Intro to ZKPs



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Where to get these slides?

Twitter: @leanthebean

(I'll post these slides after this presentation)

Goal of this presentation

- 1. To give you the ammunition needed to learn about zero-knowledge proofs
- 2. To give an overview of the proof systems we have today (and which ones you can use today fairly easily)
- 3. And to give you a sense in which direction research is headed

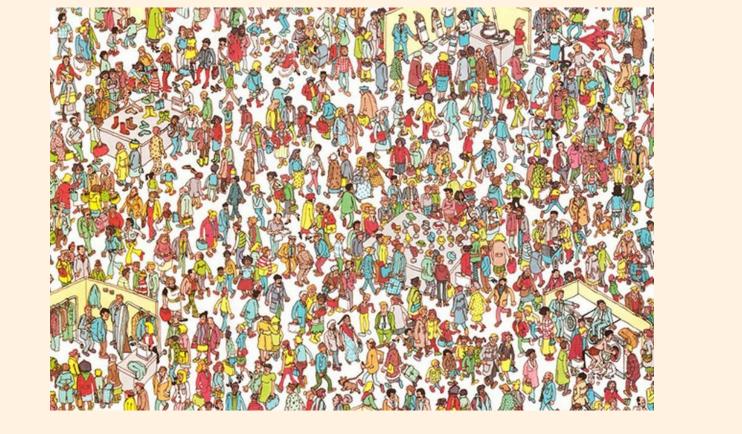
What we'll go over

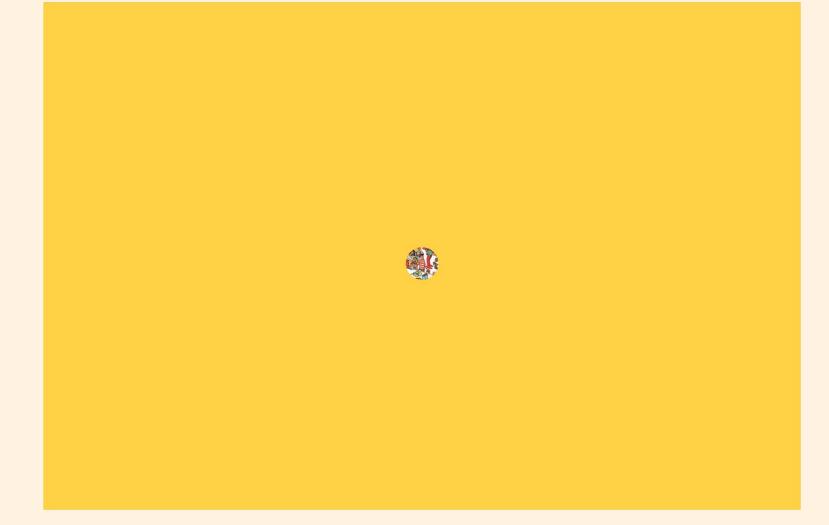
- 1. Brief history / background of relevant cryptography
- 2. Quick overview of existing relevant ZKP proof systems
- 3. Highlight ones you should care about more:)

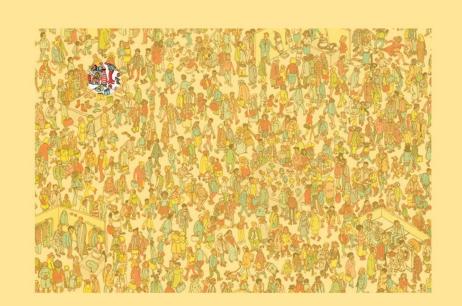
What is a ZKP?

What is a ZKP?

The ability to prove honest computation without revealing inputs







ZKPs == honest computation

ZKPs == honest computation

Used for:

Scalability

Privacy



Cryptography Tools



(This might be a lot, and maybe overwhelming, but it'll give you a good foundation to research & study ZKPs on your own)

Modular Math

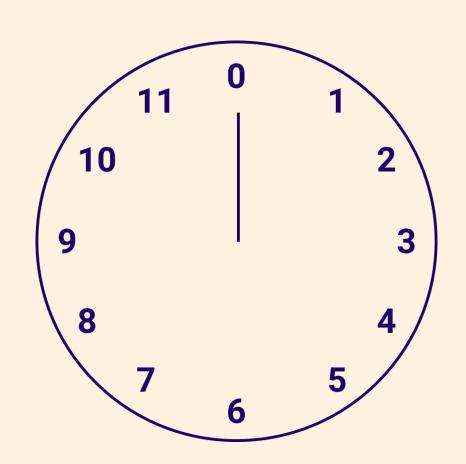
The mod operator creates *cycles*, an overused feature for public-private key cryptography:

$$22 \pmod{12} = 10$$

(Because 12 divides 22 one time evenly with 10 as the remainder)

$$3 + 16 \pmod{12} = 7$$

And so on



Symmetric Encryption

- Simply the concept that given a message and a key, that message can be encrypted and decrypted using the same key
- Substitution ciphers are one of the oldest examples (as early as 400 BC between lovers in India (2))
- The most common modern family of symmetric encryption is AES
- Currently the evolving standard for TLS encryption is <u>ChaCha20-Poly1305</u>
 <u>AEAD</u> ('AE' refers to authenticated encryption)
- Both the sender and the receiver of the encrypted message must have the key in order to encrypt and decrypt the message – how do they share that key over an unsecure communications channel?

