

**Question 7****(6 marks)**

This question uses the following **Definitions** and **Facts**.

**Definitions:**

- If  $L$  is any language, the *reversal* of  $L$  is the set of all reversals of strings in  $L$ . In other words, you obtain the reversal of  $L$  by taking every string in  $L$  and writing it backwards. So, for example, the string **abb** becomes **bba**.
- If  $L$  is any language over the alphabet  $\{\mathbf{a}, \mathbf{b}\}$ , then the *interchange of  $\mathbf{a}$  and  $\mathbf{b}$*  forms a new language as follows: take every string in  $L$ , and replace every  $\mathbf{a}$  by  $\mathbf{b}$  and every  $\mathbf{b}$  by  $\mathbf{a}$ , simultaneously. So, for example, the string **abb** becomes **baa**.

**Facts:**

- The class of regular languages is closed under reversal.
- The class of regular languages is closed under interchange of  $\mathbf{a}$  and  $\mathbf{b}$ .
- The language  $AB := \{ \mathbf{a}^n \mathbf{b}^n : n \in \mathbb{N} \}$  is not regular.

**Your task:**

Using these facts, and any other closure properties of regular languages you like, *prove by contradiction* that the language

$$\{ \mathbf{a}^m \mathbf{b}^n : m \leq n \}$$

is not regular.

Assume, by way of contradiction, that the language  $\{ \mathbf{a}^m \mathbf{b}^n : m \leq n \}$  is regular. Then its reversal, namely  $\{ \mathbf{b}^n \mathbf{a}^m : m \leq n \}$ , is regular, since the class of regular languages is closed under reversal (using the first fact). Then we interchange  $\mathbf{a}$  and  $\mathbf{b}$ , giving the language  $\{ \mathbf{a}^n \mathbf{b}^m : m \leq n \}$ , which must be regular, since the class of regular languages is closed under interchange of  $\mathbf{a}$  and  $\mathbf{b}$  (using the second fact). Take the intersection of the language we started with, and this last language. The former's  $\mathbf{b}$ -part is at least as long as its  $\mathbf{a}$ -part, while the latter's  $\mathbf{a}$ -part is at least as long as its  $\mathbf{b}$ -part. Their intersection consists of strings where the two parts have the same size:

$$\{ \mathbf{a}^m \mathbf{b}^n : m \leq n \} \cap \{ \mathbf{a}^n \mathbf{b}^m : m \leq n \} = \{ \mathbf{a}^n \mathbf{b}^n : n \in \mathbb{N} \} = AB,$$

which must be regular, since the class of regular languages is closed under intersection (as discussed in lectures). But we know that  $AB$  is not regular (third fact). So we have a contradiction. So the original assumption, that the language given in the question is regular, is wrong. So that language is not regular.

**Question 8****(3 marks)**

Explain how to derive, for any Finite Automaton, a regular grammar for the language recognised by the FA.

For each state, create a new non-terminal symbol to represent that state. The initial state is represented by the start symbol  $S$ .

For each transition, create a production rule as follows. If the transition has label  $x$  and goes from state  $P$  to state  $Q$ , the production rule is  $P \rightarrow xQ$ . For each final state, create a production rule whose right-hand side is the empty string. So, for a final state represented by non-terminal symbol  $P$ , we create the rule  $P \rightarrow \varepsilon$ .

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**Question 5****(4 marks)**

Let  $R$  be any regular expression.

- (a) Give, in terms of  $R$ , a regular expression for the language of all strings that can be divided into two substrings, each of which matches  $R$ .

$RR$

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- (b) Prove that the language  $\text{EVEN}(R)$  of all strings that can be divided into *any even number* of substrings, each of which matches  $R$ , is regular.

For example, if  $R$  is  $a \cup bb$ , then the string  $w = aabba$  can be divided into four substrings  $a, a, bb, a$  which each match  $R$ . So this  $w$  belongs to  $\text{EVEN}(R)$ . The empty string is also in  $\text{EVEN}(R)$ , noting that zero is an even number. But  $aabb$  and  $bbb$  do not belong to  $\text{EVEN}(R)$ .

Suppose that a string  $s$  can be divided into an even number of substrings, each of which matches  $R$ . Let the number of such substrings be  $2k$ . Then  $s$  can be divided into  $k$  pairs of substrings, with each pair consisting of two strings that match  $R$ . Each pair must therefore match  $RR$ , by (a). So  $s$  can be divided into  $k$  strings that match  $RR$ . Since  $k$  can be any number (including 0), our string  $s$  must match  $(RR)^*$ . Conversely, any string that matches  $(RR)^*$  must consist of some number of substrings that each match  $RR$ , and therefore it must consist of an even number of substrings that each match  $R$ .

This shows that  $\text{EVEN}(R)$  is described by the regular expression  $(RR)^*$ , so it must be regular.

