

# Homework 7

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## Problem 1

We will show that the Generalized Domino Problem (DOM) is NP-Complete. In order to do this, we must show that both:

1.  $\text{DOM} \in \text{NP-Complete}$
2.  $\text{DOM} \in \text{NP-Hard}$

First, we will prove (1) directly by providing a description of a polynomial complexity certifier, then we will prove (2) by reducing a known NP-Complete problem, the 3-Color Graph Problem (3CG), to DOM. Specifically, we will provide a reduction as follows:

$$3\text{CG} \leq_P \text{DOM}$$

### Lemma 7.1.1

$$\text{DOM} \in \text{NP}$$

#### Proof

In order to show that  $\text{DOM} \in \text{NP}$ , let us consider a certifier for DOM, which we will call  $B$ . In order to check the correctness of a proposed certificate  $t$  to the DOM problem, all  $B$  has to do is to iterate over the set of dominoes mapped to edges (as enumerated fully by  $t$ ), ensuring each of the following constraints

1. every edge  $e \in E$  is covered by a domino
2. no vertex has different values of dominoes assigned to it

**Lemma 7.1.2**

$$3CG \leq_P DOM$$

**Proof**

Recall the 3-Color Graph Problem (3CG). Given a graph  $G = (V, E)$ , the goal is to assign each vertex  $v \in V$  a color such that no two nodes  $u, v \in V$  of the same color  $c_i$  ( $1 \leq i \leq 3$ ) are connected by an edge  $(u, v) \in E$ . We will now describe a polynomial complexity Karp reduction of  $3CG$  to  $DOM$ .

## Reduction 7.1: 3CG to DOM

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```

1  input: graph  $G = (V, E)$ , colors  $C = \{c_1, c_2, c_3\}$ ,  $k = |C| = 3$ 
2  init:  $D, E' = \emptyset$ 
3  begin
4    for  $i \in [1, k]$ :
5      for  $j \in [1, k]$ :
6        if  $i \neq j$ ,  $D = D \cup (i, j)$ 
7      end for
8    end for
9
10   for each  $(u, v) \in E$ :
11      $E' = E' \cup \{(u, v), (v, u)\}$ 
12   end for
13
14   if  $DOM$  returns "yes" given  $(G = (V, E'), D)$  as input,
15     return "yes"
16   else
17     return "no"
18   end

```

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In this reduction,  $D$  is the set of allowable dominoes, and  $E'$  is a set of *directed* edges. First, we map each of the 3 colors in  $C$  to two “dominoes”, one for each directionality, and add those two dominoes to  $D$ . Next, for each undirected edge in  $(u, v) \in E$ , we add two edges  $\{(u, v), (v, u)\}$  to  $E'$ . We then make our call to  $DOM$ .

**Claim 7.1.3**

Reduction 7.1 is of polynomial complexity.

**Proof** This reduction is clearly polynomial, as lines [4 – 8] have a constant upper bound of 6, and line 11 is iterated only  $|E|$  times.

**Claim 7.1.4**

Reduction 7.1 is correct.

**Proof** We convert the inputted undirected graph to a directed graph by adding to  $E'$  directed edges, one in each direction, for every edge in original  $E$ . Next, perform a similar mapping from colors to dominoes, constructing the set of dominoes such that there are no dominoes with equal endpoints. This ensures the color-difference constraint of the 3CG problem.

### Theorem 7.1.5

DOM  $\in$  NP-Complete

**Proof**

## Problem 2

We will show that the Short Lattice Vector Problem (SLVP) is NP-Complete. In order to do this, we must show that both:

1. SLVP  $\in$  NP-Complete
2. SLVP  $\in$  NP-Hard

First, we will prove (1) directly by providing a description of a polynomial complexity certifier, then we will prove (2) by reducing a known NP-Complete problem, the 3-Dimensional Matching Problem (3DM), to SLVP. Specifically, we will provide a reduction as follows:

$$3DM \leq_P \text{SLVP}$$

### Lemma 7.2.1

$$\text{SLVP} \in \text{NP}$$

#### Proof

In order to show that SLVP  $\in$  NP, let us consider a certifier for SLVP, which we will call  $B$ . In order to check the correctness of a proposed certificate  $t$  to the SLVP problem, all  $B$  has to do is to iterate over  $A = \{a_1, \dots, a_n\}$  and ensure that

1.  $\sum_{j=1}^n a_j = k$
2.  $\|\sum_{j=1}^n a_j v_j\|^2 \leq T$

### Lemma 7.2.2

$$3DM \leq_P \text{SLVP}$$

#### Proof

#### Reduction 7.2: 3DM to SLVP

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```

1  input :
2  init :
3  begin
4
5  end

