thermal efficiency and compact configuration, Brayton cycle could be a compromise solution. Brayton cycle with carbon dioxide (CO<sub>2</sub>) as working fluid has the advantage of low environmental impact and good thermodynamic performance [21]. Few studies about ORC system coupled with CO<sub>2</sub> Brayton cycle (CBC) for ICE waste heat recovery have been published. Though Zhang et al. [20] carried out some relevant studies, their attention was focused on comparing the performance of CBC, TEG and steam Rankine cycle when coupled with the same bottom ORC. Detailed analysis of the CBC was not given and the energy in jacket water was not harnessed. Jacket water, though containing large amounts of energy [22], obtained little attention in the previous studies. For its relatively low temperature, jacket water was mainly used to preheat the organic working fluid in the ORC system. In the ORC-based ICE waste heat recovery system designed by Zhang et al. [23] jacket water was used to preheat the organic working fluid. Then the organic working fluid was heat by the high-temperature exhaust gas to vapor state and expanded in the ORC turbine. In Yang's [24] ICE waste heat recovery system, jacket water and secondary

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exhaust gas were used to preheat the organic working fluids in ORC. In the dual-loop ORC based ICE waste heat recovery system investigated by Song et al. [25], jacket water was used to preheat the low-temperature-loop. Yu et al. [26] calculated the energy recovery efficiency from an ORC-based ICE waste heat recovery system. 75% waste heat could be recovered from the exhaust gas, while only 9.5% waste heat was recovery from jacket water. The relatively low utilization rate of jacket water energy in the ORC system is caused by the mismatch of working fluid mass flow rate in the preheater and the evaporator. Thus, the utilization of energy in jacket water could be further explored. Multigeneration system driven by waste heat has drawn increasing interest of researchers in light of the trend towards reducing emissions, increasing the efficiency of energy use and providing variable energy. Li et al. [27] modeled a combined cooling, heating and power system to highly utilize the waste heat. Yari et al. [28] proposed a waste heat recovery system to provide power, distilled water and heat. Bai et al. [29] investigated a cooling, heating and power system driven by exhaust gas to recovery the waste heat. Combined cooling and power (CCP) systems driven by ICE waste heat were also investigated by some researchers. Chen et al. [30] designed an ammonia-water combined cooling and power system using the waste heat from the ICEs. Ammonia-water was heated by exhaust gas and jacket water. One part of the ammonia-water vapor flew into the turbine to provide power and the other part flew into the evaporator to provide refrigeration. Salek et al. [31] coupled an ammonia absorption refrigeration cycle and a bottoming Rankine cycle with internal

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combustion engine to produce power and cooling capacity.

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Ammonia absorption refrigeration cycle (AARC) were widely used in the combined cooing and power system for its large refrigeration output. However, the complex cycle structure and high driven temperature requirement of AARC might sometimes limit its applications. On the contrary, ejector refrigeration cycle (ERC) exhibits the advantages of easy maintenance and high reliability [32] and it can be driven by low-temperature heat source such as the jacket water. Thus, ICE waste heat recovery system with ERC driven by jacket water not only simultaneously generate power and refrigeration but also fully utilized the jacket water waste heat. In this study, a combined cooling and power system is developed, which comprises a CO<sub>2</sub> Brayton cycle, a dual-pressure organic Rankine (DORC) cycle and an ejector refrigeration cycle. The CO<sub>2</sub> Brayton cycle absorbs heat from the high-temperature exhaust gas directly to prevent the decomposition risk. The turbine exhaust in the CO<sub>2</sub> Brayton cycle and the engine exhaust gas after heat transfer are respectively regarded as the heat sources for the high-pressure side and low-pressure side of the dual-pressure ORC, realizing the cascading utilization of exhaust gas. Meanwhile, organic working fluids in high-pressure side and low-pressure side are both preheated by jacket water which increases the mass flow rate of the organic working fluid preheated by jacket water. What's more, the ejector refrigeration cycle is adopted to produce refrigeration and fully utilize waste heat in jacket water. Thermodynamic and exergoeconomic analysis is carried out to examine the effects of key parameters on system performance. Then a system optimization is conducted to obtain the minimum

- levelized exergy cost for the system product by means of genetic algorithm (GA).
- The innovative features of this paper are as follow:
- A CO<sub>2</sub> Brayton cycle is investigated to prevent the risk of decomposition of
- organic working fluid and provide power.
- A novel dual-pressure ORC system is developed to cascading utilize the waste
- heat in exhaust gas and jacket water and provide large amounts of power output.
- An ejector refrigeration cycle driven by jacket water is designed to provide
- refrigeration and fully utilize the jacket water waste heat.

# 2. System description

- The combined cooling and power system is shown in Fig. 1. The system integrates
- a dual-pressure organic Rankine cycle with a CO<sub>2</sub> Brayton cycle and an ejector
- 175 refrigeration, which can produce power and refrigeration simultaneously.
- High-temperature gas heat from the ICE enters the gas heater to provide heat for the
- 177 CBC. In the CBC, compressor compresses the CO<sub>2</sub> to a supercritical state. The
- high-pressure CO<sub>2</sub> flows into the gas heater to absorb heat. Then CO<sub>2</sub> with high
- temperature and high pressure expands through the BC turbine to produce power.
- After expanding in the BC turbine, the high-temperature exhaust CO<sub>2</sub> flows into
- vapor generator 2 to heat the organic working fluid. High-pressure side organic
- working fluid heated by the CO<sub>2</sub> then flows into the ORC turbine to produce power.
- Meanwhile low-pressure side organic working fluid absorbs heat from the secondary
- engine exhaust gas in vapor generator 1 and then enters ORC turbine to produce

power.

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Exhaust vapor from the ORC turbine is cooled by condenser 1 to liquid state and pressured by pump 1. Jacket water with large mass flow rate is used to preheat the organic working fluid in the preheater. The preheated organic working fluid then separates. One part of the fluid is pumped by pump 3 to the vapor generator 1 to cycle in the low-pressure side. The other part is pumped by pump 2 to the vapor generator 2 to cycle in the high-pressure side. The jacket water then flows into vapor generator 3 to provide heat for the ejector refrigeration cycle. After the condensation process in condenser 2, liquid working fluid is divided into two separated parts. One part of the fluid is pumped to the vapor generator 3 to absorb heat from jacket water and then becomes superheated vapor. The other part of the working fluid flows through the throttle valve to become low-pressure vapor-liquid mixture. The low-pressure mixture enters the evaporator to produce cooling capacity when absorbing heat from the environment and become low-pressure vapor. After that, the superheated vapor mixes with the low-pressure vapor in the ejector. The mixed working fluid enters the condenser 2 to be condensed to liquid. R245fa is selected as the working fluid for the organic Rankine cycle and the ejector refrigeration cycle because of the great thermodynamic performance [33] and the low environment effects [34].

### 205 3. System model

206 Several assumptions are made to simplify the simulation of the system, which are: (1) the system keeps a steady state; (2) the heat and frication in the system are not 207 208 considered; (3) the pressure losses in the vapor generators, preheater, evaporator, 209 condensers and pipes are neglected; (4) the gas temperature at the outlet of the vapor 210 generator 1 is higher than 110 °C [35], considering the low gas dew point temperature; (5) the working fluids at the outlet of the condensers and the preheater are saturated 211 212 liquids, and the evaporator outlet state is saturated vapor; (6) the process through the 213 throttle valve is isenthalpic.

- 3.1.Energy model
- The net power of the  $CO_2$  Brayton cycle is expressed as:

$$216 W_{\text{BC}} = W_{\text{Bt}} - W_{\text{comp}} (1)$$

The net power of the DORC is given as:

$$218 W_{\text{ORC}} = W_{\text{Ot}} - W_{\text{pl}} - W_{\text{p2}} - W_{\text{p3}} (2)$$

The cooling capacity of the ERC is given as:

$$220 Q_{\text{cool}} = M_{\text{cool}} \cdot (h_{21} - h_{20}) (3)$$

The net power output of the whole system is calculated as:

$$222 W_{\text{net}} = W_{\text{ORC}} + W_{\text{BC}} - W_{\text{p4}} (4)$$

The thermal efficiency of the system is given as:

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$$\eta_{\text{th}} = \frac{W_{\text{net}} + Q_{\text{cool}}}{M_{\text{gl}} \cdot (h_{\text{gl}} - h_{\text{g3}}) + M_{\text{wl}} \cdot (h_{\text{wl}} - h_{\text{w3}})}$$
(5)

The detailed energy model equations of each component are list in Table 1. Note

that there are two expanding processes in the ORC turbine. The high-pressure vapor expands in the turbine and then mixes with the vapor from vapor generator 1. After that, the mixed vapor expands in the turbine for the second time.

