

# 1 Simple Implementations of a Priority Queue

- Implementation with an unsorted list
  - `insert(n)` is  $O(1)$
  - `min(n)` and `removeMin(n)` is  $O(n)$
- Implementation with an sorted list
  - `insert(n)` is  $O(n)$
  - `min(n)` and `removeMin(n)` is  $O(1)$  (always at head)

## 2 Binary heap

A heap is a binary tree storing key-value pairs at its nodes and satisfying the following properties:

- **Heap-Order:** for every internal node  $v$  other than the **root**,  $key(v) \geq key(parent(v))$
- **Complete Binary Tree**
  - let  $h$  be the height of the heap for  $i = 0, \dots, h - 1$ , there are  $2^i$  nodes of depth  $i$
  - At depth  $h - 1$ , the nodes are to the **left** of any **missing nodes**

### 2.1 Height

**Theorem:** A heap storing  $n$  keys has height  $O(\log n)$  Proof: This uses just the complete binary tree property

### 2.2 Insertion into a Heap

Method `insertItem` of the priority queue ADT corresponds to the insertion of a key  $k$  to the heap. The insertion algorithm consists of three steps

- Find the insertion node  $z$  (the new last node)
- Store  $k$  at  $z$
- Restore the heap-order property (discussed next)

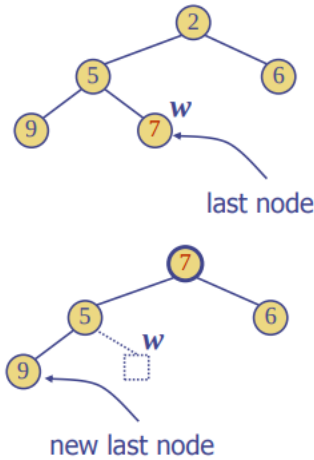
### 2.3 Unheap

- After the insertion of a new key  $k$ , the heap-order property may be violated
- Algorithm `upheap` restores the heap-order property by swapping  $k$  along an **upward path** from the insertion node
- `Upheap` terminates when the key  $k$  reaches the root or a node whose parent has a key **smaller than or equal** to  $k$
- Since a heap has height  $O(\log n)$ , `upheap` runs in  $O(\log n)$  time

### 2.4 Removal from a Heap

Method `removeMin` of the priority queue ADT corresponds to the removal of the **root** key from the heap. The removal algorithm consists of three steps:

- Replace the root key with the key of the last node  $w$
- Remove  $w$
- Restore the heap-order property (discussed next)



## 2.5 Downheap

After replacing the **root** key with the key  $k$  of the **last** node, the *heap-order property* may be violated. Algorithm *downheap* restores the heap-order property by **swapping** key  $k$  along a particular downward path from the root. Downheap terminates when key  $k$  reaches a leaf or a node whose children have keys **greater than or equal** to  $k$ . Since a heap has height  $O(\log n)$ , downheap runs in  $O(\log n)$  time

## 2.6 Array List Heap Implementation

- We can represent a heap with  $n$  keys by means of a vector or ArrayList of length  $n + 1$
- Links between nodes are not explicitly stored, instead:
- For the node at index  $i$ :
  - Left child is at index  $2i$
  - Right child is at index  $2i + 1$
  - Parent is at index  $i/2$
- The cell of at index 0 is not used (Would mess up children indexes)
- Notice that there are **no gaps** when storing a heap
- Operation **insert** corresponds to inserting at index  $n + 1$
- Operation **removeMin** corresponds to moving index  $n$  to index 1
- Up- and down-heap operations just swap appropriate elements within the array
- Together with the **lack of gaps**, this makes the implementation very **efficient**, and this is the standard way to implement a Heap.