

# Data structures and algorithms

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Master ATIAM - Informatique  
Philippe Esling ([esling@ircam.fr](mailto:esling@ircam.fr))  
Maître de conférences – UPMC

Equipe représentations musicales (IRCAM, Paris)



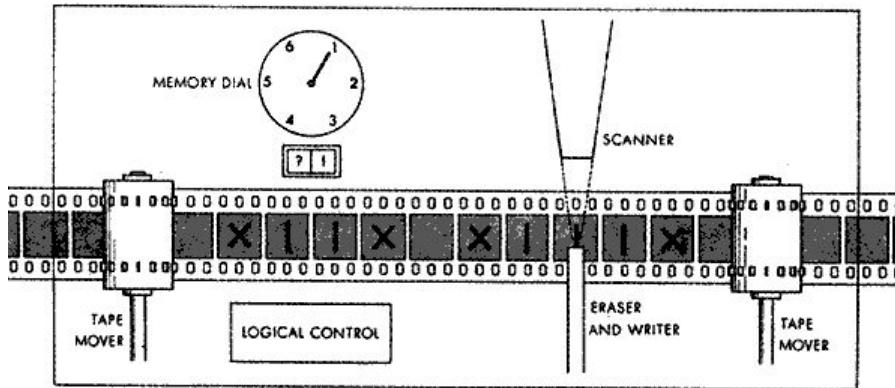
# Why bothering

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- Data structures are **the heart of programming**
- The maxim of programming :

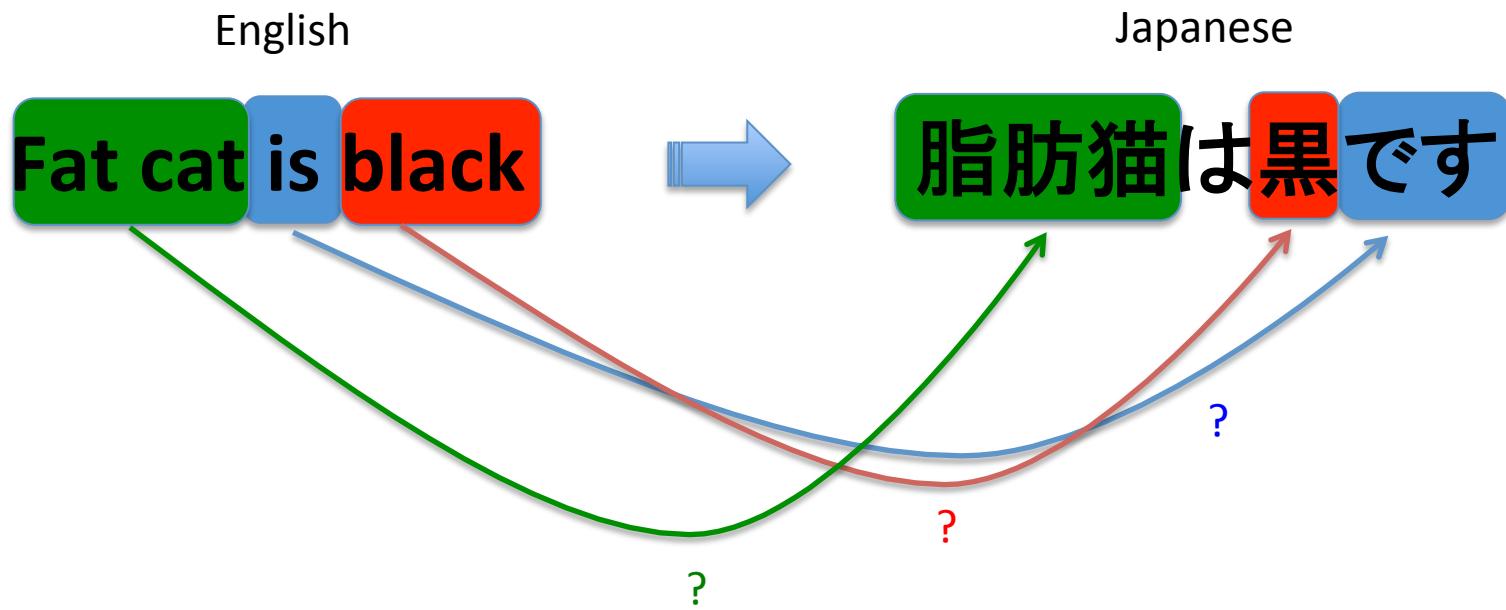
**Data structures + algorithms = program**

- Moving away from the Turing machine

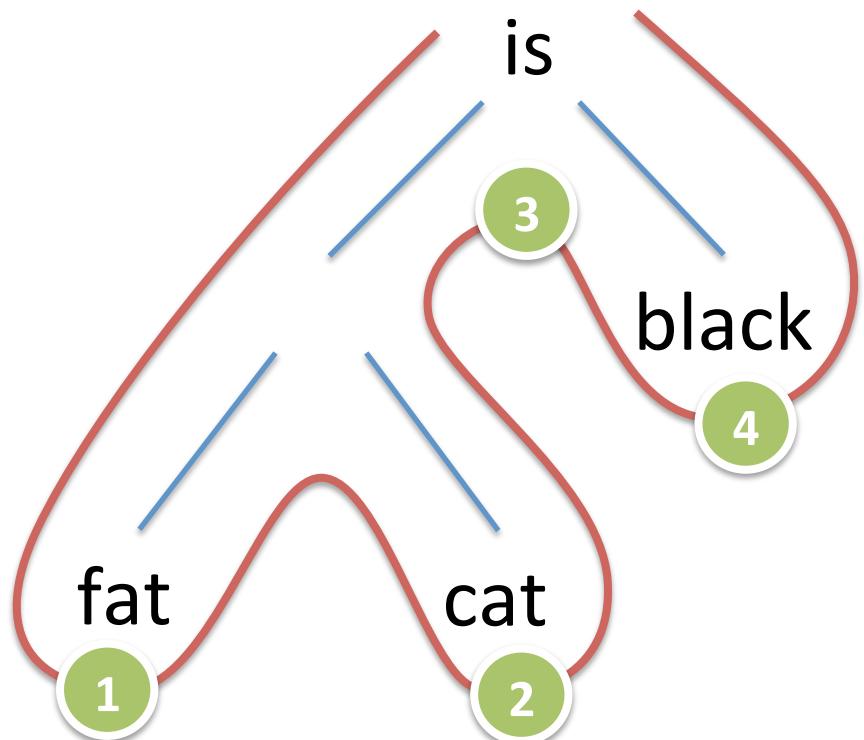


- Smart thinking of structures can **make you rich**
- Not convinced yet ?

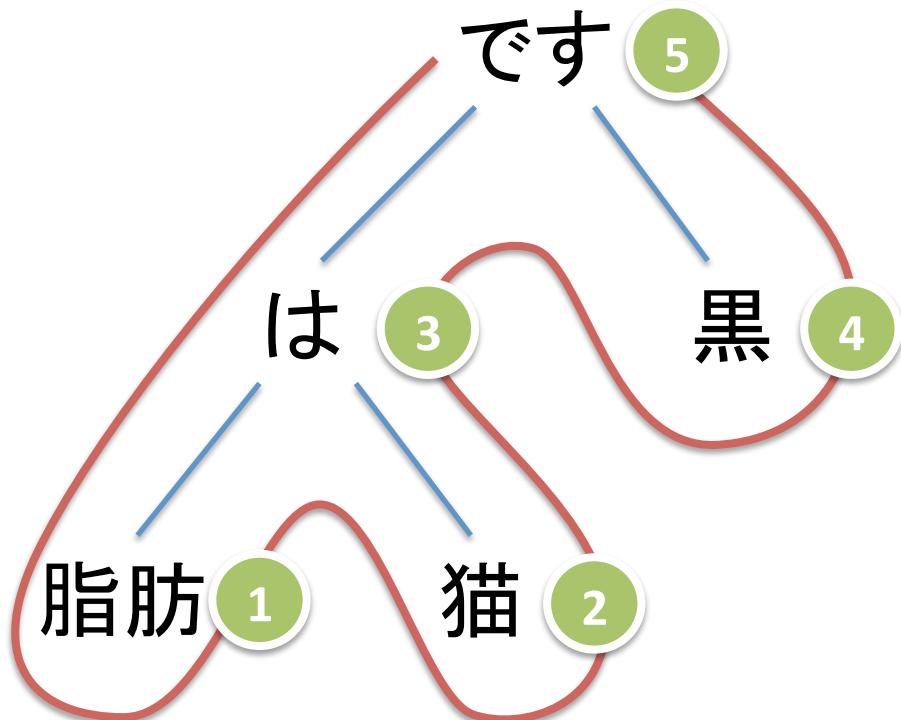
# Why bothering ? Language translation



# Why bothering ? Language translation



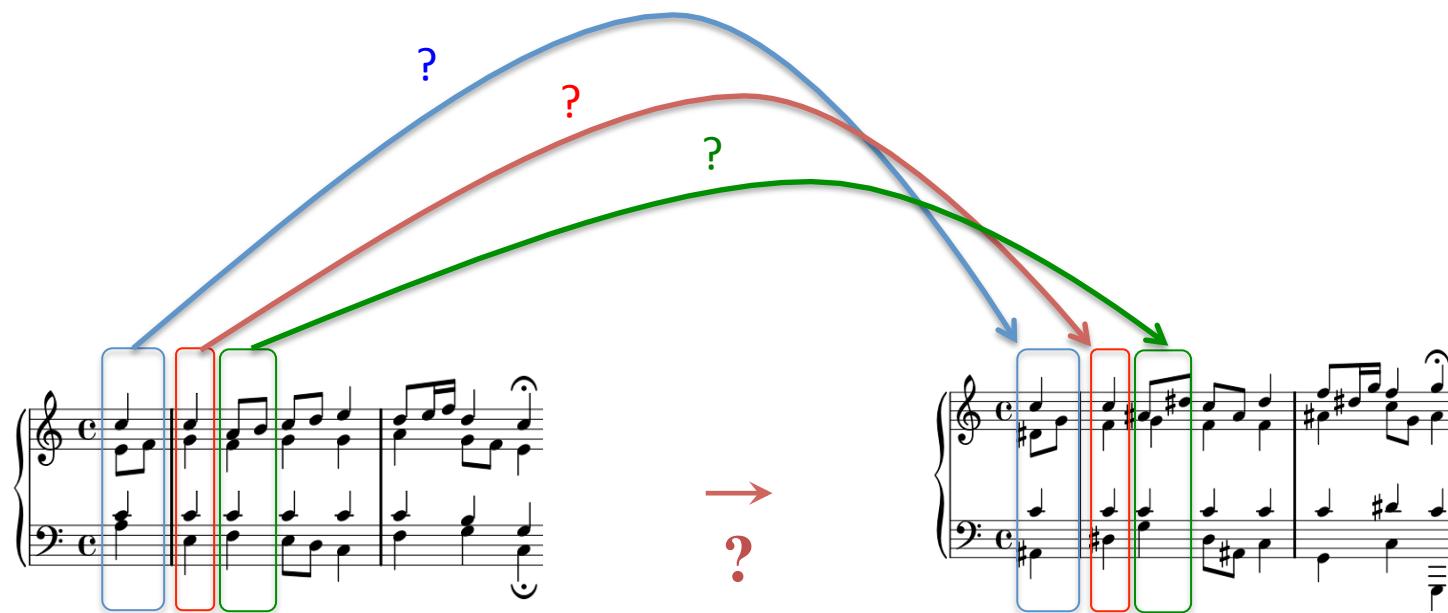
Fat cat is black



脂肪猫は黒です

# Why bothering ? Music

- Musical transformations of score can be tedious
- Need to segment the chords and transform them independently



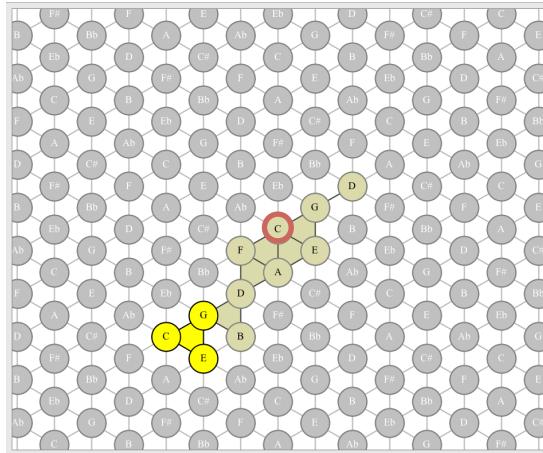
# Why bothering ? Music

- Musical transformations of score can be tedious
- Need to segment the chords and transform them independently
- Work on spatial structures by Louis Bigo (IRCAM – RepMus)

The diagram illustrates the process of musical transformation. At the top, a hexagonal grid represents a spatial structure of musical notes, with each hexagon containing a note name such as 'do', 're', 'mi', 'fa', 'sol', 'la', 'si'. Below this is a piano-roll style visualization of musical notes on a staff, with notes colored in blue, green, and yellow. A red arrow points from this piano-roll to a final musical score at the bottom. The final score shows a transformation of the notes, with some notes moved or altered compared to the original piano-roll representation.

# Why bothering ? Music

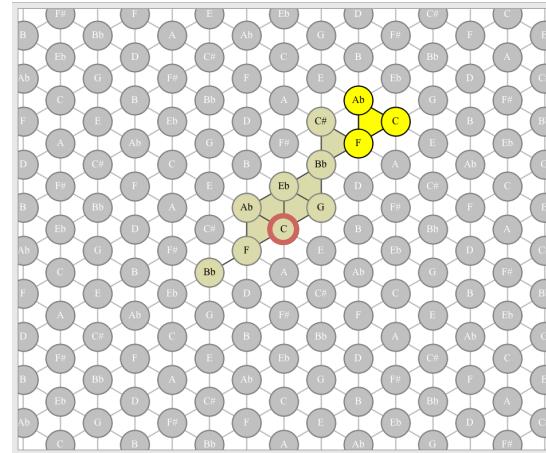
- Musical transformations of score can be tedious
- Need to segment the chords and transform them independently
- Work on spatial structures by Louis Bigo (IRCAM – RepMus)



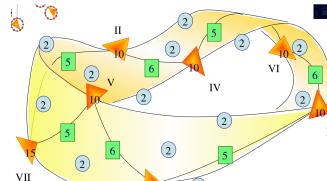
*point reflection*  
→

The Beatles  
Let it be

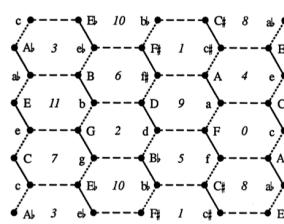
→  
*pitch inversion*



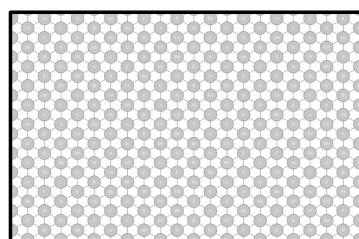
# Why bothering ? Music



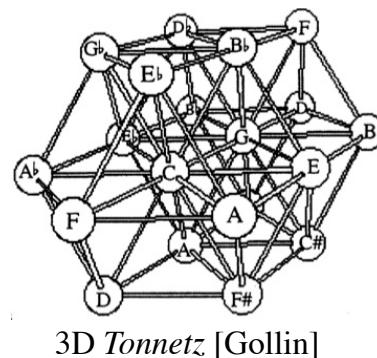
Tonality strip [Mazzola]



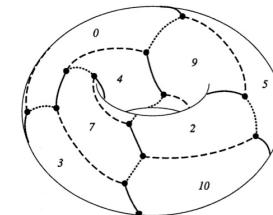
Chicken Wire Torus [Douthett & Steinbach]



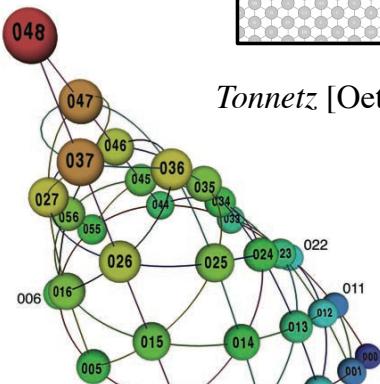
Tonnetz [Oettingen, Riemann]



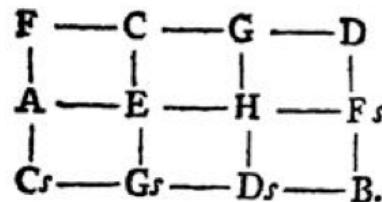
3D Tonnetz [Gollin]



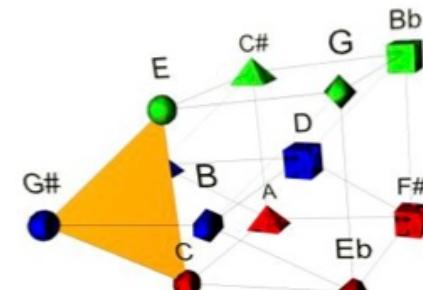
Spiral Array [Chew]



Orbifolds [Tymoczko]



Speculum Musicum [Euler]



Model Planet [Barouin]

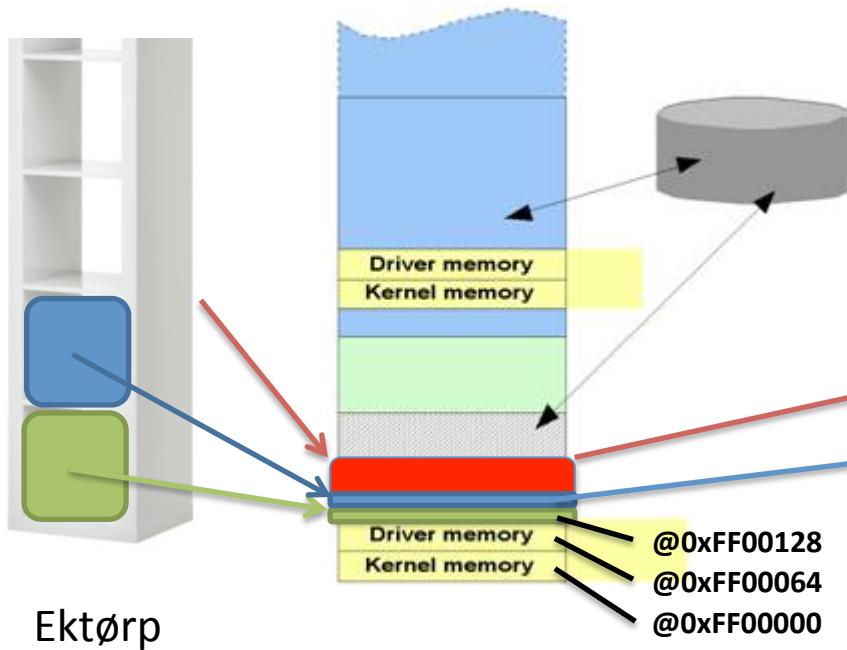
# Notation and syntax

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- All this lesson is in **pseudo-code** (python-like notation)
- Most language hold the same notations
- Learning syntax is a job for ... 
- Along this lesson, I will make **non-stop roundtrips** from
  - **High-level pseudo-code** and algorithms
  - **Low-level memory** representation problems
- It is very important that you **understand all levels**
- **Check if you know at least**  
[variables] / [functions] / [if] / [for] / [while]

# Arrays

- The simplest **structure** in informatics
- So how do they look like ?



## Operations

### Allocation

```
ikeaShelf = new Array(4);
```

### Access

```
ikeaShelf[0];
```

### Modification

```
ikeaShelf[1] = shoes;
```

Memory block allocated for the array

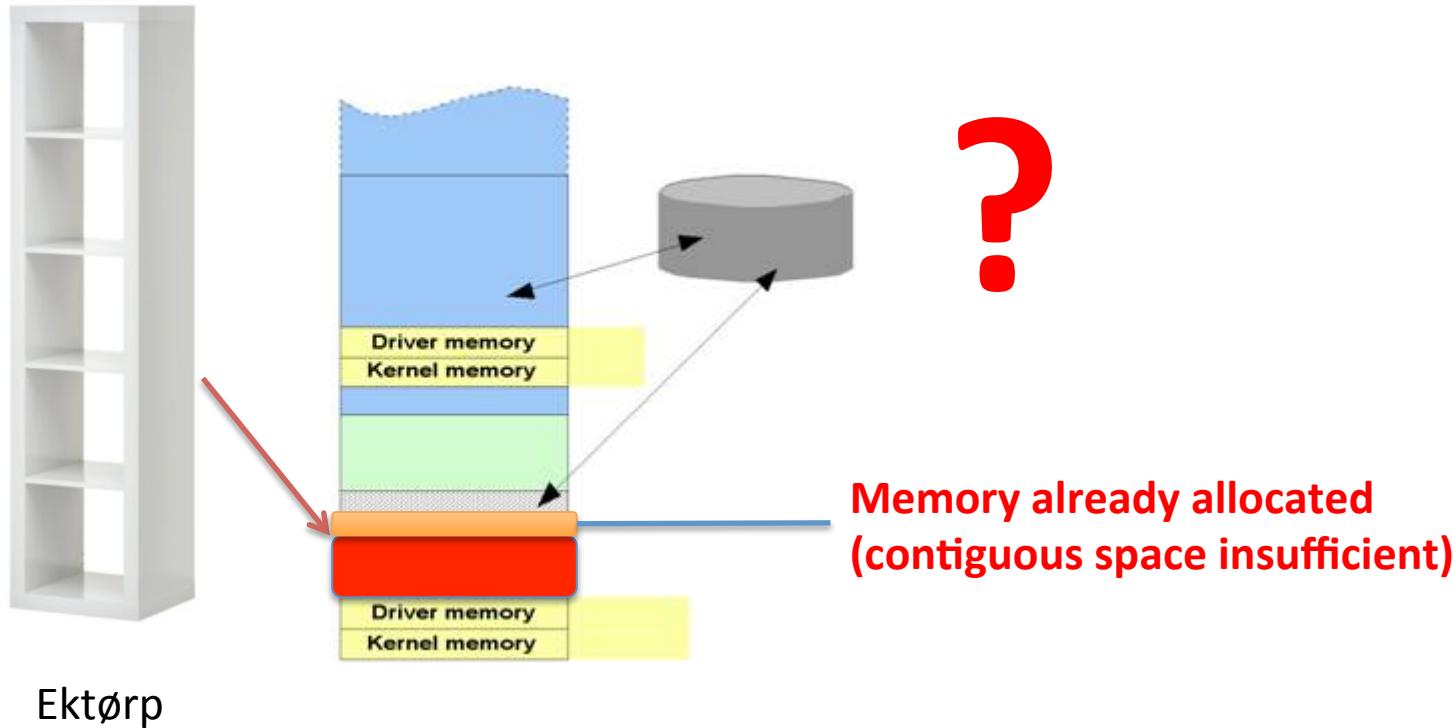
Each slot has an address

Address are referred by pointers

(pointers are long int giving the corresponding memory slot)

# Arrays

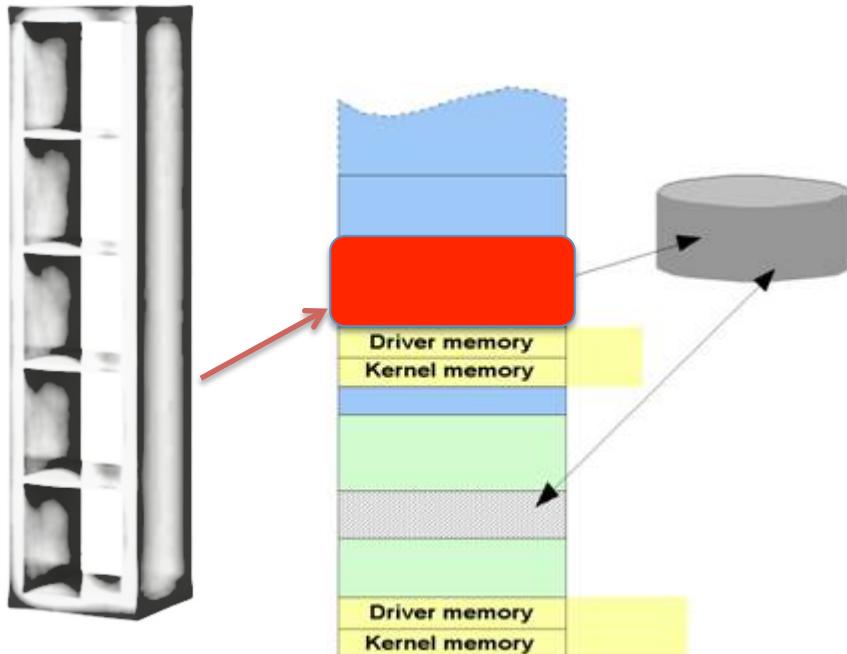
- The simplest **structure** in informatics
- So how do they look like ?



Ektørp

# Arrays

- The simplest **structure** in informatics
- So how do they look like ?