## 3.2.Exergy model

The energy model of the system is based on the first law of thermodynamics. From
the viewpoint of the first law, it is equivalent for work and heat. Nevertheless,
according to the second law of the thermodynamics, the irreversibility of work and
heat is different. The exergy is used to quantifies the difference between them. The
exergy model of the system is based on a dead state (the ambient condition in this
study). Definition of exergy is given as:

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$$e = (h - h_0) - T_0 \cdot (s - s_0)$$
 (6)

- where  $h_0$ ,  $T_0$  and  $s_0$  are the parameters under the ambient conditions.
- The exergy flow rate in this study is given by:

$$239 E = M \cdot e (7)$$

In this study, all the components in the system are associated directly or indirectly with fuel of other heat sources, such as exhaust as and jacket water. The heat sources provide exergy for the components to operate. For each component, there is an exergy balance equation, being expressed as [36]:

$$244 E_{\rm F} = E_{\rm P} + E_{\rm D} + E_{\rm L} (8)$$

- 245 where  $E_F$ ,  $E_P$ ,  $E_D$ ,  $E_L$  donate the rate of exergy for the component fuel, the rate of exergy for component product, the rate of component exergy destruction and the rate of component exergy loss, respectively.
- The details of the exergy balance equations for each component are listed in Table

  1.
- The exergy efficiency represents the degree of the utilization of the waste heat in the system, being expressed as:

252 
$$\eta_{\text{ex}} = \frac{W_{\text{net}} + E_{\text{cool}}}{E_{\text{g1}} - E_{\text{g3}} + E_{\text{w1}} - E_{\text{w3}}}$$
 (9)

where  $E_{cool}$  is the exergy rate of the cooling process, being expressed as:

$$254 E_{\text{cool}} = E_{25} - E_{24} (10)$$

255 3.3.Capital cost calculation

256 A method of modeling the capital costs of main components is used in this study. According to Ref. [37], the bare module cost of the components is calculated as the 257 258 basic cost. The basic cost of the components includes the direct project cost (such as 259 component cost, material cost of the installation, etc.) and the indirect project cost (like the taxes, insurance engineering expenses, etc.). The bare module cost of the 260 261 components is calculated under basic conditions. For deviations from the based 262 conditions, multiplying factors (the specific component type, the specific system 263 pressure and the specific material of construction) are added in the calculation to correct the results. In the following text, equations from Eq. (11) to Eq. (21) are 264 proposed in Ref. [37]. 265

- Axial turbines (BC turbine and ORC turbine) are used in this study. The bare
- 267 module cost equation of the turbine is:

$$\log_{10} C_{\text{turb}}^0 = K_{1,\text{turb}} + K_{2,\text{turb}} \cdot \log_{10} W + K_{3,\text{turb}} \cdot (\log_{10} W)^2$$
(11)

- where  $K_{i}$ , turb are constants corresponding to the turbine type; and W is the power
- output of the turbine.
- Turbines used in this study are made of carbon steel (CS) and operate under high
- 272 pressure. Thus, a multiplying factor is used to correct the result. The capital cost of
- 273 the turbine is given as:

$$C_{\text{nurb}} = F_{\text{BM nurb}} \cdot C_{\text{nurb}}^0 \tag{12}$$

- where  $F_{BM,turb}$  is the multiplying factor corresponding to the working conditions of the
- 276 turbine.
- 277 Reciprocating pumps are used in this study. The bare module cost equation of the
- pumps is given as:

$$\log_{10} C_{\text{pump}}^{0} = K_{1,\text{pump}} + K_{2,\text{pump}} \cdot \log_{10} W + K_{3,\text{pump}} \cdot (\log_{10} W)^{2}$$
(13)

- where  $K_{i, pump}$  are the constants corresponding to the pump type; and W is the power
- input of the pump.
- Pumps used in this study are made of stainless steel (SS) and work under high
- pressure. Thus, multiplying factors are used to correct the bare module cost. The
- 284 capital cost of the pump is given as:

$$C_{\text{pump}} = \left(B_{1,\text{pump}} + B_{2,\text{pump}} \cdot F_{M,\text{pump}} \cdot F_{P,\text{pump}}\right) \cdot C_{\text{pump}}^{0}$$
(14)

- where  $B_{i, pump}$  are the constants corresponding to the type of the pump;  $F_{M,pump}$  is the
- 287 material factor of the pump and  $F_{P,pump}$  is the pressure factor of the pump. The
- 288 equation of the pressure factor is given as:

$$\log_{10} F_{P,\text{pump}} = C_{1,\text{pump}} + C_{2,\text{pump}} \cdot \log_{10} P_{\text{pump}} + C_{3,\text{pump}} \cdot (\log_{10} P_{\text{pump}})^2$$
(15)

- where  $C_{i, pump}$  are the constants corresponding to the type of the pump; and  $P_{pump}$  is the
- 291 pressure of the pump under working conditions.
- Axial compressor is used in this study. The bare module cost equation of the
- 293 compressor is given as:

$$\log_{10} C_{\text{comp}}^0 = K_{1,\text{comp}} + K_{2,\text{comp}} \cdot \log_{10} W + K_{3,\text{comp}} \cdot (\log_{10} W)^2$$
(16)

- where  $K_{i,comp}$  are the constants corresponding to the type of the compressor; W is the
- 296 power input of the compressor.
- The compressor is made of carbon steel (CS) and works under high pressure.
- 298 Correction equation of the bare module cost is given as:

$$C_{\text{comp}} = F_{\text{BM,comp}} \cdot C_{\text{comp}}^{0}$$
 (17)

- 300 where  $F_{\rm BM,comp}$  is the constant corresponding to the type of the compressor.
- 301 Shell-and-tube heat exchangers (gas heater, vapor generators, precooler, preheater,
- evaporator and condensers) are used in this study. The bare module cost equation of
- the heat exchanger is given as:

$$\log_{10} C_{\text{he}}^0 = K_{1,\text{he}} + K_{2,\text{he}} \cdot \log_{10} A + K_{3,\text{he}} \cdot (\log_{10} A)^2$$
(18)

- 305 where  $K_{i,he}$  are the constants corresponding to the type of the heat exchanger; A is the
- 306 heat transfer area of the heat exchanger. The calculation of the heat exchanger areas is
- presented in Appendix A.

Heat exchangers used in this study are made of carbon steel (CS) and work under

309 different pressure. Multiplying factors are needed to correct the results, the equation is

310 given as:

311 
$$C_{\text{he}} = (B_{1,\text{he}} + B_{2,\text{he}} \cdot F_{\text{M,he}} \cdot F_{P,\text{he}}) \cdot C_{\text{he}}^{0}$$
 (19)

- where  $B_{i,he}$  are the constants corresponding to the type of the heat exchanger.  $F_{M,he}$  and
- 313  $F_{P,he}$  are the material factor and pressure factor, respectively. The pressure factor is
- 314 obtained from the following equation:

$$\log_{10} F_{\text{P,he}} = C_{1,\text{he}} + C_{2,\text{he}} \cdot \log_{10} P_{\text{he}} + C_{3,\text{he}} \cdot (\log_{10} P_{\text{he}})^2$$
(20)

- 316 where  $C_{i,he}$  are the constants corresponding to the type of the heat exchanger;  $P_{he}$  is the
- designed working pressure for the heat exchanger.
- The values of the constants mentioned above for the main components are listed in
- 319 Appendix B.
- The calculation of the bare module cost depends on past records or published
- 321 correlations for price information. It is necessary to update the costs because of the
- inflation. This can be achieved by the following equation:

$$C_2 = C_1 \cdot \left(\frac{I_2}{I_1}\right) \tag{21}$$

- where C is the purchased cost and I is the cost index. The subscript 1 refers to base
- 325 time when cost is known and subscript 2 refers to time when cost is desired. The
- 326 CEPCI (Chemical Engineering Plant Cost Index) is employed to calculate the
- 327 inflation. The values of CEPCI<sub>2016</sub> and CEPCI<sub>ref,2001</sub> are 541.7 and 397, respectively
- 328 [38].

## 329 3.4.Exergoeconomic model

- Exergoeconomic is a branch of engineering which combines the thermodynamic
- analysis and economic principles. Thermodynamic performance and economic cost of
- the system are all taken into consideration.
- To find the relationship between the present value of the expenditure and the
- equivalent annually levelized costs, the capital recovery factor (CRF) is employed,
- being expressed as [36]:

$$Z_{i} = CRF \cdot C_{i} \tag{22}$$

337 
$$CRF = \frac{i_{\text{eff}} \cdot (1 + i_{\text{eff}})^n}{(1 + i_{\text{eff}})^n - 1}$$
 (23)

- 338 where  $i_{\text{eff}}$  is the effective discount rate with a value of 0.05 [39]; and n is the lifetime
- of the CCP system, being assumed as 30 years [40].
- In order to calculate the equivalent annually levelized costs, the annual working
- time of the system is assumed as 8000 h [41]. Then the annual exergy rates and annual
- power output or consumption are obtained.
- In a steady system, there are a number of entering and outing working fluid streams
- and heat and work interactions with the surroundings. In exergoeconomic analysis,
- each flowing stream is associated with a levelized exergy cost. The equations to
- 346 calculate the cost of the stream product are given as:

$$C_{\rm in} = c_{\rm in} \cdot E_{\rm v,in} \tag{24}$$

$$348 C_{\text{out}} = c_{\text{out}} \cdot E_{\text{y,out}} (25)$$

$$C_{\text{work}} = C_{\text{work}} \cdot W_{\text{v}} \tag{26}$$

$$C_{\text{heat}} = c_{\text{heat}} \cdot E_{\text{v,heat}}$$
 (27)

- where c denotes levelized exergy cost of the streams;  $E_{y,in}$  and  $E_{y,out}$  are the exergy
- transfer rate of the stream flowing in and out of a component;  $W_{\rm v}$  and  $E_{\rm v,heat}$  are the
- power and the heat transfer rate of the components considering the annual working
- 354 time.
- The cost balance equation applied to the kth system component is given as:

$$\sum_{\text{out}} C_{\text{out,k}} + C_{\text{w,k}} = C_{\text{heat,k}} + \sum_{\text{in}} C_{\text{in,k}} + Z_{\text{k}}$$
 (28)

- Details of the cost balance equation are listed in Table 2.
- 358 The levelized exergy cost for system product is chosen to indicate the
- exergoeconomic performance, being expressed as [42,43]:

$$360 c_{\text{product}} = c_{\text{capital}} + c_{\text{fuel}} (29)$$

- 361 where  $c_{capital}$  is the capital-cost-related part of the levelized exergy cost for the system
- product, being expressed as:

$$363 c_{\text{capital}} = \frac{Z_{\text{total}}}{W_{\text{net}} + E_{\text{cool}}} (30)$$

- 364 where  $c_{\text{fuel}}$  is the fuel-cost-related part of the levelized exergy cost for the system
- product, being expressed as:

$$366 c_{\text{fuel}} = \frac{c_{\text{Bt}} \cdot W_{\text{y,comp}} + c_{\text{Ot}} \cdot W_{\text{y,pump1}} + c_{\text{Ot}} \cdot W_{\text{y,pump2}} + c_{\text{Ot}} \cdot W_{\text{y,pump3}} + c_{\text{Ot}} \cdot W_{\text{y,pump4}}}{W_{\text{net}} + E_{\text{cool}}}$$
(31)

- where  $c_{Bt}$  and  $c_{Ot}$  are the levelized exergy cost for the BC turbine power output and the
- 368 ORC turbine power output, which are calculated in Table 3. Likewise, they can be
- and the fuel-cost-related part and the fuel-cost-related part, given by Eq.
- 370 (32) and Eq. (33).

