

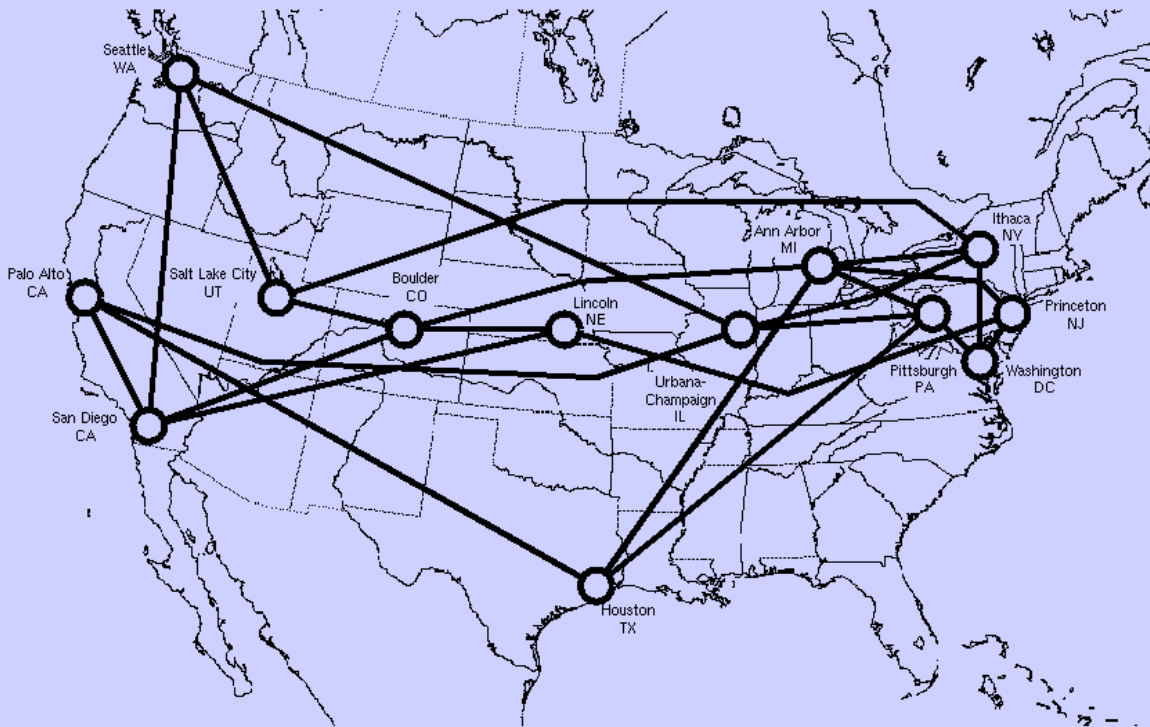
# Shortest-Paths Algorithm Overview

What Makes Shortest-Paths Algorithms Possible?

# Shortest-Paths Problem

- Representative motivating example
  - You use it everyday on online maps, GPS navigation, internet packet routing, ...

NSFNET Backbone network  
IBM NSS nodes, logical 448kbps topology  
July 1988 - July 1989