Ektørp

System will automatically (without saying)

- Allocate new space (contiguous)
- Transfer each data into this space
- Remove the old space

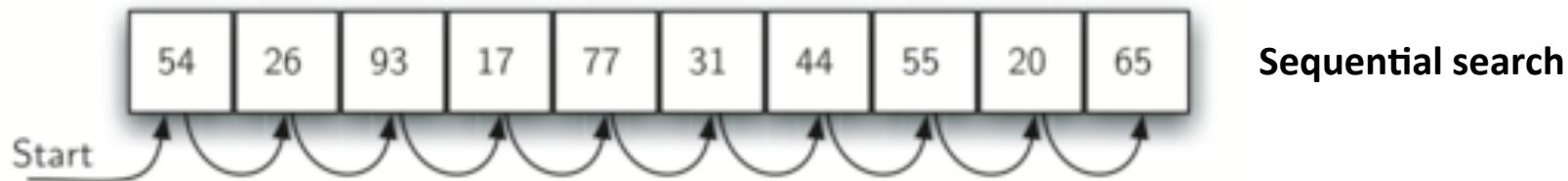
Typically a **static structure** with operations

- Create
- Access
- Modify
- Reallocate

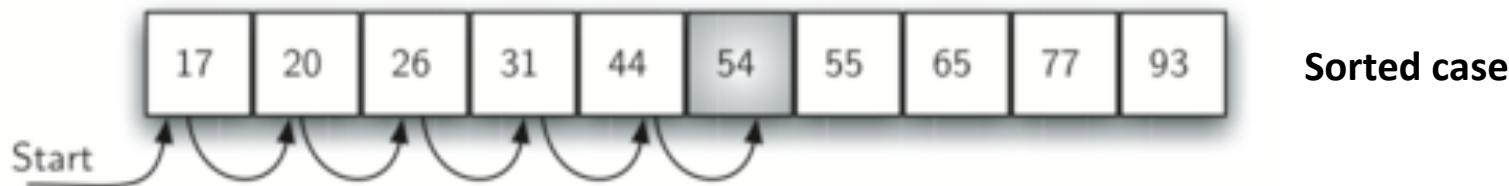
# Arrays: complexity

|                     |        |
|---------------------|--------|
| <b>Access</b>       | $O(1)$ |
| <b>Modification</b> | $O(1)$ |
| <b>Search</b>       | $O(n)$ |

Typical static structure complexities



Sequential search



Sorted case

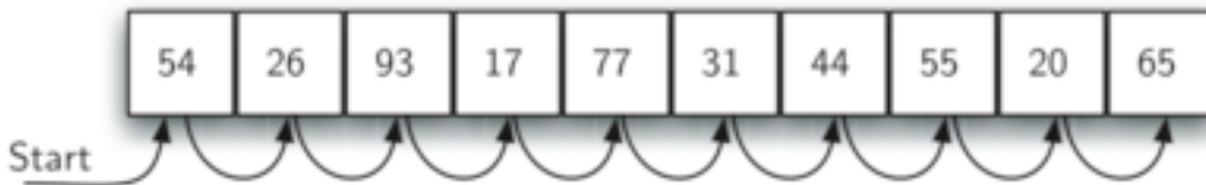
```
for (i = 0; i < intArray.length; i++)  
    if (intArray[i] >= 47)  
        break;
```

$O(n)$

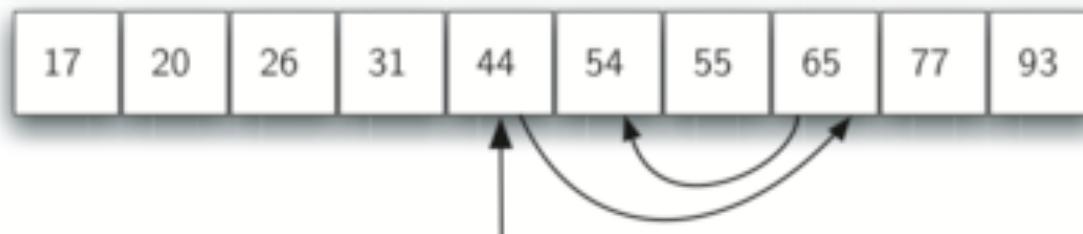
# Arrays: complexity

|                     |        |
|---------------------|--------|
| <b>Access</b>       | $O(1)$ |
| <b>Modification</b> | $O(1)$ |
| <b>Search</b>       | $O(n)$ |

Typical static structure complexities



**Sequential search**



**Sorted case – Binary search  
(divide and conquer) !**

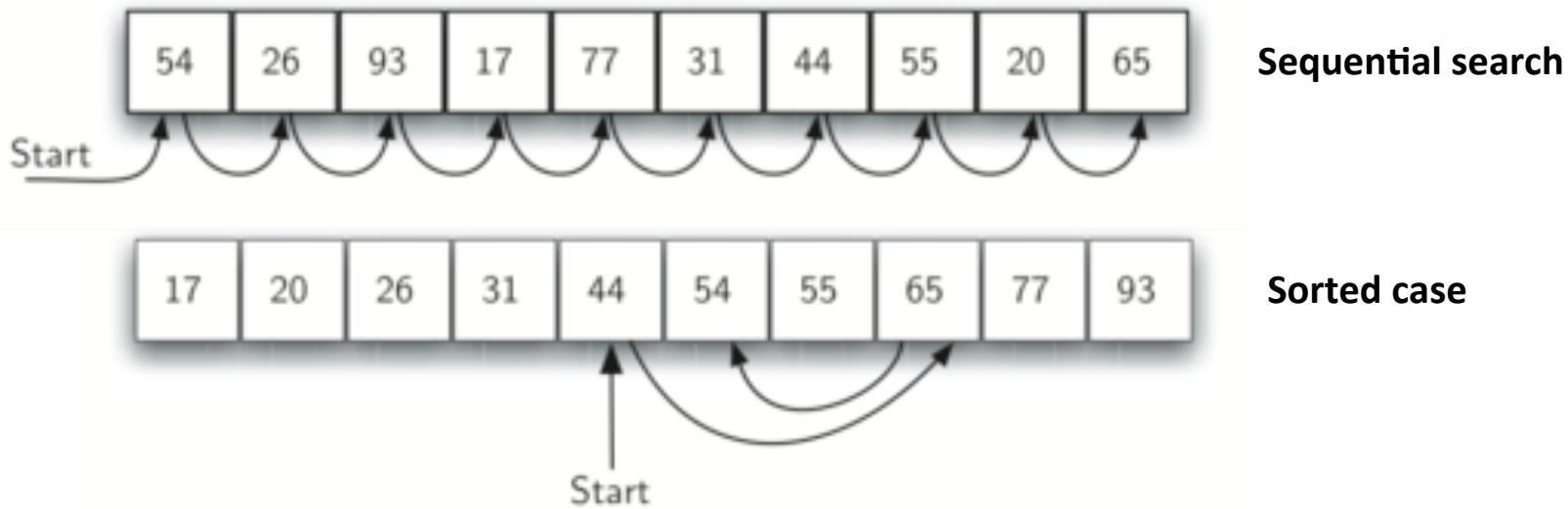
```
while (first <= last && not found)
    midp = (first + last) / 2
    if (intA[mid] == 47) break;
    if (intA[mid] < 47) first = mid;
    else last = mid;
```

$O(\log(n))$  !

# Arrays: complexity

|                     |        |
|---------------------|--------|
| <b>Access</b>       | $O(1)$ |
| <b>Modification</b> | $O(1)$ |
| <b>Search</b>       | $O(n)$ |

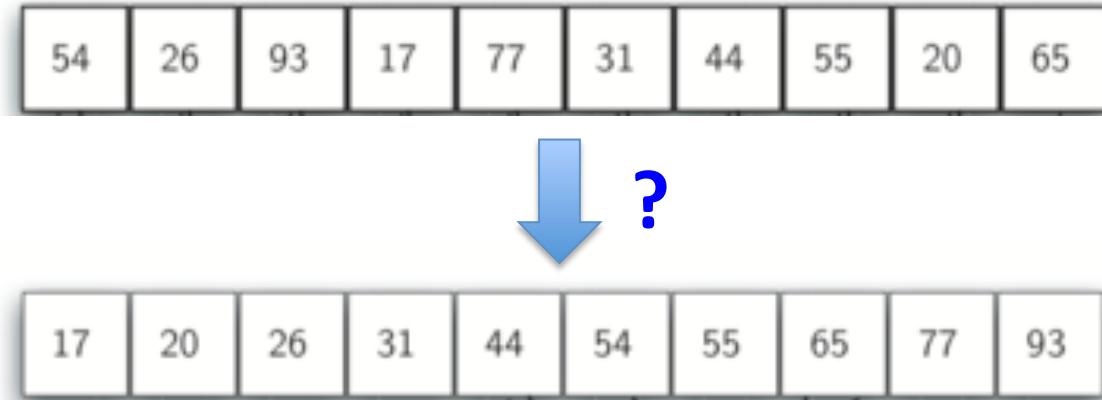
Typical static structure complexities



One of the earliest algorithm on data structures  
=> **Array sorting**

# Arrays: sorting

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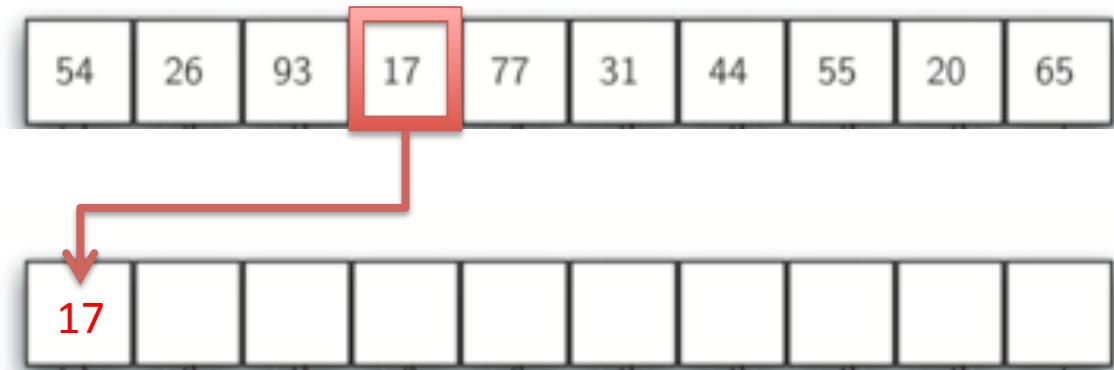


In fact lots of ways to sort an array  
Going from  $O(n^3)$  down to  $O(n \cdot \log(n))$

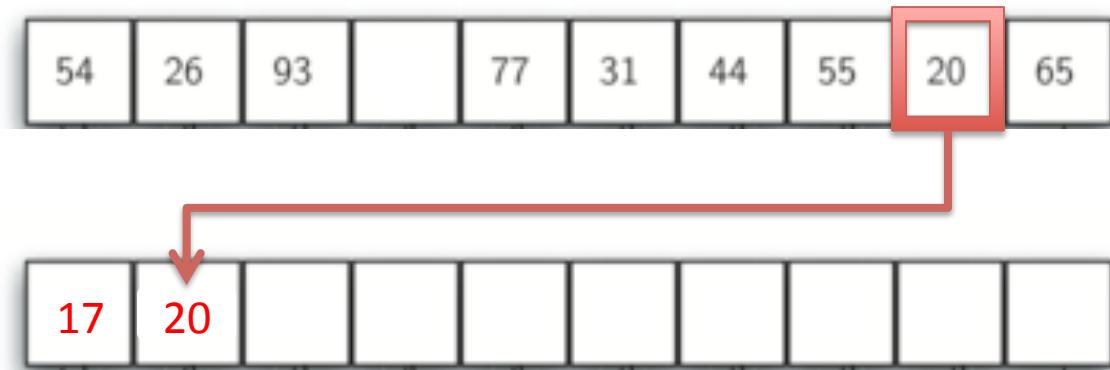
- Insertion sort
- Bubble sort
- Selection sort
- Merge sort
- Quick sort

# Arrays: Naïve insertion sorting

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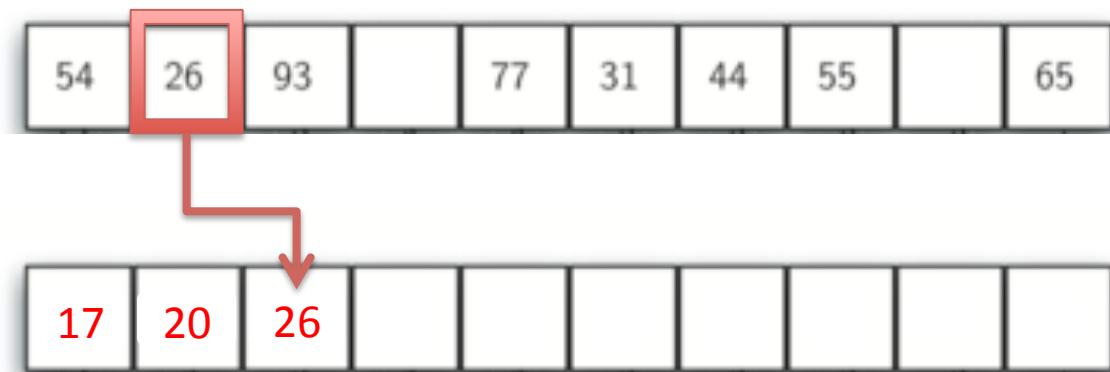


# Arrays: Naïve insertion sorting

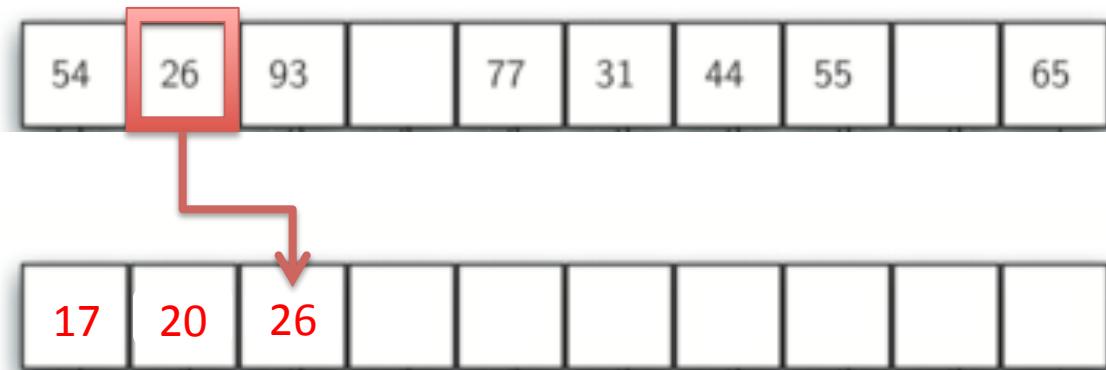


# Arrays: Naïve insertion sorting

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# Arrays: Naïve insertion sorting



```
curPos = 0;  
sorted = new Array(intArray.length);  
for (i = 0; i < intArray.length; i++)  
    curMin = findMinAndRemove(intArray);  
    sorted[curPos++] = curMin;
```



**WORST SORTING ALGORITHM EVER**  
**Best case complexity :  $O(n^2)$**   
(+ double memory allocation)

# Arrays: Insertion sort

---



# Arrays: Insertion sort

---



```
for (id = 1; id < array.length; id++):  
    current = array[index];  
    pos = id;  
    while (pos > 0 && array[pos-1]>current)  
        array[pos] = array[pos - 1];  
        pos = pos - 1;  
    array[pos] = current;
```

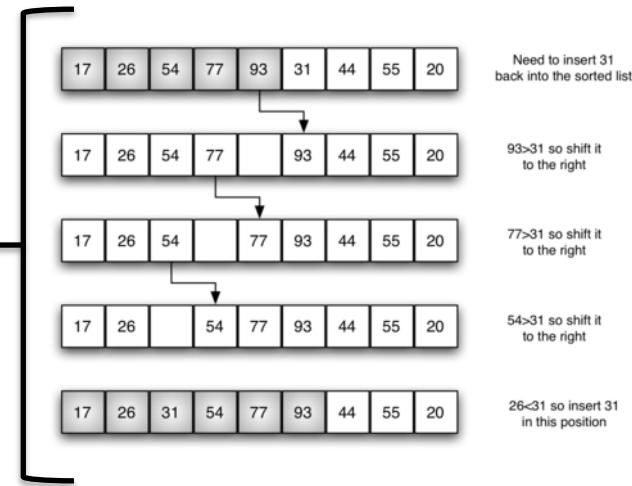
**Worst case :  $O(n^2)$**

**Best case :  $O(n)$**

# Arrays: Insertion sort



```
for (id = 1; id < array.length; id++):  
    current = array[index];  
    pos = id;  
    while (pos > 0 && array[pos-1]>current)
```

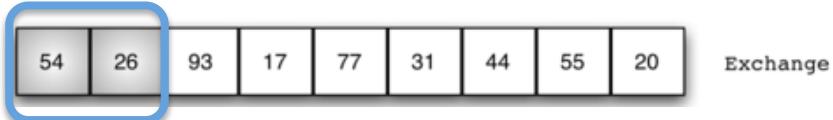


```
array[pos] = current;
```

# Arrays: Bubble sort

---

Does the bubble need swapping ?



# Arrays: Bubble sort

---

|    |    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|----|
| 54 | 26 | 93 | 17 | 77 | 31 | 44 | 55 | 20 |
| 26 | 54 | 93 | 17 | 77 | 31 | 44 | 55 | 20 |

Exchange

No Exchange

# Arrays: Bubble sort

---

|    |    |    |    |    |    |    |    |    |             |
|----|----|----|----|----|----|----|----|----|-------------|
| 54 | 26 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | Exchange    |
| 26 | 54 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | No Exchange |
| 26 | 54 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | Exchange    |

# Arrays: Bubble sort

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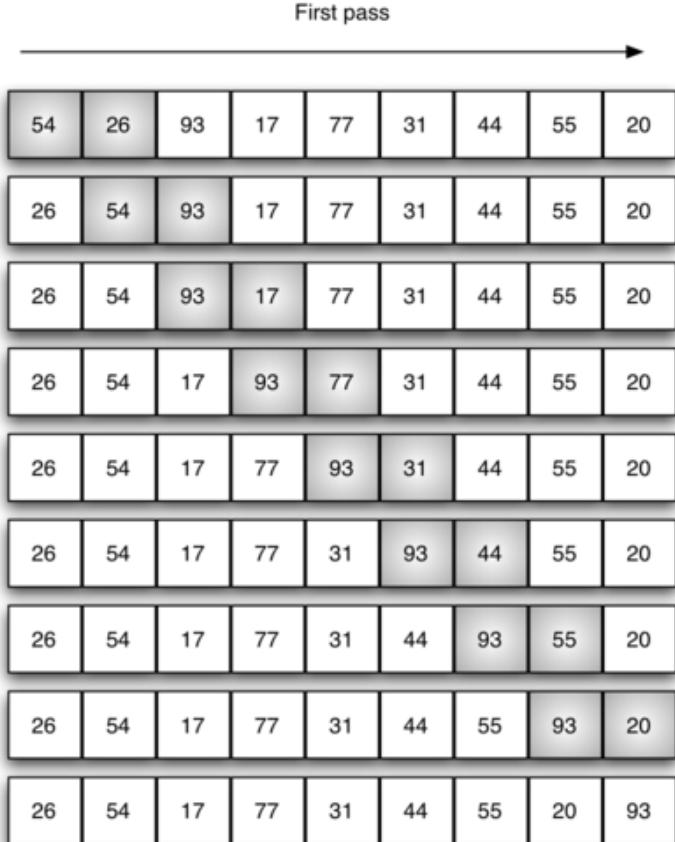
|    |    |    |    |    |    |    |    |    |             |
|----|----|----|----|----|----|----|----|----|-------------|
| 54 | 26 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | Exchange    |
| 26 | 54 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | No Exchange |
| 26 | 54 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | Exchange    |
| 26 | 54 | 17 | 93 | 77 | 31 | 44 | 55 | 20 | Exchange    |

# Arrays: Bubble sort

---

|    |    |    |    |    |    |    |    |    |                                 |
|----|----|----|----|----|----|----|----|----|---------------------------------|
| 54 | 26 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | Exchange                        |
| 26 | 54 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | No Exchange                     |
| 26 | 54 | 93 | 17 | 77 | 31 | 44 | 55 | 20 | Exchange                        |
| 26 | 54 | 17 | 93 | 77 | 31 | 44 | 55 | 20 | Exchange                        |
| 26 | 54 | 17 | 77 | 93 | 31 | 44 | 55 | 20 | Exchange                        |
| 26 | 54 | 17 | 77 | 31 | 93 | 44 | 55 | 20 | Exchange                        |
| 26 | 54 | 17 | 77 | 31 | 93 | 44 | 55 | 20 | Exchange                        |
| 26 | 54 | 17 | 77 | 31 | 44 | 93 | 55 | 20 | Exchange                        |
| 26 | 54 | 17 | 77 | 31 | 44 | 55 | 93 | 20 | Exchange                        |
| 26 | 54 | 17 | 77 | 31 | 44 | 55 | 20 | 93 | 93 in place<br>after first pass |

# Arrays: Bubble sort



```
for (p = array.length - 1; p > 0; p--)  
    swap = 0;  
    for (i = 0; i < p; i++)  
        if (array[i] > array[i + 1])  
            temp = array[i];  
            array[i] = array[i+1];  
            array[i+1] = temp;  
            swap = 1;  
    if (swap == 0)  
        break;
```

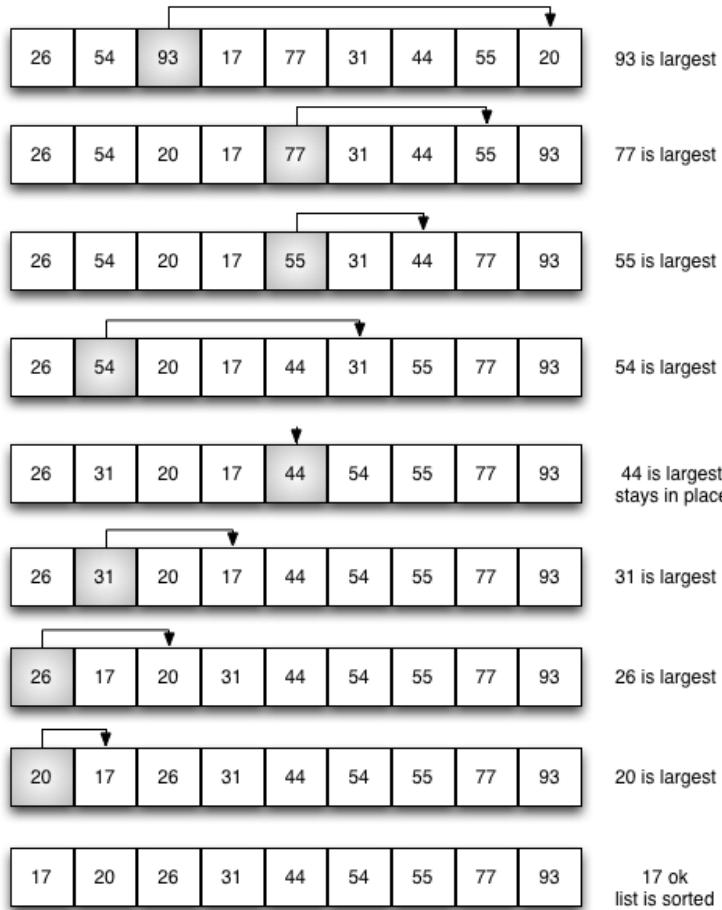
Still ...

**Worst case :  $O(n^2)$**  (But in reality ...  $n.(n-1)/2$ )

**Best case :  $O(n)$**

And less memory swapping !

# Arrays: Selection sort



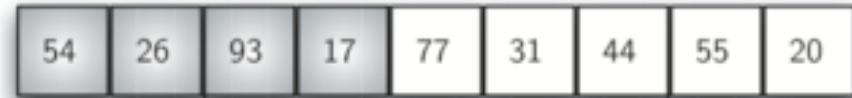
- Combining bubble and insertion sort
- Only swap the largest with the last unchanged (depending on pass index)

```
for (p = array.length - 1; p > 0; p--)  
    max = 0;  
    for (i = 0; i < p; i++)  
        if (array[i] > array[max])  
            max = i;  
    temp = array[p];  
    array[p] = array[max];  
    array[max] = temp;
```

Same complexity as bubble sort ...  
But a lot less memory swapping (which  
is the most expensive operation)

# Arrays: Merge sort

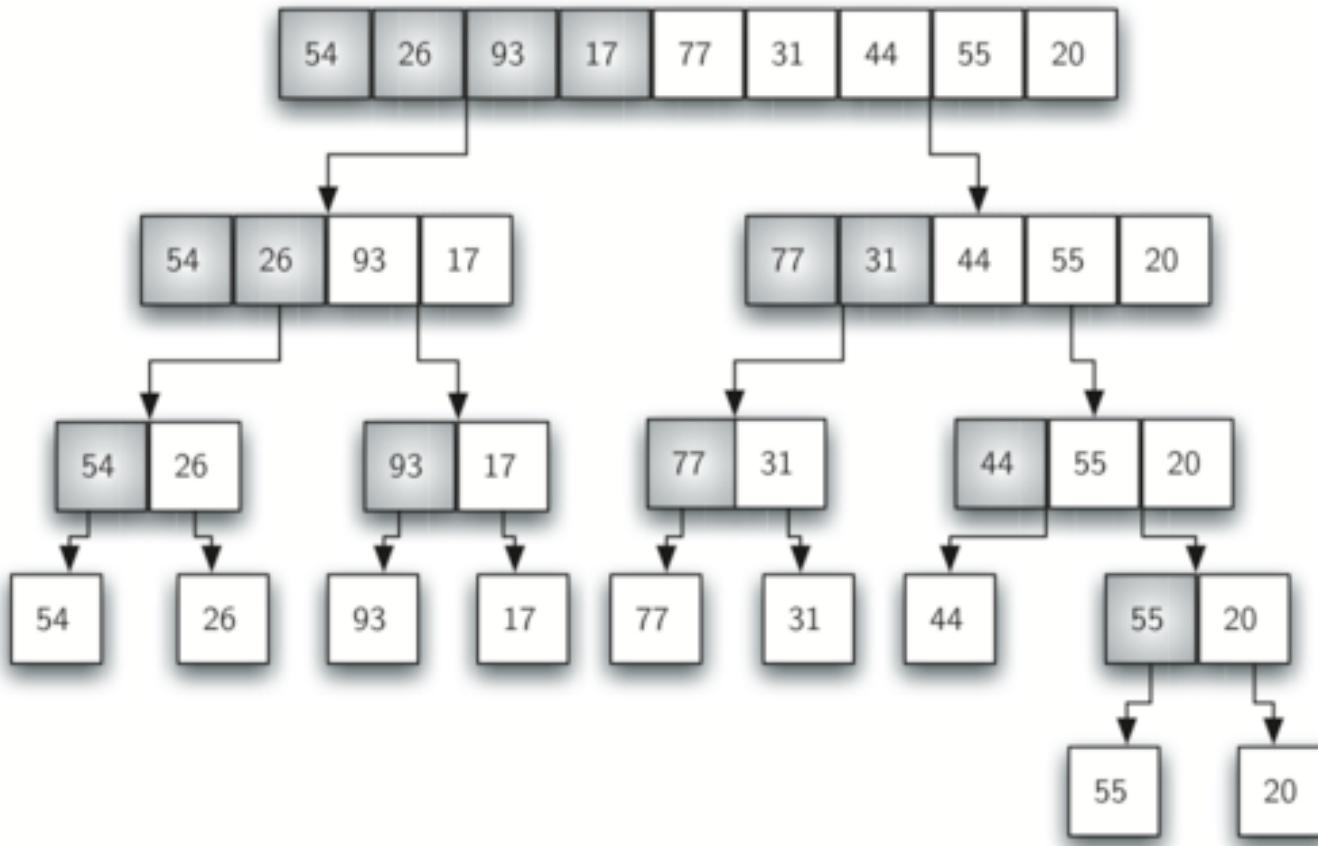
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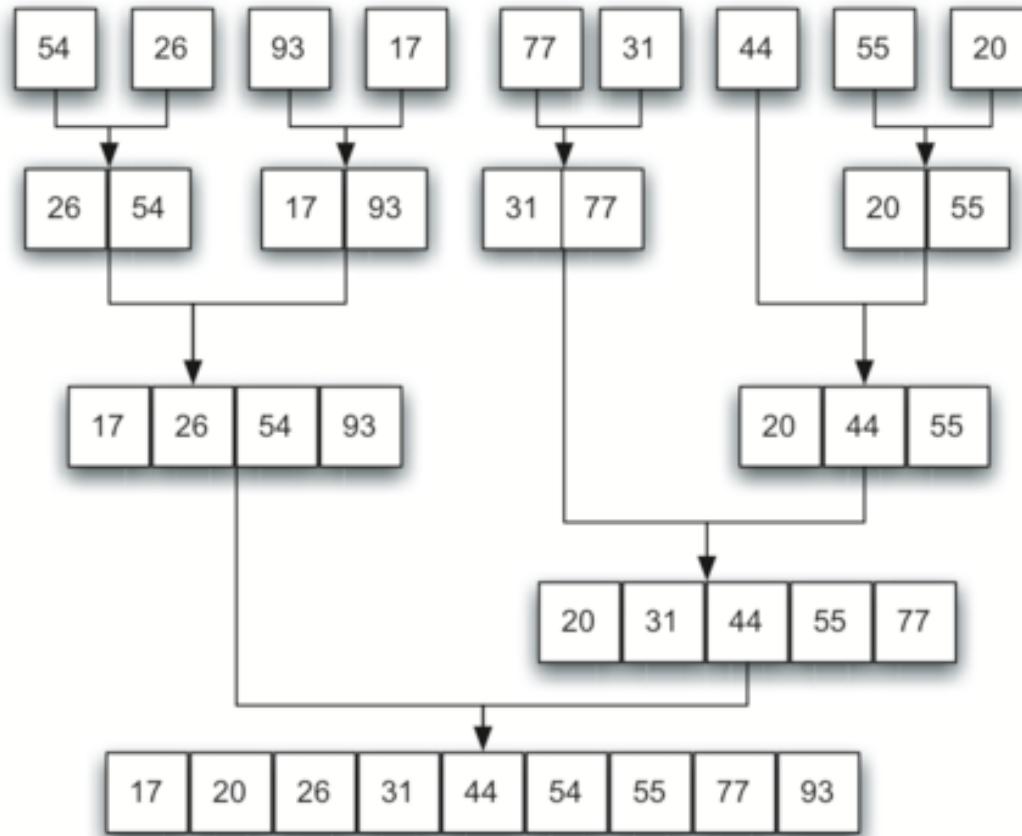
## DIVIDE AND CONQUER



