

1 Do larger watersheds respond different to forest cover 2 change? Re-analysing a global data set.

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9 Abstract

This is the abstract. It consists of two paragraphs.

10 Introduction

11 *Introduction*

12 There has been an long and on-going discussion in the hydrological litera-
13 ture around the impact of forests on streamflow (Andréassian, 2004; Brown et
14 al., 2013, 2005; Filoso et al., 2017; Jackson et al., 2005; Zhang et al., 2017).
15 The historic work highlights a general consensus that if forest areas increase,
16 streamflow decreases and vice-versa. The most dramatic result in relation to
17 this, is Figure 5 in Zhang et al. (2011) indicating (for Australian watersheds) a
18 100% decrease in stream flow for watersheds with 100% forest cover. However,
19 on the other end of the spectrum, in a series of French watersheds (Cosandey
20 et al., 2005), there was no change in streamflow characteristics in 2 of the three
21 watersheds studied in relation to deforestation.

22 Several review papers have summarized different studies across the globe, in
23 relation to paired watershed studies (Bosch and Hewlett, 1982; Brown et al.,
24 2005), related to reforestation in particular (Filoso et al., 2017), and more gen-
25 erally (Jackson et al., 2005; Zhang et al., 2017). These studies aim to generalize
26 the individual findings and to identify if there are global trends or relationships
27 that can be developed. The most recent reviews (Filoso et al., 2017; Zhang
28 et al., 2017) developed an impressive global database of watershed studies in
29 relation to changes in streamflow due to changes in forest cover. The Zhang et
30 al. (2017) dataset, which covers over 250 studies, is described in terms of the
31 change in streamflow as a result of the change in forest cover, where studies
32 related to both forestation (increase in forest cover) and deforestation (decrease
33 in forest cover) were included. In contrast, the paper by Filoso et al. (2017) fo-
34 cused primarily on reforestation, and covered an equally impressive database of
35 167 studies using a systematic review. In this case the collected data is mostly
36 coded as count data and only a subset of 37 studies was analysed for actual
37 water yield change.

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Preprint submitted to *Journal of Hydrology* November 25, 2021
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38 The conclusions of the first paper (Zhang et al., 2017) suggest that there is a
39 distinct difference in the change in flow as a result of forestation or deforestation
40 between small watersheds, defined as $< 1000 \text{ km}^2$ and large watersheds > 1000
41 km^2 . While for small watersheds there was no real change in runoff with changes
42 in cover, for large watersheds there was a clear trend showing a decrease in runoff
43 with and increase in forest cover. Their main conclusion was that the response
44 in annual runoff to forest cover was scale dependent and appeared to be more
45 sensitive to forest cover change in water limited watersheds relative to energy
46 limited watershed (Zhang et al., 2017).

47 The second study (Filoso et al., 2017) was a systematic review which classi-
48 fied the historical research and highlighted gaps in the spatial distribution, the
49 types of studies and the types of analysis. Their main conclusion was also that
50 reforestation decreases streamflow, but that there were many interacting fac-
51 tors. For a subset of quantitative data (37) they showed a relationship between
52 watershed size and decline in streamflow.

53 A final summary paper that includes much of the same data as Zhang et
54 al. (2017) and Filoso et al. (2017) is Zhou et al. (2015), which has one author
55 in common with Zhang et al. (2017). However, this paper aims to explain the
56 variation in the data using the Fuh model, and in particular aims to link the
57 variation in the observed data to variations in the exponent m in the model.
58 A key observation is that in drier environments, the effects of deforestation are
59 much greater than in wetter environments, which is also suggested by Figure 4
60 in Zhang et al. (2017).

61 Encouraged by the work presented by Zhang et al. (2017) and Filoso et
62 al. (2017) and the fantastic database of studies presented by these authors, we
63 believe we can add to the discussion. In this paper, the aim is to develop further
64 analysis of the collected data and expanding and combining the two data sets
65 to provide further depth.

66 In particular, the main method in the work by Zhang et al. (2017) is using
67 simple linear regression, and in Filoso et al. (2017) the focus is mainly on
68 classification. As Zhang et al. (2017) points out, the main assumption in their
69 work is that the threshold at 1000 km^2 is a distinct separation between “small”
70 and “large” watersheds, but the subset of data in Filoso et al. (2017) does
71 not appear to support this. And while the work Filoso et al. (2017) provides
72 important insights in study types, analysis types and broad classification, there
73 is limited quantification of actual impact. This is because the work had a strict
74 criterion to select quantitative studies. However, given the fantastic data sets
75 collected, the analyses can be easily expanded to look at interactions between
76 the terms and to test the assumption of a distinct threshold at 1000 km^2 .

77 As a result the objective of this paper is to 1) enhance the data set from
78 Zhang et al. (2017) with further watersheds (such as from Filoso et al. (2017))
79 and spatial coordinates and 2) to analyse the possibility of non-linear, interac-
80 tions and partial effects of the different factors and variables in the data using
81 generalised linear (GLM) and generalised additive models (GAM Wood (2006)).

82 Building on the analyses by Zhang et al. (2017) and Filoso et al. (2017),
83 and combining their conclusions, the main hypothesis to test is that the change

in streamflow is impacted by the change in forest cover. However, this change is clearly modulated by the area under consideration (affecting the length of the flowpaths Zhou et al. (2015)), the length of the study (c.f. Jackson et al. (2005)) and possibly the climate (as indicated by either E0/Pa or latitude and longitude Filoso et al. (2017); Zhou et al. (2015)).

However, there could be further confounding factors, which are eluded to by Filoso et al. (2017):

- the type of analysis, i.e. paired watershed studies, modelling, time series analysis etc.
- the age of the study, assuming that historical studies might not have had the ability to measure at the accuracy that currently is available to researchers, or that more careful historical attention to detail in field studies might have been lost more recently due to reductions in research investment.

Finally, this work aims to point to further research that can expand this area of work, based on the collected data, to better understand the impact of forest cover change on streamflow.

Methods

The original data sets

The starting point of this paper is the data base of studies which were included in Zhang et al. (2017) as supplementary material. The columns in this data set are the watershed number, the watershed name, the Area in km², the annual average precipitation (Pa) in mm, the forest type, hydrological regime, and climate type, the change in forest cover in % ($\Delta F\%$) and the change in streamflow in % ($\Delta Qf\%$), based on equation 1 in Zhang et al. (2017)), the precipitation data type, the assessment technique, and the source of the info, which is a citation. Several of these columns contain abbreviations to describe the different variables, which are summarised in Table 1.

Table 1 Summary of abbreviations of factors used in the Zhang et al. (2017) data set

Factor	Abbreviation	Definition
forest type	CF	coniferous forest
	BF	broadleaf forest
	MF	mixed forest
hydrological regime	RD	rain dominated
	SD	snow dominated
climate type	EL	energy limited
	WL	water limited
	EQ	equitant

Factor	Abbreviation	Definition
precipitation data type	OB	observed
	SG	spatial gridded
	MD	modelled
assessment technique	PWE	paired watershed experiment
	QPW	quasi-paired watershed experiment
	HM	hydrological modelling
	EA	elasticity analysis
	SH	combined use of statistical methods and hydrographs

While Zhang et al. (2017) use the dryness index in their analysis, potential or reference evapotranspiration was not originally included as part of the published data set. We combined the tables for small ($< 1000 \text{ km}^2$) and large ($\geq 1000 \text{ km}^2$) watershed data sets in our analysis.

Additional data collection

To enhance the existing data set, this study added additional variables and cross-checked the studies with the data set from Filoso et al. (2017). In particular, we focussed on the 37 data points included in the quantitative analysis in Filoso et al. (2017).

In addition, additional variables added were the latitude and longitude for the center of the watershed as an approximation of its spatial location. Using this information reference evapotranspiration (E_0) was extracted from the Global Aridity Index and Potential Evapo-Transpiration (ET0) Climate Databasev2 (Trabucco and Zomer, 2018), if a value of E_0 was not available from the original papers. For large watersheds, this value, similar to annual average rainfall, is only an approximation of the climate at the location.

The length of the study can be a variable influencing the change in flow (e.g. Jackson et al., 2005), as for example, more mature plantations are thought to have smaller impacts on flow. Therefore, the length of the study calculate as the difference between the starting data and completion date of the different studies was extracted from the references provided by Zhang et al. (2017).

Several additional data points from watershed studies were extracted from Zhang et al. (2011), Zhao et al. (2010), Borg et al. (1988), Thornton et al. (2007), Zhou et al. (2010), Rodriguez et al. (2010), Ruprecht et al. (1991) and Peña-Arancibia et al. (2012), and these were checked against the existing studies to prevent overlap. In the citation column in the data set, in general the main reference for the calculated change in streamflow was used, because sometimes the original study did not provide the quantification of the change in streamflow (i.e. Table 6 in Zhang et al. (2011)). We also removed one data point from the analysis, which corresponds to Watershed #1 (Amazon) in Zhang et al. (2017). This is because the cited reference (Roche, 1981) only relates to 1 and 1.5 ha paired watershed studies in French Guyana, and in which the actual change in forest cover is not recorded.

