Homework #2: Brief solutions

***** (about lecture #1) *****

1. (5pts) The definition of unitary operator is that a linear operator \hat{U} is unitary if the inner product $(\hat{U}\phi,\hat{U}\psi)=(\phi,\psi)$ for any states ϕ and ψ . Prove that this condition is equivalent to: $(\hat{U}\psi,\hat{U}\psi)=(\psi,\psi)$ for any state ψ . [Hint: the former condition obviously imply the latter one, try to derive the former condition from the latter one, by assuming an arbitrary linear combination of states]

Solutions:

Consider the inner product $(c_1\phi + c_2\psi, c_1\phi + c_2\psi) = (c_1^*, c_2^*) \begin{pmatrix} \langle \phi | \phi \rangle, & \langle \phi | \psi \rangle \\ \langle \psi | \phi \rangle, & \langle \psi | \psi \rangle \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$, where $c_{1,2}$ are two complex numbers, ϕ, ψ are two quantum states.

According to the latter condition, this equals to $(\hat{U}(c_1\phi + c_2\psi), \hat{U}(c_1\phi + c_2\psi))$ = $(c_1^*, c_2^*) \begin{pmatrix} \langle \hat{U}\phi | \hat{U}\phi \rangle, & \langle \hat{U}\phi | \hat{U}\psi \rangle \\ \langle \hat{U}\psi | \hat{U}\phi \rangle, & \langle \hat{U}\psi | \hat{U}\psi \rangle \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = (c_1^*, c_2^*) \begin{pmatrix} \langle \phi | \phi \rangle, & \langle \hat{U}\phi | \hat{U}\psi \rangle \\ \langle \hat{U}\psi | \hat{U}\phi \rangle, & \langle \psi | \psi \rangle \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}.$

Then we have, $c_1^*c_2\langle\phi|\psi\rangle + c_2^*c_1\langle\psi|\phi\rangle = c_1^*c_2\langle\hat{U}\phi|\hat{U}\psi\rangle + c_2^*c_1\langle\hat{U}\psi|\hat{U}\phi\rangle$.

Choose $c_1 = c_2 = 1$, this condition is, $2 \cdot \text{Re}(\langle \phi | \psi \rangle) = 2 \cdot \text{Re}(\langle \hat{U} \phi | \hat{U} \psi \rangle)$;

choose $c_1 = i, c_2 = 1$, this is, $2 \cdot \text{Im}(\langle \phi | \psi \rangle) = 2 \cdot \text{Im}(\langle \hat{U} \phi | \hat{U} \psi \rangle)$.

Here Re and Im denote the real and imaginary parts of a complex number, respectively. Therefore $\langle \phi | \psi \rangle = \langle \hat{U} \phi | \hat{U} \psi \rangle$.

- 2. \mathcal{H}_1 and \hat{H}_2 are both 2-dimensional Hilbert spaces. \mathcal{H}_1 has complete orthonormal basis $|e_1\rangle$ and $|e_2\rangle$, \mathcal{H}_2 has complete orthonormal basis $|e_1'\rangle$ and $|e_2'\rangle$. In the following we will represent operators in \mathcal{H}_1 and \mathcal{H}_2 as matrices under these basis. Define three nontrivial hermitian operators $\hat{\sigma}_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\hat{\sigma}_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$, $\hat{\sigma}_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, in \mathcal{H}_1 ; and $\hat{\sigma}_1' = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\hat{\sigma}_2' = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$, $\hat{\sigma}_3' = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, in \mathcal{H}_2 .
- (a) (5pts) Consider a state in the 4-dimensional Hilbert space $\mathcal{H}_1 \otimes \mathcal{H}_2$ described by the density matrix $\hat{\rho} = \frac{1}{4}\mathbb{1}_{4\times4} + \frac{1}{8}\hat{\sigma}_3 \otimes \hat{\sigma}_3' + \frac{1}{8}\hat{\sigma}_1 \otimes \hat{\sigma}_1'$, where $\mathbb{1}_{4\times4}$ is the 4×4 identity matrix (identity operator in $\mathcal{H}_1 \otimes \mathcal{H}_2$). Compute the eigenvalues and orthonormal eigenstates

of ρ . [Hint: facts about Pauli matrices in Homework#1 might help]