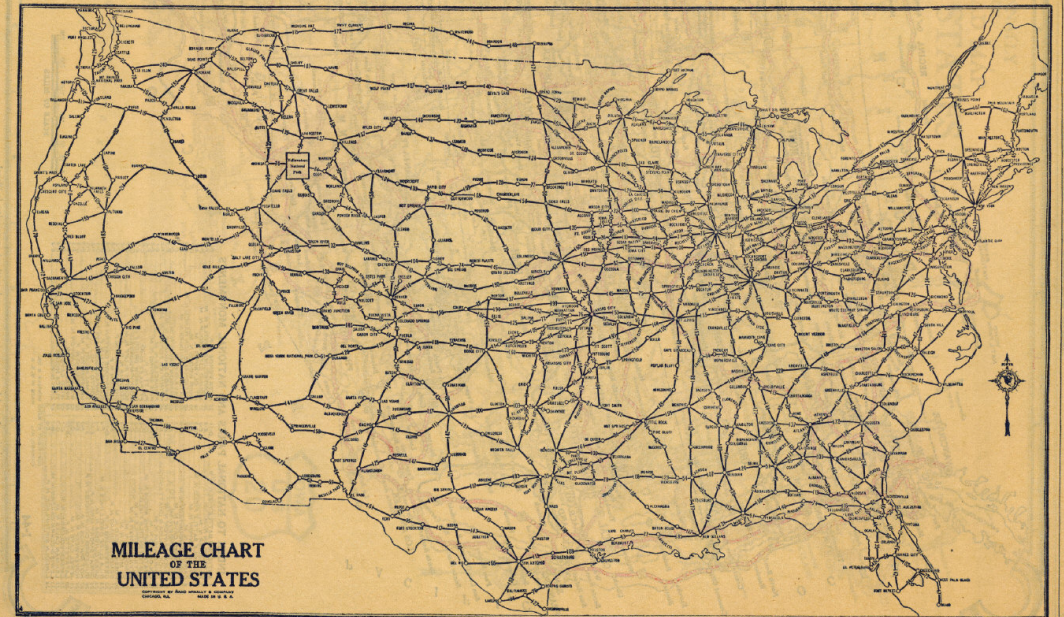


<http://hpwren.ucsd.edu/~hwb/NSFNET/NSFNET-200711Summary/>

## MILEAGE CHART OF UNITED STATES

The principal cities and towns of the United States and a few of the larger cities of Canada are shown on this mileage chart. The figures which appear along each line which connects two cities or towns give the distance in miles between those two places. This is the distance by automobile road. The lines do not necessarily indicate the exact route followed by the automobile roads—their only purpose is to make the reading of the chart more simple.

To determine the distance by automobile from Richmond, Virginia to Hot Springs, Arkansas, the chart shows that it is 141 miles from Richmond to Lynchburg, then 58 miles to Roanoke, then 164 miles to Bristol, then 148 miles to Knoxville, then 220 miles to Nashville, 164 miles to Jackson, 101 miles to Memphis, 155 miles to Little Rock, and then 53 miles to Hot Springs—a total of 1,204 miles for the entire trip.



From <http://www.davidrumsey.com>

# Shortest-Paths Problem

- Representative motivating example
  - You use it everyday on online maps, GPS navigation, internet packet routing, ...
- Formal definition of a shortest-paths problem:
  - Given a weighted, directed graph  $G = (V, E)$ , with weight function  $w : E \rightarrow \mathbb{R}$ ,
  - The **weight**  $w(p)$  **of path**  $p = \langle v_0, v_1, \dots, v_k \rangle$  is the sum of weights of its constituent edges:

$$w(p) = \sum_{i=1}^k w(v_{i-1}, v_i)$$

- We define the **shortest-path weight**  $\delta(u, v)$  from  $u$  to  $v$  by:
  - $\min\{w(p) : \text{for any path } p \text{ from } u \text{ to } v\}$  if there exists a path from  $u$  to  $v$ .
  - $\infty$  otherwise.
- A **shortest path** from vertex  $u$  to vertex  $v$  is defined as any path  $p$  with weight  $w(p) = \delta(u, v)$ .