Asymmetric Encryption

- Whitfield Diffie obsessed over that question endlessly in the 70s, travelling cross-country to find others to work on this question, until he made his way over to Stanford and met Martin Hellman.
- Together, they were the first to publicly publish (but not the first to discover!) a
 form of asymmetric encryption that allows a message to be encrypted using
 one key, but decrypted using a different one!
- Essentially secret sharing of one key used to encrypt/decrypt a message
- Let's see how it works!

First, there is some setup. Everyone publicly agrees on a modulus (\mathbf{p}) and some base (\mathbf{g}). Let's have the base be 5, and the modulus be 23.

p = 23 (modulus) g = 5 (base)

Alice chooses a private key a = 3, and sends Bob her public key

$$A = g^a \mod p$$

$$A = 5^3 \mod 23 = 10$$

Alice chooses a private key a = 3, and sends Bob her public key

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Bob chooses a private key b = 5 and sends Alice his public key

$$B = g^b \mod p$$

$$B = 5^5 \mod 23 = 20$$

Alice sends Bob her public key (A) and Bob sends her his public key (B)

```
Alice computes s = B^a \mod p

s = g^b \mod p = 20^3 \mod 23 = 19
```

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Bob computes s = A^b \mod p

s = g^{ab} \mod p = 10^5 \mod 23 = 19
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$$s = B^a \mod p$$

 $s = g^b \mod p = 20^3 \mod 23 = 19$

Bob computes
$$s = A^b \mod p$$

 $s = g^{ab} \mod p = 10^5 \mod 23 = 19$

Now Alice and Bob can use 19 as their key to encrypt/decrypt messages

RSA (1977)

- One of the first public-key cryptosystems
 - Allows for a message to be encrypted via the public key and decrypted using the private key
- Builds on top of Diffie-Hellman
- (Check out <u>Dusty's RSA tutorial</u> if you're interested in implementing it!)
- <u>Let's see how it works!</u>

Setup

Choose 2 primes: $\mathbf{p} = \mathbf{5} \mathbf{q} = \mathbf{11}$ and multiply them together to compute \mathbf{N} :

$$N = p * q = 55$$

After this step, **p and q should be kept utterly secret or thrown away altogether** to preserve the security of the protocol.

Setup

Choose 2 primes: $\mathbf{p} = \mathbf{5} \mathbf{q} = \mathbf{11}$ and multiply them together to compute \mathbf{N} :

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After this step, **p** and **q** should be kept utterly secret or thrown away altogether to preserve the security of the protocol.

Compute the **totient** of N by computing the least common multiplier of p-1 and q-1 $\lambda(N) = lcm(p-1, q-1) = 40$ (totient)

Key Generation

When choosing a public key, choose a random number, e, such that it is:

- 1. Greater than 1, but less than the totient $\lambda(N)$ 1 < e < $\lambda(N)$
- 2. <u>Coprime</u> to the totient (Coprime simply means that the two numbers don't have any common divisors except for 1).

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Let's pick $\mathbf{e} = \mathbf{7}$ as our public key. It is $1 < \mathbf{e} < 40$ and coprime to 40 (40 factors into 2, 2, 2, 5, 1, and 7 just factors into 1 since it's a prime number, so the two are coprime to each other as none of their divisors overlap).

Key Generation

Compute the **private key**, **d**, such that it is:

- Greater than 1, but less than the totient λ(N)
 1 < d < λ(N)
- 2. $d * e (mod \lambda(N)) = 1$

For this example we'll go with **d** = **23** as our private key

Encryption / Decryption

When encrypting a message, m, we can use the recipient's public key to encrypt it such that their private key can decrypt it.

Encrypting: c = m^e (mod N)

To decrypt a message, the recipient uses their private key, d:

Decrypting: cd=med= m (mod N)

In our example of having a private key d = 23 and public key e = 7 let's say we want to encrypt a message where our message, m, is m = 8

Encrypting:

$$c = m^e \pmod{N}$$

$$c = 87 \pmod{55} = 2$$

Our encrypted message (m = 8) is the ciphertext c = 2

Knowing the corresponding *private key* (d = 23) to the *public key* (e = 7) used to encrypt the message, we can decrypt the message back to its plaintext value of 8:

Decrypting:

Decrypting $c^d = m^{ed} \pmod{N} = 8$

Discrete Logarithm Problem

Both (modern) Diffie-Hellman and RSA are highly secure protocols due to the discrete logarithm problem

Given the *public key* it is *hard* to find out the *private key*.

