

Heaps and Garbage

CMPSC 461

Programming Language Concepts

Penn State University

Fall 2016

Data Storage

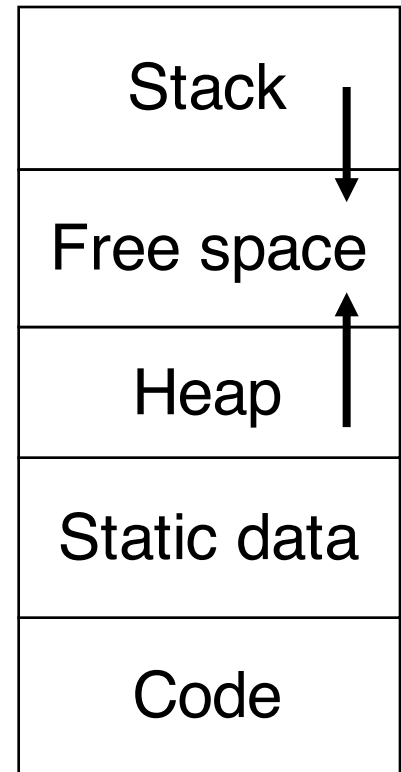
Static area

Stack

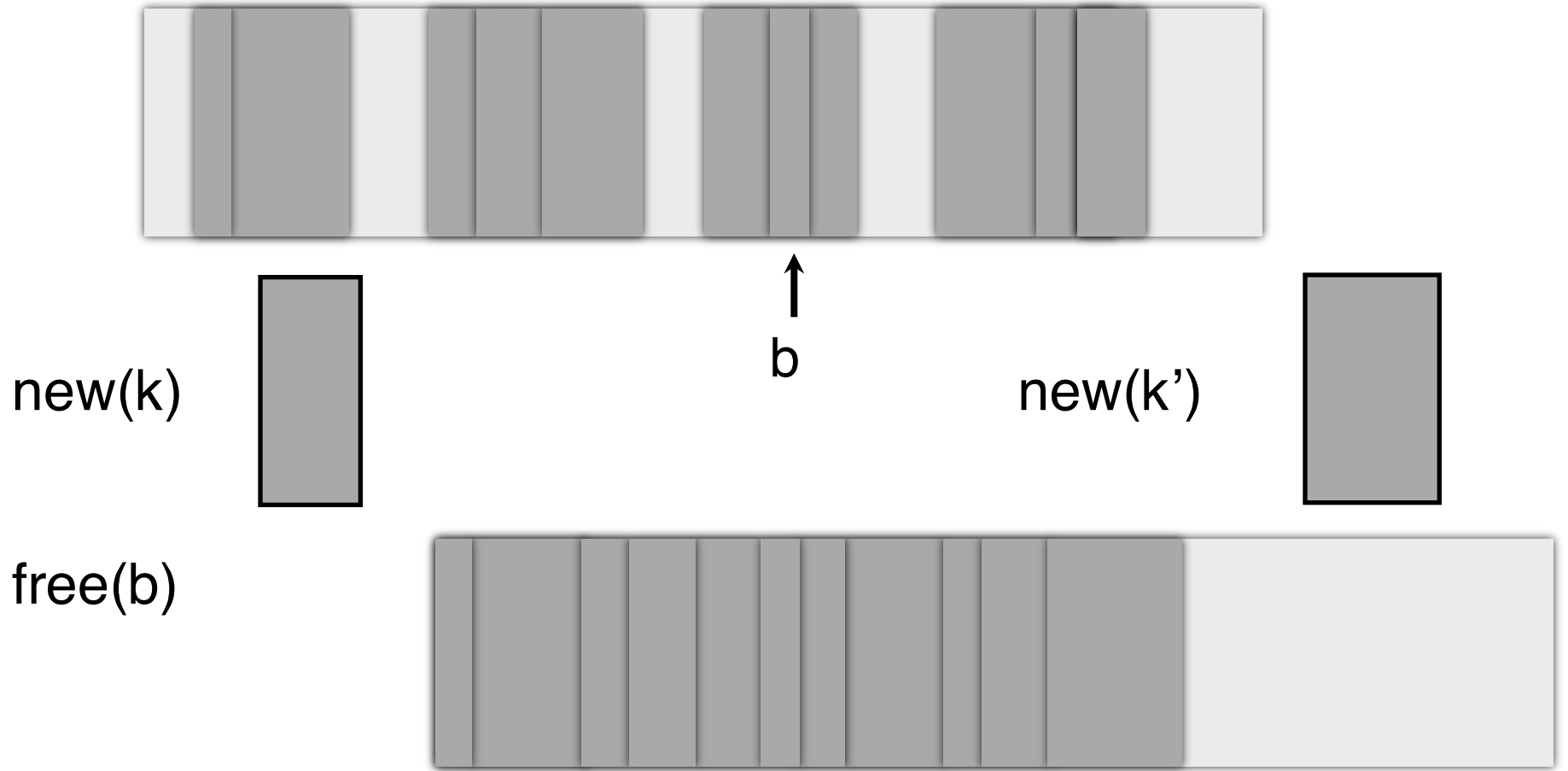
Operations: push, pop

Heap

Operations: new, delete, free, malloc



Heaps



Independent lifetimes of objects make heap management difficult

Heap Management

Allocator: a routine takes size of requested heap space, and search for free space

Usually, heap is managed in blocks. Allocator may return larger block than requested

Deallocator: collect free space and merge with other free space when possible

Heap compaction: move all used blocks to one end

Heap-Based Allocation



Higher Address

Lower Address

Allocation Request



Internal fragmentation:

the allocation request is smaller than the assigned memory block

External fragmentation:

none of the scattered free space is large enough for the request

Heap Management Algorithms (not covered in this course)

First-fit: select the first free block that is large enough

Best-fit: select the smallest free block that fits

Buddy system: maintain various pools of free blocks with size of 2^k

Fibonacci heap: maintain various pools of free blocks with size of
Fibonacci numbers

Heap Management

Programmer Management (C, C++)

- Pros: implementation simplicity, performance
- Cons: error prone (dangling pointers, memory leaks)

```
Node *p, *q;  
p = new Node();  
q = new Node();  
q = p; // memory leaks  
delete(p); // q becomes dangling pointer
```

Algorithms to detect dangling pointers:
Tombstones, Locks & Keys (Lec. 21)

Heap Management

Automatic Management (Java, Scheme)

- No dangling pointers, no memory leaks
- Cost: Slower than programmer management

Garbage Collection

Garbage: inaccessible heap objects

```
void foo () {  
    int* a = new int[10];  
    return;  
}
```

Issues of heap management:

- Collect too aggressively: dangling pointers
- Collect too conservatively: memory leaks
- Key problem: collect only objects that are ***inaccessible*** from program

