

## Homework #4: Brief Solutions

\*\*\*\*\* (about lecture #3) \*\*\*\*\*

**Problem 1.** Consider the 1D harmonic oscillator  $\hat{H}_0 = \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2 = -\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + \frac{m\omega^2}{2}x^2$ . Here  $\hat{x}$  is position operator,  $\hat{p}$  is momentum operator,  $[\hat{x}, \hat{p}] = i\hbar$ , and in position representation  $\hat{p} = -i\hbar\frac{\partial}{\partial x}$ . Define  $\hat{b} = \sqrt{\frac{m\omega}{2\hbar}}(\hat{x} + i\frac{1}{m\omega}\hat{p}) = \sqrt{\frac{m\omega}{2\hbar}}(x + \frac{\hbar}{m\omega}\frac{\partial}{\partial x})$ . Then  $[\hat{b}, \hat{b}^\dagger] = 1$  and  $\hat{H}_0 = \hbar\omega(\hat{b}^\dagger\hat{b} + \frac{1}{2})$ . It has a unique ground state  $|0\rangle$  with  $\hat{b}|0\rangle = 0$ , and excited states  $|n\rangle \equiv \frac{1}{\sqrt{n!}}(\hat{b}^\dagger)^n|0\rangle$  with energy  $E_n = (n + \frac{1}{2})\hbar\omega$ .

(a) (5pts) Let  $\hat{H}' = \hat{H}_0 - f \cdot \hat{x}$ , where  $f$  is a real constant.  $\hat{H}'$  is related to  $\hat{H}_0$  by  $\hat{U} \cdot \hat{H}' \cdot \hat{U}^\dagger = \hat{H}_0 + c$ . Here  $c$  is a real constant,  $\hat{U} = \exp(-iX\hat{p} - iP\hat{x})$  is a unitary operator with real parameters  $X$  and  $P$ . *Solve  $X$  and  $P$  and  $c$  in terms of  $f, m, \omega, \hbar$ .*

(b) (5pts) Denote the normalized ground state of  $\hat{H}'$  by  $|0'\rangle$ . *Evaluate  $\langle 0'|\hat{x}|0'\rangle$  and  $\langle 0'|\hat{p}|0'\rangle$ . [Hint: result of (a) may help.]*

(c) (5pts) At  $t = 0$ , let the state  $|\psi(t = 0)\rangle = |0'\rangle$ , evolve this state under  $\hat{H}_0$ , namely  $|\psi(t)\rangle = \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)|\psi(t = 0)\rangle$ . *Evaluate  $\langle \psi(t)|\hat{x}|\psi(t)\rangle$  and  $\langle \psi(t)|\hat{p}|\psi(t)\rangle$ . [Hint: you can use either Schrödinger or Heisenberg picture, you can directly use the Heisenberg equations of motion for  $\hat{x}$  and  $\hat{p}$  and their solutions for harmonic oscillator]*

(d) (5pts) Define two Hermitian operators:  $\hat{O}_1 = m^2\omega^2\hat{x}^2 - \hat{p}^2$ ,  $\hat{O}_2 = m\omega(\hat{x}\hat{p} + \hat{p}\hat{x})$ . Their Heisenberg picture under  $\hat{H}_0$  are  $\hat{O}_{i,H}(t) = \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{O}_i \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)$ . *Write down the Heisenberg equations of motion,  $\frac{d}{dt}\hat{O}_{i,H}(t) = \dots$  for  $i = 1, 2$ . The right-hand side of these equations should be expressed in terms of  $\hat{O}_{j,H}(t)$  with  $j = 1, 2$ .*

(e) (5pts) *Solve the equations in (d). Namely solve  $\hat{O}_{i,H}(t)$  in terms of  $\hat{O}_{j,H}(t = 0)$ .*

### Solution:

(a) By the Baker-Hausdorff formula,  
 $\hat{U}^\dagger \hat{x} \hat{U} = \hat{x} + [iX\hat{p} + iP\hat{x}, \hat{x}] + \dots = \hat{x} + (iX)(-i\hbar) + 0 + \dots = \hat{x} + X\hbar$ , and

$$\hat{U}^\dagger \hat{p} \hat{U} = \hat{p} + [\hat{U}^\dagger \hat{p} \hat{U}] + \dots = \hat{p} + (\hat{U}^\dagger \hat{p} \hat{U}) + 0 + \dots = \hat{p} - P\hbar.$$

$$\text{Then } \hat{U}^\dagger \cdot \hat{H}_0 \cdot \hat{U} = \frac{1}{2m}(\hat{U}^\dagger \hat{p} \hat{U})^2 + \frac{m\omega^2}{2}(\hat{U}^\dagger \hat{x} \hat{U})^2 = \frac{1}{2m}(\hat{p} - P\hbar)^2 + \frac{m\omega^2}{2}(\hat{x} + X\hbar)^2.$$

Compare this with  $\hat{H}' = \frac{1}{2m}\hat{p}^2 + \frac{m\omega^2}{2}\hat{x}^2 - f \cdot \hat{x} = \frac{1}{2m}\hat{p}^2 + \frac{m\omega^2}{2}(\hat{x} - \frac{f}{m\omega^2})^2 - \frac{f^2}{2m\omega^2}$ , we get  $X = -\frac{f}{m\omega^2\hbar}$ ,  $P = 0$ ,  $c = -\frac{f^2}{2m\omega^2}$ .