Remember in Diffie-Hellman the public key is: A = ga mod p

To find the public key, we use "modular exponentiation", for which the reverse operation would require *discrete logarithm*.

discrete because it involve finite sets (cycles due to the modulus).

logarithm because to reverse the exponentiation we would need to use a log

Fiat-Shamir (1986)

- Interactive proof of knowledge
- "Grandfather" of zero-knowledge proofs
- Allows one to prove information about a number, without revealing the number

Fiat-Shamir

- **g** is a number (called generator) everyone knows

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- Since $g^r y^c = g^{v-cx} g^{xc} = g^v = t$
- Bob knows that Alice knows the "preimage" x

Fiat-Shamir Heuristic

- Changes the *interactive* protocol to be *non-interactive* by introducing a random oracle
 - A random oracle here can be a hash function

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Fiat-Shamir (Interactive)

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- Alice wants to prove that she knows x, such that y = g^x
- She picks a random v, and sends t such that t = g^v
- Bob pics a random c, and sends that to Alice
- Alice sends back r = v cx
- Bob checks t = g^ry^c
- Since $g^r y^c = g^{v-cx} g^{xc} = g^v = t$

Fiat-Shamir (Non-Interactive)

- **g** is a number (called generator) everyone knows
- Alice wants to prove that she knows \mathbf{x} , such that $\mathbf{y} = \mathbf{g}^{\mathbf{x}}$
- She picks a random v, and sends t such that t = g^v
- Alice computes c, where c = Hash(g, y, t)
- Alice sends back r = v cx
- Bob checks $t = g^r y^c$
- Since $g^r y^c = g^{v-cx} g^{xc} = g^v = t$

Shamir Secret Sharing

The core idea behind Shamir Secret Sharing is that given a polynomial of degree k (if k = 1 it's a line, if k = 2 it's a parabola, and so on), you need k + 1 points on the polynomial to rebuild the polynomial and all it's coefficients using interpolation.

Given some function f(x) for some degree k

$$f(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3 + ... a_k x^k$$

We just need any k+1 points from that function to rebuild it.

The **secret** that we're hiding is in the a_n value.

Why is this significant / cool?

Shamir Secret Sharing

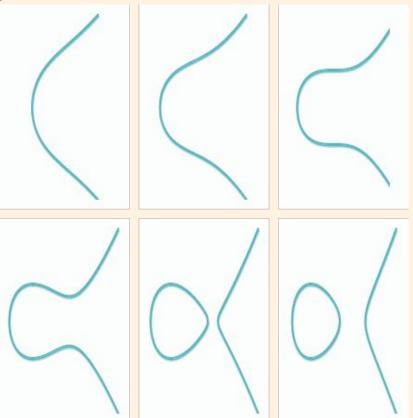
This means that we can put something like a private key in the a_0 value, and construct m shards such that you need n of m shards to retrieve the private key back.

This could potentially be used for key recovery!

Elliptic Curve Cryptography

 Much more powerful and efficient tool than exponentiation & modular math

- **Great tutorial** by Andrea Corbellini
 - (much of which we'll go over here)



$y^2 = x^3 + ax + b$

(where $4a^3 + 27b^2 \neq 0$)

$$y^2 = x^3 + ax + b$$

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(This is the *Weierstrass* curve form – the standard is shifting to using elliptic curves using the Montgomery & Edwards curve form)

Elliptic Curve Cryptography

~ ¾ of 100k top websites use ECDHE (https://)
(Elliptic Curve Diffie-Hellman Exchange)

96.1% of those use P256 curve (a NIST standard): $y^2 = x^3 - 3x + b \pmod{p}$

Parameters generated from hashing a seed

From a video by Dan Boneh

Elliptic Curve Cry Cog phy

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Parameter grade to find a right seed

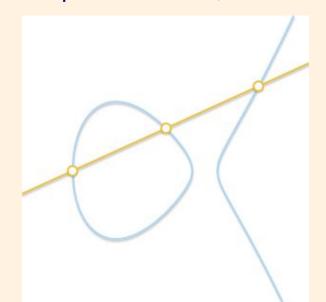
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Elliptic Curve Cryptography

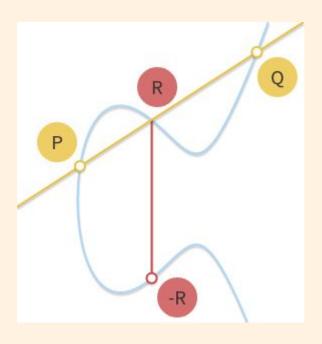
Abelian Group Properties for a set G

- 1) Closure: if a and b are members of \mathbb{G} then a + b is also
- 2) **Associativity**: (a + b) + c = a + (b + c)
- 3) **Identity**: a + 0 = 0 + a = a
- 4) **Inverse**: for every a, there exists b such that a + b = 0
- 5) **Commutativity**: a + b = b + a

Given 3 aligned non-zero points on an elliptic curve, their sum is: P + Q + R = 0Since P + Q + R = 0, then P + Q = -RIf we draw a line between 2 points P and Q, it'll intersect at a point R



If
$$P + Q + R = 0$$
, then $P + Q = -R$



If we draw a line between 2 pts P and Q, it'll intersect at a point R

Since P + Q = -R we can easily find R and use it to compute addition of two points from it

$$P + Q = -R$$

If you remember from your algebra days, given two points, we can find the slope of the line formed between them (slope == m)

If P and Q are two distinct points, the slope is:

$$m = (y_P - y_Q) / (x_P - x_Q)$$

If P and Q are the same point (P == Q) then the slope is:

$$m = (3x_p^3 + a) / 2y_p$$

$$P + Q = -R$$

Slope:
$$m = (y_p - y_0) / (x_p - x_0)$$
 (or $m = (3x_p^3 + a) / 2y_p$ if $P == Q$)