GC I: Reference Counting

Maintain a reference count with each heap object

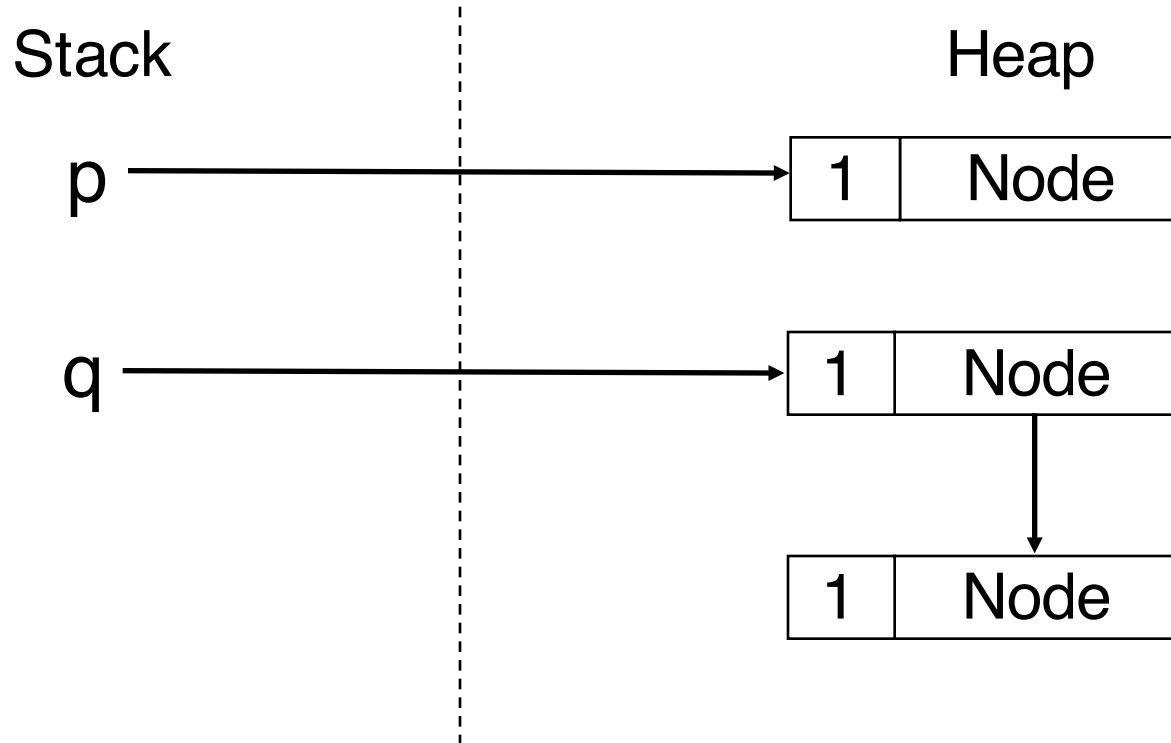
Set to 1 when object is created

Incremented each time new reference to it is created

Decrement each time reference to it is deleted

Collect when count becomes 0

```
Node *p, *q;  
p = new Node();  
q = new Node();  
→ q.next = new Node();  
q = p;  
p = null;
```



```
Node *p, *q;  
p = new Node();  
q = new Node();  
q.next = new Node();  
→ q = p;  
p = null;
```

Stack

p

q

Heap



Object referenced by q has RC-1
Object referenced by p has RC+1

```
Node *p, *q;  
p = new Node();  
q = new Node();  
q.next = new Node();  
q = p;  
→ p = null;
```

