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## Homework #5:

### Due: Nov. 14, 2019

1. On 2-dimensional space with real coordinates  $(x, y)$ , define position eigenstates  $|x, y\rangle$  with  $\hat{x}|x, y\rangle = x|x, y\rangle$  and  $\hat{y}|x, y\rangle = y|x, y\rangle$  and normalization  $\langle x, y|x', y'\rangle = \delta(x-x')\delta(y-y')$ ; and momentum eigenstates  $|p_x, p_y\rangle$  with  $\hat{p}_x|p_x, p_y\rangle = p_x|p_x, p_y\rangle$  and  $\hat{p}_y|p_x, p_y\rangle = p_y|p_x, p_y\rangle$  and normalization  $\langle p_x, p_y|p'_x, p'_y\rangle = \delta(p_x - p'_x)\delta(p_y - p'_y)$ . And  $\langle x, y|p_x, p_y\rangle = \frac{e^{i(p_x \cdot x + p_y \cdot y)/\hbar}}{2\pi\hbar}$ .

Here  $[\hat{x}, \hat{p}_x] = [\hat{y}, \hat{p}_y] = i\hbar$ , and other commutators between them are zero.

The rotations around the origin point form the  $SO(2)$  group (also denoted by  $C_\infty$ ). Denote the counter-clockwise rotation of angle  $\theta$  by  $g(\theta)$ , which maps the point  $(x, y)$  to  $(x \cos \theta - y \sin \theta, x \sin \theta + y \cos \theta)$ . It is easy to check that  $g(\theta) \cdot g(\theta') = g(\theta + \theta' \bmod 2\pi)$ , so this is an Abelian group. All its irreducible representations (irreps) are 1-dimensional (1-dim'l), and are labeled by integer  $n$ ,  $\chi_n[g(\theta)] = R_n[g(\theta)] = (e^{in\theta})$ . The orthogonality relation is  $\int_0^{2\pi} d\theta \chi_n[g(\theta)]^* \chi_{n'}[g(\theta)] = \int_0^{2\pi} d\theta e^{-in\theta} e^{in'\theta} = 2\pi \cdot \delta_{n,n'}$ .

(a). (2pts) The unitary operator for  $g(\theta)$  is

$\widehat{g(\theta)} = \int dx \int dy |x \cos \theta - y \sin \theta, x \sin \theta + y \cos \theta\rangle \langle x, y|$ . Compute the matrix element of  $\widehat{g(\theta)}$  under the momentum eigenbasis,  $\langle p'_x, p'_y | \widehat{g(\theta)} | p_x, p_y \rangle$ .

(b). (2pts) Compute the generator of this “Lie group”,  $\widehat{L}_z \equiv \left[ i \frac{\partial}{\partial \theta} \widehat{g(\theta)} \right]_{\theta=0}$ . Represent the result by the  $\hat{x}, \hat{y}, \hat{p}_x, \hat{p}_y$  operators. [Hint: consider  $\widehat{L}_z \psi(x, y) = \left\{ i \frac{\partial}{\partial \theta} [\widehat{g(\theta)} \psi(x, y)] \right\}_{\theta=0}$ ]

(c). (2pts) Consider the 2D harmonic oscillator,  $\hat{H} = \frac{1}{2m}(\hat{p}_x^2 + \hat{p}_y^2) + \frac{m\omega^2}{2}(\hat{x}^2 + \hat{y}^2)$ . Here  $m, \omega$  are positive constants. It can be viewed as the sum of two independent harmonic oscillators,  $\hat{H} = (\frac{\hat{p}_x^2}{2m} + \frac{m\omega^2 \hat{x}^2}{2}) + (\frac{\hat{p}_y^2}{2m} + \frac{m\omega^2 \hat{y}^2}{2})$ . The ladder operators for the  $x$ - and  $y$ -components can be defined as  $\hat{b}_x = \sqrt{\frac{m\omega}{2\hbar}}(\hat{x} + \frac{i}{m\omega}\hat{p}_x)$  and  $\hat{b}_y = \sqrt{\frac{m\omega}{2\hbar}}(\hat{y} + \frac{i}{m\omega}\hat{p}_y)$ . They satisfy the commutation relation of boson annihilation operators,  $[\hat{b}_x, \hat{b}_x^\dagger] = [\hat{b}_y, \hat{b}_y^\dagger] = 1$ ,  $[\hat{b}_x, \hat{b}_y^\dagger] = [\hat{b}_x, \hat{b}_y] = 0$ . Denote the unique normalized ground state of  $\hat{H}$  by  $|\text{vac}\rangle$ , then  $\hat{b}_x|\text{vac}\rangle = \hat{b}_y|\text{vac}\rangle = 0$ . Write down all eigenvalues and normalized eigenstates of  $\hat{H}$ .