- (b) (5pts) Check that $\hat{\rho}$ defined in (a) is a legitimate density matrix, namely that it is hermitian, positive semi-definite, and has unity trace. Check that whether $\hat{\rho}$ represents a pure state or not. Compute the von Neumann entropy $S[\hat{\rho}] \equiv -\text{Tr}[\hat{\rho}\log\hat{\rho}]$. [Hint: result of (a) is of course useful]
- (c) (5pts) Consider an observable $\hat{O} = \hat{\sigma}_2 \otimes \hat{\sigma}'_2$ defined on $\mathcal{H}_1 \otimes \mathcal{H}_2$. Measure \hat{O} under the state $\hat{\rho}$ defined in (a). What are the possible measurement results, and their corresponding probabilities? For each possible measurement result, what is the density matrix for the collapsed state after measurement? [Hint: check that $[\hat{\rho}, \hat{O}] = 0$, this fact might help]
- (d) (5pts) Consider an observable $\hat{Q} = \hat{\sigma}_2 \otimes \mathbb{1}_{2\times 2}$ defined on $\mathcal{H}_1 \otimes \mathcal{H}_2$. Here $\mathbb{1}_{2\times 2}$ is the 2×2 identity matrix. Measure \hat{Q} under the state $\hat{\rho}$ defined in (a). What are the possible measurement results, and their corresponding probabilities? For each possible measurement result, what is the density matrix for the collapsed state after measurement?

Solutions:

(a) Under the basis
$$(|e_1 \otimes e_1'\rangle, |e_1 \otimes e_2'\rangle, |e_2 \otimes e_1'\rangle, |e_2 \otimes e_2'\rangle, \hat{\rho}$$
 is
$$\begin{pmatrix} \frac{3}{8} & 0 & 0 & \frac{1}{8} \\ 0 & \frac{1}{8} & \frac{1}{8} & 0 \\ 0 & \frac{1}{8} & \frac{1}{8} & 0 \\ \frac{1}{8} & 0 & 0 & \frac{3}{8} \end{pmatrix}.$$

This is block-diagonalized, similar to Homework #1 Problem 7(a). Rearrange the basis

This is block-diagonalized, similar to Homework #1 Problem 7(a). Rearran into
$$(|e_1 \otimes e_1'\rangle, |e_2 \otimes e_2'\rangle, |e_1 \otimes e_2'\rangle, |e_2 \otimes e_1'\rangle)$$
, this matrix becomes
$$\begin{pmatrix} \frac{3}{8} & \frac{1}{8} & 0 & 0 \\ \frac{1}{8} & \frac{3}{8} & 0 & 0 \\ 0 & 0 & \frac{1}{8} & \frac{1}{8} \\ 0 & 0 & \frac{1}{8} & \frac{1}{8} \end{pmatrix}.$$

The top-left 2×2 block has eigenvalue $\frac{3}{8} + \frac{1}{8} = \frac{1}{2}$ for eigenvector $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$, and eigenvalue

$$\frac{3}{8} - \frac{1}{8} = \frac{1}{4}$$
 for eigenvector $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$.

The bottom-right 2×2 block has eigenvalue $\frac{1}{8} + \frac{1}{8} = \frac{1}{4}$ for eigenvector $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$, and

eigenvalue
$$\frac{1}{8} - \frac{1}{8} = 0$$
 for eigenvector $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$.

The final results are	eigenvalue of $\hat{\rho}$	eigenstate of $\hat{\rho}$
	$\frac{1}{2}$	$\frac{1}{\sqrt{2}}(e_1\otimes e_1'\rangle+ e_2\otimes e_2'\rangle)$
	$\frac{1}{4}$	$\frac{1}{\sqrt{2}}(e_1\otimes e_1'\rangle - e_2\otimes e_2'\rangle)$
	$\frac{1}{4}$	$\frac{1}{\sqrt{2}}(e_1\otimes e_2'\rangle+ e_2\otimes e_1'\rangle)$
	0	$\frac{1}{\sqrt{2}}(e_1\otimes e_2'\rangle - e_2\otimes e_1'\rangle)$

Method #2 for eigenvalues: not rigorous.

The three terms in $\hat{\rho}$ mutually commute, in particular $(\hat{\sigma}_3 \otimes \hat{\sigma}_3') \cdot (\hat{\sigma}_1 \otimes \hat{\sigma}_1') = -\hat{\sigma}_2 \otimes \hat{\sigma}_2' = (\hat{\sigma}_1 \otimes \hat{\sigma}_1') \cdot (\hat{\sigma}_3 \otimes \hat{\sigma}_3')$. So they can have simultaneous eigenstates.

 $(\hat{\sigma}_3 \otimes \hat{\sigma}_3')$ has eigenvalues ± 1 , and $(\hat{\sigma}_1 \otimes \hat{\sigma}_1')$ has eigenvalue ± 1 . The 4 possible combinations of eigenvalues are $\frac{1}{4} + \frac{1}{8} + \frac{1}{8} = \frac{1}{2}$, $\frac{1}{4} + \frac{1}{8} - \frac{1}{8} = \frac{1}{4}$, $\frac{1}{4} - \frac{1}{8} = \frac{1}{4}$, $\frac{1}{4} - \frac{1}{8} - \frac{1}{8} = 0$.

But you still have to prove that each of these 4 combinations appear once.