147 The final column in the improved data set is a “notes” column, which is not
 148 further used in the analysis, but gives context to some of the data for future
 149 research and highlights some of the discrepancies that we found between the
 150 original papers and the data in the tables from Zhang et al. (2017).

Similar to Zhang et al. (2017), the “dryness index” was calculated as:

$$D = \frac{E0}{Pa} \quad (1)$$

151 *Statistical modelling*

```
Zhang_all <- Zhang_all %>%
  filter(`Watershed #` > 1)
```

```
Zhang_all <- Zhang_all %>%
  mutate(DeltaQf_perc = ifelse(`Watershed #` == 76,157,DeltaQf_perc))
```

152 To estimate how the change in streamflow is affected by the change in forest
 153 cover while considering the effects of the other variables, we applied generalised
 154 additive modelling (GAM) (Wood, 2006).

155 The general model tested is

$$\Delta\%Q \sim \Delta\%forest\ cover_{positive} + sign_{forest\ cover} + \sum X_i + \sum s(Z_i) + \varepsilon \quad (2)$$

156 Here X_i are factorial variables, while Z_i are continuous variables. The model
 157 assumes no direct interactions and all variables are additive. The changes in for-
 158 est cover contain both positive (forestation) and negative values (deforestation).
 159 In Zhang et al. (2017), these changes were jointly analysed, assuming the effect
 160 on the change in flow was linear and non-hysteretic. However, the impact of an
 161 increase in forest cover can be different from the same fractional decrease in for-
 162 est cover. Therefore all the change in forest cover data is converted to positive
 163 values, and an additional column ($sign_{\{forest\ cover\}}$) is added that indicates
 164 whether it was a forest cover increase or decrease. A further assumption in the
 165 model is that all continuous variables Z_i (such as annual precipitation (Pa)) can
 166 have a linear or non-linear relationship with $\Delta Q\%$. This means that a smooth
 167 function $s()$ is applied to the Z_i variables.

168 For the model in equation 2, we initially only used the data from Zhang et
 169 al. (2017) to make sure that the additional watersheds added to the data set
 170 did not influence the results. Subsequently the analysis was repeated and the
 171 additionally identified watersheds were added.

172 More generally the results were analysed to identify:

- 173 1. the significance of the different variables
- 174 2. the direction of the categorical or shape of the smooth variables

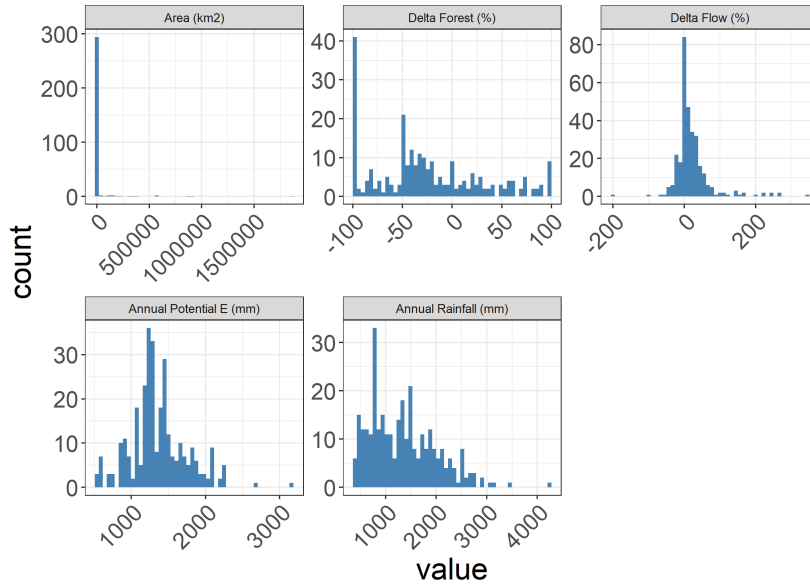


Figure 1: Overview of the distributions of some of the variables in the data set

Results

description of the data

The overall dataset contains 311 observations of changes in flow. The overall distribution of changes in flow is highly skewed as is the distribution of changes in forest cover and Area. The values of changes in flow greater than 100% and smaller than -100% clearly create long tails on the change in flow distribution. Note also the large number of studies with 100% forest cover reduction. Smaller watersheds dominate the database with 42% of the data from watersheds $< 1 \text{ km}^2$ and 65% of the data for watersheds $< 10 \text{ km}^2$.

This shows that for the data related to forest decreases, there is almost always a positive flow change. In other words, flow almost always increased. However, for increases in forest cover, this is not the case, and flow can both increase and decrease. However in both cases the variability in the reported change in flow increases with the increase in forest cover change.

The initial relationship between change in forest cover and streamflow

Following Zhang et al. (2017), the first step is to use a linear regression to investigate the percent change in flow as a result in the percent change forestry and modulated by the direction of the change, either an increase in forest cover, or decrease in forest cover.

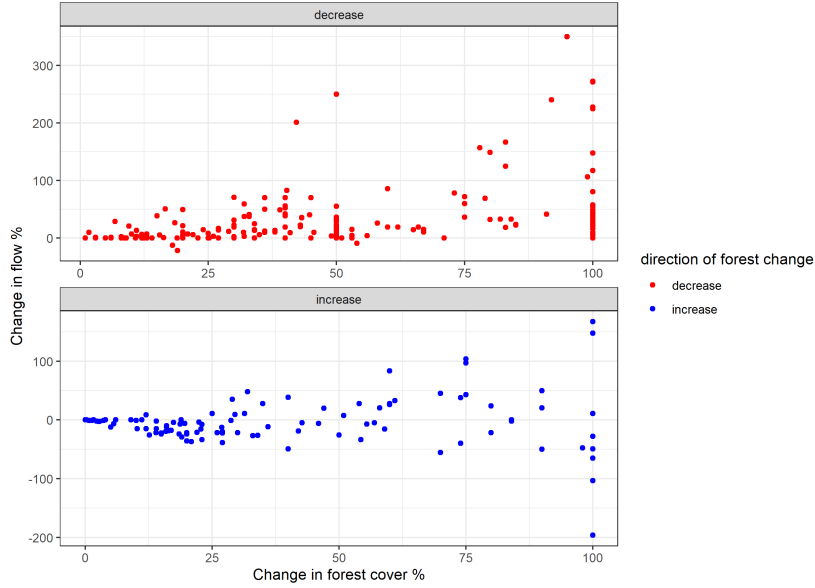


Figure 2: Changes in flow as a function of increases and decreases in forest cover

	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	8.77	5.52	1.59	0.11
DeltaF_perc_pos	0.5	0.09	5.77	0
Forest_Signincrease	-30.9	5.86	-5.27	0

While the overall variance explained in this model is not high at 0.19, it clearly supports the hypothesized relationship between the change in forest cover and the change in flow. The model suggests that for every 1% change in forest cover, on the average, the flow changes 0.5%. However the change in flow is different for forest cover decreases compared to forest cover increases. In fact, forest cover increases decrease flow by 31% less than a similar decrease in forest cover causes flow to increase. So roughly speaking, a 1% forest cover increase on the average decreases flow by $(1 - 0.31) * 0.5\%$, while a the percentage forest cover decrease will increase flow by 0.5%.

It is however clear from the lack of explaining power, that there could be confounding factors, as alluded to in the methods. The obvious ones being watershed dryness and area (following Zhang et al. (2017)).

	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	18.94	7.78	2.43	0.02
DeltaF_perc_pos	0.5	0.09	5.66	0
Forest_Signincrease	-31.54	5.9	-5.35	0

	Estimate	Std. Error	t value	Pr(> t)
Area_km2	0	0	-0.3	0.77
Pa_mm	-0.01	0	-1.75	0.08

206 Including area and annual precipitation does not really improve the overall
 207 explaining power of the model, in fact, annual precipitation appears to be only
 208 a very small confounding factor, representing only a -0.01/% partial effect in the
 209 change in streamflow, holding all other factors constant. In contrast to earlier
 210 reported studies (Filoso et al., 2017; Zhang et al., 2017), watershed area has no
 211 effect on the change in stream flow. This supports our approach (in contrast to
 212 Zhang et al. (2017)) to consider watershed area as a continuous variable and
 213 making no separation between larger and smaller watersheds The main effects
 214 remain the change in forest cover and whether this is an increase or decrease.

215 *The effect of location on the globe*

216 As indicated, a second hypothesis relates to whether there is a strong spa-
 217 tial global gradient as captured by latitude and longitude. As the global map
 218 (@ref(fig:global_map)) shows, the distribution of case study watersheds covers
 219 multiple continents and shows some distinct clustering in parts of the world. Of
 220 interest is whether the spatial clustering also indicates a difference in response
 221 to forest cover change.