```

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**Claim 7.2.3**

Reduction 7.2 is of polynomial complexity

**Proof**

**Claim 7.2.4**

Reduction 7.2 is correct

**Proof**

**Theorem 7.2.5**

SLVP  $\in$  NP-Complete

**Proof**

## Problem 3

Below in **Reduction 7.3**, we define a Karp reduction of the k-Subset Sum Problem (kSS) to the General Subset Sum Problem (gSS)

Reduction 7.3: kSS to gSS

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1  input:  $X = \{x_1, \dots, x_n\}$ ,  $k$ ,  $T$ 
2  init:  $X' = \emptyset$ , let  $T'$  be an int,  $n = |X|$ 
3  begin
4    for  $i = 1 \dots n$ :
5       $x'_i = x_i * n + 1$ 
6       $X' = X' \cup \{x'_i\}$ 
7    end for
8
9     $T' = T * n + k$ 
10
11  if gSS returns "yes" given  $(X', T')$  as input,
12    return "yes"
13  else
14    return "no"
15  end

```

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## Analysis

In the problem set, we are only asked to give the direct reduction  $\text{kSS} \leq_P \text{gSS}$ . I have done so above in **Reduction 7.3**. It is of polynomial complexity, specifically  $O(n)$ .

## Problem 4

We will show that the Hard Stable Matching Problem (HSMP) is NP-Complete. In order to do this, we must show that both:

1. HSMP  $\in$  NP-Complete
2. HSMP  $\in$  NP-Hard

First, we will prove (1) directly by providing a description of a polynomial complexity certifier, then we will prove (2) by reducing a known NP-Complete problem, the 3-Satisfiability Problem (3SAT), to HSMP. Specifically, we will provide a reduction as follows:

$$3\text{SAT} \leq_P \text{HSMP}$$

### Lemma 7.4.1

$$\text{HSMP} \in \text{NP}$$

#### Proof

In order to show that HSMP  $\in$  NP, let us consider a certifier for HSMP, which we will call  $B$ . In order to check the correctness of a proposed certificate  $t$  to the HSMP problem, all  $B$  has to do is ensure that each of the following is satisfied:

1. that every person is matched to exactly one company
2. every company is matched to exactly one person
3. the matching is stable
4. none of the forbidden matching constraints are violated

(1),(2), and (3) are linear in  $|E|$ , and (4) is polynomial in  $|F|$  where  $|F|$  is the forbidden matching.

### Lemma 7.4.2

$$3\text{SAT} \leq_P \text{HSMP}$$

**Proof**

We will now construct this reduction. The idea here is to assign each  $x \in X$  and its negation  $\bar{x}$  to a value of true or false. We will do so by

## Reduction 7.4: 3SAT to HSMP

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```

1  input:  $X = \{x_1, \dots, x_n\}$ ,  $C = \{C_1, \dots, C_k\}$ 
2  init: set  $V = \{x_1, \bar{x}_1, \dots, x_n, \bar{x}_n\}$ ,
3          set  $A = \{T_1, F_1, \dots, T_n, F_n\}$ 
4          func  $\text{pref}(a) : a \in \{V \cup A\} \rightarrow a$ 's preference profile
5          set  $F = \emptyset$ 
6  begin
7      for  $i = 1 \dots n$ :
8           $\text{pref}(x_i) = T_i > F_i > \dots$ 
9           $\text{pref}(\bar{x}_i) = F_i > T_i > \dots$ 
10          $\text{pref}(T_i) = \bar{x}_i > x_i > \dots$ 
11          $\text{pref}(F_i) = x_i > \bar{x}_i > \dots$ 
12     end for
13
14     for  $j = 1 \dots k$ :
15          $F_j = \emptyset$ 
16         for each of  $C_j$ 's 3 terms  $y_i \in \{x_i, \bar{x}_i\}$ ,
17             where  $i$  is the term's respective index:
18                 if  $y_i$  is  $x_i$ 
19                      $F_j = F_j \cup \{(x_i, F_i)\}$ 
20                 else if  $y_i$  is  $x_i$ 's negation
21                      $F_j = F_j \cup \{(\bar{x}_i, T_i)\}$ 
22             end for
23          $F = F \cup F_j$ 
24     end for
25
26 end

```

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**Claim 7.4.3**

Reduction 7.4 is of polynomial complexity

**Proof****Claim 7.4.4**

Reduction 7.4 is correct

**Proof****Theorem 7.4.5**

HSMP  $\in$  NP-Complete

**Proof**