(b) $\hat{\rho}$ is obviously hermitian, has non-negative eigenvalues (positive semi-definite), and unity trace (sum of eigenvalues equal unity).

$$S[\hat{\rho}] = -\sum_{\lambda} \log(\lambda) = -\frac{1}{2} \log(\frac{1}{2}) - \frac{1}{4} \log(\frac{1}{4}) - \frac{1}{4} \log(\frac{1}{4}) - 0 \log(0) = \frac{3}{2} \log(2).$$

 $\hat{\rho}$ is not a pure state (non-zero entropy, more-than-one nonzero eigenvalues).

(c) Under the basis
$$(|e_1 \otimes e_1'\rangle, |e_1 \otimes e_2'\rangle, |e_2 \otimes e_1'\rangle, |e_2 \otimes e_2'\rangle), \hat{O}$$
 is $\begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}$. It is

easy to check that $[\hat{\rho}, \hat{O}] = 0$. In fact the eigenstates in (a) are also eigenstates of \hat{O} ,

eigenvalue of $\hat{\rho}$ (probability)	eigenstate of $\hat{\rho}$	eigenvalue of \hat{O}
$\frac{1}{2}$	$ \psi_1\rangle \equiv \frac{1}{\sqrt{2}}(e_1\otimes e_1'\rangle + e_2\otimes e_2'\rangle)$	-1
$\frac{1}{4}$	$ \psi_2\rangle \equiv \frac{1}{\sqrt{2}}(e_1\otimes e_1'\rangle - e_2\otimes e_2'\rangle)$	+1
$\frac{1}{4}$	$ \psi_3\rangle \equiv \frac{1}{\sqrt{2}}(e_1\otimes e_2'\rangle + e_2\otimes e_1'\rangle)$	+1
0	$ \psi_4\rangle \equiv \frac{1}{\sqrt{2}}(e_1\otimes e_2'\rangle - e_2\otimes e_1'\rangle)$	-1

Therefore the measurement result can be

- -1 with probability $\frac{1}{2} + 0 = \frac{1}{2}$; and
- +1 with probability $\frac{1}{4} + \frac{1}{4} = \frac{1}{2}$.

If the result is -1, the collapsed state density matrix is $\frac{1}{\frac{1}{2}+0}(\frac{1}{2}|\psi_1\rangle\langle\psi_1|+0|\psi_4\rangle\langle\psi_4|)$,

$$\begin{pmatrix} \frac{1}{2} & 0 & 0 & \frac{1}{2} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 & \frac{1}{2} \end{pmatrix}$$
 under the above basis, which becomes a pure state after measurement!

If the result is +1, the collapsed state density matrix is $\frac{1}{\frac{1}{4}+\frac{1}{4}}(\frac{1}{4}|\psi_2\rangle\langle\psi_2|+\frac{1}{4}|\psi_3\rangle\langle\psi_3|)$,

$$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & \frac{1}{2} & 0 & 0 \\ 0 & 0 & \frac{1}{2} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \text{ under the above basis, which is still a mixed state.}$$

(d) Under the basis
$$(|e_1 \otimes e_1'\rangle, |e_1 \otimes e_2'\rangle, |e_2 \otimes e_1'\rangle, |e_2 \otimes e_2'\rangle), \hat{Q}$$
 is
$$\begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & i & 0 & 0 \end{pmatrix}.$$

It has eigenvalues ± 1 (each is 2-fold degenerate). For eigenvalue +1, the two eigenstates can be chosen as $\frac{1}{\sqrt{2}}(|e_1\rangle + i|e_2\rangle) \otimes |e'_{1,2}\rangle$. For eigenvalue -1, the two eigenstates can be chose as $\frac{1}{\sqrt{2}}(|e_1\rangle - i|e_2\rangle) \otimes |e'_{1,2}\rangle$.

The projection operator onto the eigenvalue +1 subspace is

$$\hat{P}_{\hat{Q}=+1} = \frac{1}{2} (|e_1\rangle + i|e_2\rangle) (\langle e_1| - i\langle e_2|) \otimes \mathbb{1}_{2\times 2}, \text{ or } \frac{1}{2} \begin{pmatrix} 1 & 0 & -i & 0 \\ 0 & 1 & 0 & -i \\ i & 0 & 1 & 0 \\ 0 & i & 0 & 1 \end{pmatrix} \text{ under the above basis.}$$

The projection operator onto the eigenvalue -1 subspace is

$$\hat{P}_{\hat{Q}=-1} = \frac{1}{2} (|e_1\rangle - i|e_2\rangle) (\langle e_1| + i\langle e_2|) \otimes \mathbb{1}_{2\times 2}, \text{ or } \frac{1}{2} \begin{pmatrix} 1 & 0 & i & 0 \\ 0 & 1 & 0 & i \\ -i & 0 & 1 & 0 \\ 0 & -i & 0 & 1 \end{pmatrix} \text{ under the above basis.}$$

The probability for getting measurement result +1 is $\text{Tr}(\hat{\rho}\hat{P}_{\hat{Q}=+1}) = \frac{1}{2}$, the collapsed state

is
$$\hat{P}_{\hat{Q}=+1}\hat{\rho}\hat{P}_{\hat{Q}=+1}/\mathrm{Tr}(\hat{\rho}\hat{P}_{\hat{Q}=+1}) = \frac{1}{4} \begin{pmatrix} 1 & 0 & -i & 0 \\ 0 & 1 & 0 & -i \\ i & 0 & 1 & 0 \\ 0 & i & 0 & 1 \end{pmatrix}$$
, which is a mixed state.