The intersection of this line with the elliptic curve is a third point R = (x_R, y_R)

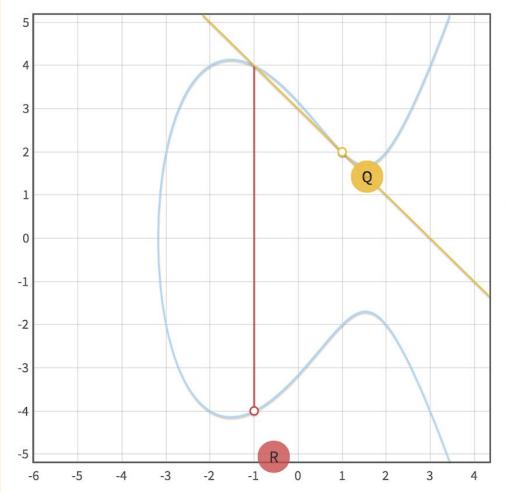
$$x_{R} = m^{2} - x_{p} - x_{Q}$$

 $y_{R} = y_{p} + m(x_{R} - x_{p}) = y_{Q} + m(x_{R} - x_{Q})$

$$P + P = -R$$
 for $Q = P = (1, 2)$ on $y^2 = x^3 - 7x + 10$

$$m = (3x_P^3 + a) / 2y_P$$
 = -1
 $x_R = m^2 - x_p - x_Q$ = -1
 $y_R = y_P + m(x_R - x_P) = y_Q + m(x_R - x_Q)$ = 4

So
$$P + P = (-1, -4)$$



Curve: a -7 b 10

P: x 1 y 2

Q: x 1 y 2

R=P+Q: x -1 y -4

Point addition over the elliptic curve $y^2 = x^3 - 7x + 10$ in \mathbb{R} .

ECC - Multiplication

Now that we know how to add P + P on an elliptic curve, we can add P to itself *n* times:

(Note that while P is a point on a curve, n here is called a scalar – it is NOT a point on a curve)

(We have clever optimization techniques to do this fast)

ECC - Multiplication

nP = Q : fairly easily to compute

n = Q/P : very hard to compute (no efficient method)

Logarithm Problem (it's not called the division problem for conformity reasons as the solution for modular exponentiation claimed the term first)

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Logarithm Problem (it's not called the division problem for conformity reasons as the solution for modular exponentiation claimed the term first)

This isn't yet a discrete logarithm problem because we don't yet have cycles!

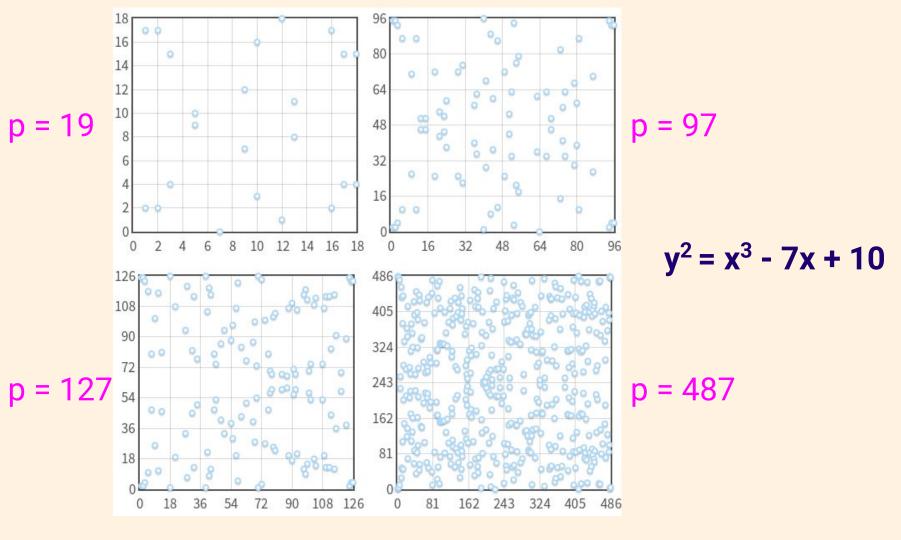
ECC - Finite Fields & Discrete Log

Re-introducing the modulus!!!

Finite field $\mathbb{F}p$: set of elements (mod p) where p is prime

$$y^2 = x^3 + ax + b \pmod{p}$$

Let's look at the curve we used previously $y^2 = x^3 - 7x + 10$ but now with a modulus!