Stack

p

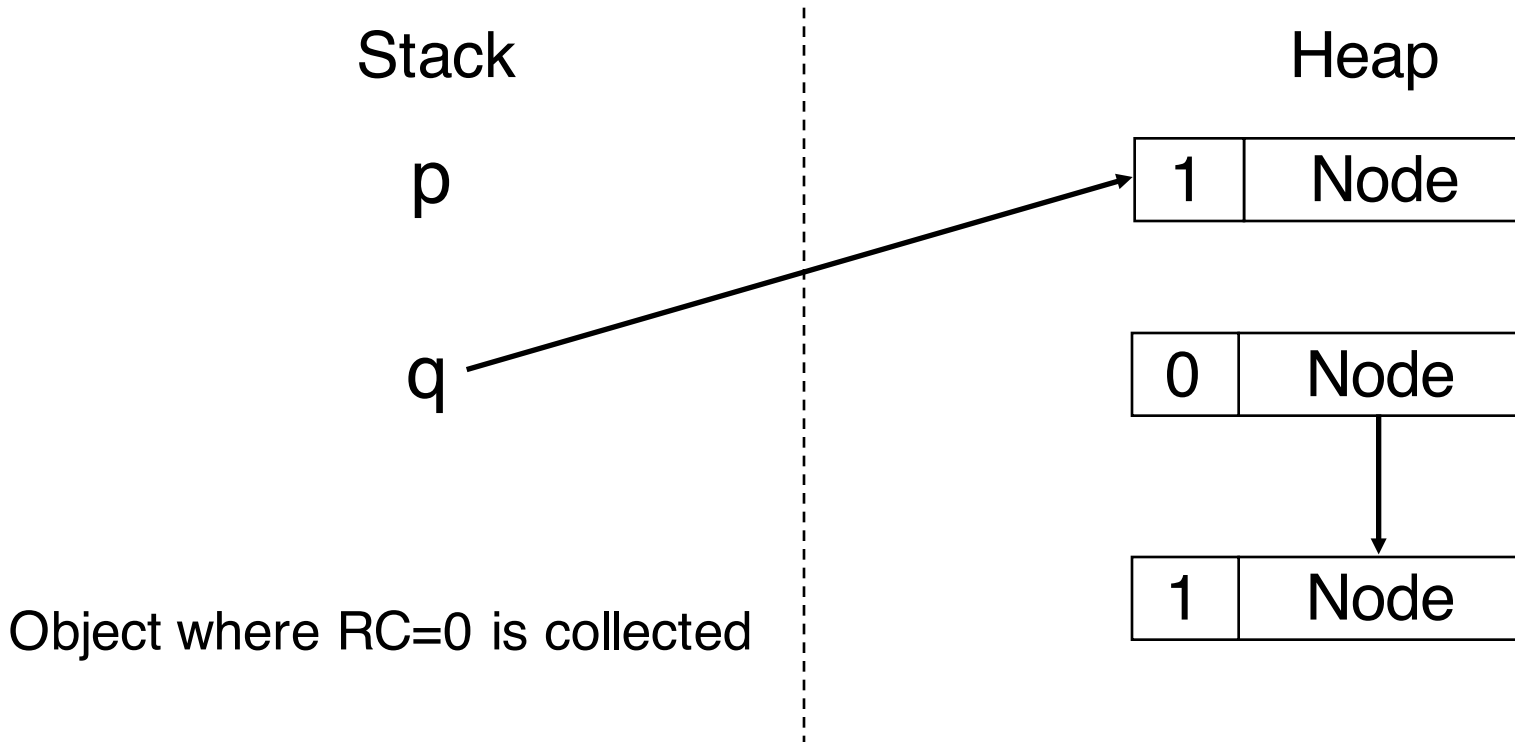
q

Heap

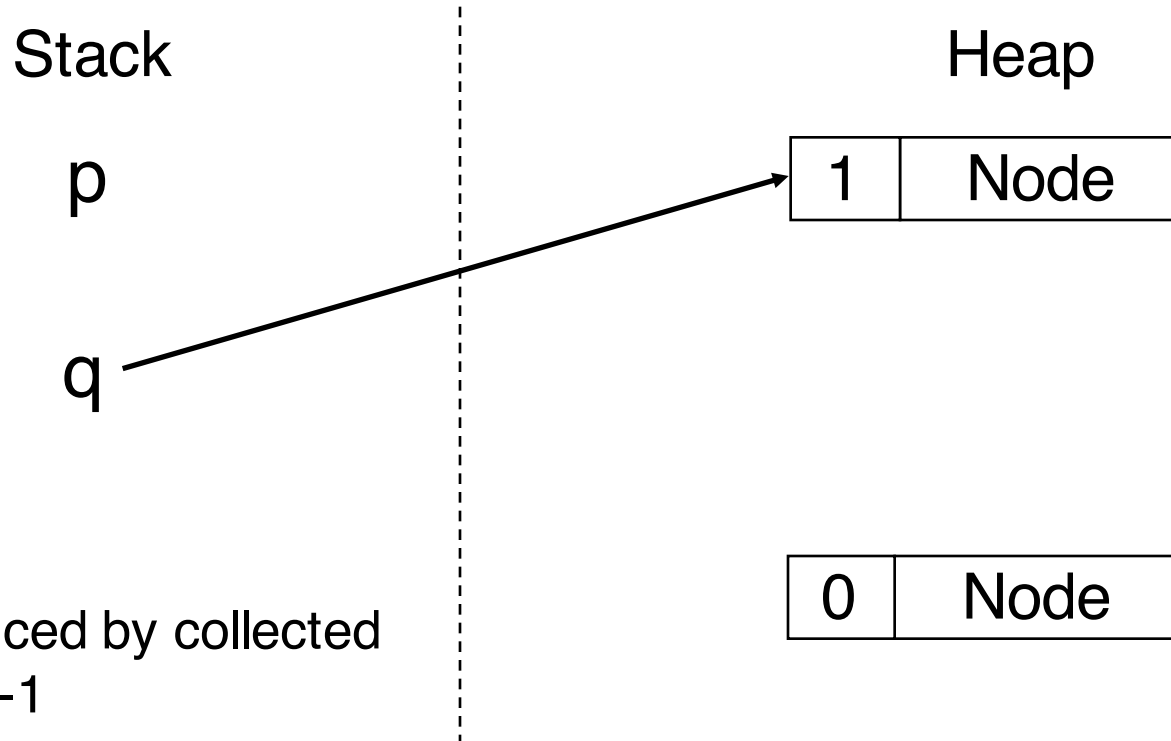


Object referenced by p has RC-1

Garbage Collection



Garbage Collection



Object referenced by collected
object has RC-1

GC I: Reference Counting

