

# Advanced Quantum Mechanics: Fall 2019

## Final Exam: Brief Solutions

**NOTE:** Sentences in *italic fonts* are questions to be answered.

**Possibly Useful facts:**

- $\epsilon_{abc} \equiv \begin{cases} +1, & abc = xyz, \text{ or } yzx, \text{ or } zxy; \\ -1, & abc = zyx, \text{ or } xzy, \text{ or } yxz; \\ 0, & \text{otherwise.} \end{cases}$   $\epsilon_{abc} = \epsilon_{bca} = -\epsilon_{acb}$ .  $\delta_{ab} \equiv \begin{cases} 1, & a = b; \\ 0, & a \neq b. \end{cases}$
- Some Taylor expansions:  $\exp(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!} = 1 + x + \frac{x^2}{2} + \frac{x^3}{6} + O(x^4)$ ,  
 $\sqrt{1+x} = 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \frac{1}{16}x^3 + O(x^4)$ ,  $\frac{1}{\sqrt{1+x}} = 1 - \frac{1}{2}x + \frac{3}{8}x^2 - \frac{5}{16}x^3 + O(x^4)$ .
- Baker-Hausdorff formula:  $\exp(\hat{A}) \cdot \hat{B} \cdot \exp(-\hat{A}) = \hat{B} + \sum_{n=1}^{+\infty} \frac{1}{n!} \underbrace{[\hat{A}, [\hat{A}, \dots [\hat{A}, \hat{B}] \dots ]]}_{n\text{-fold commutator}}]$ .
- Spin (angular momentum) operators satisfy  $[\hat{S}_a, \hat{S}_b] = i \sum_c \epsilon_{abc} \hat{S}_c$ . ( $a, b, c = x, y, z$ )
  - $\hat{\mathbf{S}}^2 \equiv \sum_a \hat{S}_a^2$ ,  $\hat{S}_{\pm} \equiv \hat{S}_x \pm i\hat{S}_y$ .  $[\hat{\mathbf{S}}^2, \hat{S}_{x,y,z}] = 0$ ,  $[\hat{S}_z, \hat{S}_{\pm}] = \pm \hat{S}_{\pm}$ . Basis  $|S, m\rangle$  satisfy,  
 $\hat{S}_z|S, m\rangle = m|S, m\rangle$ ,  $\hat{S}_{\pm}|S, m\rangle = \sqrt{(S \mp m)(S \pm m + 1)}|S, m \pm 1\rangle$ ,  $\hat{\mathbf{S}}^2|S, m\rangle = S(S+1)|S, m\rangle$ .  $2S$  is non-negative integer,  $m = -S, -S+1, \dots, S$ .
  - $e^{-i\theta \mathbf{n} \cdot \hat{\mathbf{S}}} \cdot \hat{S}_a \cdot e^{i\theta \mathbf{n} \cdot \hat{\mathbf{S}}} = \sum_b \hat{S}_b \cdot [R_{\mathbf{n}}(\theta)]_{ba}$ .  $SO(3)$  matrix for rotation around axis  $\mathbf{n}$  by angle  $\theta$  is  $[R_{\mathbf{n}}(\theta)]_{ab} = n_a n_b + \cos \theta (\delta_{ab} - n_a n_b) - \sin \theta \sum_c \epsilon_{abc} n_c$ , here  $\mathbf{n}$  is 3D unit-length real vector,  $\mathbf{n} \cdot \hat{\mathbf{S}} \equiv n_x \hat{S}_x + n_y \hat{S}_y + n_z \hat{S}_z$ .
  - $\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j \equiv \hat{S}_{iz} \hat{S}_{jz} + \hat{S}_{ix} \hat{S}_{jx} + \hat{S}_{iy} \hat{S}_{jy} = \hat{S}_{iz} \hat{S}_{jz} + \frac{1}{2}(\hat{S}_{i+} \hat{S}_{j-} + \hat{S}_{i-} \hat{S}_{j+})$ .
- Spin-1/2:  $\hat{S}_a = \sigma_a/2$  under the  $\hat{S}_z$  eigenbasis ( $a = x, y, z$ ).  
 Pauli matrices  $\sigma_a$  are  $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .  $\{\sigma_a, \sigma_b\} = 2\delta_{ab}\mathbb{1}$ .  
 $\exp(-i\theta \mathbf{n} \cdot \boldsymbol{\sigma}) = \cos(\theta)\mathbb{1} - i \sin(\theta)(\mathbf{n} \cdot \boldsymbol{\sigma})$ .  $|\hat{S}_z = \pm \frac{1}{2}\rangle$  are denoted by  $|\uparrow\rangle$  and  $|\downarrow\rangle$ .
- Spin-1:  $\hat{S}_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$ ,  $\hat{S}_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}$ ,  $\hat{S}_z = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$ , under the  $\hat{S}_z$  eigenbasis.
- The  $D_4$  group:  $\{(C_4)^{(n \bmod 4)}(\sigma_s)^{(m \bmod 2)} | C_4^4 = \sigma_s^2 = C_4 \sigma_s C_4 \sigma_s = \mathbb{1}\}$ .  
 8 elements, 5 conjugacy classes:  $\{\mathbb{1}\}$ ,  $\{C_4, C_4^3\}$ ,  
 $\{C_4^2\}$ ,  $\{\sigma_s, C_4^2 \sigma_s\}$ , and  $\{C_4 \sigma_s \equiv \sigma_d, C_4^3 \sigma_s\}$ .  
 Character table for irreducible representations  
 (irrep)  $\Gamma_{1,2,3,4,5}$  is given on the right,

	$\mathbb{1}$	$2C_4$	$C_4^2$	$2\sigma_s$	$2\sigma_d$
$\Gamma_1$	1	1	1	1	1
$\Gamma_2$	1	1	1	-1	-1
$\Gamma_3$	1	-1	1	1	-1
$\Gamma_4$	1	-1	1	-1	1
$\Gamma_5$	2	0	-2	0	0

**Problem 1.** (15 points) For a single non-relativistic particle in harmonic potential,  $\hat{H}_{1\text{-body}} = \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2$ , define ladder operators  $\hat{a}_{1\text{-body}} = \sqrt{\frac{m\omega}{2\hbar}}(\hat{x} + i\frac{1}{m\omega}\hat{p})$ , then  $[\hat{a}_{1\text{-body}}, \hat{a}_{1\text{-body}}^\dagger] = 1$ , and  $\hat{H}_{0,1\text{-body}} = \hbar\omega \cdot (\hat{a}_{1\text{-body}}^\dagger \hat{a}_{1\text{-body}} + \frac{1}{2})$ . It has a unique ground state  $|\psi_{0,1\text{-body}}\rangle$  with  $\hat{a}_{1\text{-body}}|\psi_{0,1\text{-body}}\rangle = 0$ ,  $\psi_{0,1\text{-body}}(x) = (\frac{m\omega}{\pi\hbar})^{1/4} \exp(-\frac{m\omega}{2\hbar}x^2)$ , and excited states  $|\psi_{n,1\text{-body}}\rangle \equiv \frac{1}{\sqrt{n!}}(\hat{a}_{1\text{-body}}^\dagger)^n|\psi_{0,1\text{-body}}\rangle$  with single particle energy  $E_{n,1\text{-body}} = (n + \frac{1}{2})\hbar\omega$ .

Consider two identical fermions, the “first quantized” form of the unperturbed Hamiltonian is  $\hat{H}_0 = \frac{\hat{p}_1^2}{2m} + \frac{m\omega^2\hat{x}_1^2}{2} + \frac{\hat{p}_2^2}{2m} + \frac{m\omega^2\hat{x}_2^2}{2}$ , here subscripts <sub>1</sub> and <sub>2</sub> label the two particles,  $[\hat{x}_i, \hat{p}_j] = i\hbar\delta_{ij}$ . Consider a time-dependent perturbation  $\hat{V}(t) = -f \cdot \cos(\Omega t) \cdot (\hat{x}_1 + \hat{x}_2)$ , here  $f, \Omega$  are positive constants,  $f$  is “small”. The full Hamiltonian is  $\hat{H}(t) = \hat{H}_0 + \hat{V}(t)$ . The time evolution operator  $\hat{U}(t)$  satisfy  $\hat{U}(t=0) = \mathbb{1}$  and  $i\hbar \frac{d}{dt}\hat{U}(t) = \hat{H}(t) \cdot \hat{U}(t)$ . Note that the 2-fermion wavefunctions should satisfy  $\psi(x_1, x_2, t) = -\psi(x_2, x_1, t)$ .