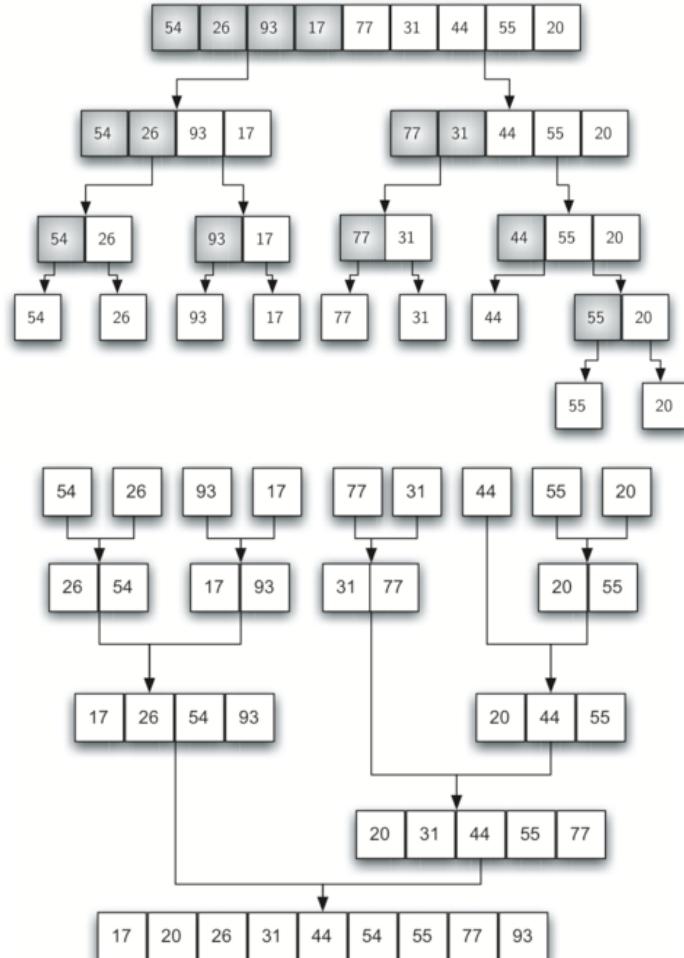
# Arrays: Merge sort



# Arrays: Merge sort



# Arrays: Merge sort



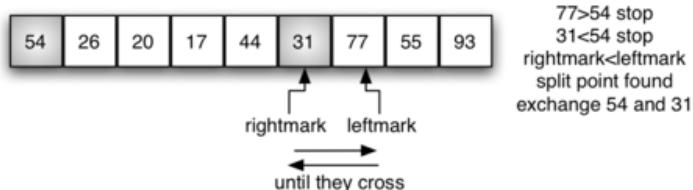
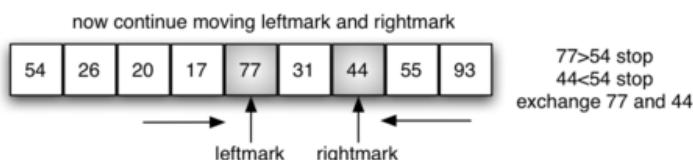
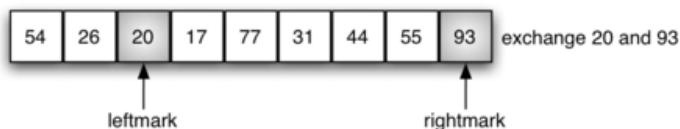
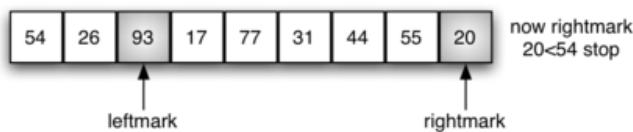
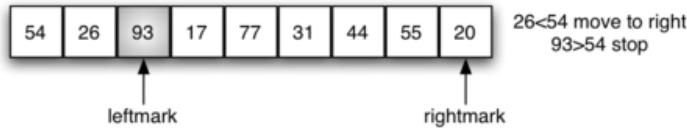
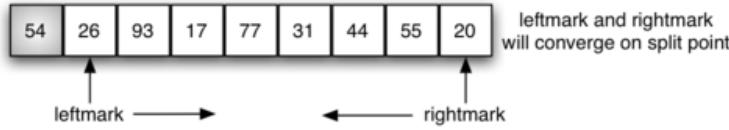
```
mergeSort(alist)
if (alist.length > 1)
    mid = alist.length/2;
    left = alist[1:(mid-1)];
    right = alist[mid:1];
    mergeSort(left);
    mergeSort(right);

i=0;j=0;k=0
while (i < left.len and j < right.len)
    if (left[i] < right[j])
        alist[k] = left[i]
        i = i + 1;
    else
        alist[k] = right[j]
        j = j + 1; k = k + 1;
while (i < left.len)
    alist[k] = left[i]
    i = i + 1; k = k + 1;
while (j < len(righthalf))
    alist[k]=righthalf[j]
    j = j + 1; k = k + 1;
```

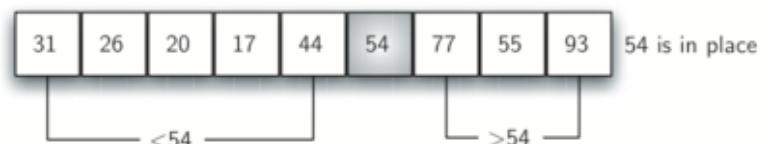
**But requires additional storage**

**Complexity : O(n.log(n))**

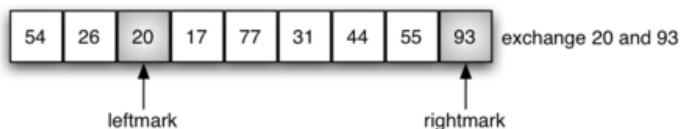
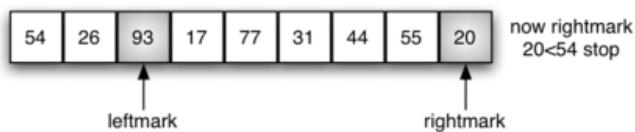
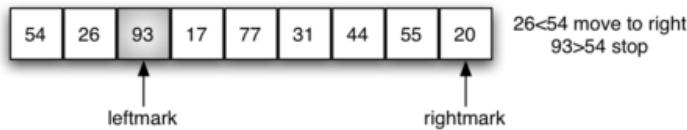
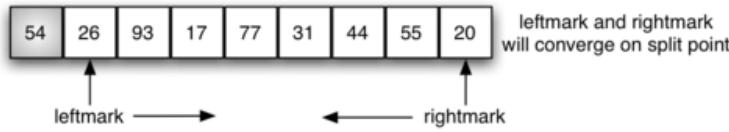
# Arrays: Quick sort



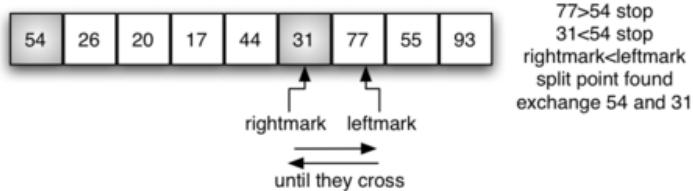
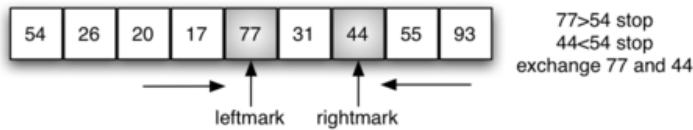
- Same divide and conquer as merge sort
- Without additionnal storage
- Based on pivot value and marking points
- $O(n \log n)$
- No extra storage
- Best sorting
- So at the end of this procedure ?
- If we exchange our **pivot** with our **rightmark**
- ... We just « space-partitionned » the array !



# Arrays: Quick sort



now continue moving leftmark and rightmark



```

def quickSort(alist):
    quickSortHelper(alist,0,len(alist)-1)

def quickSortHelper(alist,first,last):
    if (first < last)
        splitpoint = partition(alist,first,last)
        quickSortHelper(alist,first,splitpoint-1)
        quickSortHelper(alist,splitpoint+1,last)

def partition(alist,first,last)
    pivotvalue = alist[first];
    leftmark = first + 1;
    rightmark = last;
    done = False;
    while (not done)
        while (leftmark <= rightmark and
               alist[leftmark] <= pivotvalue)
            leftmark = leftmark + 1;
        while (alist[rightmark] >= pivotvalue and
               rightmark >= leftmark)
            rightmark = rightmark - 1;
        if (rightmark < leftmark)
            done = True;
        else
            temp = alist[leftmark];
            alist[leftmark] = alist[rightmark];
            alist[rightmark] = temp;
    temp = alist[first];
    alist[first] = alist[rightmark];
    alist[rightmark] = temp;
    return rightmark;

```

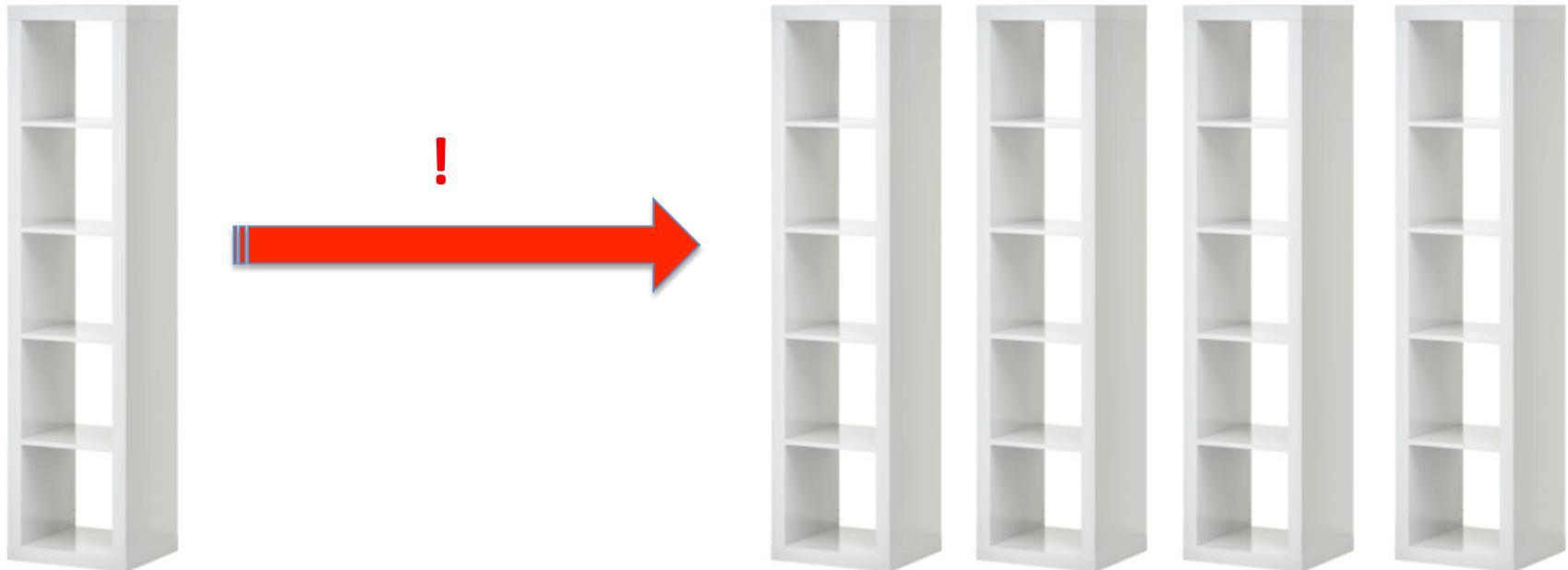
# What about matrix?

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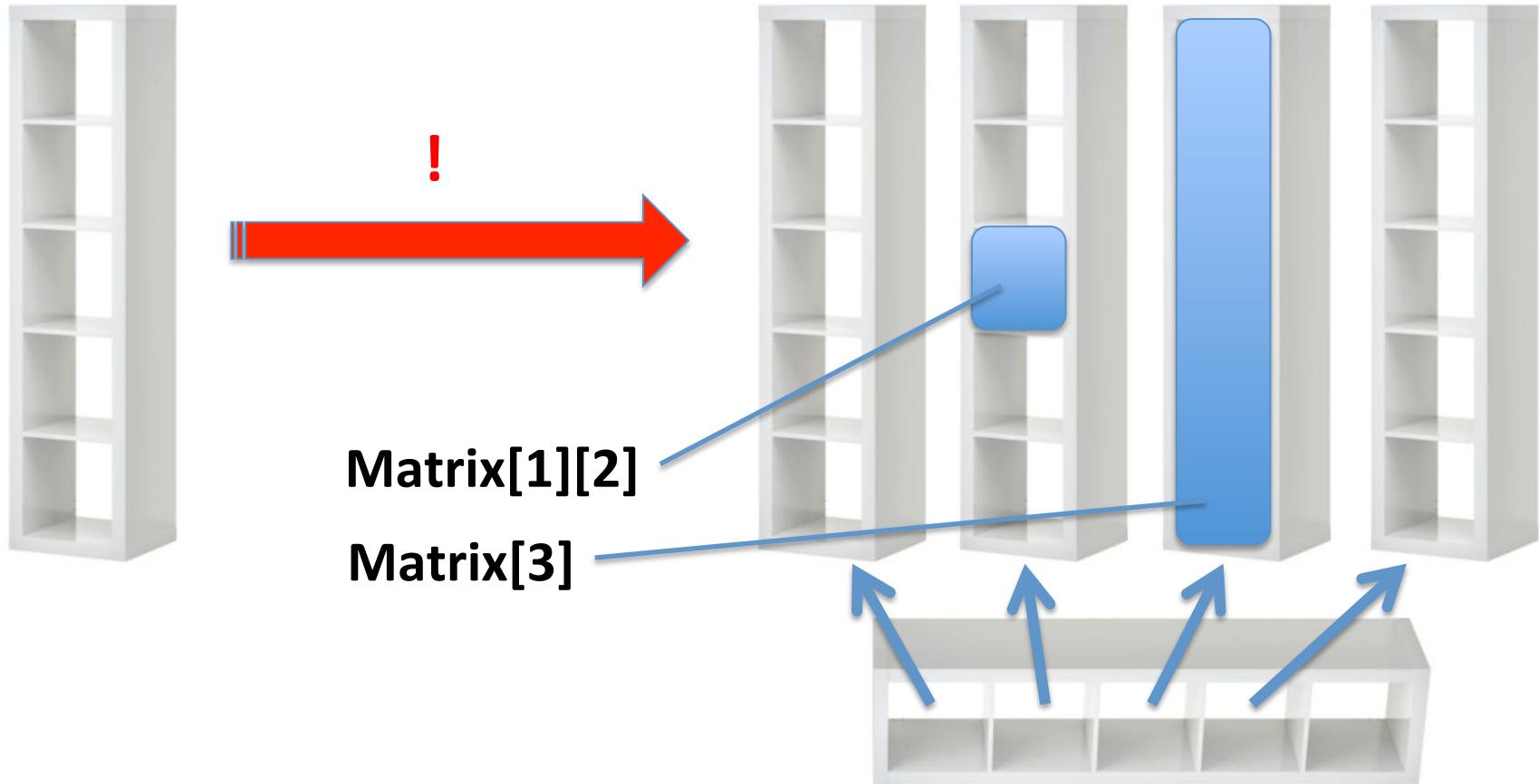
# Matrix !

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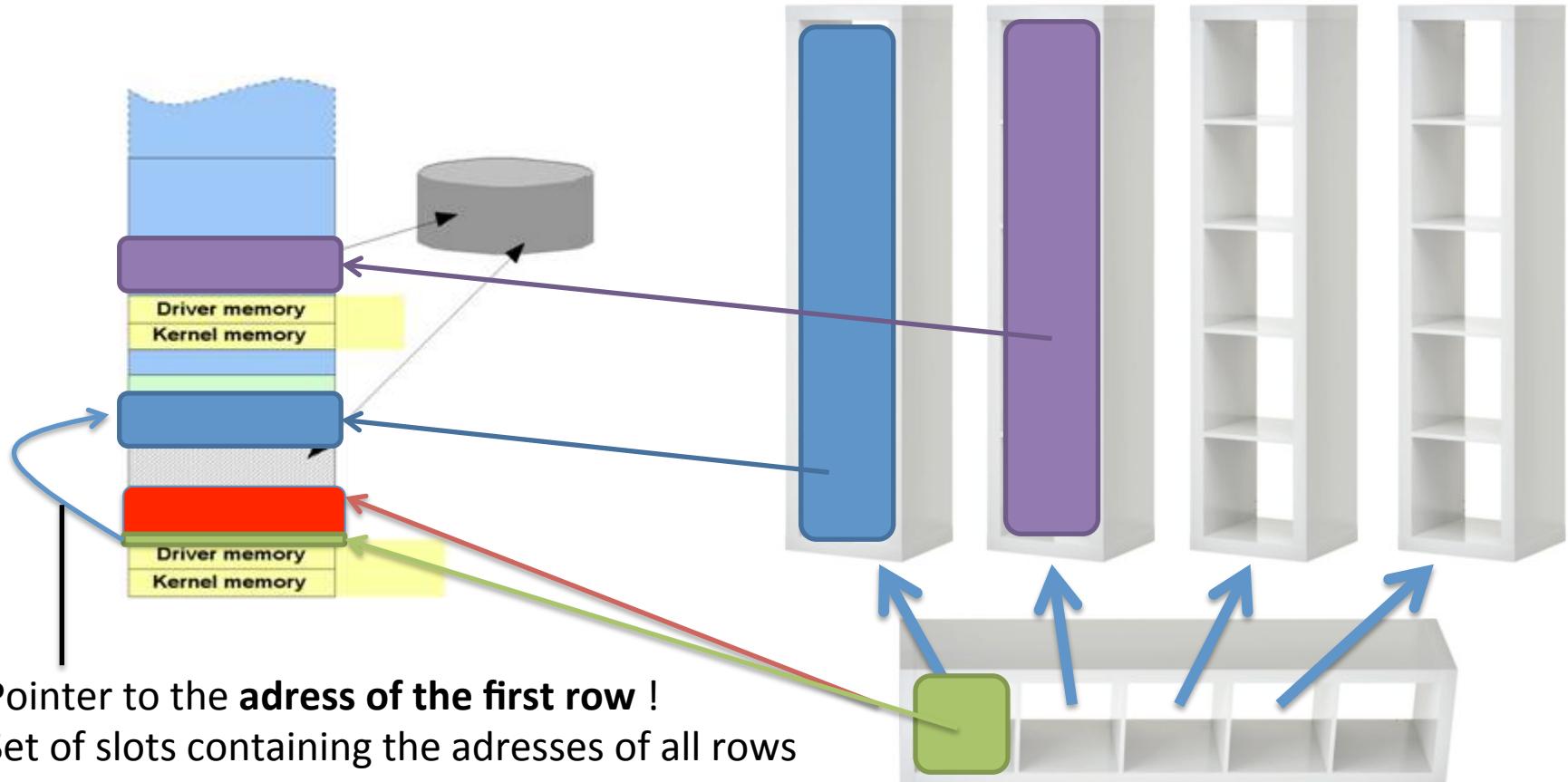


# Matrix !

---

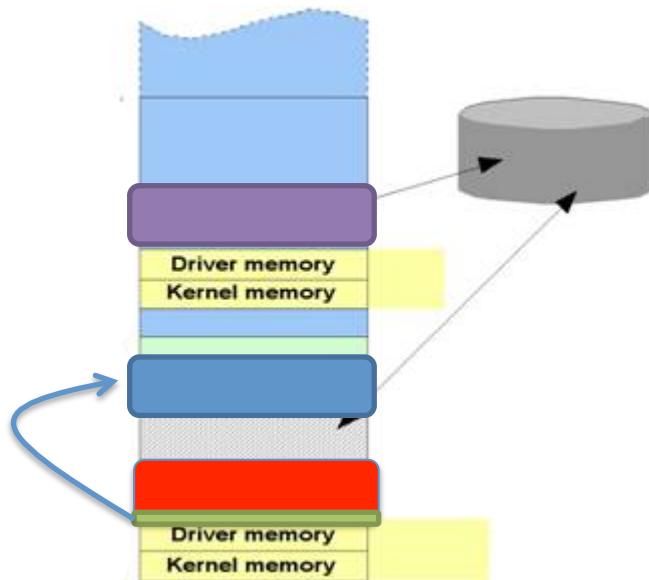


# Matrix ! Memory



# Two things to be a geek

- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...



## 1 – Cache locality

```
for (i = 0; i < N; i++)  
for (j = 0; j < M; j++)  
matrix[i][j] = x;
```

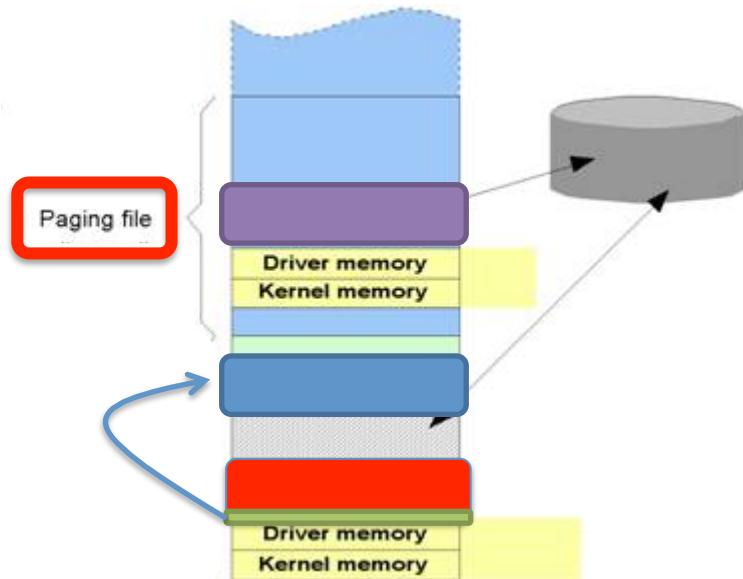
Execution time  
1 sec

```
for (j = 0; j < M; j++)  
for (i = 0; i < N; i++)  
matrix[i][j] = x;
```

Execution time  
10 min

# Two things to be a geek

- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...



## 1 – Cache locality

```
for (i = 0; i < N; i++)  
  for (j = 0; j < M; j++)  
    matrix[i][j] = x;
```

Execution time  
1 sec

```
for (j = 0; j < M; j++)  
  for (i = 0; i < N; i++)  
    matrix[i][j] = x;
```

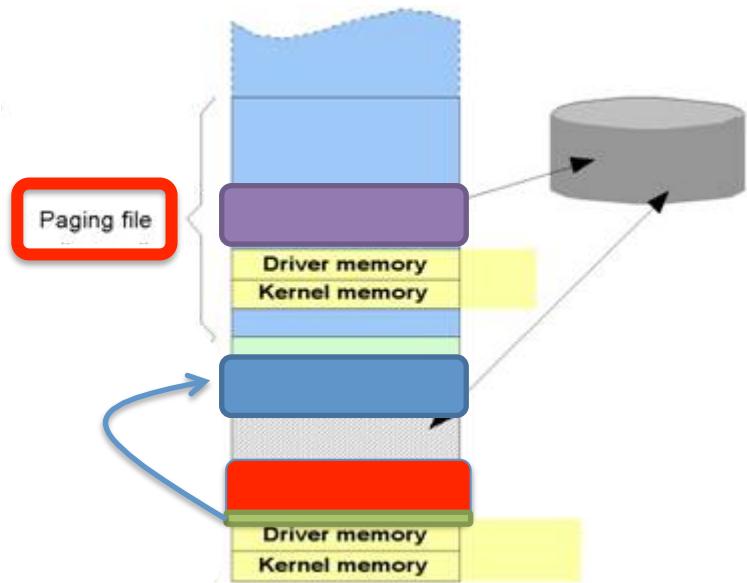
Execution time  
10 min

WHY ?