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$$c_{\text{Bt}} = \frac{Z_{\text{Bt}}}{W_{\text{y,Bt}}} + \frac{c_3 \cdot (E_{\text{y,3}} - E_{\text{y,4}})}{W_{\text{y,Bt}}}$$
 (32)

372 
$$c_{\text{Ot}} = \frac{Z_{\text{Ot}}}{W_{\text{y,Ot}}} + \frac{c_{10} \cdot \left(E_{\text{y,10}} + E_{\text{y,11}} - E_{\text{y,12}}\right)}{W_{\text{y,Ot}}}$$
(33)

- In addition, the levelized exergy cost for the condensers and the precooler is equal
- to zero, being given by:

$$375 c_{26} = c_{28} = c_{30} = 0 (34)$$

- The levelized exergy cost for the exhaust gas as well as the jacket water is zero,
- being expressed as:

378 
$$c_{g1} = c_{w1} = 0$$
 (35)

- 3.5.Internal combustion engine
- In this study, the engine selected [7] is a 12-cylinder 4-stroke supercharged engine.
- The main designed parameters of the engine are listed in Table 3. The composition of
- the engine exhaust gas is presented in Table 4. The thermal load of the engine exhaust
- gas is about 1700 kW and 1000 kW can be obtained from the engine jacket water.
- 3.6. Simulation conditions for the system
- The thermodynamic parameters of the working fluid are calculated under the
- environment of MATLAB with the help of REFPROP 9.1 [44]. The basic conditions
- of simulation for the CCP system are listed in Table 5.
- Seven key parameters: BC turbine inlet temperature  $(T_{\rm Bt,in})$ , BC turbine inlet
- pressure ( $P_{Bt, in}$ ), inlet temperature at the high-pressure side of ORC turbine ( $T_{Ot, in, h}$ ),
- inlet pressure at the high-pressure side of ORC turbine  $(P_{\text{Ot, in, h}})$ , inlet temperature at

the low-pressure side of ORC turbine ( $T_{Ot, in, l}$ ), inlet pressure at the low-pressure side of ORC turbine ( $P_{Ot, in, l}$ ) and the ejector primary inlet pressure ( $P_{ej, in}$ ), are chosen to analyze the thermodynamic and exergoeconomic performance of the system. When one parameter is investigated to analyze the system performance, other parameters are maintained constants based on the conditions in Table 5.

In the thermodynamic aspect, the net power output of the  $CO_2$  Brayton cycle ( $W_{BC}$ ), net power output of the DORC ( $W_{ORC}$ ), net power of the whole system ( $W_{net}$ ), cooling capacity of the system ( $Q_{cool}$ ) and the exergy efficiency of the system ( $\eta_{exergy}$ ) are selected to reflect the system performance. Levelized exergy cost for the BC turbine power output ( $c_{Bt}$ ), levelized exergy cost for the ORC turbine power output ( $c_{Ot}$ ), levelized exergy cost the system product ( $c_{product}$ ) and the system capital cost ( $Z_{capital}$ ) are chosen to represent the exergoeconomic performance.

#### 4. Results and discussion

The influence of the BC turbine inlet temperature ( $T_{Bt,in}$ ) on the output and the exergy efficiency of the system are shown in Fig. 2. The net power output of the CBC increases with the rise of  $T_{Bt,in}$ . That can be explained by the large decrease of the compressor power consumption. With the increase of the CO<sub>2</sub> temperature at the BC turbine inlet, the mass flow rate of CO<sub>2</sub> decreases, leading to the decrease of the compressor power consumption. Although the drop of CO<sub>2</sub> mass flow rate would cut down the BC turbine power output, the decrease quantity of compressor power consumption is larger than the decrease of the BC turbine power output. Thus, the

large decrease of the compressor power consumption determines the increase trend of

the CBC net power output.

It is presented that the net power output of the DORC increases with the rise of the BC turbine inlet temperature. Since the residual heat in exhaust  $CO_2$  acts as the heat source for the high-pressure side of DORC, the temperature rise of the exhaust  $CO_2$ , caused by the rise of  $T_{Bt,in}$ , would offer more heat for the bottom cycle, which causes the increase of the mass flow rate of the organic working fluid in the high-pressure side of DORC. Hence, the power output of the ORC turbine increases, leading to the increase of the net power output of the DORC.

With the increase of  $T_{\rm Bt,in}$ , the cooling capacity of the ERC decreases, as shown in Fig. 2. The increase of the organic working fluid mass flow rate in DORC would absorb more heat from jacket water, resulting in the decrease of energy available for the ERC. As a result, less secondary flow working fluid from the evaporator is entrained to the ejector, resulting the decrease of the cooling capacity of the CCP system.

The increase of the CBC net power output and the DORC net power output account for the increase of the net power output of the whole CCP system. Though the cooling capacity of the ERC is large, it produces only a small amount of exergy. The decrease of the exergy output caused by the cooling capacity drop can be made up by the increase of the power exergy output. Thus, the exergy efficiency of the system increases.

The influences of the BC turbine inlet temperature on the levelized exergy cost and

the system capital cost of the system are shown in Fig. 3. The levelized exergy cost for the BC turbine power output  $(c_{Bt})$  drops with the rise of the BC turbine inlet temperature  $(T_{Btin})$ . That can be explained by the decrease of the capital-cost-related part of  $c_{Bt}$ . The capital-cost-related part of  $c_{Bt}$  decreases with the decrease of cost of compressor, which is cut down by the drop of the compressor power consumption. The increase of the ORC turbine power output causes the decrease of both the capital-cost related part and the fuel-cost-related part of  $c_{\text{Ot}}$ , resulting in the decrease of  $c_{\text{Ot}}$ . The system capital cost  $(z_{\text{capital}})$  rises with the rise of  $T_{\text{Bt,in}}$ . The large increase of the ORC turbine power output increases the cost of the ORC turbine. Moreover, the increase of the mass flow rate of the organic working fluid in the DORC causes the increase of cost for the vapor generator 2 and the preheater. Though the cost of compressor decreases, it can't change the ascending trend of the total system capital. It can be obtained in Fig. 3 that the levelized exergy cost for the system product  $(c_{\text{product}})$  decreases with the rise of  $T_{\text{Bt,in}}$ . The decline in levelized exergy cost for the BC turbine and ORC turbine power output, according to Eq. (31), would cause the decrease of the fuel-cost related part of  $c_{\text{product}}$ . Though the increase of  $z_{\text{capital}}$  would cut down the capital-cost-related part of  $c_{\text{product}}$ , the impact of levelized exergy cost for the BC turbine and ORC turbine is greater, which leads to the descending trend of  $c_{
m product}$ . The influence of the BC turbine inlet pressure  $(P_{Bt, in})$  on the output and the exergy efficiency of the system are shown in Fig. 4. The net power output of the CBC increases with the increase of  $P_{Bt, in}$ , which can be explained by the rise of enthalpy

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drop of the  $CO_2$  in the BC turbine. Though the rise of  $P_{Bt, in}$  requires more compressor power consumption, the increase of the BC turbine power output is larger in quantity

than the consumption, which leads to the increase of the CBC net power output.

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459 The net power output of the DORC decreases with the rise of  $P_{\text{Bt, in}}$ . On the one 460 hand, the temperature of the exhaust CO<sub>2</sub> at the BC turbine outlet decreases with the 461 increase of  $P_{\rm Bt, in}$ . Thus, less heat is offered to the high-pressure cycle of DORC, 462 resulting in the decrease of the high-pressure cycle power output. On the other hand, the increase of  $P_{\rm Bt, in}$  causes the increase of the compressor power consumption, which 463 464 results in the rise of the CO<sub>2</sub> temperature at the compressor outlet. Thus, less heat is 465 released in the gas heater and more heat is provided to the low-pressure cycle of 466 DORC, which leads to the increase of the low-pressure cycle power output. However, 467 the increase of the power output in low-pressure side is smaller than the decrease of the power output in the high-pressure side. Thus, the net power of the DORC 468 decreases slightly.

The cooling capacity of the system increases with the increase of  $P_{\text{Bt, in}}$ . Just like the variation of the power output, the decrease of the mass flow rate in the high-pressure side of DORC is larger than the increase of mass flow rate in the low-pressure side. Therefore, the total mass flow rate in the DORC decreases, resulting in the reduction of heat provided for the ejector refrigeration cycle. Thus, the cooling capacity of the ERC decreases.

The net power output of the whole system increases with the increase of the BC turbine inlet pressure. Though the net power output of the DORC decreases, the increase of CBC net power output is much larger. Thus, the net power output of the

CCP system increases with the increase of  $P_{\text{Bt, in}}$ . The exergy efficiency of the system

480 likewise has the same rising trend.

The influences of the BC turbine inlet pressure ( $P_{Bt, in}$ ) on the levelized exergy cost and the system capital cost of the system are depicted in Fig. 5. The levelized exergy cost for the BC turbine output  $c_{Bt}$  increases with the rise of the  $P_{Bt, in}$ , which can be explained by the variations of the capital-cost-related part and the fuel-cost-related part. The increase of  $P_{Bt, in}$  causes the increase of cost for both the BC turbine and the compressor, which lead to the rise of the two related parts.