(d). (2pts) Rewrite the  $\widehat{L}_z$  in (b) in terms of the ladder operators in (c). Show that  $[\hat{H}, \widehat{L}_z] = 0$ . {Hint: use  $[\hat{A}\hat{B}, \hat{C}\hat{D}] = \hat{A}[\hat{B}, \hat{C}]\hat{D} + [\hat{A}, \hat{C}]\hat{B}\hat{D} + \hat{C}\hat{A}[\hat{B}, \hat{D}] + \hat{C}[\hat{A}, \hat{D}]\hat{B}$ . }

(e). (4pts) The “raising” operators  $\hat{b}_x^\dagger$  and  $\hat{b}_y^\dagger$  form basis of a 2-dimensional representation of the  $SO(2)$  group.  $g(\theta)$  transforms them to their linear combinations,  $(\widehat{g(\theta)\hat{b}_x^\dagger g(\theta)^\dagger}, \widehat{g(\theta)\hat{b}_y^\dagger g(\theta)^\dagger}) = (\hat{b}_x^\dagger, \hat{b}_y^\dagger) \cdot R[g(\theta)]$ . Solve this  $2 \times 2$  representation matrix  $R_{\hat{b}^\dagger}[g(\theta)]$ . Check that  $R_{\hat{b}^\dagger}[g(\theta)] \cdot R_{\hat{b}^\dagger}[g(\theta')] = R_{\hat{b}^\dagger}[g(\theta + \theta')]$ . [Hint:  $\widehat{g(\theta)} = \exp(-i\theta\widehat{L}_z)$ .]

(f). (5pts) We can decompose the  $R_{\hat{b}^\dagger}$  representation into irreps by the “projection operator”. Compute  $\int_0^{2\pi} R_n[g(\theta)]^* \cdot \widehat{g(\theta)\hat{b}_a^\dagger g(\theta)^\dagger}$ , for  $a = x, y$  and all integer  $n$ . Only two of them are linearly independent, after normalization denote them as  $\hat{a}_1^\dagger$  and  $\hat{a}_2^\dagger$ , satisfying  $[\hat{a}_i, \hat{a}_j^\dagger] = \delta_{i,j}$ .  $\hat{a}_i^\dagger$  forms an irrep of the  $SO(2)$  group,  $\widehat{g(\theta)\hat{a}_i^\dagger g(\theta)^\dagger} = \hat{a}_i^\dagger \cdot R_{\hat{a}_i^\dagger}[g(\theta)]$ , Write down  $\hat{a}_{1,2}^\dagger$  in terms of  $\hat{b}_{x,y}^\dagger$ , and their corresponding irreps  $R_{\hat{a}_i^\dagger}[g(\theta)]$ . Rewrite  $\hat{H}$  and  $\widehat{L}_z$  in terms of  $\hat{a}_{1,2}^\dagger$  and  $\hat{a}_{1,2}$ .

(g). (5pts) Write down the simultaneous eigenstates of  $\hat{H}$  and  $\widehat{L}_z$ ,  $|\hat{H} = E, \widehat{L}_z = \ell\rangle$ , in terms of  $|\text{vac}\rangle$  and ladder operators  $\hat{a}_i^\dagger$ . What are the possible eigenvalues  $E$  and  $\ell$ ?

(h). (3pts) Each state  $|\hat{H} = E, \widehat{L}_z = \ell\rangle$  in (g) forms an irrep of  $SO(2)$ .  $\widehat{g(\theta)}|\hat{H} = E, \widehat{L}_z = \ell\rangle = |\hat{H} = E, \widehat{L}_z = \ell\rangle \cdot R_{E,\ell}[g(\theta)]$ . Compute this  $1 \times 1$  representation ‘matrix’  $R_{E,\ell}[g(\theta)]$ .

(i). (5pts) Compute the “matrix elements”,  $\langle \hat{H} = E, \widehat{L}_z = \ell | \hat{a}_i^\dagger | \hat{H} = E', \widehat{L}_z = \ell' \rangle$ , for  $i = 1, 2$ . Check that the results satisfy the “selection rule” for  $SO(2)$  group, namely that if  $R_{E,\ell}^* \otimes R_{\hat{a}_i^\dagger} \otimes R_{E',\ell'}$  is not the trivial irrep., then this matrix element vanishes.

(j). (5pts) Define two hermitian operators  $\widehat{L}_x = \hat{a}_1^\dagger \hat{a}_2 + \hat{a}_2^\dagger \hat{a}_1$  and  $\widehat{L}_y = -i\hat{a}_1^\dagger \hat{a}_2 + i\hat{a}_2^\dagger \hat{a}_1$ . Check that  $[\hat{H}, \widehat{L}_x] = [\hat{H}, \widehat{L}_y] = 0$ . Compute the commutators  $[\widehat{L}_x, \widehat{L}_y]$ ,  $[\widehat{L}_y, \widehat{L}_z]$ ,  $[\widehat{L}_z, \widehat{L}_x]$ , represent the results in terms of linear combinations of  $\widehat{L}_{x,y,z}$ . [Side remark:  $SO(2)$  has only 1-dim’l irrep., but  $\hat{H}$  has degenerate eigenvalues. In fact  $\hat{H}$  has a larger non-Abelian symmetry. The  $\widehat{L}_{x,y,z}$  are generators of this symmetry group and commute with  $\hat{H}$ .]