ECC - Finite Fields (Addition)

P + P = ? in a finite field

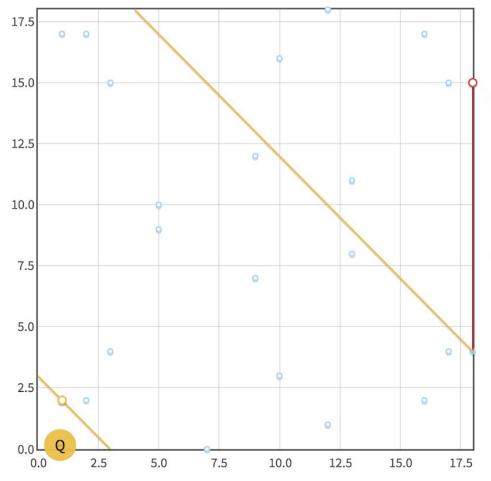
ECC - Finite Fields (Addition)

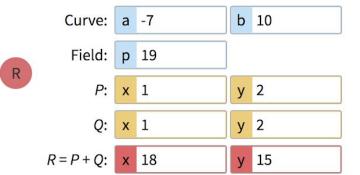
P + P = ? in a finite field P + P = (-1, -4) on $y^2 = x^3 - 7x + 10$

-1 (mod 19) = 18

 $-4 \pmod{19} = 15$

So P + P on $y^2 = x^3 - 7x + 10 \pmod{19} = (18, 15)$



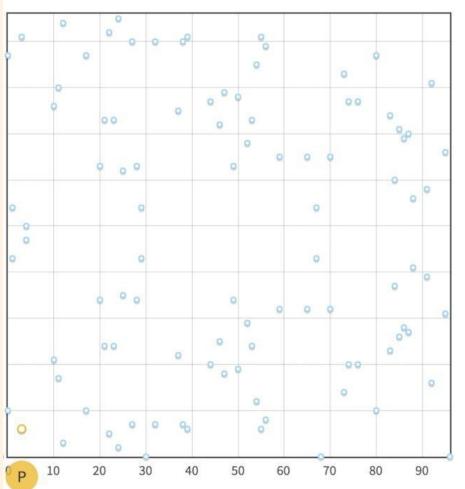


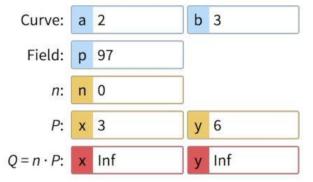
Point addition over the elliptic curve $y^2 = x^3 - 7x + 10$ in \mathbb{F}_{19} . The curve has 24 points (including the point at infinity).

ECC - Groups

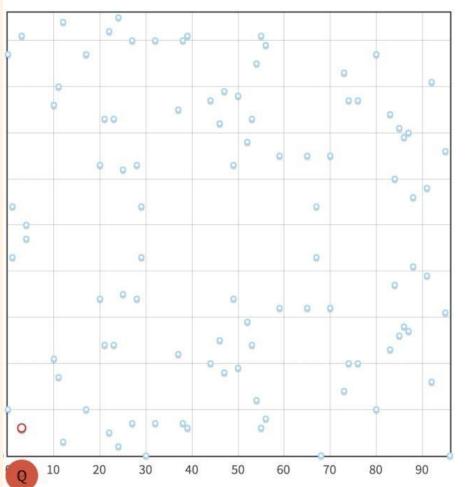
$$y^2 = x^3 + 2x + 3 \pmod{97}$$
 at point P(3, 6)