The levelized exergy cost for the ORC turbine product  $(c_{Ot})$  increases with the rise of  $P_{Bt,in}$ . The decrease of the mass flow rate in the DORC causes that less exergy is produced in vapor generator 2, causing the increase of the fuel-related part of  $c_{Ot}$ . Therefore, the levelized exergy cost for the ORC turbine  $(c_{Ot})$  increases.

The system capital cost ( $z_{\text{capital}}$ ) increases with the rise of ( $P_{\text{Bt, in}}$ ). The increase of the mass flow rate in the ERC causes the rise of capital cost for the evaporator and vapor generator 3, which combined with the rise of the BC turbine cost and compressor cost accounts for the system capital cost rise.

The levelized exergy cost for the system product decreases with the rise of  $P_{\rm Bt,\,in}$  as presented in Fig. 5. According to Eq. (31), the rise of the  $c_{\rm Ot}$ ,  $c_{\rm Bt}$  would cause the rise of the fuel-cost-related part of  $c_{\rm product}$ . However, because of the large increase of the system net power output, the capital-cost-related part and the fuel-cost-related part decrease actually, which determines the decrease of  $c_{\rm product}$ .

The influence of inlet temperature at the high-pressure side of ORC turbine ( $T_{Ot, in, h}$ ) on the output and the exergy efficiency of the system are shown in Fig. 6. The net power output of the CBC remains unchanged since thermal parameters in dual-pressure ORC are irrelevant to the thermodynamic performance of the CBC.

The net power output of the DORC decreases with the increase of  $T_{\text{Ot, in, h}}$ . Though the increase of the vapor temperature could lead to the rise of the enthalpy drop in the ORC turbine, it would also cause the decrease of the mass flow rate in the high-pressure side, whose impact is greater than that of the enthalpy drop. Therefore, the power output of the DORC decreases.

The cooling capacity of the ejector refrigeration cycle increases with the rise of  $T_{\text{Ot}}$ ,  $I_{\text{in, h}}$ . More heat is provided for the ERC because of the decrease of the mass flow rate in the DORC, leading to the increase of the mass flow rate in vapor generator 3. Thus, more secondary flow from the evaporator is entrained into the ejector, resulting in the increase of the cooling capacity.

The net power output of the CCP system decreases with the rise of  $T_{Ot, in, h}$ . The unchanged CBC power output and the drop of the DORC power output determine the decrease of the net power output of the CCP system. The exergy efficiency of the system as well drops with the increase of the increase of  $T_{Ot, in, h}$ .

The influences of inlet temperature at the high-pressure side of ORC turbine on the levelized exergy cost and the system capital cost of the system are presented in Fig. 7. The levelized exergy cost for the ORC turbine output ( $c_{Ot}$ ) increases with the increase of  $T_{Ot, in, h}$ . The reason is that the two related parts of  $c_{Ot}$  increase with the drop of the

ORC turbine power output.

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The levelized exergy cost for the BC turbine power output  $(c_{Bt})$  increases with the rise the  $T_{Ot, in, h}$ . Since the decrease of the mass flow rate in the high-pressure side of DORC, the exergy generated in the vapor generator 2 decreases, causing the increase of the levelized exergy cost of the vapor. Thus, the increase levelized exergy cost of the vapor, which is heated by the BC turbine residual heat, causes the increase of the levelized exergy cost for the exhaust CO<sub>2</sub>. According to Eq. (32), the fuel-cost-related part of  $c_{Bt}$  increases, leading to the increase of  $c_{Bt}$ . The system capital cost  $(z_{\text{capital}})$  decreases with the increase of  $T_{\text{Ot. in. h}}$ . The decrease of the DORC power output causes the drop of the ORC turbine cost, which leads to the descending trend of  $z_{\text{capital}}$ . The levelized exergy cost for the system product  $(c_{product})$  increases with the rise of  $T_{\text{Ot, in, h}}$ , as shown in Fig. 7. The increase of the levelized exergy cost for the BC turbine and ORC turbine power output cause the rise of fuel-cost-related part of  $c_{\text{product}}$ . Meanwhile, the large decrease of the net power of the CCP system causes the increase of the capital-cost-related part. The two increase parts determine the rise of  $c_{\text{product}}$ . The influences of the inlet pressure at the high-pressure side of ORC turbine  $(P_{Ot, in, h})$ on the output and exergy efficiency of the system are presented in Fig. 8. The net power of the CBC keeps unchanged because of the unchanged thermal parameters in the cycle. The net power output of the DORC increase with the rise of  $P_{\text{Ot, in, h}}$ . The increase

of the evaporation pressure cuts down the latent heat of the organic working fluid,

which causes the increase of the mass flow rate in the high-pressure side of DORC.

As a result, the net power output of the ORC turbine increases, leading to the increase of the net power output of the DORC.

Considering the increase of the DORC net power output and the unchanged CBC net power output, the net power output of the whole system increases. Also, the exergy efficiency of the system increases.

The increase of the mass flow rate in the DORC absorbs more heat from the jacket water in the preheater. Thus, less heat is released in the vapor generator 3, causing the decrease of the mass flow rate of the working fluid in the ERC. As a result, the cooling capacity of the system decreases.

The influence of the inlet pressure at the high-pressure side of the ORC turbine ( $P_{OL}$  in, h) on the levelized exergy cost and system capital cost of the system are presented in Fig. 9. The large increase of the ORC turbine power output accounts for the decrease of the levelized exergy cost for the ORC turbine power output ( $c_{OL}$ ). The increase of the mass flow rate in the high-pressure side of DORC means that more exergy in the vapor is generated by the vapor generator 2, which leads to the decrease of its levelized exergy cost. Thus, the levelized exergy cost for the BC turbine exhaust  $CO_2$ , which provides heat for the vapor, decreases with the vapor levelized exergy cost. Moreover, the drop of the  $CO_2$  levelized exergy cost causes the decrease of the fuel-cost-related part of  $c_{BL}$ , which further results in the decrease of  $c_{BL}$ .

The increase of the ORC turbine power output and the increase of mass flow rate in the DORC cause the increase of cost for the turbine and the vapor generator 2, leading to the rise of the system capital cost.

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The levelized exergy cost for the system product  $(c_{product})$  decreases with the increase of  $P_{Ot, in, h}$ . The decrease of  $c_{Ot}$  and  $c_{Bt}$  account for the decrease of the fuel-cost-related part of the levelized exergy for the system product. The impact of  $c_{Ot}$ and  $c_{\rm Bt}$  is greater than that of the system capital cost which would result in the increase of the capital-cost-related part of  $c_{\text{product}}$ . Thus, the levelized exergy cost of the system product ( $c_{product}$ ) shows a descending trend. The influences of the inlet temperature at the low-pressure side of ORC turbine ( $T_{\rm Ot.}$ in, 1) on the output and the exergy efficiency of the system are presented in Fig. 10. Parameters changes in the DORC are irrelevant to the thermodynamic performance of the CBC. Thus, the net power of the CBC remains unchanged. The net power output of the DORC decreases with the increase of  $T_{Ot, in, l}$ . The increase of the inlet temperature causes the decrease of the mass flow rate in the low-pressure side of the DORC, leading to the decrease of the DORC net power output. Considering the decrease of the DORC net power output and the unchanged CBC net power output, the net power output of the whole system deceases. Likewise, the exergy efficiency of the system decreases. The cooling capacity of the ejector refrigeration cycle increases with the increase of  $T_{\text{Ot, in, l}}$ . The decrease of the mass flow rate in the low-pressure side means that more heat is offered to the ERC. Thus, the mass flow rate of the working fluid in the vapor

generator 3 increases and more working fluid is entrained to the ejector from the

evaporator, which leads to the increase of the refrigeration cycle.

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The influence of inlet temperature at the low-pressure side of the ORC turbine ( $T_{\rm Ot.}$ in 1) on the levelized exergy cost and system capital cost of the system are presented in Fig. 11. The levelized cost for the BC turbine power output increase with the increase of  $T_{\text{Ot, in, l}}$ . The decrease of the mass flow rate in the vapor generator 1 leads to the drop of the vapor exergy output, which results in the increase of the levelized exergy cost for the vapor. The levelized exergy cost for vapor in vapor generator 2, which is equal to that of the vapor in vapor generator 1, increases as a result, causing the increase of the levelized exergy cost of the exhaust CO<sub>2</sub> after the BC turbine. Thus, the fuel-cost-related part of  $c_{Bt}$  increases, resulting in the rise of  $c_{Bt}$ . The levelized exergy cost for the ORC turbine  $(c_{Ot})$  increases with the increase of  $T_{\text{Ot, in, l}}$ . That can be explained by the increase of the levelized exergy cost of the ORC low-pressure inlet vapor and the decrease of the power output of the ORC turbine power output. Both the fuel-cost-related part and the capital-cost-related part of  $c_{\rm Ot}$ increases. The decrease of the mass flow rate and the ORC turbine power output cause the decrease of the vapor generator 1 cost and the turbine cost. Thus, the capital cost of the system decreases. The levelized exergy cost for the system product increases with the increase of  $T_{\rm Ot}$  $_{\rm in, \, l}$ . The increase of  $c_{\rm Bt}$  and  $c_{\rm Ot}$  cause the increase of the fuel-cost-related part of the levelized exergy cost for the system product. Though of, the decrease of the system capital cost causes the decrease of the capital-cost-related part, its effect is less

- 610 important. Thus, the increase of the fuel-cost-related part determines the increase of
- the levelized exergy cost for the system product.
- The influences of the inlet temperature of the low-pressure side of the ORC turbine
- $(P_{Ot, in, 1})$  on the output of the exergy efficiency of the system are shown in Fig. 12.
- The net power of the CBC keeps unchanged with the increase of the increase of the
- low evaporation pressure. The reason is that the thermodynamic of the CBC is
- 616 irrelevant to the thermal parameters in DORC.
- The net power output of the DORC increases with the rise of  $P_{\text{Ot, in, l}}$ . The increase
- of enthalpy drop of the organic working fluid in the low-pressure side, which is
- caused by the rise of  $P_{\text{Ot, in, l}}$ , results in the increase of the power output of the
- low-pressure side. Though mass flow rate in the low-pressure side would decrease, its
- impact is less important than that of the enthalpy drop. Thus, the net power output of
- DORC increases.
- The unchanged CBC power output and the increase of the DORC power accounts
- for the increase of the system net power output and exergy efficiency of the system.
- The cooling capacity increases slightly with the increase of  $P_{\text{Ot. in } 1}$ . Because of the
- decrease of the mass flow rate in DORC, less heat is released in the preheater and
- more heat is provided in vapor generator 3. Thus, the mass flow rate of the working
- fluid in the ERC increases, resulting in the slight increase of the cooling capacity.
- The influences of inlet pressure at the low-pressure side of the ORC turbine  $(P_{Ot,in})$
- on the levelized exergy cost and system capital cost of the system are shown in Fig.
- 13. The levelized exergy cost for the ORC turbine power output decreases with the

increase of  $P_{\text{Ot, in, l}}$ . That can be explained by the decrease of the vapor generator 1 cost, caused by the decrease of the mass flow rate in DORC, and the increase of the DORC power output. Both the capital-cost-related part and the fuel-cost-related part of  $c_{\text{Ot}}$  decrease.