# Two things to be a geek

- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...



## 1 – Cache locality

```
for (i = 0; i < N; i++)  
for (j = 0; j < M; j++)  
matrix[i][j] = x;
```

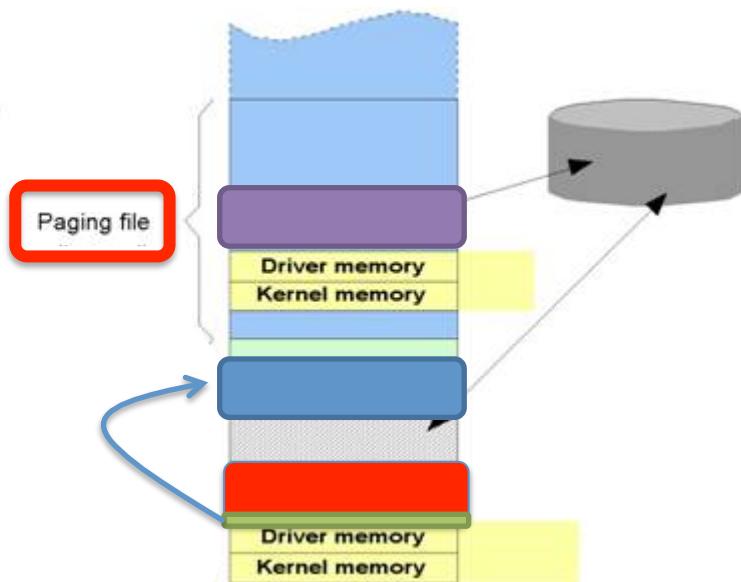
Execution time  
1 sec

Always try to process data  
contiguously (memory page)



# Two things to be a geek

- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...



## 2 – Disk swap

```
for (i = 0; i < N - 1; i++)  
    for (j = 0; j < M; j++)  
        matrix[i][j] = x;
```

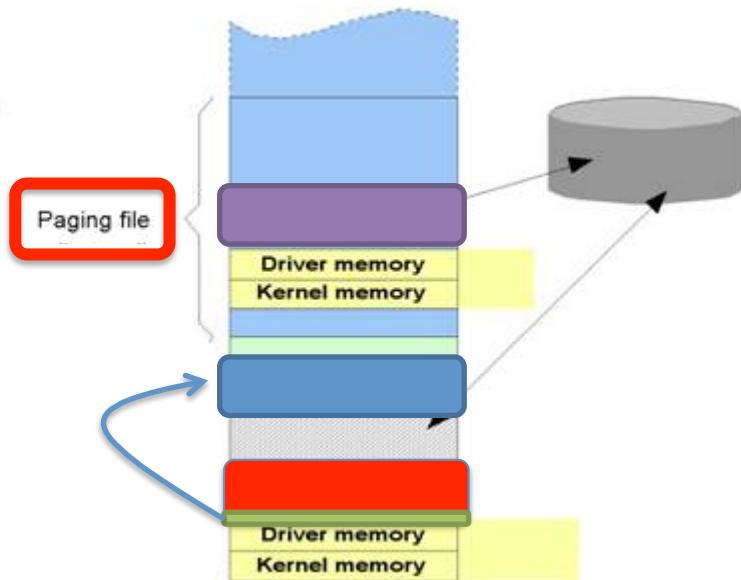
Execution time  
1 sec

```
for (i = 0; i < N; i++)  
    for (j = 0; j < M; j++)  
        matrix[i][j] = x;
```

Execution time  
2 hours

# Two things to be a geek

- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...



## 2 – Disk swap

```
for (i = 0; i < N - 1; i++)  
  for (j = 0; j < M; j++)  
    matrix[i][j] = x;
```

Execution time  
1 sec

```
for (i = 0; i < N; i++)  
  for (j = 0; j < M; j++)  
    matrix[i][j] = x;
```

Execution time  
2 hours

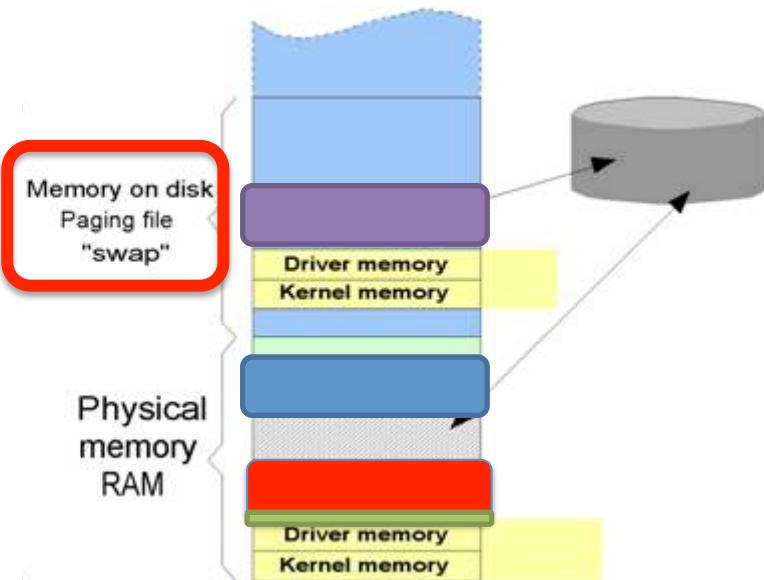
WHY ?



# Two things to be a geek

- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...

## 2 – Disk swap



```
for (i = 0; i < N - 1; i++)  
    for (j = 0; j < M; j++)  
        matrix[i][j] = x;
```

Execution time  
1 sec

```
for (i = 0; i < N; i++)  
    for (j = 0; j < M; j++)  
        matrix[i][j] = x;
```

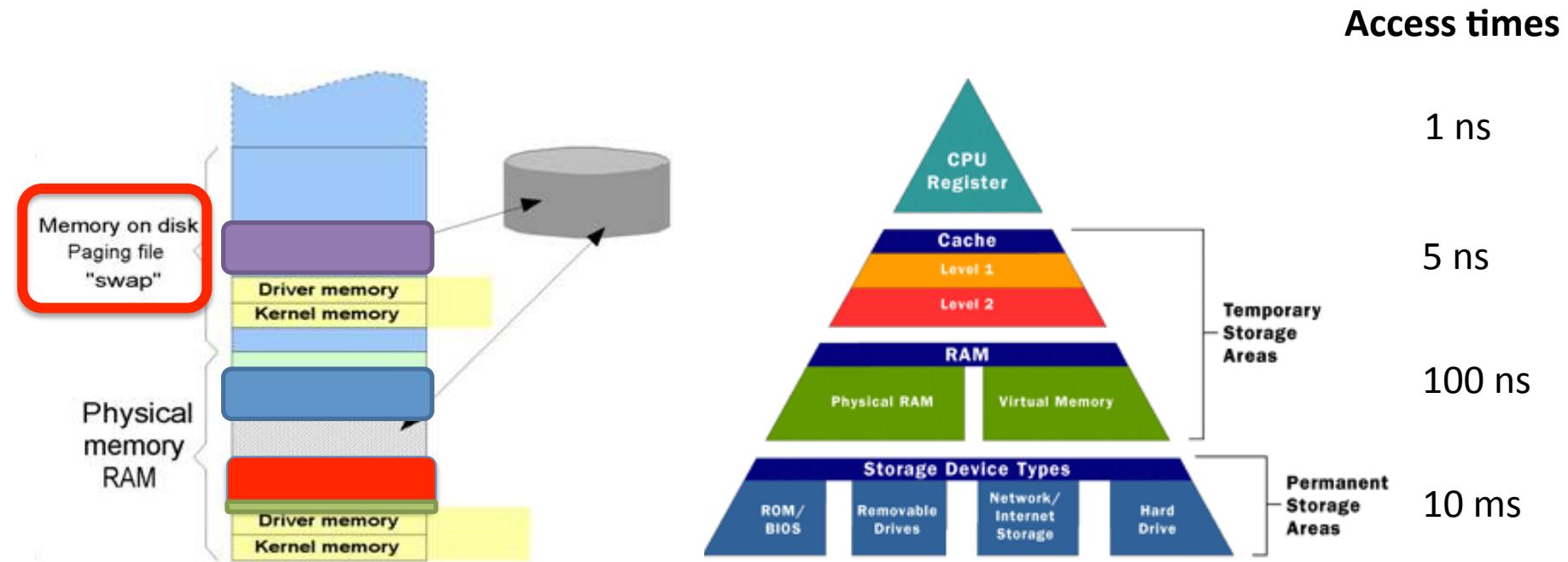
Execution time  
2 hours

WHY ?



# Two things to be a geek

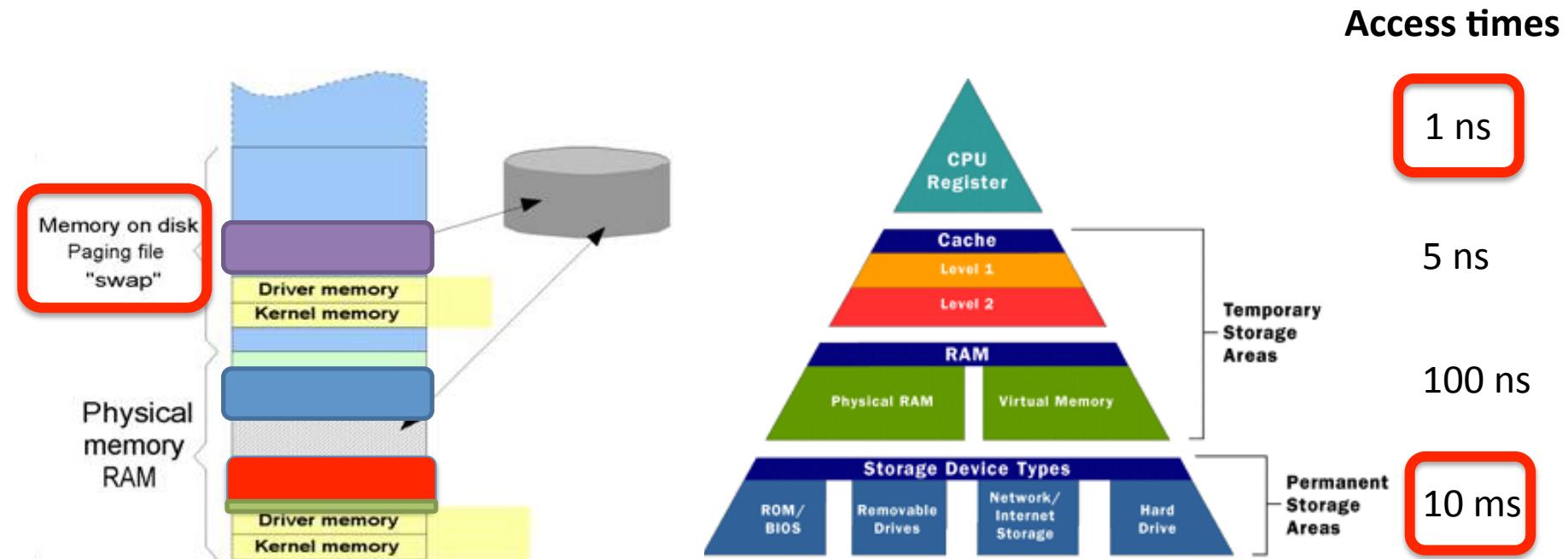
- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...



When RAM is full, the system will **automatically start swapping**  
**Exchange data with the hard drive instead of crashing**

# Two things to be a geek

- Two concepts that good'ol programmers know ...
- ... That youngsters don't give a s\*\*t about ...



**DIFFERENCE OF  $10^7$  TIMES SLOWER**

# So remember kids ...

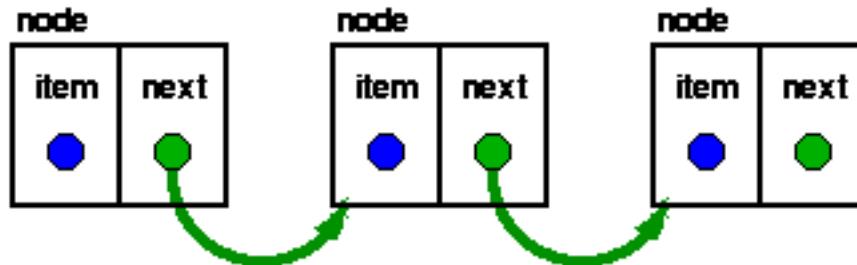
---

- The **biggest bottleneck** in informatics is the memory
  - Being memory handling (how and where to allocate)
  - Or where the memory is accessed
    - CPU cache vs. RAM = \* 1.000
    - RAM vs Hard Drive = \* 10.000
    - CPU cache vs. Hard Drive = \* 10.000.000
  - File I/O is the **slowest operation** possible
- A good and fitting data structure is half the battle
- Algorithmics is not about the most « logical » solution ...
- ... But the most efficient (number and types of operations)
- **Static structures** will be handy most of the time
- But are insufficient **for advanced processing**
- Need to move towards **dynamic structures** !

# Linked lists

---

- Linked lists are the **basis of all dynamic structures**
- Each element (*node*) is in fact a structure itself
  - Contains an item (the actual data)
  - And a pointer (or multiple !) to link the next element(s)

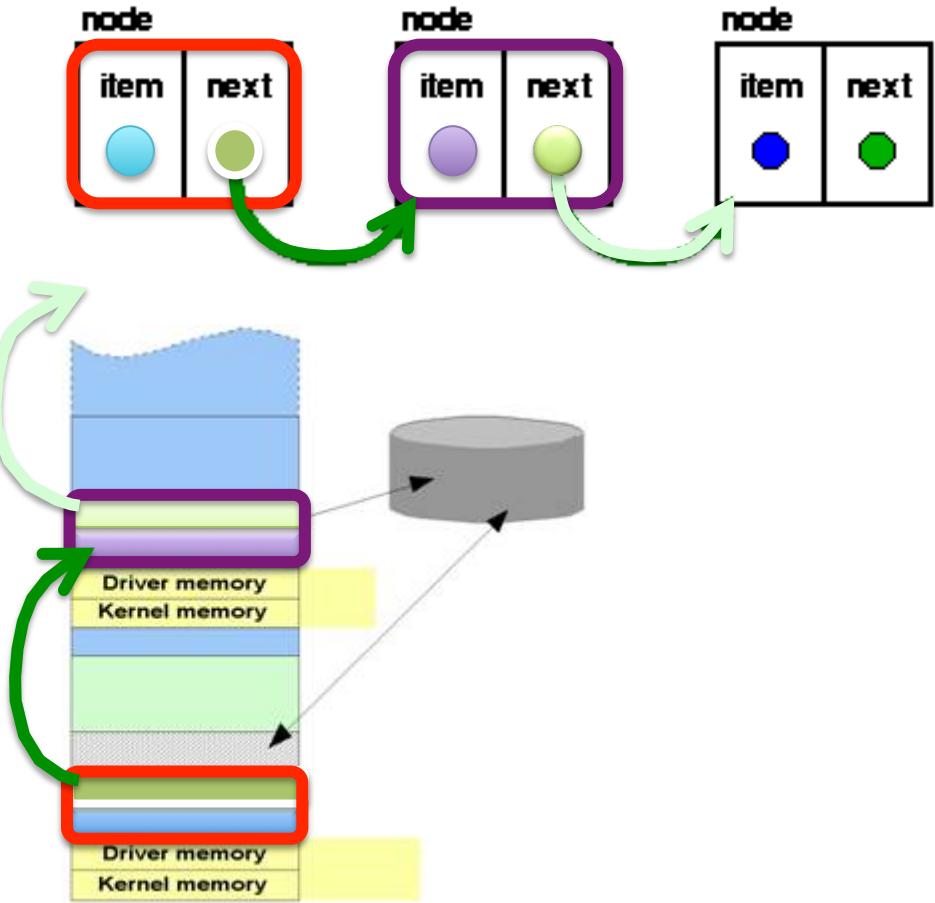


# Linked lists

So what about the memory ?

Same for the « next of the next »  
Makes structure entirely dynamic

Next pointer is an **adress**  
Indicates location of second element

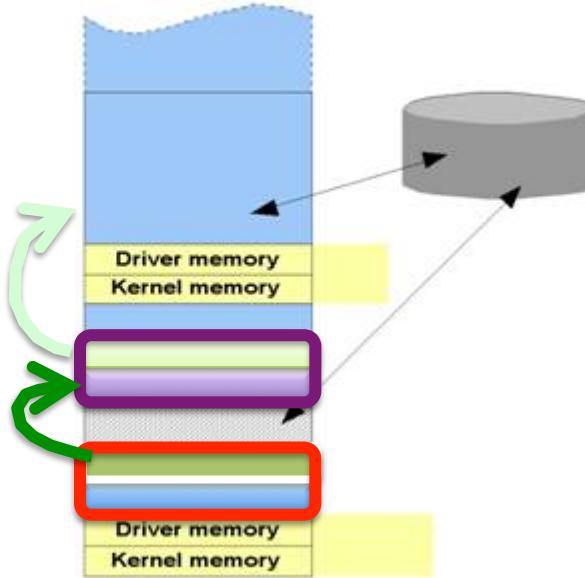
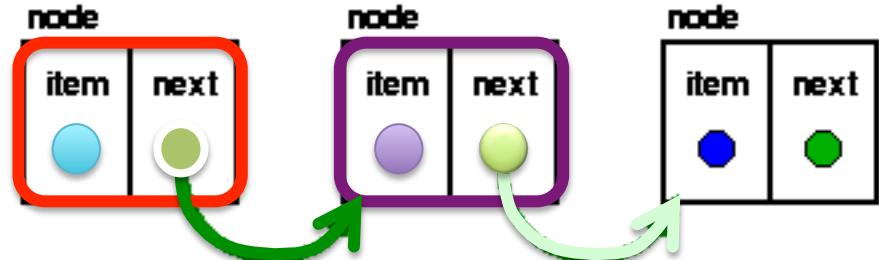


# Linked lists

So what about the memory ?

WOW ! What about cache locality ?

Don't worry ...  
Allocations incremental  
in address space

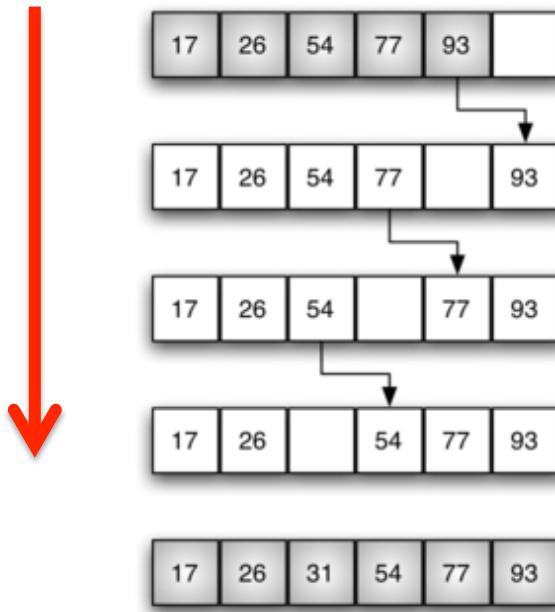


# Linked lists

How to insert an element inside a **sorted** structure ...

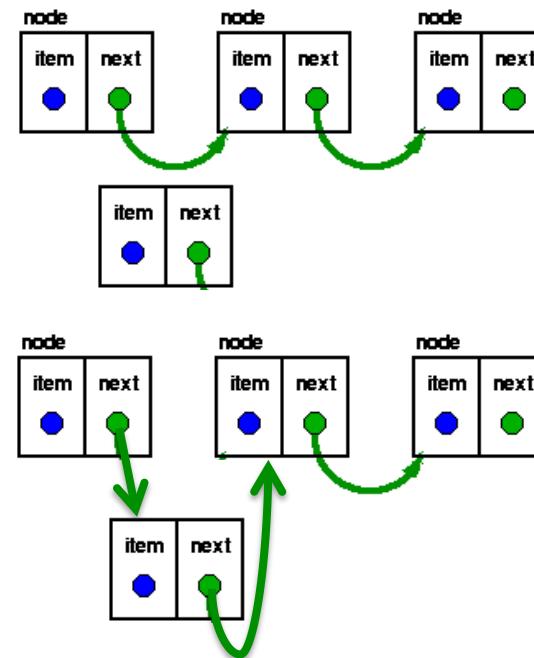
Insertion

Arrays



N operations

Linked list

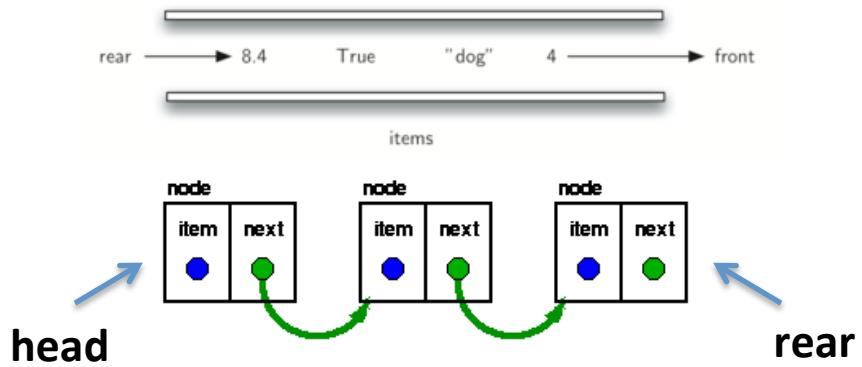


2 operations

Deletion

# Queues

- Think about those damn waiting lines ...
- Used in computing servers, operating systems, hash tables ...
- Can model temporal processes as well (short-term memory)



- This structure is called **FIFO (First-In First-Out)**
- We add elements at the end of the queue
- And retrieve element at the top of the queue

# Queues

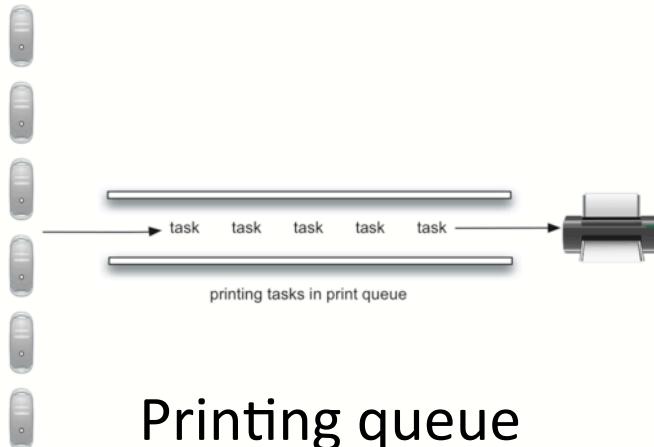


| Operation        | Contents           | Return |
|------------------|--------------------|--------|
| q.isEmpty()      | []                 | True   |
| q.enqueue(4)     | [4]                |        |
| q.enqueue('dog') | ['dog',4]          |        |
| q.enqueue(True)  | [True,'dog',4]     |        |
| q.size()         | [True,'dog',4]     | 3      |
| q.isEmpty()      | [True,'dog',4]     | False  |
| q.enqueue(8.4)   | [8.4,True,'dog',4] |        |
| q.dequeue()      | [8.4,True,'dog']   | 4      |
| q.dequeue()      | [8.4,True]         | 'dog'  |
| q.size()         | [8.4,True]         | 2      |

# Queues

## Classical uses of queues

Lab Computers



```
printerSpooler(numSecondes, pagePerMinute)
    labprinter = Printer(pagesPerMinute)
    printQueue = Queue()
    waitingtimes = []

    for currentSecond in range(numSeconds):
        if newPrintTask():
            task = Task(currentSecond)
            printQueue.enqueue(task)
        if (not labprinter.busy()) and (not printQueue.isEmpty()):
            nexttask = printQueue.dequeue()
            waitingtimes.append(nexttask.waitTime(currentSecond))
            labprinter.startNext(nexttask)
        labprinter.tick()
    averageWait = sum(waitingtimes)/len(waitingtimes)
    print("Average Wait %6.2f secs %3d tasks remaining." % (averageWait, printQueue.size()))
```

# Queues

## Classical uses of queues

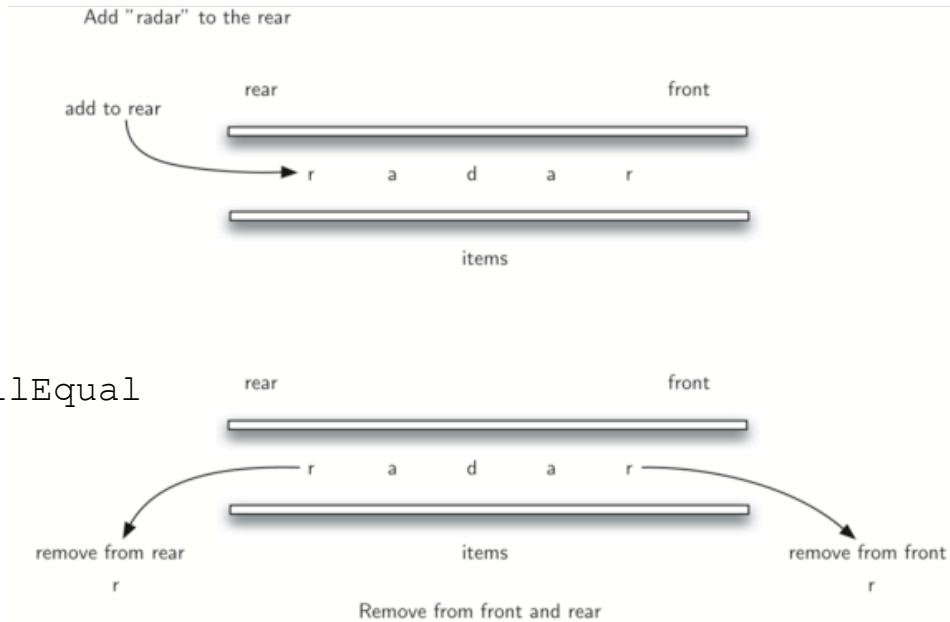
```
def palchecker(aString):
    chardeque = Deque()

    for ch in aString:
        chardeque.addRear(ch)

    stillEqual = True

    while chardeque.size() > 1 and stillEqual:
        first = chardeque.removeFront()
        last = chardeque.removeRear()
        if first != last:
            stillEqual = False

    return stillEqual
```