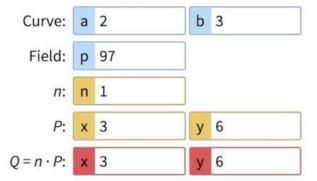
Let's calculate some multiples of P



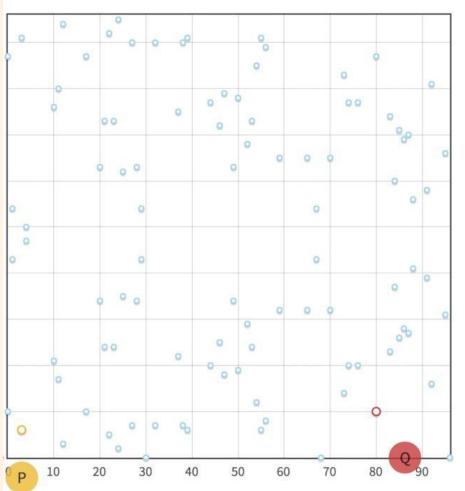


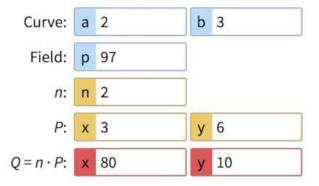
$$n = 0$$



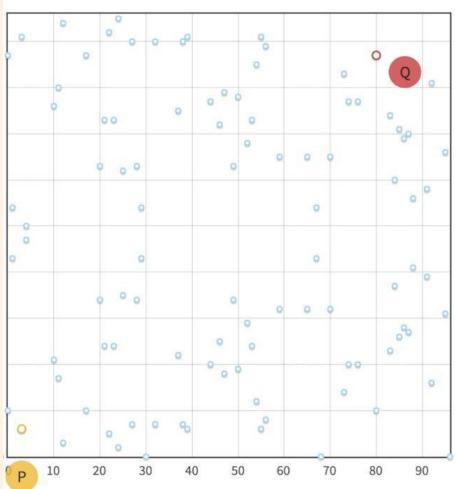


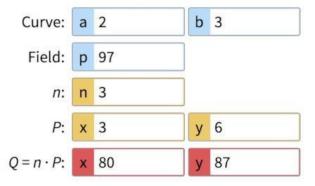
$$n = 1$$



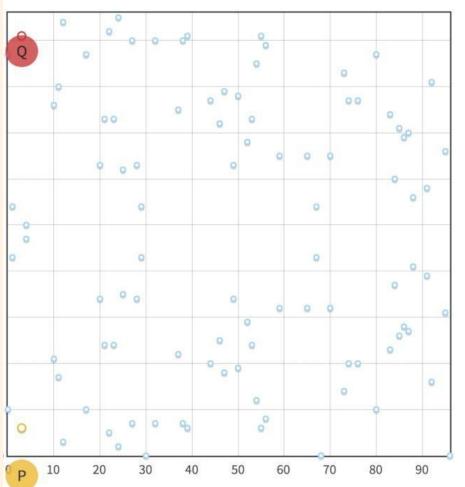


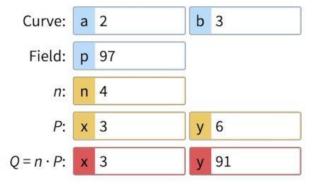
$$n = 2$$



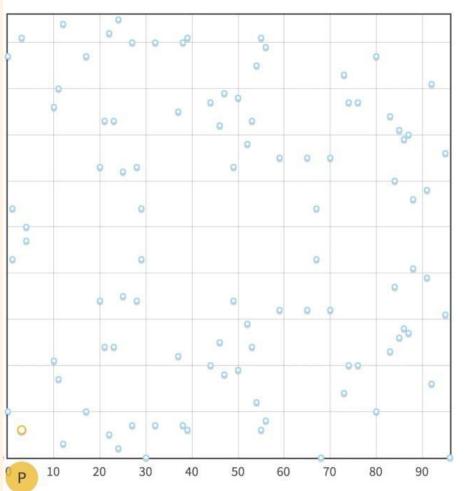


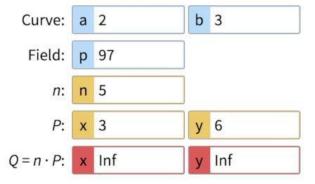
$$n = 3$$

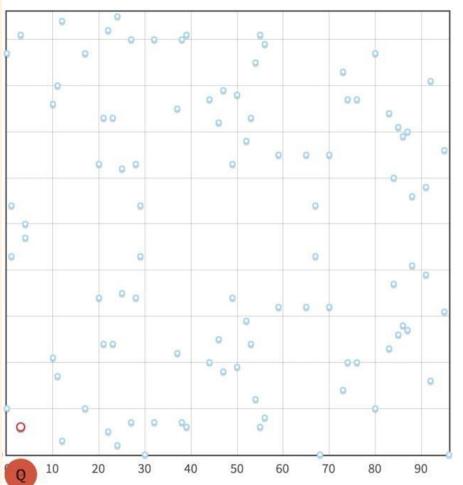


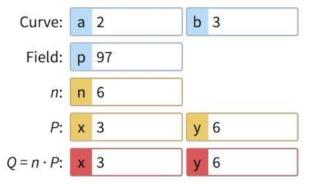


$$n = 4$$

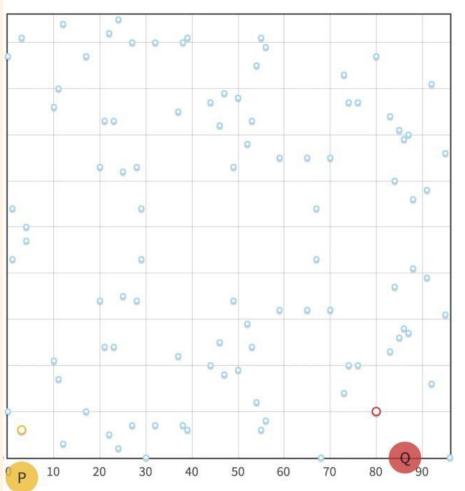


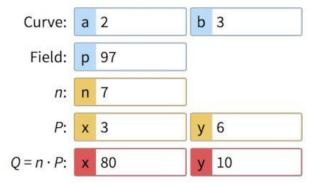


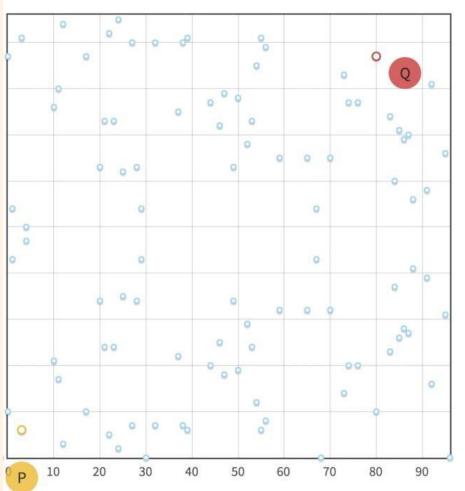


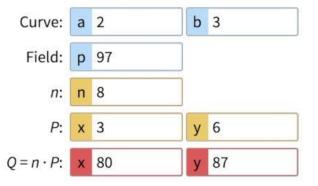


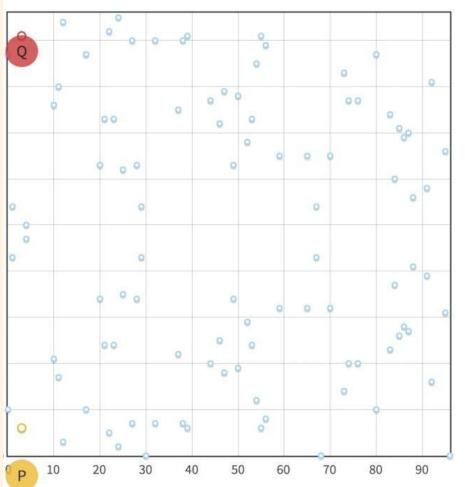
> n = 6 Same as n = 1