	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	29.99	8.65	3.47	0
DeltaF_perc_pos	0.47	0.09	5.4	0
Forest_Signincrease	-37.11	6.09	-6.09	0
Area_km2	0	0	-0.59	0.55
Pa_mm	-0.01	0	-2.17	0.03
Latitude	-0.29	0.11	-2.74	0.01
Longitude	0.01	0.03	0.28	0.78

222 This linear model shows that there is a significant gradient in the Latitude
 223 and with annual average rainfall, with watersheds closer to the equator hav-
 224 ing lower changes in the runoff compare to watersheds further away from the
 225 equator. This suggests an influence of radiation, which will be tested next. In
 226 addition, the model suggests an influence of the annual average rainfall, with
 227 wetter watersheds having slightly lower changes in runoff. The total explaining
 228 power of the model is still low at 0.22 suggesting further confounding factors
 229 currently not included in the model.

230 There is no relationship with Longitude, suggesting that the different conti-
 231 nents do not show a trend in the East-West direction.

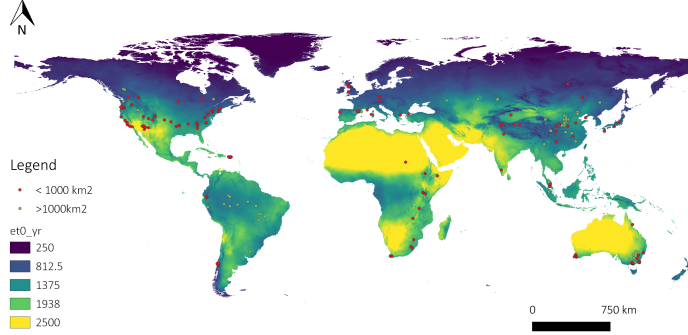


Figure 3: Distribution of included watersheds across the globe based on reported or estimated latitude and longitude

Impact of the dryness index

The previous analysis suggests an influence of radiation on evapotranspiration, and most likely related to the dryness index, as also indicated in Zhang et al. (2017). Increased evapotranspiration could lead to drier watersheds, unless balanced by rainfall (such as possibly in the tropics). This model introduces the dryness index as a linear variable and drops the annual average precipitation as a variable.

	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	10.27	7.44	1.38	0.17
DeltaF_perc_pos	0.46	0.09	5.17	0
Forest_Signincrease	-37.56	6.19	-6.07	0
Area_km2	0	0	-0.76	0.45
Latitude	-0.28	0.11	-2.66	0.01
Longitude	0.01	0.03	0.4	0.69
Dryness	6.1	3.09	1.97	0.05

The results from this model confirm that dryness is a significant confounding factor of the change in streamflow as function of the change in forest cover change. In fact if the dryness index doubles (remembering that Dryness = 1 when $E0 = Pa$, so in this case $E0 = 2*Pa$, which is very dry), the change in runoff is ~14% greater. However, more interesting, Latitude remains a significant predictor with each degree in latitude causing an -0.31% change in runoff. This indicates that Dryness (i.e. an increase in radiation) alone does not explain the trend in the Latitude and some other unknown confounding factor is captured by Latitude.

However, the result also indicates possible issues with the data, some of the Dryness values are very large (> 4) and these values have high leverage in the data. These watershed are listed in Table XX:

Latitude	Longitude	Watershed name
34.67	-111.7	Beaver Creek, AZ #3-2
36.4	-120.4	Cantua
34.43	-112.3	White Spar, Ariz., U.S.A, B
32.74	-111.5	Natural DRDages, Ariz., U.S.A, A

```

251 ##
252 ## Family: gaussian
253 ## Link function: identity
254 ##
255 ## Formula:
256 ## DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign + s(Area_km2, bs = "ts") +
257 ##      s(Dryness, bs = "ts") + s(length, bs = "ts") + Latitude
258 ##
259 ## Parametric coefficients:
260 ##              Estimate Std. Error t value Pr(>|t|)
261 ## (Intercept)      18.85584      5.84673   3.225 0.001419 **
262 ## DeltaF_perc_pos      0.37334      0.09042   4.129 4.90e-05 ***
263 ## Forest_Signincrease -33.25371      6.40370  -5.193 4.15e-07 ***
264 ## Latitude           -0.29544      0.08775  -3.367 0.000873 ***
265 ## ---
266 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
267 ##
268 ## Approximate significance of smooth terms:
269 ##              edf Ref.df      F p-value
270 ## s(Area_km2)  3.174e-06      9 0.000  0.3914
271 ## s(Dryness)   1.293e-05      9 0.000  0.5926
272 ## s(length)    5.991e+00      9 1.221  0.0779 .
273 ## ---
274 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
275 ##
276 ## R-sq.(adj) =  0.227   Deviance explained = 25.2%
277 ## GCV =      2018   Scale est. = 1944.1      n = 273
278 ##
279 ## Family: gaussian
280 ## Link function: identity
281 ##
282 ## Formula:
283 ## DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign + s(Area_km2, bs = "ts") +
284 ##      s(Dryness, bs = "ts") + s(Latitude, bs = "ts") + s(length,
285 ##      bs = "ts") + Precip_data_type + Assessment_technique + Forest_type +
286 ##      Hydrological_regime
287 ##

```

```

288 ## Parametric coefficients:
289 ##
290 ## (Intercept)          2.5565      25.5148    0.100 0.920272
291 ## DeltaF_perc_pos      0.3234       0.1058    3.057 0.002482 **
292 ## Forest_Signincrease  -27.2070      7.5951   -3.582 0.000411 ***
293 ## Precip_data_typeOB    2.9296     15.9005    0.184 0.853974
294 ## Precip_data_typeSG   23.9270     18.5848    1.287 0.199159
295 ## Assessment_techniqueEA, HM 14.8215     46.1143    0.321 0.748176
296 ## Assessment_techniqueHM  -7.5091     18.4252   -0.408 0.683967
297 ## Assessment_techniquePWE    8.9562     19.7884    0.453 0.651240
298 ## Assessment_techniquePWE, HM 19.6741     52.6286    0.374 0.708856
299 ## Assessment_techniqueQPW  -7.3110     28.3315   -0.258 0.796583
300 ## Assessment_techniqueSH    2.8647     18.4698    0.155 0.876871
301 ## Forest_typeCF          4.9185       9.2133    0.534 0.593935
302 ## Forest_typeMF         -10.6875      9.6354   -1.109 0.268444
303 ## Hydrological_regimeSD     6.9324     10.5522    0.657 0.511825
304 ## ---
305 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
306 ##
307 ## Approximate significance of smooth terms:
308 ##              edf Ref.df      F p-value
309 ## s(Area_km2) 1.754e-07      9 0.000 0.50299
310 ## s(Dryness)  1.784e-06      9 0.000 0.87130
311 ## s(Latitude) 3.607e+00      9 1.648 0.00213 **
312 ## s(length)  5.815e+00      9 1.067 0.11589
313 ## ---
314 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
315 ##
316 ## R-sq.(adj) =  0.231   Deviance explained = 29.6%
317 ## GCV = 2158.9   Scale est. = 1969.5      n = 267

```

```

model6_reduc <- gam(DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign +
  s(Dryness, bs="ts" ) + s(Latitude, bs="ts") +
  s(Area_km2, bs="ts") + s(length, bs="ts") +
  Assessment_technique +
  Hydrological_regime, data = Zhang_all2)
summary(model6_reduc)