(b) According to (a),  $\hat{U}^\dagger \hat{H}_0 \hat{U} = \hat{H}' - c$ , there is one-to-one correspondence between the eigenstates of  $\hat{H}_0$  and  $\hat{H}'$ :

if  $\hat{H}_0|n\rangle = E_n|n\rangle$ , then  $\hat{H}' \cdot \hat{U}^\dagger|n\rangle = (\hat{U}^\dagger \hat{H}_0 \hat{U} + c) \cdot \hat{U}^\dagger|n\rangle = \hat{U}^\dagger \hat{H}_0|n\rangle + c\hat{U}^\dagger|n\rangle = (E_n + c) \cdot \hat{U}^\dagger|n\rangle$ ; conversely, if  $\hat{H}'|n'\rangle = E'_n|n'\rangle$ , then  $\hat{H}_0 \cdot \hat{U}|n'\rangle = (E'_n - c) \cdot \hat{U}|n'\rangle$ .

The ground state of  $\hat{H}'$  is  $\hat{U}^\dagger|0\rangle$  where  $|0\rangle$  is the ground state of  $\hat{H}_0$ .

$$\langle 0'|\hat{x}|0'\rangle = \langle 0|\hat{U}\hat{x}\hat{U}^\dagger|0\rangle, \langle 0'|\hat{p}|0'\rangle = \langle 0|\hat{U}\hat{p}\hat{U}^\dagger|0\rangle.$$

Similar to the calculations in (a),  $\hat{U}\hat{x}\hat{U}^\dagger = \hat{x} - X\hbar = \hat{x} + \frac{f}{m\omega}$ ,  $\hat{U}\hat{p}\hat{U}^\dagger = \hat{p} + P\hbar = \hat{p}$ .

In the ground state  $|0\rangle$  of  $\hat{H}_0$ ,  $\langle 0|\hat{x}|0\rangle = 0$  and  $\langle 0|\hat{p}|0\rangle = 0$ .

This can be seen from  $\hat{x} = \sqrt{\frac{\hbar}{2m\omega}}(\hat{b} + \hat{b}^\dagger)$ , and  $\hat{p} = -i\sqrt{\frac{\hbar m\omega}{2}}(\hat{b} - \hat{b}^\dagger)$ , and  $\langle 0|\hat{b}|0\rangle = \langle 0|\hat{b}^\dagger|0\rangle^* = 0$ .

Therefore  $\langle 0'|\hat{x}|0'\rangle = \langle 0|(\hat{x} + \frac{f}{m\omega^2})|0\rangle = \frac{f}{m\omega^2}$ ,  $\langle 0'|\hat{p}|0'\rangle = \langle 0|\hat{p}|0\rangle = 0$ .

(c) Method #1: Schrödinger picture.

$$|0'\rangle = \exp(-i\frac{f}{m\omega^2\hbar}\hat{p})|0\rangle = \exp[-\frac{f}{m\omega^2\hbar}\sqrt{\frac{\hbar m\omega}{2}}(\hat{b} - \hat{b}^\dagger)]|0\rangle.$$

For notation simplicity, define  $z = \frac{f}{m\omega^2\hbar}\sqrt{\frac{\hbar m\omega}{2}}$ , then  $|0'\rangle = \exp(-z^*\hat{b} + z\hat{b}^\dagger)|0\rangle = e^{-|z|^2/2} \exp(z\hat{b}^\dagger) \exp(-z\hat{b})|0\rangle = e^{-|z|^2/2} \exp(z\hat{b}^\dagger)|0\rangle$  is a boson coherent state.

Denote boson coherent states  $e^{-|z|^2/2} \exp(z\hat{b}^\dagger)|0\rangle$  by  $|z\rangle$  hereafter.

$|\psi(t)\rangle = \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)|0'\rangle = \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot e^{-|z|^2/2} \exp(z\hat{b}^\dagger) \cdot \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t)|0\rangle = e^{-|z|^2/2} \exp\left[z \cdot \exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{b}^\dagger \cdot \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t)\right] \cdot e^{-\frac{i}{\hbar}E_0 \cdot t}|0\rangle$ . Here  $E_0$  is the ground state energy of  $\hat{H}_0$ .

From  $\hat{H}_0 = \hbar\omega \cdot (\hat{b}^\dagger\hat{b} + \frac{1}{2})$ , the commutator  $[-\frac{i}{\hbar}\hat{H}_0 \cdot t, \hat{b}^\dagger] = -i\omega t \cdot \hat{b}$ , then by the Baker-Hausdorff formula,  $\exp(-\frac{i}{\hbar}\hat{H}_0 \cdot t) \cdot \hat{b}^\dagger \cdot \exp(\frac{i}{\hbar}\hat{H}_0 \cdot t) = \sum_{n=0}^{\infty} \frac{(-i\omega t)^n}{n!} \hat{b}^\dagger = e^{-i\omega t} \hat{b}^\dagger$ .

$|\psi(t)\rangle = e^{-|z|^2/2} \cdot \exp(ze^{-i\omega t}\hat{b}^\dagger) \cdot e^{-\frac{i}{\hbar}E_0 \cdot t}|0\rangle = e^{-\frac{i}{\hbar}E_0 \cdot t} |ze^{-i\omega t}\rangle$ , is still a boson coherent state.

Then  $\langle \psi(t)|\hat{b}|\psi(t)\rangle = ze^{-i\omega t}$ ,  $\langle \psi(t)|\hat{b}^\dagger|\psi(t)\rangle = z^*e^{i\omega t}$ .

Finally

$$\langle \psi(t)|\hat{x}|\psi(t)\rangle = \langle \psi(t)|\sqrt{\frac{\hbar}{2m\omega}}(\hat{b} + \hat{b}^\dagger)|\psi(t)\rangle = \sqrt{\frac{\hbar}{2m\omega}}(ze^{-i\omega t} + z^*e^{i\omega t}) = \frac{f}{m\omega^2} \cos(\omega t),$$

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$$\langle \psi(t) | \hat{p} | \psi(t) \rangle = \langle \psi(t) | -i\sqrt{\frac{\hbar m \omega}{2}}(\hat{b} - \hat{b}^\dagger) | \psi(t) \rangle = \sqrt{\frac{\hbar m \omega}{2}}(-iz e^{-i\omega t} + iz^* e^{i\omega t}) = -\frac{f}{\omega} \sin(\omega t),$$

Method #2: Heisenberg picture.

