# **Bug Study Instrument**

First please filter whether this issue contains a bug and is related to a reproducibility process. If not, move on to the next issue !!! (but remember to **record the issue ID** in the spreadsheets)

Hint: you can use this link <a href="https://api.github.com/repos/{:owner}/{:repository">https://api.github.com/repos/{:owner}/{:repository</a>} and search for key word "created\_at" to identify the creation time of the repo.

\*Sometimes there is a **one-to-many** relationship between an issue report and the underlying bug(s). Please use multiple rows in such a case, with the same GitHub Issue ID number but **different details**.

\*If you spend more than 10 minutes on a single question, please **mark the question & issue.**We may need to discuss about that during our meetings.

\*If the comments are in another language rather than English, please use translation tools to help your understanding. Also please mark the info you got by translation, e.g. highlighting, commenting.

- 1. What framework does the owner use?
  - a. TensorFlow
  - b. Pytorch
  - c. Keras
  - d. Caffe
  - e. Others
- 2. Did the work use the same data?
  - a. Yes
  - b. No
  - c. Not mentioned
- 3. Did the work use the same code?
  - a. Yes
  - b. No
  - c. Not mentioned
- 4. Which deep learning stage does the bug exist in?
  - a. Data pipeline
  - b. Modeling
  - c. Training
  - d. Environment
  - e. Other (where was it?)

- 5. What are the **Impacts** of the bug? (Check all that apply)
  - a. Bad Performance (lower speed)
  - b. Bad Performance (lower accuracy)
  - c. Bad speed performance balance
  - d. Bad data quality
  - e. Numerical instability: The results are *Inf*, *NaN* or *Zero* which are caused by division ( i.e., division by zero returns not-a-number value), logarithm ( i.e., logarithm of zero returns −∞ that could be transformed into not-a-number); Or the results appear random for each running.
  - f. Crash: The system stops unexpectedly
  - g. Data Corruption: The data is corrupted as it flows through the model and causes unexpected outputs
  - h. Hang: It ceases to respond to inputs
  - i. Incorrect Functionality: The system behaves in an unexpected way without any runtime or compile-time error/warning.
  - j. Memory Exhaustion: The software halts due to unavailability of the memory resources. This can be caused by, either the wrong model structure or not having enough computing resources to train a particular model.
  - k. Other (please indicate it below)

## 6. What kind of bug is it?

#### a. General Code Error

- i. Syntax error: an error in the syntax of a sequence of characters or tokens, such that the program is not valid in the language ("It does not compile").
- ii. Algorithm/method: an error in the sequence or set of steps used to solve a particular problem or computation, including mistakes in computations, incorrect implementation of algorithms, or calls to an inappropriate function for the algorithm being implemented.
- iii. Assignment/Initialization: a variable or data item that is assigned a value incorrectly or is not initialized properly or where the initialization scenario is mishandled (e.g. incorrect publish or subscribe, incorrect opening of file, etc.).
- iv. Checking: Inadequate checking for potential error conditions, or an inappropriate response is specified for error conditions.

- v. Data Structure: Error in specifying or manipulating data items, incorrectly defined data structure, pointer or memory allocation errors, or incorrect type conversions.(i.e. Array, Linked List, Stack, Queue, Trees, Graphs)
- vi. External Interface: Errors in the user interface (including usability problems) or the interfaces with other systems. (e.g. **API** error)
- vii. Internal Interface: Errors in the interfaces between **system components**, including mismatched calling sequences and incorrect opening, reading, writing or closing of files and databases.
- viii. Logic: Incorrect logical conditions, including incorrect blocks, incorrect boundary conditions being applied, or incorrect expression.
- ix. Non-functional Defects: Includes non-compliance with standards, failure to meet non-functional requirements such as portability and performance constraints, and lack of clarity of the design or code to the reader.
- x. Timing/Optimization: Errors that will cause timing or performance problems
- xi. Memory Exhaustion
- xii. Other (please indicate it below)

## b. DL Specific Error

- i. Data Pipeline Bug:
  - Data Preprocessing Bug: If an input to the deep learning software is not properly formatted, cleaned, well before supplying it to the deep learning model.
  - 2. Corrupt Data (Data Flow Bug): Due to the type or shape mismatch of input data after it has been fed to the DL model.
  - 3. Training Data Quality
- ii. Modeling Bug:
  - 1. Layers
    - a. Activation Function
    - b. Layer Properties
    - c. Missing/Redundant/Wrong Layer
  - 2. Model Type & Properties
    - a. Model/Weight
    - b. Network structure
    - c. Multiple initialization
- iii. Training Bug