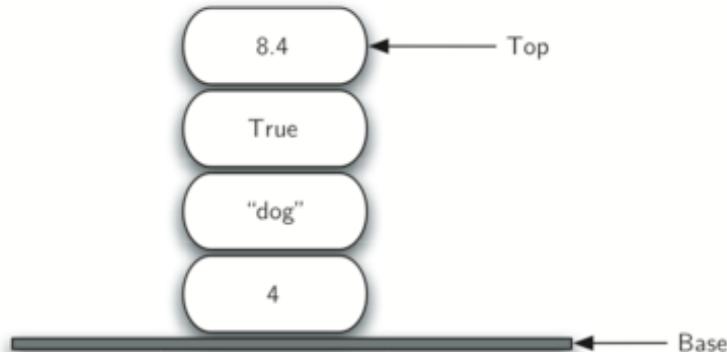
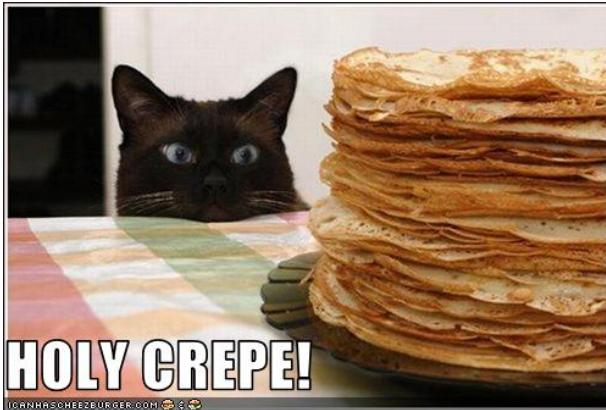


Palindrome checker

# Stacks

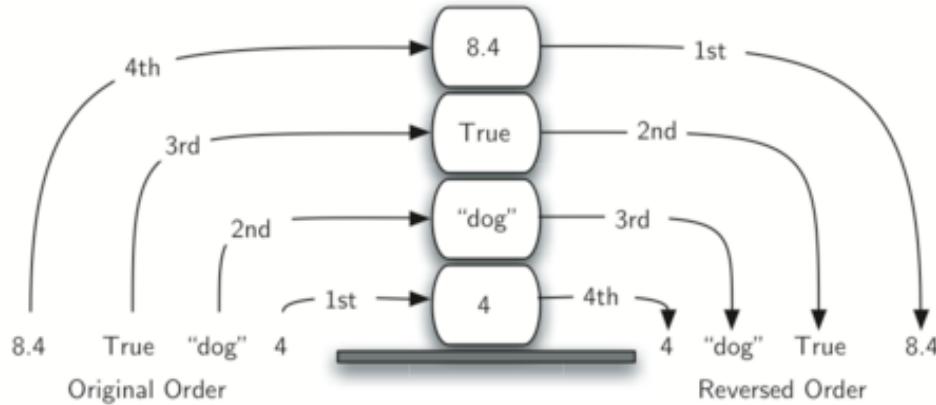
---

- Think about a stack of plates (or crepes)
- Used in transformational model, and most traversal algorithms
- Is also heavily used in the execution processes (heap, calls)



- This structure is called **LIFO (Last-In First-Out)**
- We add elements at the top of the stack
- ... And also retrieve element at the top of the stack

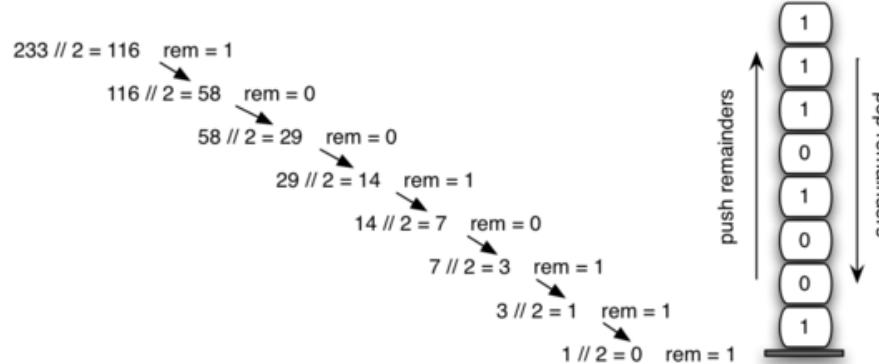
# Stacks



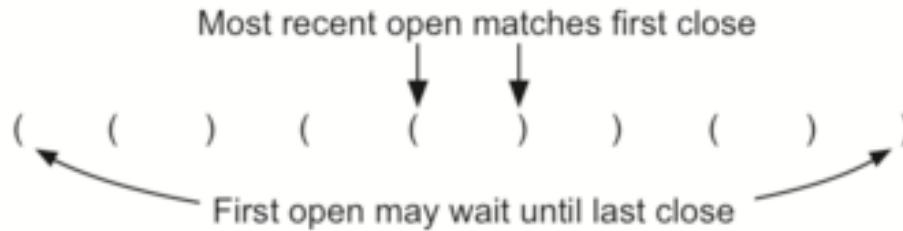
| Stack Operation | Stack Contents     | Return Value |
|-----------------|--------------------|--------------|
| s.isEmpty()     | []                 | True         |
| s.push(4)       | [4]                |              |
| s.push('dog')   | [4,'dog']          |              |
| s.peek()        | [4,'dog']          | 'dog'        |
| s.push(True)    | [4,'dog',True]     |              |
| s.size()        | [4,'dog',True]     | 3            |
| s.isEmpty()     | [4,'dog',True]     | False        |
| s.push(8.4)     | [4,'dog',True,8.4] |              |
| s.pop()         | [4,'dog',True]     | 8.4          |
| s.pop()         | [4,'dog']          | True         |

# Stacks

## Conversion to binary

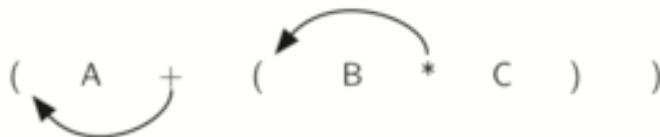


## Symbol balancing

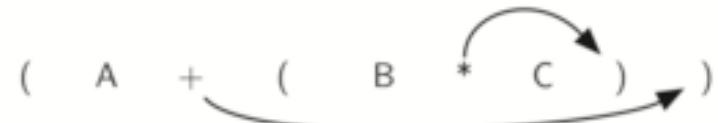


# Stacks

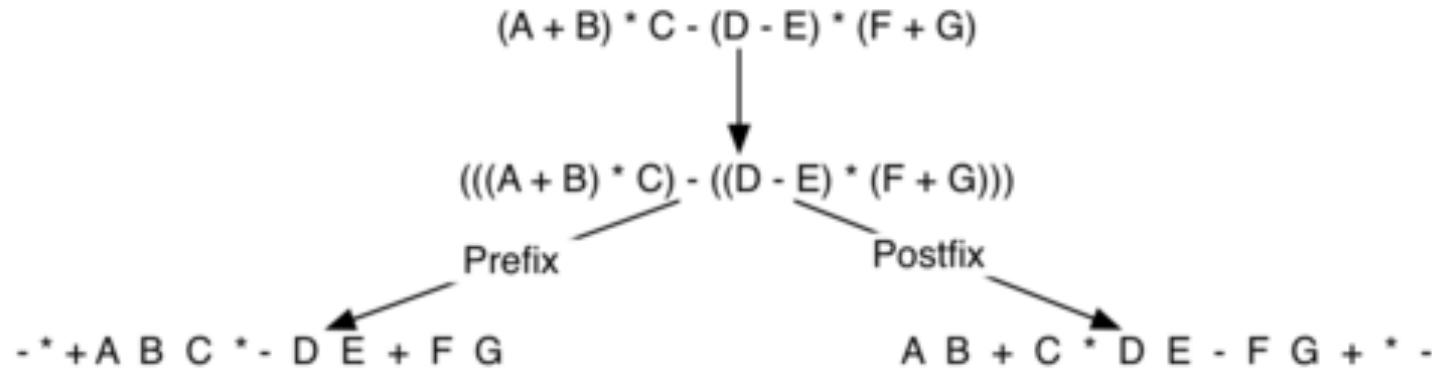
## Prefix notation



## Postfix notation

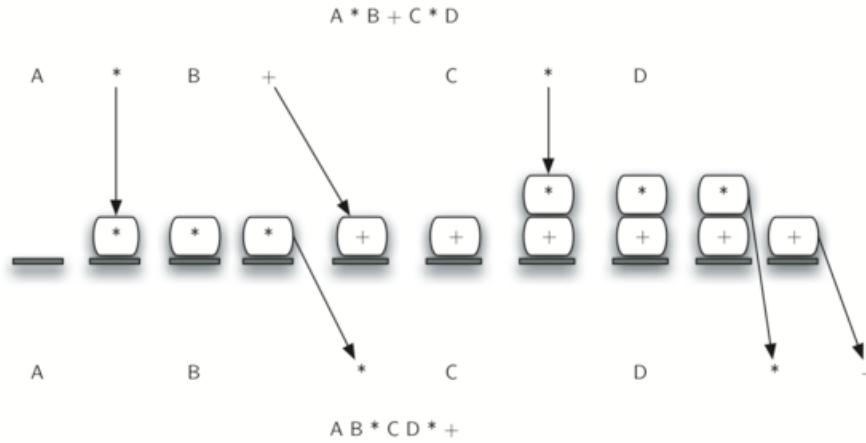


## Generic transformation example



- How to do this with a stack ?
- Find a musical application to it ?

# Stacks

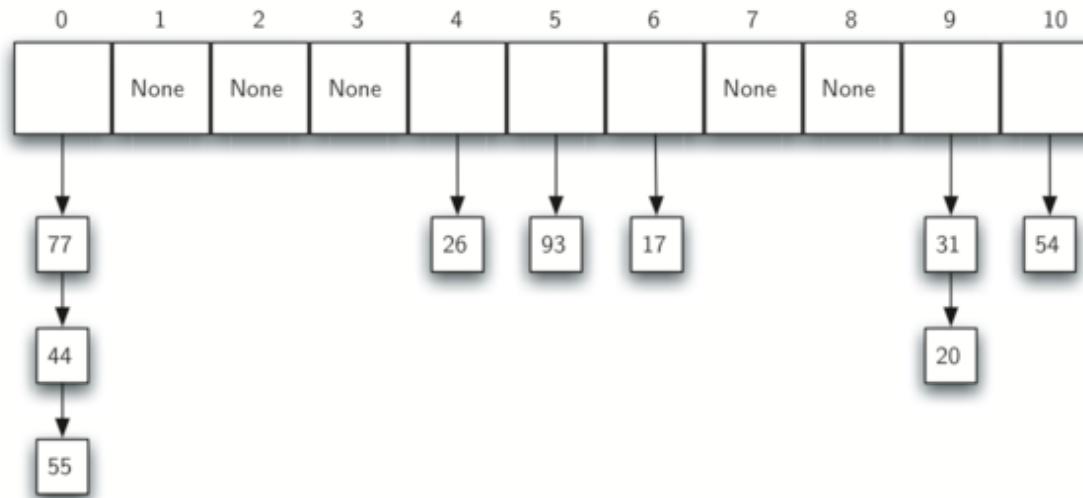


```
for token in tokenList:  
    if token in "ABCDEFGHIJKLMNOPQRSTUVWXYZ"  
        postfixList.append(token)  
    elif token == '(':  
        opStack.push(token)  
    elif token == ')':  
        topToken = opStack.pop()  
        while topToken != '(':  
            postfixList.append(topToken)  
            topToken = opStack.pop()  
    else:  
        while (not opStack.isEmpty()) and \  
            (prec[opStack.peek()] >= prec[token]):  
            postfixList.append(opStack.pop())  
        opStack.push(token)
```

# Hashing tables

---

- Think about the contacts in your phone
  - You have a complex data you want to find
  - You use a naming system to access it
- Allows to perform **search in O(1) time !**
- Is heavily used ... well ... everywhere 😊
- Your first mix between static and dynamic structures



# Hashing functions

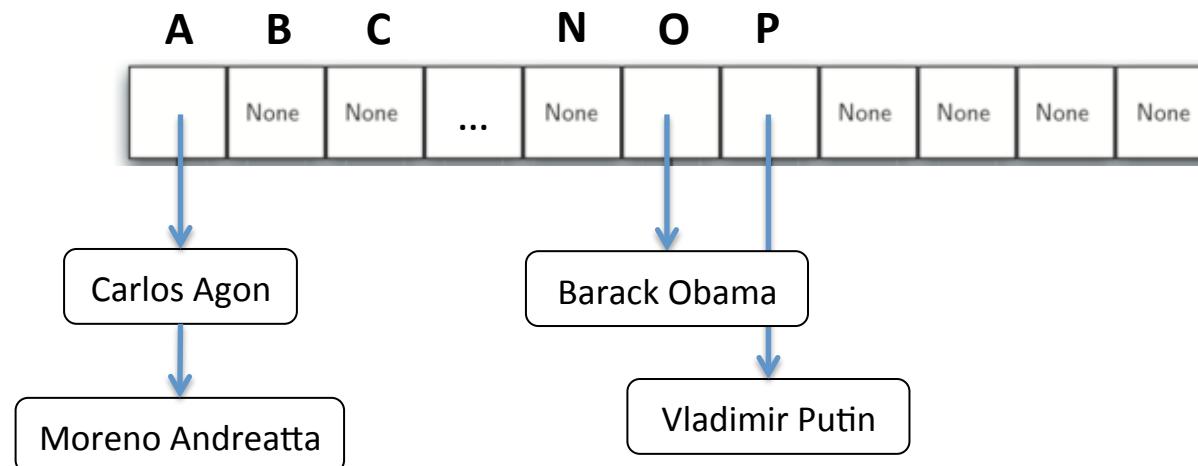
- All the magic of an hashing table lies in the *hashing function*
- Let's go back to our phone contacts

Agon Carlos => A

Obama Barack => O

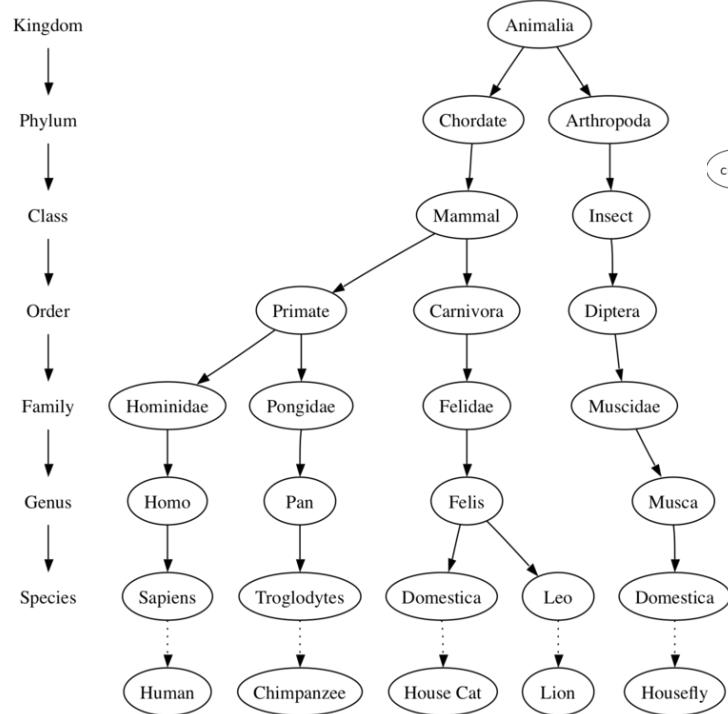
Putin Vladimir => P

- Here our hashing function is simple :
- $$H(e) = e.[0] - 'A'$$

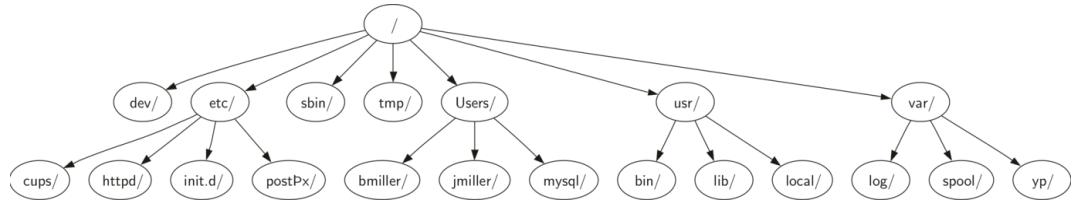


# Trees

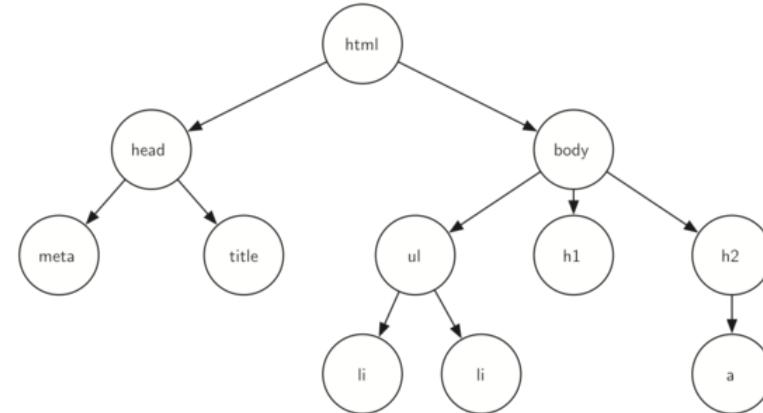
- Tree structures are found **absolutely everywhere**
- One of the **most proficient** structure to know in informatics



The tree of life



Computer drive directories

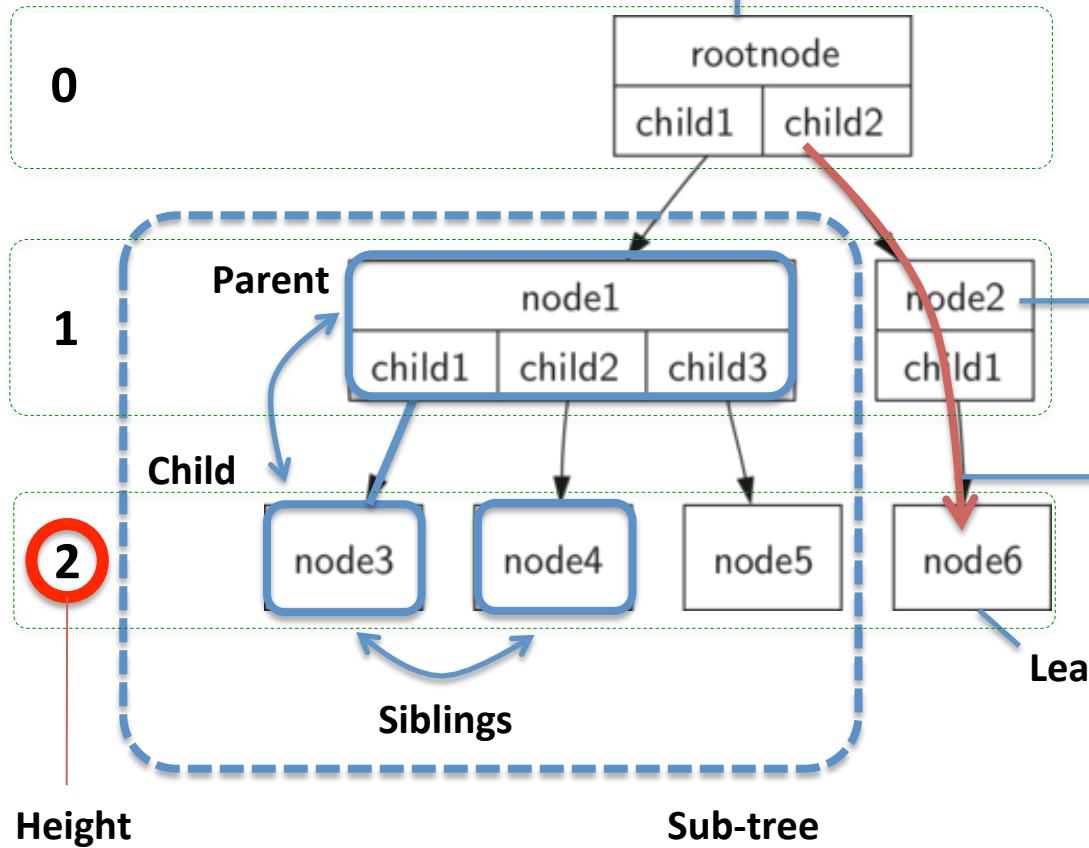


HTML document

# Trees: Vocabulary

**Root:** « starting point » = the only node with no incoming edges

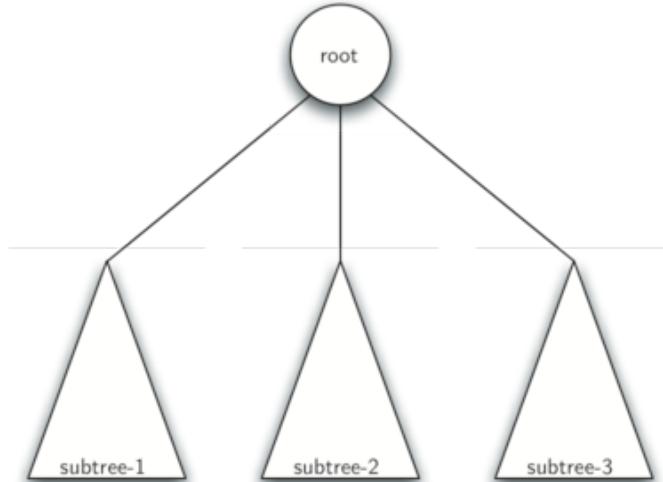
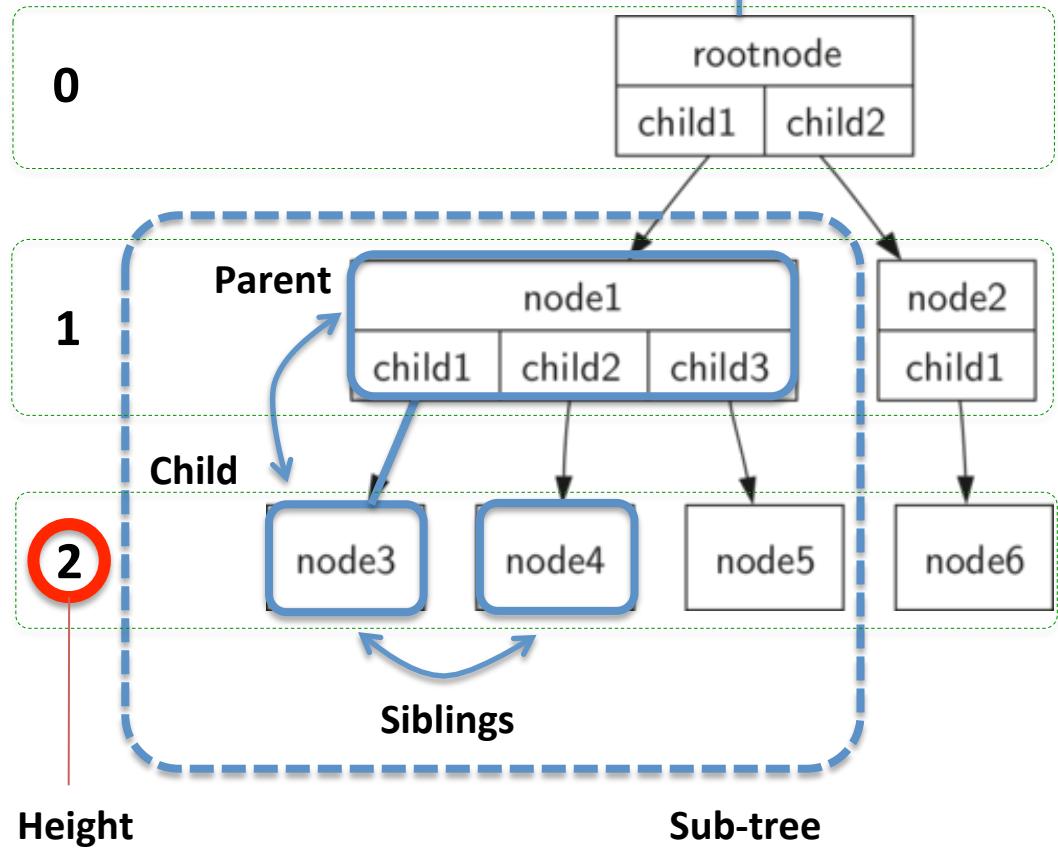
**Level**



# Trees: Vocabulary

**Root:** « starting point » = the only node with no incoming edges

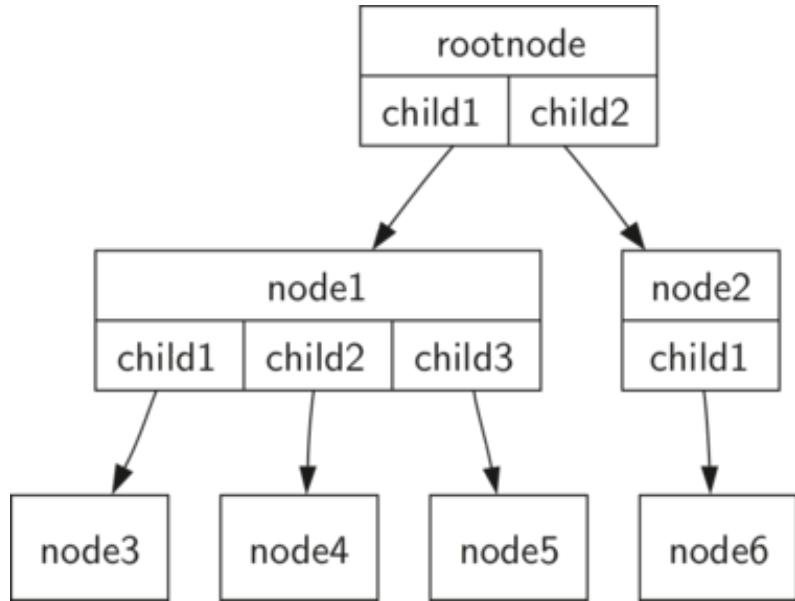
**Level**



**Recursive definition of a tree :**  
A node and its subtrees.

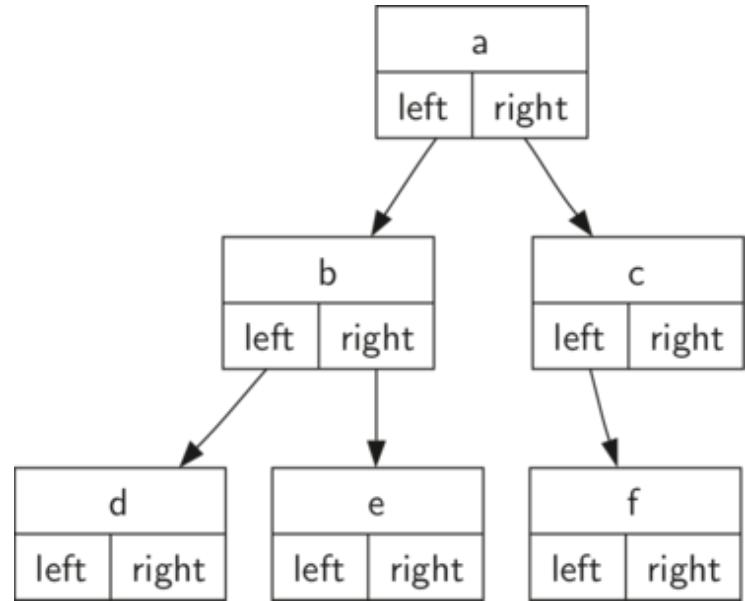
# Trees: Vocabulary

- Distinction based on the *arity* of a tree
- Solely depends on the allowed number of children