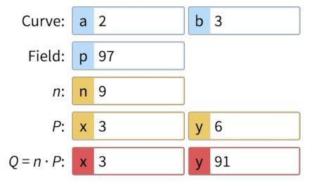


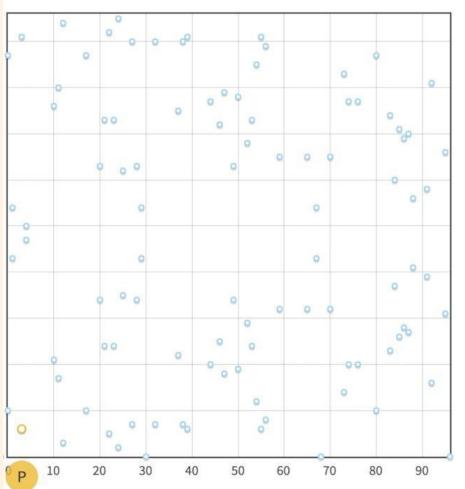


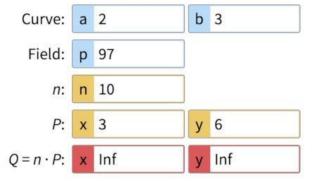


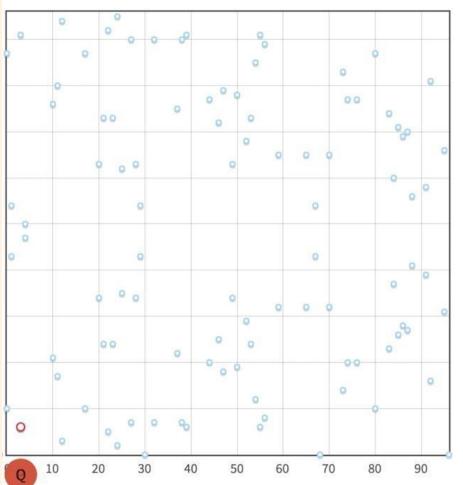


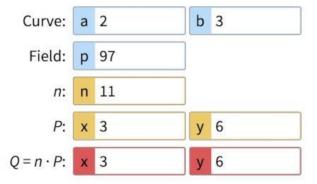




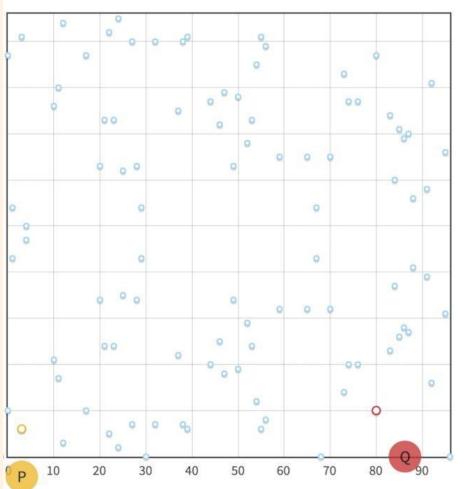


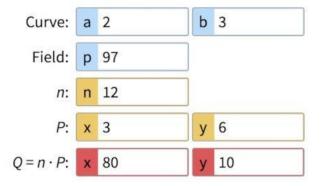






> n = 11 Same as n = 1, 6





> n = 12 Same as n = 2, 7

... and the pattern continues

... and the pattern continues

(yay we have cycles!)

 $y^2 = x^3 + 2x + 3 \pmod{97}$ on P(3, 6) we saw a cycle

There are just 5 distinct points:

0, P, 2P, 3P, 4P

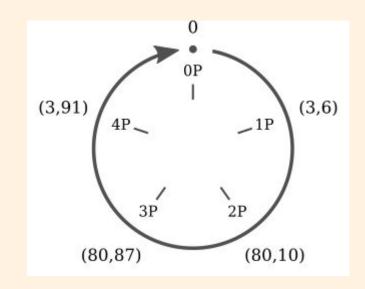
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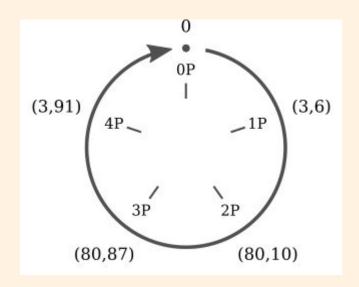
These five points are closed under addition.

However you add 0, P, 2P, 3P or 4P, the result is always one of these five points.