(a) (5pts) Write down the orthonormal ground state(s)  $|\psi_{0,2\text{-fermion}}\rangle$ , first excited state(s)  $|\psi_{1,2\text{-fermion}}\rangle$ , second excited state(s)  $|\psi_{2,2\text{-fermion}}\rangle$  of unperturbed 2-fermion Hamiltonian  $\hat{H}_0$  in terms of single particle basis  $\psi_{n,1\text{-body}}$ , and the corresponding energy eigenvalues of  $\hat{H}_0$ . [Hint: may have degeneracy; you don’t need to write down the explicit functional form of 2-fermion wavefunctions; “second quantization” can be used but is not necessary]

(b) (5pts) Compute the transition probability from the ground state(s) to the first excited state(s) over time  $t$ , namely  $|\langle\psi_{1,2\text{-fermion}}|\hat{U}(t)|\psi_{0,2\text{-fermion}}\rangle|^2$ , to lowest nontrivial order of  $f$ . [Hint: use interaction picture]

(c) (5pts\*) Compute the transition probability from the ground state(s) to the second excited state(s) over time  $t$ , namely  $|\langle\psi_{2,2\text{-fermion}}|\hat{U}(t)|\psi_{0,2\text{-fermion}}\rangle|^2$ , to lowest nontrivial order of  $f$ . [Hint: previous results may help]

**Solution:** this is related to Homework #3 Problem 4

(a) Method #1: “first quantized” form,

$$E_{0,2\text{-fermion}} = E_{0,1\text{-body}} + E_{1,1\text{-body}} = 2\hbar\omega \text{ (non-degenerate),}$$

$$\psi_{0,2\text{-fermion}}(x_1, x_2) = \frac{1}{\sqrt{2}}(\psi_{0,1\text{-body}}(x_1)\psi_{1,1\text{-body}}(x_2) - \psi_{1,1\text{-body}}(x_1)\psi_{0,1\text{-body}}(x_2)).$$

$$E_{1,2\text{-fermion}} = E_{0,1\text{-body}} + E_{2,1\text{-body}} = 3\hbar\omega \text{ (non-degenerate),}$$

$$\psi_{1,2\text{-fermion}}(x_1, x_2) = \frac{1}{\sqrt{2}}(\psi_{0,1\text{-body}}(x_1)\psi_{2,1\text{-body}}(x_2) - \psi_{2,1\text{-body}}(x_1)\psi_{0,1\text{-body}}(x_2)).$$

$$\begin{aligned}
E_{1,2\text{-fermion}} &= E_{0,1\text{-body}} + E_{3,1\text{-body}} = E_{1,1\text{-body}} + E_{2,1\text{-body}} = 4\hbar\omega \text{ (2-fold degenerate),} \\
\psi_{2,2\text{-fermion},(1)}(x_1, x_2) &= \frac{1}{\sqrt{2}}(\psi_{0,1\text{-body}}(x_1)\psi_{3,1\text{-body}}(x_2) - \psi_{3,1\text{-body}}(x_1)\psi_{0,1\text{-body}}(x_2)), \\
\psi_{2,2\text{-fermion},(2)}(x_1, x_2) &= \frac{1}{\sqrt{2}}(\psi_{1,1\text{-body}}(x_1)\psi_{2,1\text{-body}}(x_2) - \psi_{2,1\text{-body}}(x_1)\psi_{1,1\text{-body}}(x_2)).
\end{aligned}$$

Method #2: “second quantized” form,

define creation operators  $\widehat{\psi}_n^\dagger$  for single particle state  $\psi_{n,1\text{-body}}$ , denote the fermion vacuum by  $|\text{vac}\rangle$ , then (check Problem 4 of Homework #3)  $\hat{H}_0 = \sum_{n=0}^{\infty} E_{n,1\text{-body}} \widehat{\psi}_n^\dagger \widehat{\psi}_n$ ,  
 $\hat{V}(t) = -f \cdot \cos(\Omega t) \cdot \sqrt{\frac{\hbar}{2m\omega}} \sum_{n=0}^{\infty} \sqrt{n+1} (\widehat{\psi}_n^\dagger \widehat{\psi}_{n+1} + \widehat{\psi}_{n+1}^\dagger \widehat{\psi}_n)$ .  
 $|\psi_{0,2\text{-fermion}}\rangle = \widehat{\psi}_0^\dagger \widehat{\psi}_1^\dagger |\text{vac}\rangle$ ,  
 $|\psi_{1,2\text{-fermion}}\rangle = \widehat{\psi}_0^\dagger \widehat{\psi}_2^\dagger |\text{vac}\rangle$ ,  
 $|\psi_{2,2\text{-fermion},(1)}\rangle = \widehat{\psi}_0^\dagger \widehat{\psi}_3^\dagger |\text{vac}\rangle$ ,  $|\psi_{2,2\text{-fermion},(2)}\rangle = \widehat{\psi}_1^\dagger \widehat{\psi}_2^\dagger |\text{vac}\rangle$ .

(b) use interaction picture,  $|\psi_I(t)\rangle \equiv e^{i\hat{H}_0 t/\hbar} |\psi(t)\rangle$ ,  $\hat{O}_I(t) \equiv e^{i\hat{H}_0 t/\hbar} \hat{O} e^{-i\hat{H}_0 t/\hbar}$ . Then  $i\hbar \frac{\partial}{\partial t} |\psi_I(t)\rangle = \hat{V}_I(t) \cdot |\psi_I(t)\rangle$ , the evolution operator in interaction picture is  $\hat{U}_I(t) \equiv e^{i\hat{H}_0 t/\hbar} \hat{U}(t) = \mathbb{1} + (\frac{-i}{\hbar}) \int_0^t dt_1 \hat{V}_I(t_1) + (\frac{-i}{\hbar})^2 \int_0^t dt_1 \int_0^{t_1} dt_2 \hat{V}_I(t_1) \hat{V}_I(t_2) + \dots$

$|\langle \psi_f | \hat{U}(t) | \psi_i \rangle|^2 = |\langle \psi_f | \hat{U}_I(t) | \psi_i \rangle|^2$ , as long as the final state  $|\psi_f\rangle$  is an eigenstate of  $\hat{H}_0$ .

Note that  $\hat{V}(t)$  and also  $\hat{V}_I(t)$  can change energy of  $\hat{H}_0$  by only  $\pm\hbar\omega$ .

The lowest non-trivial order of

$$\begin{aligned}
\langle \psi_{1,2\text{-fermion}} | \hat{U}_I(t) | \psi_{0,2\text{-fermion}} \rangle &\approx (\frac{-i}{\hbar}) \int_0^t dt_1 \langle \psi_{1,2\text{-fermion}} | \hat{V}_I(t_1) | \psi_{0,2\text{-fermion}} \rangle \\
&= (\frac{-i}{\hbar}) \int_0^t dt_1 \left[ e^{iE_{1,2\text{-fermion}} t_1/\hbar} \langle \psi_{1,2\text{-fermion}} | \hat{V}(t_1) | \psi_{0,2\text{-fermion}} \rangle e^{-iE_{1,1\text{-fermion}} t_1/\hbar} \right] \\
&= (\frac{-i}{\hbar}) \int_0^t dt_1 [e^{i\omega t_1} \cdot (-f) \cdot \cos(\Omega t_1) \cdot \sqrt{\frac{\hbar}{2m\omega}} \cdot \sqrt{2}] = f \sqrt{\frac{1}{m\omega\hbar}} \cdot \frac{1}{2} \left( \frac{e^{i(\omega+\Omega)t}-1}{\omega+\Omega} + \frac{e^{i(\omega-\Omega)t}-1}{\omega-\Omega} \right).
\end{aligned}$$

The matrix element  $\langle \psi_{1,2\text{-fermion}} | \hat{V}(t_1) | \psi_{0,2\text{-fermion}} \rangle$  can be computed using either the “second quantized” formalism, or the “first quantized” formalism,

$$\begin{aligned}
\langle \psi_{1,2\text{-fermion}} | \hat{V}(t_1) | \psi_{0,2\text{-fermion}} \rangle &= \int dx_1 \int dx_2 \left[ \frac{1}{\sqrt{2}} (\psi_{0,1\text{-body}}^*(x_1) \psi_{2,1\text{-body}}^*(x_2) - \psi_{2,1\text{-body}}^*(x_1) \psi_{0,1\text{-body}}^*(x_2)) \times (-f \cos(\Omega t)(x_1 + x_2)) \right. \\
&\quad \left. \times \frac{1}{\sqrt{2}} (\psi_{0,1\text{-body}}(x_1) \psi_{1,1\text{-body}}(x_2) - \psi_{1,1\text{-body}}(x_1) \psi_{0,1\text{-body}}(x_2)) \right] \\
|\langle \psi_{1,2\text{-fermion}} | \hat{U}(t) | \psi_{0,2\text{-fermion}} \rangle|^2 &= \frac{f^2}{4m\omega\hbar} \cdot \left| \frac{e^{i(\omega+\Omega)t}-1}{\omega+\Omega} + \frac{e^{i(\omega-\Omega)t}-1}{\omega-\Omega} \right|^2 \\
&= \frac{f^2}{m\omega\hbar} \cdot \left[ \frac{\sin^2((\omega+\Omega)t/2)}{(\omega+\Omega)^2} + 2 \cos(\Omega t) \frac{\sin((\omega+\Omega)t/2) \sin((\omega-\Omega)t/2)}{(\omega+\Omega)(\omega-\Omega)} + \frac{\sin^2((\omega-\Omega)t/2)}{(\omega-\Omega)^2} \right].
\end{aligned}$$

(c) The second excited states are 2-fold degenerate  $\psi_{2,2\text{-fermion},(i)}$ ,  $i = 1, 2$ .

