### The Essence of Reactive Programming

A Theoretical Approach

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#### **ABSTRACT**

n the last few years the \*\*\* of Reactive Programming has gained much traction in the developer's community, especially with the general trend for programming languages to embrace functional programming and the shifting of today's applications towards an asynchronous approach to modeling data. The goal of this work is to shed some light to the sea of more or less informed opinions regarding what the meaning of Reactive Programming is thorough the use of Mathematics...

Finish it!

#### **DEDICATION AND ACKNOWLEDGEMENTS**

"Well, here at last, dear friends, on the shores of the Sea comes the end of our fellowship in Middle-earth. Go in peace! I will not say: do not weep, for not all tears are an evil."

> — J.R.R Tolkien, The Return of the King

H ere goes the dedication.

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#### INTRODUCTION

"Lasciate ogne speranza, voi ch'intrate." — Dante Alighieri, Divina Commedia

With the evolution of technologies brought in by the new millennium and the exponential growth of Internet-based services targeting millions of users all over the world, the Software Engineers' community has been continuously tested by an ever growing number of challenges related to management of increasingly large amounts of user data [8].

This phenomena is commonly referred to as Big Data. A very popular 2001 research report [14] by analyst Doug Laney, proposes a definition of big data based on its three defining characteristics:

- *Volume*: the quantity of data applications have to deal with, ranging from small e.g. locally maintained Databases to large e.g. distributed File Systems replicated among data centers.
- *Variety*: the type and structure of data, ranging from classic SQL-structured data sets to more diversified and unstructured ones such as text, images, audio and video.
- *Velocity*: the speed at which data is generated, establishing the difference between pull-based systems, where data is synchronously pulled by the consumer, and push-based systems, more suited for handling real-time data by asynchronously pushing it to its clients.

Each of these traits directly influences the way programming languages, APIs and databases are designed today. The increasing volume calls for a declarative approach to data handling as opposed to an imperative one, resulting in the developer's focus shifting from how to compute something to what it is to be computed in the first place <sup>[7]</sup>. The diversification of data, on the other hand, is the main drive for the research and development of noSQL approaches to data storage. Lastly, the increase in velocity fuels the need for event-driven, push-based models of computation that can better manage the high throughput of incoming data <sup>[16]</sup>.

In this context, the concept of *reactive programming* has gained much traction in the developer's community as a paradigm well-suited for the development of asynchronous event-driven applications <sup>[3]</sup>. Unfortunately, reactive programming has been at the center of much discussion, if not confusion, with regards to its definition, properties and principles that identify it <sup>[17]</sup>.

The goal of our work is to use mathematics as a tool to analyze today's commercial reactive libraries and understand how they relate to the theoretical concept of reactive programming. We are going to do so by utilizing concepts and ideas from functional programming and category theory with the purpose of deriving the reactive types, and will subsequently continue with the development of a reference reactive library which builds upon the previously derived theoretical foundations.

#### **Contributions**

To the best of our knowledge, we are not aware of any previous work which analyses reactive programming from a theoretical standpoint or derives its types and interfaces though the use of mathematics.

The most commonly known attempt at defining reactive programming is the Reactive Manifesto <sup>[13]</sup>, a document that tries to describe reactive systems in terms of design principles and conceptual architecture. Although certainly insightful, we feel the document targets a more managing-focused audience as opposed to software developers and engineers.

Our work, on the other hand, aims at providing types and interfaces that describe the real essence of the reactive paradigm, aiding engineers that wish to use or develop reactive libraries in understanding and taking more informed decisions on the matter.

This goal is achieved by providing a mathematical derivation of the reactive types, starting from their interactive counterparts and making use of theoretical concepts from category theory. These derived types are then used in the implementation of a formal reactive library where the purpose is showing how the theoretical definitions given to the various components can effectively be translated into working code.

Together with this formal definition of the paradigm, this work presents a reference implementation for a production level reactive library, as well as a highlight of the issues and challenges encountered when bridging from the theoretical foundations of reactive programming to a concrete implementation of a reactive API.

With the help of this report and the associated code repository, any software engineer interested in the topic should be able to understand the theoretical foundations behind the reactive paradigm and develop a reactive library in any language of choice.

#### **Overview**

Chapter 1 introduces the scope of our research, providing a definition of reactive programming, the motivation and reasoning behind our research and an overview of the current technologies and APIs that claim to belong to the world of reactive programming. Chapter 2 presents the mathematical derivation of the reactive types and interfaces, starting from the definition of Iterable and ending with that of Observable. Chapter 3 builds the bridge between the formal definition of the reactive types and a production level implementation of the paradigm, highlighting the technical issues as well as analyzing the relations with the previously discussed formal definitions. Chapter 4 concludes with final thought and future work.

#### **Notation & Conventions**

In the exposition of our work we will make use of Haskell as the reference language. This decision is motivated by the language's strong connection with mathematics as well as it's clean syntax.

All the code presented in this report, a minimal complete theoretical implementation and a reference implementation of a reactive library can be found at the associated code repository on Github - https://github.com/Widar91/Thesis.

Fix repo organization

CHAPTER

#### REACTIVE PROGRAMMING

The cold winds are rising in the North... Brace yourselves, winter is coming.

— George R.R. Martin,

A Game of Thrones

In this chapter we are going to introduce the concept of reactive programming and motivate it's importance and relevance with regards to modern applications and the type of problems developers have to face nowadays. We are then going to introduce the most popular commercial libraries that claim to solve the reactive problem, with the purpose of giving the reader some context for our discussion and motivating the need for a mathematical formalization that abstracts over the class of problems these implementations set out to address.

#### 1.1 The Essence of Reactive Programs

The use of the term reactive program in scientific literature is dated back to the mid-sixties <sup>[1]</sup>. A relevant and insightful definition was given by G. Berry in 1991 <sup>[4]</sup> as he describes reactive programs in relation to their dual counterparts, interactive programs:

"Interactive programs interact at their own speed with users or with other programs; from a user point of view, a time-sharing system is interactive. Reactive programs also

maintain a continuous interaction with their environment, but at a speed which is determined by the environment, not by the program itself."

Interactive programs concretize the idea of a pull-based model of computation, where the program - the consumer in this case - has control over the speed at which data will be requested and handled. A perfect example of an interactive program is a control-flow structure such as a for-loop iterating over a collection of data: the program is in control of the speed at which data is retrieved from the containing collection and will request the next element only after it is done handling the current one.

Reactive programs, on the contrary, embody the idea of a push-based - or event-driven - model of computation, where the speed at which the program interacts with the environment is determined by the environment rather than the program itself. In other words, it is now the producer of the data - i.e. the environment - who determines the speed at which events will occur whilst the program's role reduces to that of a silent observer that will react upon receiving events. Standard example of such systems are GUI applications dealing with various events originating from user input - e.g. mouse clicks, keyboard button presses - and programs dealing with stock markets, social media or any other kind of asynchronous updates.

#### 1.2 Why Reactive Programming Matters

Considering the definition and examples of reactive programs we analyzed in the previous section, let's now try to formalize the class of problems the reactive programming paradigm is specifically well-suited for.

The table below provides a collection of types offered by common programming languages for handling data, parameterized over two variables: the size of the data, either one or multiple values, and the way data is handled, either by synchronous or asynchronous computations <sup>[18]</sup>.

One		Many	
Sync	a	Iterable a	
Async	Future a	Reactive Programming	

The first row shows that synchronous functions come in two flavors: classic functions that return a single value of type a and functions that produce a collection of results of type a, abstracted through the Iterable a interface (See section 2.1). These types of functions embody the standard imperative, pull-based approach to programming, where a call to a function/method synchronously blocks until a result is produced.

Moving on to the second row, we encounter Future a , an interface representing an asynchronous computation that, at a certain point in the future, will result in a value of type a . Futures are generally created by supplying two callbacks together with the asynchronous computation, one to be executed in case of success and the other one in case of error.

Programming languages, however, are not as well equipped when it comes to handling asynchronous computations resulting in multiple values - i.e. push-based collections. The issue lies in the fact that the program's control flow is dictated by the environment rather than the program itself - i.e. inversion of control -, making it very hard to model such problems with commonly known control structures, which are optimized for sequential models of computation. Traditional solutions typically involve developers manually trying to compose callbacks by explicitly writing CPS (continuation passing style) code [18], resulting in what it's commonly referred to as *Callback Hell* [6].

The aforementioned class of problems reflects the definition of reactive programs we analyzed in the previous section, where the environment asynchronously - i.e. at its own speed - pushes multiple events to the program. The reactive programming paradigm sets out to provide interfaces and abstractions to facilitate the modeling of such problems as push-based collections.

#### 1.3 Related Work

Interfaces are only as good as the implementations that back them up. In this section we are going to discuss and analyze various APIs and libraries that claim to embody the reactive paradigm, motivating our need for a mathematical formalization to aid in unifying these different approaches under a single set of interfaces, eliminating doubts and confusion when it comes to the essence of reactive programming.