```

```

318 ##
319 ## Family: gaussian
320 ## Link function: identity
321 ##
322 ## Formula:
323 ## DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign + s(Dryness, bs = "ts") +
324 ##           s(Latitude, bs = "ts") + s(Area_km2, bs = "ts") + s(length,
325 ##           bs = "ts") + Assessment_technique + Hydrological_regime

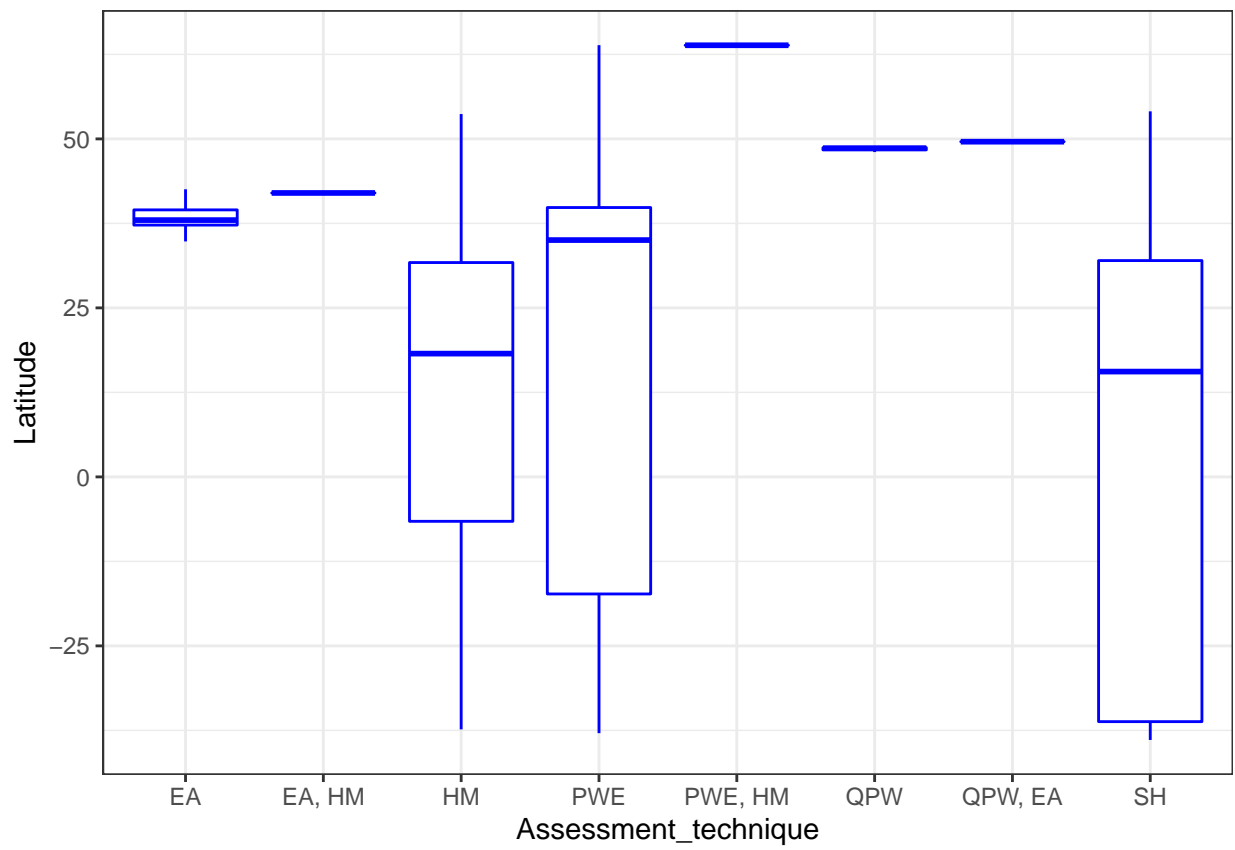
```

```

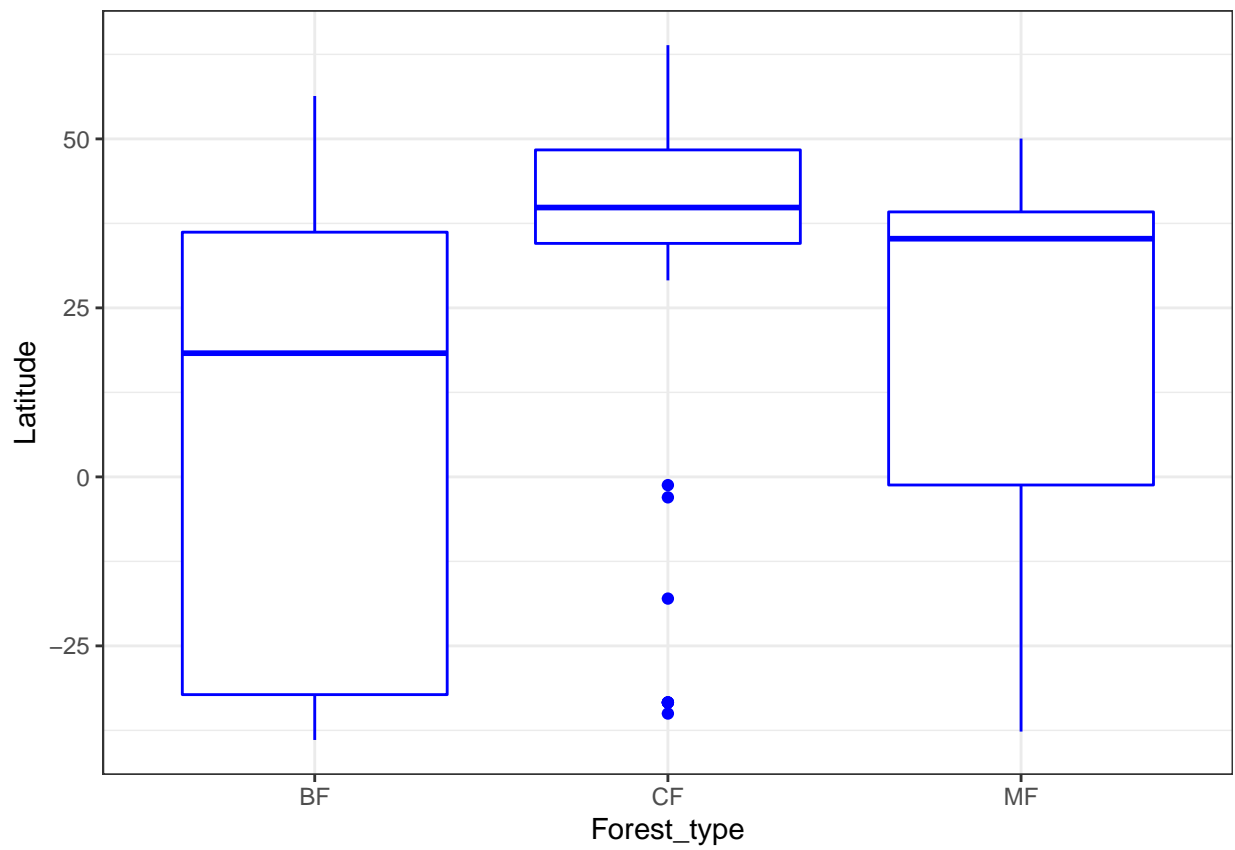
326 ##
327 ## Parametric coefficients:
328 ##
329 ##               Estimate Std. Error t value Pr(>|t|)
330 ## (Intercept)      11.5706    17.3998   0.665  0.50666
331 ## DeltaF_perc_pos    0.3094     0.1038   2.979  0.00317 **
332 ## Forest_Signincrease -29.9159    7.1426  -4.188 3.88e-05 ***
333 ## Assessment_techniqueEA, HM  11.4108   45.6037   0.250  0.80262
334 ## Assessment_techniqueHM    -4.6254   17.2047  -0.269  0.78827
335 ## Assessment_techniquePWE     3.8045   18.5150   0.205  0.83736
336 ## Assessment_techniquePWE, HM 17.3096   50.9143   0.340  0.73416
337 ## Assessment_techniqueQPW   -14.1255   26.9676  -0.524  0.60088
338 ## Assessment_techniqueSH    -0.6693   17.6262  -0.038  0.96974
339 ## Hydrological_regimeSD     12.3022    8.4529   1.455  0.14680
340 ## ---
341 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
342 ##
343 ## Approximate significance of smooth terms:
344 ##               edf Ref.df      F  p-value
345 ## s(Dryness)  1.026e-05     9 0.000 0.885487
346 ## s(Latitude) 3.300e+00     9 1.829 0.000683 ***
347 ## s(Area_km2) 2.280e-06     9 0.000 0.848293
348 ## s(length)  5.575e+00     9 0.901 0.176727
349 ## ---
350 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
351 ##
352 ## R-sq.(adj) =  0.228   Deviance explained = 27.9%
353 ## GCV = 2085.2   Scale est. = 1941.1      n = 273