The **point P(3, 6)** on $y^2 = x^3 + 2x + 3 \pmod{97}$ is therefore said to be a **generator** or **base point** of the cyclic subgroup

and the **order** of this subgroup is therefore 5 (the smallest n such that nP=0)



 $Q = nP \mod p$: easy to calculate

n = P/Q mod p : discrete logarithm problem

If you choose your curve, parameters, and generator point carefully, finding n becomes very very very hard

And this is exactly how the **ECDSA** signature scheme works

ECDSA

ECDSA signature (used in Bitcoin, Ethereum, etc):

- 1. **private key** random integer **d** from {1, ... n-1} where n is the *order* of the subgroup
- 2. **public key** where **G** is the base point **H** = **dG**

ECDSA

As of today, the discrete logarithm problem for elliptic curves seems to be "harder" when compared to other similar problems used in cryptography (modular exponentiation). This means we need fewer bits for the integer in order to achieve the same level of security as with other cryptosystems.=

A Bitcoin private key is **256** bits and gives 128-bit security level

To achieve the same security with RSA (using modular exponentiation) you would need **3092** bit length key!

Zero-Knowledge Proofs!



Zero-Knowledge Proofs (1985)

1985 paper "The Knowledge Complexity of Interactive Proof-Systems" Shafi Goldwasser, Silvio Micali, and Charles Rackoff

First coined the term zero-knowledge proofs for their interactive protocol

[Bit+11] paper first coined the term **zk-SNARKs**

The "Pinocchio" paper [PHGR13] first made zk-SNARKs applicable for general computing

And the Groth16 (by Jens Groth, in 2016) paper made zk-SNARKs really efficient

Elliptic curve + pairing based

SNARK construction flow (at least for PHGR13 / Groth16 SNARKs)

- 1. Computation
- 2. Arithmetic Circuit
- 3. R1CS (rank 1 constraint system)
- 4. QAP (quadratic arithmetic program)
- 5. SNARK

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For these steps, I recommend this tutorial by Stefan Demil from Decentriq

SNARK construction flow (at least for PHGR13 / Groth16 SNARKs)

- 1. Computation
- 2. Arithmetic Circuit
- 3. R1CS (rank 1 constraint system)
- 4. QAP (quadratic arithmetic program)
- 5. SNARK

For this step, I highly recommend this tutorial by Maksym Petkus

TL;DR:

- For SNARKs we have a programmatic way to transform a statement into a language of polynomials.
- Like with any proof system, there is a prover, and a verifier, and a challenge.
- To make the challenge non-interactive there is a "hard coded" common reference string (CRS) or SRS (Structured Reference String) which is part of the trusted setup.
- The SRS is encrypted in order for it to be reused, which requires multiplication
 of encrypted values with elliptic curves, which leads to the requirement of
 something called *elliptic curve pairings*

Why is Groth16 so great?

ZKPs are typically graded on:

- prover time
- proof size
- verification time

zk-SNARKs (Groth16) have:

- a (fairly) efficient prover time
- constant proof size (192 bytes)
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And sometimes on:

- The size of the SRS/CRS
- Cryptographic assumptions
- + other criteria

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However, it has one big downside – a strusted setup setup (for which I recommend this tutorial by Daniel Benarroch from Qedit)

2017 -> onwards there's been an **explosion** in ZKP research to try and mitigate the trusted setup requirement while still competing with Groth16 on performance

Using interactive oracle proofs (**IOP**), algebraic holographic proving systems (**AHP**), polynomial commitment schemes (**PC**), and more

- <u>Bulletproofs</u>
- Hyrax
- <u>vSQL</u>
- FRI
- <u>Ligero</u>
- + others

2017

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- Hyrax
- <u>vSQL</u>
- FRI
- <u>Ligero</u>
- + others

- STARKs
- Aurora
- <u>vRAM</u>
- <u>GKM+18</u>
- + others

2017

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* Technically has a trusted setup, but a universal one

- <u>Libra</u>* (not FB Libra)
- Sonic
- Supersonic
- PLONK
- RedShift
- Halo
- Marlin
- Fractal
- DARK
- Spartan
- AuroraLight
- DEEP-FRI
- FRI-based PCS
- Virgo
- Spartan
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Which ZKPs should I pay attention to today?

Groth16 🔆

- Overall (proof size, prover time, verification time) it is currently the gold standard of ZKPs
- R1CS is great leads to high level DSLs (domain specific languages) or advanced toolsets:
 - ZoKrates, <u>libsnark</u>, <u>bellman</u>, <u>circom</u>, <u>zinc</u> (I think?), <u>aleo</u> (uses <u>ZEXE</u> which way more involved)
 - Very mature toolset that you can use today (!!) to write ZKP apps & smart contracts

Which ZKPs should I pay attention to today?

PLONK – no trusted setup; universal & updatable

- Prover time overall slower than Groth16 but not by much!
 - And even outperforms for some operations, like MiMC hashes or, I believe, Pedersen commitments on bn128 curve
- Proof size bigger but not by much!
- Verification time slower but not by much!
- It unfortunately does not use R1CS, and therefore all the great tools for building circuits are not applicable here, however Aztec is working on <u>Noir</u>, Coda/Mina is working on <u>Pickles</u>, and Mir is working on <u>Plonky</u> which are <u>almost</u> production ready/usable
- Halo2 is super exciting (though still a WIP) and uses PLONK
- To learn how PLONK works, I recommend this <u>tutorial</u> by Vitalik

.. And that's it!

Questions?