Talk about FRP, Reactive Streams, Rx and other stuff. Cite survey paper Claim that Observable is dual of Iterator in order to justify the why.

#### 1.3.1 Reactive Extensions

#### 1.3.2 Reactive Streams

Try to define itself as reactive programming, mathematics prove the claim wrong, they implement asynchronous iterables. This does not make them useless, yet they are not a good solution for the problem we are trying to solve.

Probably put the derivation of Reactive Streams in the appendix, it's not really the focus, I guess.

#### 1.3.3 Functional Reactive Programming

Much researched topic, beautiful in theory, yet based on continuous time, un-achievable with computers where time is inherently discrete. Connel Elliot, the inventor of FRP, says that commercial implementations are far from the original theory and do not really represent the essence of FRP

# 2

## INTO THE RABBIT HOLE: Deriving the Observable

"It was much pleasanter at home," thought poor Alice, "when one wasn't always growing larger and smaller, and being ordered about by mice and rabbits. I almost wish I hadn't gone down the rabbit-hole – and yet – and yet – ..."

— Lewis Carol,
Alice in Wonderland

As we saw in Chapter 1, the Iterable interface embodies the idea of a pull-based model of computation and is the commonly adopted solution to dealing with synchronous computations resulting in multiple values. In this chapter we are going to formalize the intuition that there exists a duality relation between interactive and reactive programs, as well as between pull and push models of computations, by deriving the Observable interface starting from its dual counterpart, the Iterable.

The derivation that follows will require the use of a number mathematical concepts such as *categorical duality, continuations, (co)products, (un)currying, covariance, contravariance* and *functors.* We suggest the reader to get familiar with these topics before diving into the derivation. An accessible introduction to each can be found in Appendix A.

#### 2.1 Iterables

An Iterable is a programming interface which enables the user to traverse a collection of data, abstracting over the underlying implementation [9].

The interface and semantics of Iterable s were first introduced by the Gang of Four though their Iterator pattern <sup>[9]</sup>; today's most used programming languages introduce the Iterable as the root interface for standard pull collections APIs - exposing concrete implementations such as maps, sets, indexed sequences and so on.

The Iterable interface is generally fixed across programming languages, with the exception of naming conventions - C# and related languages call it IEnumerable - and slight differences in the types, as we can see from the following definitions.

```
-- Java Iterable
   newtype Iterable a = Iterable
       { getIterator :: () -> IO (Iterator a)
       }
5
   data Iterator a = Iterator
       { hasNext :: () -> Bool
       , next
                 :: () -> IO a
8
       }
10
11
12
   -- C# IEnumerable
13
   newtype IEnumerable a = IEnumerable
14
       { getEnumerator :: () -> IO (IEnumerator a)
15
       }
16
17
   data IEnumerator a = IEnumerator
18
       { moveNext :: () -> IO Bool
19
         current :: () -> a
20
       }
21
```

Although the essence of the pattern is preserved by both definitions, we claim that the C# version

more clearly and accurately reflects the way side effects play a role in the usage of the interface:

moveNext contains all the side effects of traversing the underlying collection and retrieving the next value while current can inspect the retrieved value multiple times in a pure way. The Java version, on the other hand, embeds the side effect in the next function, making it impossible to inspect the current value multiple times. For this reason and without loss of generality, we will make use of the C# definition - modulo naming conventions - in the reminder of the discussion:

#### 2.2 The Essence of Iterables

The first step in deriving the Observable is to simplify our Iterable definition to a type that reflects its very essence; we are gonna do this by stripping the interface presented in the previous section of all the unnecessary operational features that only clutter our definition.

Let's start by taking a closer look at the Iterator interface; we can observe that the definition of the functions moveNext and current is equivalent to a single function which returns either a value - analogous to a moveNext call returning true and a subsequent invocation to current - or nothing - analogous to a call to moveNext returning false.

Before we formalize this observation with a proper type, let us notice another effect that is hidden in the current definition of moveNext and not made explicit by the its type: the possibility for an exception to be thrown by the function's body.

By merging these considerations with the notion of coproducts and Haskell's Either and Maybe type, we obtain the following definition.

```
newtype Iterable a = Iterable
getIterator :: () -> IO (Iterator a)
```

```
3  }
4  newtype Iterator a = Iterator
5  { moveNext :: () -> IO (Either SomeException (Maybe a))
6  }
```

Note how, theoretically, <code>getIterator</code> could also throw an exception. We assume here, without loss of generality, that the function will never throw and will always be able to return an <code>Iterator</code> instance.

The next step is to forget about data types and express our interfaces as simple types.

```
type Iterable a = () -> IO (Iterator a)
type Iterator a = () -> IO (Either SomeException (Maybe a))
```

At this point, we want to put aside the operational concerns regarding exceptions and termination and assume the Iterator function will always return a value of type a.

```
4 type Iterable a = () -> IO (() -> IO a)
5 type Iterator a = () -> IO a
```

We have now reached a point where no simplification is possible anymore. The obtained types reflect the essence of the Iterator patter: an Iterable is, theoretically, a function which, when invoked, produces an Iterator and an Iterator is itself a function producing a value of type a as a side effect.

When looking at the Iterator type from an object oriented perspective, the reader should notice a strict similarity to a *getter* function - i.e. a lazy producer of values: iterators are, in fact, nothing more than getters of values of type a . The Iterable , on the other hand, is a function that enables the user to get an Iterator , i.e. a getter of a getter of a .

This correspondence will turn out to be very insightful later on in our discussion, where we will observe that Observable is nothing more that a setter of setters, another instance of duality in our formalization.

When looking at the relation between the Iterator type and its base component, a, we can observe how they are bound by a covariant relation:

The intuition can be easily understood when we think of an iterator as a drink vending machine, i.e. a function which, whenever called, will give back a drink:

```
Coke <: Drink

VendingM Coke <: VendingM Drink
```

If coke is a subtype of drink, then whenever I am asked for a drink vending machine, I can hand out a coke vending machine without incurring in any troubles with the person who asked, as that machine will correctly provide drinks - even though they will always be coke - whenever prompted for one.

With Iterator being a getter itself, it should be clear how covariance plays the same role as with Iterable.

To formally prove the intuition of a covariant relation, we instantiate the Iterable / Iterator types to a covariant Functor and prove its laws in the following snippet.

```
import Control.Monad

Using a type synonim insead of Haskell's newtypes,
in order to avoid clutter in our proofs:

type Iterator a = () -> IO a
```

```
fmap :: (a -> b) -> Iterator a -> Iterator b
       fmap f ia = \() \rightarrow ia \() \rightarrow return . f
10
11
       identity:
12
              fmap id
13
                -- eta abstraction
14
           = \ia -> fmap id ia
15
                -- definition of fmap
16
           = \ia -> \() -> ia () >>= return . id
17
                -- application of id
18
           = \ia -> \() -> ia () >>= return
                -- IO monad right identity*
20
           = \ia -> \() -> ia ()
21
                -- eta reduction
22
           = \ia -> ia
23
                -- definition of
24
           = id
25
26
       composition:
27
              (fmap p) . (fmap q)
28
                -- eta abstraction
29
           = ia \rightarrow ((fmap p) . (fmap q)) ia
30
                -- definition of (.)*
31
           = \ia -> fmap p (fmap q ia)
                -- definition of fmap q
           = \ia -> fmap p (\() -> ia () >>= return . q)
                -- definition of fmap p
35
           = \ia -> \() -> (\() -> ia () >>= return . q) () >>= return . p
36
                -- eta reduction inner lambda
37
           = \ia -> \() -> ia () >>= return . q >>= return . p
38
                -- eta abstraction
39
           = \ia -> \() -> ia () >>= \a -> (return . q) a >>= return . p
40
                -- definition of (.)
           = \ia -> \() -> ia () >>= \a -> return (q a) >>= return . p
42
                -- IO monad left identity*
43
           = \ia -> \() -> ia () >>= \a -> (return . p) (q a)
44
                -- definition of (.)
45
           = \ia -> \() -> ia () >>= \a -> (return . p . q) a
46
```

```
-- definition of (.)
47
            = \ia -> \() -> ia () >>= \a -> return ((p . q) a)
48
                 -- definition of fmap
49
            =  \ia ->  fmap (p . q) ia
                 -- eta reduction
            = fmap (p . q)
52
53
        * monad right identity:
            m >>= return = m
55
          monad left identity:
57
            return a >>= f = f a
59
          defintion of (.):
60
            (.) :: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow a \rightarrow c
61
            (f \cdot g) a = f (g a)
62
   _}
63
64
   newtype Iterator a = Iterator { moveNext :: () -> IO a }
66
   instance Functor Iterator where
        fmap f ia = Iterator $ \(() -> liftM f (moveNext ia ())
68
69
   {_
        type Iterable a = () -> IO (Iterator a)
71
        fmap :: (a -> b) -> Iterable a -> Iterable b
73
        fmap f iia = \() -> iia () >>= return . fmap f
74
75
        identity:
76
              fmap id
77
                 -- eta abstraction
            = \iia -> fmap id iia
                 -- definition of fmap
            = \iia -> \() -> iia () >>= return . fmap id
81
                 -- Iterator identity law
82
            = \iia -> \() -> iia () >>= return . id
83
                 -- application of id
```

```
= \iia -> \() -> iia () >>= return
85
                -- IO monad right identity
86
            = \iia -> \() -> iia ()
87
                -- eta reduction
88
            = \iia -> iia
                -- definition of id
90
            = id
91
92
        composition:
93
              (fmap p) . (fmap q)
94
                -- eta abstraction
            = \iia -> ((fmap p) . (fmap q)) iia
                -- definition of (.)
97
            = \iia -> fmap p (fmap q iia)
98
                -- definition of fmap
99
            = \iia -> fmap p (\() -> iia () >>= return . fmap q)
100
                -- definition of fmap
101
            = \iia -> \() -> (\() -> iia () >>= return . fmap q) () >>= return . fmap p
102
                -- eta reduction
103
            = \iia -> \() -> iia () >>= return . fmap q >>= return . fmap p
104
                -- eta abstraction
105
            = \iia -> \() -> iia () >>= \ia -> (return . fmap q) ia >>= return . fmap p
106
                -- definition of (.)
107
            = \iia -> \() -> iia () >>= \ia -> return (fmap q ia) >>= return . fmap p
108
                -- IO monad left identity
109
            = \iia -> \() -> iia () >>= \ia -> (return . fmap p) (fmap q ia)
110
                -- definition of (.)
111
            = \iia -> \() -> iia () >>= \ia -> (return . fmap p . fmap q) ia
112
                -- eta reduction
113
            = \iia -> \() -> iia () >>= return . fmap p . fmap q
114
                -- Iterator composition law
115
            = \iia -> \() -> iia () >>= return . fmap (p . q)
116
                -- definiton of fmap
            = \pi - \sinh (p \cdot q) iia
118
                -- eta reduction
119
            = fmap (p.q)
120
121
```

```
newtype Iterable a = Iterable { getIterator :: () -> IO (Iterator a) }

124

125 instance Functor Iterable where

126 fmap f iia = Iterable $ \() -> liftM (fmap f) (getIterator iia ())
```

