

1 Introduction

In this project, a web-based Smart Home Energy Management System is designed and implemented. The project consists of two parts: database design and system implementation. In the first half of this report, a detailed description and justification of the design of the database schema is presented. In the other half, an comprehensive overview of the design and implementation of the web system is described.

This report is organized as follows: In [Section 2](#), we conducted a comprehensive needs analysis for the project, followed by table design, as well as assumptions and justifications for the design. [Section 3](#) introduces the detailed creation of the database schema, specifying each table's columns, data types, and constraints. A description of the design of the web system, including the structure and functionalities of each page, is presented in [Section 4](#). Finally, the key technologies utilized to realize the system is concluded by [Section 5](#) and [Section 6](#), corresponding to the backend and the frontend.

The github repositories link to our project: Frontend:

<https://github.com/yangfan2001/shemsapp>, Backend: <https://github.com/Wiliiam-RWL/SHEMS>.

2 Schema Design

2.1 Need Analysis

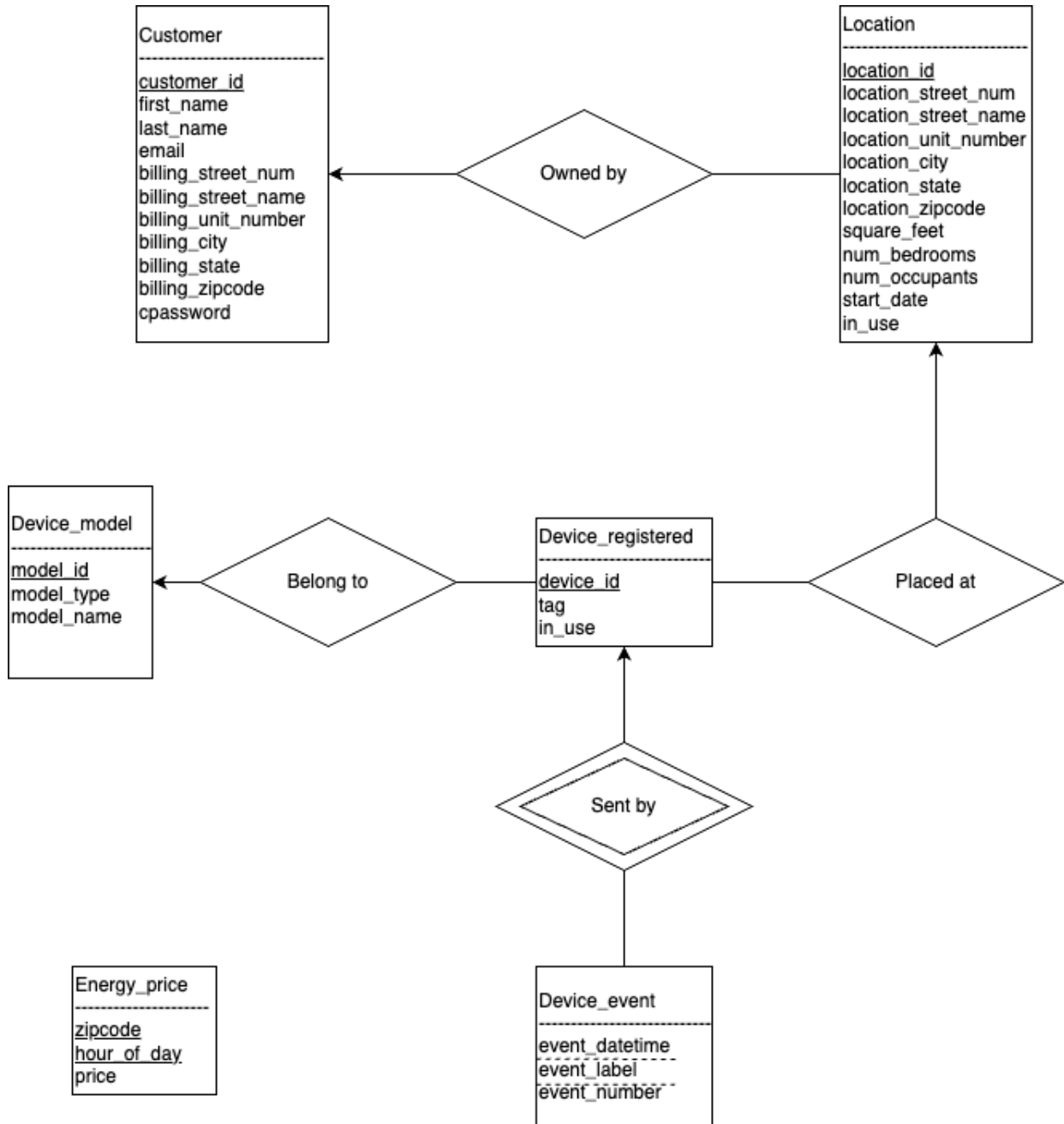
Based on the problem description, the information and functionalities needed can be concluded as follows:

- User System:
 - Sign up: Name, billing address, email
 - One user <- many locations
- Location:
 - Able to modify locations
 - Attributes:
 - Full address, including unit number
 - Start date
 - Square feet
 - Num bedrooms
 - Number of occupants of the unit
 - List of registered devices
- Devices
 - Type: AC, fridge, ...
 - Model: Samsung AC R100, ...
 - Ownership: User, Locations. (Can have more than one same model in a location by a user)
 - Can have an ID
- Devices Events(Signal / Information)
 - Switching On / Off (Time)

- Setting Changed (Time, setting[possibly]). e.g. AC temperature, light bulb brightness, fridge door opened / closed, ...
- Energy consumption
 - info / 5min: energy use
 - switched off: energy use since last update
- Event format
 - Device ID
 - time stamp
 - label (e.g. energy_consumption, temperature_lowered, door_opened, ...)
 - number (corresponding to label)
- Energy Price
 - Vary according to:
 - Hour
 - Zip Code

2.2 Entity-Relation Design

Based on the need analysis, the Entity-Relation Graph can be drawn:



2.3 Assumptions and Justifications

The ER-Gram is calculated based on some assumptions, some of which will actually affect the schema. Below is a description of all the assumptions made:

2.3.1 User & Location

Assumptions:

1. Each user can only have one billing address. So billing_address could be an attribute in user table.
2. Each home location can only belong to one account, so customer_id & start_date should be an attribute in address table.
3. We assume the location format as the following: (Line 1)street_number street_name (Line 2)unit_number (zip code info)city, state zipcode. Based on the atomic principals,

addresses are separated into different columns (e.g. street_num, street_name, ...).

4. We also assume the input addresses are all legal (they really exist, and in the right format).

2.3.2 Device & Event

Assumptions:

1. All the device models are in the list of device_model table, whenever a new device is promoted, we can modify the database to put it into the table.
2. User can only register devices of which the models are in the device_model table. So there won't be any records in the *device_registered* table with ambiguous model_id.
3. There are only limited number of event_label, and every the event_label received by the system should be legal.
4. As mentioned in the problem description, we don't have to model how the system prestore all the event_labels. So we assume that the events are automatically stored into the database (such process is not modeled). In the project, this process might be simulated by manually insert data into the model_event table.
5. We assume that devices could generate two (or more) events at the same timeframe. However, such events will not have a same event_label. For example, when an AC is turned off, it generates two events: 'Off' and 'EnergyReport' with a same timeframe. Consequently, (device_id, event_label, event_datetime) is a candidate key of the *device_event* table.

2.3.3 Energy Price & Calculation

Assumptions:

1. The unit of energy price is *dollars / kwh*. The unit of the energy consumption reported by devices is *kwh*.
2. Because the energy price might vary between two consecutive hours, there might be situations where one EnergyReport has a time span across two hours (e.g. first 2 minutes in 7 and the other 3 minutes in 8). In this case, we choose the unit price of the second hour for calculation, resulting in an approximate energy price, which would not have significant difference with the actual price, given that the numbers of kwh used by home devices per 5 minutes are very small, and that the unit price of energy would not vary a lot.

2.4 Tables Design

2.4.1 User & Location Table

customer: (**customer_id**, first_name, last_name, email, billing_street_num, billing_street_name, billing_unit_number, billing_city, billing_state, billing_zipcode, cpassword, in_use)

location: (**location_id**, customer_id, location_street_num, location_street_name,

location_unit_number, location_city, location_state, location_zipcode, square_feet, num_bedrooms, start_date, num_occupants, in_use)

2.4.2 Device & Event

device_model(**model_id**, model_type, model_name), *This is for prestoring devices for user to register*

device_registered(**device_id**, model_id, location_id, tag, in_use), *This is for devices registered by user*

device_event(**device_id**, **event_label**, **event_datetime**, event_number), *event_number corresponds to event_label*

2.4.3 Energy Price

energy_price(**zipcode**, **hour_of_day**, price), *Energy prices vary on hourly and locational basis*

2.4.4 Modifications from the design of part1*

The last part of the project designed some foreign key constraints for table *device_event* and *device_registered*, but did not specify the on delete action for them. Taking into account of the large amount of data in these table that is associated with *device_register* and *location*, and the logic that if any devices and locations are deleted, the records of the corresponding energy consumption should be reserved (in order to show the correct energy statistic charts), 'in_use' attributes are added into these table. When some locations or devices are deleted, 'in_use' column are set to false, thus preserving the integrity constraints.

3 Database Creation

In this part, we choose MySQL to implement the schema.