**N-ary tree**

(any number of children for each node)

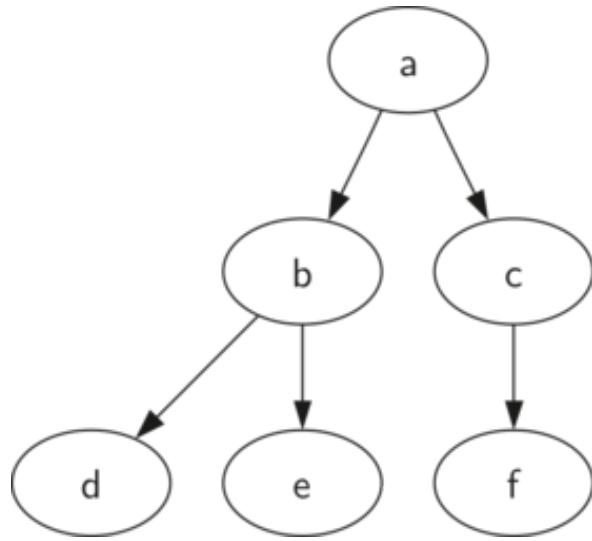


**Binary tree**

(Maximum 2 children for each node *left* and *right*)

# Trees: Representation

- We can define trees as a « linear structure »
- Relies on a *list of lists* representation



```
myTree = ['a', #root
          ['b', #left subtree
           ['d' [], []], ['e' [], []]],
          ['c', #right subtree
           ['f' [], []], []]]
```

```
def BinaryTree(r):
    return [r, [], []]

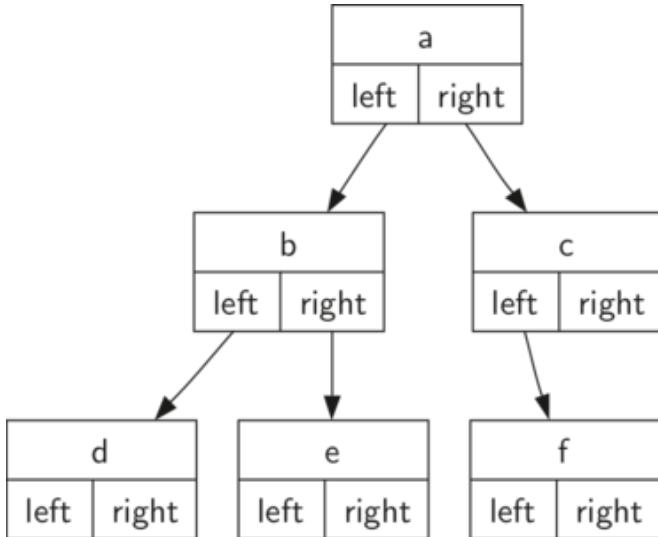
def insertLeft(root,newBranch):
    t = root.pop(1)
    if len(t) > 1:
        root.insert(1,[newBranch,t,[]])
    else:
        root.insert(1,[newBranch, [], []])
    return root

def insertRight(root,newBranch):
    t = root.pop(2)
    if len(t) > 1:
        root.insert(2,[newBranch,[],t])
    else:
        root.insert(2,[newBranch,[],[]])
    return root

def getRootVal(root):
    return root[0]
def setRootVal(root,newVal):
    root[0] = newVal
def getLeftChild(root):
    return root[1]
def getRightChild(root):
    return root[2]
```

# Trees: Representation

- Best **dynamic** representation relies on pointers
- Each node is a **key** (data), and a set of **child** (pointers)

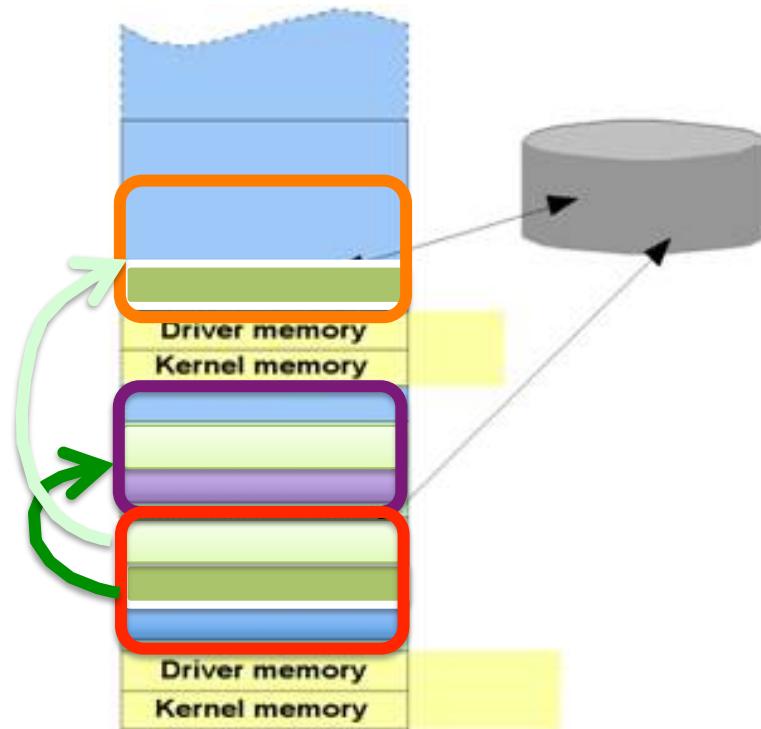
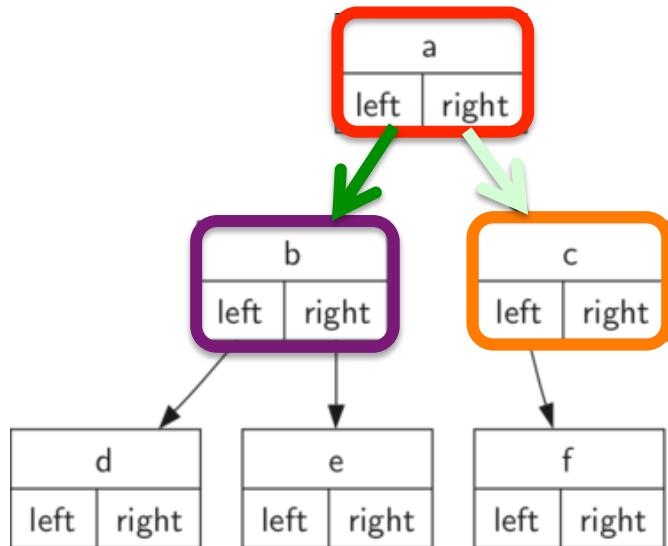


```
class BinaryTree:  
    def __init__(self,rootObj):  
        self.key = rootObj  
        self.leftChild = None  
        self.rightChild = None
```

```
def insertLeft(self,newNode):  
    if self.leftChild == None:  
        self.leftChild = BinaryTree(newNode)  
    else:  
        t = BinaryTree(newNode)  
        t.leftChild = self.leftChild  
        self.leftChild = t  
  
def insertRight(self,newNode):  
    if self.rightChild == None:  
        self.rightChild = BinaryTree(newNode)  
    else:  
        t = BinaryTree(newNode)  
        t.rightChild = self.rightChild  
        self.rightChild = t  
  
def getRightChild(self):  
    return self.rightChild  
def getLeftChild(self):  
    return self.leftChild  
def setRootVal(self,obj):  
    self.key = obj  
def getRootVal(self):  
    return self.key
```

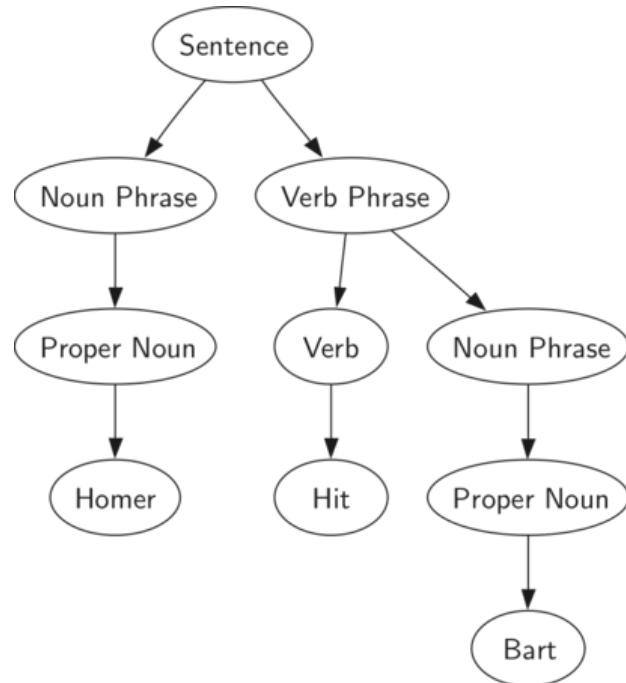
# Trees: Representation

- What about the **memory** ?

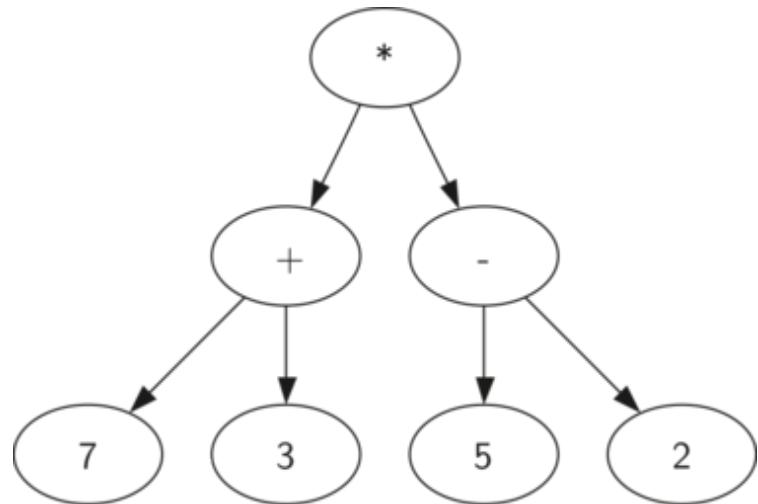


# Trees: Parsing

- Trees are extremely efficient structures for parsing (cf. 1st slide)
- Can represent any constructions such as sentences or mathematical expressions



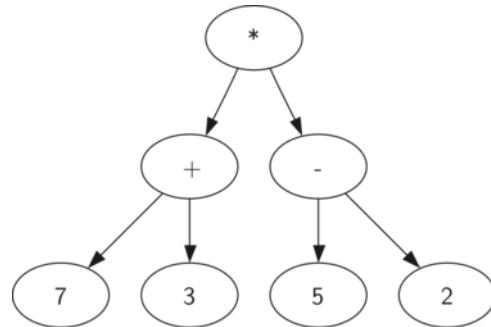
Parse tree for a simple sentence



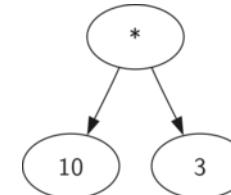
Parse tree for  $((7 + 3) * (5 - 2))$

# Trees: Parsing

- Let's look into (and construct) the mathematical expression parsing

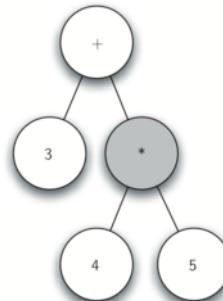


Original parse tree



Simplified (partly **evaluated**) tree

Let's construct a tree from the list `['(', '3', '+', '(', '4', '*', '5', ')', ')']`.



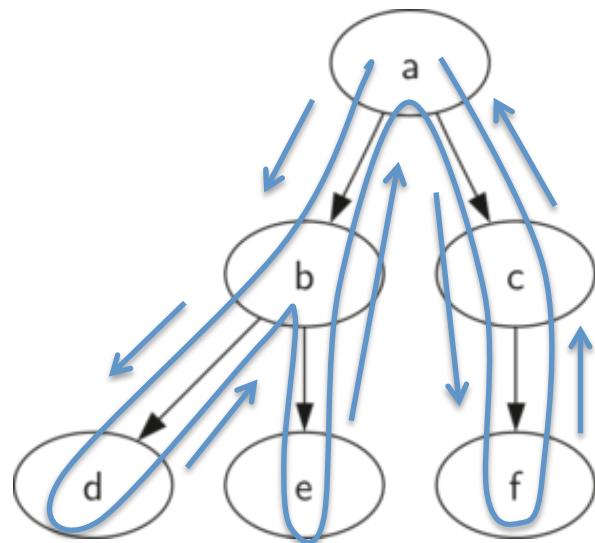
# Trees: Parsing

---

```
def buildParseTree(fpexp):
    fplist = fpexp.split()
    pStack = Stack()
    eTree = BinaryTree('')
    pStack.push(eTree)
    currentTree = eTree
    for i in fplist:
        if i == '(':
            currentTree.insertLeft('')
            pStack.push(currentTree)
            currentTree = currentTree.getLeftChild()
        elif i not in ['+', '-', '*', '/', ')']:
            currentTree.setRootVal(int(i))
            parent = pStack.pop()
            currentTree = parent
        elif i in ['+', '-', '*', '/']:
            currentTree.setRootVal(i)
            currentTree.insertRight('')
            pStack.push(currentTree)
            currentTree = currentTree.getRightChild()
        elif i == ')':
            currentTree = pStack.pop()
        else:
            raise ValueError
    return eTree
```

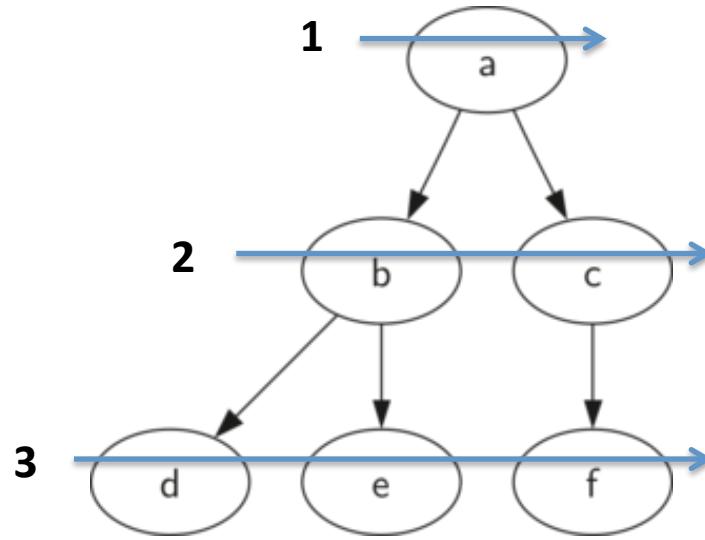
# Trees: Traversal

- Now the most important property is how to go through the trees
- Two families of tree traversals



**Depth traversal**

Here multiple possibilities  
(cf. next slides)



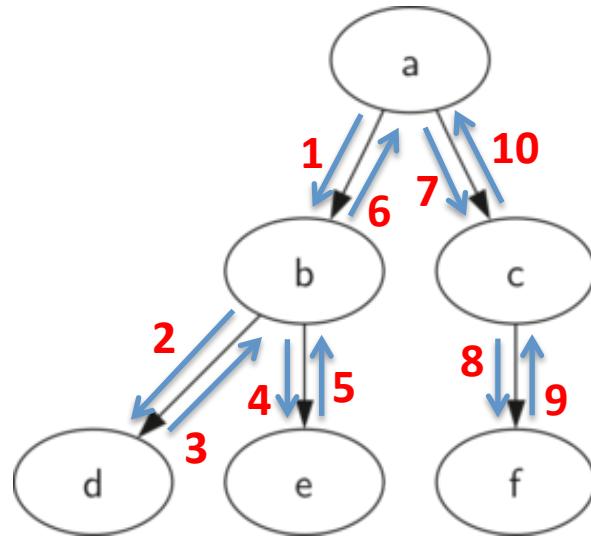
**Width traversal**

[a, b, c, d, e, f]  
[a, c, b, f, e, d]

# Trees: Depth traversal

---

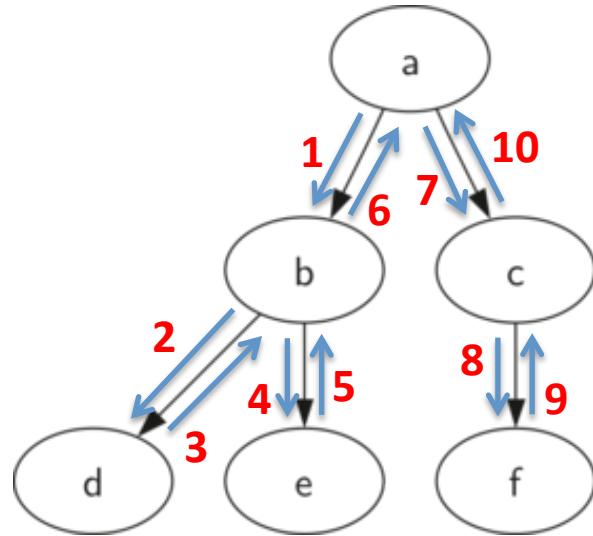
- Also two types of depth traversal



**Left-hand** depth traversal

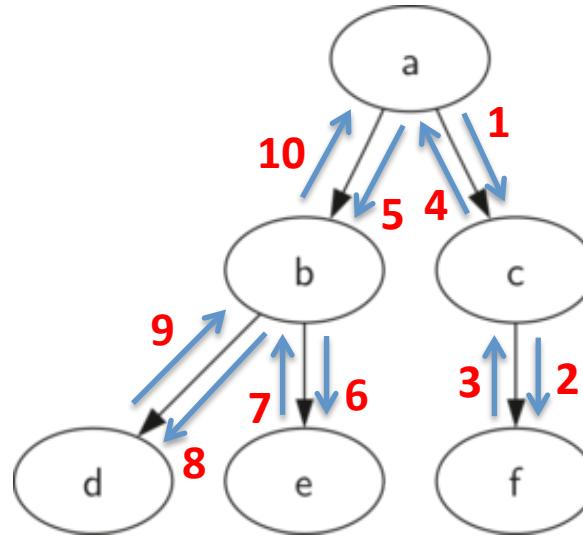
# Trees: Depth traversal

- Also two types of depth traversal



**Left-hand depth traversal**

```
def leftDepthTraversal(tree):  
    if tree.isEmpty():  
        return;  
    // Before going down on left  
    leftDepthTraversal(tree.leftChild)  
    // Coming back from left, going right  
    leftDepthTraversal(tree.rightChild)  
    // Coming back from right
```

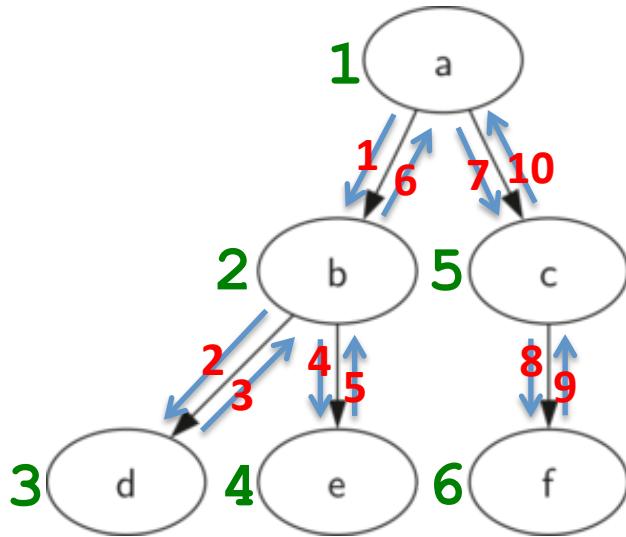


**Right-hand depth traversal**

```
def rightDepthTraversal(tree):  
    if tree.isEmpty():  
        return;  
    // Before going down on right  
    rightDepthTraversal(tree.rightChild)  
    // Coming back from right, going left  
    rightDepthTraversal(tree.leftChild)  
    // Coming back from left
```

# Trees: Left-hand depth traversal

- By convention the **left-hand** depth traversal is generally used



**Left-hand** depth traversal

```
def leftDepthTraversal(tree):
    if tree.isEmpty():
        return;
    // Before going down on left
    leftDepthTraversal(tree.leftChild)
    // Coming back from left, going right
    leftDepthTraversal(tree.rightChild)
    // Coming back from right
```

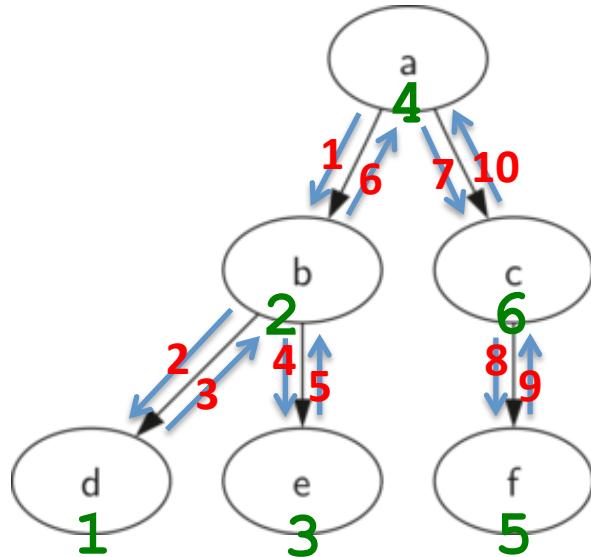
Three processing orders can be used :  
Preorder (prefix): The processing is applied to the data before going down on any subtree

```
def leftDepthTraversal(tree):
    if tree.isEmpty():
        return;
    processData(tree.key);
    leftDepthTraversal(tree.leftChild);
    leftDepthTraversal(tree.rightChild);
```

a,b,d,e,c,f

# Trees: Left-hand depth traversal

- By convention the **left-hand** depth traversal is generally used



**Left-hand** depth traversal

```
def leftDepthTraversal(tree):  
    if tree.isEmpty():  
        return;  
    // Before going down on left  
    leftDepthTraversal(tree.leftChild)  
    // Coming back from left, going right  
    leftDepthTraversal(tree.rightChild)  
    // Coming back from right
```

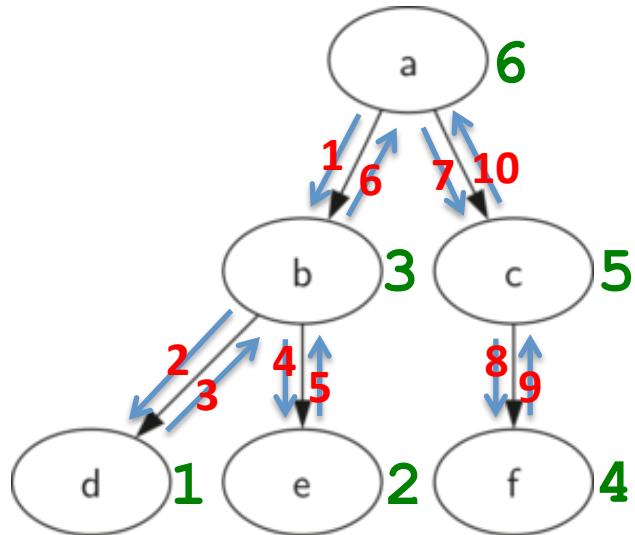
Three **processing orders** can be used :  
**Preorder** (prefix): The processing is applied to the data before going down on any subtree  
**Inorder** (infix): The processing is applied to the data between the two recursions

```
def leftDepthTraversal(tree):  
    if tree.isEmpty():  
        return;  
    leftDepthTraversal(tree.leftChild);  
    processData(tree.key);  
    leftDepthTraversal(tree.rightChild);
```

**d,b,e,a,f,c**

# Trees: Left-hand depth traversal

- By convention the **left-hand** depth traversal is generally used



## Left-hand depth traversal

```
def leftDepthTraversal(tree):
    if tree.isEmpty():
        return;
    // Before going down on left
    leftDepthTraversal(tree.leftChild)
    // Coming back from left, going right
    leftDepthTraversal(tree.rightChild)
    // Coming back from right
```

Three processing orders can be used :

**Preorder (prefix)**: The processing is applied to the data before going down on any subtree

**Inorder (infix)**: The processing is applied to the data between the two recursions

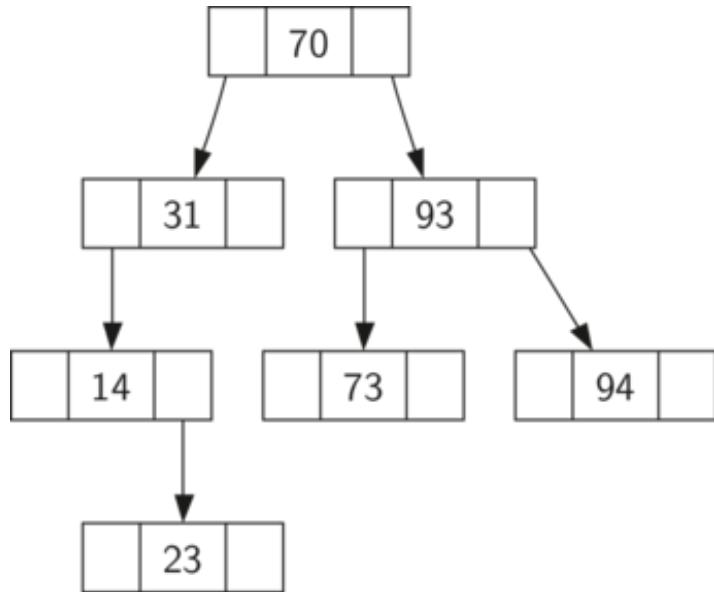
**Postorder (postfix)**: The processing is applied to the data when coming back from all subtrees

```
def leftDepthTraversal(tree):
    if tree.isEmpty():
        return;
    leftDepthTraversal(tree.leftChild);
    leftDepthTraversal(tree.rightChild);
    processData(tree.key);
```

**d,e,b,f,c,a**

# Binary search trees

- One of the most used indexing technique to date
- Used in B-Trees (SQL), BSP (3D Games Models), Google maps etc...