For the sake of completeness, it is worth mentioning that Iterable is, among others, also an instance of Applicative Functor and Monad. Although certainly interesting from a theoretical perspective, showing these instances and proving the associated laws goes beyond the scope of this work. Nontheless, we will see in the next section how these concepts are relevant in expressing and motivating the duality between Iterables and Observables.

#### 2.3 Applying Duality

By now, the reader should be somehow familiar with the concept of duality, as it has appeared many times throughout our discussion in concepts such as pull and push models of computation or interactive and reactive programs. Duality is, in fact, a very important general theme that has manifestations in almost every area of mathematics [10] (See Appendice A for an introductory discussion on the topic).

Starting from the fact that the Iterable interface embodies the idea of interactive programming, let's use the principle of duality to derive the Observable interface and see how it relates to the concept of reactive programming. In practice, this translates to the simple task of flipping the function arrows in the Iterable interface, taking us from a function resulting in a value of type a to one accepting an a.

Note how the side effects are bound to function application rather than values, hence their flipped position in the Observable type.

The newly derived types are relatively easy to read and understand: Observer is simply a function that, given a value of type a will handle it somehow, producing side effects; the Observable, on the other hand, is responsible for producing such values of type a and feeding them to the Observer it has been given as an argument.

In the previous section we have discussed many properties associated with Iterable s. Let's analyze now how these properties translate under dualisation and how they affect our new derived interface, the Observable.

First, moving from the observation that an Iterable is a getter of a getter, we can observe that the Observable plays exactly the opposite role, that is, a setter of a setter. The type Observer :: a -> IO () represents, in fact, the essence of a setter function, whereas the Observable consists in nothing more than the simple task of applying the observer function to itself, producing a setter of setters.

While the discussion about Iterable 's covariance was quite intuitive, things get a little bit more complicated when analyzing Observable s. Referring back to our previous example involving cokes and drinks, we can now think of the Observer as a a recycling machine:

```
Coke <: Drink
RecyclingM Drink <: RecyclingM Coke
```

Our intuition tells us that this time, a recycling machine that can only handle coke cannot be used in place of one that needs to handle any type of drinks, as it would fail at its task whenever a drink that is not a coke is fed into it. On the other hand, a recycling machine that works for any type of drink can be safely used in place of one that needs to handle cokes. This intuition bounds <code>Observer</code> and its base type <code>a</code> by a contravariant relation:

A more theoretical take on the matter involves the notion of type's positivity and negativity: we can interpret a function of type  $f: a \rightarrow b$  as a way for us to produce a value of type b. In this context, b is considered to be positive with respect to the type  $a \rightarrow b$ . On the other hand, in order to apply the function, we are going to need a value of type a, which we will need to get from somewhere else; a is therefore considered to be negative w.r.t. the function type, as the function introduces a need for this value in order to produce a result. The point of this distinction is that positive type variables introduce a covariant relation between base and function type whereas negative type variables introduce a contravariant relation.

Analyzing Iterable within this framework is easy, the Iterator function contains a single type parameter found in a positive position, therefore resulting in a covariant relation; being the Iterable the result of applying the Iterator function to itself, we again result in a covariant relation w.r.t. the type parameter a .

The Observer function, on the contrary, introduces a need for a value of type a, resulting in a contravariant relation w.r.t. a . Again, the Observable function is the result of applying Observer to itself; surprisingly, this results in a being in a positive position. The intuition is easily understood by thinking about the rules of arithmetic multiplication: a is in negative position w.r.t. the Observer function, whereas the Observer is in negative position w.r.t. the Observable . This leads to a being negated twice, ultimately resulting in a positive position within the Observable function.

```
15 :: (a \rightarrow ()) \rightarrow ()

16 = -(-a \rightarrow ()) \rightarrow ()

17 = (+a \rightarrow ()) \rightarrow ()
```

Before we formalize this claim, let's convince ourselves that Observable s effectively produces a value of type a by looking at an example:

```
randomValueObs :: Observable Int
randomValueObs = Observable $ \observer -> do
int <- randomRIO (1, 10)
observer int</pre>
```

It is clear from this implementation that randomValueObs indeed produces a value of type Int, whereas the Observer introduces a need for such value in order to be applied. For more details on the positivity and negativity of functions and type variables, see [22] [11].

Just as we did with Iterable/Iterator, we can formally prove the covariant and contravariant relations between Observable/Observer and their base type a by instantiating them to Functor and Contravariant (Functor) respectively, as well as proving the laws.

```
import Data.Functor.Contravariant
      2
                            {-
                                                                      type Observer a = a -> IO ()
      5
                                                                      contramap :: (a -> b) -> Observer b -> Observer a
      6
                                                                      contramap f ob = ob . f
      7
      8
                                                                      identity:
      9
                                                                                                                                 contramap id
10
                                                                                                             = \ob -> contramap id ob
                                                                                                             = \ob -> ob . id
                                                                                                             = \odorsep \odorsep
13
                                                                                                             = id
14
```

```
15
       composition:
16
              (contramap p) . (contramap q)
17
           = \ob -> ((contramap p) . (contramap q)) ob
           = \ob -> contramap p (contramap q ob)
           = \ob -> contramap p (ob . q)
20
           = \ob -> (ob . q) . p
21
           = \ob -> ob . (q . p)
22
           = \ob -> contramap (q . p) ob
23
           = contramap (q . p)
   -}
26
   newtype Observer
                       a = Observer
                                       { onNext :: a -> IO () }
28
   instance Contravariant Observer where
29
       contramap f ob = Observer $ onNext ob . f
30
31
   {-
32
       type Observable a = Observer a -> IO ()
       fmap :: (a -> b) -> Observable a -> Observable b
35
       fmap f ooa = \ob -> ooa (contramap f ob)
36
37
       identity:
38
             fmap id
           = \ooa -> fmap id ooa
40
           = \ooa -> \ob -> ooa (contramap id ob)
41
           = \ooa -> \ob -> ooa ob
42
           = \ooa -> ooa
43
           = id
44
45
       composition:
46
              fmap p . fmap q
           = \ -> \ (fmap p . fmap q) \ ooa
48
           = \ooa -> fmap p (fmap q ooa)
49
           = \ooa -> fmap p (\ob -> ooa (contramap q ob))
50
           = \ooa -> \oc -> (\ob -> ooa (contramap q ob)) (contramap p oc)
51
           = \ooa -> \oc -> ooa (contramap q (contramap p oc))
```

```
= \ooa -> \oc -> ooa ((contramap q . contramap p)
53
           = \ooa -> \oc -> ooa (contramap (p . q) oc)
54
           = \log -> fmap (p. q) ooa
55
           = fmap (p . q)
56
   -}
57
58
  newtype Observable a = Observable { subscribe :: Observer a -> IO () }
59
60
   instance Functor Observable where
61
62
       fmap f ooa = Observable $ subscribe ooa . contramap f
```

The reader acquainted with functional programming will easily see the resemblance between the Observable type and a CPS function (See Appendice A).

```
cont :: (a -> r ) -> r
cont :: (a -> IO ()) -> IO ()
```

It is clear how an <code>Observable</code> is nothing more than a special case of a CPS function where the result type <code>r</code> is instantiated to <code>IO</code> () . To convince ourselves of this equivalence, let's think about the definition of a CPS function, i.e. a suspended computation which, given another function - the continuation - as argument, will produce its final result. This definition suits perfectly the idea behind <code>Observable</code> discussed in Section 1.3.1: a function which will do nothing - i.e. is suspended - until it is subscribed to by an <code>Observer</code> .