The probability for getting measurement result -1 is $\text{Tr}(\hat{\rho}\hat{P}_{\hat{Q}=-1}) = \frac{1}{2}$, the collapsed

state is
$$\hat{P}_{\hat{Q}=-1}\hat{\rho}\hat{P}_{\hat{Q}=-1}/\text{Tr}(\hat{\rho}\hat{P}_{\hat{Q}=-1}) = \frac{1}{4} \begin{pmatrix} 1 & 0 & i & 0 \\ 0 & 1 & 0 & i \\ -i & 0 & 1 & 0 \\ 0 & -i & 0 & 1 \end{pmatrix}$$
, which is a mixed state.

Method #2: brief descriptions only.

Once we know that \hat{Q} has only eigenvalues ± 1 , we can directly write down the projection operators, $\hat{P}_{\hat{Q}=+1} = \frac{\mathbb{I}_{4\times 4} + \hat{Q}}{2}$, $\hat{P}_{\hat{Q}=-1} = \frac{\mathbb{I}_{4\times 4} - \hat{Q}}{2}$.

We can then use $(\hat{A} \otimes \hat{B}) \cdot (\hat{C} \otimes \hat{D}) = (\hat{A}\hat{C}) \otimes (\hat{B}\hat{D})$, and $\operatorname{Tr}_{\mathcal{H}_1 \otimes \mathcal{H}_2}(\hat{A} \otimes \hat{B}) = \operatorname{Tr}_{\mathcal{H}_1}(\hat{A}) \cdot \operatorname{Tr}_{\mathcal{H}_2}(\hat{B})$, and the multiplication rules of Pauli matrices, to do the remaining calculations.

- ***** (about lecture #2) *****
- 3. Consider a single-boson Hilbert space with two complete orthonormal basis states, $|1\rangle$ & $|2\rangle$. Denote the corresponding creation, annihilation operators by $\hat{b}_1^{\dagger}, \hat{b}_1$ (for $|1\rangle$) and $\hat{b}_2^{\dagger}, \hat{b}_2$ (for $|2\rangle$), then $|1\rangle = \hat{b}_1^{\dagger} |\text{vac}\rangle$, $|2\rangle = \hat{b}_2^{\dagger} |\text{vac}\rangle$, where $|\text{vac}\rangle$ is the normalized 'vacuum' state, and $[\hat{b}_i, \hat{b}_j^{\dagger}] = \delta_{i,j}$, $[\hat{b}_i, \hat{b}_j] = [\hat{b}_i^{\dagger}, \hat{b}_j^{\dagger}] = 0$.
- (a). (3pts) Write down a complete orthonormal basis for the Hilbert space of two bosons, in terms of tensor product states $|i\rangle \otimes |j\rangle$, i, j = 1, 2.
- (b). (2pts) A unitary transformation \hat{U} is defined by its action on single-boson basis as: $|1\rangle \mapsto \hat{U}|1\rangle = (u|1\rangle v|2\rangle)$, $|2\rangle \mapsto \hat{U}|2\rangle = (v^*|1\rangle + u^*|2\rangle)$, where u, v are two complex numbers and $|u|^2 + |v|^2 = 1$. Show that the above definition of \hat{U} is indeed a unitary transformation in single-boson Hilbert space.
 - (c). (5pts) The action of \hat{U} on a tensor product state will be transforming each of the

factors, for example $|1\rangle \otimes |2\rangle \mapsto \hat{U}|1\rangle \otimes \hat{U}|2\rangle$. Write down the transformation results of all two-boson basis in (a) induced by \hat{U} , as linear combinations of the original two-boson basis states. Explicitly show that this transformation in the two-boson Hilbert space is unitary.