The levelized exergy cost for the BC turbine power output decreases with of  $P_{\text{Ot, in, l}}$ . The decrease of the  $c_{\text{Ot}}$  causes the drop of levelized exergy cost for the vapor in vapor generator 2, which is heated by the residual heat in the BC turbine exhaust  $CO_2$ . Thus, the levelized exergy cost of the exhaust  $CO_2$  decreases, which further leads to the drop of the fuel-cost-related part of  $c_{\text{Bt}}$ . Therefore, the levelized exergy cost for the BC turbine power output  $(c_{\text{Bt}})$  decreases, as shown in Fig. 13.

The increase of the ORC turbine power output causes the increase of the ORC turbine cost. Meanwhile, the increase of the cooling capacity causes the increase of the heat transfer area in the evaporator which requires the rise of the evaporator cost.

Thus, the capacity cost of the system increases.

The levelized exergy cost for the system product decreases with the increase of  $P_{\text{Ot}}$ ,  $p_{\text{in}, l}$ . The decrease of the levelized exergy cost for the BC turbine power output and ORC turbine power cause the decrease of the fuel-cost-related part of the system levelized exergy cost, which determined the decrease of the levelized exergy cost for the system product.

The influence of ejector primary inlet pressure ( $P_{\rm ej,\,in}$ ) on the output and the exergy efficiency of the system are shown in Fig. 14. Thermal parameter changes in the ERC can't affect the thermodynamic performance of the CBC and DORC. Thus, the net

power output of the two cycles remain unchanged. With the increase of the ejector primary inlet pressure, the power consumption of pump 4 increases, leading to the slight decrease of the power output of the whole system.

The increase of the ejector primary inlet pressure causes the increase of the entrainment ratio of the ejector. Thus, more secondary flow is entrained to the ejector from the evaporation, leading to the increase of the cooling capacity.

With the increase of the ejector primary inlet pressure, the power consumption of pump 4 increases gradually. At first, the exergy loss in pump 4 is smaller than the exergy produced by the cooling capacity. Then, with the increase of the pump power consumption, the exergy loss in pump 4 becomes larger than the cooling exergy increase. Thus, the exergy efficiency for the system increases at first and then decreases with the increase of the ejector primary inlet pressure.

The influence of the ejector primary inlet pressure on the levelized exergy cost and the system capital cost of the system are presented in Fig. 15. The increase of the ejector primary inlet pressure can't affect the power output of the BC turbine and the ORC turbine. Thus, the levelized exergy cost for the BC turbine and the ORC power output remain unchanged.

The increase of the pump power consumption results in the increase of the pump 4 cost. The increase of the mass flow rate in the evaporator causes the increase of the evaporator cost. Thus, the system capital cost increases, which leads to the increase of the capital-cost-related part of  $c_{\text{product}}$ . As a result, the levelized exergy cost for the system increases.

The parametric analysis reveals the potential of optimization for the CCP system. With the increase of the BC turbine inlet temperature, the net power output of the system increases while the cooling capacity decreases. With the increase of the inlet temperature at the high-pressure side of ORC turbine, the net power output of the system decreases while the cooling capacity increases. In this study, seven key parameters (BC turbine inlet temperature, BC turbine inlet pressure, inlet temperature at the high-pressure side of ORC turbine, inlet pressure at the high-pressure side of ORC turbine, inlet temperature at the low-pressure side of ORC turbine, inlet pressure at the low-pressure side of ORC turbine and the ejector primary inlet pressure) are chosen as the variables to optimize the system. The ranges of these parameters are listed in Table 6. Considering that the levelized exergy cost reflects the thermodynamic and the exergoeconomic aspect of the system, the levelized exergy cost for the system product is selected as the objective function and genetic algorithm is selected to conduct the single-objective optimization. Genetic algorithm (GA) is an optimization method based on the natural biological evaluation. [45] It simulates the natural genetic rules and searches the optimization result in all the generation. The control parameters of the GA are listed in Table 7. The optimization results of GA are listed in Table 8. It can be obtained that the minimum levelized exergy cost for the system product  $c_{\text{product}}$  is 53.25  $(MWh)^{-1}$ . The net power output, exergy efficiency of the CCP system are 374.37 kW, 37.31%

respectively. The inlet pressure at the high-pressure side of ORC turbine is 1.85 MPa.

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Meanwhile, it can be evidenced from Fig. 8 and 9 that the highest output power (about 374.37 kW), exergy efficiency (about 37.31%) and the lowest levelized exergy cost (about 53.25 \$(MWh)<sup>-1</sup>) at the highest inlet pressure at the high-pressure side ORC turbine (about 1.85 MPa). The results shown in Fig. 8 and 9 are close to the optimization results. The inlet pressure at the high-pressure side ORC turbine is varied while other six parameters are kept as constants in Fig. 8 and 9. Thus, inlet pressure at the high-pressure side ORC turbine plays a more important role than other six parameters when determining the performance of the system. When the inlet pressure at the high-pressure side ORC turbine is close to the highest permitted pressure, the system performance is close to the optimization performance.

Fig. 16 shows the exergy destruction of different components of the system under the optimization conditions. The largest exergy destruction takes place in the ORC turbine (41.26%), which is mainly caused by the mixing of the high-pressure vapor and the low-pressure vapor. Gas heater contributes 13.44% of the total exergy destruction. Three vapor generators take up 4.13%, 11.67% and 3.73% of the exergy destruction, respectively. The exergy destruction for the ejector is 5.61 %, which is also caused by the working fluid mixing. For BC turbine, condenser 1, precooler and preheater, the exergy destruction are 3.31%, 4.64%, 3.69% and 3.65%, respectively. Other components contribute to the rest 4.87% of the exergy destruction.

# 5. Conclusion

In this paper, a combined cooling and power system is developed. Seven

- 719 parameters are selected to analyze the thermodynamic and exergoeconomic
- performance of the system. Single-objective optimization is carried out with the help
- of GA. The conclusions of the study are presented as follows:
- 722 (1) In the CBC, the increase of  $T_{\rm Bt,in}$  and  $P_{\rm Bt,in}$  contribute to the increase of the system
- exergy efficiency and the decrease of the levelized exergy cost for the system
- 724 product.
- 725 (2) In the DORC, the increase of  $T_{Ot, in}$  and  $T_{Ot, in, 1}$  would cause the decrease of the
- system exergy efficiency and the increase of the levelized exergy cost for the
- system product. Meanwhile, the increase of  $P_{\text{Ot, in, h}}$  and  $P_{\text{Ot, in, l}}$  would result in the
- increase of the exergy efficiency and the decrease of the levelized exergy cost.
- 729 (3) In the ERC, the increase of  $P_{ei, in}$  would cause the increase of the refrigeration
- capacity and the decrease of the system net power output.
- 731 (4) Single -objective optimization results show that the minimum levelized exergy
- cost for the system product is obtain as 53.25 \$(MWh)<sup>-1</sup> with net power output of
- 733 374.37 kW, cooling capacity of 188.63 kW and system exergy efficiency of
- 734 37.31%.

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# 738 Appendix A

This section shows the calculation of the heat transfer area in the heat exchangers

- 740 used in this study.
- All the heat exchangers used in this study are shell-and-tube heat exchanger. The
- thermodynamic properties of the working fluid vary with the heat transfer process.
- 743 Thus, to calculate the heat transfer area actually, the heat transfer processes are
- discretized into a lot of small sections. In each section, the heat transfer area is small
- and the thermodynamic properties are assumed to be constant.
- For each section the heat transfer area is calculated as:

$$747 A_{i} = \frac{Q_{i}}{\left(\Delta T_{i} \cdot U_{i}\right)} (B1)$$

- 748 where  $\Delta T_i$  is the log-mean temperature difference (LMTD) and  $U_i$  is the overall heat
- 749 transfer coefficient.

$$750 \qquad \frac{1}{U_{i}} = \frac{1}{\alpha_{i,i}} + \frac{\delta}{\lambda} + \frac{1}{\alpha_{i,i}} \tag{B2}$$

- In Eq. (B2)  $\delta$  and  $\lambda$  represent the thickness of the tube and the thermal conductivity
- of the tube wall, respectively.  $\alpha_{t,i}$  is the convection heat transfer coefficient in the tube
- side and  $\alpha_{s,i}$  is the convection heat transfer coefficient in the shell side.
- For different heat transfer process, the convection heat transfer coefficient has
- different format. We classify the heat transfer processes into single-phase heat transfer
- process and two-phase heat transfer process. In gas heater, precooler and the preheater,
- single-phase heat transfer process happens. In evaporator, two-phase heat transfer
- process occurs. In vapor generators and the condensers, both the single-phase and the
- two-phase heat transfer process happen.
- In the single-phase heat transfer process, the convection heat transfer coefficient in

the tube side and the shell side are expressed as [46]:

$$\alpha_{t,i} = \frac{\lambda \cdot Nu}{D_i}$$
 (B3)