A continuation, on the other hand, represents the future of the computation, a function from an intermediate result to the final result  $^{[19]}$ ; in the context of <code>Observable</code> s, the continuation represents the <code>Observer</code>, a function specifying what will happen to a value produced by the <code>Observable</code>, whenever it will become available, that is, whenever it will be pushed into the <code>Observer</code>. Since a continuation can be called multiple times within the surrounding CPS context, it is easy to see how this mathematical concept allows us to deal with multiple values produced at different times in the future.

We can prove our claim by implementing the Observable interface using Haskell's Continuation Monad Transformer and observing how the unwrapping function runContT effectively hands us back our original type:

```
1  newtype ContT r m a :: * -> (* -> *) -> * -> *
2  runContT :: ContT r m a -> (a -> m r) -> m r
3
4  type Observable a = ContT () IO a
5  runContT :: ContT () IO a -> (a -> IO ()) -> IO ()
```

This equivalence is very important as it allows us to claim an instance of Applicative Functor and Monad for our derived type, <code>Observable</code> . These instances are inherited for free from the continuation monad, sparing us the burden of implementing them and proving all related laws.

```
instance Applicative (ContT r m) where
pure x = ContT ($ x)
f <*> v = ContT $ \c -> runContT f $ \g -> runContT v (c . g)

instance Monad (ContT r m) where
return x = ContT ($ x)
m >>= k = ContT $ \c -> runContT m (\x -> runContT (k x) c)
```

#### 2.4 Termination and Error Handling

We began this chapter by progressively simplifying the Iterable 's interface in order to derive a type that would theoretically represent its very essence. One of the most important steps was setting aside concerns regarding termination an error handling of a collection. We are now going to reshape our reactive interfaces in order to address these concerns and appropriately describe the potential side effects directly in the types.

Informally, an Observable stream might not only produce one or more values, but it might gracefully terminate at a certain point in time or throw an exception and abruptly terminate whilst processing values. A more appropriate type for Observer is then the following:

```
newtype Observer a = Observer
for onNext :: Either SomeException (Maybe a) -> IO ()
}
```

Just as with Iterable, the introduction of Either SomeException allows us to express that the Observer can handle unexpected exceptions, while the Maybe reflects the possibility for a stream to end and propagate no more values.

Unfortunately, this type is very hard to read as well as understand for someone new to the topic. Looking at the matter from a functionality point of view, what we really need is for our CPS function - i.e. the <code>Observable</code> - to accept two additional continuations, one dealing with completion and one with exceptions. We can achieve this by first noticing that our type is nothing more than a coproduct - the same that we introduced previously for <code>Iterable</code> - of three base types: <code>a + SomeException + ()</code> . By utilizing the notion of product - the dual of coproduct - we can split the function handling the initial type into three different ones. This brings us to the final version of our reactive interfaces for push-base collections<sup>1</sup>

The Observable is now a special version of a CPS function accepting three continuation functions - embedded inside the Observer -, one for each effect an Observable can propagate: value, termination or exception.

#### 2.5 Formalizing Observables

In section 2.3 we have shown how the essential type for <code>Observable</code> is effectively nothing more than a particular instance of the continuation monad. In this section we are going to explore this relation in further detail, introducing a notation which will help us keep track of the changes we will

 $<sup>^{1}</sup>$ The two definitions are equivalent also from an implementation point of view, the first simulating the second though the use of pattern matching.

make to the original Observable type, ultimately showing how the resulting interface - that will be used in our final library - will consist in nothing more than a modified version of a CPS function.

We are going to start from the notion that Observable is, at its essence, nothing more than a setter of setters, the result of applying the Observer function to itself. We can than express the Observer as a function (!) <sup>2</sup> that negates its type argument and results in a side-effectful computation.

```
1 !a :: a -> IO ()
```

When we apply the function to itself - i.e. substitute a for !a - we obtain our first definition of Observable, a CPS function that instantiates the result to IO ().

```
1 !!a :: (a -> IO ()) -> IO ()
```

As we have seen in the previous section, this definition is not expressive enough when we want to make explicit all the effects that are involved when dealing with push-base collections. It is therefore necessary to deviate from the standard definition of continuation and replace the inner application of (!) with a new function (?), whose type embed the involved effects:

```
?a :: Either Error (Maybe a) -> IO () -- termiantion and error handling
2 !?a :: (Either Error (Maybe a) -> IO ()) -> IO ()
```

Note how this definition is equivalent to the one used in the previous section, where we used the notion of products to unwrap the (?) function into three different continuation, each addressing one of the possible effects.

In the next chapter we are going to further modify this definition with the inclusion of a cancellation mechanism.

This newer version of Observable is still implementable as an instance of the continuation monad, as the code below shows.

<sup>&</sup>lt;sup>2</sup>Regard the code used in this explaination as pseudo-Haskell.

```
Event a = Either SomeException (Maybe a)
   data Event a = OnNext a | OnError SomeException | OnCompleted
       deriving Show
   type Observer
                    a = Event a \rightarrow IO ()
9
   type Observable a = ContT () IO (Event a)
10
11
  newObservable :: (Observer a -> IO ()) -> Observable a
12
   newObservable = ContT
13
14
   subscribe :: Observable a -> Observer a -> IO ()
   subscribe = runContT
```

The code above uses a slightly different approach to expressing the three types of side effects an Observer has to deal with. Insead of using Either and Maybe from Haskell's libraries, we utilize our own custom datatype Event, a coproduct of values of type a + SomeException + (); although the two definitions are equivalent in every aspect, the adopted one offers more clarity in terms of code readability.

At this point we have all the necessary tools to create and run an Observable.

```
obs = newObservable $ \observer ->
18
       do observer (OnNext 1)
19
           observer (OnNext 2)
20
           observer OnCompleted
21
22
  main :: IO ()
23
   main = subscribe obs print
25
   {-
26
   output>
27
       OnNext 1
       OnNext 1
29
       OnCompleted
30
  -}
31
```

Notice how, being a CPS function, an Observable only pushes values once subscribed to and acts as a suspended computation otherwise.

The code above, being a toy example, fails to show some fundamental properties associated with this new interface; in particular, it fails to show how Observable s can actually handle asynchronous sources of data. The following snippet of code contains a more realistic and meaningful example of an Observable handling keyboard presses, asynchronous events by nature: whenever the user presses a key, an event containing the corresponding character is propagated to the Observer and will eventually be printed on the command line. It is worth noticing how our basic implementation of Observable based on continuations works just as well as a full blown one in terms of its core capability of handling asynchronous data.

```
obs :: Observable Char
   obs = newObservable $ \observer -> do
18
       keyboardCallback $= Just (\c p -> observer (OnNext c))
19
20
   display :: DisplayCallback
21
22
   display = do
     clear [ ColorBuffer ]
     flush
25
   main :: IO ()
26
   main = do
27
     (_progName, _args) <- getArgsAndInitialize
28
     _window <- createWindow "Observable Keyboard"
29
     subscribe obs (print . show)
30
     displayCallback $= display
31
     mainLoop
32
```

This example brings us to the following observation: Observable s are capable of handling asynchronous data sources, yet the means by which the data is handled are not asynchronous by default. This is a common misconception and source of much confusion among the community: the Observable interface is not opinionated w.r.t. concurrency and therefore, by default, synchronously handles it's incoming data, blocking the next incoming events whilst processing the current one. This

behavior is not fixed though: as we will see in Chapter 3, it is possible to make use of Scheduler s to orthogonally introduce concurrency in our reactive systems, altering the control flow of the data processing allowing the user to dispatch the work to other threads.

At this point in the discussion we have arrived to a working implementation of a push based collection purely derived from mathematical and categorical concepts such as duality and continuations. In spite of being very insightful for theoretical discussions on the properties and relations of Observable and the continuation monad, this implementation of the reactive types is impractical in the context of a full fledged API. In the next Chapter we will take the necessary steps to build the bridge between theory and practice, providing a reference implementation of Observable more adapt to be utilized in real world applications.

For a full implementation of a Reactive Library based on the ideas presented in this section, aimed at highlighting the strong connection between <code>Observable</code> and other already existing functional structures from which it composes, see Appendice B.