# Variants Of Shortest-Paths Problem

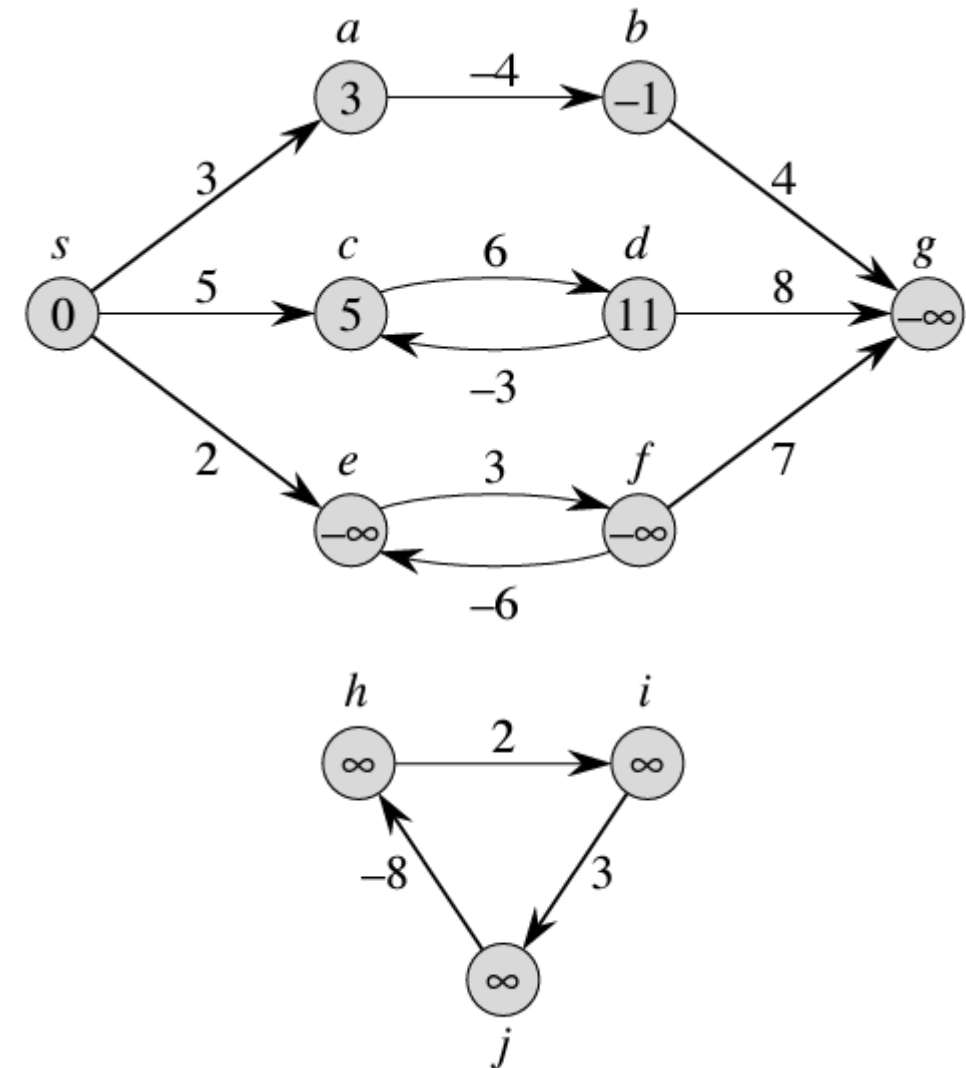
- On unweighted graphs
  - Use BFS (Breadth-First Search) that we learned earlier.
- Single-source shortest-paths problem
  - Find a shortest path from a given **source** vertex to each vertex
  - Seemingly doing more than what's needed for a **single-pair** shortest-path problem, but all known single-pair shortest-path algorithms have the same worst-case asymptotic running time as single-source ones.
- Single-destination shortest-paths problem
  - Reverse all edges and solve single-source shortest-paths problem
- All-pairs shortest-paths problem
  - Find a shortest path between every pair of two vertices.
  - Can solve this by running single-source algorithm once from each vertex.
  - Can solve it faster. See CLRS Ch. 25.

# Optimal Substructure Of Shortest Path

- Subpaths of a shortest path are shortest paths!
  - Given  $G = (V, E)$  with  $w : E \rightarrow \mathbb{R}$ ,
  - Let  $p = \langle v_0, v_1, \dots, v_k \rangle$  be a shortest path from  $v_0$  to  $v_k$
  - And for any  $i$  and  $j$  such that  $0 \leq i \leq j \leq k$ , let  $p_{ij} = \langle v_i, v_{i+1}, \dots, v_j \rangle$  the sub-path of  $p$  from  $v_i$  to  $v_j$ .
  - Then,  $p_{ij}$  is a shortest path from  $v_i$  to  $v_j$ .
- Proof: Usual cut-and-paste technique with contradiction applies here as well.