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(c) Use the Pumping Lemma for Regular Languages to prove that GOAL is not regular.

Assume, by way of contradiction, that GOAL is regular. Then, by Kleene's Theorem, there is a Finite Automaton that accepts it. Let  $N$  be the number of states of this FA.

Let  $w$  be the string  $\mathbf{g}\mathbf{o}^{2N}\mathbf{a}^N\mathbf{1}$ . This has length  $> N$ . Therefore, by the Pumping Lemma for Regular Languages,  $w$  can be divided into substrings  $x, y, z$  such that  $y \neq \varepsilon$ ,  $|xy| \leq N$ , and for all  $i \geq 0$  the string  $xy^iz \in \text{GOAL}$ .

The constraint  $|xy| \leq N$  tells us that  $y$  is within the first  $N$  letters of  $w$ . This means that  $y$  *either* contains the  $\mathbf{g}$  at the very start of  $w$  *or* lies within the substring  $\mathbf{o}^{2N}$ . If it contains  $\mathbf{g}$ , then repeating it to form  $xyyz$  gives a string with more than one  $\mathbf{g}$ , which therefore cannot belong to GOAL (since every string in GOAL just has one  $\mathbf{g}$ , the one at the beginning). If  $y$  lies within the substring  $\mathbf{o}^{2N}$ , then repeating it to form  $xyyz$  increases the length of the  $\mathbf{o}$ -substring: it now has length  $> 2N$  (using  $y \neq \varepsilon$ ). But the length of the  $\mathbf{a}$ -substring has not changed: it's still  $N$ . So the length of the  $\mathbf{o}$ -substring is no longer exactly twice the length of the  $\mathbf{a}$ -substring. This breaks the rules of the language GOAL, so  $xyyz$  cannot belong to GOAL. So, regardless of where  $y$  is located in  $w$ , the string  $xyyz \notin \text{GOAL}$ .

This violates the conclusion of the Pumping Lemma. So we have a contradiction. Therefore our initial assumption, that GOAL is regular, was wrong. So GOAL is not regular.

Deletion of  $y$  works just as well as repeating it, since the Pumping Lemma says that  $xy^iz \in \text{GOAL}$  for all  $i \geq 0$ ; the  $i = 0$  case tells us that  $xz \notin \text{GOAL}$ .

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## Question 7

(9 marks)

The language DOG consists of all strings of the form

$$\mathbf{gr}^n(\mathbf{woof})^n$$

where  $n$  is any positive integer. For example, the strings `grwoof` and `grrwoofwoof` both belong to DOG, but `grrwoof` does not.

(a) Use the Pumping Lemma for Regular Languages to prove that DOG is not regular.

Assume, by way of contradiction, that DOG is regular.

Then there is a FA that recognises it. Let  $N$  be the number of states in such an FA.

Let  $w$  be the string  $\mathbf{gr}^N(\mathbf{woof})^N$ .

By the Pumping Lemma,  $w$  can be divided up into three parts,  $w = xyz$ , such that  $y$  is nonempty,  $|xy| \leq N$ , and  $xy^iz \in \text{DOG}$  for all  $i \geq 0$ .

The requirement that  $|xy| \leq N$  forces  $y$  to fall within the first part,  $\mathbf{gr}^N$ , of  $w$ .

Consider the string  $xyyz$ . If  $y$  contains **g**, then  $xyyz$  has two **gs**, so  $xyyz \notin \text{DOG}$ , since every string in DOG has exactly one **g**.

If  $y$  contains no **g**, then it's all-**r**, so repetition of  $y$  creates at least one extra **r** (since  $y$  is nonempty), so the number of **rs** is greater than the number of **woofs**, which violates the definition of DOG. So  $xyyz \notin \text{DOG}$ , which contradicts the conclusion of the Pumping Lemma.

So our initial assumption, that DOG is regular, must be incorrect.

So DOG is not regular.

Other choices of  $w$  are possible. You could let  $w$  be any string of the form  $\mathbf{gr}^n(\mathbf{woof})^n$  whose total length is  $> N$ . But then you'd have to have three cases in the proof, according to whether  $y$  lies within  $\mathbf{gr}^n$ , or within  $(\mathbf{woof})^n$ , or contains some of each (i.e., it straddles the boundary between the two parts). This is ok if done correctly.

Instead of the string  $xyyz$ , we could have chosen  $xy^iz$  for any  $i \geq 2$ . The argument would be almost identical. We also could have chosen  $xz$  (i.e.,  $i = 0$ ). In that case, the argument is slightly different (but not much): if  $y$  includes **g**, then  $xz$  contains *no* **g**, which violates the requirement that every string in DOG has exactly one **g**; if it does not contain **g**, then it's all-**r**, so the string  $xz$  has *fewer* **rs** than **woofs**, which violates the definition of DOG.