When is an object dereferenced?

- Reference is LHS of Assignment
- Reference on stack is destroyed when function returns
- Reference is destroyed when an object with count 0 is collected

GC I: Reference Counting

Reference Counting is about **Object Ownership**

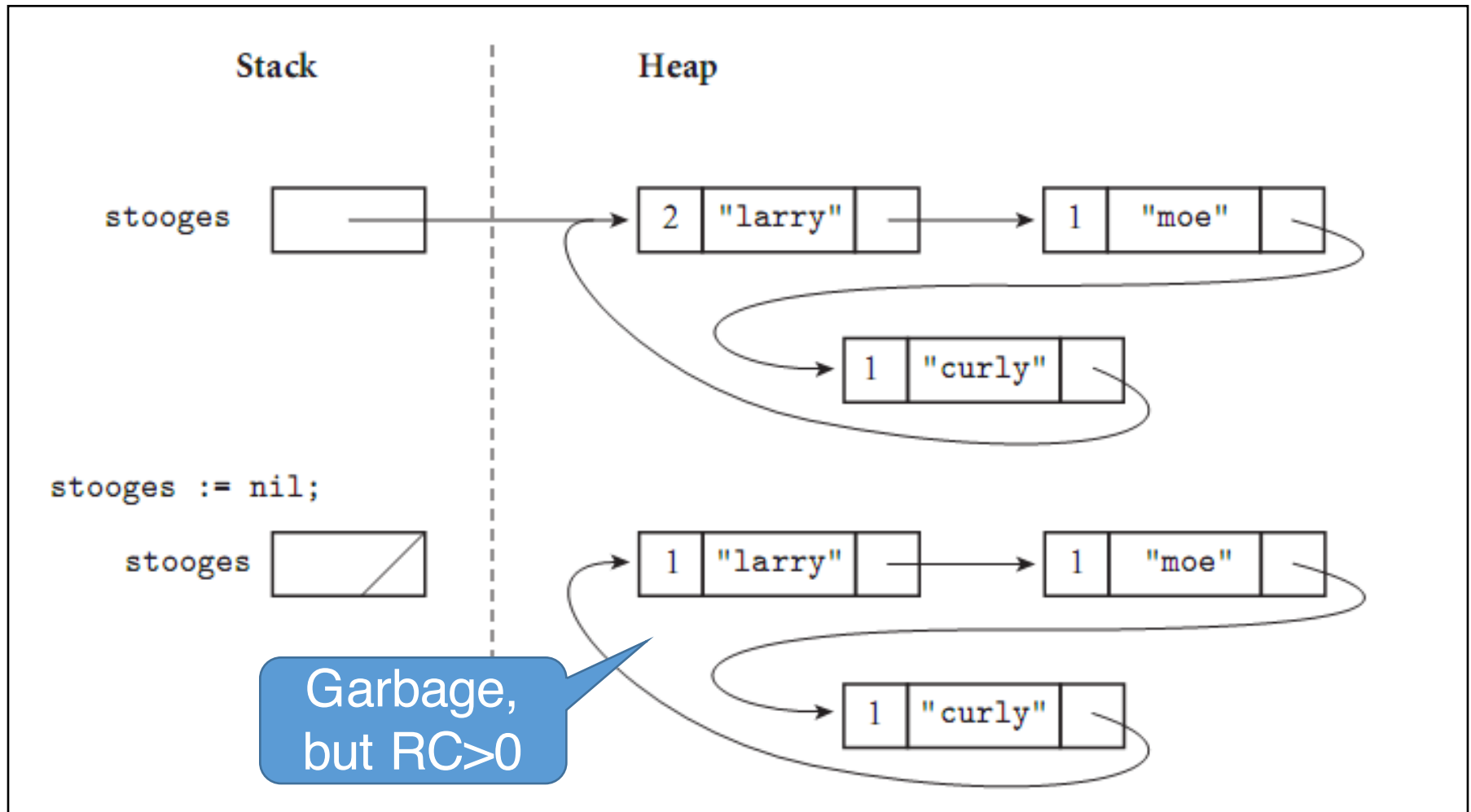
- when one object creates a reference to another object, it owns that object (retain)
- when the object deletes that reference, it relinquishes ownership (release)