2. Considered  $\hat{H} = (\hat{f}_1^\dagger \hat{f}_2 + \hat{f}_2^\dagger \hat{f}_3 + \hat{f}_3^\dagger \hat{f}_4 + \hat{f}_4^\dagger \hat{f}_1 + \text{h.c.})$ .

Here  $\hat{f}_i(\hat{f}_i^\dagger)$  are annihilation(creation) operators for 4 fermion modes, satisfying  $\{\hat{f}_i, \hat{f}_j^\dagger\} = \delta_{ij}$  and  $\{\hat{f}_i, \hat{f}_j\} = 0$ , and h.c. means hermitian conjugate of the previous 4 terms.

The model conserves total particle number  $\hat{n} = \sum_{i=1}^4 \hat{f}_i^\dagger \hat{f}_i$ , namely  $[\hat{H}, \hat{n}] = 0$ .

$\hat{H}$  also has the  $D_4$  point group symmetry, generated by “4-fold rotation”  $C_4 : \hat{f}_1 \rightarrow \hat{f}_2 \rightarrow \hat{f}_3 \rightarrow \hat{f}_4 \rightarrow \hat{f}_1$ , (this means  $\widehat{C_4} \hat{f}_1 \widehat{C_4}^\dagger = \hat{f}_2$ , etc.), and “principal axis reflection”  $\sigma_s : \hat{f}_1 \rightarrow \hat{f}_1, \hat{f}_2 \rightarrow \hat{f}_4, \hat{f}_3 \rightarrow \hat{f}_3, \hat{f}_4 \rightarrow \hat{f}_2$ . This group has 8 elements, and 5 conjugacy classes:  $\{\mathbf{1}\}, \{C_4, C_4^3\}, \{C_4^2\}, \{\sigma_s, C_4^2 \sigma_s\}, \{\sigma_d \equiv C_4 \sigma_s, C_4^3 \sigma_s\}$ . The character table for the five irreducible representations,  $\Gamma_{1,2,3,4,5}$ , is

	$\mathbf{1}$	$2C_4$	$C_4^2$	$2\sigma_s$	$2\sigma_d$
$\Gamma_1$	1	1	1	1	1
$\Gamma_2$	1	1	1	-1	-1
$\Gamma_3$	1	-1	1	1	-1
$\Gamma_4$	1	-1	1	-1	1
$\Gamma_5$	2	0	-2	0	0

(a) (5pts) A group element  $g \in D_4$  will transform  $\hat{f}_i^\dagger$  as  $\hat{f}_i^\dagger \mapsto \sum_j \hat{f}_j^\dagger \cdot R[g]_{ji}$ , where  $R[g]$  is the  $4 \times 4$  representation matrix. Decompose this into irreducible representations. Namely find  $\hat{f}'_i^\dagger = \sum_j \hat{f}_j^\dagger \cdot U_{ji}$ , where  $U_{ji}$  is a  $4 \times 4$  unitary matrix, so that  $\hat{f}'_i^\dagger$  transform under  $g \in D_4$  as  $\hat{f}'_i^\dagger \mapsto \sum_j \hat{f}'_j^\dagger \cdot R'[g]_{ji}$  with  $R'[g]$  block-diagonalized, and each diagonal block is one of the irreducible representations. **Solve the new basis  $\hat{f}'_i^\dagger$  in terms of  $\hat{f}_i^\dagger$  (or equivalently solve  $U$ ), and the block-diagonalized representation  $R'[g]$  for the generators  $g = C_4$  and  $g = \sigma_s$ .** [Hint: use the “projection operator” to find the new basis]

(b) (5pts) The Hilbert space with fixed total particle number  $\hat{n}$  is a representation space of the  $D_4$  group. Assume that the vacuum state  $|\text{vac}\rangle$  is invariant under  $D_4$  group. Then the transformation rules for  $\hat{f}_i^\dagger$  completely determine the transformation rules for any states, for example  $C_4$  transforms  $\hat{f}_1^\dagger \hat{f}_2^\dagger |\text{vac}\rangle \mapsto \hat{f}_2^\dagger \hat{f}_3^\dagger |\text{vac}\rangle$ . **Decompose the 6-dimensional 2-particle Hilbert space, with occupation basis  $\hat{f}_i^\dagger \hat{f}_j^\dagger |\text{vac}\rangle$  for  $i < j$ , into irreducible representations of  $D_4$ .** [Hint: one can first work out the  $6 \times 6$  representation and then change basis to block-diagonalize it; or use the result of (a) to construct the irreducible representation basis]

(c) (5pts) **Rewrite  $\hat{H}$  in terms of the  $\hat{f}'_i^\dagger$  and  $\hat{f}'_i$  solved in (a). Solve all the eigenvalues and eigenstates of  $\hat{H}$  in the 2-particle Hilbert space.**