- 1. Optimizer
- 2. Loss Function
- 3. Evaluation
- 4. Hyperparameters
- 5. Other Training Process
- iv. API Bug: Caused by APIs, this includes API mismatch, API misuse, API change, etc.
  - 1. API DL libraries (e.g. Pytorch, TensorFlow, Keras, CUDA, etc.)
  - API other data science libraries (e.g. Numpy, matplotlib, pandas, seaborn, scikit-learn, etc.)
  - 3. API Other (please indicate it)
- v. GPU Usage Bug
- vi. Environment Configuration Error
- vii. Insufficient/Incorrect Documentation
- viii. Other (please indicate it below)
- What is the Root Cause of the bug? (Only consider DL specific errors)(Check all that apply)
  - a. Data Pipeline
    - i. Incorrect Data Preprocessing (before fed into the model)
      - 1. Wrong input format
      - 2. Wrong shape of input data
      - 3. Wrong type of input data
      - 4. Missing/Wrong data normalization
    - ii. Incorrect Data Flow (after fed into the model)
      - 1. Wrong tensor shape
      - 2. Missing data processing
      - 3. Wrong data processing
    - iii. Training Data Quality
      - 1. Wrong labels/annotations for training data
      - 2. Wrong selection of features
      - 3. Unbalanced training data
      - 4. Not enough training data
      - 5. Missing data augmentation
      - 6. Incorrect data augmentation
      - 7. Redundant data augmentation
      - 8. Overlapping output classes in training data
      - 9. Too many output categories
      - 10. Small range of values for a feature
      - 11. Discarding important features
      - 12. Incorrect labeling
  - b. Model
    - i. Layers

- 1. Incorrect Activation Function
- 2. Incorrect Layer Properties
- 3. Missing/Redundant/Wrong Layer
- ii. Model Type & Properties
  - 1. Wrong network architecture
  - 2. Suboptimal network architecture
  - 3. Wrong model/weight initialization
  - 4. Wrong selection of model
  - Multiple initialization of CNN
  - 6. Incorrect model loading/saving/converting

## c. Training

- i. Loss Function
  - 1. Wrong loss function calculation
  - 2. Wrong selection of loss function
  - 3. Missing loss function
- ii. Optimizer
  - 1. Wrong optimization function/algorithm
  - 2. Wrong parameter for optimizer
  - 3. Wrong learning rate scheduler
- iii. Evaluation
  - 1. Missing validation set
  - 2. Missing processing steps
  - 3. Wrong performance metric initialization/assignment
  - 4. Wrong performance metric calculation
  - 5. Incorrect train/test data split
- iv. Hyperparameters
  - 1. Suboptimal hyper-parameter tuning
  - 2. Suboptimal learning rate
  - Data batching required
  - 4. Suboptimal batch size
  - 5. Suboptimal number of epochs
  - 6. Wrongly implemented data batching
  - 7. Missing regularization (loss and wright)
  - ii. Other Training Process
    - 1. Wrong management of memory resources
    - 2. Reference for non-existing checkpoint
    - 3. Model too big to fit into available memory
    - 4. Incorrect/Missing anchor processing
    - Incorrect/Missing NMS processing
    - 6. Incorrect training configuration: e.g. not train from scratch

### d. API

i. Absence of Inter API Compatibility: The usage of wrong type of parameters in an API

- ii. Absence of Type Checking: Related to the use of wrong type of parameters in an API
- iii. API Change (APIC): The release of the new versions of DL libraries with incompatible APIs
- iv. API Misuse (APIM): Often arises when users use a DL API incorrectly
- v. API version mismatch
- vi. API usage not supported by the OS

## e. GPU usage

- i. Wrong data parallelism on GPUs
- ii. Wrong reference to GPU device
- iii. Missing transfer to GPU/CPU
- iv. Wrong tensor transfer (CPU GPU, GPU CPU)
- v. Calling unsupported operations on CUDA tensors
- vi. Conversion to CUDA tensor inside the training/test loop
- vii. Wrongly implemented data transfer function (CPU GPU, GPU CPU)
- viii. Inapplicability using different kinds of GPUs
- f. Other general code error (this should be modified over time)
  - i. Wrong Documentation: Incorrect/confusing information in documentation
  - ii. Incorrect assignment/initialization
  - iii. Incorrect/Missing checking
  - iv. Incorrect logic conditions
  - v. Incorrect configuration
  - vi. Incorrect error raising: raise a confusing/wrong error
  - vii. Incorrect encoding
- g. Others (please indicate below):
  - Wrong comprehension: Happens when a user gets confused about the function of DL API/algorithm/functionality, which leads to the misuse of them.
  - ii. Incorrect/confusing Documentation: Incorrect/confusing information in documentation
  - iii. Code version mismatch
  - iv. OS instability
- h. Not mentioned
- 8. What is the **Bug Manifestation** of the work?
  - a. Traditional bugs: The code does not run (e.g. crash).
  - b. Reproducibility bugs: The code runs but produces the wrong output compared to reference implementation.
  - c. *Evolutionary bugs:* The code runs but produces unsatisfactory results which could be improved and be better than reference implementation.
- 9. How did the engineers **fix** the bug? (Check all that apply)