Define the Heisenberg picture operators  $\hat{x}_H(t) = \exp(\frac{i}{\hbar} \hat{H}_0 \cdot t) \cdot \hat{x} \cdot \exp(-\frac{i}{\hbar} \hat{H}_0 \cdot t)$ , and  $\hat{p}_H(t) = \exp(\frac{i}{\hbar} \hat{H}_0 \cdot t) \cdot \hat{p} \cdot \exp(-\frac{i}{\hbar} \hat{H}_0 \cdot t)$ .

They satisfy  $[\hat{x}_H(t), \hat{p}_H(t)] = i\hbar$ . And the Heisenberg picture of  $\hat{H}_0$  is simply  $\hat{H}_{0,H}(t) = \frac{1}{2m}[\hat{p}_H(t)]^2 + \frac{m\omega^2}{2}[\hat{x}_H(t)]^2$ .

The Heisenberg equations of motion for  $\hat{x}_H$  and  $\hat{p}_H$  are

$$\frac{d}{dt}\hat{x}_H(t) = \frac{i}{\hbar}[\hat{H}_{0,H}(t), \hat{x}_H(t)] = \frac{1}{m}\hat{p}_H(t), \text{ and } \frac{d}{dt}\hat{p}_H(t) = \frac{i}{\hbar}[\hat{H}_{0,H}(t), \hat{p}_H(t)] = -m\omega^2\hat{x}_H(t).$$

The solution to these equations is

$$\begin{aligned}\hat{x}_H(t) &= \hat{x}_H(t=0) \cos(\omega t) + \frac{1}{m\omega} \hat{p}_H(t=0) \sin(\omega t) = \hat{x} \cos(\omega t) + \frac{1}{m\omega} \hat{p} \sin(\omega t), \\ \hat{p}_H(t) &= \hat{p}_H(t=0) \cos(\omega t) - m\omega \hat{x}_H(t=0) \sin(\omega t) = \hat{p} \cos(\omega t) - m\omega \hat{x} \sin(\omega t).\end{aligned}$$

Finally,

$$\begin{aligned}\langle \psi(t) | \hat{x} | \psi(t) \rangle &= \langle \psi(t=0) | \hat{x}_H(t) | \psi(t=0) \rangle = \langle 0' | [\hat{x} \cos(\omega t) + \frac{1}{m\omega} \hat{p} \sin(\omega t)] | 0' \rangle = \frac{f}{m\omega^2} \cos(\omega t), \\ \text{and } \langle \psi(t) | \hat{p} | \psi(t) \rangle &= \langle \psi(t=0) | \hat{p}_H(t) | \psi(t=0) \rangle = \langle 0' | [\hat{p} \cos(\omega t) - m\omega \hat{x} \sin(\omega t)] | 0' \rangle \\ &= -m\omega \frac{f}{m\omega^2} \sin(\omega t) = -\frac{f}{\omega} \sin(\omega t).\end{aligned}$$

(d) According to the method #1 of (c),  $|\psi(t)\rangle = e^{-\frac{i}{\hbar} E_0 \cdot t} |ze^{-i\omega t}\rangle$  is a boson coherent state,  $\hat{b}|\psi(t)\rangle = ze^{-i\omega t}|\psi(t)\rangle$  with  $z = \frac{f}{m\omega^2\hbar} \sqrt{\frac{\hbar m \omega}{2}}$ .

$$\hat{x}^2 = \frac{\hbar}{2m\omega}(\hat{b} + \hat{b}^\dagger)^2 = \frac{\hbar}{2m\omega}[\hat{b}^2 + (\hat{b}^\dagger)^2 + 2\hat{b}^\dagger\hat{b} + 1].$$

$$\hat{p}^2 = -\frac{\hbar m \omega}{2}(\hat{b} - \hat{b}^\dagger)^2 = \frac{\hbar m \omega}{2}[-\hat{b}^2 - (\hat{b}^\dagger)^2 + 2\hat{b}^\dagger\hat{b} + 1].$$

Finally

$$\begin{aligned}\langle \psi(t) | \hat{x}^2 | \psi(t) \rangle &= \frac{\hbar}{2m\omega}[z^2 e^{-2i\omega t} + (z^*)^2 e^{2i\omega t} + 2|z|^2 + 1] = \frac{\hbar}{2m\omega}[(ze^{-i\omega t} + z^* e^{i\omega t})^2 + 1] \\ &= [\frac{f}{m\omega^2} \cos(\omega t)]^2 + \frac{\hbar}{2m\omega}, \text{ and} \\ \langle \psi(t) | \hat{p}^2 | \psi(t) \rangle &= \frac{\hbar m \omega}{2}[-z^2 e^{-2i\omega t} - (z^*)^2 e^{2i\omega t} + 2|z|^2 + 1] = \frac{\hbar m \omega}{2}[-(ze^{-i\omega t} - z^* e^{i\omega t})^2 + 1] \\ &= [\frac{f}{\omega} \sin(\omega t)]^2 + \frac{\hbar m \omega}{2}.\end{aligned}$$

Combine these with the result of (c), the variance of  $\hat{x}$  and  $\hat{p}$  under state  $|\psi(t)\rangle$  are  $\langle x^2 \rangle - \langle x \rangle^2 = \frac{\hbar}{2m\omega}$  and  $\langle p^2 \rangle - \langle p \rangle^2 = \frac{\hbar m \omega}{2}$ , independent of time, and satisfy the uncertainty relation  $(\langle x^2 \rangle - \langle x \rangle^2)(\langle p^2 \rangle - \langle p \rangle^2) \geq \frac{\hbar^2}{4}$ .