To lowest non-trivial order,

$$\begin{aligned}
\langle \psi_{2,2\text{-fermion},(i)} | \hat{U}_I(t) | \psi_{0,2\text{-fermion}} \rangle &\approx \left(\frac{-i}{\hbar}\right)^2 \int_0^t dt_1 \int_0^{t_1} dt_2 \langle \psi_{2,2\text{-fermion},(i)} | \hat{V}_I(t_1) \hat{V}_I(t_2) | \psi_{0,2\text{-fermion}} \rangle \\
&= \left(\frac{-i}{\hbar}\right) \int_0^t dt_1 \left[ \langle \psi_{2,2\text{-fermion},(i)} | \hat{V}_I(t_1) | \psi_{1,2\text{-fermion}} \rangle \times \left(\frac{-i}{\hbar}\right) \int_0^{t_1} dt_2 \langle \psi_{1,2\text{-fermion}} | \hat{V}_I(t_2) | \psi_{0,2\text{-fermion}} \rangle \right] \\
&= \left(\frac{-i}{\hbar}\right) \int_0^t dt_1 \left[ e^{i\omega t_1} \langle \psi_{2,2\text{-fermion},(i)} | \hat{V}(t_1) | \psi_{1,2\text{-fermion}} \rangle \times f \sqrt{\frac{1}{m\omega\hbar}} \cdot \frac{1}{2} \left( \frac{e^{i(\omega+\Omega)t_1}-1}{\omega+\Omega} + \frac{e^{i(\omega-\Omega)t_1}-1}{\omega-\Omega} \right) \right] \\
\langle \psi_{2,2\text{-fermion},(1)} | \hat{V}(t_1) | \psi_{1,2\text{-fermion}} \rangle &= (-f) \cdot \cos(\Omega t_1) \cdot \sqrt{\frac{\hbar}{2m\omega}} \cdot \sqrt{3}. \\
\langle \psi_{2,2\text{-fermion},(2)} | \hat{V}(t_1) | \psi_{1,2\text{-fermion}} \rangle &= (-f) \cdot \cos(\Omega t_1) \cdot \sqrt{\frac{\hbar}{2m\omega}}.
\end{aligned}$$

$$\begin{aligned}
&\text{Then } \langle \psi_{2,2\text{-fermion},(i)} | \hat{U}_I(t) | \psi_{0,2\text{-fermion}} \rangle \\
&\approx \frac{f^2}{4\sqrt{2}m\omega\hbar} \left( \frac{e^{2i(\omega+\Omega)t}-2e^{i(\omega+\Omega)t}+1}{2(\omega+\Omega)^2} + \frac{1-e^{i(\omega+\Omega)t}-e^{i(\omega-\Omega)t}+e^{2i\omega t}}{(\omega+\Omega)(\omega-\Omega)} + \frac{e^{2i(\omega-\Omega)t}-2e^{i(\omega-\Omega)t}+1}{2(\omega-\Omega)^2} \right) \cdot \begin{cases} \sqrt{3}, & i=1; \\ 1, & i=2. \end{cases} \\
&= \frac{f^2}{8\sqrt{2}m\omega\hbar} \left( \frac{e^{i(\omega+\Omega)t_1}-1}{\omega+\Omega} + \frac{e^{i(\omega-\Omega)t_1}-1}{\omega-\Omega} \right)^2 \cdot \begin{cases} \sqrt{3}, & i=1; \\ 1, & i=2. \end{cases}
\end{aligned}$$

$$\begin{aligned}
&\text{Finally, } |\langle \psi_{2,2\text{-fermion},(i)} | \hat{U}(t) | \psi_{0,2\text{-fermion}} \rangle|^2 = \frac{f^4}{128m^2\omega^2\hbar^2} \left| \frac{e^{i(\omega+\Omega)t_1}-1}{\omega+\Omega} + \frac{e^{i(\omega-\Omega)t_1}-1}{\omega-\Omega} \right|^4 \cdot \begin{cases} 3, & i=1; \\ 1, & i=2. \end{cases} \\
&= \frac{f^4}{8m^2\omega^2\hbar^2} \cdot \left[ \frac{\sin^2((\omega+\Omega)t/2)}{(\omega+\Omega)^2} + 2\cos(\Omega t) \frac{\sin((\omega+\Omega)t/2)\sin((\omega-\Omega)t/2)}{(\omega+\Omega)(\omega-\Omega)} + \frac{\sin^2((\omega-\Omega)t/2)}{(\omega-\Omega)^2} \right]^2 \cdot \begin{cases} 3, & i=1; \\ 1, & i=2. \end{cases}
\end{aligned}$$

**Problem 2.** (10 points)(\*) Solve the nonzero C.-G. coefficients  $\langle j_1, m_1; j_2, m_2 | j, m \rangle$  for  $j_2 = \frac{1}{2}$  and  $m_2 = \pm\frac{1}{2}$  and generic  $j_1, m_1, j, m$ . [Hint: consider an “addition of angular momentum” problem, define angular momentum operators  $\hat{\mathbf{J}}_1$  and  $\hat{\mathbf{J}}_2$  for  $|j_1, m_1\rangle$  and  $|j_2, m_2\rangle$  Hilbert spaces respectively, define  $\hat{\mathbf{J}} = \hat{\mathbf{J}}_1 + \hat{\mathbf{J}}_2$ , then solve  $|j, m\rangle$  satisfying  $\hat{\mathbf{J}}^2 |j, m\rangle = j(j+1)|j, m\rangle$  and  $\hat{J}_z |j, m\rangle = m|j, m\rangle$ , in terms of tensor product basis  $|j_1, m_1\rangle |j_2, m_2\rangle$ ; you might need to use mathematical induction]

**Solution:**

Use  $|\uparrow\rangle$  and  $|\downarrow\rangle$  to denote  $|j_2 = \frac{1}{2}, m_2 = \pm\frac{1}{2}\rangle$  respectively. Nonzero C.-G. coefficients must have  $m_1 + m_2 = m$ .

If  $j_1 = 0$ , then  $j$  must be  $\frac{1}{2}$ , and  $m_2$  must equal to  $m$ , then (up to phase factor)  
 $\langle j_1 = 0, m_1 = 0; j_2 = \frac{1}{2}, m_2 = m | j = \frac{1}{2}, m \rangle = 1, m = \pm\frac{1}{2}.$

If  $j_1 > 0$ , then  $j$  can be  $j_1 - \frac{1}{2}$  or  $j_1 + \frac{1}{2}$ .

Method #1: use ladder operators,

For  $j = j_1 + \frac{1}{2}$ ,  $|j = j_1 + \frac{1}{2}, m = j\rangle = |j_1, m_1 = j_1\rangle |\uparrow\rangle.$

Apply lowering operators  $\hat{J}_- = \hat{J}_{1-} + \hat{J}_{2-}$  repeatedly, we have  
 $|j = j_1 + \frac{1}{2}, m\rangle = \sqrt{\frac{j+m}{2j}}|j_1, m_1 = m - \frac{1}{2}; \uparrow\rangle + \sqrt{\frac{j-m}{2j}}|j_1, m_1 = m + \frac{1}{2}; \downarrow\rangle$ .

This can be proved by mathematical induction: the  $m = j$  case is correct, and

$$\begin{aligned} |j = j_1 + \frac{1}{2}, m - 1\rangle &= \frac{1}{\sqrt{(j+m)(j-m+1)}}\hat{J}_-|j = j_1 + \frac{1}{2}, m\rangle \\ &= \frac{1}{\sqrt{(j+m)(j-m+1)}}(\sqrt{\frac{j+m}{2j}}\sqrt{(j+m-1)(j-m+1)}|j_1, m_1 = m - \frac{3}{2}; \uparrow\rangle \\ &\quad + \sqrt{\frac{j+m}{2j}}|j_1, m_1 = m - \frac{1}{2}; \downarrow\rangle + \sqrt{\frac{j-m}{2j}}\sqrt{(j+m)(j-m)}|j_1, m_1 = m - \frac{1}{2}; \downarrow\rangle) \\ &= \sqrt{\frac{j+(m-1)}{2j}}|j_1, m_1 = (m-1) - \frac{1}{2}; \uparrow\rangle + \sqrt{\frac{j-(m-1)}{2j}}|j_1, m_1 = (m-1) + \frac{1}{2}; \downarrow\rangle. \end{aligned}$$

Therefore this formula is correct for all  $m$ . Then (up to overall phase factor)

$$\begin{aligned} \langle j_1, m_1 = m - \frac{1}{2}; \uparrow | j = j_1 + \frac{1}{2}, m \rangle &= \sqrt{\frac{j+m}{2j}} = \sqrt{\frac{j_1+m_1+1}{2j_1+1}}, \\ \langle j_1, m_1 = m + \frac{1}{2}; \downarrow | j = j_1 + \frac{1}{2}, m \rangle &= \sqrt{\frac{j-m}{2j}} = \sqrt{\frac{j_1-m_1+1}{2j_1+1}}. \text{ Here } m = -j, -j+1, \dots, j. \end{aligned}$$

For  $j = j_1 - \frac{1}{2}$ ,  $|j = j_1 - \frac{1}{2}, m = j\rangle$  is a linear combination of  $|j_1, m_1 = m - \frac{1}{2}\rangle|\uparrow\rangle$  and  $|j_1, m_1 = m + \frac{1}{2}\rangle|\downarrow\rangle$ , and is orthogonal to

$$\begin{aligned} |j = j_1 + \frac{1}{2}, m = j_1 - \frac{1}{2}\rangle &= \sqrt{\frac{2j_1}{2j_1+1}}|j_1, m_1 = m - \frac{1}{2}\rangle|\uparrow\rangle + \sqrt{\frac{1}{2j_1+1}}|j_1, m_1 = m + \frac{1}{2}\rangle|\downarrow\rangle. \text{ So} \\ |j = j_1 - \frac{1}{2}, m = j\rangle &= \sqrt{\frac{1}{2j_1+1}}|j_1, m_1 = m - \frac{1}{2}\rangle|\uparrow\rangle - \sqrt{\frac{2j_1}{2j_1+1}}|j_1, m_1 = m + \frac{1}{2}\rangle|\downarrow\rangle. \end{aligned}$$

By mathematical induction (steps omitted), or orthogonality to  $|j = j_1 + \frac{1}{2}, m\rangle$ ,

$$|j = j_1 - \frac{1}{2}, m = j\rangle = \sqrt{\frac{j-m+1}{2j+2}}|j_1, m_1 = m - \frac{1}{2}\rangle|\uparrow\rangle - \sqrt{\frac{j+m+1}{2j+2}}|j_1, m_1 = m + \frac{1}{2}\rangle|\downarrow\rangle.$$

Then (up to overall phase factor)

$$\begin{aligned} \langle j_1, m_1 = m - \frac{1}{2}; \uparrow | j = j_1 - \frac{1}{2}, m \rangle &= \sqrt{\frac{j-m+1}{2j+2}} = \sqrt{\frac{j_1-m_1}{2j_1+1}}, \\ \langle j_1, m_1 = m + \frac{1}{2}; \downarrow | j = j_1 - \frac{1}{2}, m \rangle &= -\sqrt{\frac{j+m+1}{2j+2}} = -\sqrt{\frac{j_1+m_1}{2j_1+1}}. \text{ Here } m = -j, -j+1, \dots, j. \end{aligned}$$