# CHAPTER

# OUT OF THE RABBIT HOLE: Towards a usable API

"In theory there is no difference between theory and practice; in practice there is."

— Nassim Nicholas Taleb, Antifragile - Things that Gain From Disorder

So far we focused our analysis on the essence of the Observable interface, setting aside the many operational concerns that would come up when trying to implement these concepts into a usable, commercial API. In this chapter we are going to build the bridge between our theoretical definition of Observable and a concrete and usable implementation of a reactive library, to which we will refer to as Rx.

The goal of this chapter is to provide the reader with a reference implementation of a reactive library as well as to highlight the challenges and issues that emerge when trying to build the bridge between theory and practice. The implementation choices presented below are in no way prescriptive, instead, they aim to describe the problem in the most clear way, in order to stimulate awareness rather than blindly guide the reader to a solution.

In the remainder of the discussion, we are going to introduce the *Reactive Contract*, a set of assumptions on the reactive types our library is going to build upon, *Schedulers*, which will allow us to bring concurrency into our reactive equation, *Subscriptions*, used to implement a mechanism for

Say something about why I chose to go with the RxJava style of implementation? premature stream cancellation and finally, *Operators*, the means with which we will make our reactive streams composable.

For the sake of clarity and completeness, the following set of interfaces represents the starting point for our discussion:<sup>1</sup>

```
newtype Observable a = Observable
{    _subscribe :: Observer a -> IO ()
}
data Observer a = Observer
fonNext :: a -> IO ()
nonError :: SomeException -> IO ()
nonCompleted :: IO ()
}
```

It is worth noting that even though the <code>Observable</code> 's theoretical foundations lie in the realm of functional programming, the road to making it usable is full of obstacles that are often better tackled using imperative programming features, such as state. As much as I personally prefer a functional and pure approach to programming, I will favor, in the rest of the discussion, the solution that most clearly and easily solves the problem, be that functional or imperative.

#### 3.1 The Reactive Contract

The Observable and Observer interfaces are somewhat limited, in they expressive power, to only argument and return types of they functions. The reactive library we are going to build is going to make more assumptions than the ones expressible by the type system. Although limiting, in a sense, the freedom with which the reactive interfaces can be utilized, this set of assumptions - the *Contract* - greatly facilitates reasoning about and proving correctness of reactive programs <sup>[2]</sup>.

In later sections, we will refer back to these assumptions when discussing the actual implementation of our reactive library.

#### 3.1.1 Reactive Grammar

The first assumption we are going to introduce involves restrictions on the emission protocol of an Observable . Events propagated to the Observer continuation will obey the following regular

<sup>1</sup> subscribe has been renamed to \_subscribe in order to avoid naming conflicts later on in the discussion and reflect the fact that it should not be used directly by the user.

expression:

onNext\* (onError | onCompleted)?

This grammar allows streams to propagate any number - 0 or more - of events through the onNext function, optionally followed by a message indicating termination, be that natural - through onCompleted - or due to a failure - through onError . Note how the optional nature of a termination message allows for the existence of infinite streams.

This assumption is of paramount importance as it guarantees that no events can follow a termination message, allowing the consumer to effectively determine when it is safe to perform resource cleanup operations.

#### 3.1.2 Serialized Messages

Later in this chapter we will see how we can introduce concurrency in our reactive library through the use of the Scheduler interface. From a practical point of view, this means that it will be possible for different messages, to arrive to an Observer from different execution contexts. If all Observer instances would have to deal with this scenario, the code in our library would soon become cluttered with concurrency-related housekeeping, making it harder to maintain and reason about.

For this reason, we assume that messages will always arrive in a serialized fashion. As a consequence, operators that deal with events from different execution contexts - e.g. combiner operators - are required to internally perform serialization.

#### 3.1.3 Best Effort Cancellation

The next assumption involves premature stream cancellation via Subscription's and the function unsubscribe, used in order to stop the observation of events from an Observable; we are going to assume that whenever unsubscribe is invoked, the library will make a best effort attempt to stop all the ongoing work happening in the background. The reason is simple: it is not always safe to abort work that is being processed - e.g. database writes. Although the library might still complete the execution of pending work, its results are guaranteed not to be propagated to any Observer that was previously unsubscribed.

#### 3.1.4 Resource Cleanup After Termination

As we mentioned in assumption 3.1.1, the guarantee that no events will occur after the first termination message makes it possible to determine when resource cleanup operations are safe to perform. We will now make one step further and assume that resources *will* be cleaned up immediately after termination. This will make sure that any related side-effect will occur in a predictable manner.

#### 3.1.5 A note on the Reactive Contract

does the reactive contract even make sense? for example, why do we stop on onError? there could be a version of RX which doesn't. Would that make sense? Not sure whether to include this section or not yet.

## 3.2 Concurrency with Schedulers

At the end of Section 2.5 we discussed how Observable s, by default, handle data by means of a synchronous pipeline, blocking the processing of successive elements via the call stack. It is worth mentioning again how this synchronous processing does not affect the ability of Observable s to handle asynchronous data.

However, this synchronous behavior might not always be the best solution, especially in real world applications, where we might want to have a thread dedicated to listening to incoming events and one which processes them. Enter the Scheduler interface, an orthogonal [20] structure w.r.t. Observable which allows us to introduce concurrency into our reactive equation.

Scheduler's allow us to to alter the control flow of the data processing within an observable expression, introducing a way to dispatch the work of any number of operators to be executed within the specified context, e.g. a new thread.

The Scheduler interface looks like the following <sup>2</sup>.

Scheduler's expose two functions which are essentially equal, modulo arbitrary delays in time. Both of these functions take an IO action as input and dispatch it to the appropriate execution context, producing a side effect.

To better understand Scheduler s, let us present the implementation of one of them, the newThread scheduler, which allows us to dispatch actions to a new, dedicated thread.

<sup>&</sup>lt;sup>2</sup>The interface presented in this section is the result of a simplification of the actual one, which involves Subscription s. We will discuss the impact of Subscription s on Schedulers in the next section; suffices to know that the version presented here has no negative effects w.r.t the generality of our discussion.

```
newThread :: IO Scheduler
   newThread = do
       ch <- newTChanIO
38
       tid <- forkIO $ forever $ do
39
           join $ atomically $ readTChan ch
           yield
41
       return $ Scheduler (schedule ch) (scheduleD ch)
42
           where
43
                schedule ch io =
44
                    atomically $ writeTChan ch io
45
                scheduleD ch io d = do
46
                    threadDelay $ toMicroSeconds d
47
                    schedule ch io
48
```

The newThread function gives us a side effectful way of creating a Scheduler by generating a new execution context - i.e. a new thread - and setting up the necessary tools for safe communication with it. The Scheduler functions we are provided, on the other hand, simply write the input IO action to the channel and return, effectively dispatching the execution of those actions to the new thread.

Up to this point we haven't mentioned Observable s at all. This is the reason why we previously claimed that Scheduler and Observable are connected by an orthogonal relationship: the two interfaces are independent from one another, yet, when used together within an observable expression, they provide the user with greater expressive power w.r.t. concurrency.

The only thing missing now is a way for us to combine the functionality of these two interfaces: observeOn and subscribeOn are the operators that will aid us on this task. The former will allow us to dispatch any call to an observer continuation on to the specified execution context, whereas the latter will allow us to control the concurrency of the Observable subscribe function.

For the sake of completeness and understandability, the following snippet contains a simple implementation of the <code>observeOn</code> operator together with a sample usage.

```
observeOn :: Observable a -> IO Scheduler -> Observable a
observeOn o sched = Observable $ \obr -> do
s <- sched
subscribe o (f s obr)
```

```
where
54
                f s downstream = Observer
55
                         onNext
                                     = void . _schedule s . onNext downstream
56
                                     = void . _schedule s . onError downstream
                         onError
57
                         onCompleted = void . _schedule s $ onCompleted downstream
58
                    }
59
60
   obs = Observable $ \obr ->
       do onNext obr 1
62
          onNext obr 2
63
          onNext obr 3
          onCompleted obr
   obr :: Observer Int
67
   obr = Observer on oe oc
68
       where
69
           on v = do
70
71
                tid <- myThreadId
                print (show tid ++ ": " ++ show v)
72
           oe = print . show
73
           oc = print "Completed"
74
75
   main :: IO ()
76
   main = do
       hSetBuffering stdout LineBuffering
78
       subscribe obs' obr
79
       tid <- myThreadId
80
       putStrLn $ "MainThreadId: " ++ show tid
81
       where
82
           obs' = obs 'rxmap' (+1) 'observeOn' newThread 'rxmap' (+10)
83
           rxmap = flip fmap
84
85
   {-
   output>
87
       ThreadId 2: 12
88
       ThreadId 2: 13
89
       ThreadId 2: 14
90
       Completed
```

```
92 MainThreadId: 1
93 -}
```

#### 3.2.1 A Note on the Concept of Time

Our discussion on push-based collections so far has not once mentioned the concept of time. This might appear strange, especially to the reader familiar with Functional Reactive Programming, where functions over continuous time are at the foundations of the theory. As we mentioned in Section 1.3.3, this dependency on continuous time comes at a great cost: commercial FRP libraries fail to successfully implement the concepts found in the theory as they cannot avoid simulating continuous time and approximating functions operating over it, being this concept inherently discrete in the context of computers.