- (d). (5pts) \hat{U} can be extended to the entire Fock space as follows: The transformation of an operator \hat{O} by \hat{U} is formally $\hat{U}\hat{O}\hat{U}^{\dagger}$. We demand that the transformation results of \hat{b}_i^{\dagger} are: $\hat{U}\hat{b}_1^{\dagger}\hat{U}^{\dagger}=(u\hat{b}_1^{\dagger}-v\hat{b}_2^{\dagger})$, and $\hat{U}\hat{b}_2^{\dagger}\hat{U}^{\dagger}=(v^*\hat{b}_1^{\dagger}+u^*\hat{b}_2^{\dagger})$. Together with $\hat{U}|\text{vac}\rangle=|\text{vac}\rangle$, this can reproduce the definition of \hat{U} in single-boson space, e.g. $\hat{U}|1\rangle=\hat{U}\hat{b}_1^{\dagger}|\text{vac}\rangle=\hat{U}\hat{b}_1^{\dagger}\hat{U}^{\dagger}\cdot\hat{U}|\text{vac}\rangle=(u\hat{b}_1^{\dagger}-v\hat{b}_2^{\dagger})|\text{vac}\rangle=u|1\rangle-v|2\rangle$. Use the creation operators to represent the two-boson basis in (a), then apply \hat{U} on them, represent the results as linear combinations of the original two-boson basis. The results should be consistent with (c).
- (e). (5pts) Consider $\hat{H} = t \cdot (\hat{b}_1^{\dagger} \hat{b}_2 + \hat{b}_2^{\dagger} \hat{b}_1)$, where t is a real number. You can do a unitary transformation(basis change) to "diagonalize" \hat{H} : find a new set of orthonormal creation (annihilation) operators $\hat{b}_i^{\prime\dagger}(\hat{b}_i^{\prime})$ as linear combinations of $\hat{b}_j^{\dagger}(\hat{b}_j)$, so that $\hat{H} = \epsilon_1 \hat{b}_1^{\prime\dagger} \hat{b}_1^{\prime} + \epsilon_2 \hat{b}_2^{\prime\dagger} \hat{b}_2^{\prime}$, where $\epsilon_{1,2}$ are two c-numbers. These new operators should satisfy the same kind of commutation relations as the old ones, e.g. $[\hat{b}_i^{\prime}, \hat{b}_j^{\prime\dagger}] = \delta_{i,j}$. Solve the new creation operators $\hat{b}_i^{\prime\dagger}$ in terms of \hat{b}_j^{\dagger} , and solve $\epsilon_{1,2}$. Then write down all the eigenvalues and eigenstates of \hat{H} in the entire Fock space.
- (f). (5pts) (DIFFICULT) The explicit form of operator \hat{U} in (d) in the entire Fock space is, $\hat{U} = \exp\left[i\sum_{i,j=1}^{2}\hat{b}_{i}^{\dagger}\left(a_{1}\sigma_{1}+a_{2}\sigma_{2}+a_{3}\sigma_{3}\right)_{i,j}\hat{b}_{j}\right]$. Here $a_{1,2,3}$ are three real numbers, $\sigma_{1,2,3}$ are Pauli matrices defined in Homework #1 Problem 6. $(a_{1}\sigma_{1}+a_{2}\sigma_{2}+a_{3}\sigma_{3})_{i,j}$ is the i^{th} -row- j^{th} -column element of the 2×2 matrix in the bracket. Solve the real numbers $a_{1,2,3}$ in terms of the complex numbers u,v used to define \hat{U} in (b). [Hint: compute $\hat{U}\hat{b}_{1,2}^{\dagger}\hat{U}^{\dagger}$ by the Baker-Hausdorff formula, compare the results with those in (d), some results in Homework #1 will be useful]

Solutions:

(a) by definition, the orthonormal occupation basis are,

$$|n_1 = 2, n_2 = 0\rangle \equiv \frac{1}{\sqrt{2}}|1, 1\rangle \equiv \frac{1}{\sqrt{2}} \cdot \frac{1}{\sqrt{2}}(|1\rangle \otimes |1\rangle + |1\rangle \otimes |1\rangle) = |1\rangle \otimes |1\rangle,$$

$$|n_1 = 1, n_2 = 1\rangle \equiv |1, 2\rangle \equiv \frac{1}{\sqrt{2}}(|1\rangle \otimes |2\rangle + |2\rangle \otimes |1\rangle),$$

$$|n_1 = 0, n_2 = 2\rangle \equiv \frac{1}{\sqrt{2}}|2, 2\rangle \equiv \frac{1}{\sqrt{2}} \cdot \frac{1}{\sqrt{2}}(|2\rangle \otimes |2\rangle + |2\rangle \otimes |2\rangle) = |2\rangle \otimes |2\rangle.$$

(b) one can check that
$$(\hat{U}|i\rangle, \hat{U}|j\rangle) = (|i\rangle, |j\rangle) = \delta_{i,j}$$
, for $i, j = 1, 2$.

$$(\hat{U}|1\rangle, \hat{U}|1\rangle) = (u|1\rangle - v|2\rangle, u|1\rangle - v|2\rangle) = u^*u + (-v)^*(-v) = 1,$$

$$(\hat{U}|1\rangle, \hat{U}|2\rangle) = (u|1\rangle - v|2\rangle, v^*|1\rangle + u^*|2\rangle) = u^*v^* + (-v)^*u^* = 0$$

$$(\hat{U}|2\rangle, \hat{U}|1\rangle) = (\hat{U}|1\rangle, \hat{U}|2\rangle)^* = 0,$$

$$(\hat{U}|2\rangle, \hat{U}|2\rangle) = (v^*|1\rangle + u^*|2\rangle, v^*|1\rangle + u^*|2\rangle) = (v^*)^*(v^*) + (u^*)^*u^* = 1.$$