Method #2: solve eigenvalue problem  $\hat{\mathbf{J}}^2|j, m\rangle = j(j+1)|j, m\rangle$ ,

$$\hat{\mathbf{J}}^2 = \hat{\mathbf{J}}_1^2 + \hat{\mathbf{J}}_2^2 + 2\hat{\mathbf{J}}_1 \cdot \hat{\mathbf{J}}_2 = j_1(j_1+1) + \frac{3}{4} + 2\hat{J}_{1z}\hat{J}_{2z} + \hat{J}_{1+}\hat{J}_{2-} + \hat{J}_{1-}\hat{J}_{2+}.$$

assume  $|j, m\rangle = c_1|j_1, m_1 = m - \frac{1}{2}\rangle|\uparrow\rangle + c_2|j_1, m_1 = m + \frac{1}{2}\rangle|\downarrow\rangle$ , then  $\hat{\mathbf{J}}^2|j, m\rangle$

$$\begin{aligned} &= [(j_1(j_1+1) + \frac{3}{4} + 2 \cdot (m - \frac{1}{2}) \cdot \frac{1}{2})c_1 + \sqrt{(j_1+m+\frac{1}{2})(j_1-m+\frac{1}{2})}c_2]|j_1, m_1 = m - \frac{1}{2}\rangle|\uparrow\rangle \\ &\quad + [(j_1(j_1+1) + \frac{3}{4} + 2 \cdot (m + \frac{1}{2}) \cdot (-\frac{1}{2}))c_2 + \sqrt{(j_1-m+\frac{1}{2})(j_1+m+\frac{1}{2})}c_1]|j_1, m_1 = m + \frac{1}{2}\rangle|\downarrow\rangle \\ &= (|j_1, m_1 = m - \frac{1}{2}\rangle|\uparrow\rangle, |j_1, m_1 = m + \frac{1}{2}\rangle|\downarrow\rangle) \begin{pmatrix} (j_1 + \frac{1}{2})^2 + m & \sqrt{(j_1 + \frac{1}{2})^2 - m^2} \\ \sqrt{(j_1 + \frac{1}{2})^2 - m^2} & (j_1 + \frac{1}{2})^2 - m \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}. \end{aligned}$$

The components of the normalized eigenvectors of this  $2 \times 2$  matrix for eigenvalues  $(j_1 + \frac{1}{2})(j_1 + \frac{3}{2})$  and  $(j_1 - \frac{1}{2})(j_1 + \frac{1}{2})$  are the wanted C.-G. coefficients. But you need to choose overall phase factors of the eigenvectors in order to satisfy the Condon-Shortley convention.

**Problem 3** (30 points) Consider four spin-1/2 moments (labeled by subscripts  $i = 1, 2, 3, 4$ ). The spin operators satisfy  $[\hat{S}_{i,a}, \hat{S}_{j,b}] = \delta_{ij} \sum_c i\epsilon_{abc} \hat{S}_{i,c}$  for  $i, j = 1, 2, 3, 4$  and  $a, b, c = x, y, z$ . A complete orthonormal basis is the tensor product of  $S_z$ -eigenbasis,  $|S_{1z}, S_{2z}, S_{3z}, S_{4z}\rangle$  (see page 1). For notation simplicity, use  $\uparrow$  ( $\downarrow$ ) to denote  $S_{iz} = +\frac{1}{2}$  ( $-\frac{1}{2}$ ). For example  $|\uparrow\downarrow\downarrow\uparrow\rangle$  means  $|S_{1z} = +\frac{1}{2}, S_{2z} = -\frac{1}{2}, S_{3z} = -\frac{1}{2}, S_{4z} = +\frac{1}{2}\rangle$ .

(a) (5pts) Define  $\hat{S}_{1+2,a} = \hat{S}_{1,a} + \hat{S}_{2,a}$ ,  $\hat{S}_{1+2+3,a} = \hat{S}_{1+2,a} + \hat{S}_{3,a}$ , and  $\hat{S}_{1+2+3+4,a} = \hat{S}_{1+2+3,a} + \hat{S}_{4,a}$ , for  $a = x, y, z$ . Show that  $\hat{S}_{1+2+3+4}^2$ ,  $\hat{S}_{1+2+3+4,z}$ ,  $\hat{S}_{1+2+3}^2$ ,  $\hat{S}_{1+2}^2$ , mutually commute, namely all commutators between them vanish.

(b) (15pts) According to (a), we can find eigenbasis  $|S_{1+2+3+4}, S_{1+2+3+4,z}, S_{1+2+3}, S_{1+2}\rangle$  for  $\hat{S}_{1+2+3+4}^2$  eigenvalue  $S_{1+2+3+4}(S_{1+2+3+4} + 1)$ ,  $\hat{S}_{1+2+3+4,z}$  eigenvalue  $S_{1+2+3+4,z}$ ,  $\hat{S}_{1+2+3}^2$  eigenvalue  $S_{1+2+3}(S_{1+2+3} + 1)$ , and  $\hat{S}_{1+2}^2$  eigenvalue  $S_{1+2}(S_{1+2} + 1)$ . Solve all  $|S_{1+2+3+4}, S_{1+2+3+4,z}, S_{1+2+3}, S_{1+2}\rangle$  states in terms of tensor product  $S_z$ -basis. [Hint: this can be viewed as three steps of “addition of angular momentum” problem, first add  $\hat{S}_1$  and  $\hat{S}_2$  to get  $|S_{1+2}, S_{1+2,z}\rangle$ , then further add  $\hat{S}_3$  to get  $|S_{1+2+3}, S_{1+2+3,z}, S_{1+2}\rangle$ , and finally add  $\hat{S}_4$ , some previous results may help]

(c) (10pts\*) Consider  $\hat{H} = \hat{S}_1 \cdot \hat{S}_2 + \hat{S}_2 \cdot \hat{S}_3 + \hat{S}_3 \cdot \hat{S}_4 + \hat{S}_4 \cdot \hat{S}_1$ . It satisfies  $[\hat{H}, \hat{S}_{1+2+3+4}^2] = 0$  and  $[\hat{H}, \hat{S}_{1+2+3+4,a}] = 0$  for  $a = x, y, z$ . Compute all the nonzero matrix elements of  $\hat{H}$  under the basis  $|S_{1+2+3+4}, S_{1+2+3+4,z}, S_{1+2+3}, S_{1+2}\rangle$  solved in (b). [Hint: rewrite  $\hat{H}$  using ladder operators (see page 1), by symmetry a lot of matrix elements will be zero, and some nonzero matrix elements will be the same]

**Solution:** this is similar to Homework #6 Problem 4(b)

(a) Fact #1: if  $\hat{J}_{x,y,z}$  satisfy  $[\hat{J}_a, \hat{J}_b] = \sum_c i\epsilon_{abc} \hat{J}_c$ , then  $[\hat{J}^2, \hat{J}_a] = 0$ .

This fact can be used directly without proof.  $\hat{S}_{1+2,a}$ ,  $\hat{S}_{1+2+3,a}$ ,  $\hat{S}_{1+2+3+4,a}$  all satisfy the above form of commutation relations. Then  $[\hat{S}_{1+2+3+4}^2, \hat{S}_{1+2+3+4,z}] = 0$ .

Fact #2: if  $\hat{J}_{1,a}$  and  $\hat{J}_{2,a}$  satisfy  $[\hat{J}_{i,a}, \hat{J}_{j,b}] = \delta_{ij} \sum_c i\epsilon_{abc} \hat{J}_{i,c}$ , then  $[\hat{J}_1^2, \hat{J}_{i,a}] = 0$  for  $i = 1, 2$  and  $a = x, y, z$  ( $i = 1$  case is fact #1,  $i = 2$  case is trivial), and therefore  $[\hat{J}_1^2, \hat{J}_{1,a} + \hat{J}_{2,a}] = 0$ .

Then  $[\hat{S}_{1+2+3}^2, \hat{S}_{1+2+3+4,z} = \hat{S}_{1+2+3,z} + \hat{S}_{4,z}] = 0$ ,  $[\hat{S}_{1+2}^2, \hat{S}_{1+2+3+4,z} = \hat{S}_{1+2,z} + \hat{S}_{3+4,z}] = 0$ .

Fact #3: from fact #2, it is obvious  $(\hat{\mathbf{J}}_1 + \hat{\mathbf{J}}_2)^2 = \sum_a (\hat{J}_{1,a} + \hat{J}_{2,a})^2$  commutes with  $\hat{\mathbf{J}}_1^2$ .  
Then  $[\hat{\mathbf{S}}_{1+2+3+4}^2, \hat{\mathbf{S}}_{1+2+3}^2] = 0$ ,  $[\hat{\mathbf{S}}_{1+2+3+4}^2, \hat{\mathbf{S}}_{1+2}^2] = 0$ ,  $[\hat{\mathbf{S}}_{1+2+3}^2, \hat{\mathbf{S}}_{1+2}^2] = 0$ .

(b) this is the direct application of the results of Problem 2,

Relevant C.-G. coefficients are  $\langle j_1, m_1; j_2 = \frac{1}{2}, m_2 = \pm \frac{1}{2} | j, m \rangle$  for  $j_1 = \frac{1}{2}$  or 1 or  $\frac{3}{2}$ .