Rx, on the other hand, completely sheds the notion of time from the notion of reactivity<sup>[15]</sup>, shifting its focus, with the help of Scheduler s, to concurrency instead. Time still plays a role, although indirect, within the library: events are processed in the order they happen, and operators make sure such order is maintained, ultimately handing over to the user a stream of time-ordered events.

#### 3.2.2 A Note on Orthogonality

Previously we discussed how concurrency is an orthogonal concept w.r.t. Rx - i.e. introducing concurrency does not affect or pollute the definition of our reactive interfaces. This statement is only true from a abstract point of view, falling short of its promises when looking from an implementation perspective, in particular, when dealing with combiner operators (see Section 3.4) such as (>=) or combineLatest. These operators will not work at their full potential in a synchronous setting, due to the fact that subscribing to a stream will consume it entirely - or forever process, in the case of an infinite stream - before allowing the operator to subscribe to a different one, effectively making interleaving of events impossible.

The problem is gracefully solved with the introduction of Scheduler s, which, by allowing for Observable s to be executed on different contexts, indirectly make it possible for interleaving to happen and for combiner operators to work at their full potential. This comes at a cost: combiners operators are required to perform message serialization (see assumption 3.1.2) as well as internal state synchronization as, with the introduction of concurrency, messages and state changes can now originate from different execution contexts.

# 3.3 Subscriptions

With schedulers, we are now able to handle observable streams from different execution contexts. The next step in making Rx ready for a production environment is to add a mechanism that will allow us to stop a stream from anywhere in our program, whenever we don't require it's data anymore - i.e. a mechanism that will allow the user to communicate to the Observable that one of it's Observer s is no longer interested in receiving its events.

#### Is the following clear?

We discussed in the previous section how schedulers effectively boost the expressive power of our reactive expressions by introducing concurrency and interleaving among events originating from different streams. Introducing a cancellation mechanism, on the other hand, is a purely practical concern: although very useful from a practical perspective, especially in the context of resource management, it doesn't impact expressive power from a *reactive* point of view.

The means by which we are going to introduce a cancellation mechanism inside our reactive equation is though the <code>Subscription</code> datatype. From a functionality point of view, what we are aiming for is for the <code>\_subscribe</code> function to hand back a <code>Subscription</code> whenever invoked; users will later be able to use this <code>Subscription</code> in order to prematurely cease the observation of a stream. This design is closely related to Dispose pattern utilized in the .NET framework <sup>[5]</sup>.

The first step in designing a new feature is to understand how the already existing interfaces will be affected by the newly introduced one; starting from our informal definition of Observable from section 2.5, let's now define a new function (%), which incorporates the notion of returning a Subscription and see how this is going to affect our types:

```
1 %a :: a -> IO Subscription
2 %?a :: (Either SomeException (Maybe a) -> IO ()) -> IO Subscription
```

With this change, each execution of the Observable function now returns a Subscription, a means for the user to prematurely terminate the processing of the stream.

The next question is the following: to whom does a Subscription belong to? The key observation in addressing this question is that an Observable can be subscribed to by multiple Observer s; our goal is to provide a mechanism that will allow for a fine-grained control over which Observer is supposed to stop receiving events. The answer is then straightforward: the notion of subscription is tight to that of observer. The following snippet reflects this observation:

```
1 $a :: (Subscription, Either SomeException (Maybe a) -> IO ())
2 %$a :: (Subscription, Either SomeException (Maybe a) -> IO ())
3 -> IO Subscription
```

Let's quickly summarize what we have discussed so far: a subscription is some object which will allow us to prematurely stop observing a specific stream; since any stream can be subscribed to by multiple observers, we need to associate subscriptions to observers as opposed to observables. Lastly, a subscription is returned every time an observer is subscribed to a stream through the \_subscribe function. The following modifications to our reactive interfaces reflect these ideas:

```
newtype Observable a = Observable
       { _subscribe :: Observer a -> IO Subscription
14
       }
15
   data Observer a = Observer
       { onNext
                      :: a -> IO ()
                      :: SomeException -> IO ()
       , onError
       , onCompleted :: IO ()
19
         subscription :: Subscription
20
       }
21
```

So far we have talked a lot about Subscription s, yet we haven't clarified what the type really looks like. The general idea is to have Subscription record the state of the Observer w.r.t. the Observable it is subscribed to - be that subscribed or unsubscribed. This can be easily achieved with a variable \_isUnsubscribed :: IORef Bool initialized to False, indicating that the associated Observer is initially not unsubscribed.

From a practical point of view, it is useful to augment Subscription with some additional functionality. The following code shows a definition of Subscription which incorporates an IO () action to be executed at unsubscription time. This is particularly useful when we want to associate resource cleanup actions to the termination - be that forced or natural - of a stream observation. Additionally, it is useful to make the type recursive, allowing Subscription s to contain other values of the same type. This will be extremely useful for internal coordination of operators such as ( $\gg$ =) :: Monad m => m a -> (a -> m b) -> m b , where each input value will spawn and subscribe a new Observable , whose subscription should be linked to the original one. Section 3.4 will extensively discuss this matter.

```
data Subscription = Subscription

{ _isUnsubscribed :: IORef Bool

, onUnsubscribe :: IO ()

, subscriptions :: IORef [Subscription]
}
```

It's now time to introduce the two functions at the hearth of the whole cancellation mechanism: unsubscribe will take care of modifying the state carried by the Subscription - i.e. setting \_isUnsubscribed to True - as well as execute the associated IO () action, whereas subscribe will simply act as a proxy for the original \_subscribe function from the Observable interface.

```
71
   subscribe :: Observable a -> Observer a -> IO Subscription
   subscribe obs obr = _subscribe obs safeObserver
73
       where
74
           safeObserver = Observer safeOn safeOe safeOc s
75
                         = subscription obr
76
                         = ifSubscribed $ onNext obr a
           safeOn a
77
           safeOe e
                         = ifSubscribed $ finally (onError obr e) (unsubscribe s)
78
           safe0c
                         = ifSubscribed $ onCompleted obr >> unsubscribe s
79
           ifSubscribed = (>>=) (isUnsubscribed s) . flip unless
80
81
  unsubscribe :: Subscription -> IO ()
82
   unsubscribe s = do
83
       writeIORef (_isUnsubscribed s) True
84
```

The safeObserver utilized by the subscribe function is of crucial importance to the functionality of our library and its the reason why we need to proxy the original \_subscribe function: its implementation, in fact, embeds two of the reactive contract assumptions introduced previously. The safe onNext/onError/onCompleted functions implement the subscription mechanism, preventing, through the ifSubscribed function, events from propagating to the underlying Observer, once the related Subscription has been unsubscribed. By doing so, it is easy to see how assumption 3.1.3 is satisfied: unsubscribing from a stream does not force the stop of any outstanding work, yet it

is made sure that any result produced after unsubscribing, if any, will not be delivered to the down-stream <code>Observer</code> -i.e. the <code>Observer</code> supplied by the user. Additionally, <code>safeObserver</code> allows the enforcement of the reactive grammar seen in assumption 3.1.1; this is done by calling <code>unsubscribe</code> as soon as the first termination message - be that <code>onError</code> or <code>onCompleted</code> - arrives, effectively preventing any additional event from being propagated.

Note that, with the current implementation of the subscription mechanism, an Observer can only be subscribed once and only to a single Observable, as, once its Subscription is unsubscribed, the \_isUnsubscribed field is never reset to False. This convention is shared by many already existing implementations of reactive libraries such as the onces under the ReactiveX umbrella [21].

Now that we have a clear idea of how the subscription mechanism is supposed to work and how it is integrated into our library, let's take a look at a few observations and concerns that involve it.

#### 3.3.1 Impact on Schedulers

In the previous sections we discussed a simplified version of the Scheduler interface that was glossing, without loss of generality, over details regarding Subscription s. In practice, it is useful to associate Subscription s not only to Observer s but to Scheduler s as well.