Multiple owners of an object

Zero owners of an object

Problem of Reference Counting

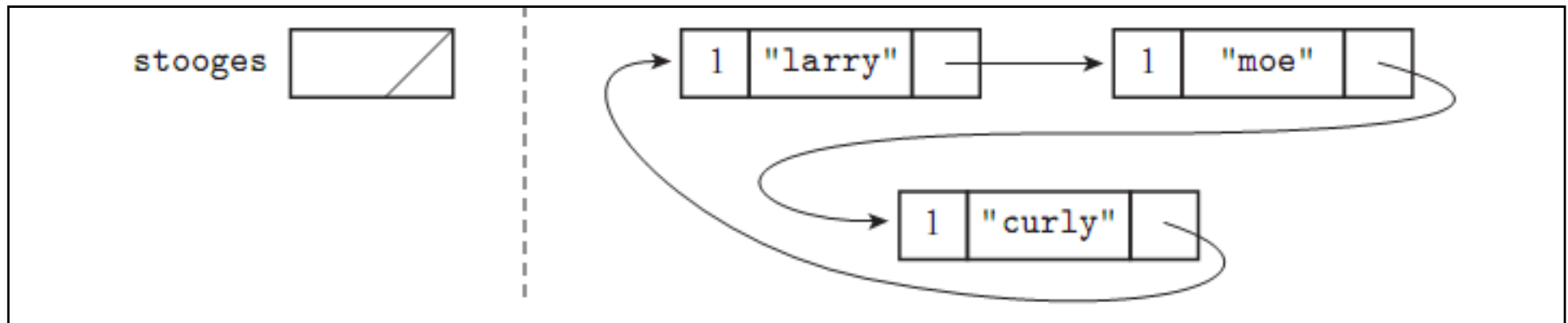
Ownership \neq Accessibility



What Is Garbage

Ideally, any heap block not used in the future

In practice, the garbage collector identifies blocks inaccessible from program



Essentially a reachability problem (from alive variables)

We will see such algorithms in the next lecture