```

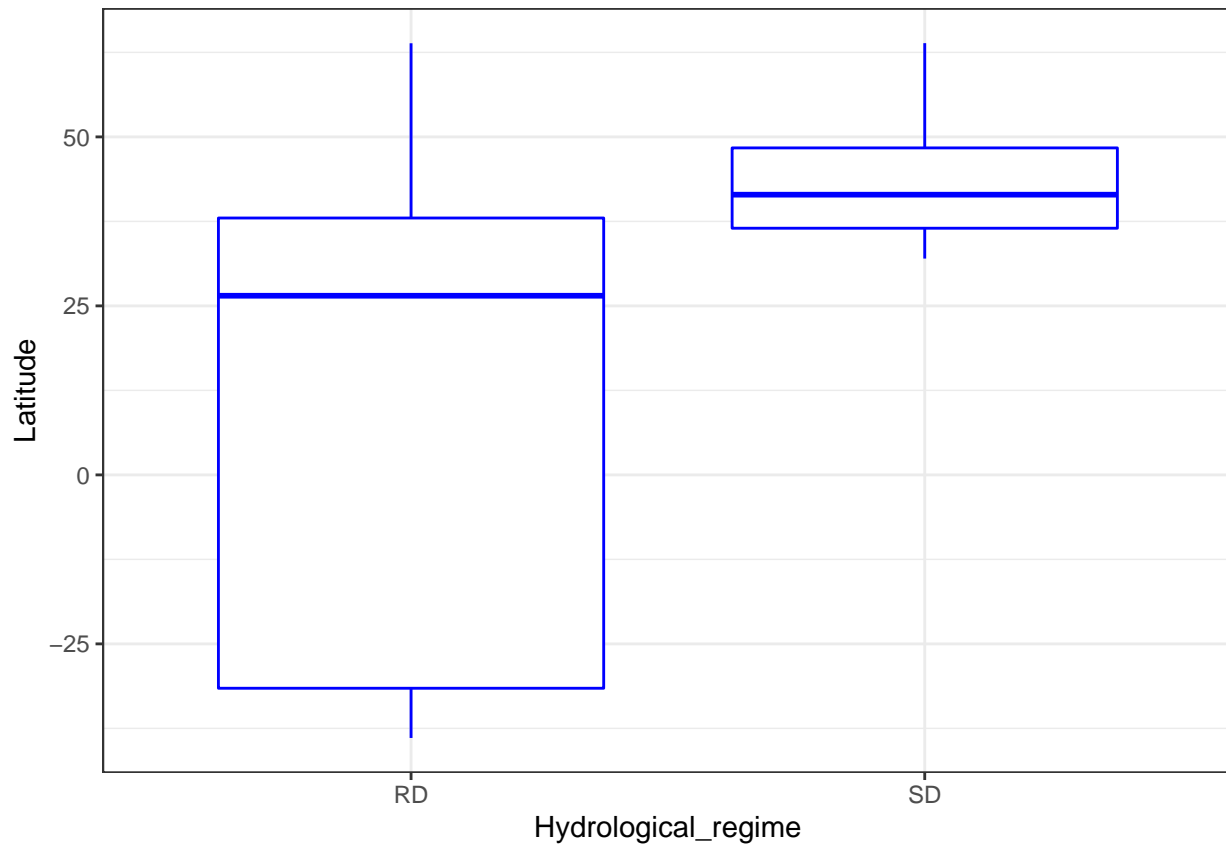
353 Clearly Latitude is masking other factors including the assessment technique
354 and the forest type



355



356



357

358 Clearly all have at least some relationship with Latitude, therefore are being
359 masked if Latitude is included in the model.

```
model7_noLat <- gam(DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign +
  s(Dryness, bs="ts" ) +
  s(Area_km2, bs="ts") +
  Precip_data_type + Assessment_technique + Forest_type +
  Hydrological_regime, data = Zhang_all2)
summary(model7_noLat)
```

360 ##

361 ## Family: gaussian

362 ## Link function: identity

363 ##

364 ## Formula:

365 ## DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign + s(Dryness, bs = "ts") +

366 ## s(Area_km2, bs = "ts") + Precip_data_type + Assessment_technique +

367 ## Forest_type + Hydrological_regime

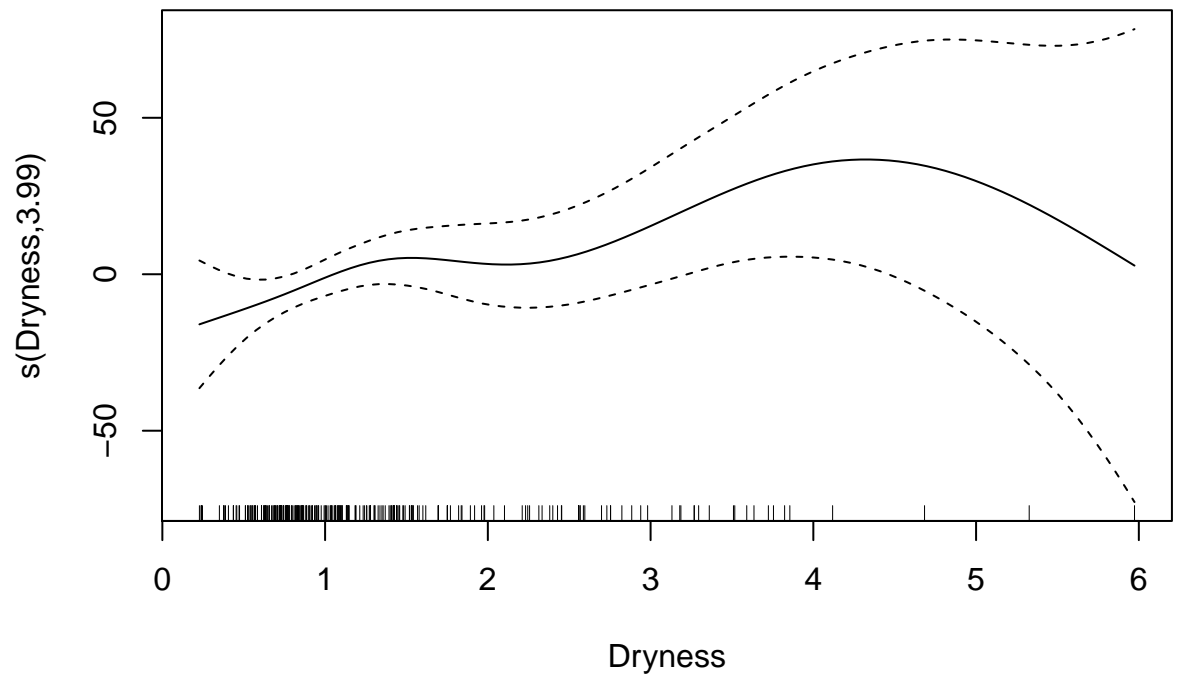
368 ##

```

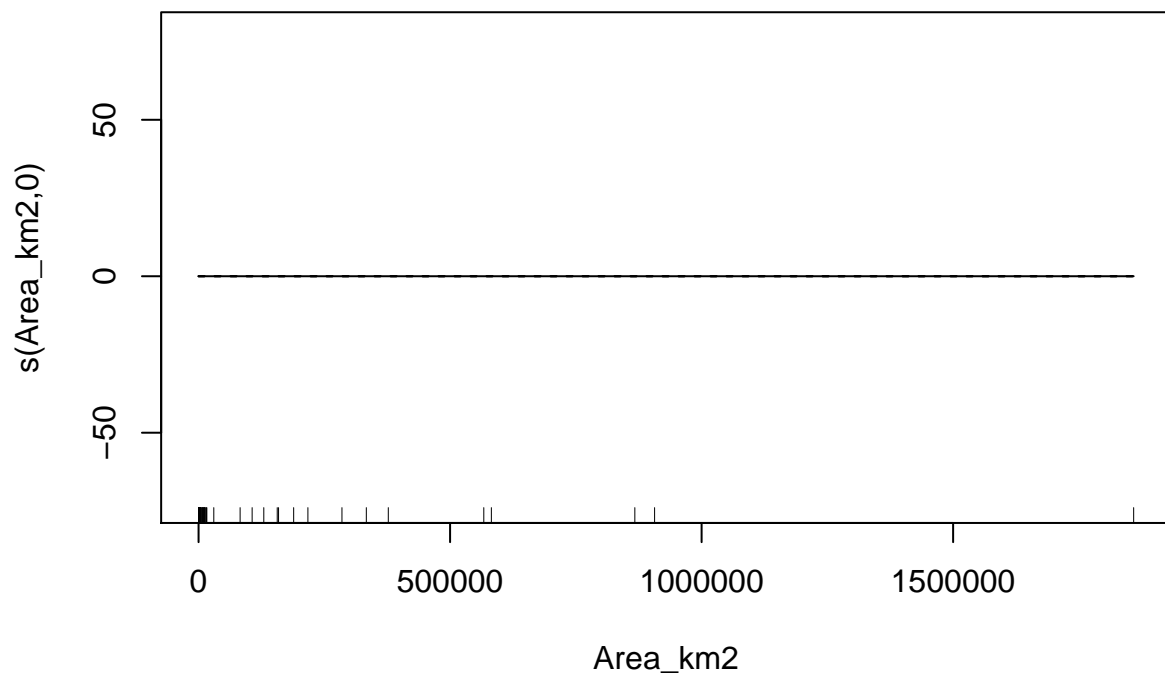
369 ## Parametric coefficients:
370 ##               Estimate Std. Error t value Pr(>|t|)
371 ## (Intercept)      -12.5291    22.7513  -0.551 0.582282
372 ## DeltaF_perc_pos    0.4348     0.1033   4.209 3.46e-05 ***
373 ## Forest_Signincrease -27.2295     7.6821  -3.545 0.000461 ***
374 ## Precip_data_typeOB  -12.4249    15.4195  -0.806 0.421049
375 ## Precip_data_typeSG   11.5676    17.1018   0.676 0.499348
376 ## Assessment_techniqueEA, HM  19.1901    50.7190   0.378 0.705450
377 ## Assessment_techniqueHM    21.6621    17.2625   1.255 0.210578
378 ## Assessment_techniquePWE    42.2758    17.2104   2.456 0.014642 *
379 ## Assessment_techniquePWE, HM 23.5495    52.6315   0.447 0.654903
380 ## Assessment_techniqueQPW    22.1990    27.1887   0.816 0.414924
381 ## Assessment_techniqueQPW, EA 41.0844    30.1936   1.361 0.174707
382 ## Assessment_techniqueSH    38.3711    18.7848   2.043 0.042025 *
383 ## Forest_typeCF         -3.7900     9.7088  -0.390 0.696562
384 ## Forest_typeMF        -16.3363     9.3408  -1.749 0.081404 .
385 ## Hydrological_regimeSD    -1.1868    10.9105  -0.109 0.913460
386 ## ---
387 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
388 ##
389 ## Approximate significance of smooth terms:
390 ##               edf Ref.df      F p-value
391 ## s(Dryness)    3.995e+00     9 1.162  0.024 *
392 ## s(Area_km2)   2.228e-07     9 0.000  0.439
393 ## ---
394 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
395 ##
396 ## R-sq.(adj) =  0.202   Deviance explained =  25%
397 ## GCV =    2510   Scale est. = 2350       n = 298