(e).  $\frac{d}{dt}\hat{O}_{1,H}(t) = 2\omega\hat{O}_{2,H}(t)$ , and  $\frac{d}{dt}\hat{O}_{2,H}(t) = -2\omega\hat{O}_{1,H}(t)$ .

Method #1: use the Heisenberg equations of motion,  $\frac{d}{dt}\hat{O}_H(t) = \frac{i}{\hbar}[\hat{H}_{0,H}(t), \hat{O}_H(t)]$ , and compute the commutators using  $[\hat{A}\hat{B}, \hat{C}\hat{D}] = \hat{A}[\hat{B}, \hat{C}]\hat{D} + [\hat{A}, \hat{C}]\hat{B}\hat{D} + \hat{C}\hat{A}[\hat{B}, \hat{D}] + \hat{C}[\hat{A}, \hat{D}]\hat{B}$  and  $[\hat{x}_H(t), \hat{p}_H(t)] = i\hbar$ .

Method #2: use the Heisenberg equations of motion for  $\hat{x}_H$  and  $\hat{p}_H$  in method #2 of (c).

$$\frac{d}{dt}\hat{x}_H = \frac{1}{m}\hat{p}_H, \text{ and } \frac{d}{dt}\hat{p}_H = -m\omega^2\hat{x}_H.$$

For notation simplicity, the argument  $t$  for Heisenberg picture operators are omitted here.

$$\begin{aligned} \frac{d}{dt}(m^2\omega^2\hat{x}_H^2 - \hat{p}_H^2) &= m^2\omega^2\left(\frac{d}{dt}\hat{x}_H \cdot \hat{x}_H + \hat{x}_H \cdot \frac{d}{dt}\hat{x}_H\right) - \left(\frac{d}{dt}\hat{p}_H \cdot \hat{p}_H + \hat{p}_H \cdot \frac{d}{dt}\hat{p}_H\right) \\ &= m^2\omega^2 \cdot \frac{1}{m}(\hat{p}_H\hat{x}_H + \hat{x}_H\hat{p}_H) - (-m\omega^2)(\hat{x}_H\hat{p}_H + \hat{p}_H\hat{x}_H) = 2\omega \cdot m\omega(\hat{x}_H\hat{p}_H + \hat{p}_H\hat{x}_H) \\ \frac{d}{dt}[(m\omega(\hat{x}_H\hat{p}_H + \hat{p}_H\hat{x}_H)] &= m\omega\left(\frac{d}{dt}\hat{x}_H \cdot \hat{p}_H + \hat{x}_H \cdot \frac{d}{dt}\hat{p}_H + \frac{d}{dt}\hat{p}_H \cdot \hat{x}_H + \hat{p}_H \cdot \frac{d}{dt}\hat{x}_H\right) \\ &= m\omega\left(\frac{1}{m}\hat{p}_H \cdot \hat{p}_H - m\omega^2\hat{x}_H \cdot \hat{x}_H - m\omega^2\hat{x}_H \cdot \hat{x}_H + \frac{1}{m}\hat{p}_H \cdot \hat{p}_H\right) = -2\omega \cdot (m^2\omega^2\hat{x}_H^2 - \hat{p}_H^2). \end{aligned}$$

(f). The solution is

$$\begin{aligned} \hat{O}_{1,H}(t) &= \hat{O}_{1,H}(t=0) \cos(2\omega t) + \hat{O}_{2,H}(t=0) \sin(2\omega t), \\ \hat{O}_{2,H}(t) &= \hat{O}_{2,H}(t=0) \cos(2\omega t) - \hat{O}_{1,H}(t=0) \sin(2\omega t). \end{aligned}$$

$$\text{Method \#1: write the equations in (e) as } \frac{d}{dt} \begin{pmatrix} \hat{O}_{1,H} \\ \hat{O}_{2,H} \end{pmatrix} = \begin{pmatrix} 0 & 2\omega \\ -2\omega & 0 \end{pmatrix} \cdot \begin{pmatrix} \hat{O}_{1,H} \\ \hat{O}_{2,H} \end{pmatrix}.$$

$$\text{The solution is } \begin{pmatrix} \hat{O}_{1,H} \\ \hat{O}_{2,H} \end{pmatrix} = \exp \left[ \begin{pmatrix} 0 & 2\omega \\ -2\omega & 0 \end{pmatrix} \cdot t \right] \cdot \begin{pmatrix} \hat{O}_{1,H}(t=0) \\ \hat{O}_{2,H}(t=0) \end{pmatrix}.$$

$$\exp \left[ \begin{pmatrix} 0 & 2\omega t \\ -2\omega t & 0 \end{pmatrix} \right] = \exp[i \cdot (2\omega t) \cdot \sigma_2] = \cos(2\omega t)\sigma_0 + i \sin(2\omega t)\sigma_2 = \begin{pmatrix} \cos(2\omega t) & \sin(2\omega t) \\ -\sin(2\omega t) & \cos(2\omega t) \end{pmatrix}.$$

One can also first diagonalize the  $2 \times 2$  matrix  $\begin{pmatrix} 0 & 2\omega t \\ -2\omega t & 0 \end{pmatrix}$ . Or equivalently consider  $\frac{d}{dt}(\hat{O}_{1,H} \pm i\hat{O}_{2,H}) = \pm(2\omega i) \cdot (\hat{O}_{1,H} \pm i\hat{O}_{2,H})$ , whose solution is  $(\hat{O}_{1,H} \pm i\hat{O}_{2,H}) = e^{\pm 2\omega t i} [\hat{O}_{1,H}(t=0) \pm i\hat{O}_{2,H}(t=0)]$ .