Given the key (data)  $k$  of a node and its subtrees  
All elements in the left subtree lt are inferior

$$\forall k_l \in lt, k_l < k$$

All elements in the right subtree rt are superior

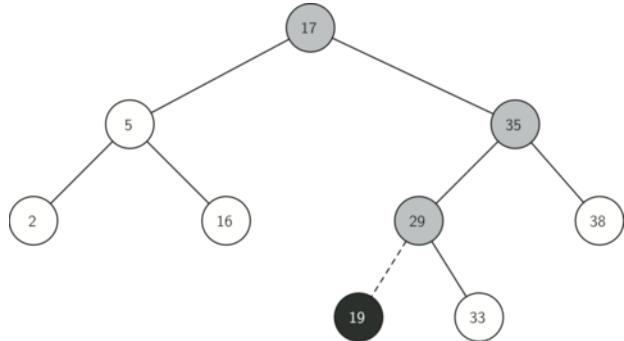
$$\forall k_r \in rt, k_r > k$$

A bit hard to construct and maintain  
(insert, delete, balance)

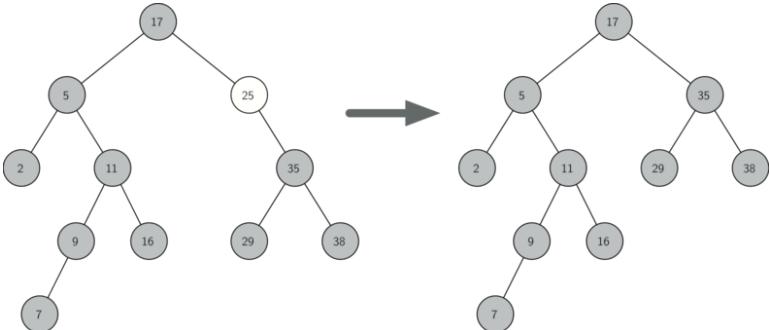
- Extremely simple to efficiently implement a wide array of queries types
- *Find, min, max, range, sort, balance, ...*
- **Quick Quizz:** How to do these types of queries ?

# Binary search trees

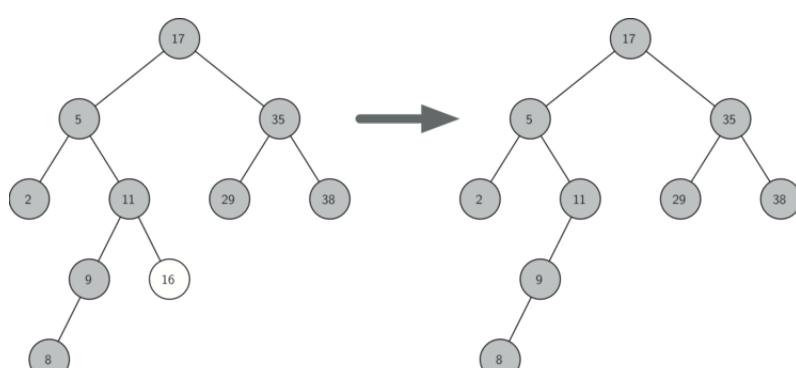
Insert a node



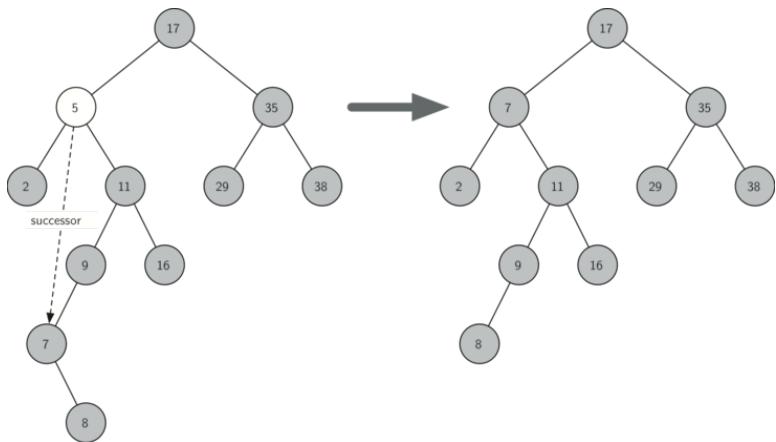
Delete with one children



Delete with no children

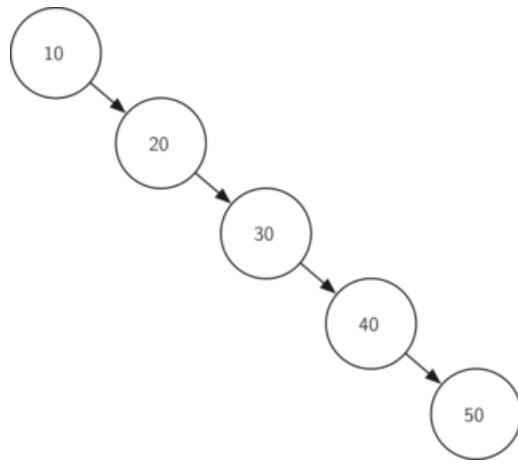


Delete with two children

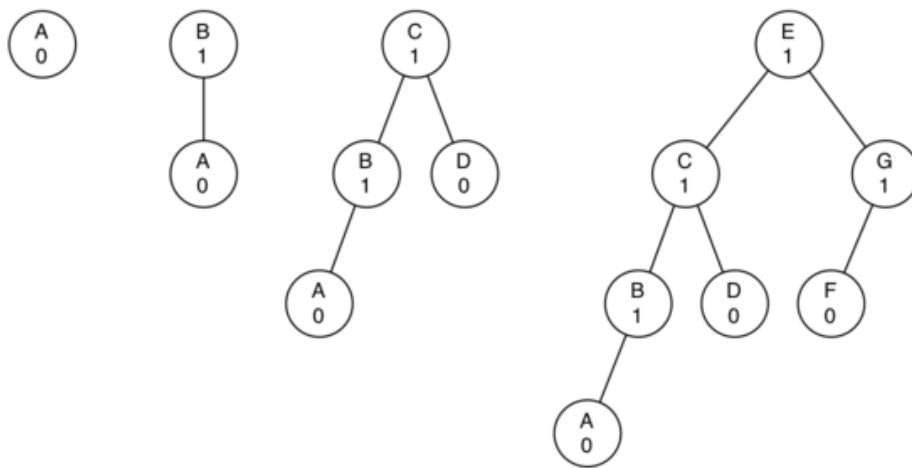


# Binary search trees

- Binary search trees are normally very efficient ...
- ... But can have poor performances if the structure is skewed

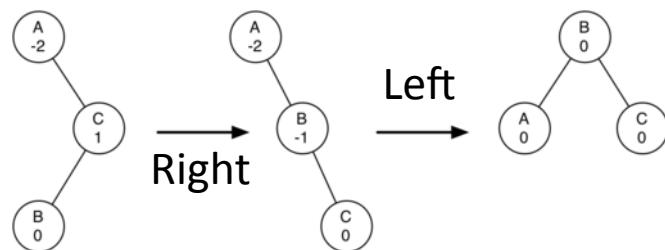
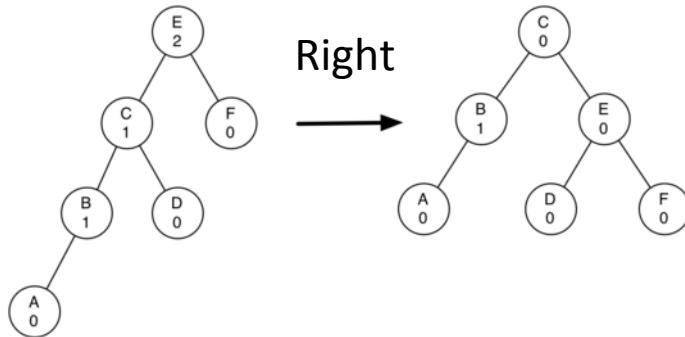
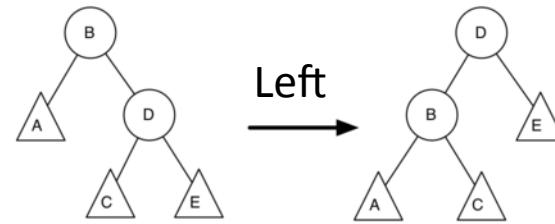
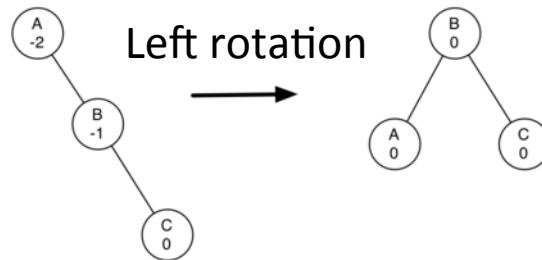


Highly skewed tree

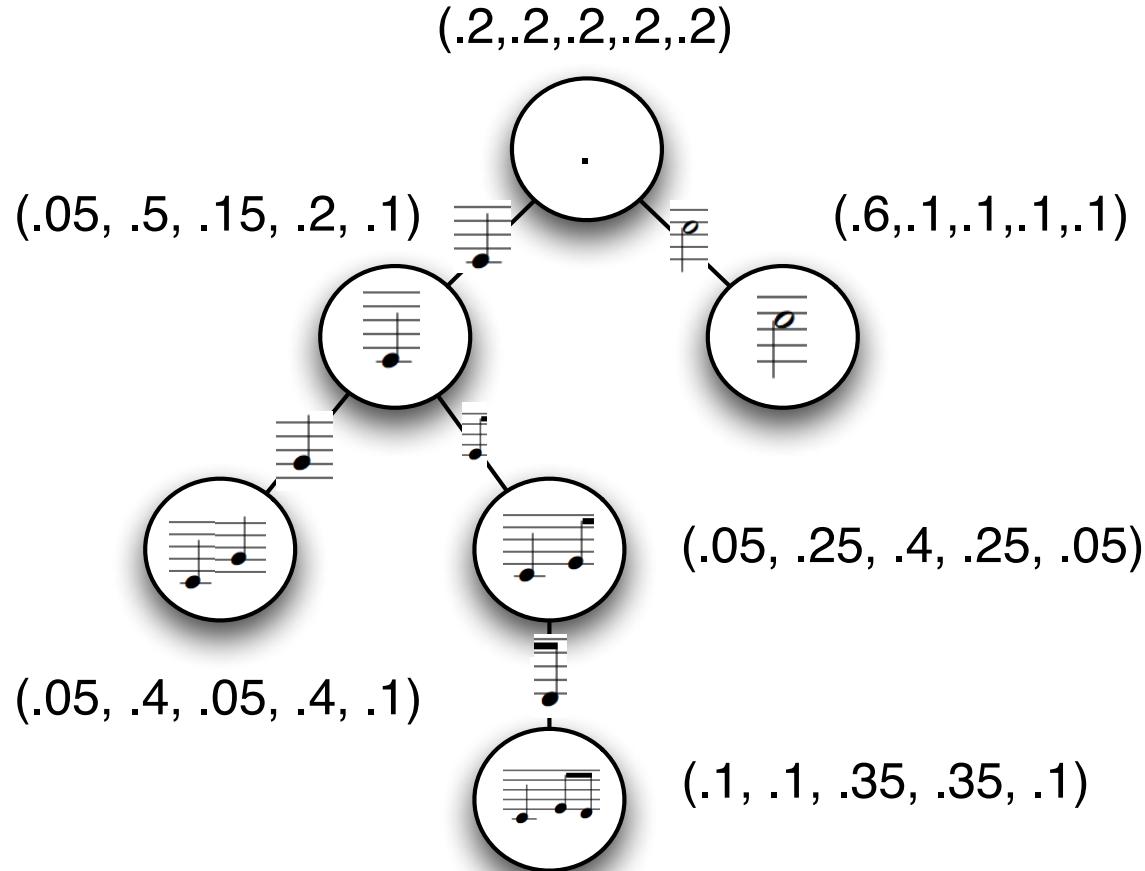


A way to solve the problem (here alphabetical)  
Is to use a form of *balancing*  
This is done by rotation

# Binary search trees: rotations

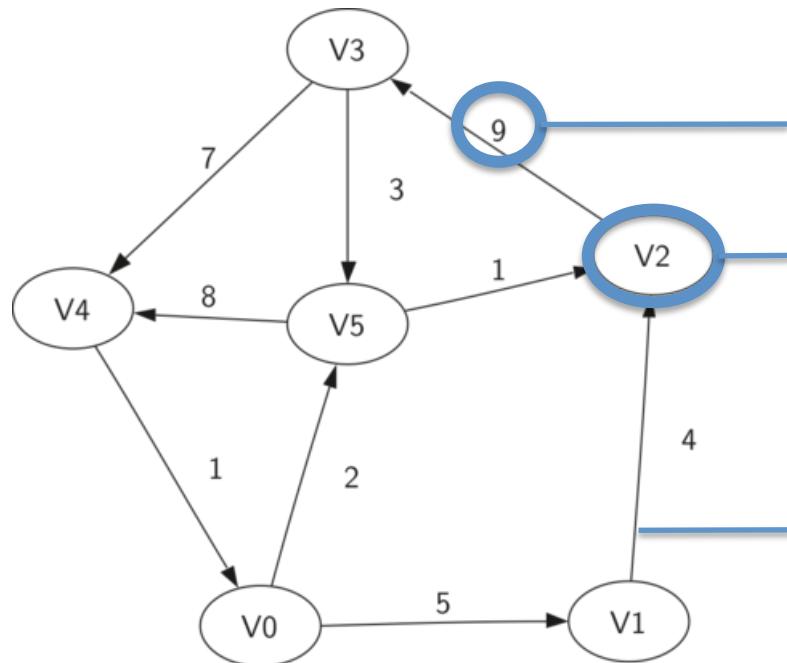


# Musical example: style copying



# Graphs

- Graph structures are the **generalization of the tree**
- Quick question : what makes a graph specified as a tree ?
- **The most proficient** structure to know in informatics



**Weight** (not always present)  
Indicates a cost for traveling between nodes

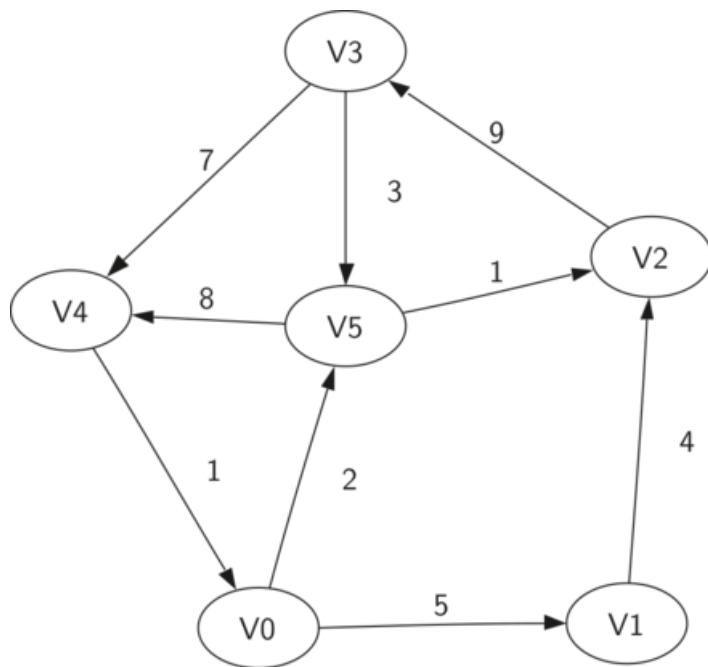
**Vertex** (or *node*): fundamental element  
Contains the *key* (data)

**Edge** (or *arc*): links node together  
Can be **directed** or **non-directed** (both ways)

# Graphs

---

- Formalization of the graphs



A graph **G** can be formalized as  $\mathbf{G} = (\mathbf{V}, \mathbf{E})$

- V** a set of vertices
- E** a set of edges

Each edge is a tuple  $e = (v, w)$  with  $w, v \in V$

A subgraph  $s = (\mathbf{v}, \mathbf{e})$  of **G** is a set of edges  $e \subset E$  and a set of vertices  $v \subset V$

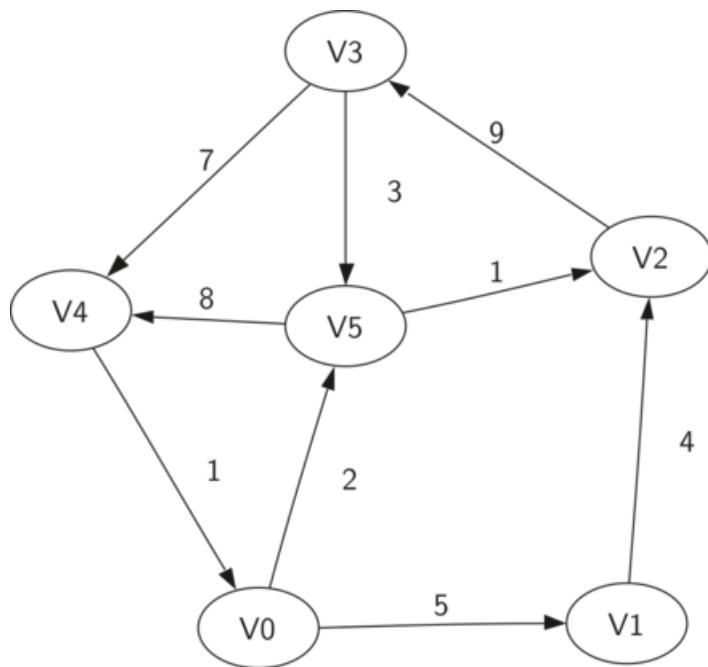
A **path** in a graph is a sequence of vertices  $w_1, w_2, \dots, w_n$  that are connected by edges, ie.  $(w_i, w_{i+1}) \in E$

A **cycle** in a graph is a path that starts and ends at the same vertex. A graph with no cycles is called an **acyclic graph**.

# Graphs

---

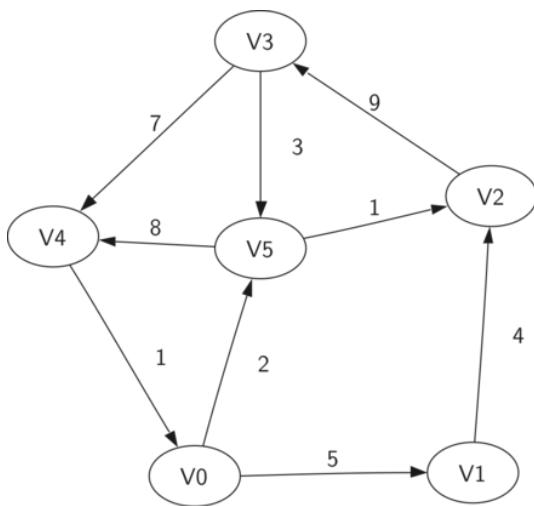
- Operations on graphs



**Graph()**  
**addVertex(vert)**  
**addEdge(fromVert, toVert)**  
**addEdge(fromVert, toVert, weight)**  
**getVertex(vertKey)**  
**getVertices()**  
**getEdges()**

# Graphs: Representation

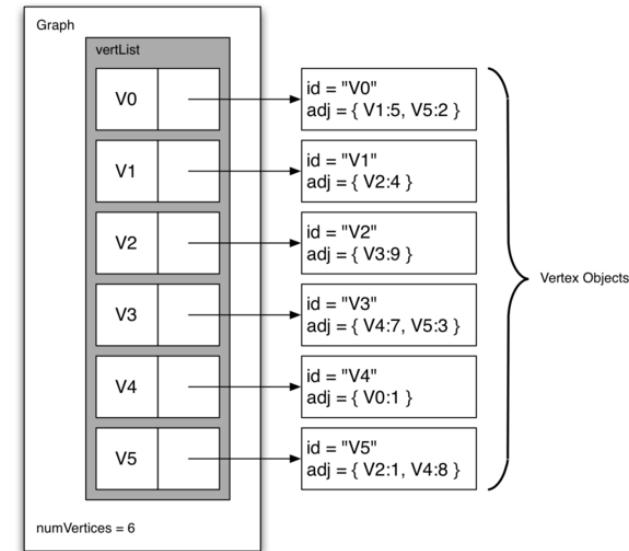
- Similarly to the trees, we can use various ways of representations
- Either using a **static** (adjacency matrix) or **dynamic** (adjacency list) structure



Corresponding graph

|    | V0 | V1 | V2 | V3 | V4 | V5 |
|----|----|----|----|----|----|----|
| V0 |    | 5  |    |    |    | 2  |
| V1 |    |    | 4  |    |    |    |
| V2 |    |    |    | 9  |    |    |
| V3 |    |    |    |    | 7  | 3  |
| V4 | 1  |    |    |    |    |    |
| V5 |    |    | 1  |    | 8  |    |

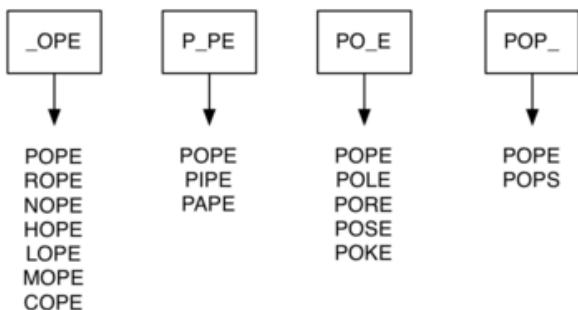
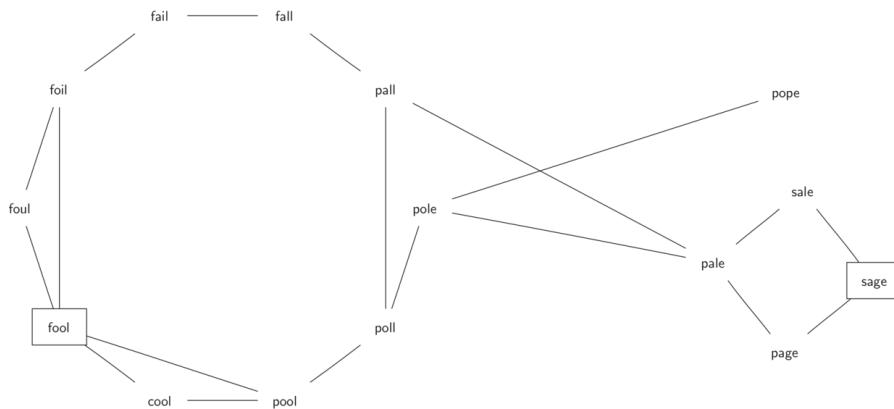
Adjacency matrix  
(static)



Adjacency list  
(dynamic)

# Graphs: Word ladder problem

- We want to have an efficient search structure for a set of words
- Can we easily represent all words that are at one difference of each others
- In fact for all words, there is a highly connected graph network
- Example with simple 4 letters words (FOOL, POOL, POLL, POLE, PALE, SALE, SAGE)



```
def buildGraph(wordFile)
    d = {}
    g = Graph()
    wfile = open(wordFile, 'r')
    // Create buckets of words differing by one
    for line in wfile
        word = line[:]
        for i in range(len(word))
            bucket = word[:i] + '_' + word[i+1:]
            if bucket in d
                d[bucket].append(word)
            else
                d[bucket] = [word]
    // Add vertices and edges
    for bucket in d.keys()
        for word1 in d[bucket]
            for word2 in d[bucket]
                if word1 != word2
                    g.addEdge(word1, word2)
    return g
```

# Graphs: Breadth-First Search

---

- One of the easiest algorithm for searching a graph (also prototype for further algos)
- Given a starting vertex **s**, find all vertices for which there is a path from **s**
- Finds all vertices that are at distance **k** from **s** before finding *any* at distance **k+1**
- Relies on « colouring » the vertices to know their status (white, gray, black)
- (This concept is heavily used in graph algorithms)

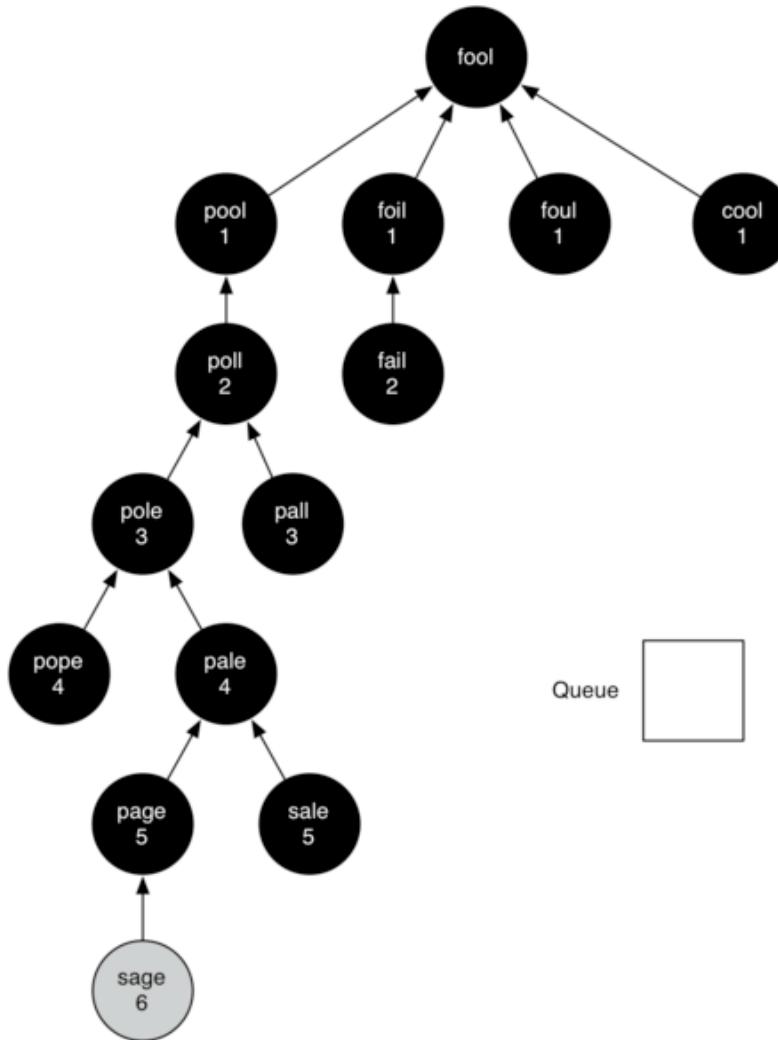
Starting from the vertex **s** colour it gray to know it is in processing, set its distance and predecessor and add **s** to a queue. Then while the queue is not empty