```

```
plot(model7_noLat)
```

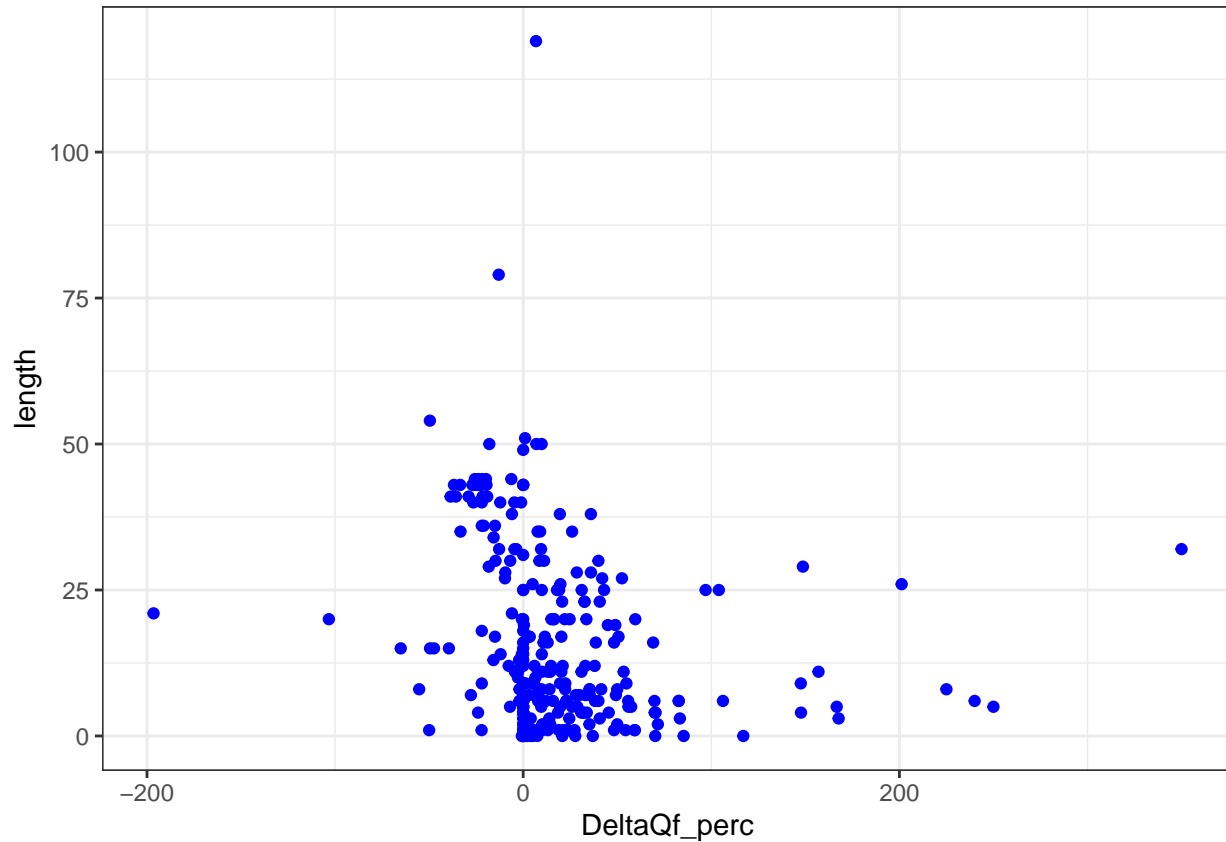



398



399

400 ## Warning: Removed 32 rows containing missing values (geom_point).



401

402 In drier watersheds, changes in forest cover have greater impact on flow,
 403 which is similar to Zhang et al. (2017). This is most likely because in these
 404 watersheds the overall flow is surface flow dominated and therefore the buffering
 405 that is afforded by the groundwater inputs is not as great. As we don't have
 406 a separate variable for groundwater inputs (although this effect is estimated in
 407 many studies), we cannot analyse this effect separately.

408 In contrast to Filoso et al. (2017), we also did not identify an effect of the

409 Given how skewed Dryness is due to the few watersheds that have very high
 410 dryness values, it is worth investigating what excluding these 4 watersheds from
 411 the data means for the relationships.

```
model7_noLatb <- gam(DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign +
  s(Dryness, bs="ts" ) + #s(Latitude, bs="ts") +
  s(Area_km2, bs="ts") +
  Precip_data_type + Assessment_technique + Forest_type +
  Hydrological_regime, data = Zhang_all2 %>% filter(Dryness < 4))
summary(model7_noLatb)
```