3.1 customer

```
CREATE TABLE customer(
    customer_id INT AUTO_INCREMENT,
    first_name VARCHAR(63) NOT NULL,
    last_name VARCHAR(63) NOT NULL,
    email VARCHAR(255) UNIQUE NOT NULL,
    billing_street_num INT NOT NULL,
    billing_street_name VARCHAR(127) NOT NULL,
    billing_unit_number VARCHAR(127) NOT NULL,
    billing_city VARCHAR(127) NOT NULL,
    billing_state VARCHAR(16) NOT NULL,
    billing_zipcode VARCHAR(5) NOT NULL,
    cpassword VARCHAR(127) NOT NULL,
    in_use BOOLEAN NOT NULL DEFAULT TRUE,
    PRIMARY KEY (customer_id)
);
```

3.2 location

```
CREATE TABLE location(
    location_id INT AUTO_INCREMENT,
    customer_id INT NOT NULL,
    location_street_num INT NOT NULL,
    location_street_name VARCHAR(127) NOT NULL,
    location_unit_number VARCHAR(127) NOT NULL,
    location_city VARCHAR(127) NOT NULL,
    location_state VARCHAR(127) NOT NULL,
    location_zipcode VARCHAR(5) NOT NULL,
    square_feet FLOAT NOT NULL,
    num_bedrooms INT NOT NULL,
    num_occupants INT NOT NULL,
    start_date DATETIME NOT NULL,
    in_use BOOLEAN NOT NULL DEFAULT TRUE,
    PRIMARY KEY (location_id),
    FOREIGN KEY (customer_id) REFERENCES customer(customer_id) ON
DELETE CASCADE
);
```

3.3 device_model

```
CREATE TABLE device_model(
    model_id INT AUTO_INCREMENT,
    model_type VARCHAR(127) NOT NULL,
    model_name VARCHAR(127) NOT NULL,
    PRIMARY KEY (model_id)
);
```

3.4 device_registered

```
CREATE TABLE device_registered(
    device_id INT AUTO_INCREMENT,
    model_id INT NOT NULL,
    location_id INT NOT NULL,
    tag VARCHAR(255),
    in_use BOOLEAN DEFAULT TRUE,
    PRIMARY KEY (device_id),
    FOREIGN KEY (model_id) REFERENCES device_model(model_id) ON
DELETE CASCADE,
    FOREIGN KEY (location_id) REFERENCES location(location_id) ON
DELETE CASCADE
);
```

3.5 device_event

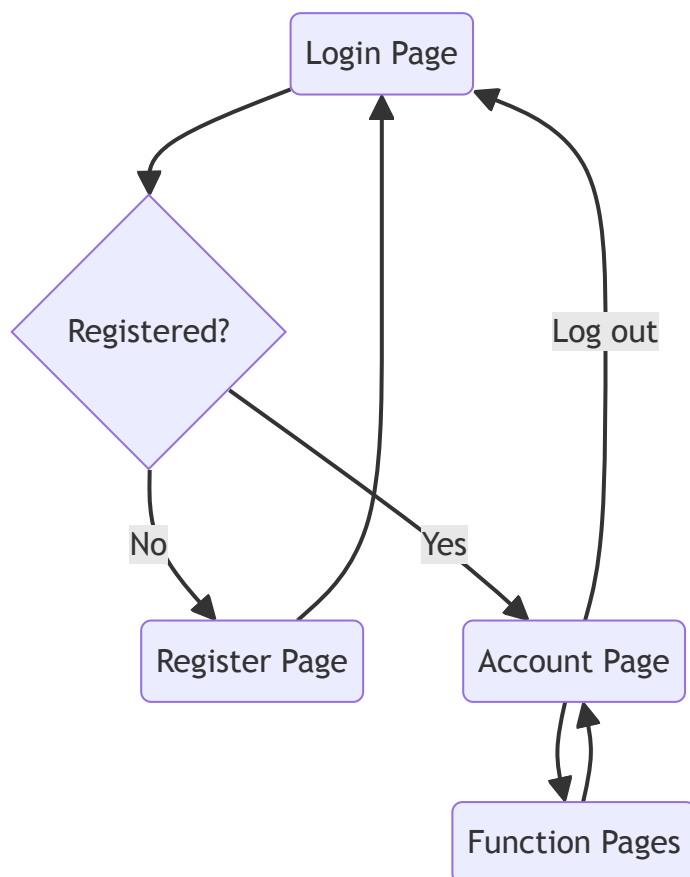
```
CREATE TABLE device_event(
  device_id INT NOT NULL,
  event_datetime DATETIME DEFAULT CURRENT_TIMESTAMP,
  event_label VARCHAR(63) NOT NULL,
  event_number FLOAT,
  PRIMARY KEY (device_id, event_datetime, event_label),
  FOREIGN KEY (device_id) REFERENCES device_registered(device_id)
ON DELETE CASCADE
);
```

3.6 energy_price(zipcode, hour_of_day, price)

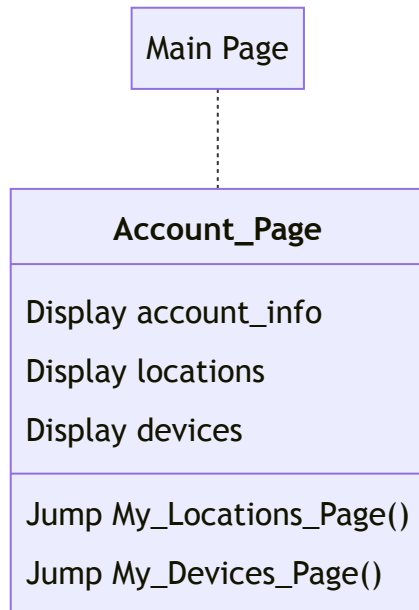
```
CREATE TABLE energy_price(
  zipcode VARCHAR(5),
  hour_of_day INT NOT NULL,
  price FLOAT NOT NULL,
  PRIMARY KEY (zipcode, hour_of_day)
);
```

4 Basic Pages and Functions Design

All the pages, excluding the login and register page, will require login. The server should detect if the user have logged in to the system, if not, they should be redirected to login page.