The action of \hat{U} on the 1-particle basis is, $(|1\rangle, |2\rangle) \mapsto (|1\rangle, |2\rangle) \begin{pmatrix} u & v^* \\ -v & u^* \end{pmatrix}$, it is also

easy to check that the 2×2 matrix is unitary, under the condition that $|u|^2 + |v|^2 = 1$,

$$\begin{pmatrix} u & v^* \\ -v & u^* \end{pmatrix}^{\dagger} \cdot \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} = \begin{pmatrix} |u|^2 + |v|^2 & 0 \\ 0 & |u|^2 + |v|^2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

(c) consider the basis in (a),

$$|1\rangle \otimes |1\rangle \mapsto (u|1\rangle - v|2\rangle) \otimes (u|1\rangle - v|2\rangle)$$

$$= u^2 \cdot |1\rangle \otimes |1\rangle - \sqrt{2}uv \cdot \frac{1}{\sqrt{2}}(|1\rangle \otimes |2\rangle + |2\rangle \otimes |1\rangle) + v^2 \cdot |2\rangle \otimes |2\rangle,$$

$$\begin{split} &\frac{1}{\sqrt{2}}(|1\rangle\otimes|2\rangle+|2\rangle\otimes|1\rangle) \mapsto \frac{1}{\sqrt{2}}((u|1\rangle-v|2\rangle)\otimes(v^*|1\rangle+u^*|2\rangle)+(v^*|1\rangle+u^*|2\rangle)\otimes(u|1\rangle-v|2\rangle))\\ &=\sqrt{2}uv^*\cdot|1\rangle\otimes|1\rangle+(u^*u-v^*v)\cdot\frac{1}{\sqrt{2}}(|1\rangle\otimes|2\rangle+|2\rangle\otimes|1\rangle)-\sqrt{2}vu^*\cdot|2\rangle\otimes|2\rangle, \end{split}$$

$$|2\rangle \otimes |2\rangle \mapsto (v^*|1\rangle + u^*|2\rangle) \otimes (v^*|1\rangle + u^*|2\rangle)$$

= $(v^*)^2 \cdot |1\rangle \otimes |1\rangle + \sqrt{2}v^*u^* \cdot \frac{1}{\sqrt{2}}(|1\rangle \otimes |2\rangle + |2\rangle \otimes |1\rangle) + (u^*)^2 \cdot |2\rangle \otimes |2\rangle.$

This can be written as, $(|n_1 = 2, n_2 = 0), |n_1 = 1, n_2 = 1)|n_1 = 0, n_2 = 2)$

$$\mapsto (|n_1 = 2, n_2 = 0\rangle, |n_1 = 1, n_2 = 1\rangle |n_1 = 0, n_2 = 2\rangle) \cdot \begin{pmatrix} u^2 & \sqrt{2}uv^* & (v^*)^2 \\ -\sqrt{2}uv & (u^*u - v^*v) & \sqrt{2}v^*u^* \\ v^2 & -\sqrt{2}vu^* & (u^*)^2 \end{pmatrix}.$$

One can check that the 3×3 matrix (...) is unitary by brute-force computation,

$$(\dots)^{\dagger} \cdot (\dots) = (|u|^2 + |v|^2)^2 \cdot \mathbb{1}_{3 \times 3} = \mathbb{1}_{3 \times 3}.$$

(d) represent the basis in (a) by creation operators,

$$|n_1 = 2, n_2 = 0\rangle = \frac{1}{\sqrt{2}}(\hat{b}_1^{\dagger})^2|\text{vac}\rangle,$$

$$|n_1=1, n_2=1\rangle = \hat{b}_1^{\dagger} \hat{b}_2^{\dagger} |\text{vac}\rangle,$$

$$|n_1 = 0, n_2 = 2\rangle = \frac{1}{\sqrt{2}} (\hat{b}_2^{\dagger})^2 |\text{vac}\rangle.$$