With this version of the interface, each scheduled action returns a Subscription, offering fine grained control over the actions to be executed; at the same time, a Subscription is also associated to the Scheduler as a whole, allowing the user to perform cleanup actions on the Scheduler itself once unsubscribe is called. This is best shown with a new example implementation of the newThread scheduler and observeOn operator:

```
join $ atomically $ readTChan ch
105
            yield
106
        sub <- createSubscription (killThread tid)</pre>
107
108
        return $ Scheduler (schedule ch) (scheduleD ch) sub
109
            where
110
                 schedule ch io =
111
                     atomically $ writeTChan ch io
112
                     emptySubscription
113
                 scheduleD ch io d = do
114
                     threadDelay $ toMicroSeconds d
                     schedule_ ch io
116
117
   observeOn :: Observable a -> IO Scheduler -> Observable a
118
   observeOn o schedIO = Observable $ \obr -> do
119
        sched <- schedIO
120
              <- subscription obr
121
        liftIO $ addSubscription sub (subscription sched)
122
        _subscribe o (f s obr)
123
            where
124
                 f s downstream = Observer
125
                         onNext
                                        = void . _schedule sched . onNext downstream
126
                                        = void . _schedule sched . onError downstream
                         onError
127
                         onCompleted = void . _schedule sched $ onCompleted downstream
128
                         subscription = subscription downstream
                     }
130
```

The code is mostly equal to the one presented in section 3.2. The most relevant change can be found at line 107, where we create a subscription for the newThread scheduler with an action that simply kills the thread<sup>3</sup>. On line 122 we then add this subscription to the one carried by the downstream observer. In this way, unsubscribing from the downstream subscription will trigger a waterfall effect that will eventually unsubscribing the scheduler's one as well, effectively killing the thread associated to it.

On a last note regarding the relationship between schedulers and subscriptions, it is worth mentioning how the subscription mechanism only works in the presence of schedulers. As we mentioned before, in fact, Rx is synchronous by default in the processing of its data. This means that the program

<sup>&</sup>lt;sup>3</sup>Absolutely not safe, but it's good enough for the sake of our example.

would return from the invocation of the subscribe function only after it has fully processed the stream, effectively rendering the subscription mechanism ineffective, as it would not be possible to invoke unsubscribe whilst the Observable is active. With the introduction of schedules and different execution contexts, this problem disappears and the mechanism works as intended.

#### 3.3.2 Formalizing Subscriptions

In Section 2.5 we saw how an Observable, at its essence, is nothing more than an instance of the Continuation Monad, where the three types of events that can occur are materialized into a single datatype, Event a, as opposed to being handled by three different continuations.

In the discussion that follows we are going to try and understand what the essence of the subscription mechanism is and how it relates to our formal definition of observable as a continuation.

As we mentioned before, a subscription is strongly tight to the notion of observer, as an observable can be subscribed-to multiple times. Although, we can be more specific than this and notice that a subscription is actually tight to the execution of an observable. These two takes on subscriptions are effectively the same thing: an observer can only be subscribed a single time to a single observable, creating the unique link between the subscription and a single execution of the observable function. This perspective is very insightful, as it hints to the fact that a subscription should be immutable within the context of an observable execution. Another observation is that the subscription needs to be retrievable from an observable for a number of reasons, the most important of which being to check whether the subscriber is unsubscribed before pushing any additional events.

The properties of subscription that we just discussed are very similar to the idea of environment variables, shared by computations yet immutable in their nature. The Haskell programming language exposes a monad construct for such computations, the Reader - Environment - Monad: in the remained of this section, we are going to model the subscription mechanism as a Reader monad transformer on top of our previous definition of observable as a continuation.

```
type Observer a = Event a -> IO ()
type Observable a = ReaderT Subscription (ContT () IO) (Event a)

subscribe :: Observable a -> Observer a -> IO Subscription
subscribe obs obr = do
subscription <- emptySubscription
safeObserver = enforceContract obr
runContT (runReaderT obs s) safeObserver
return s</pre>
```

```
10
11 enforceContract :: Observer a -> Observer a
12 enforceContract obr = ...
```

This formalization is very insightful under many points of view: first of all, in the same way as schedulers, it is completely orthogonal to the definition of observable we previously had: the original definition did not change, yet the mechanism was, in a way, glued on top of it. This observation becomes very clear when looking at line 8 in the above snippet: the <code>subscribe</code> function first runs the reader transformer, resulting in continuation monad that will have the environment variable available within its context. Notice how each call to subscribe will effectively create a new subscription and pair it to the execution of the observable.

A natural question now is: why didn't we use this technique for implementing subscriptions in the "real world" implementation from the previous paragraph? There, we had to change the definitions of our interfaces, losing orthogonality as a consequence. The reason is simply clarity, the interfaces look more clear than reading readerT, especially if we want to use that implementation as a reference for other languages. On the other hand, the goal of this paragraph is focusing on the essence of the subscription mechanism, hence the use of theory-related constructs such as monads.

Notice how this formalization only focuses on augmenting the definition of observables with subscriptions. The actual logic of the mechanism remains unchanged and is abstracted away through the enforceContract function.

As a final point, it is worth noting that what we presented so far is obviously not the only way we can formalize the subscription mechanism. Many other definitions have been tried out during the course of this work, yet all of the others ended up granting too much or too less power to the resulting mechanism and were therefore discarded. Examples include:

```
type Observable a = StateT Subscription (ContT () IO) (Event a)
type Observable a = Cont () (StateT Subscription IO) (Event a)
```

# 3.4 Operators

With schedulers and subscriptions we can handle asynchronous streams of data from different execution context and cease our observation at any point in time. The last feature before we can consider our reactive library ready for use by developers is the introduction of a set of higher order

functions that, given one or more Observable s, will allow access to its underlying elements - providing ways to transform, compose and filter them - while abstracting over the enclosing data structure. These higher order functions, also referred to as operators, are a common technique when it comes to abstractions over data structures; examples can be found in many commonly known programming languages, where data structures such as iterables, lists, trees, sets, ..., offer a wide range of functions - map, filter, flatmap, concat, etc - that allow the user to operate on its internal elements without requiring any knowledge of the structure that contains them.

#### 3.4.1 Functor, Applicative, Monad

Back in section 2.3, we defined the Functor instance for the Observable type, effectively augmenting our reactive type with our first operator, map::(a -> b) -> Observable a -> Observable b, allowing the user to transform streams of elements of type a into streams of elements of type b by applying the input function of type a -> b to each incoming element in the input stream.

The next operator we are going to introduce is <code>lift</code>. This operator takes a function defined on the Observer level and lifts it to a more general context, that of Observable <sup>[12]</sup>. This pattern is very common in the field of Functional Programming, and it is often used in order to provide an abstraction that facilitates accessing values nested inside container structures such as Functors, Applicatives or Monads. In the context of Observables, this function will result very useful as we will be able to define operators on the Observer level and later make them accessible in the context of Observables simply by lifting the operation.

```
23 lift :: (Observer b -> Observer a) -> Observable a -> Observable b
24 lift f ooa = Observable $ \ob -> _subscribe ooa (f ob)
```

As an example, we re-propose here the implementation of the Functor instance for Observable, this time using the lift function:

```
instance Contravariant Observer where
contramap f ob =

Observer (onNext ob . f) (onError ob) (onCompleted ob) (subscription ob)

instance Functor Observable where
fmap f = lift (contramap f)
```

As we discussed in section 2.3, the Observable type, at its essence, is an instance of Applicative Functor and Monad from a cathegory theory perspective. This observation motivates our next effort of trying and defining such instances on the latest version of our interface. We will not bother anymore with proving the associated laws, as it would be a very difficult task, given the complicated nature of the implementation of such functions which now needs to handle subscriptions as well as synchronized state due to schedulers.

Say more about the fact that they dont respect the laws, but just like the try, that is not a monad, they are ok? Meaning try doesnt respect the laws but nobody really cares, it does what it has to do and from an interface perspective it acts as if it was a monad.

```
instance Applicative Observable where
        pure x = Observable $ \obr -> do
            onNext obr x
            onCompleted obr
142
            return $ subscription obr
        (<*>) = combineLatest ($)
    combineLatest :: (a -> b -> r) -> Observable a -> Observable b -> Observable r
146
    combineLatest combiner oa ob = Observable $ \downstream ->
147
        let
148
            onNext_ :: TMVar t -> TMVar s -> (t -> s -> IO ()) -> t -> IO ()
149
            onNext_ refT refS onNextFunc valT = join . atomically $ do
150
                 _ <- tryTakeTMVar refT</pre>
151
                putTMVar refT valT
152
                maybeS <- tryReadTMVar refS</pre>
153
                return . when (isJust maybeS) $ onNextFunc valT (fromJust maybeS)
154
155
            onError_ :: TMVar Bool -> SomeException -> IO ()
156
            onError_ hasError e = join . atomically $ do
157
                hasE <- takeTMVar hasError
158
                putTMVar hasError True
159
                return . when (not hasE) $ onError downstream e
160
161
            onCompleted_ :: TMVar t -> TMVar Bool -> TMVar Int-> IO ()
162
            onCompleted_ refT hasCompleted hasActive = join . atomically $ do
163
                 emptyT <- isEmptyTMVar refT
164
                        <- takeTMVar hasCompleted
165
                active <- takeTMVar hasActive
166
                putTMVar hasCompleted True
167
                putTMVar hasActive (active - 1)
168
```

```
return . when (emptyT && not hasC || active - 1 == 0) $
169
                     onCompleted downstream
170
        in do
171
            active
                          <- newTMVarIO 2
172
            refA
                           <- newEmptyTMVarIO</pre>
173
                           <- newEmptyTMVarIO</pre>
            refB
174
                          <- newTMVarIO False
            hasError
175
            hasCompleted <- newTMVarIO False
176
            let obrA = Observer (onNext_ refA refB (fa downstream))
177
                                  (onError_ hasError)
                                  (onCompleted_ refA hasCompleted active)
                                  (subscription downstream)
180
            let obrB = Observer (onNext_ refB refA (fb downstream))
181
                                  (onError_ hasError)
182
                                  (onCompleted_ refB hasCompleted active)
183
                                  (subscription downstream)
184
            _subscribe ob obrB
185
            _subscribe oa obrA
186
            where
                 fa downstream = (\a b -> onNext downstream (combiner a b))
188
                 fb downstream = (\b a -> onNext downstream (combiner a b))
189
```

The function pure does nothing more than wrapping a value into an <code>Observable</code> whereas <code>(<\*>)</code> applies the most recent function emitted by the first <code>Observable</code> to the most recent element emitted by the second one. The implementation utilizes the more general <code>combineLatest</code> operator, which allows to combine two streams into one by emitting an item whenever either of the two emits one - provided that each of them has emitted at least one.