Method #2: In fact these can be obtained without using the equations of motion in (e).

Use  $\hat{x}_H = \hat{x} \cos(\omega t) + \frac{1}{m\omega}\hat{p} \sin(\omega t)$ , and  $\hat{p}_H = \hat{p} \cos(\omega t) - m\omega\hat{x} \sin(\omega t)$ . Then

$$\begin{aligned} \hat{O}_{1,H} &= m^2\omega^2\hat{x}_H^2 - \hat{p}_H^2 = m^2\omega^2[\hat{x} \cos(\omega t) + \frac{1}{m\omega}\hat{p} \sin(\omega t)]^2 - [\hat{p} \cos(\omega t) - m\omega\hat{x} \sin(\omega t)]^2 \\ &= (m^2\omega^2\hat{x}^2 - \hat{p}^2) \cdot [\cos(\omega t)^2 - \sin(\omega t)^2] + m\omega(\hat{x}\hat{p} + \hat{p}\hat{x}) \cdot 2 \cos(\omega t) \sin(\omega t), \text{ and} \end{aligned}$$

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$$\begin{aligned}\hat{O}_{2,H} &= m\omega(\hat{x}_H\hat{p}_H + \hat{p}_H\hat{x}_H) = m\omega \cdot \left\{ [\hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t)] \cdot [\hat{p}\cos(\omega t) - m\omega\hat{x}\sin(\omega t)] \right. \\ &\quad \left. + [\hat{p}\cos(\omega t) - m\omega\hat{x}\sin(\omega t)] \cdot [\hat{x}\cos(\omega t) + \frac{1}{m\omega}\hat{p}\sin(\omega t)] \right\} \\ &= (m^2\omega^2\hat{x}^2 - \hat{p}^2) \cdot [-2\cos(\omega t)\sin(\omega t)] + m\omega(\hat{x}\hat{p} + \hat{p}\hat{x}) \cdot [\cos(\omega t)^2 - \sin(\omega t)^2].\end{aligned}$$

2. Consider a spin-1/2 moment. Its state belongs to a two-dimensional Hilbert space, with complete orthonormal basis  $|\uparrow\rangle$  and  $|\downarrow\rangle$  (spin up and down). Consider a periodic magnetic field  $B(t)$  with period  $T > 0$ ,  $B(t) = \begin{cases} B, & \text{if } n < \frac{t}{T} < n + \frac{1}{2} \text{ for some integer } n; \\ -B, & \text{if } n + \frac{1}{2} < \frac{t}{T} < n + 1 \text{ for some integer } n. \end{cases}$

Here  $B$  is a positive constant. The Hamiltonian is  $\hat{H}(t) = -B(t) \cdot \sigma_3$ , where  $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  is Pauli matrix.

(a). (5pts) Write down the explicit form of time-evolution operator  $\hat{U}(t)$ , in terms of Pauli matrices. [Hint: although  $\hat{H}$  is time-dependent,  $\hat{H}$  at different time commute]

(b). (5pts) Given the state at  $t = 0$  as  $|\psi(t = 0)\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  under the above basis. Compute the time-dependent expectation values  $\langle\psi(t)|\sigma_1|\psi(t)\rangle$ ,  $\langle\psi(t)|\sigma_2|\psi(t)\rangle$ ,  $\langle\psi(t)|\sigma_3|\psi(t)\rangle$ .

(c). (5pts) Compute the “retarded Green’s function”, the Fourier transform of  $\hat{U}(t)$  over  $t > 0$ ,  $\hat{G}(\omega) = \mathbb{i} \int_0^\infty \text{Tr}[\hat{U}(t)] e^{\mathbb{i}\omega t} dt$ . Find out the “energy spectrum” namely the poles of  $\hat{G}(\omega)$ . Here  $\text{Tr}$  is the (matrix) trace. [Hint: to make this integral absolutely convergent, you can add an infinitesimal positive imaginary part to  $\omega$ , namely compute  $\tilde{G}(\omega + \mathbb{i}\delta)$  and eventually take  $\delta \rightarrow +0$  limit]

(NOT REQUIRED) At any instant of time,  $\hat{H}(t)$  has the same eigenvalues  $\pm B$ . However these are not the poles of  $\tilde{G}(\omega)$  solved in (c). When the period  $T \rightarrow +\infty$ , will the spectrum in (c) goes back to the spectrum of a time-independent Hamiltonian with only two poles at  $\omega = \pm B/\hbar$ ?

**Solution:**

(a) Because  $\hat{H}(t)$  for different time commute,

$$\hat{U}(t) = \exp(-\frac{i}{\hbar} \int_0^t \hat{H}(t) dt) = \exp(\frac{i}{\hbar} \hat{\sigma}_3 \int_0^t B(t) dt)$$

$$= \cos(\frac{1}{\hbar} \int_0^t B(t) dt) \sigma_0 + i \sin(\frac{1}{\hbar} \int_0^t B(t) dt) \sigma_3 \quad [\text{check Homework \#1 Problem 6(b)}]$$

$$= \begin{pmatrix} \exp(\frac{i}{\hbar} \int_0^t B(t) dt) & 0 \\ 0 & \exp(-\frac{i}{\hbar} \int_0^t B(t) dt) \end{pmatrix}.$$

$$\int_0^t B(t) dt = \begin{cases} B \cdot (t - nT), & \text{if } n < \frac{t}{T} < n + \frac{1}{2} \text{ for some integer } n; \\ B \cdot ((n+1)T - t), & \text{if } n + \frac{1}{2} < \frac{t}{T} < n + 1 \text{ for some integer } n. \end{cases}$$

$$\text{So } \hat{U}(t) = \begin{cases} \cos(\frac{B}{\hbar}(t - nT)) \sigma_0 + i \sigma_3 \sin(\frac{B}{\hbar}(t - nT)), & \text{if } n < \frac{t}{T} < n + \frac{1}{2}; \\ \cos(\frac{B}{\hbar}((n+1)T - t)) \sigma_0 + i \sigma_3 \sin(\frac{B}{\hbar}((n+1)T - t)), & \text{if } n + \frac{1}{2} < \frac{t}{T} < n + 1. \end{cases}$$