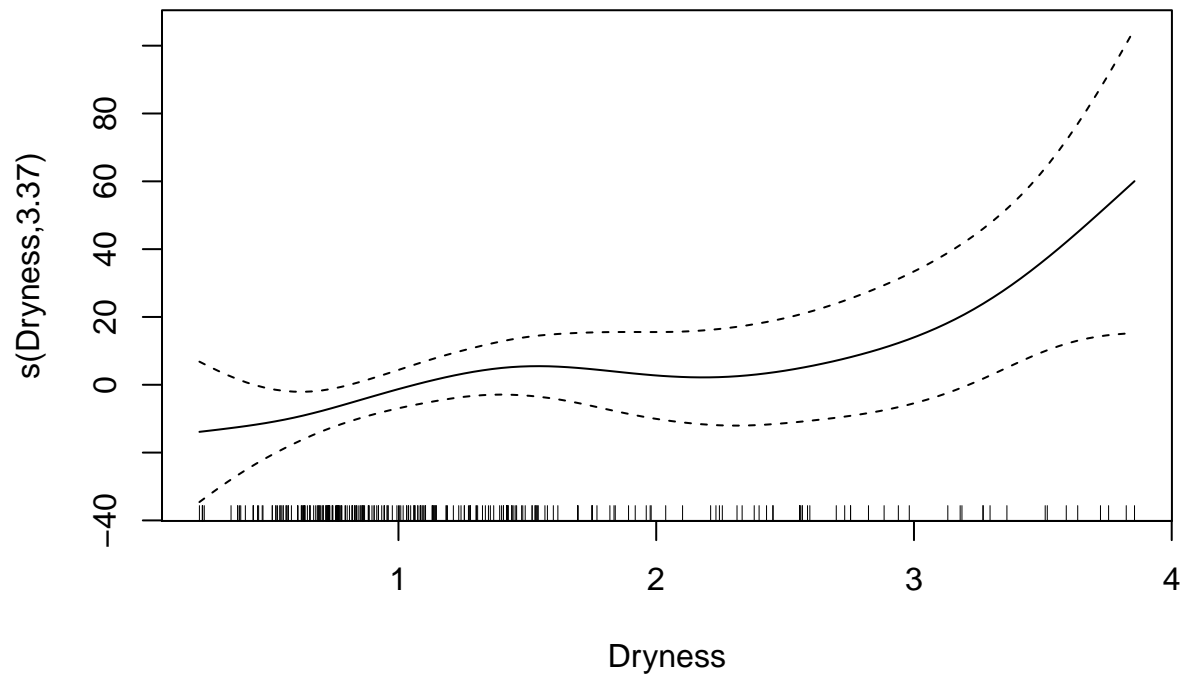
412 ##

```

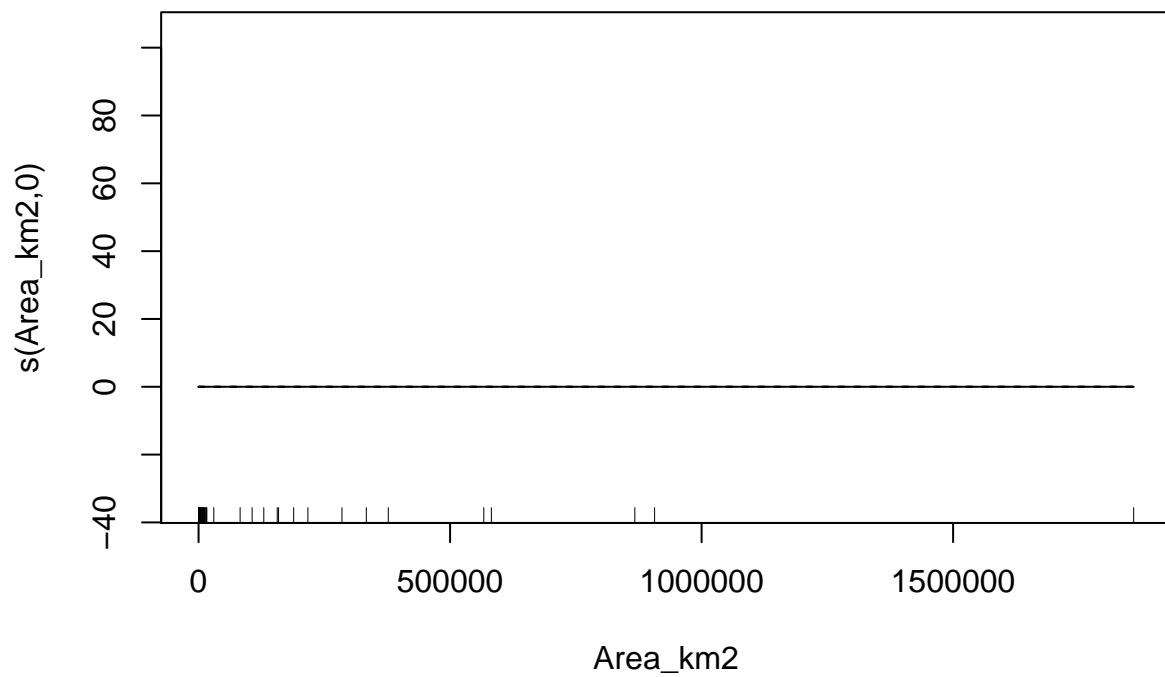
413 ## Family: gaussian
414 ## Link function: identity
415 ##
416 ## Formula:
417 ## DeltaQf_perc ~ DeltaF_perc_pos + Forest_Sign + s(Dryness, bs = "ts") +
418 ##      s(Area_km2, bs = "ts") + Precip_data_type + Assessment_technique +
419 ##      Forest_type + Hydrological_regime
420 ##
421 ## Parametric coefficients:
422 ##               Estimate Std. Error t value Pr(>|t|)
423 ## (Intercept)    -16.8716    22.8324  -0.739 0.460577
424 ## DeltaF_perc_pos      0.4205     0.1036   4.060 6.41e-05 ***
425 ## Forest_Signincrease -27.4323     7.6284  -3.596 0.000383 ***
426 ## Precip_data_typeOB  -12.8820    15.2846  -0.843 0.400065
427 ## Precip_data_typeSG   11.9340    16.9606   0.704 0.482257
428 ## Assessment_techniqueEA, HM  25.8163    50.4996   0.511 0.609607
429 ## Assessment_techniqueHM    26.4681    17.4660   1.515 0.130815
430 ## Assessment_techniquePWE    48.3282    17.6030   2.745 0.006440 **
431 ## Assessment_techniquePWE, HM 29.5466    52.3323   0.565 0.572808
432 ## Assessment_techniqueQPW    29.1804    27.2233   1.072 0.284707
433 ## Assessment_techniqueQPW, EA 48.6163    30.1921   1.610 0.108492
434 ## Assessment_techniqueSH    43.7353    18.9899   2.303 0.022019 *
435 ## Forest_typeCF         -4.2723     9.6304  -0.444 0.657661
436 ## Forest_typeMF        -14.2554     9.4382  -1.510 0.132089
437 ## Hydrological_regimeSD    -2.6825    10.8541  -0.247 0.804980
438 ## ---
439 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
440 ##
441 ## Approximate significance of smooth terms:
442 ##               edf Ref.df      F p-value
443 ## s(Dryness)  3.374e+00     9 1.576 0.00703 **
444 ## s(Area_km2) 3.036e-09     9 0.000 0.41938
445 ## ---
446 ## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
447 ##
448 ## R-sq.(adj) =  0.209   Deviance explained = 25.6%
449 ## GCV = 2462.7   Scale est. = 2308.7       n = 294