1. The new, unexplored vertex **nbr**, is colored gray.
2. The predecessor of **nbr** is set to the current node **currentVert**
3. The distance to **nbr** is set to the distance to **currentVert + 1**
4. **nbr** is added to the end of a queue. Adding nbr to the end of the queue effectively schedules this node for further exploration, but not until all the other vertices on the adjacency list of **currentVert** have been explored.

```
def bfs(g, start):
    start.setDistance(0)
    start.setPred(None)
    vertQueue = Queue()
    vertQueue.enqueue(start)
    while (vertQueue.size() > 0):
        currentVert = vertQueue.dequeue()
        for nbr in currentVert.getConnections():
            if (nbr.getColor() == 'white'):
                nbr.setColor('gray')

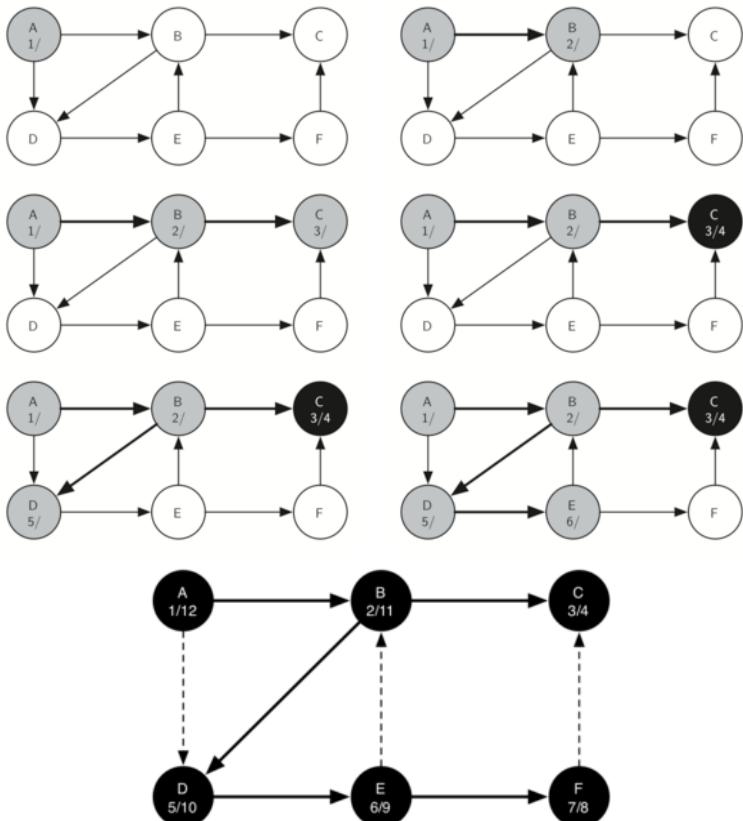
                nbr.setDistance(currentVert.getDistance() + 1)
                nbr.setPred(currentVert)
                vertQueue.enqueue(nbr)
        currentVert.setColor('black')
```

# Graphs: Breadth-First Search



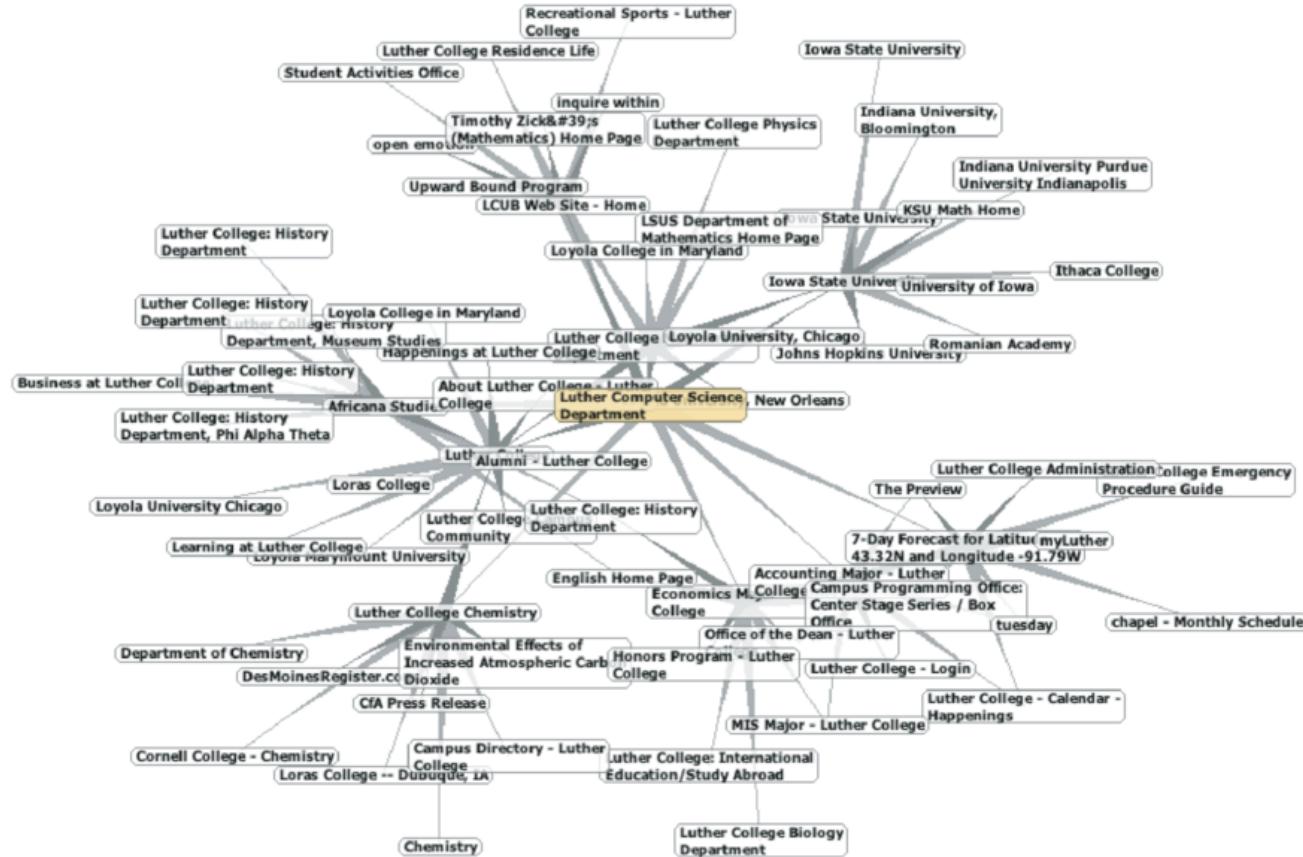
# Graphs: Depth-First Search

- Goal is to search as deeply as possible to create a depth-first forest
- Allows to connect as many nodes in the graph as possible (can create multiple trees)
- Use a variable to keep track to the time of calls to the *helper* function
- Also called *finish times* that can be used to compute strongly connected

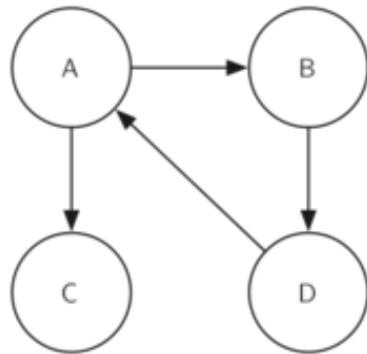
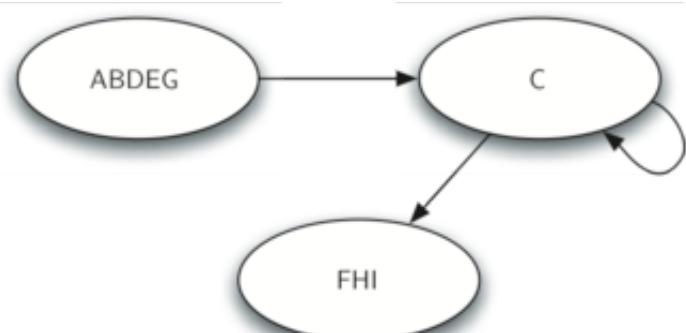
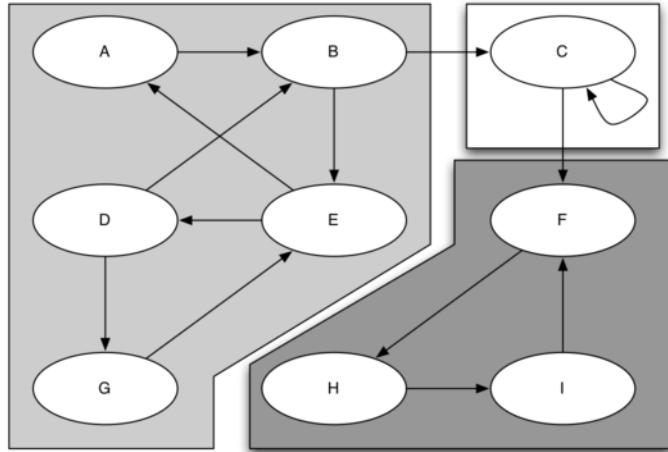


```
def dfs(self):  
    for aVertex in self:  
        aVertex.setColor('white')  
        aVertex.setPred(-1)  
    for aVertex in self:  
        if aVertex.getColor() == 'white':  
            self.dfsvisit(aVertex)  
  
def dfsvisit(self,startVertex):  
    startVertex.setColor('gray')  
    self.time += 1  
    startVertex.setDiscovery(self.time)  
    for nextVertex in startVertex.getConnections()  
        if nextVertex.getColor() == 'white'  
            nextVertex.setPred(startVertex)  
            self.dfsvisit(nextVertex)  
    startVertex.setColor('black')  
    self.time += 1  
    startVertex.setFinish(self.time)
```

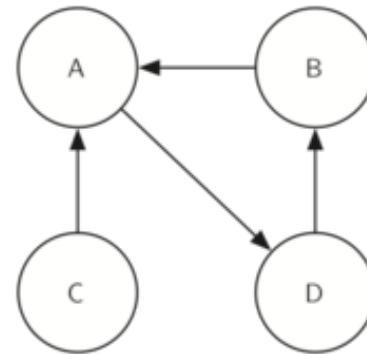
# Strongly connected components



# Strongly connected components

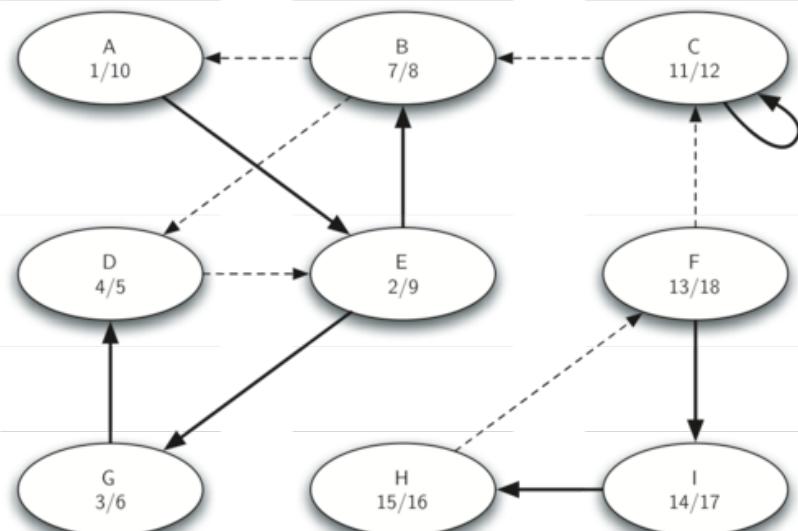
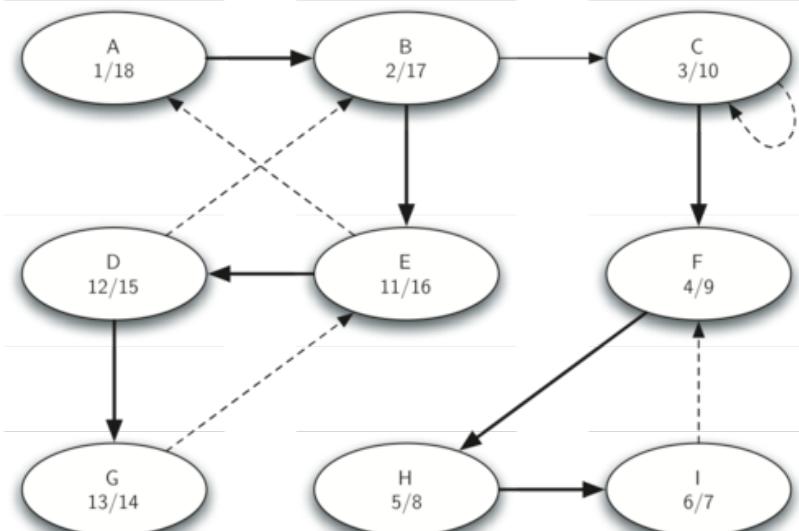


Transposition  
→

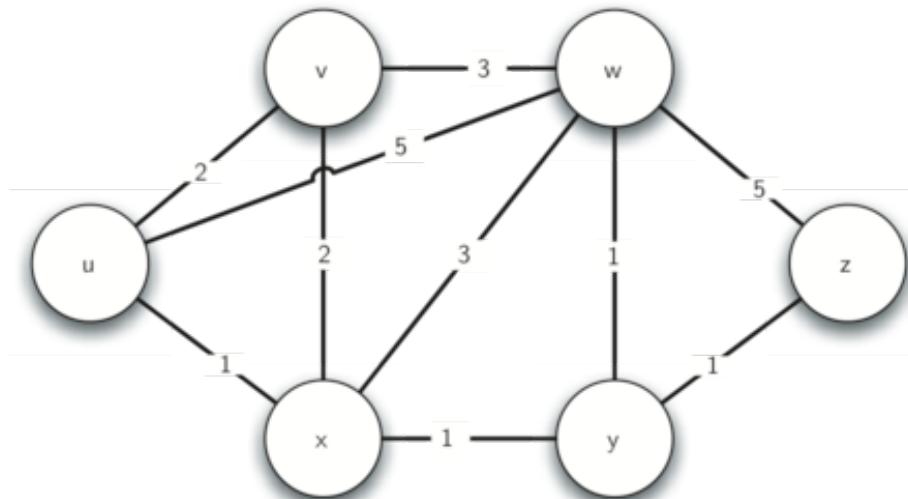
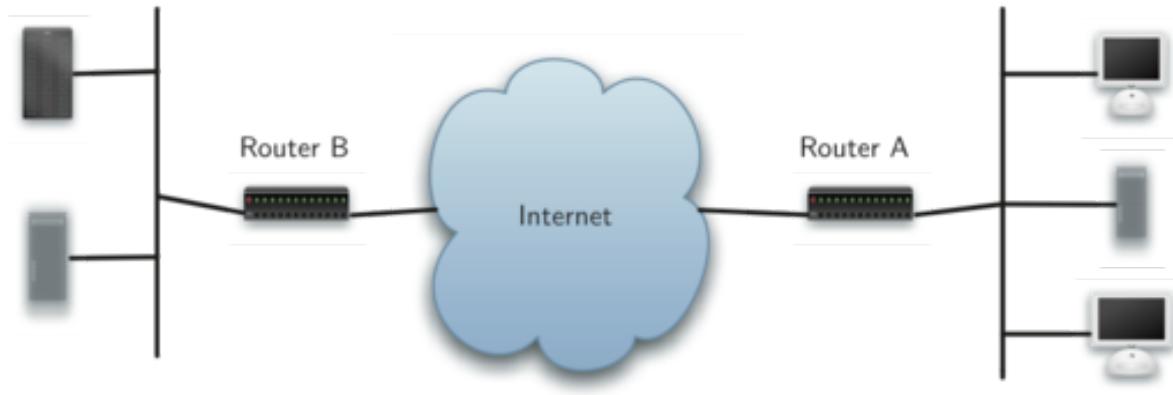


# Strongly connected components

1. Call **dfs** for the graph  $G$  to compute the finish times for each vertex.
2. Compute  $G'$ .
3. Call **dfs** for the graph  $G'$  but in the main loop of DFS explore each vertex in decreasing order of finish time.
4. Each tree in the forest computed in step 3 is a strongly connected component. Output the vertex ids for each vertex in each tree in the forest to identify the component.

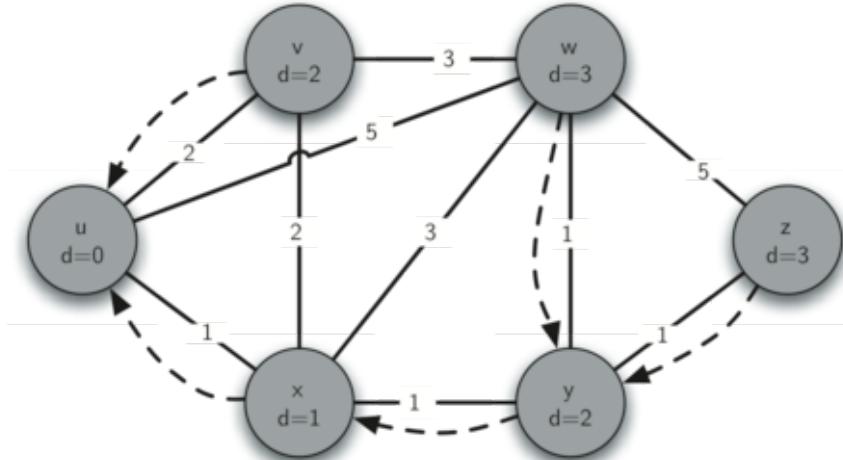


# Shortest path problems



# Dijkstra Algorithm

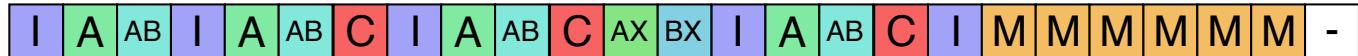
```
def dijkstra(aGraph, start)
    pq = PriorityQueue()
    start.setDistance(0)
    pq.buildHeap([(v.getDistance(), v) for v in aGraph])
    while not pq.isEmpty():
        currentVert = pq.delMin()
        for nextVert in currentVert.getConnections():
            newDist = currentVert.getDistance() \
                + currentVert.getWeight(nextVert)
            if newDist < nextVert.getDistance():
                nextVert.setDistance( newDist )
                nextVert.setPred(currentVert)
                pq.decreaseKey(nextVert, newDist)
```



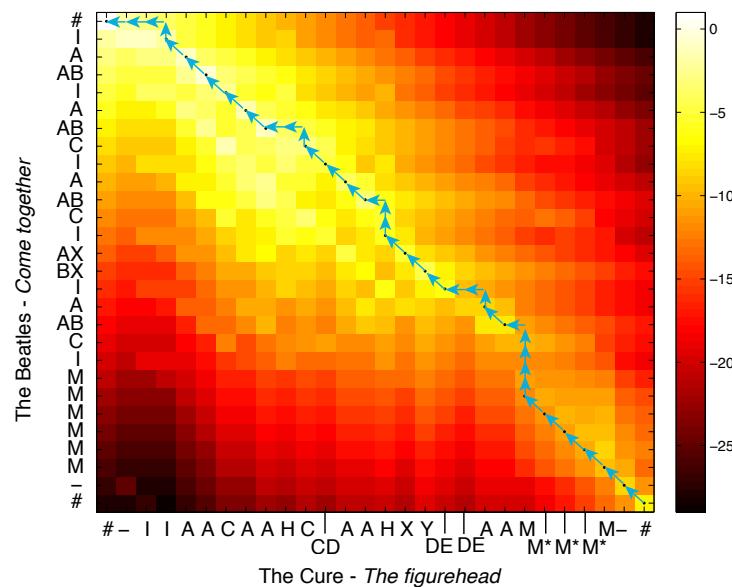
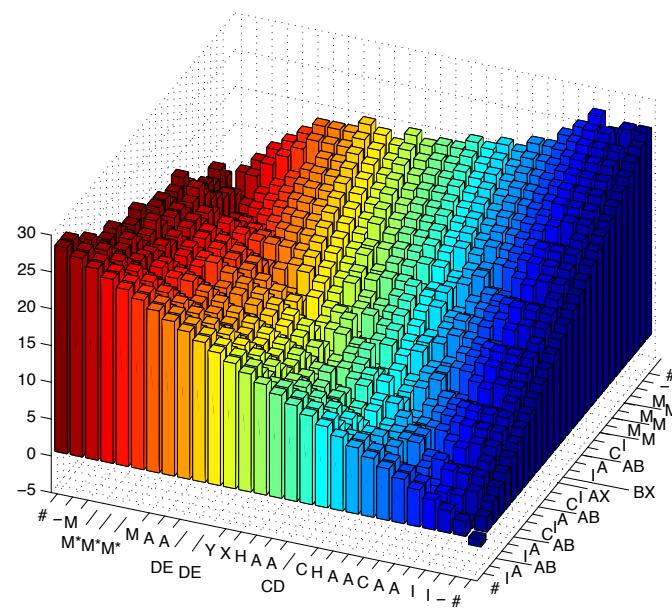
PQ = None

# Sequence alignment

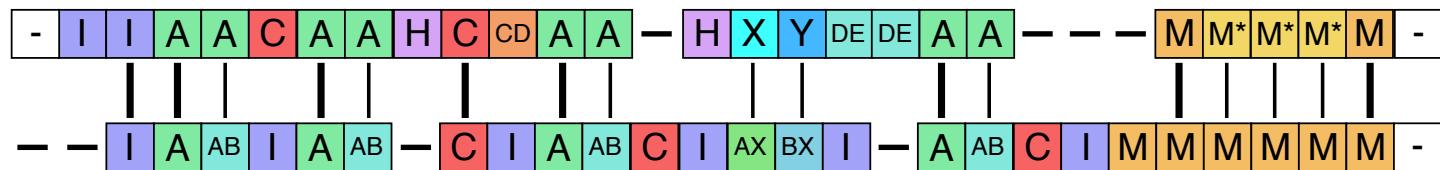
The Beatles - *Come together*



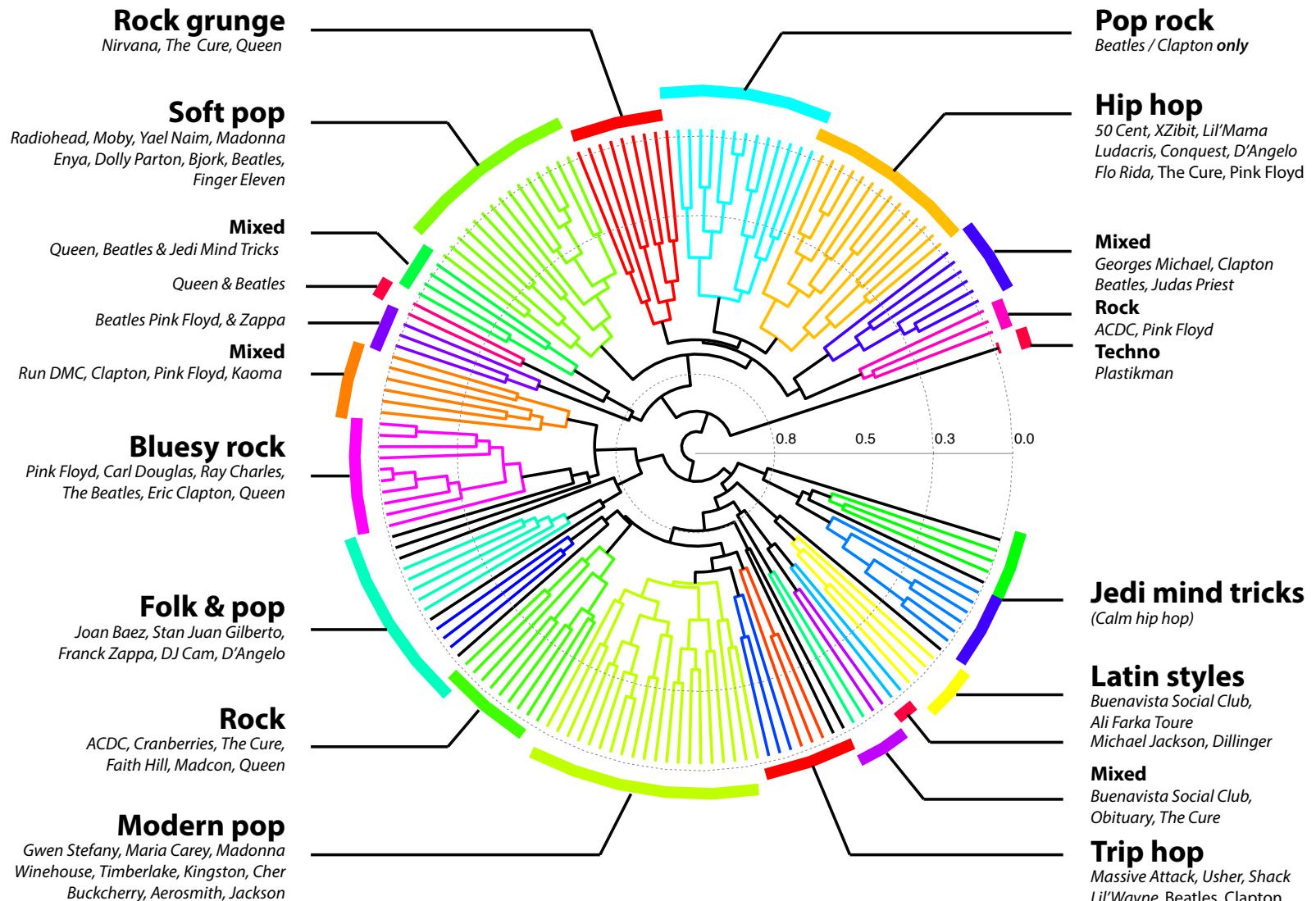
The Cure - *The figurehead*



The Cure - *The figurehead*



The Beatles - *Come together*



# Assignment !

---

- Dynamic programming exercise
  - Is an algorithmic mix between static and dynamic structures
1. Choose the MATLAB programming language
  2. Read the following research article
    - Y. Jeong, M. Jeong, and O. Omotaomu, “Weighted dynamic time warping for time series classification,” *Pattern Recognition*, vol. 44, pp. 2231–2240, 2011.
  3. Implement the weighted DTW algorithm (15 pts)
  4. Imagine and implement musical application of your choice (5 pts)  
*(Don't hesitate to send me a mail with your ideas for help)*

Full details and summary

<http://repmus.ircam.fr/esling/atiam.html>

esling@ircam.fr