Add spin “1” and “2” first,

$$|S_{1+2} = 0, S_{1+2,z} = 0\rangle = \frac{1}{\sqrt{2}}(|\downarrow\uparrow\rangle - |\uparrow\downarrow\rangle).$$

$$|S_{1+2} = 1, S_{1+2,z} = 1\rangle = |\uparrow\uparrow\rangle.$$

$$|S_{1+2} = 1, S_{1+2,z} = 0\rangle = \frac{1}{\sqrt{2}}(|\downarrow\uparrow\rangle + |\uparrow\downarrow\rangle).$$

$$|S_{1+2} = 1, S_{1+2,z} = -1\rangle = |\downarrow\downarrow\rangle.$$

Then add spin “3”,

$$|S_{1+2+3} = \frac{1}{2}, S_{1+2+3,z} = +\frac{1}{2}, S_{1+2} = 0\rangle = |S_{1+2} = 0, S_{1+2,z} = 0\rangle |\uparrow\rangle = \frac{1}{\sqrt{2}}(|\downarrow\uparrow\uparrow\rangle - |\uparrow\downarrow\uparrow\rangle),$$

$$|S_{1+2+3} = \frac{1}{2}, S_{1+2+3,z} = -\frac{1}{2}, S_{1+2} = 0\rangle = |S_{1+2} = 0, S_{1+2,z} = 0\rangle |\downarrow\rangle = \frac{1}{\sqrt{2}}(|\downarrow\uparrow\downarrow\rangle - |\uparrow\downarrow\downarrow\rangle),$$

$$|S_{1+2+3} = \frac{3}{2}, S_{1+2+3,z} = +\frac{3}{2}, S_{1+2} = 1\rangle = |S_{1+2} = 1, S_{1+2,z} = 1\rangle |\uparrow\rangle = |\uparrow\uparrow\uparrow\rangle,$$

$$|S_{1+2+3} = \frac{3}{2}, S_{1+2+3,z} = +\frac{1}{2}, S_{1+2} = 1\rangle$$

$$= \sqrt{\frac{2}{3}}|S_{1+2} = 1, S_{1+2,z} = 0\rangle |\uparrow\rangle + \sqrt{\frac{1}{3}}|S_{1+2} = 1, S_{1+2,z} = 1\rangle |\downarrow\rangle = \frac{1}{\sqrt{3}}(|\downarrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\rangle + |\uparrow\uparrow\downarrow\rangle),$$

$$|S_{1+2+3} = \frac{3}{2}, S_{1+2+3,z} = -\frac{1}{2}, S_{1+2} = 1\rangle$$

$$= \sqrt{\frac{1}{3}}|S_{1+2} = 1, S_{1+2,z} = -1\rangle |\uparrow\rangle + \sqrt{\frac{2}{3}}|S_{1+2} = 1, S_{1+2,z} = 0\rangle |\downarrow\rangle$$

$$= \frac{1}{\sqrt{3}}(|\downarrow\downarrow\uparrow\rangle + |\downarrow\uparrow\downarrow\rangle + |\uparrow\downarrow\downarrow\rangle),$$

$$|S_{1+2+3} = \frac{3}{2}, S_{1+2+3,z} = -\frac{3}{2}, S_{1+2} = 1\rangle = |S_{1+2} = 1, S_{1+2,z} = -1\rangle |\downarrow\rangle = |\downarrow\downarrow\downarrow\rangle,$$

$$|S_{1+2+3} = \frac{1}{2}, S_{1+2+3,z} = +\frac{1}{2}, S_{1+2} = 1\rangle$$

$$= \sqrt{\frac{1}{3}}|S_{1+2} = 1, S_{1+2,z} = 0\rangle |\uparrow\rangle - \sqrt{\frac{2}{3}}|S_{1+2} = 1, S_{1+2,z} = 1\rangle |\downarrow\rangle = \frac{1}{\sqrt{6}}(|\downarrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\rangle - 2|\uparrow\uparrow\downarrow\rangle),$$

$$|S_{1+2+3} = \frac{1}{2}, S_{1+2+3,z} = -\frac{1}{2}, S_{1+2} = 1\rangle$$

$$= \sqrt{\frac{2}{3}}|S_{1+2} = 1, S_{1+2,z} = -1\rangle |\uparrow\rangle - \sqrt{\frac{1}{3}}|S_{1+2} = 1, S_{1+2,z} = 0\rangle |\downarrow\rangle$$

$$= \frac{1}{\sqrt{6}}(2|\downarrow\downarrow\uparrow\rangle - |\downarrow\uparrow\downarrow\rangle - |\uparrow\downarrow\downarrow\rangle).$$

Finally add spin “4”, the results are summarized in the following table,

$S_{1+2+3+4}$	$S_{1+2+3+4,z}$	$S_{1+2+3}$	$S_{1+2}$	state
0	0	$\frac{1}{2}$	0	$\frac{1}{2}( \downarrow\uparrow\downarrow\uparrow\rangle -  \uparrow\downarrow\downarrow\uparrow\rangle -  \downarrow\uparrow\uparrow\downarrow\rangle +  \uparrow\downarrow\uparrow\downarrow\rangle)$
1	1	$\frac{1}{2}$	0	$\frac{1}{\sqrt{2}}( \downarrow\uparrow\uparrow\uparrow\rangle -  \uparrow\downarrow\uparrow\uparrow\rangle)$
1	0	$\frac{1}{2}$	0	$\frac{1}{2}( \downarrow\uparrow\downarrow\uparrow\rangle -  \uparrow\downarrow\downarrow\uparrow\rangle +  \downarrow\uparrow\uparrow\downarrow\rangle -  \uparrow\downarrow\uparrow\downarrow\rangle)$
1	-1	$\frac{1}{2}$	0	$\frac{1}{\sqrt{2}}( \downarrow\uparrow\downarrow\downarrow\rangle -  \uparrow\downarrow\downarrow\downarrow\rangle)$
0	0	$\frac{1}{2}$	1	$\frac{1}{2\sqrt{3}}(2 \downarrow\downarrow\uparrow\uparrow\rangle -  \downarrow\uparrow\downarrow\uparrow\rangle -  \uparrow\downarrow\downarrow\uparrow\rangle -  \downarrow\uparrow\uparrow\downarrow\rangle -  \uparrow\downarrow\uparrow\downarrow\rangle + 2 \uparrow\uparrow\downarrow\downarrow\rangle)$
1	1	$\frac{1}{2}$	1	$\frac{1}{\sqrt{6}}( \downarrow\uparrow\uparrow\uparrow\rangle +  \uparrow\downarrow\uparrow\uparrow\rangle - 2 \uparrow\uparrow\downarrow\uparrow\rangle)$
1	0	$\frac{1}{2}$	1	$\frac{1}{2\sqrt{3}}(2 \downarrow\downarrow\uparrow\uparrow\rangle -  \downarrow\uparrow\downarrow\uparrow\rangle -  \uparrow\downarrow\downarrow\uparrow\rangle +  \downarrow\uparrow\uparrow\downarrow\rangle +  \uparrow\downarrow\uparrow\downarrow\rangle - 2 \uparrow\uparrow\downarrow\downarrow\rangle)$
1	-1	$\frac{1}{2}$	1	$\frac{1}{\sqrt{6}}(2 \downarrow\downarrow\uparrow\downarrow\rangle -  \downarrow\uparrow\downarrow\downarrow\rangle -  \uparrow\downarrow\downarrow\downarrow\rangle)$
1	1	$\frac{3}{2}$	1	$\frac{1}{2\sqrt{3}}( \downarrow\uparrow\uparrow\uparrow\rangle +  \uparrow\downarrow\uparrow\uparrow\rangle +  \uparrow\uparrow\downarrow\uparrow\rangle - 3 \uparrow\uparrow\uparrow\downarrow\rangle)$
1	0	$\frac{3}{2}$	1	$\frac{1}{\sqrt{6}}( \downarrow\downarrow\uparrow\uparrow\rangle +  \downarrow\uparrow\downarrow\uparrow\rangle +  \uparrow\downarrow\downarrow\uparrow\rangle -  \downarrow\uparrow\uparrow\downarrow\rangle -  \uparrow\downarrow\uparrow\downarrow\rangle -  \uparrow\uparrow\downarrow\downarrow\rangle)$
1	-1	$\frac{3}{2}$	1	$\frac{1}{2\sqrt{3}}(3 \downarrow\downarrow\downarrow\uparrow\rangle -  \downarrow\downarrow\uparrow\downarrow\rangle -  \downarrow\uparrow\downarrow\downarrow\rangle -  \uparrow\downarrow\downarrow\downarrow\rangle)$
2	2	$\frac{3}{2}$	1	$ \uparrow\uparrow\uparrow\uparrow\rangle$
2	1	$\frac{3}{2}$	1	$\frac{1}{2}( \downarrow\uparrow\uparrow\uparrow\rangle +  \uparrow\downarrow\uparrow\uparrow\rangle +  \uparrow\uparrow\downarrow\uparrow\rangle +  \uparrow\uparrow\uparrow\downarrow\rangle)$
2	0	$\frac{3}{2}$	1	$\frac{1}{\sqrt{6}}( \downarrow\downarrow\uparrow\uparrow\rangle +  \downarrow\uparrow\downarrow\uparrow\rangle +  \uparrow\downarrow\downarrow\uparrow\rangle +  \downarrow\uparrow\uparrow\downarrow\rangle +  \uparrow\downarrow\uparrow\downarrow\rangle +  \uparrow\uparrow\downarrow\downarrow\rangle)$
2	-1	$\frac{3}{2}$	1	$\frac{1}{2}( \downarrow\downarrow\downarrow\uparrow\rangle +  \downarrow\downarrow\uparrow\downarrow\rangle +  \downarrow\uparrow\downarrow\downarrow\rangle +  \uparrow\downarrow\downarrow\downarrow\rangle)$
2	-2	$\frac{3}{2}$	1	$ \downarrow\downarrow\downarrow\downarrow\rangle$

(c)  $\hat{H}$  commutes with  $\hat{S}_{1+2+3+4,z}$  and  $\hat{\mathbf{S}}_{1+2+3+4}^2$ . Therefore

$\langle S'_{1+2+3+4}, S'_{1+2+3+4,z}, S'_{1+2+3}, S'_{1+2} | \hat{H} | S_{1+2+3+4}, S_{1+2+3+4,z}, S_{1+2+3}, S_{1+2} \rangle$  will vanish if  $S'_{1+2+3+4} \neq S_{1+2+3+4}$ , or  $S'_{1+2+3+4,z} \neq S_{1+2+3+4,z}$ .