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$$\alpha_{s,i} = 0.36 \left(\frac{\lambda}{D_{es}}\right) \cdot \left(\frac{D_{es} \cdot G_s}{\mu}\right)^{0.55} \cdot \Pr^{\frac{1}{3}} \cdot \left(\frac{\mu}{\mu_w}\right)^{0.14}$$
 (B4)

In Eq. (B3), the Nusselt number is calculated as [47,48]:

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$$Nu = \left[ \frac{(f/8) \cdot \text{Re} \cdot \text{Pr}}{12.7 (f/8)^{0.5} \cdot (\text{Pr}^{2/3} - 1) + 1.07} \right], \text{ for } \text{Re} < 10^4$$
 (B5)

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$$Nu = \left[ \frac{(f/8) \cdot (\text{Re} - 1000) \cdot \text{Pr}}{12.7 (f/8)^{0.5} \cdot (\text{Pr}^{2/3} - 1) + 1.07} \right], \text{ for } 10^4 < \text{Re} < 5 \times 10^6$$
 (B6)

- where f is the Darcy friction factor, Re is the Reynolds and Pr is the Prandtl number.
- In Eq. (B4),  $D_{\rm es}$  is the equivalent diameter of the shell, being expressed as:

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$$D_{\text{es}} = \frac{1.10 \text{Pt}^2}{D_{\text{out,i}}} - D_{\text{out,i}}$$
 (B7)

- where Pt is the center distance between the tubes.
- Evaporation and condensation are two different two-phase heat transfer processes.
- In this study, the cold organic working fluid flows in the tubes of the heat exchangers.
- 773 The convection heat transfer coefficient of evaporation and condensation are
- 774 expressed as [49,50]:

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$$\alpha_{\text{ev,i}} = 0.023 \left[ \frac{G(1-x)}{\mu_{\text{l}}} \right]^{0.8} \cdot \Pr_{\text{l}}^{0.4} \cdot \frac{\lambda_{\text{l}}}{d} \cdot \left[ 1 + 3000Bo^{0.86} + 1.12 \left( \frac{x}{1-x} \right)^{0.75} \cdot \left( \frac{\rho_{\text{l}}}{\rho_{\text{v}}} \right)^{0.41} \right]$$
(B8)

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$$\alpha_{\text{cond,i}} = 0.023 \left[ \frac{G(1-x)}{\mu_{\text{l}}} \right]^{0.8} \cdot \Pr_{\text{l}}^{0.4} \cdot \frac{\lambda_{\text{l}}}{d} \cdot \left[ \left( 1 - x \right)^{0.8} + \frac{3.8x^{0.76} \left( 1 - x \right) 0.04}{P_{r}^{0.38}} \right]$$
 (B9)

- In Eq. (B9),  $P_r$  is the reduced pressure. In Eq. (B8) Bo is the boiling number, being
- 778 expressed as:

$$Bo = \frac{q_{\rm m}}{G \cdot r_{\rm f}} \tag{B10}$$

#### 780 **Appendix B**

The constants for component capital cost calculation are list in Table B1.

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- 921 Figure captions
- 922 **Fig. 1.** Schematic diagram of the CCP system
- 923 Fig. 2. Influences of BC turbine inlet temperature on the output and the exergy
- 924 efficiency of the system.
- 925 **Fig. 3.** Influences of BC turbine inlet temperature on the levelized exergy cost and the
- 926 system capital cost of the system.
- 927 **Fig. 4.** Influences of BC turbine inlet pressure on the output and the exergy efficiency
- 928 of the system.
- 929 Fig. 5. Influences of BC turbine inlet pressure on the levelized exergy cost and the
- 930 system capital cost of the system.
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- 939 **Fig. 10.** Influences of inlet temperature at the low-pressure side of ORC turbine on the
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943	Fig. 12. Influences of inlet pressure at the low-pressure side of ORC turbine on the
944	output and the exergy efficiency of the system.
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950	the system capital cost of the system.
951	Fig. 16. Exergy destruction of different components
952	

Component	Energy equation	$E_{ m F}$	$E_{ m P}$	$E_{\mathrm{D}}$	$E_{ m L}$
Gas heater	$M_{g1} \cdot (h_{g1} - h_{g2}) = M_2 \cdot (h_3 - h_2)$	$E_{\mathrm{gl}}-E_{\mathrm{g2}}$	$E_3 - E_2$	$E_{\rm g1} + E_2 - E_3 - E_{\rm g2}$	/
BC turbine	$W_{\mathrm{Bt}} = M_{3} \cdot (h_{3} - h_{4}) = M_{3} \cdot (h_{3} - h_{4s}) \cdot \eta_{\mathrm{Bt}}$	$E_3 - E_4$	$W_{ m Bt}$	$E_3 - E_4 - W_{\rm Bt}$	/
Vapor generator 2	$M_4 \cdot (h_4 - h_5) = M_9 \cdot (h_{10} - h_9)$	$E_4 - E_5$	$E_{10} - E_{9}$	$E_4 + E_9 - E_5 - E_{10}$	/
Precooler	$M_1 \cdot (h_5 - h_1) = M_{26} \cdot (h_{27} - h_{26})$	/	/	$E_5 + E_{26} - E_1 - E_{27}$	$E_{27} - E_{26}$
Compressor	$W_{\text{comp}} = M_1 \cdot (h_2 - h_1) = M_1 \cdot (h_{2s} - h_1) / \eta_{\text{comp}}$	$W_{ m comp}$	$E_2 - E_1$	$E_1 - E_2 + W_{\text{comp}}$	/
Vapor generator 1	$M_{g2} \cdot (h_{g2} - h_{g3}) = M_8 \cdot (h_{11} - h_8)$	$E_{\mathrm{g}2}-E_{\mathrm{g}1}$	$E_{11} - E_8$	$E_{\rm g2} + E_8 - E_{11} - E_{\rm g3}$	/
ORC turbine	$W_{\text{Ot}} = M_{10} \cdot (h_{10} - h_{12}) + M_{11} \cdot (h_{11} - h_{12})$	$E_{10} + E_{11} - E_{12}$	$W_{\mathrm{Ot}}$	$E_{10} + E_{11} - E_{12} - W_{\text{Ot}}$	/
Condenser 1	$M_{12} \cdot (h_{12} - h_{13}) = M_{28} \cdot (h_{29} - h_{28})$	/	/	$E_{12} + E_{28} - E_{13} - E_{29}$	$E_{29} - E_{28}$
Pump 1	$W_{\rm pl} = M_{13} \cdot (h_{14} - h_{13}) = M_{13} \cdot (h_{14s} - h_{13}) / \eta_{\rm pl}$	$W_{\rm pl}$	$E_{14} - E_{13}$	$E_{13} - E_{14} + W_{p1}$	/
Preheater	$M_{15} \cdot (h_{15} - h_{14}) = M_{w1} \cdot (h_{w1} - h_{w2})$	$E_{\mathrm{w1}}-E_{\mathrm{w2}}$	$E_{15} - E_{14}$	$E_{\text{w1}} + E_{14} - E_{15} - E_{\text{w2}}$	/
Pump 2	$W_{\rm p2} = M_{\gamma} \cdot (h_9 - h_{\gamma}) = M_{\gamma} \cdot (h_{9\rm s} - h_{\gamma}) / \eta_{\rm p2}$	$W_{ m p2}$	$E_9 - E_7$	$E_7 - E_9 + W_{\rm p2}$	/
Pump 3	$W_{\rm p3} = M_6 \cdot (h_8 - h_6) = M_6 \cdot (h_{8s} - h_6) / \eta_{\rm p3}$	$W_{\mathrm{p}3}$	$E_8 - E_6$	$E_6 - E_8 + W_{\rm p3}$	/
Vapor generator 3	$M_{23} \cdot (h_{23} - h_{22}) = M_{w2} \cdot (h_{w2} - h_{w3})$	$E_{\mathrm{w}2}-E_{\mathrm{w}3}$	$E_{23} - E_{22}$	$E_{\text{w2}} + E_{22} - E_{23} - E_{\text{w3}}$	/
Condenser 2	$M_{16} \cdot (h_{16} - h_{17}) = M_{30} \cdot (h_{31} - h_{30})$	/	/	$E_{16} + E_{30} - E_{17} - E_{31}$	$E_{31} - E_{30}$
Valve	$h_{19} = h_{20}$	/	/	$E_{19} - E_{20}$	/
Pump 4	$W_{\rm p4} = M_{22} \cdot (h_{22} - h_{18}) = M_{22} \cdot (h_{22s} - h_{18}) / \eta_{\rm p4}$	$W_{ m p4}$	$E_{22} - E_{18}$	$E_{18} - E_{22} + W_{p4}$	/
Ejector	$M_{16} \cdot h_{16} = M_{23} \cdot h_{23} + M_{21} \cdot h_{21}$	$E_{23} + E_{21}$	$E_{16}$	$E_{23} + E_{21} - E_{16}$	/
Evaporator	$M_{20} \cdot (h_{21} - h_{20}) = M_{24} \cdot (h_{24} - h_{25})$	$E_{20} - E_{21}$	$E_{25} - E_{24}$	$E_{20} + E_{24} - E_{21} - E_{25}$	/

Table 2 Cost balance and auxiliary relation [30] for each component of CCP system