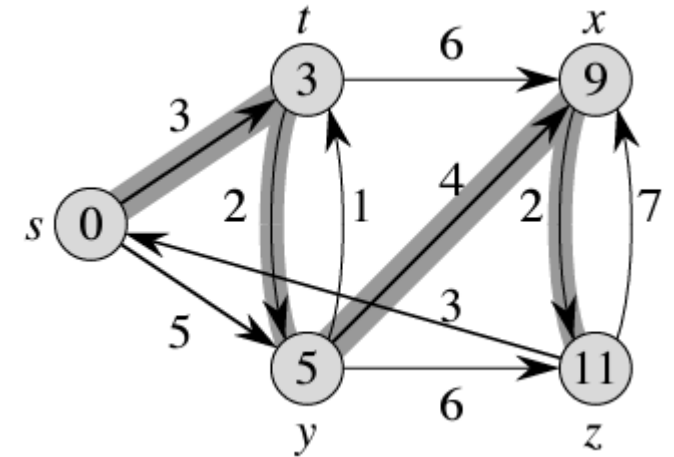
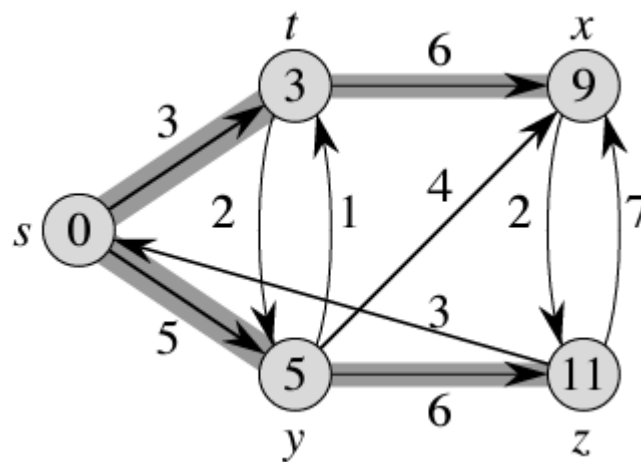
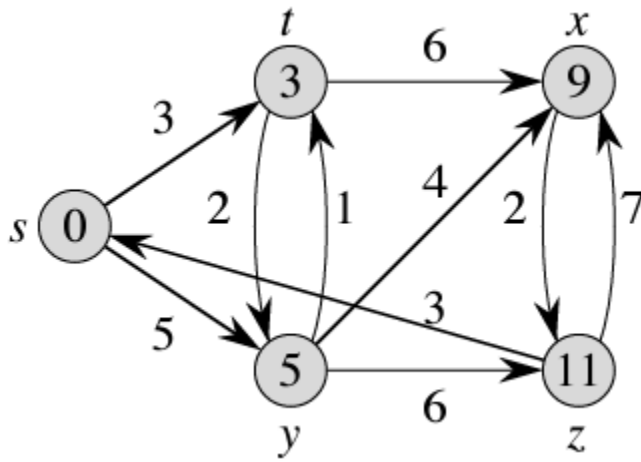
# Negative-Weight Edges And Cycles

- Negative-weight edges are OK as long as there's no negative-weight cycle (CLRS Fig. 24.1).
- A shortest path can't have a cycle.
  - If there's a reachable negative-weight cycle, no shortest path can be defined.
  - If there are positive-weight cycles in a shortest path, it can't be a shortest path.
  - If there are 0-weight cycles in a shortest path, we can always remove them for same shortest-path weight.



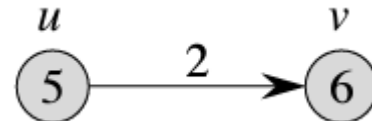
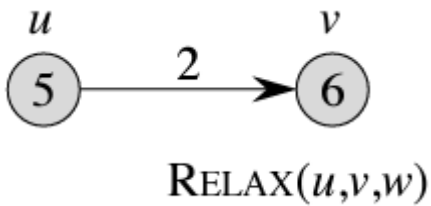
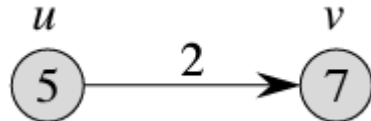
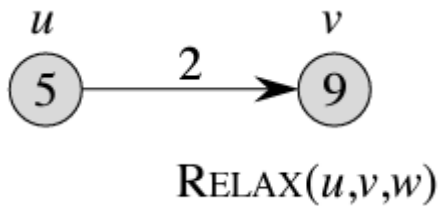
# Shortest-Paths Tree

- Maintain a predecessor  $v.\pi$  for each vertex  $v$  as in MST (Minimum Spanning Tree) algorithms.
- Predecessor subgraph induced by the  $\pi$  values form a ***shortest-paths tree*** rooted at the source vertex (CLRS Fig. 24.2).