```

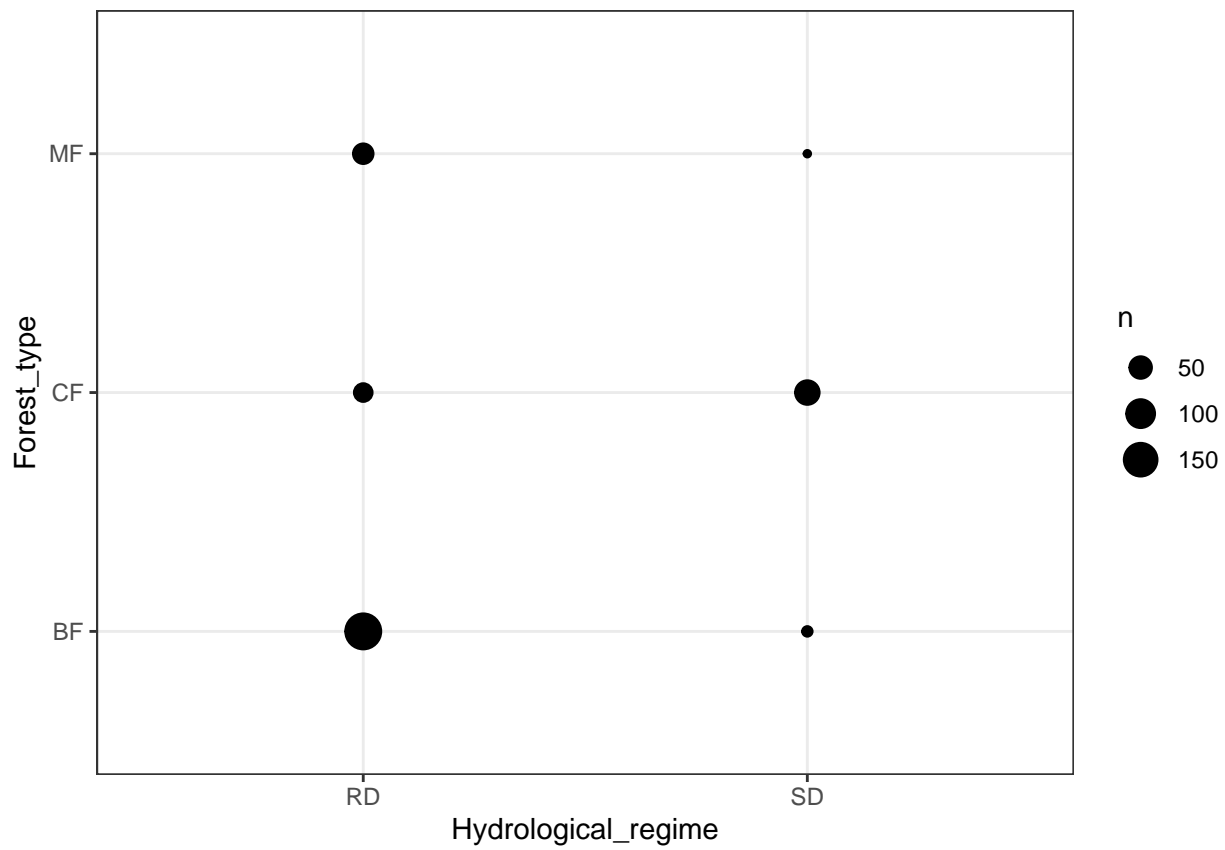
```
plot(model7_noLatb)
```



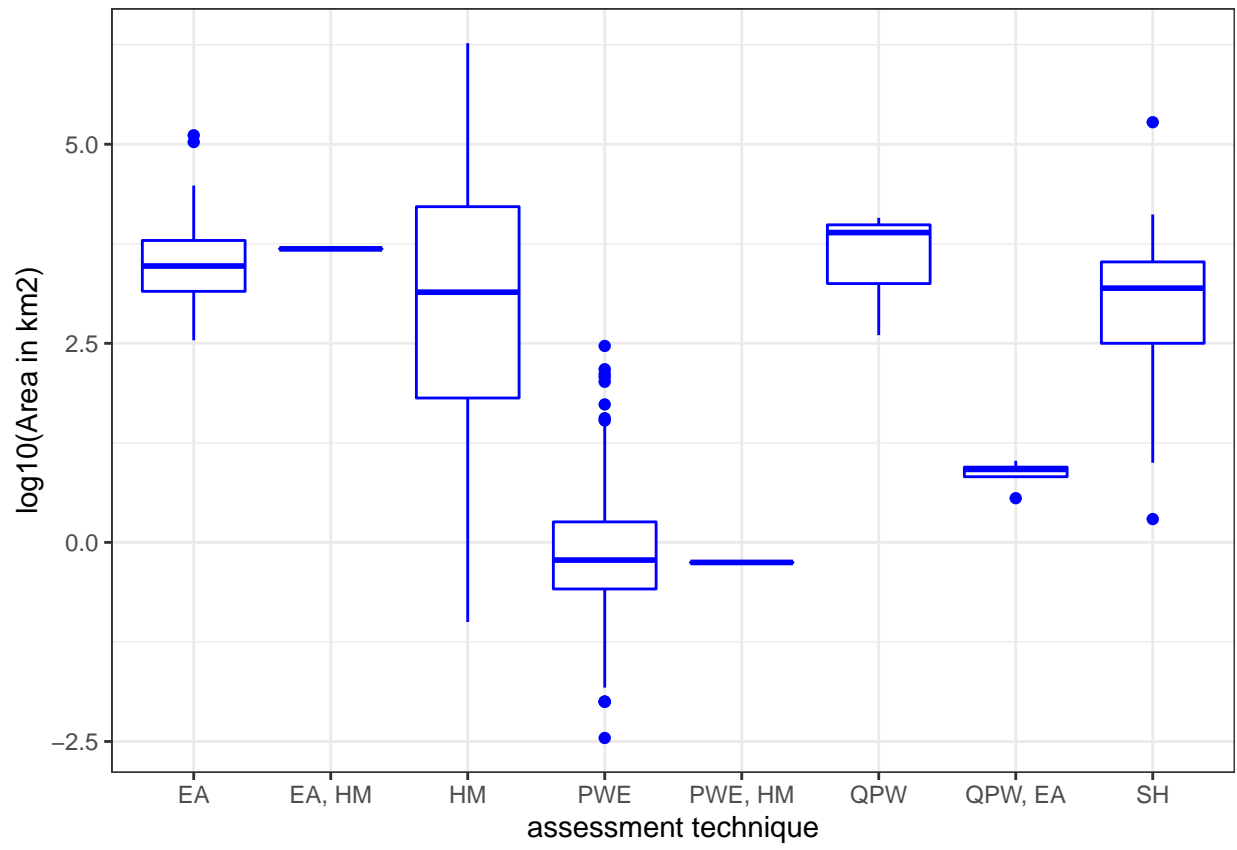
450

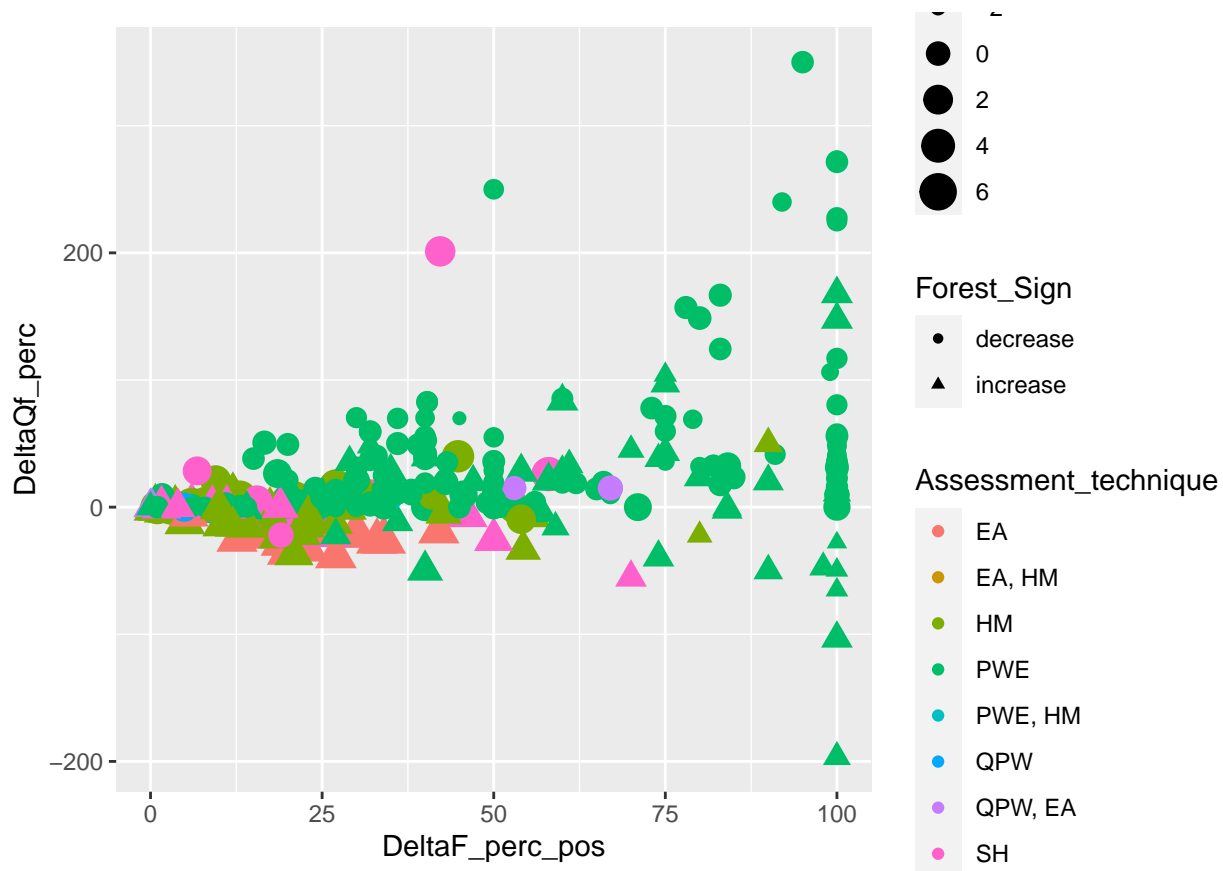


451



452





454

455 ## pdf

456 ## 2

```
Zhang_all2 %>%
```

```
  ggplot(aes(Longitude, Latitude, colour = DeltaF_perc, size = DeltaQf_perc/100 )) + geom_p
```

```
Zhang_all %>%
```

```
  ggplot(aes(Area_km2)) + geom_histogram(fill="blue", bins =50) +
```

```
  scale_x_log10()
```

```
total <- nrow(Zhang_all)
```

```
length(Zhang_all$Area_km2[Zhang_all$Area_km2<10])/total
```

```
Zhang_all2 %>%
```

```
  ggplot(aes(length)) + geom_histogram(fill="blue", bins =50)
```

457 Discussion

458 Essentially, the analysis shows at the moment that in contrast to Zhang et
 459 al. (2017) there is no evidence that the size of a watershed influences the change
 460 in the streamflow as a result of changes in forestry. If anything the scatter in
 461 the data (in the change in flow) is greater for the smaller watersheds than for
 462 the larger watersheds. In other words, the response to changes in forest cover
 463 is more consistent for larger watersheds than it is for smaller watersheds.

464 As shown earlier, most of the smaller watersheds are “real observed data”
 465 using paired watershed studies, while for larger watersheds, the analysis are
 466 mostly based on modelling approximations using either elasticity analysis (EA),
 467 Hydrological modelling (HM) or a combined use of statistical methods (SH) or
 468 quasi paired watershed analysis (QPW), thus all providing an approximation of
 469 the effect of forestry on streamflow rather than a direct comparison of water-
 470 sheds. This is a confounding factor that is not easily addressed in the regression
 471 modelling attempted here. Furthermore, the catchments analysed using EA,
 472 are concentrated in the drier end of the Dryness index scale compared to the
 473 other methods, with only the paired watershed experiment (PWE) assessment
 474 technique covering the full range of dryness indices.

475 There are further confounding factors in the data, which were also classified
 476 by Filoso et al. (2017) and these create biases in the data set that can im-
 477 pact the overall assessment. For example, snow dominated hydrological regimes
 478 (SD), which are weakly significant, are dominated by Coniferous Forests (CF),
 479 while the majority of the rain dominated regimes are all broadleaf forests (BF).
 480 However, the forest type classification is very coarse and does not fully cap-
 481 ture possible physiological differences that could affect evapotranspiration and
 482 therefore changes in streamflow.

483 Apart from a difficulty of analysing complex confounding factors in the data,
 484 a general limitation of the type of analysis presented is that this work does not
 485 consider the spatial arrangement of the forest clearing in the catchments. While
 486 for fully or almost fully cleared smaller catchments this might not be an issue,
 487 it is perceivable that for larger catchments being partially cleared, a interaction
 488 between spatial location and clearing could be a factor in determining the change
 489 in streamflow. Clearing head water catchments on shallower soils might have a
 490 larger impact than clearing in downstream areas on deeper soils.

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