Last but not least comes the Monad instance for our Observable type:

```
instance Monad Observable where
return = pure
(>>=) = flatMap

flatMap :: Observable a -> (a -> Observable b) -> Observable b
flatMap obs f = Observable $ \downstream ->
```

```
let
197
            onNext_ gate activeRef hasError hasCompleted val = do
198
                 atomically $ modifyTVar activeRef (+1)
199
                 s <- emptySubscription
200
                 let inner = Observer (innerOnNext_)
201
                                         (onError_ hasError)
202
                                        (innerOnCompleted_ s)
203
                                         (s)
204
                 addSubscription (subscription downstream) (subscription inner)
205
                 handle (onError_ hasError) . void $ _subscribe (f val) inner
206
                     where
                          innerOnNext_ v = do
208
                              withMVar gate $ \_ -> onNext downstream v
209
210
                          innerOnCompleted_ s = do
211
                              cond <- atomically $ do
212
                                   c <- readTVar hasCompleted</pre>
213
                                  modifyTVar activeRef (subtract 1)
214
                                   a <- readTVar activeRef
215
                                  return (c && a == 0)
216
                              if cond
217
                                   then onCompleted downstream
218
                                   else removeSubscription (subscription downstream) s
219
220
            onError_ hasError e = do
                 cond <- atomically $ do</pre>
222
                     e <- swapTVar hasError True
223
                     return (not e)
224
                 when cond $ onError downstream e
225
226
            onCompleted_ activeRef hasCompleted = do
227
                 cond <- atomically $ do
228
                     c <- swapTVar hasCompleted True</pre>
229
                     a <- readTVar activeRef
230
                     return (not c && a == 0)
231
                 when cond $ onCompleted downstream
232
233
        in do
```

```
<- newMVar ()
            gate
235
            activeRef
                           <- newTVarIO (0 :: Int)</pre>
236
                           <- newTVarIO False
            hasError
237
            hasCompleted <- newTVarIO False
238
             _subscribe obs $ Observer (onNext_ gate activeRef hasError hasCompleted)
240
                                          (onError_ hasError)
241
                                          (onCompleted_ activeRef hasCompleted)
242
                                          (subscription downstream)
243
```

Note how this implementation if  $(\gg)$  shows the need for the introduction of children subscriptions that we discussed in section 3.3: whenever the outer observable is unsubscribed, we want to automatically unsubscribe any observable that has previously been created by the function passed to  $(\gg)$ .

#### 3.4.2 The Essential Operators

Operators can theoretically be infinite in number, as infinite are the transformations that can be done to elements of an observable stream. Practice shows, though, that a relatively small subset of operators and the composition of these, suffices to express the majority of the use cases encountered by users. Leveraging the power of composability of operators is advantageous towards the design of a simple yet powerful API.

Operators can be grouped into categories by looking at their characteristics; it is not the purpose of this work to list every possible operator and its semantics, yet, for the sake of completeness, table 3.1 presents the most important categories<sup>4</sup> and the associated operators:

Put operator description in the table?

#### 3.4.3 Formalizing Operators

So far we have seen which are the most useful operators and how they aid in making our reactive library more useful from a user perspective. Things get more complicated when we try to analyze them from a theoretical point of view: operators can be viewed as state machines, containing an internal state which is modified whenever an event occurs, following the semantics of the specific operator.

For this reason, trying to formalize them as a functional and pure structure becomes very difficult, resulting in more confusion than clarity. This outcome should not come as a surprise: operators

<sup>&</sup>lt;sup>4</sup>An implementation of these operators can be found at the repository associated with this work,

Table 3.1: The essential operators

Transformation	buffer bufferWithSkip groupBy fmap scan scanLeft sample throttle window
Filtering & Conditional	filter distinctUntilChanged skip skipUntil skipWhile take takeUntil untilTake
Combining	(»=) (<*>) concat startsWith withLatestFrom zip zipWithBuffer
Error Handling	catchOnError onErrorResumeNext retry
Utility	observeOn subscribeOn publish share ofType doOnNext doOnError doOnCompleted toIterable toList

defined on other more common data structures such as lists or trees are state machines as well, usually hiding their state using function parameters:

```
1 take :: Int -> [a] -> [a]
2 take _ [] = []
3 take 0 _ = []
4 take n (x:xs) = x : take (n-1) xs
```

As we can see from this example implementation of take on lists, the internal state of the operator i.e. the number of elements to be taken,  $\, n \,$  - is wired in the definition of the function, eliminating the need for an internal variable as a result.

This type of operator definition works very neatly for any pull-based data structure, where we can define the operators recursively on the structure of the collection. For <code>Observable</code> things become a little more complicated since we are dealing with a push-based collection, were elements are never gathered as a concrete collection in memory, not allowing, as a consequence, any type of structural recursion.

We will discuss in section 3.4.3, Future Work, how Event Calculus might be used as a technique to better define the semantics of operators for push-based collections.

#### Conclusion

"... and the mystery clears gradually away as each new discovery furnishes a step which leads on to the complete truth."

— Sir Arthur Conan Doyle, Sherlock Holmes - The Adventure of the Engineer's Thumb

The main research goal of this work was to analyze and formalize what is commonly referred to as the reactive programming paradigm by means of a theoretical and mathematical approach.

Starting from the intuition that the definitions and properties of interactive and reactive programs are the opposite of one another, we used the categorical concept of duality, as well as other useful constructs borrowed from mathematics, in order to simplify the definition of Iterable to its essential type and use this to derive the Observable type, representing the essence of reactive programs. We later showed how the Observable type corresponds to that of the continuation monad where side effects of its inner workings are made explicit in the type itself.

The second part of this research focused on building a bridge between theory and practice, starting from the derived theoretical definition of <code>Observable</code> and building a reference implementation of a reactive library around it. In this section of the work, we augment the formal definition of <code>Observable</code> with features - subscriptions, schedulers, operators - that would make the type both useful and usable in a production environment, effectively resulting in a reactive library. We try to analyze each of the newly introduced features under both a practical - implementation details and challenges - and theoretical - meaning and impact of the previously derived formal types - point of view, with the purpose of stimulating awareness towards the problem rather than being prescriptive and forcing a specific solution upon the reader.

Ultimately, this research contributes to the field of reactive programming by providing a formal derivation and analysis of the reactive types, a theory-biased implementation of these formal concepts and a production ready reactive library meant as a reference for software engineers interested

in the field.

# **Limitations & Future Work**

- limitations: nobody has done it before, which meant huge literature study in different, but similar fields that might give a hint on how to undertake the challenge. - future work: formalize operators with event calculus, find a theory for schedulers

# Todo list

Finish it!	i
Fix repo organization	3
Talk about FRP, Reactive Streams, Rx and other stuff. CIte survey paper Claim that Observable is dual of Iterator in order to justify the why.	7
Probably put the derivation of Reactive Streams in the appendix, it's not really the focus, I guess	7
Say something about why I chose to go with the RxJava style of implementation?	29
does the reactive contract even make sense? for example, why do we stop on onError? there could be a version of RX which doesn't. Would that make sense? Not sure whether to include this section or not yet.	32
Is the following clear?	36
Say more about the fact that they dont respect the laws, but just like the try, that is not a monad, they are ok? Meaning try doesnt respect the laws but nobody really cares, it does what it has to do and from an interface perspective it acts as if it was a monad	44
Put operator description in the table?	47



### APPENDIX A

egins an appendix

- A.1 Categorical Duality
- A.2 Continuations
- A.3 (Co)Products
- A.4 (Un)Currying
- A.5 (Covariance & Contravariance
- A.6 Functors

APPENDIX

APPENDIX B

B egins an appendix
Formal RX Implementation

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