

## Overview

### - Types of Proofs

- Trivial: something that does not need to be proved  
 $B$  is true, so  $A \rightarrow B$  regardless of  $A$
- Direct: direct line of reasoning from  $A \rightarrow B$
- Indirect: assuming the premise  $A$  and the negation of the result  $B$ , and proving that a contradiction can be found, and the result valid.  
 $A \wedge \neg B \rightarrow C \wedge \neg C$ , and so  $A \rightarrow B$

alternately, showing a direct line of reasoning from  $\neg A \rightarrow \neg B$   
(contrapositive)

- Induction: prove  $B(1)$ ,  $B(k) \rightarrow B(k+1)$  for all  $k \in \mathbb{N}$ , which implies that  $B(n)$  for all  $n \in \mathbb{N}$

+ Other types of proofs exist... (36 Methods of Mathematical Proof)

### - Styles of Proof

- "Two Column Proof": think high school geometry, one column of steps, one column of more detailed reasoning... basically, the proof of the illiterate
- "Flow Chart / Croyon Proof": when your proof covers 10 pages and it is hard to tell if the pages are in order... you have the actual solution, but it requires a legend to decipher
- "Paragraph Proof": well constructed, concise, everything you need!

- don't conform exclusively to one "type" of proof, but use what you need, when you need... aim for the "paragraph proof" but still use characters, notation / terminology, "display math" with proper whitespace and formatting, diagrams, etc... develop your own standard methodology

## - Tools for Proofs

### - Mathematical Notation /

- introduce and use characters / symbols for objects, data structures, and anything mathematical (discrete mathematics, set theory, graph theory)
- use appropriate shorthand:

$\exists, \exists!, \forall, \in, \notin, \cup, \cap, \setminus, \times, \{ \}, ( )$

$\Rightarrow, \Leftarrow, \Leftrightarrow, \rightarrow, \leftarrow$

s.t., w.r.t., w.l.o.g.

- set notation is convenient, think of it as a "language"
- don't trade clarity and readability for compactness and brevity: there should be a balance between these qualities, so that you can still understand your proof next week

### - Diagrams

- appropriate diagrams can save a paragraph of clumsy detail, or make your arguments more intuitive and powerful

#### - examples:

- set membership during some process
- topology and evolution of graph algorithms
- flow diagrams for complicated operations
- structure of data structures
- include diagrams from the problem in your solution, and use it to add your notation, characters, terminology, and even steps of the proof to give a roadmap

## - Components / Bookends

- sometimes a problem naturally breaks down into fairly distinct components, and the solution should be as well ... avoid overkill, but use these various components to "scaffold" solutions
- components
  - claims / observations: sometimes these can be obvious or "self-proving" and sometimes they will need to be proved as well
  - lemmas: effectively minor "theorems", these are used only as stepping stones to help prove a theorem or a bigger result
  - propositions: typically statements that are used / assumed, but not proved until later for clarity and coherence of argument.
  - theorems: conditional statement where a premise or set of hypotheses are used to prove a result ... often a series of problems can be thought of as a series of theorems to be proved, when one problem builds on the result of another (and the converse)
  - corollaries: statements which typically follow from another statement with little or no further proof (prove the theorem, and get this for free)

## Method

### - Method

- solving a problem / proving a result

UNDERSTAND

PLAN

SOLVE  $\leftrightarrow$  FIX

PLAN

WRITE



## UNDERSTAND

- rewrite the problem, or comment on the printed problem, elaborating, explaining, rephrasing, connecting, dissecting, detecting EVERY piece of information provided
- identify key components of the problem / parts of the whole
- look for the "intuition block" needed to "unlock" the proof... what is needed
- find some basic examples / counterexamples that illustrate the result

## PLAN

- plan out the steps of the solution that correspond to the components
- establish the order of working / importance of steps (don't prove the fine detail until you are confident that the overall method is the right / reasonable one)
- draw diagrams, introduce notation, characters, terminology

## SOLVE $\leftrightarrow$ FIX

- attempt to solve each step of the PLAN, and adopt the PLAN when required
- effectively, take the intuition and the concept, and formalise the working step by step until the proof is complete or a fundamental error is discovered
- sometimes, you need to go back to PLAN and UNDERSTAND as well

## PLAN

- when the solution is coherent and all the steps are consistent, take the actual content and layout the entire proof / argument into a readable and organized narrative
- "think backwards, write forwards"

## WRITING

- write the solution as if it were another student in the class: with the same tools and background knowledge, but not necessarily with the ability to solve or understand the problem (don't assume or "gloss over" your intuition)
- aim equally for coherence and conciseness
- use characters, diagrams, terminology, etc... but use WORDS as well

- encouragements / good practice

\* a redirect  
if needed

- layout

- use margins, and stick to them!