$\hat{H}$  commutes with ladder operators  $\hat{S}_{1+2+3+4,\pm}$ . Therefore

$\langle S_{1+2+3+4}, S_{1+2+3+4,z}, S'_{1+2+3}, S'_{1+2} | \hat{H} | S_{1+2+3+4}, S_{1+2+3+4,z}, S_{1+2+3}, S_{1+2} \rangle$  is independent of  $S_{1+2+3+4,z}$ .

These are the “selection rules” for the rotation group generated by  $\hat{S}_{1+2+3+4,a}$ . So we only need to compute  $\langle S_{1+2+3+4}, S_{1+2+3+4,z}, S'_{1+2+3}, S'_{1+2} | \hat{H} | S_{1+2+3+4}, S_{1+2+3+4,z}, S_{1+2+3}, S_{1+2} \rangle$  for  $S_{1+2+3+4,z} = S_{1+2+3+4}$ .

Rewrite  $\hat{H} = (\hat{S}_{1z}\hat{S}_{2z} + \hat{S}_{2z}\hat{S}_{3z} + \hat{S}_{3z}\hat{S}_{4z} + \hat{S}_{4z}\hat{S}_{1z}) + \frac{1}{2}(\hat{S}_{1+}\hat{S}_{2-} + \hat{S}_{1-}\hat{S}_{2+} + \hat{S}_{2+}\hat{S}_{3-} + \hat{S}_{2-}\hat{S}_{3+} + \hat{S}_{3+}\hat{S}_{4-} + \hat{S}_{3-}\hat{S}_{4+} + \hat{S}_{4+}\hat{S}_{1-} + \hat{S}_{4-}\hat{S}_{1+})$ .



$$\hat{H}|2, m, \frac{3}{2}, 1\rangle = |\uparrow\uparrow\uparrow\uparrow\rangle.$$

$$\langle 2, m, \frac{3}{2}, 1 | \hat{H} | 2, m, \frac{3}{2}, 1 \rangle = 1, \text{ for } m = -2, -1, 0, 1, 2.$$

$$\hat{H}|1, 1, \frac{3}{2}, 1\rangle = \frac{1}{2\sqrt{3}}(-|\downarrow\uparrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\uparrow\rangle - |\uparrow\uparrow\downarrow\uparrow\rangle + |\uparrow\uparrow\uparrow\downarrow\rangle).$$

$$\hat{H}|1, 1, \frac{1}{2}, 1\rangle = \frac{1}{2\sqrt{6}}(|\downarrow\uparrow\uparrow\uparrow\rangle - |\uparrow\downarrow\uparrow\uparrow\rangle + |\uparrow\uparrow\downarrow\uparrow\rangle - |\uparrow\uparrow\uparrow\downarrow\rangle).$$

$$\hat{H}|1, 1, \frac{1}{2}, 0\rangle = \frac{1}{2\sqrt{2}}(-|\downarrow\uparrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\uparrow\rangle - |\uparrow\uparrow\downarrow\uparrow\rangle + |\uparrow\uparrow\uparrow\downarrow\rangle).$$

$$\langle 1, m, \frac{3}{2}, 1 | \hat{H} | 1, m, \frac{3}{2}, 1 \rangle = -\frac{1}{3}, \text{ for } m = -1, 0, 1.$$

$$\langle 1, m, \frac{1}{2}, 1 | \hat{H} | 1, m, \frac{3}{2}, 1 \rangle = \langle 1, m, \frac{3}{2}, 1 | \hat{H} | 1, m, \frac{1}{2}, 1 \rangle = \frac{1}{3\sqrt{2}}, \text{ for } m = -1, 0, 1.$$

$$\langle 1, m, \frac{1}{2}, 0 | \hat{H} | 1, m, \frac{3}{2}, 1 \rangle = \langle 1, m, \frac{3}{2}, 1 | \hat{H} | 1, m, \frac{1}{2}, 0 \rangle = -\frac{1}{\sqrt{6}}, \text{ for } m = -1, 0, 1.$$

$$\langle 1, m, \frac{1}{2}, 1 | \hat{H} | 1, m, \frac{1}{2}, 1 \rangle = -\frac{1}{6}, \text{ for } m = -1, 0, 1.$$

$$\langle 1, m, \frac{1}{2}, 0 | \hat{H} | 1, m, \frac{1}{2}, 1 \rangle = \langle 1, m, \frac{1}{2}, 1 | \hat{H} | 1, m, \frac{1}{2}, 0 \rangle = \frac{1}{2\sqrt{3}}, \text{ for } m = -1, 0, 1.$$

$$\langle 1, m, \frac{1}{2}, 0 | \hat{H} | 1, m, \frac{1}{2}, 0 \rangle = -\frac{1}{2}, \text{ for } m = -1, 0, 1.$$

(not required)  $\hat{H}$  in  $S_{1+2+3+4} = 1$  subspace is 3 copies of  $3 \times 3$  matrix,

$$\begin{pmatrix} -\frac{1}{3} & \frac{1}{3\sqrt{2}} & -\frac{1}{\sqrt{6}} \\ \frac{1}{3\sqrt{2}} & -\frac{1}{6} & \frac{1}{2\sqrt{3}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{2\sqrt{3}} & -\frac{1}{2} \end{pmatrix} = (-1) \cdot \begin{pmatrix} \frac{1}{\sqrt{3}} \\ -\frac{1}{\sqrt{6}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \end{pmatrix}, \text{ which has eigenvalues } -1, 0, 0.$$

$$\hat{H}|0, 0, \frac{1}{2}, 1\rangle = \frac{1}{2\sqrt{3}}(-|\downarrow\downarrow\uparrow\uparrow\rangle + 2|\downarrow\uparrow\downarrow\uparrow\rangle - |\uparrow\downarrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\downarrow\rangle + 2|\uparrow\downarrow\uparrow\downarrow\rangle - \uparrow\uparrow\downarrow\downarrow).$$

$$\hat{H}|0, 0, \frac{1}{2}, 0\rangle = \frac{1}{2}(|\downarrow\downarrow\uparrow\uparrow\rangle - 2|\downarrow\uparrow\downarrow\uparrow\rangle + |\uparrow\downarrow\downarrow\uparrow\rangle + |\downarrow\uparrow\uparrow\downarrow\rangle - 2|\uparrow\downarrow\uparrow\downarrow\rangle + |\uparrow\uparrow\downarrow\downarrow\rangle).$$

$$\langle 0, 0, \frac{1}{2}, 1 | \hat{H} | 0, 0, \frac{1}{2}, 1 \rangle = -\frac{1}{2}.$$

$$\langle 0, 0, \frac{1}{2}, 0 | \hat{H} | 0, 0, \frac{1}{2}, 1 \rangle = \langle 0, 0, \frac{1}{2}, 1 | \hat{H} | 0, 0, \frac{1}{2}, 0 \rangle = \frac{\sqrt{3}}{2}.$$

$$\langle 0, 0, \frac{1}{2}, 0 | \hat{H} | 0, 0, \frac{1}{2}, 0 \rangle = -\frac{3}{2}.$$

(not required)  $\hat{H}$  in  $S_{1+2+3+4} = 0$  subspace is  $2 \times 2$  matrix,

$$\begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{3}{2} \end{pmatrix} = (-2) \cdot \begin{pmatrix} \frac{1}{2} \\ -\frac{\sqrt{3}}{2} \end{pmatrix} \begin{pmatrix} \frac{1}{2} & -\frac{\sqrt{3}}{2} \end{pmatrix}, \text{ which has eigenvalues } -2, 0.$$

**Problem 4.** (35 points) Consider four fermion modes  $\hat{f}_{1,2,3,4}$ . They satisfy  $\{\hat{f}_i, \hat{f}_j^\dagger\} = \delta_{ij}$ . The occupation basis  $|n_1, n_2, n_3, n_4\rangle = (\hat{f}_1^\dagger)^{n_1}(\hat{f}_2^\dagger)^{n_2}(\hat{f}_3^\dagger)^{n_3}(\hat{f}_4^\dagger)^{n_4}|\text{vac}\rangle$  are complete orthonormal basis of the Fock space, where  $n_{1,2,3,4} = 0$  or 1 are eigenvalues of  $\hat{n}_i = \hat{f}_i^\dagger \hat{f}_i$ .  $|\text{vac}\rangle$  is the normalized “vacuum”. Consider the  $D_4$  point group (see page 1), generated by “4-fold rotation”  $C_4 : \hat{f}_1^\dagger \rightarrow \hat{f}_2^\dagger \rightarrow \hat{f}_3^\dagger \rightarrow \hat{f}_4^\dagger \rightarrow \hat{f}_1^\dagger$ , (this means  $\widehat{C_4} \hat{f}_1^\dagger \widehat{C_4}^\dagger = \hat{f}_2^\dagger$ , etc.), and “principal axis reflection”  $\sigma_s : \hat{f}_1^\dagger \rightarrow \hat{f}_1^\dagger, \hat{f}_2^\dagger \rightarrow \hat{f}_4^\dagger, \hat{f}_3^\dagger \rightarrow \hat{f}_3^\dagger, \hat{f}_4^\dagger \rightarrow \hat{f}_2^\dagger$ .