Component Cost balance Auxiliary relation
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Gas heater	$c_{\rm g2} \cdot E_{\rm y,g2} + c_{\rm 3} \cdot E_{\rm y,3} = c_{\rm g1} \cdot E_{\rm y,g1} + c_{\rm 2} \cdot E_{\rm y,2} + Z_{\rm gh}$	$c_{\rm g1} = c_{\rm g2} = 0$
Vapor generator 2	$c_5 \cdot E_{\text{y,5}} + c_{10} \cdot E_{\text{y,10}} = c_4 \cdot E_{\text{y,4}} + c_9 \cdot E_{\text{y,9}} + Z_{\text{vg,2}}$	$c_4 = c_5$
BC turbine	$c_4 \cdot E_{y,4} + c_{Bt} \cdot W_{y,Bt} = c_3 \cdot E_{y,3} + Z_{Bt}$	$c_4 = c_3$
Precooler	$c_1 \cdot E_{\text{y},1} + c_{26} \cdot E_{\text{y},26} = c_5 \cdot E_{\text{y},5} + c_{27} \cdot E_{\text{y},27} + Z_{\text{prec}}$	$c_1 = c_5$
Compressor	$\boldsymbol{c}_2 \cdot \boldsymbol{E}_{\text{y,2}} = \boldsymbol{c}_1 \cdot \boldsymbol{E}_{\text{y,1}} + \boldsymbol{c}_{\text{elec,1}} \cdot \boldsymbol{W}_{\text{y,comp}} + \boldsymbol{Z}_{\text{comp}}$	$c_{\mathrm{elec},1} = c_{\mathrm{Bt}}$
Vapor generator 1	$c_{\rm g3} \cdot E_{\rm y,g3} + c_{\rm 11} \cdot E_{\rm y,11} = c_{\rm g2} \cdot E_{\rm y,g2} + c_{\rm 8} \cdot E_{\rm y,8} + Z_{\rm vg,1}$	$c_{\mathrm{g}2} = c_{\mathrm{g}3}$
ORC turbine	$c_{12} \cdot E_{y,12} + c_{Ot} \cdot W_{y,Ot} = c_{11} \cdot E_{y,11} + c_{10} \cdot E_{y,10} + Z_{Ot}$	$c_{10} = c_{11} = c_{12}$
Pump 1	$c_{14} \cdot E_{\text{y},14} = c_{13} \cdot E_{\text{y},13} + c_{\text{elec},3} \cdot W_{\text{y},\text{pump1}} + Z_{\text{pump1}}$	$c_{\mathrm{elec,3}}=c_{\mathrm{Ot}}$
Condenser 1	$c_{\rm 13} \cdot E_{\rm y,13} + c_{\rm 29} \cdot E_{\rm y,29} = c_{\rm 28} \cdot E_{\rm y,28} + c_{\rm 12} \cdot E_{\rm y,12} + Z_{\rm cond1}$	$c_{13} = c_{12}$
Preheater	$c_{\text{w2}} \cdot E_{\text{y,w2}} + c_{\text{15}} \cdot E_{\text{y,15}} = c_{\text{w1}} \cdot E_{\text{y,w1}} + c_{\text{14}} \cdot E_{\text{y,14}} + Z_{\text{preh}}$	$c_{\rm w1} = c_{\rm w2} = 0$
Pump 2	$c_9 \cdot E_{y,9} = c_7 \cdot E_{y,7} + c_{\text{elec},2} \cdot W_{y,\text{pump2}} + Z_{\text{pump2}}$	$c_{\mathrm{elec},2} = c_{\mathrm{Ot}}$
Pump 3	$c_8 \cdot E_{\text{y,8}} = c_6 \cdot E_{\text{y,6}} + c_{\text{elec,3}} \cdot W_{\text{y,pump3}} + Z_{\text{pump3}}$	$c_{\mathrm{elec,3}}=c_{\mathrm{Ot}}$
Vapor generator 3	$c_{\text{w3}} \cdot E_{\text{y,w3}} + c_{\text{23}} \cdot E_{\text{y,23}} = c_{\text{w2}} \cdot E_{\text{y,w2}} + c_{\text{22}} \cdot E_{\text{y,22}} + Z_{\text{vg,3}}$	$c_{\mathrm{w3}} = c_{\mathrm{w2}}$
Valve	/	$c_{19} = c_{20}$
Pump 4	$c_{22} \cdot E_{\text{y,22}} = c_{18} \cdot E_{\text{y,18}} + c_{\text{elec,3}} \cdot W_{\text{y,pump4}} + Z_{\text{pump4}}$	$c_{\mathrm{elec,4}} = c_{\mathrm{Ot}}$
Condenser 2	$c_{17} \cdot E_{\text{y},17} + c_{31} \cdot E_{\text{y},31} = c_{30} \cdot E_{\text{y},30} + c_{16} \cdot E_{\text{y},16} + Z_{\text{cond2}}$	$c_{16} = c_{17}$
Ejector	$c_{16} \cdot E_{y,16} = c_{23} \cdot E_{y,23} + c_{21} \cdot E_{y,21}$	/
Evaporator	$c_{\rm 21} \cdot E_{\rm y,21} + c_{\rm 25} \cdot E_{\rm y,25} = c_{\rm 20} \cdot E_{\rm y,20} + c_{\rm 24} \cdot E_{\rm y,24} + Z_{\rm ev}$	$c_{20} = c_{21}$

**Table 3** Main parameters of the engine [7]

Parameters	Value
Power output (kW)	2928
Rotation (r(min) <sup>-1</sup> )	1000

Exhaust gas temperature (°C)	470	
Exhaust gas mass flow rate (kg s <sup>-1</sup> )	4.35	
Temperature of jacket water (°C)	90/79	
Mass flow rate of jacket water (kg s <sup>-1</sup> )	25	

**Table 4** Composition of the exhaust gas [7]

Composition	Molecular (g(mol) <sup>-1</sup> )	Fraction (%)
Oxygen (O <sub>2</sub> )	32.00	9.3
Carbon dioxide (CO <sub>2</sub> )	44.00	9.1
Water (H <sub>2</sub> O)	18.01	7.4
Nitrogen (N <sub>2</sub> )	28.01	74.2

**Table 5** Condition of simulation for the CCP system

Parameter	Value
Ambient temperature (°C)	20
Ambient pressure (MPa)	0.101
Compressor inlet temperature (°C)	35
BC turbine inlet temperature (°C)	400
BC turbine inlet pressure (MPa)	18
BC turbine outlet pressure (MPa)	8
Inlet temperature at the high-pressure side of ORC turbine (°C)	150
Inlet pressure at the high-pressure side of ORC turbine (MPa)	1.6
Inlet temperature at the low-pressure side of ORC turbine (°C)	100
Inlet pressure at the low-pressure side of ORC turbine (MPa)	1.0

Outlet pressure of pump 1 (MPa)	0.9
Ejector primary inlet pressure (MPa)	0.4
Terminal temperature difference at gas heater outlet (°C)	100
Pinch point temperature difference in vapor generator 1 (°C)	30
Pinch point temperature difference in vapor generator 2 (°C)	30
Pinch point temperature difference in vapor generator 3 (°C)	25
Condensation temperature of condenser 1 (°C)	30
Condensation temperature of condenser 2 (°C)	30
Evaporation temperature of evaporator (°C)	5
Isentropic efficiency of BC turbine (%)	80
Isentropic efficiency of ORC turbine (%)	80
Isentropic efficiency of compressor (%)	80
Isentropic efficiency of pump 1 (%)	75
Isentropic efficiency of pump 2 (%)	75
Isentropic efficiency of pump 3 (%)	75
Inlet temperature of cooling water (°C)	20

**Table 6** Parameters for GA

Parameter	Operation range
BC turbine inlet temperature (°C)	330-440
BC turbine inlet pressure (MPa)	15-20
Inlet temperature at the high-pressure side of ORC turbine (°C)	130-180
Inlet pressure at the high-pressure side of ORC turbine (MPa)	1.4-2

	Inlet temperature at the low-pressure side of ORC turbine (°C)		90-150
	Inlet pressure at the low-pressure side of ORC turbine (MPa)		0.9-1.3
	Ejector primary inlet pressure (MPa)		0.3-1
959	Table 7 Control parameters of GA		
	Tuning parameters	Value	
	Population size	20	
	Mutation probability	0.01	
	Crossover probability	0.8	
	Stop generation	200	
960	Table 8 Single-objective optimization results		
	Parameter		Value
	BC turbine inlet temperature (°C)		425.46
	BC turbine inlet pressure (MPa)		20.00
	Inlet temperature at the high-pressure side of ORC turbine (°C)		144.32
	Inlet pressure at the high-pressure side of ORC turbine (MPa)		1.85
	Inlet temperature at the low-pressure side of ORC turbine (°C)		100.03
	Inlet pressure at the low-pressure side of ORC turbine (MPa)		1.26
	Ejector primary inlet pressure (MPa)		0.54
	Net power output (kW)		374.37
	Cooling capacity (kW)		188.63
	Exergy efficiency (%)		37.31
	Levelized exergy cost (\$ (MWh) <sup>-1</sup> )		53.25

 Table B1 Constants for component costs [37]

Constant	Value	Constant	Value	Constant	Value
$B_{1,\mathrm{he}}$	1.63	$K_{3,\mathrm{pump}}$	0.1538	$C_{3,\mathrm{he}}$	0.08183
$B_{2,\mathrm{he}}$	1.66	$K_{1, ext{turb}}$	2.7051	$C_{1,\mathrm{pump}}$	-0.3635
$B_{1,\mathrm{pump}}$	1.89	$K_{2,\text{turb}}$	1.4398	$C_{2,\mathrm{pump}}$	0.3957
$B_{2,\mathrm{pump}}$	1.35	$K_{3,\text{turb}}$	-0.1776	$C_{3,\mathrm{pump}}$	-0.0026
$K_{1,\mathrm{he}}$	4.3247	$K_{1,\text{comp}}$	2.2897	$F_{ m M,he}$	1.0
$K_{2,\mathrm{he}}$	-0.3030	$K_{2,\text{comp}}$	1.3604	$F_{ m BM,turb}$	3.5
$K_{3,\mathrm{he}}$	0.1634	$K_{3,\text{comp}}$	-0.1027	$F_{ m BM,comp}$	2.7
$K_{1,\mathrm{pump}}$	3.3892	$C_{1,\mathrm{he}}$	0.03881	$F_{ m M,pump}$	2.2
$K_{2,\mathrm{pump}}$	0.0536	$C_{2,\mathrm{he}}$	-0.11272		