(b) for notation simplicity, define

$$f(t) = \begin{cases} (t - nT), & \text{if } n < \frac{t}{T} < n + \frac{1}{2} \text{ for some integer } n; \\ ((n+1)T - t), & \text{if } n + \frac{1}{2} < \frac{t}{T} < n + 1 \text{ for some integer } n. \end{cases}$$

which is a periodic function,  $f(t) = f(t + T)$ .

Then  $\hat{U}(t) = \exp[\frac{iB}{\hbar} f(t) \hat{\sigma}_3] = \cos[\frac{B}{\hbar} f(t)] \sigma_0 + i \sin[\frac{B}{\hbar} f(t)] \sigma_3$ . And

$$\hat{U}^\dagger(t) \sigma_1 \hat{U}(t) = \cos[2\frac{B}{\hbar} f(t)] \sigma_1 + \sin[2\frac{B}{\hbar} f(t)] \sigma_2,$$

$$\hat{U}^\dagger(t) \sigma_2 \hat{U}(t) = \cos[2\frac{B}{\hbar} f(t)] \sigma_2 - \sin[2\frac{B}{\hbar} f(t)] \sigma_1, \text{ and}$$

$$\hat{U}^\dagger(t) \sigma_3 \hat{U}(t) = \sigma_3.$$

Use the initial conditions  $\langle \psi(t=0) | \sigma_1 | \psi(t=0) \rangle = 1$ ,  $\langle \psi(t=0) | \sigma_2 | \psi(t=0) \rangle = 0$ ,  $\langle \psi(t=0) | \sigma_3 | \psi(t=0) \rangle = 0$ .

The expectation values at time  $t$  are  $\langle \psi(t) | \sigma_a | \psi(t) \rangle = \langle \psi(t=0) | \hat{U}^\dagger(t) \sigma_a \hat{U}(t) | \psi(t=0) \rangle$  for  $a = 1, 2, 3$ .

$$\text{Finally, } \langle \psi(t) | \sigma_1 | \psi(t) \rangle = \cos[2\frac{B}{\hbar} f(t)], \langle \psi(t) | \sigma_2 | \psi(t) \rangle = -\sin[2\frac{B}{\hbar} f(t)], \langle \psi(t) | \sigma_3 | \psi(t) \rangle = 0.$$

The spin is actually oscillating back and forth in  $xy$ -plane.

$$(c) \hat{G}(\omega) = i \int_0^\infty \text{Tr}[\hat{U}(t)] e^{i\omega t} dt = i \int_0^\infty 2 \cos[\frac{B}{\hbar} f(t)] e^{i\omega t} dt.$$

Because of the periodicity of  $f(t)$ ,

$$2i \int_0^\infty \cos[\frac{B}{\hbar} f(t)] e^{i\omega t} dt = 2i \sum_{n=0}^\infty e^{i\omega T n} \int_0^T \cos[\frac{B}{\hbar} f(t)] e^{i\omega t} dt.$$

By adding a small positive imaginary part to  $\omega$ , this infinite series becomes absolutely convergent. Then formally this is  $2i \cdot (1 - e^{i\omega T})^{-1} \cdot \int_0^T \cos[\frac{B}{\hbar} f(t)] e^{i\omega t} dt$ .

$$\begin{aligned} \text{The last integral over a period is } & \int_0^{T/2} \cos(\frac{B}{\hbar} t) e^{i\omega t} dt + \int_{T/2}^T \cos(\frac{B}{\hbar} \cdot (T - t)) e^{i\omega t} dt \\ = & \int_0^{T/2} \frac{1}{2} [e^{it \cdot (\omega + \frac{B}{\hbar})} + e^{it \cdot (\omega - \frac{B}{\hbar})}] dt + \int_{T/2}^T \frac{1}{2} [e^{it \cdot (\omega - \frac{B}{\hbar})} e^{i\frac{B}{\hbar} T} + e^{it \cdot (\omega + \frac{B}{\hbar})} e^{-i\frac{B}{\hbar} T}] dt \end{aligned}$$

$$= \frac{1}{2i} \left[ \frac{e^{iT \cdot (\omega + \frac{B}{\hbar})/2} - 1}{\omega + B/\hbar} + \frac{e^{iT \cdot (\omega - \frac{B}{\hbar})/2} - 1}{\omega - B/\hbar} + \frac{e^{iT \cdot (\omega - \frac{B}{\hbar})} - e^{iT \cdot (\omega - \frac{B}{\hbar})/2}}{\omega - B/\hbar} e^{i \frac{B}{\hbar} T} + \frac{e^{iT \cdot (\omega + \frac{B}{\hbar})} - e^{iT \cdot (\omega + \frac{B}{\hbar})/2}}{\omega + B/\hbar} e^{-i \frac{B}{\hbar} T} \right]$$

$$= \frac{1}{2i} \left[ \frac{(e^{iT \cdot (\omega + \frac{B}{\hbar})/2} - 1)(e^{iT \cdot (\omega - \frac{B}{\hbar})/2} + 1)}{\omega + B/\hbar} + \frac{(e^{iT \cdot (\omega - \frac{B}{\hbar})/2} - 1)(e^{iT \cdot (\omega + \frac{B}{\hbar})/2} + 1)}{\omega - B/\hbar} \right]$$