4.1 Account



Account page should display the basic information of the customer: account information(name, email, billing address, etc.), list of locations(short address for each location), list of devices(device model and type for each device). This page will also provide the buttons navigating to other pages as well.

Smart Home Energy Management System

LOG OUT FY2187@NYU.EDU

First Name
Fan

Last Name
Yang

Email
fy2187@nyu.edu

Street Number
100

Street Name
Willoughby St

Unit Number
8v

City
Brooklyn

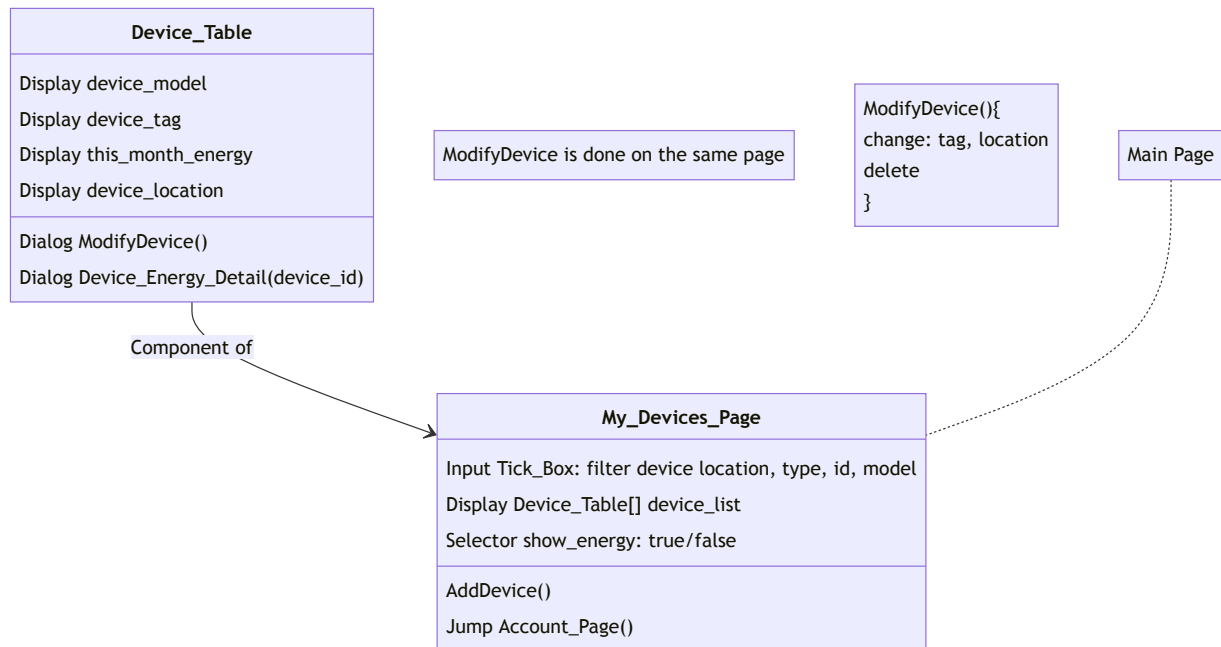
State
NY

Zip Code
11201

Device Name
LG Smart Wi-Fi Enabled Refrigerator

Device ID
17

4.2 Devices



Device page should show a table listing all the registered devices belonging to the account. For each device, the table entry is designed to show all the information of the device(model, tag). The energy overview of each device should also be shown in the entry. To provide more intuitive interactions, a selector defining what the table is showing(device info / energy) should be provided in this page.

Smart Home Energy Management System

LOG OUT JOE.BIDEN@WHITEHOUSE.COM

ADD DEVICE

Energy Table

Search

device_id	Model Type	Model Name	Tag	Location	Actions	
10	Refrigerator	Samsung Family Hub Refrigerator	SELECT * FROM ...	485 Marin Blvd 1204	<div>EDIT</div>	<div>DELETE</div>
11	Air Conditioner	Daikin Smart Inverter AC	SHOW tables;	485 Marin Blvd 1204	<div>EDIT</div>	<div>DELETE</div>
12	Smart Speaker	Google Nest Audio	DELETE * FROM ...	485 Marin Blvd 1204	<div>EDIT</div>	<div>DELETE</div>
14	Light Bulb	LIFX A60 Smart Bulb	In the bedroom!	25 River Drive South 1802	<div>EDIT</div>	<div>DELETE</div>
16	Refrigerator	LG Smart Wi-Fi Enabled Refrigerator	testsettestse	485 Marin Blvd 1204	<div>EDIT</div>	<div>DELETE</div>

1 row selected

Rows per page:

5

1-5 of 5

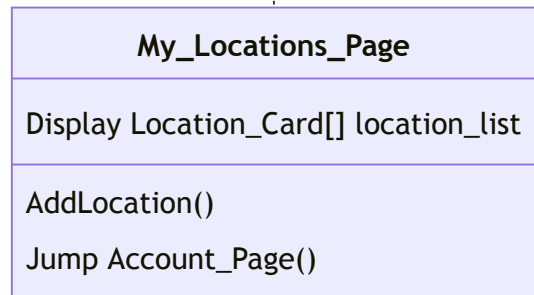
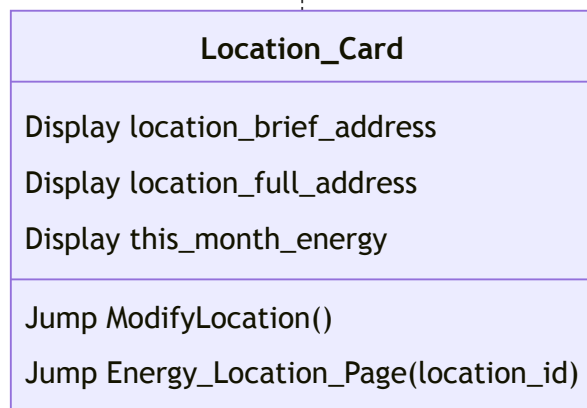
<