(a) (5pts) A group element  $g \in D_4$  transforms  $\hat{f}_i^\dagger$  as  $\hat{f}_i^\dagger \mapsto \sum_j \hat{f}_j^\dagger \cdot R[g]_{ji}$ , where  $R[g]$  is the  $4 \times 4$  representation matrix. Decompose this into irreducible representations. Namely find  $\hat{f}'_i^\dagger = \sum_j \hat{f}_j^\dagger \cdot U_{ji}$ , where  $U_{ji}$  is a  $4 \times 4$  unitary matrix, so that  $\hat{f}'_i^\dagger$  transform under  $g \in D_4$  as  $\hat{f}'_i^\dagger \mapsto \sum_j \hat{f}'_j^\dagger \cdot R'[g]_{ji}$  with  $R'[g]$  block-diagonalized, and each diagonal block is one of the irreducible representations. *Solve the new basis  $\hat{f}'_i^\dagger$  in terms of  $\hat{f}_i^\dagger$  (or equivalently solve  $U$ ), and the block-diagonalized representation  $R'[g]$  for the generators  $g = C_4$  and  $g = \sigma_s$ .* [Hint: you may use the “projection operator” to find the new basis]

(b) (15pts) Assume that the vacuum state  $|\text{vac}\rangle$  is invariant under  $D_4$  group. Then the transformation rules for  $\hat{f}_i^\dagger$  completely determine the transformation rules for any states, for example  $C_4$  transforms  $\hat{f}_1^\dagger \hat{f}_2^\dagger |\text{vac}\rangle \mapsto \hat{f}_2^\dagger \hat{f}_3^\dagger |\text{vac}\rangle$ . *Decompose the 16-dimensional Fock space into irreducible representations(irrep) of  $D_4$ , namely find new basis of the Fock space so that they transform according to irreducible representations of the  $D_4$  group.* [Hint: result of (a) may help; the  $D_4$  group commutes with total particle number]

(c) (15pts\*) Consider  $\hat{H}_0 = E_0 \cdot \hat{n}$  where  $\hat{n} = \sum_{i=1}^4 \hat{n}_i$ , and  $\hat{V} = \lambda \cdot (\hat{f}_1 \hat{f}_2 + \hat{f}_2 \hat{f}_3 + \hat{f}_3 \hat{f}_4 + \hat{f}_4 \hat{f}_1 + \hat{f}_2^\dagger \hat{f}_1^\dagger + \hat{f}_3^\dagger \hat{f}_2^\dagger + \hat{f}_4^\dagger \hat{f}_3^\dagger + \hat{f}_1^\dagger \hat{f}_4^\dagger)$ , where  $E_0 > 0$ ,  $\lambda$  is a ‘small’ real parameter. *Solve all eigenvalues of  $\hat{H}_0 + \hat{V}$  in the entire Fock space up to  $\lambda^2$  order.* [Hint:  $\hat{V}$  is NOT invariant (trivial irrep) under the  $D_4$  group, but results of (a)(b) will still help; you can use perturbation theory, or solve the exact eigenvalues and do Taylor expansion]

**Solution:** this is similar to Homework #5 Problem 2(a)(b).

(a) this is exactly the same as Homework #5 Problem 2(a).

The basis can be chosen as

irrep. $R'$	basis	$R'[C_4]$	$R'[\sigma_s]$
$\Gamma_1$	$\hat{f}'_1^\dagger \equiv \hat{\Gamma}_1^\dagger = \frac{1}{2}(\hat{f}_1^\dagger + \hat{f}_2^\dagger + \hat{f}_3^\dagger + \hat{f}_4^\dagger)$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$
$\Gamma_3$	$\hat{f}'_2^\dagger \equiv \hat{\Gamma}_3^\dagger = \frac{1}{2}(\hat{f}_1^\dagger - \hat{f}_2^\dagger + \hat{f}_3^\dagger - \hat{f}_4^\dagger)$	$\begin{pmatrix} -1 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$
$\Gamma_5$	$(\hat{f}'_3^\dagger \equiv \hat{\Gamma}_{5,x}^\dagger = \frac{1}{\sqrt{2}}(\hat{f}_1^\dagger - \hat{f}_3^\dagger), \hat{f}'_4^\dagger \equiv \hat{\Gamma}_{5,y}^\dagger = \frac{1}{\sqrt{2}}(\hat{f}_2^\dagger - \hat{f}_4^\dagger))$	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

They satisfy  $\{\hat{f}'_i, \hat{f}'_j^\dagger\} = \delta_{ij}$ .

(b)  $D_4$  group preserves total particle number, so we can construct irreps. of  $D_4$  group within each subspace of fixed total particle number. Irreps. in total particle number  $n = 2$  subspace is the same as Homework #5 Problem 2(b).

$n$	irrep. $R'$	basis	$R'[C_4]$	$R'[\sigma_s]$
0	$\Gamma_1$	$ \text{vac}\rangle$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$
1	$\Gamma_1$	$\hat{f}'_1^\dagger  \text{vac}\rangle$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$
1	$\Gamma_3$	$\hat{f}'_2^\dagger  \text{vac}\rangle$	$\begin{pmatrix} -1 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$
1	$\Gamma_5$	$(\hat{f}'_3^\dagger  \text{vac}\rangle, \hat{f}'_4^\dagger  \text{vac}\rangle)$	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
2	$\Gamma_3 = \Gamma_1 \otimes \Gamma_3$	$\hat{f}'_1^\dagger \hat{f}'_2^\dagger  \text{vac}\rangle$	$\begin{pmatrix} -1 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$
2	$\Gamma_5 = \Gamma_1 \otimes \Gamma_5$	$(\hat{f}'_1^\dagger \hat{f}'_3^\dagger  \text{vac}\rangle, \hat{f}'_1^\dagger \hat{f}'_4^\dagger  \text{vac}\rangle)$	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
2	$\Gamma_5 = \Gamma_3 \otimes \Gamma_5$	$(\hat{f}'_2^\dagger \hat{f}'_3^\dagger  \text{vac}\rangle, -\hat{f}'_2^\dagger \hat{f}'_4^\dagger  \text{vac}\rangle)$	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
2	$\Gamma_2$ , from $\Gamma_5 \otimes \Gamma_5 = \Gamma_1 \oplus \Gamma_2 \oplus \Gamma_3 \oplus \Gamma_4$	$\hat{f}'_3^\dagger \hat{f}'_4^\dagger  \text{vac}\rangle$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} -1 \end{pmatrix}$
3	$\Gamma_5 = \Gamma_1 \otimes \Gamma_3 \otimes \Gamma_5$	$(\hat{f}'_1^\dagger \hat{f}'_2^\dagger \hat{f}'_3^\dagger  \text{vac}\rangle, -\hat{f}'_1^\dagger \hat{f}'_2^\dagger \hat{f}'_4^\dagger  \text{vac}\rangle)$	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
3	$\Gamma_2$ , from $\Gamma_1 \otimes \Gamma_5 \otimes \Gamma_5$	$\hat{f}'_1^\dagger \hat{f}'_3^\dagger \hat{f}'_4^\dagger  \text{vac}\rangle$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} -1 \end{pmatrix}$
3	$\Gamma_4$ , from $\Gamma_3 \otimes \Gamma_5 \otimes \Gamma_5$	$\hat{f}'_2^\dagger \hat{f}'_3^\dagger \hat{f}'_4^\dagger  \text{vac}\rangle$	$\begin{pmatrix} -1 \end{pmatrix}$	$\begin{pmatrix} -1 \end{pmatrix}$
4	$\Gamma_4$ , from $\Gamma_1 \otimes \Gamma_3 \otimes \Gamma_5 \otimes \Gamma_5$	$\hat{f}'_1^\dagger \hat{f}'_2^\dagger \hat{f}'_3^\dagger \hat{f}'_4^\dagger  \text{vac}\rangle$	$\begin{pmatrix} -1 \end{pmatrix}$	$\begin{pmatrix} -1 \end{pmatrix}$

The representation matrices are not required.

(c) rewrite  $\hat{H}$  in terms of  $\hat{f}'_i^\dagger$  and  $\hat{f}'_i$ .

$\hat{H}_0 = E_0 \sum_{i=1}^4 \hat{f}'_i^\dagger \hat{f}'_i$ , forms  $\Gamma_1$  irrep.

$\hat{V} = 2\lambda(\hat{f}'_3 \hat{f}'_4 + \hat{f}'_4^\dagger \hat{f}'_3^\dagger)$ , forms  $\Gamma_2$  irrep.

Method #1: exact solution,

do particle-hole transformation on  $\hat{f}'_3$ , define  $\hat{f}_1 = \hat{f}'_1$ ,  $\hat{f}_2 = \hat{f}'_2$ ,  $\hat{f}_3 = \hat{f}'_3^\dagger$ ,  $\hat{f}_4 = \hat{f}'_4$ ,

$$\text{then } \hat{H} = E_0 + \begin{pmatrix} \hat{f}_1^\dagger & \hat{f}_2^\dagger & \hat{f}_3^\dagger & \hat{f}_4^\dagger \end{pmatrix} \begin{pmatrix} E_0 & 0 & 0 & 0 \\ 0 & E_0 & 0 & 0 \\ 0 & 0 & -E_0 & 2\lambda \\ 0 & 0 & 2\lambda & E_0 \end{pmatrix} \begin{pmatrix} \hat{f}_1^\dagger \\ \hat{f}_2^\dagger \\ \hat{f}_3^\dagger \\ \hat{f}_4^\dagger \end{pmatrix}.$$

This bilinear form can be further diagonalized by a unitary transformation,  $\begin{pmatrix} \hat{c}_1^\dagger & \hat{c}_2^\dagger & \hat{c}_3^\dagger & \hat{c}_4^\dagger \end{pmatrix} = \begin{pmatrix} \hat{f}_1^\dagger & \hat{f}_2^\dagger & \hat{f}_3^\dagger & \hat{f}_4^\dagger \end{pmatrix} \cdot U$ , where  $U$  is a  $4 \times 4$  unitary matrix, and

$$U^\dagger \cdot \begin{pmatrix} E_0 & 0 & 0 & 0 \\ 0 & E_0 & 0 & 0 \\ 0 & 0 & -E_0 & 2\lambda \\ 0 & 0 & 2\lambda & E_0 \end{pmatrix} \cdot U = \begin{pmatrix} E_0 & 0 & 0 & 0 \\ 0 & E_0 & 0 & 0 \\ 0 & 0 & -\sqrt{E_0^2 + 4\lambda^2} & 0 \\ 0 & 0 & 0 & \sqrt{E_0^2 + 4\lambda^2} \end{pmatrix}, \text{ then}$$