# Relaxation

- Key technique for shortest-path finding algorithms.
- Maintain a ***shortest-path distance estimate***  $v.d$  for each vertex  $v$ .
- Initialize them properly: 0 for the source vertex,  $\infty$  for all other vertices.
- For any edge  $(u, v) \in E$ , we can reduce  $v.d$  to  $u.d + w(u, v)$  if currently  $v.d > u.d + w(u, v)$ .
  - In that case,  $v$ 's shortest-path distance estimate can be reduced by going to  $u$  first and then taking the edge  $(u, v)$ .
  - $v.\pi$  should be updated as well, because the new  $v.d$  was obtained by taking  $(u, v)$ .



$\text{RELAX}(u, v, w)$

```
1  if  $v.d > u.d + w(u, v)$ 
2       $v.d = u.d + w(u, v)$ 
3       $v.\pi = u$ 
```



# Properties of Shortest Paths and Relaxation

- Triangle inequality (CLRS Lemma 24.10)
  - For any edge  $(u, v) \in E$ , we have  $\delta(s, v) \leq \delta(s, u) + w(u, v)$ .
- Upper-bound property (Lemma 24.11)
  - Always  $v.d \geq \delta(s, v)$  for all vertices  $v \in V$ .
  - Once  $v.d$  reaches  $\delta(s, v)$ , it never changes.
- No-path property (Corollary 24.12)
  - $v.d = \delta(s, v) = \infty$  always if there's no path from  $s$  to  $v$ .
- Convergence property (Lemma 24.14)
  - If  $s \rightsquigarrow u \rightarrow v$  is a shortest path in  $G$  for some  $u, v \in V$ , and if  $u.d = \delta(s, u)$  at any time prior to relaxing edge  $(u, v)$ , then  $v.d = \delta(s, v)$  at all time afterward.

# Properties of Shortest Paths and Relaxation (Continued)

- Path-relaxation property (Lemma 24.15)
  - If  $p = \langle v_0, v_1, \dots, v_k \rangle$  is a shortest path from  $s = v_0$  to  $v_k$ ,
  - And we relax the edges of  $p$  in the order  $(v_0, v_1), (v_1, v_2), \dots, (v_{k-1}, v_k)$ ,
  - Then  $v_k.d = \delta(s, v_k)$ .
  - This is true regardless of any other relaxation steps that may occur, even if they are intermixed with relaxations of the edges of  $p$ .
- Predecessor-subgraph property (Lemma 24.17)
  - The predecessor subgraph is a shortest-paths tree rooted at  $s$ , once  $v.d = \delta(s, v)$  for all  $v \in V$ .

# Bellman-Ford Algorithm

Shortest-Paths Finding Algorithm For General Cases (Negative Weight Edges Allowed)

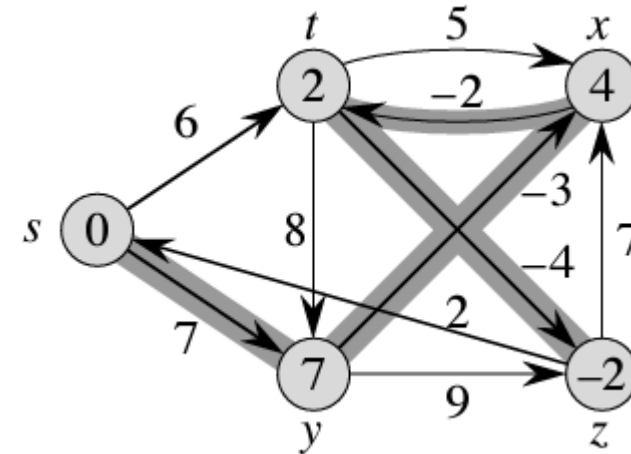
# Bellman-Ford Algorithm: Shortest-Paths Even With Negative Weight Edges