>

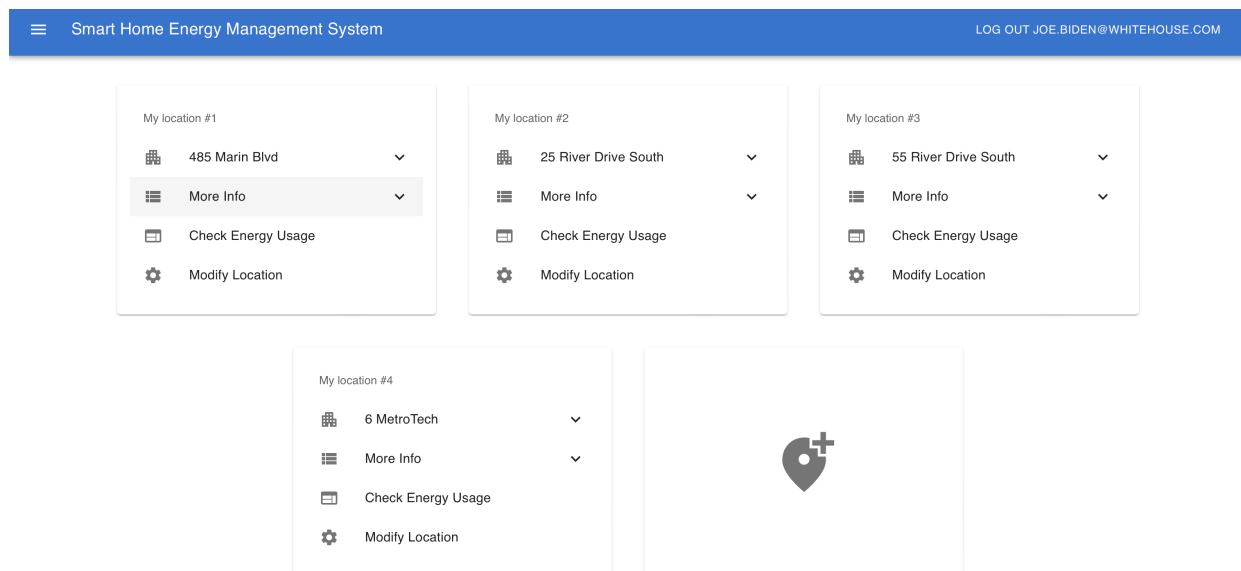
4.3 Locations

ModifyLocation is done on the same page

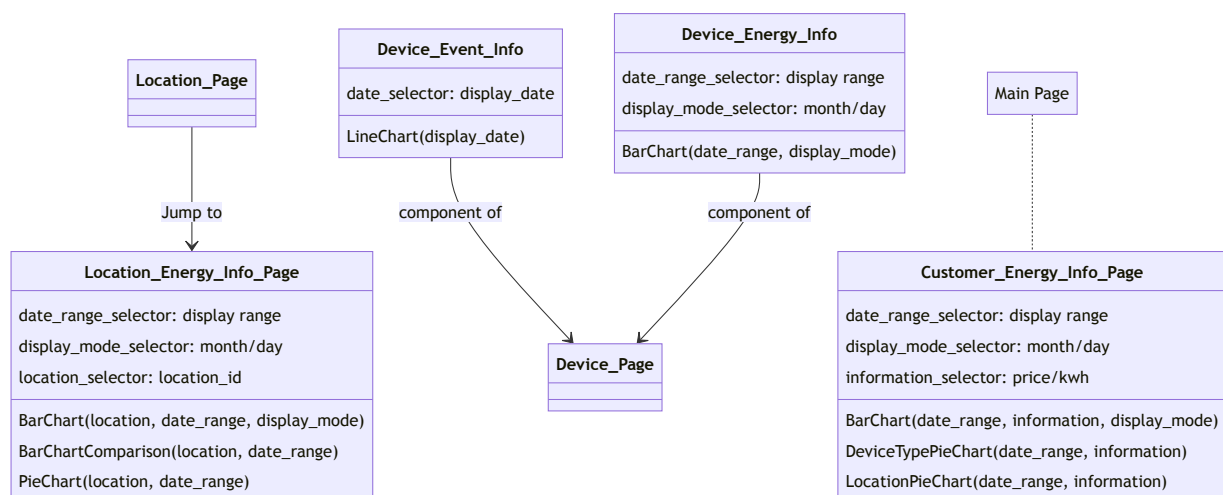
Main Page



Location page is designed to display a list of location cards, each showing the information of one location, including location address, detailed information, and buttons linking to the modification dialog and location energy page, which will be shown later.

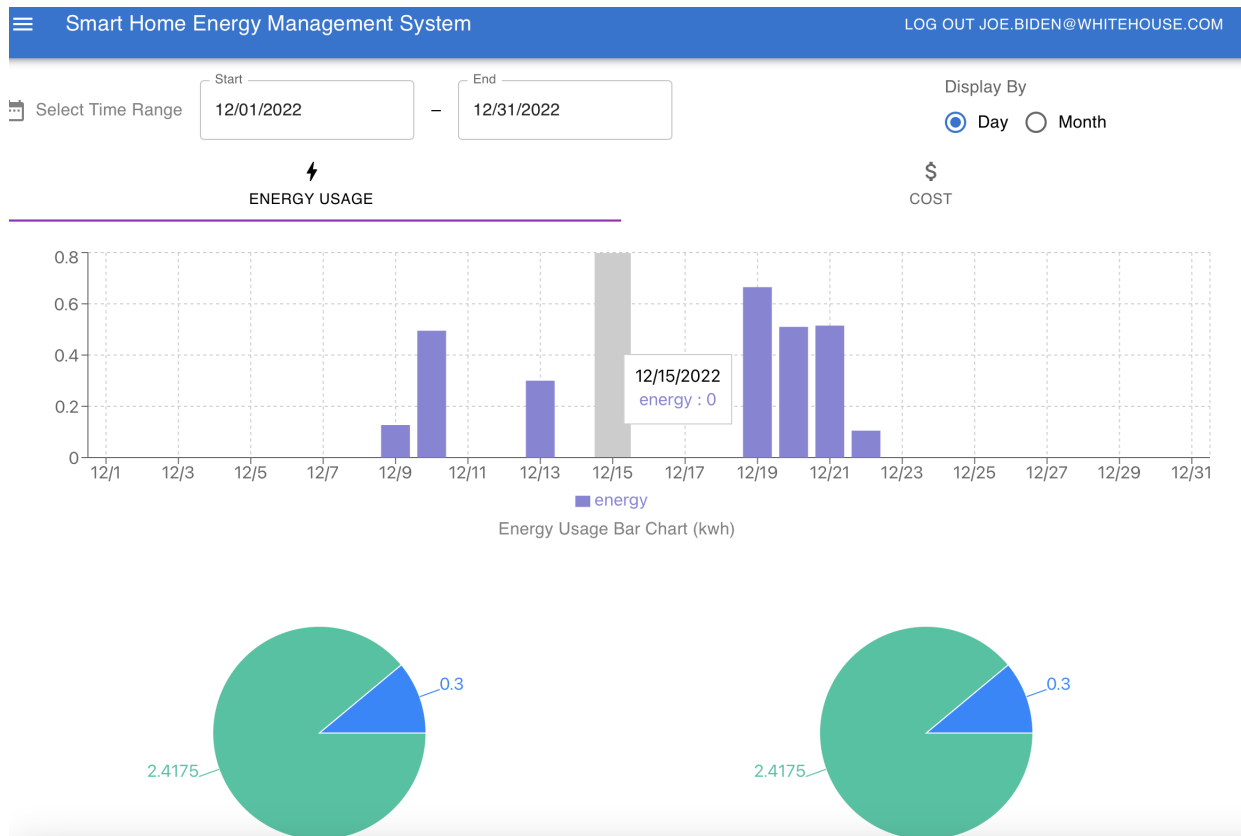


4.4 EnergyInfo



There would be three components/pages of energy information, corresponding to the field of account, device, location. Account information page would show the overview of all the energy usage of all locations registered by the customer. It should also provide date range selector, display mode, etc. to facilitate various choices of view for the energy/fee consumption.

Location energy page is designed similarly, adding an extra barchart comparing the energy information between current location and other locations with a close number of square feet. It also should provide vairous selection of displayed view. Device Event and Energy are set to be components of the device information page. Triggered by the buttons of the entry in device table, they would show the energy consumption on daily/monthly basis, and the event(Turned on/off, door opened/closed, etc.) genreated by the device.



5 Backend

5.1 Tech Stack

For our project, we've chosen a combination of Python, Flask, and MySQL, hosted on AWS. This stack provides a robust and scalable foundation for our backend services.

5.2 Database Access

To ensure data integrity and concurrency, we implement transactions for executing SQL commands. This approach maintains data consistency, particularly when handling multiple simultaneous requests to modify the database.