Then (note that $\hat{b}_1^{\dagger}\hat{b}_2^{\dagger} = \hat{b}_2^{\dagger}\hat{b}_1^{\dagger}$),

$$\begin{split} \hat{U}|n_{1} &= 2, n_{2} = 0\rangle = \frac{1}{\sqrt{2}}(\hat{U}\hat{b}_{1}^{\dagger}\hat{U}^{\dagger})^{2}\hat{U}|\text{vac}\rangle = \frac{1}{\sqrt{2}}(u\hat{b}_{1}^{\dagger} - v\hat{b}_{2}^{\dagger})^{2}|\text{vac}\rangle \\ &= [u^{2} \cdot \frac{1}{\sqrt{2}}(\hat{b}_{1}^{\dagger})^{2} - \sqrt{2}uv \cdot \hat{b}_{1}^{\dagger}\hat{b}_{2}^{\dagger} + v^{2} \cdot \frac{1}{\sqrt{2}}(\hat{b}_{2}^{\dagger})^{2}]|\text{vac}\rangle, \\ \hat{U}|n_{1} &= 1, n_{2} = 1\rangle = (\hat{U}\hat{b}_{1}^{\dagger}\hat{U}^{\dagger})(\hat{U}\hat{b}_{2}^{\dagger}\hat{U}^{\dagger})\hat{U}|\text{vac}\rangle = (u\hat{b}_{1}^{\dagger} - v\hat{b}_{2}^{\dagger})(v^{*}\hat{b}_{1}^{\dagger} + u^{*}\hat{b}_{2}^{\dagger})|\text{vac}\rangle \\ &= [\sqrt{2}uv^{*} \cdot \frac{1}{\sqrt{2}}(\hat{b}_{1}^{\dagger})^{2} + (uu^{*} - vv^{*}) \cdot \hat{b}_{1}^{\dagger}\hat{b}_{2}^{\dagger} - \sqrt{2}vu^{*} \cdot \frac{1}{\sqrt{2}}(\hat{b}_{2}^{\dagger})^{2}]|\text{vac}\rangle, \\ \hat{U}|n_{1} &= 0, n_{2} = 2\rangle = \frac{1}{\sqrt{2}}(\hat{U}\hat{b}_{2}^{\dagger}\hat{U}^{\dagger})^{2}\hat{U}|\text{vac}\rangle = \frac{1}{\sqrt{2}}(v^{*}\hat{b}_{1}^{\dagger} + u^{*}\hat{b}_{2}^{\dagger})^{2}|\text{vac}\rangle \\ &= [(v^{*})^{2} \cdot \frac{1}{\sqrt{2}}(\hat{b}_{1}^{\dagger})^{2} + \sqrt{2}v^{*}u^{*} \cdot \hat{b}_{1}^{\dagger}\hat{b}_{2}^{\dagger} + (u^{*})^{2} \cdot \frac{1}{\sqrt{2}}(\hat{b}_{2}^{\dagger})^{2}]|\text{vac}\rangle. \end{split}$$

This is consistent with the result of (c).

(e)
$$\hat{H} = (\hat{b}_1^{\dagger}, \hat{b}_2^{\dagger}) \begin{pmatrix} 0 & t \\ t & 0 \end{pmatrix} \begin{pmatrix} \hat{b}_1 \\ \hat{b}_2 \end{pmatrix}$$
.

The 2×2 matrix in the middle is $t\sigma_1$, with eigenvalues t and -t [c.f. Homework #1 Problem 6.(a)]. $\begin{pmatrix} 0 & t \\ t & 0 \end{pmatrix} = U \cdot \begin{pmatrix} t & 0 \\ 0 & -t \end{pmatrix} \cdot U^{\dagger}$, where $U = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}} \end{pmatrix}$ is a unitary matrix (choice of U is not unique)

Define $(\hat{b}_1'^{\dagger}, \hat{b}_2'^{\dagger}) = (\hat{b}_1^{\dagger}, \hat{b}_2^{\dagger}) \cdot U$, namely, $\hat{b}_1'^{\dagger} = \frac{1}{\sqrt{2}} \hat{b}_1^{\dagger} + \frac{1}{\sqrt{2}} \hat{b}_2^{\dagger}$, $\hat{b}_2'^{\dagger} = \frac{1}{\sqrt{2}} \hat{b}_1^{\dagger} - \frac{1}{\sqrt{2}} \hat{b}_2^{\dagger}$, then $[\hat{b}_i',\hat{b}_j'^{\dagger}]=\delta_{i,j}$, and $\hat{H}=t\hat{b}_1'^{\dagger}\hat{b}_1'-t\hat{b}_2'^{\dagger}\hat{b}_2'=t\hat{n}_1'-t\hat{n}_2'$. Here $\hat{n}_i'\equiv\hat{b}_i'^{\dagger}\hat{b}_i'$ is the boson occupation number operator under the new basis. This basis change does not change the boson vacuum, $b'_i | \text{vac} \rangle = 0$.

 $\epsilon_1 = t, \; \epsilon_2 = -t, \; \hat{b}_1'^{\dagger} = \frac{1}{\sqrt{2}} \hat{b}_1^{\dagger} + \frac{1}{\sqrt{2}} \hat{b}_2^{\dagger}, \; \hat{b}_2'^{\dagger} = \frac{1}{\sqrt{2}} \hat{b}_1^{\dagger} - \frac{1}{\sqrt{2}} \hat{b}_2^{\dagger}. \quad [\text{You may swap } \epsilon_{1,2}, \; \text{then } \hat{b}_{1,2}'^{\dagger}]$ should also be swapped. $\hat{b}_i^{\prime\dagger}$ can be multiplied by independent complex phases.]