- write horizontally and NEATLY

- don't cramp in the margins, use \*

- USE WHITESPACE

- center or indent math, diagrams (block calculations, not EVERYTHING)

- notation

- respect common practice for naming entities / data structures (such as lowercase for vectors  $x, y, z$ , uppercase for matrices  $A, W$ , nodes are  $u, v \in V$ , edges are  $e = (u, v) \in E$ , indices are  $i, j, k$ ,  $n = |V|$ ,  $m = |E|$ , graphs  $G$ , trees  $T$ , etc...)

- use sequential numbering for equations if needed

- general

- aim for a "paragraph proof"

- plan really well

- develop a concept of "mathematical elegance" (Hardy's Apology (?))

- learn from examples (particularly Dan Spielman's solutions, no doubt)

- "Art of Problem Solving" is pretty good

- when you have proved something, TRY TO BREAK IT

- "Everything should be made as simple as possible, but no simpler"  
(Einstein, probably)

+ "D-day for writing a proof" (Slide)

## Problem Set 0

### Direct Proof (BAD)

(2), (3)  $\Rightarrow$  (1)

$T$  has  $n-1$  edges, and is connected. Consider some  $u \in V$ . As  $T$  is connected, there exists some  $v \in V$  such that  $(u, v) \in E$ . Let  $C = u$ , where  $C \subseteq V$ . Then we can add  $v$  to  $C$  such that  $C = C \cup \{v\}$ , where  $C \subseteq V$ ,  $E$  restricted to  $C$  or  $E_c$  contains only one edge  $(u, v) \in E_c$  such that  $|E_c| = 1$ , and no cycles are introduced as the edge added corresponds to the node added, and is a bridge (where an edge cannot be a bridge and part of a cycle at the same time). Now, recursively, there exists some  $w \in V$  such that  $w \notin C$  (unless  $|V \setminus C| = 0$  and  $C = V$ ) and for some  $x \in C$  there exists  $(x, w) \in E$ . Hence, we add  $w$  to  $C$  such that  $C = C \cup \{w\}$ , where  $C \subseteq V$ ,  $E_c$  now contains only one more edge  $(x, w)$  and  $|E_c| \mapsto |E_c| + 1$ , and no cycles were introduced. As  $n = |V|$ , we can only add  $n-1$  nodes to  $C$  until  $C = V$ , and so  $|E_c| = n-1$ . Hence  $(C, E_c) = (V, E) = T$  and  $T$  has no cycles (as no cycles were introduced).

### Indirect Proof (GOOD)

(2), (3)  $\Rightarrow$  (1)

From (2) and (3),  $T = (V, E)$  has  $|E| = n-1$  edges, and is connected.

Suppose that  $T$  has a cycle as well. Then  $\exists e \in E$  that is not a bridge, such that  $G = (V, E \setminus e)$  remains connected.  $|E \setminus e| = n-2$  is trivial. However, as  $G$  is still connected,  $|E \setminus e| \geq |V| - 1 = n-1$ , which is a contradiction.

Thus,  $T$  has no cycles, and (1) is proved.



## Induction Proof (Method)

$$(1), (3') \Rightarrow (2)$$

From (1) and (3),  $T$  has no cycles and is connected.

First,  $|E| \geq n-1$  as  $T$  is connected. We will use induction to prove  $|E| \leq n-1$  as  $T$  has no cycles (and is connected). Let  $S(n)$  be the logical statement that "a directed, acyclic graph  $T$  with  $|V| = n$  has at most  $|E| \leq n-1$ ."

$S(0)$ : Trivial.

$S(1)$ :  $n=1$ , and  $V = \{v\}$ . Then  $E = \{\}$  and  $|E| = 0 = 1-1$ .

$S(k)$ : Assume  $S(k)$ .

Consider a directed, acyclic graph  $G = (V, E)$  with  $|V| = k+1$ . For some  $v \in V$  let  $H$  be the induced subgraph on  $V \setminus \{v\}$ , which has  $t \geq 1$  connected components  $H_i = (V_i, E_i)$  for  $i = 1 \dots t$ . Each component is connected and acyclic (inherited from  $G$ ) and  $|V_i| \leq k$  for  $i = 1 \dots t$ , and  $S(k)$  implies that  $|E_i| \leq |V_i| - 1$ . Noting that

$$\sum_{i=1}^t |V_i| = k = |V| - 1$$

it follows that

$$\sum_{i=1}^t |E_i| \leq k - t$$

and as at most  $t$  edges were removed with  $v$  as  $G$  is acyclic and connected  $|E| \leq k - t + t = k$  as well.

Thus,  $S(k)$  implies  $S(k+1)$ .

Hence, it follows by induction that  $S(n)$  is true for all  $n \in \mathbb{N}$ , and (2) is proved.