Finally  $\hat{G}(\omega) = \frac{1}{1 - e^{i\omega T}} \left[ \frac{(e^{iT \cdot (\omega + \frac{B}{\hbar})/2} - 1)(e^{iT \cdot (\omega - \frac{B}{\hbar})/2} + 1)}{\omega + B/\hbar} + \frac{(e^{iT \cdot (\omega - \frac{B}{\hbar})/2} - 1)(e^{iT \cdot (\omega + \frac{B}{\hbar})/2} + 1)}{\omega - B/\hbar} \right]$

Only the factor  $\frac{1}{1 - e^{i\omega T}}$  has simple poles at  $\omega = \frac{2\pi}{T}n$  for integer  $n$ . The last factor in  $[\dots]$  has no singularity for finite  $\omega$ , even for  $\omega \rightarrow \pm \frac{B}{\hbar}$ .

Side remarks: the residue at  $\omega = \frac{2\pi}{T}n$  is  $\frac{\sin(\frac{BT}{2\hbar})}{\frac{BT}{2\hbar}} \cdot \frac{2 \cdot (-1)^n}{(\frac{2\pi n}{T} \cdot \frac{\hbar}{B})^2 - 1}$ .

Use  $\sum_{n=-\infty}^{\infty} \frac{(-1)^n}{(n/x)^2 - 1} = -\frac{\pi x}{\sin(\pi x)}$ , we have the “sum rule”, the sum of these residues is a constant,  $\sum_n \frac{\sin(\frac{BT}{2\hbar})}{\frac{BT}{2\hbar}} \cdot \frac{2 \cdot (-1)^n}{(\frac{2\pi n}{T} \cdot \frac{\hbar}{B})^2 - 1} = \frac{\sin(\frac{BT}{2\hbar})}{\frac{BT}{2\hbar}} \cdot 2 \cdot \left(-\frac{BT/2\hbar}{\sin(BT/2\hbar)}\right) = -2$ .

Note that these “energy levels” depends only on the period  $T$ , but is independent of the field strength  $B$ . The distribution of spectral weights (the residues) does depend on  $B$ .

3. Consider the spin-1/2 moment defined in Problem 2. Under the  $|\uparrow\rangle, |\downarrow\rangle$  basis, the Hamiltonian at time  $t$  is  $\hat{H}(t) = -B \cos(\omega t) \sigma_1 - B \sin(\omega t) \sigma_2$ . Here  $B, \omega$  are positive constants,  $\sigma_{1,2}$  are Pauli matrices.

(a). (5pts) The time evolution operator  $\hat{U}(t)$  satisfies  $i\hbar \frac{d}{dt} \hat{U}(t) = \hat{H}(t) \cdot \hat{U}(t)$ , and  $\hat{U}(t=0) = \hat{\mathbb{1}}$ , and is a  $2 \times 2$  matrix under the  $|\uparrow\rangle, |\downarrow\rangle$  basis. Assume that  $B$  is a small parameter, compute  $\hat{U}(t)$  up to  $B^2$  order by the Dyson series.

(b). (DIFFICULT) (5pts) The time evolution can be solved exactly. Assume the solution to the Schrödinger equation,  $i\hbar \frac{d}{dt} |\psi, t\rangle = \hat{H}(t) |\psi, t\rangle$ , is  $|\psi, t\rangle = c_1(t) |\uparrow\rangle + c_2(t) e^{i\omega t} |\downarrow\rangle$ . Solve  $c_1(t)$  and  $c_2(t)$  in terms of the initial values  $c_1(t=0)$  and  $c_2(t=0)$ , and therefore solve the unitary time evolution operator  $\hat{U}(t)$  as a  $2 \times 2$  matrix under  $|\uparrow\rangle, |\downarrow\rangle$  basis. [note that  $\begin{pmatrix} c_1(t) \\ c_2(t) e^{i\omega t} \end{pmatrix} = \hat{U}(t) \cdot \begin{pmatrix} c_1(t=0) \\ c_2(t=0) \end{pmatrix}$  under the time-independent basis  $|\uparrow\rangle, |\downarrow\rangle$ ]

**Solution:**

(a).  $\hat{U}(t) = \hat{\mathbb{1}} + \frac{-i}{\hbar} \int_0^t dt_1 \hat{H}(t_1) + \left(\frac{-i}{\hbar}\right)^2 \int_0^t dt_1 \int_0^{t_1} dt_2 \hat{H}(t_1) \hat{H}(t_2) + \dots$

$$\int_0^t dt_1 \hat{H}(t_1) = -\frac{B}{\omega} [\sin(\omega t) \sigma_1 + (1 - \cos(\omega t)) \sigma_2]$$

$$\int_0^t dt_1 \int_0^{t_1} dt_2 \hat{H}(t_1) \hat{H}(t_2) = \int_0^t dt_1 \hat{H}(t_1) \cdot \left(-\frac{B}{\omega}\right) [\sin(\omega t_1) \sigma_1 + (1 - \cos(\omega t_1)) \sigma_2]$$