The occupation basis states $|n'_1, n'_2\rangle \equiv \frac{1}{\sqrt{\langle n'_1 \rangle! \langle n'_2 \rangle!}} (\hat{b}'_1^{\dagger})^{n'_1} (\hat{b}'_2^{\dagger})^{n'_2} |\text{vac}\rangle$ are normalized eigenstates of \hat{H} , with eigenvalue $\epsilon_1 n'_1 + \epsilon_2 n'_2$.

(f) For notation simplicity, define 2×2 matrix $A = a_1\sigma_1 + a_2\sigma_2 + a_3\sigma_3$, and define $\hat{A} = \sum_{i,j} \hat{b}_i^{\dagger} A_{i,j} \hat{b}_j$, Then $\hat{U} = \exp(i\hat{A})$. Consider $\hat{U} \cdot \hat{b}_k^{\dagger} \cdot \hat{U}^{\dagger} = \exp(i\hat{A}) \cdot \hat{b}_k^{\dagger} \cdot \exp(-i\hat{A})$. By the Baker-Hausdorff formula, this is, $\hat{b}_k^{\dagger} + \sum_{n=1}^{\infty} \frac{1}{n!} \underbrace{\left[i \hat{A}, \left[i \hat{A}, \dots \left[i \hat{A}, \hat{b}_k^{\dagger} \right] \dots \right] \right]}_{n\text{-fold commutator}}$

Use the identity $[\hat{b}_i^{\dagger}\hat{b}_j, \hat{b}_k^{\dagger}] = \delta_{j,k}\hat{b}_i^{\dagger}$, we have $[i\hat{A}, \hat{b}_k^{\dagger}] = i\sum_i \hat{b}_i^{\dagger} A_{i,k}$.

By mathematical induction (steps omitted), $\underbrace{[\mathbb{i}\hat{A},[\mathbb{i}\hat{A},\dots[\mathbb{i}\hat{A},\hat{b}_k^{\dagger}]\dots]]}_{n\text{-fold commutator}} = \mathbb{i}^n\sum_i\hat{b}_i^{\dagger}(A^n)_{i,k}$. Here A^n is the n-th power of the matrix A, which is also a 2×2 matrix.

Finally,
$$\hat{U} \cdot \hat{b}_k^{\dagger} \cdot \hat{U}^{\dagger} = \hat{b}_k^{\dagger} + \sum_{n=1}^{\infty} \frac{1}{n!} i^n \sum_i \hat{b}_i^{\dagger} (A^n)_{i,k} = \sum_i \hat{b}_i^{\dagger} \left[\exp(iA) \right]_{i,k}$$
.

Comparing to (d), we should have
$$\exp(iA) = \begin{pmatrix} u & v^* \\ -v & u^* \end{pmatrix}$$
. Use the result of Homework #1 Problem 6(b),
$$\exp(iA) = \cos(\sqrt{a_1^2 + a_2^2 + a_3^2})\mathbbm{1}_{2\times 2} + i\frac{\sin(\sqrt{a_1^2 + a_2^2 + a_3^2})}{\sqrt{a_1^2 + a_2^2 + a_3^2}} \cdot (a_1\sigma_1 + a_2\sigma_2 + a_3\sigma_3).$$
 Then $u = \cos(\sqrt{a_1^2 + a_2^2 + a_3^2}) + i\frac{\sin(\sqrt{a_1^2 + a_2^2 + a_3^2})}{\sqrt{a_1^2 + a_2^2 + a_3^2}} \cdot a_3,$
$$v = \frac{\sin(\sqrt{a_1^2 + a_2^2 + a_3^2})}{\sqrt{a_1^2 + a_2^2 + a_3^2}} \cdot a_2 - i\frac{\sin(\sqrt{a_1^2 + a_2^2 + a_3^2})}{\sqrt{a_1^2 + a_2^2 + a_3^2}} \cdot a_1.$$
 Conversely,
$$a_1 = -\operatorname{Im}(v) \cdot \frac{\arccos[\operatorname{Re}(u)]}{\sin(\arccos[\operatorname{Re}(u)])}, \ a_2 = \operatorname{Re}(v) \cdot \frac{\arccos[\operatorname{Re}(u)]}{\sin(\arccos[\operatorname{Re}(u)])}, \ a_3 = \operatorname{Im}(u) \cdot \frac{\arccos[\operatorname{Re}(u)]}{\sin(\arccos[\operatorname{Re}(u)])}.$$
 [Note: solution of $a_{1,2,3}$ is not unique, 'arccos' function is not single-valued.]