Here's an example of our transaction handling in Python:

```

@staticmethod
def handle_transaction(queries_params):
    """
    Execute a series of queries as transaction

    If successful, commit
    If failed, rollback

    :param queries_params: {'query': the query to be execute,
'params': the parameters}
    """
    try:
        transaction = Database.begin_transaction()
        for qp in queries_params:
            Database.execute_query(qp["query"], qp["params"])
        Database.commit_transaction()
        return True
    except Exception as e:
        print(e)
        Database.rollback_transaction()
        return False

```

After executing SQL commands, we close the session. This practice helps to manage the connection pool effectively.

```

@app.teardown_appcontext
def shutdown_session(exception=None):
    database.Database.close_session()

```

5.3 Backend Structure

Our backend is organized around service modules, each corresponding to a specific database table API and its functionalities. We have developed six key services:

1. **Auth Service:** Manages user authentication and registration.
2. **Customer Service:** Handles CRUD operations for basic customer information.
3. **Device Service:** Manages CRUD operations for device information.
4. **Energy Service:** Calculates energy usage for varying time periods, locations, device types, etc.
5. **Price Service:** Computes energy costs, similar to the Energy Service.
6. **Location Service:** Facilitates CRUD operations for location data.

In these services, we employ the `Database` method for SQL access and use `handle_transaction` for all APIs to ensure concurrency.

Each service has corresponding routes which preprocess parameters, call various service methods, and return responses to the client.

5.4 Cross-Site Protection

we use `flask_cors` in our backend server, by writing code like below, we are able to only allow request that from our listed origin can visit our server:

```
origins = [
    "http://localhost:3000", # Localhost origin
    "http://localhost:3001", # Localhost origin
]
CORS(app, resources={r"/*": {"origins": origins}})
```

5.5 SQL Injection Prevention

Our approach to preventing SQL injection is centered around avoiding the direct concatenation of user inputs into SQL queries. Instead, we employ a method that uses placeholders and parameters, which significantly enhances security. This strategy not only prevents SQL injection attacks but also helps in filtering out potentially harmful characters like backticks (`), double quotes ("), and semicolons (<>).

Here's an example that demonstrates our methodology:

```
def add_device(location_id, model_id, tag):
    sql_string = "INSERT INTO device_registered (location_id,
model_id, tag) VALUES (:location_id, :model_id, :tag)"
    sql = text(sql_string)
    params = {"location_id": location_id, "model_id": model_id,
"tag": tag}
    success = Database.handle_transaction([{"query": sql, "params":
params}])
    return success
```

In this example, we demonstrate how a new device is added to a specific location. By using this method, we effectively mitigate the risk of SQL injection, ensuring that our database interactions are not only secure but also robust against malicious inputs.

5.6 Password

We store passwords as MD5 hash encrypted values in our database for enhanced security.

5.7 Using JWT for Authentication

Our API employs JSON Web Tokens (JWTs) to ensure secure user authentication. The process is straightforward: the frontend includes the token information in the request header. On the backend, we utilize the `@jwt_required()` decorator in Python to authenticate user credentials. Here's an illustrative example:

```
@energy_blueprint.route("/device/day", methods=["GET"])
@jwt_required()
```

```
def getEnergyPerDayByDeviceID():
    email = get_jwt_identity()
    customer_id = get_customer_id(email=email)
```

In scenarios where the frontend sends an expired token or fails to include a token, the system automatically returns a 403 (Forbidden) or 401 (Unauthorized) response. This prompts users to re-login, ensuring that the backend always operates with valid tokens. Once we receive a valid token, we use `get_jwt_identity()` to identify the requesting user, which is crucial for processing subsequent service API requests. This mechanism not only secures our API but also streamlines the user validation process, making our backend more robust and user-friendly.

5.8 Database

We utilize MySQL and AWS RDS for a cloud-based database solution, offering easy integration and enhanced security through AWS security settings.

6 Frontend

6.1 Packages

This project utilized React+Type Script to implement all front-end pages. The basic components (table, list, etc.) are customized from MUI Libraries. And the charts(Bar, Pie, Line Charts) are drawn with Recharts API.

6.2 Connection with Server

All of the user inputs and request are gathered at the front end pages, and sent to a localhost port running the server by Axios. The logic of such process are described as follows:

1. Gather user input User input includes form values, button clicking events, date range selection, etc. These information are all gathered by the components of front-end.
2. Sending request to server
After getting the user input, the corresponding component sends http request to the server:

```
await addLocation(postData, token, email)
    .then((res) => {
        setSuccessSnackbar(true);
        window.location.href = "/location";
    })
    .catch((error) => {
        setFailSnackbar(true);
        setErrorInfo(error.data);
    });
```

The request functions are all implemented in the folder 'src/services', providing a good practice of separating functional procedures and GUI component:

```
export const addLocation = async (data: AddLocationData, token:
string, email:string) => {
  const params = {
    // handling params
  }
  const config = {
    headers: {
      'Authorization': `Bearer ${token}`
    }
  };
  return axiosInstance.post(`${constants.ENDPOINT_LOCATION_URL}/add`,
params, config);
}
```