$\hat{H} = E_0 + E_0 \hat{c}_1^\dagger \hat{c}_1 + E_0 \hat{c}_2^\dagger \hat{c}_2 - \sqrt{E_0^2 + 4\lambda^2} \hat{c}_3^\dagger \hat{c}_3 + \sqrt{E_0^2 + 4\lambda^2} \hat{c}_4^\dagger \hat{c}_4$ , the occupation basis of  $\hat{c}_i$ ,  $(\hat{c}_1^\dagger)^{m_1} (\hat{c}_2^\dagger)^{m_2} (\hat{c}_3^\dagger)^{m_3} (\hat{c}_4^\dagger)^{m_4} |\text{vac of } c\rangle$  are eigenstates of  $\hat{H}$ . The eigenvalues are

$$E_0 \cdot (m_1 + m_2 + 1) - \sqrt{E_0^2 + 4\lambda^2} m_3 + \sqrt{E_0^2 + 4\lambda^2} m_4 \\ \approx E_0 \cdot (m_1 + m_2 + 1) - (E_0 + \frac{2\lambda^2}{E_0}) m_3 + (E_0 + \frac{2\lambda^2}{E_0}) m_4, \text{ for } m_{1,2,3,4} = 0 \text{ or } 1.$$

Method #2: perturbation theory by unitary transformations,

Define  $\hat{V}_+ = 2\lambda \hat{f}_4^\dagger \hat{f}_3^\dagger$ ,  $\hat{V}_- = 2\lambda \hat{f}_3 \hat{f}_4 = \hat{V}_+^\dagger$ . Then  $\hat{V} = \hat{V}_+ + \hat{V}_-$ ,  $[\hat{H}_0, \hat{V}_\pm] = \pm(2E_0) \hat{V}_\pm$ .

Let  $\hat{H}^{(1)} = e^{i\hat{S}} \hat{H} e^{-i\hat{S}} = \hat{H}_0 + \hat{V} + [\hat{S}, \hat{H}_0] + [\hat{S}, \hat{V}] + \frac{1}{2}[\hat{S}, [\hat{S}, \hat{H}_0]] + \dots$

Demand that  $\hat{V}_+ + \hat{V}_- + [\hat{S}, \hat{H}_0] = 0$ , then  $i\hat{S} = \frac{1}{2E_0}(\hat{V}_+ - \hat{V}_-)$ .

Then up to  $t^2$  order,  $\hat{H}^{(1)} \approx \hat{H}_0 + (1 - \frac{1}{2})[\frac{1}{2E_0}(\hat{V}_+ - \hat{V}_-), \hat{V}_+ + \hat{V}_-] = \hat{H}_0 + \frac{1}{2E_0}[\hat{V}_+, \hat{V}_-]$

Use  $[\hat{A}\hat{B}, \hat{C}\hat{D}] = \hat{A}\{\hat{B}, \hat{C}\}\hat{D} - \{\hat{A}, \hat{C}\}\hat{B}\hat{D} + \hat{C}\hat{A}\{\hat{B}, \hat{D}\} - \hat{C}\{\hat{A}, \hat{D}\}\hat{B}$ ,

$$[\hat{V}_+, \hat{V}_-] = 4\lambda^2(\hat{f}_4^\dagger \hat{f}_4 - \hat{f}_3 \hat{f}_3^\dagger) = 4\lambda^2(\hat{f}_4^\dagger \hat{f}_4 + \hat{f}_3^\dagger \hat{f}_3 - 1).$$

Finally  $\hat{H}^{(1)} \approx E_0 \hat{f}_1^\dagger \hat{f}_1 + E_0 \hat{f}_2^\dagger \hat{f}_2 + (E_0 + \frac{2\lambda^2}{E_0}) \hat{f}_3^\dagger \hat{f}_3 + (E_0 + \frac{2\lambda^2}{E_0}) \hat{f}_4^\dagger \hat{f}_4 - \frac{2t^2}{E_0}$ . The eigenvalues are  $E_0(n_1 + n_2) + (E_0 + \frac{2\lambda^2}{E_0})(n_3 + n_4) - \frac{2\lambda^2}{E_0}$ , for  $n_{1,2,3,4} = 0$  or  $1$ .

**Problem 5.** (10 points) Consider three spin-1/2 moments  $\hat{\mathbf{S}}_{1,2,3}$ . The spin operators satisfy  $[\hat{S}_{i,a}, \hat{S}_{j,b}] = \delta_{ij} \sum_c i\epsilon_{abc} \hat{S}_{i,c}$  for  $i, j = 1, 2, 3$  and  $a, b, c = x, y, z$ . A complete orthonormal basis is the tensor product of  $S_z$ -eigenbasis,  $|S_{1z}, S_{2z}, S_{3z}\rangle$ , with  $S_{iz} = \pm \frac{1}{2}$ .

(a) (8pts) Solve all the eigenvalues of  $\hat{H} = \hat{S}_{1z}(\hat{S}_{2x}\hat{S}_{3y} - \hat{S}_{2y}\hat{S}_{3x})$ . [Hint: rewriting  $\hat{H}$  by ladder operators and using symmetries might help; or you can directly write down the  $8 \times 8$  matrix and find its eigenvalues, note that  $\hat{S}_{1a}\hat{S}_{2b}\hat{S}_{3c}$  means the tensor product  $\hat{S}_{1a} \otimes \hat{S}_{2b} \otimes \hat{S}_{3c}$ ]

(b) (2pts\*) Explain the reason of eigenvalue degeneracy in (a).

**Solution:**

(a)  $\hat{H} = \frac{1}{2}\hat{S}_{1z}(\hat{S}_{2+}\hat{S}_{3-} - \hat{S}_{2-}\hat{S}_{3+})$  conserves  $\hat{S}_{1z}$  and  $\hat{S}_{2,z} + \hat{S}_{3,z}$ .

$$\hat{H} \text{ under } S_z \text{ basis is } \begin{pmatrix} 0 & & & & & \\ & 0 & \frac{i}{4} & & & \\ & -\frac{i}{4} & 0 & & & \\ & & & 0 & & \\ & & & & 0 & \\ & & & & & 0 & -\frac{i}{4} \\ & & & & & \frac{i}{4} & 0 \\ & & & & & & & 0 \end{pmatrix}, \text{ unspecified entries are zero.}$$

Eigenvalues are  $-\frac{1}{4}$  (2-fold degenerate), 0 (4-fold degenerate),  $+\frac{1}{4}$  (2-fold degenerate)

(b) Answer #1: generalized Kramers theorem,

$\hat{H}$  is NOT time-reversal invariant, time-reversal changes sign of  $\hat{H}$ , because each term in  $\hat{H}$  is a product of odd number of spin operators, so the original Kramers theorem cannot apply.

however  $\hat{H}$  is invariant under an anti-unitary symmetry  $\hat{U} = \hat{\sigma}_{2,3}\hat{\mathcal{T}}$ , here  $\hat{\mathcal{T}}$  is the anti-unitary time-reversal operator,  $\hat{\sigma}_{2,3}$  is the unitary operator that exchanges spins “2” and “3”, namely  $\hat{\sigma}_{2,3}|s_1, s_2, s_3\rangle = |s_1, s_3, s_2\rangle$ ,  $\hat{\sigma}_{2,3}\hat{S}_{2,a}\hat{\sigma}_{2,3}^\dagger = \hat{S}_{3,a}$ ,  $\hat{\sigma}_{2,3}\hat{S}_{3,a}\hat{\sigma}_{2,3}^\dagger = \hat{S}_{2,a}$ .

it is easy to check that  $\hat{U}^2 = -\mathbb{1}$  in the Hilbert space,

then we have a generalized Kramers theorem, which says that all energy levels must be at least 2-fold degenerate: if  $|\psi\rangle$  is eigenstate of  $\hat{H}$ , then  $\hat{U}|\psi\rangle$  is also an eigenstate of  $\hat{H}$  with the same eigenvalue, and  $\langle\psi|\hat{U}\psi\rangle = 0$ .

Answer #2: anti-commuting unitary symmetries,

$[\hat{H}, \hat{S}_{1,z}] = 0$ , so we can find simultaneous eigenstates of  $\hat{H}$  and  $\hat{S}_{1,z}$ ,  $|\hat{H} = E, \hat{S}_{1,z} = S_{1z}\rangle$ .

Then we can find another unitary symmetry  $\hat{U}$  such that  $[\hat{H}, \hat{U}] = 0$ ,  $\hat{U}\hat{S}_{1,z} = -\hat{S}_{1,z}\hat{U}$ , namely that  $\hat{U}$  does not change  $\hat{H}$  eigenvalue, but changes sign of  $\hat{S}_{1,z}$  eigenvalue, therefore  $\hat{U}|\hat{H} = E, \hat{S}_{1,z} = S_{1z}\rangle = |\hat{H} = E, \hat{S}_{1,z} = -S_{1z}\rangle$ .  $\hat{S}_{1,z}$  eigenvalues are nonzero, so eigenstates of  $\hat{H}$  have at least 2-fold degeneracy.

The choice of  $\hat{U}$  is not unique,  $\hat{U} = \exp(i\pi(\hat{S}_{1,x} + \hat{S}_{2,x} + \hat{S}_{3,x}))$ , or  $\hat{U} = \exp(i\pi\hat{S}_{1,x}) \cdot \hat{\sigma}_{2,3}$ .