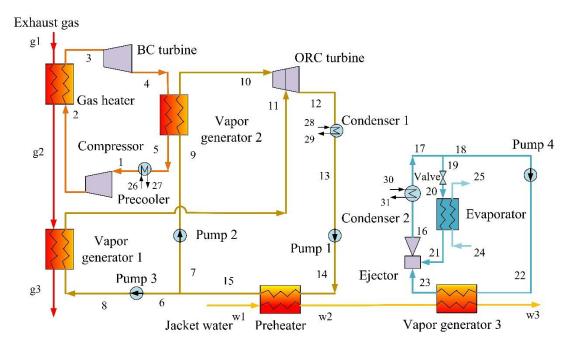
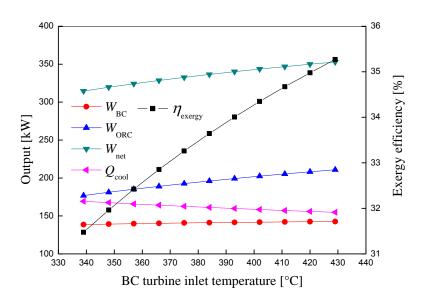
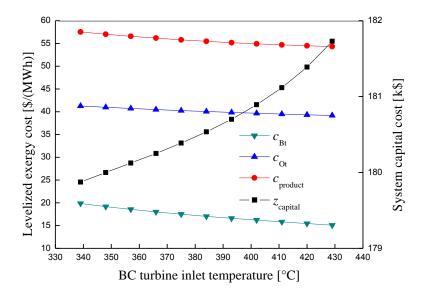


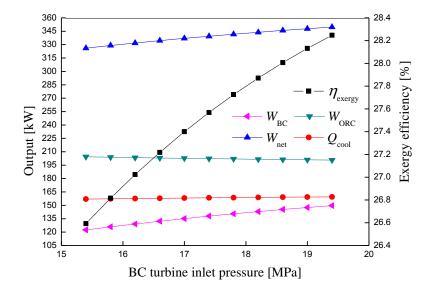
Fig. 1. Schematic diagram of the CCP system



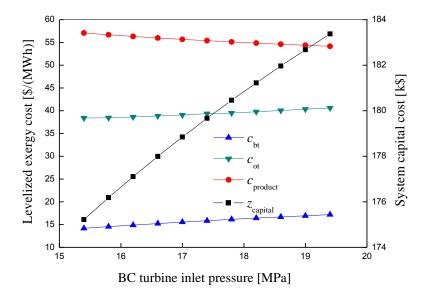
**Fig. 2.** Influences of BC turbine inlet temperature on the output and the exergy efficiency of the system.



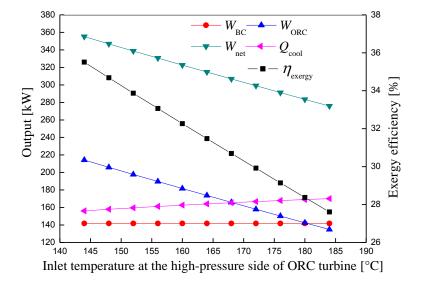
**Fig. 3.** Influences of BC turbine inlet temperature on the levelized exergy cost and the system capital cost of the system.



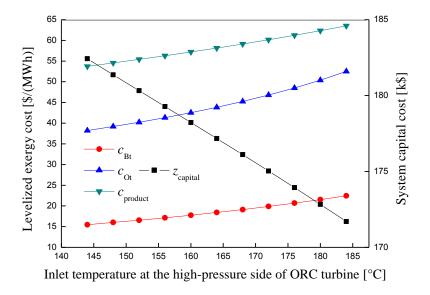
**Fig. 4.** Influences of BC turbine inlet pressure on the output and the exergy efficiency of the system.



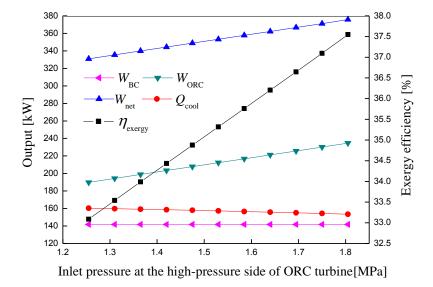
**Fig. 5.** Influences of BC turbine inlet pressure on the levelized exergy cost and the system capital cost of the system.



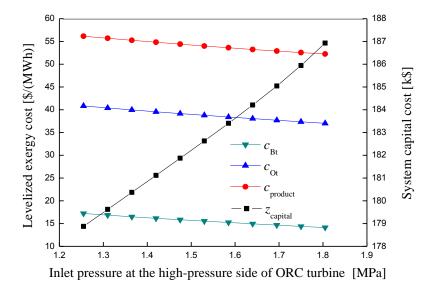
**Fig. 6.** Influences of inlet temperature at the high-pressure side of ORC turbine on the output and the exergy efficiency of the system.



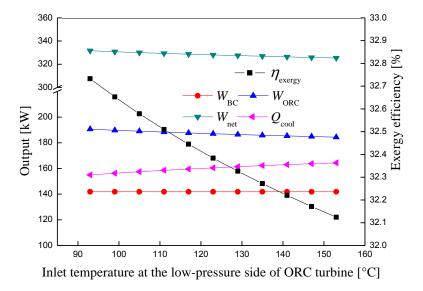
**Fig. 7.** Influences of inlet temperature at the high-pressure side of ORC turbine on the levelized exergy cost and the system capital cost of the system.



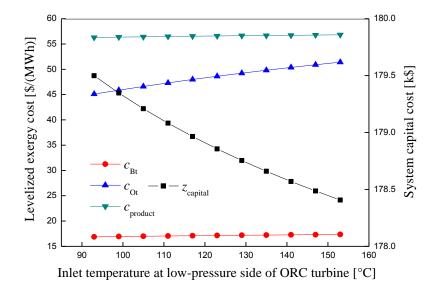
**Fig. 8.** Influences of inlet pressure at the high-pressure side of ORC turbine on the output and the exergy efficiency of the system.



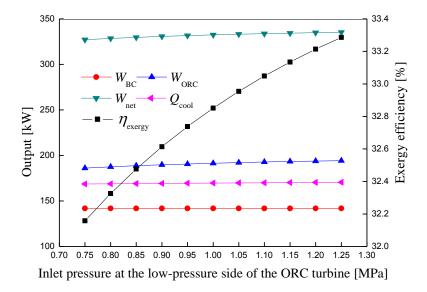
**Fig. 9.** Influences of inlet pressure at the high-pressure side of ORC turbine on the levelized exergy cost and the system capital cost of the system.



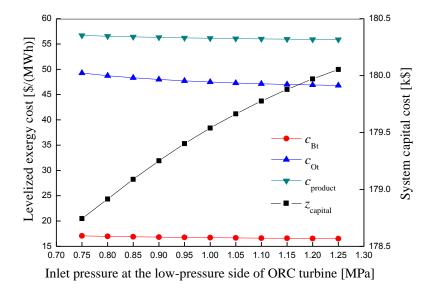
**Fig. 10.** Influences of inlet temperature at the low-pressure side of ORC turbine on the output and the exergy efficiency of the system.



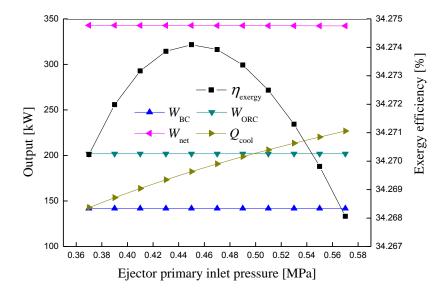
**Fig. 11.** Influences of inlet temperature at the low-pressure side of ORC turbine on the levelized exergy cost and system capital cost of the system.



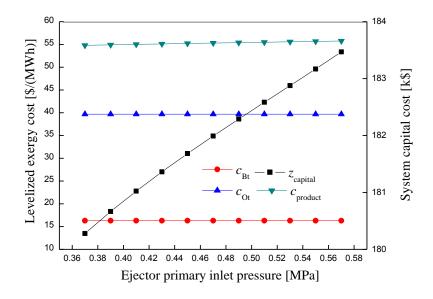
**Fig. 12.** Influences of inlet pressure at the low-pressure side of ORC turbine on the output and the exergy efficiency of the system.



**Fig. 13.** Influences of the inlet pressure at the low-pressure side of ORC turbine on the levelized exergy cost and system capital cost of the system.



**Fig. 14.** Influences of ejector primary inlet pressure on the output and the exergy efficiency of the system.



**Fig. 15.** Influences of ejector primary inlet pressure on the levelized exergy cost and the system capital cost of the system.

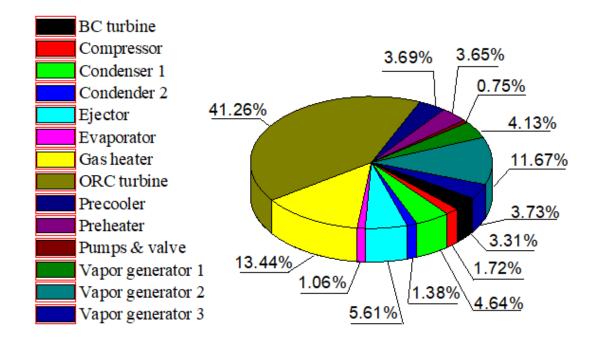


Fig. 16. Exergy destruction of different components