3. Handling request and response with Axios The Axios libraries are used to handle request and response across the front-end and the back-end, the detailed implementation can be seen in the source code: 'src/services/axiosConfig.ts'

```
axiosInstance.interceptors.request.use(
  config => {
    const token = sessionStorage.getItem('token');
    // ... handling request
  }
);

axiosInstance.interceptors.response.use(
  response => response,
  error => {
    if (error.response.status === 401 || error.response.status
=== 403) {
      // clear token
      sessionStorage.clear();
      const path = window.location.pathname;
      // ... handling response
    }
  }
);

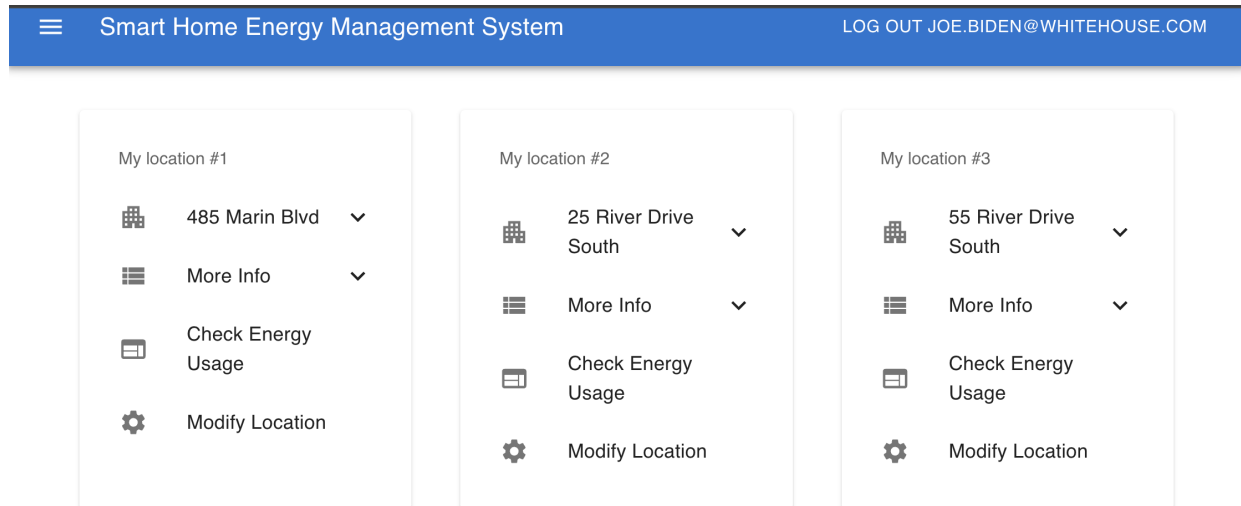
export default axiosInstance;
```

6.3 Pages Implementation

6.3.1 Components Based Page Design

The implementation of the the website centers around building a collection of independent, reusable modules. This design approach promotes reusability and composability, allowing components like charts, date pickers, and form controls to be used across various parts of the

application. For example, the MyLocationPage is composed of a list of components named 'LocationCard', each location card displays one location with its detailed information, and handle the jump to its energy usage pages.



6.3.2 State Management

These pages initialize and manage various states (like energyLocationDay, displayLocation, locations, displayData, etc.) to keep track of the data and user selections. They fetch data from external services or APIs (getCustomerEnergyPerLocationPerDay, getCustomerLocation) to populate these states. This is done in useEffect hooks to ensure that data fetching is triggered at appropriate times, such as on component mount or when dependencies change:

```
const [locations, setLocations] = useState([]);
// other states...
useEffect(() => {
  const fetchLocations = async () => {
    try {
      const response = await getCustomerLocation();
      setLocations(response.data);
      console.log(locations);
    } catch (error) {
      console.error("Error fetching locations:", error);
    }
  }

  fetchLocations();
}, []); // Empty dependency array means this runs once on mount
// displaying components..
```

6.3.3 Conditional Rendering

Based on the states, the pages conditionally render different components or display data in various formats (like charts or graphs). Components like EnergyBarChart or LocationDeviceTypeChart take props related to the selected data range or location and render visual representations of the data.


```

// state definition
const [displayMode, setDisplayMode] = React.useState<"day" | "month">
("day");
// handling state changes
const handleDisplayMode = (event:
React.ChangeEvent<HTMLInputElement>) => {
  if (event.target.value === "day") {
    setDisplayMode("day");
  } else {
    setDisplayMode("month");
  }
};
// conditional rendering
<EnergyBarChart
  // other properties
  groupBy={displayMode}
/>

```

6.3.4 Navigation and Routing

The page uses routing (with `useNavigate` and `useLocation` from `react-router-dom`) to navigate to different pages or to maintain state across navigation (pre-selection of `location_id` when jumping to locatino energy infomation page).

```

// Location Card Component:
const handleClickEnergy = () => {
  navigate("/energy/location", { state: { locationID:
Props.locationID } });
};

// Route setting:
<Route path="energy/location" element={<LocationEnergyPage />} />

```