## a. Data Pipeline

- i. Data preprocessing (before being fed into the model)
  - 1. Data dimension: align the input data's dimension with DNN
  - 2. Data type: change the data type of inputs to match the DNN's expectation
  - 3. Data wrangling/cleaning: fix the form/type of the data for downstream operations without modifying its intent
  - 4. Modify data augmentation method
  - 5. Modify data normalization
  - 6. Initialization modifying (i.e. categories, labels)
- ii. Data Flow (after being fed into the model)
  - 1. Modify data processing
- iii. Training data quality
  - 1. Modify/add data augmentation
  - 2. Add training data
  - 3. Modify labeling

### b. Model

- i. Network connection: change node connectivity in the DNN (e.g. change weights, remove edges, add backward propagation)
- ii. Modify layers
- iii. Layer dimension: align the input data's dimension with the layer dimension
- iv. Activation: change the activation function used in the DNN
- v. Model loading/saving/converting modification

#### c. Training

- i. Modify Hyperparameters (e.g. learning rate, epoch, batchsize)
- ii. Modify Loss function: add, remove or replace the loss functions
- iii. Add Monitor: add diagnostics code to monitor training
- iv. Modify Optimizer: change the optimization function used by the DNN
- v. Modify the learning rate schedular
- vi. Modify Accuracy metric: replace the accuracy metric being used to measure the correctness of a model, often to match better
- vii. Add/modify processing steps: e.g. Non-Maximum-supression (NMS), anchors
- viii. Train from scratch
- ix. Modify training configuration

#### d. API

- i. API contract: fix API compositions so that the output of an API meets the preconditions of another API
- ii. Match API versions: match the versions of different API to make the program runnable, including updating, downgrading, matching.
- iii. Reinstall API
- iv. Extend/Overwrite API by with supports and other functionalities

## e. GPU usage

- i. Reset/Modify data parallelism on GPUs
- ii. GPU Configuration
- iii. Modify/add the conversion to CPU/GPU
- f. Others (please indicate below)
  - i. Versioning: adapt the code to the new version of the library
  - ii. Modify assignment/Initialization/configuration
  - iii. Add/Modify checking
  - iv. Read the documentation
  - v. Make better documentations/tutorials
  - vi. Use a stable OS
  - vii. Refer to another implementation
- g. Not mentioned
- 10. How did the owners **confirm** their fix is correct? (Check all that apply)
  - a. Compare overall accuracy and loss to another implementation (including the research prototype)
  - b. Compare overall accuracy and loss to previous performance(e.g. after training for 100K iterations, the performance is better than it was before)
  - c. Compare relative improvement in accuracy and loss: use the changes in accuracy and loss between training iterations to determine correctness (e.g. the trend is improved)
  - d. Compare overall speed
  - e. Compare relative changes in learning rate
  - f. Replace hyper-parameters in a network
  - g. Examine the distribution of variable values
  - h. Switch the training dataset
  - i. Check the runnability of the program
  - j. Check the shape of tensors in the model
  - k. Use test cases
  - I. Check outputs
  - m. Check matrices
  - n. Check the input data
  - o. Not mentioned
  - p. Other (please indicate below)
- 11. What **tools/references** did the owners use to help them fix the bug? (Check all that apply)
  - a. Research prototype (official repo)
    - i. Results
    - ii. Data
    - iii. Pre-trained model
    - iv. Documentation
    - v. Other issues

- b. Exemplar repo
  - i. Results
  - ii. Data
  - iii. Pre-trained model
  - iv. Documentation
  - v. Other issues
- c. Other repos
  - i. Source code
  - ii. Results
  - iii. Pre-trained model
  - iv. Documentation
  - v. Bug reports
- d. Discussion
  - i. Owners/maintainers of the exemplar (e.g. from TFMG, TorchVision, ultralytics/yolov3)
  - ii. Owners/maintainers of the original research prototype
  - iii. Other engineers
- e. Code Review
  - i. Owners/maintainers of the exemplar (e.g. from TFMG or TorchVision)
  - ii. Owners/maintainers of the original research prototype
  - iii. Other engineers
- f. API
  - i. Official documentation
  - ii. Extended API from other repos
- g. Testing
  - i. Unit test
  - ii. Differential test
  - iii. End-to-end test
  - iv. CI
- h. Source code provided by other engineers
- i. More detailed error message (e.g. log files)
- j. Not mentioned
- k. Others (please indicate below)
  - i. Tensorboard
  - ii. TensorRT
  - iii. Official Documentation for GPUs