### CSC 355 Database Systems Lecture 11

Eric J. Schwabe
School of Computing, DePaul University
Spring 2020

### Today:

- Relational Database Design
  - Functional Dependencies
  - Closures and Keys
  - Boyce-Codd Normal Form (BCNF)

### Relational Database Design

Start with a set of attributes

$$R = \{A_1, A_2, ..., A_n\}$$

- (Can also be written as a *universal relation*  $R(A_1, A_2, ..., A_n)$  ...)
- Construct a *decomposition* of R into relations  $D = \{R_1, R_2, ..., R_m\}$ 
  - Each R<sub>i</sub> is a subset of R

## Relational Database Design

- The decomposition  $D = \{R_1, R_2, ..., R_m\}$  should satisfy the following conditions:
  - 1. The union of the R<sub>i</sub>'s is R
  - 2. Redundancy has been removed from the R<sub>i</sub>'s
  - 3. Dependencies among attributes in R are preserved
  - 4. The original relation R can be recovered from D
- Conditions 2.-4. have to be formalized...

### Redundancy

- *Redundancy* occurs when more than one record in a table stores the same information
  - Wastes space
  - Allows update and deletion anomalies
- We eliminate redundancy by identifying (and perhaps removing) functional dependencies in R

### Functional Dependencies

- A set of attributes  $Y = \{Y_1, Y_2, ..., Y_n\}$  is functionally dependent on a set of attributes  $X = \{X_1, X_2, ..., X_m\}$  if and only if every pair of tuples that have the same values for X must also have the same values for Y
  - Also "X functionally determines Y" or " $X \rightarrow Y$ "
  - X is called the *determinant*
- (Less formally, "the values of X uniquely determine the values of Y"...)

### Functional Dependencies

- "Every pair of tuples that have the same values on X also have the same values on Y"
  - For X to functionally determine Y, this condition must be *satisfied by every possible relation state*
  - If some relation state does not satisfy the condition because two tuples have the same values on X but different values on Y, then X does not functionally determine Y

### Finding Functional Dependencies

- DVD ( DVDID , MovieID , Title , Genre , Length , Rating )
- GRADING ( CNumber , CTitle , SID , SName , Grade )
- PERSON (First, Last, Address, City,
   State, Zip)
- ASSIGNMENT (EID, ELName, EFName, Project, Hours)

#### Closures

- ◆ For F and a set of attributes X, the set X<sup>+</sup> is called the *closure of X* (*with respect to F*).
- ◆ X<sup>+</sup> is the set of all attributes that can be determined from X using anything in F
  - To find X<sup>+</sup>: Start with just X ... the add any other attributes you can determine from X using F ... then add any other attributes you can determine from those ... and so on ... until you can't add any more.
- If  $X^+$  includes the set Y, then  $X \rightarrow Y$  can be derived from the set F

### Equivalence

- Two sets  $F_1$  and  $F_2$  of functional dependencies are *equivalent* if and only if both of the following are true:
  - Every functional dependency in F<sub>1</sub> can be derived from the set F<sub>2</sub>
  - Every functional dependency in F<sub>2</sub> can be derived from the set F<sub>1</sub>
- Use closure to test each functional dependency

### Definitions of Keys

- A set of attributes X is a *superkey* of R if X determines all attributes of R (i.e., if  $X^+ = R$ )
- A set of attributes X is a *candidate key* of R if X is a superkey, but no proper subset Y of X is a superkey
- An attribute is *prime* if it is contained in some candidate key (and is *non-prime* otherwise)

### Eliminating Redundancy

- Functional dependencies whose determinants are not superkeys (i.e, that do not include candidate keys) indicate that there is redundancy in a relation
  - If there aren't any... then we're done!
  - If there are... then we use the functional dependencies to construct a decomposition that gets rid of the redundancy

#### **BCNF**

- A relation R is in Boyce-Codd Normal Form (BCNF) if for every non-trivial functional dependency X→Y in R, X is a superkey
  - "Every determinant must contain a candidate key"
  - A relation in BCNF will not have any redundancy, since every functional dependency in the relation will have a superkey as its determinant

# Removing a Functional Dependency

- ◆ Suppose R contains the functional dependency X→Y where X is <u>not</u> a superkey
- Replace R with two relations:
  - R Y
    - No longer contains  $X \rightarrow Y$
  - X U Y
    - Contains  $X \rightarrow Y$ , but X is a superkey in this relation
  - (Be sure that Y contains the complete closure of X...)

### BCNF Decomposition Algorithm

Set D = {R}

While there is some Q in D that is not in BCNF:

Choose a Q that is not in BCNF

Find an X→Y in Q that violates BCNF

Replace Q with two relations:

Q - Y and (X union Y)

(When finished, all relations in D will be in BCNF)

#### Next:

- More Relational Database Design
  - Boyce-Codd Normal Form (BCNF)
  - Dependency preservation
  - Lossless join