- Recall path-relaxation property: It tells us that we will get a shortest path if we relax edges in the shortest path in that order.
- Can be achieved surprisingly simply
  - Relax each and every edge in one pass, repeat this pass  $|V| - 1$  times
- Lemma 24.2 proves the correctness of this idea for reachable vertices
  - Using path-relaxation property
- Non-reachable vertices will have  $v.d = \infty$  (no-path property)
- Theorem 24.4 proves cases of negative-weight cycles (return false)
  - Proof by contradiction

# Bellman-Ford Code And Example (CLRS Fig. 24.4)

**BELLMAN-FORD**( $G, w, s$ )

```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2  for  $i = 1$  to  $|G.V| - 1$ 
3      for each edge  $(u, v) \in G.E$ 
4          RELAX( $u, v, w$ )
5  for each edge  $(u, v) \in G.E$ 
6      if  $v.d > u.d + w(u, v)$ 
7          return FALSE
8  return TRUE
```

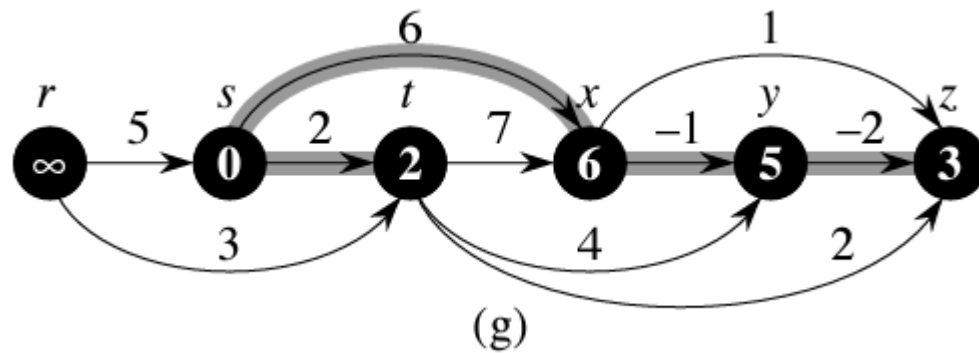


Time complexity:  $\Theta(V)$  (line 1) +  $\Theta(VE)$  (lines 2-4) +  $O(E)$  (lines 5-7) =  $\Theta(VE)$

# Special Cases: Directed-Acyclic Graphs

- There are no cycles.
- All edges are in the forwarding direction.
- Therefore, vertices can be scanned from left to right, relaxing outgoing edges.
- At the time of starting a vertex (to relax all its outgoing edges), the vertex's shortest distance estimate is indeed the shortest distance.

# DAG Shortest Paths Algorithm And Example (CLRS Fig. 24.5)



DAG-SHORTEST-PATHS( $G, w, s$ )

```

1  topologically sort the vertices of  $G$ 
2  INITIALIZE-SINGLE-SOURCE( $G, s$ )
3  for each vertex  $u$ , taken in topologically sorted order
4      for each vertex  $v \in G.Adj[u]$ 
5          RELAX( $u, v, w$ )
    
```

Time complexity:

$\Theta(V + E)$  (line 1)

$+\Theta(V)$  (line 2)

$+\Theta(V + E)$  (lines 3-5, amortized)

$= \Theta(V + E)$

# Dijkstra's Algorithm

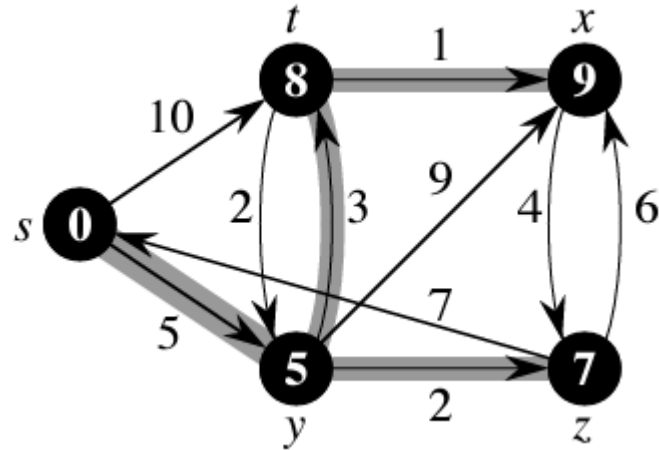
Finding Shortest Paths On Graphs With Nonnegative Edge Weights