$$\begin{aligned}
&= \frac{B^2}{\omega} \int_0^t dt_1 \{ (\cos(\omega t) \sin(\omega t) + \sin(\omega t)(1 - \cos(\omega t)) \\
&\quad + [\cos(\omega t)(1 - \cos(\omega t)) - \sin(\omega t) \sin(\omega t)](\mathbf{i}\sigma_3) \} \\
&= \frac{B^2}{\omega^2} [(1 - \cos(\omega t)) + (\sin(\omega t) - \omega t)(\mathbf{i}\sigma_3)] \\
&\quad \hat{U}(t) = \mathbb{1}_{2 \times 2} + \mathbf{i} \frac{B}{\hbar \omega} [\sin(\omega t) \sigma_1 + (1 - \cos(\omega t)) \sigma_2] - \frac{B^2}{\hbar^2 \omega^2} [(1 - \cos(\omega t)) + (\sin(\omega t) - \omega t)(\mathbf{i}\sigma_3)] + \dots \\
&= \mathbb{1}_{2 \times 2} + \frac{B}{\hbar \omega} \begin{pmatrix} 0 & (1 - e^{-\mathbf{i}\omega t}) \\ (1 - e^{\mathbf{i}\omega t}) & 0 \end{pmatrix} + \frac{B^2}{\hbar^2 \omega^2} \begin{pmatrix} e^{-\mathbf{i}\omega t} - 1 + \mathbf{i}\omega t & 0 \\ 0 & e^{\mathbf{i}\omega t} - 1 - \mathbf{i}\omega t \end{pmatrix} + \dots
\end{aligned}$$

$$\begin{aligned}
&\text{(b) From } \mathbf{i}\hbar \frac{d}{dt} \begin{pmatrix} c_1(t) \\ c_2(t)e^{\mathbf{i}\omega t} \end{pmatrix} = \begin{pmatrix} 0 & -Be^{-\mathbf{i}\omega t} \\ -Be^{\mathbf{i}\omega t} & 0 \end{pmatrix} \begin{pmatrix} c_1(t) \\ c_2(t)e^{\mathbf{i}\omega t} \end{pmatrix}, \\
&\mathbf{i}\hbar \frac{d}{dt} \begin{pmatrix} c_1(t) \\ c_2(t) \end{pmatrix} = \begin{pmatrix} 0 & -B \\ -B & \hbar\omega \end{pmatrix} \begin{pmatrix} c_1(t) \\ c_2(t) \end{pmatrix} = (-B\sigma_1 - \frac{\hbar\omega}{2}\sigma_3 + \frac{\hbar\omega}{2}\mathbb{1}_{2 \times 2}) \begin{pmatrix} c_1(t) \\ c_2(t) \end{pmatrix}. \\
&\text{Therefore } \begin{pmatrix} c_1(t) \\ c_2(t) \end{pmatrix} = \exp(-\frac{\mathbf{i}}{\hbar} \cdot [-B\sigma_1 - \frac{\hbar\omega}{2}\sigma_3 + \frac{\hbar\omega}{2}\mathbb{1}_{2 \times 2}] \cdot t) \cdot \begin{pmatrix} c_1(t=0) \\ c_2(t=0) \end{pmatrix}.
\end{aligned}$$

Use the result of Homework #1 Problem 6(b),

$$\exp(-\frac{\mathbf{i}}{\hbar} \cdot [-B\sigma_1 - \frac{\hbar\omega}{2}\sigma_3 + \frac{\hbar\omega}{2}\mathbb{1}_{2 \times 2}] \cdot t) = e^{-\mathbf{i}\omega t/2} [\cos(\Omega t) \mathbb{1}_{2 \times 2} + \mathbf{i} \sin(\Omega t) (\frac{B/\hbar}{\Omega} \sigma_1 + \frac{\omega/2}{\Omega} \sigma_3)], \text{ where } \Omega = \sqrt{(\frac{B}{\hbar})^2 + (\frac{\omega}{2})^2}.$$

$$\begin{pmatrix} c_1(t) \\ c_2(t) \end{pmatrix} = e^{-\mathbf{i}\omega t/2} \cdot \begin{pmatrix} \cos(\Omega t) + \mathbf{i} \frac{\omega}{2\Omega} \sin(\Omega t) & \mathbf{i} \frac{B}{\hbar\Omega} \sin(\Omega t) \\ \mathbf{i} \frac{B}{\hbar\Omega} \sin(\Omega t) & \cos(\Omega t) - \mathbf{i} \frac{\omega}{2\Omega} \sin(\Omega t) \end{pmatrix} \begin{pmatrix} c_1(t=0) \\ c_2(t=0) \end{pmatrix}.$$

Equivalently,

$$\begin{pmatrix} c_1(t) \\ c_2(t)e^{\mathbf{i}\omega t} \end{pmatrix} = \begin{pmatrix} e^{-\mathbf{i}\omega t/2} [\cos(\Omega t) + \mathbf{i} \frac{\omega}{2\Omega} \sin(\Omega t)] & e^{-\mathbf{i}\omega t/2} \mathbf{i} \frac{B}{\hbar\Omega} \sin(\Omega t) \\ e^{\mathbf{i}\omega t/2} \mathbf{i} \frac{B}{\hbar\Omega} \sin(\Omega t) & e^{\mathbf{i}\omega t/2} [\cos(\Omega t) - \mathbf{i} \frac{\omega}{2\Omega} \sin(\Omega t)] \end{pmatrix} \begin{pmatrix} c_1(t=0) \\ c_2(t=0) \end{pmatrix}$$

$$\text{Therefore } U(t) = \begin{pmatrix} e^{-\mathbf{i}\omega t/2} [\cos(\Omega t) + \mathbf{i} \frac{\omega}{2\Omega} \sin(\Omega t)] & e^{-\mathbf{i}\omega t/2} \mathbf{i} \frac{B}{\hbar\Omega} \sin(\Omega t) \\ e^{\mathbf{i}\omega t/2} \mathbf{i} \frac{B}{\hbar\Omega} \sin(\Omega t) & e^{\mathbf{i}\omega t/2} [\cos(\Omega t) - \mathbf{i} \frac{\omega}{2\Omega} \sin(\Omega t)] \end{pmatrix}.$$

One can check that expanding this formula as a series of  $B$  reproduces the result of (a).