# Shortest Paths On Graphs With Nonnegative Edge Weights

- Find a vertex with the shortest-path distance, mark it as visited, and repeat this  $|V|$  times.
  - We can certainly start from  $s$  (source vertex) for its shortest-path distance 0.
- When we mark such a vertex, shortest-path distance estimates of all its adjacent vertices may be reduced (relaxed).
  - That is, relax all outgoing edges of that marked vertex.
- The next vertex to mark for its shortest-path distance is the vertex with the smallest shortest-path distance estimate, among all unmarked vertices.
  - Obvious for the next (second) marked vertex after  $s$ .
  - Need to prove in general (CLRS Theorem 24.6)

# Dijkstra's Algorithm Example And Code



DIJKSTRA( $G, w, s$ )

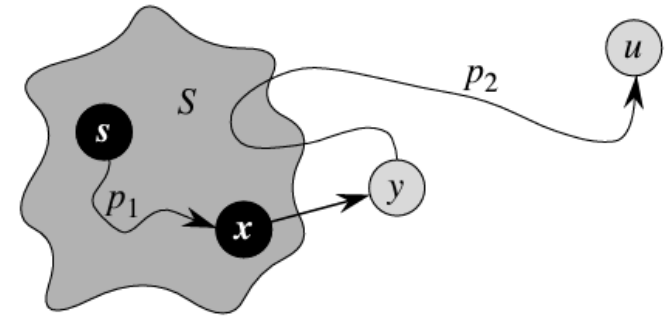
```

1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2   $S = \emptyset$ 
3   $Q = G.V$ 
4  while  $Q \neq \emptyset$ 
5       $u = \text{EXTRACT-MIN}(Q)$ 
6       $S = S \cup \{u\}$ 
7      for each vertex  $v \in G.Adj[u]$ 
8          RELAX( $u, v, w$ )
    
```

- Time complexity analysis:

- Line 1, 3:  $\Theta(V)$
- While loop repeats  $|V|$  times
- Line 5:  $O(V)$  if using array,  $O(\lg V)$  if using heap
- For loop repeats  $|E|$  times (amortized)
- Line 8:  $O(1)$  if using array,  $O(\lg V)$  if using heap (DECREASE-KEY)
- $\therefore O(V^2 + E) = O(V^2)$  if using array
- $O((V + E) \lg V)$  if using heap
  - $O(E \lg V)$  if connected
- Note  $O(E \lg V) < O(V^2)$  iff  $E = o(\frac{V^2}{\lg V})$ 
  - Don't use heap for a dense graph!

# Formal Proof of Dijkstra's Algorithm



- When  $u$  ( $= \text{EXTRACT-MIN}(Q)$ ) is added to  $S$ ,  $u.d = \delta(u)$ !
  - Obvious for first two such vertices.
- General proof: CLRS Theorem 24.6, by contradiction
  - Suppose  $u.d \neq \delta(s, u)$  at the time of adding  $u$  to  $S$ , then derive a contradiction.
  - There must exist a shortest path  $s \rightarrow \dots \rightarrow x \rightarrow y \rightarrow \dots \rightarrow u$  from  $s$  to  $u$  where  $s \rightarrow \dots \rightarrow x$  is entirely in  $S$  (marked/solved vertices), but  $y$  is not in  $S$ .
  - We claim that  $y.d = \delta(s, y)$  at the time (because  $x.d = \delta(s, x)$  by induction hypothesis and the convergence property on a shortest path).
  - Then we see  $y.d = \delta(s, y) \leq \delta(s, u) \leq u.d$  (sub-path & upper-bound property)
  - And also see  $u.d \leq y.d$  (because  $u = \text{EXTRACT-MIN}(Q)$ ).
  - This gives a desired contradiction:  $u.d = \delta(s, u)$

# Intuition

- Pause the video after the animation is played, visit the web page below and read through.
- A good animation and intuitive proof discussions from <https://www.quora.com/What-is-the-simplest-intuitive-proof-of-Dijkstra%E2%80%99s-shortest-path-algorithm>

