

# UNIVERSITY OF COPENHAGEN

## Union-Find

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## 1 Theory

### 1.1 Forests

**Definition 1.1** (Reachability). A node  $v$  is *reachable* from a node  $u$  in a directed graph  $G = (V, E)$  if there exists a sequence of directed edges  $e_1, e_2, \dots, e_m \in E$  where  $m \geq 1$  and  $e_i = (v_{i-1}, v_i)$  for  $1 \leq i \leq m$ , such that  $v_0 = u$  and  $v_m = v$ . We denote this by  $u \rightsquigarrow v$ .

**Definition 1.2** (Cycle). A cycle in a directed graph  $G = (V, E)$  has a cycle if there exists  $v \in V$  such that  $v \rightsquigarrow v$ .

**Definition 1.3** (Forest). A forest is a directed graph  $F = (V, E)$  where  $V$  is a set of vertices and  $E \subseteq V \times V$  is a set of directed edges such that:

1. There are no cycles  $v \rightsquigarrow v$  for all  $v \in V$ , and
2. each node has at most one parent i.e. for all  $(u, v_1), (u, v_2) \in E$  it holds that  $v_1 = v_2$ .

**Definition 1.4** (Root). A node  $v \in V$  in a forest  $F = (V, E)$  is a root if it has no parent. This is defined as the predicate:

$$\mathcal{R}_F(v) : v \not\rightsquigarrow u \text{ for all } u \in V$$

**Definition 1.5** (Tree). A tree is a forest  $T = (V, E)$  where there exists a unique root  $r \in V$  such that  $v \rightsquigarrow r$  for all  $v \in V \setminus \{r\}$ .

**Proposition 1.1** (Forest Root Count). A forest  $F = (V, E)$  where  $|V| = n$  and  $|E| = n - k$  has  $k$  roots.

*Proof.* Let  $F = (V, E)$  be a forest where  $|V| = n$  and  $|E| = n - k$ . By the second property of a forest then  $n - k$  vertices must have a parent. Since there are  $n$  vertices in total it follows that there are exactly  $k$  vertices  $r_1, r_2, \dots, r_k \in V$  that has no parent. Hence there are exactly  $k$  roots in  $F$ .  $\square$

**Proposition 1.2** (Roots Path Exist). In a forest  $F = (V, E)$  for each element  $v \in V$  there exists at least one root  $r \in V$  such that  $\mathcal{R}_F(r)$  and either  $v \rightsquigarrow r$  or  $v = r$ .

*Proof.* Let  $F = (V, E)$  be a forest and let  $v \in V$  be an arbitrary element in  $V$ . By proposition 1.1 there exists at least one root  $r \in V$  such that  $\mathcal{R}_F(r)$ . This can be shown by structural induction on a vertex  $v \in V$  that any  $(v, p) \in E$  then either  $p = r$  or  $p$  has a path to some root  $r \in V$ .

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- If  $p = r$  then  $p$  is a root and  $v$  has a path to a root  $r$  by  $(v, r) \in E$ .
  - By induction hypothesis  $p$  has a path to a root  $r \in V$  such that  $\mathcal{R}_F(r)$ . Since  $(v, p) \in E$  it follows that  $v \rightsquigarrow p \rightsquigarrow r$  so .

□

**Proposition 1.3** (Forest Edge Limit). A forest  $F = (V, E)$  where  $|V| = n$  and  $|E| > n - 1$  is not a forest.

*Proof.* Let  $F = (V, E)$  be a forest where  $|V| = n$  and  $|E| > n - 1$ . By proposition 1.1 a forest with  $n - 1$  has exactly one root, so it is a tree. By adding one more edge to the tree it must make one vertex have two parents. Or since every vertex  $v \in V$  has a path to the root  $r \in V$  it must create a cycle  $v \rightsquigarrow v$  for some  $v \in V$ . In both cases it contradicts the properties of a forest. □

## 1.2 Union-Find Structure

**Definition 1.6** (Union-Find Structure). The union-find structure  $U$  is a forest  $U = (V, E)$  where the vertices  $V = S$  for some set of elements  $S$ . The edges  $E \subseteq V \times V$  represent parent relations between elements in  $S$  such that  $(u, v) \in E$  means that  $u$  has parent  $v$ .

**Definition 1.7** (Representative). The representative of an element  $v \in V$  in a forest  $F = (V, E)$  is the root  $r \in V$  such that there is a path from  $v$  to  $r$ . This is defined as the function:

$$\rho_F(v) := r \text{ where } r \in V \text{ such that } \mathcal{R}_F(r) \wedge (v \rightsquigarrow r \vee v = r)$$

**Proposition 1.4** (Unique Representative). In a forest  $F = (V, E)$  each element  $v \in V$  has a unique representative  $\rho_F(v)$ .

*Proof.* Let  $F = (V, E)$  be a union-find structure and let  $v \in V$  be an arbitrary element in  $V$ . By proposition 1.2 there exists at least one root  $r \in V$  such that  $\mathcal{R}_F(r)$  and  $v \rightsquigarrow r$ . Now assume that there exists another root  $r' \in V$  such that  $\mathcal{R}_F(r')$  and  $v \rightsquigarrow r'$ . Since  $F$  is a forest it follows by the second property of a forest that  $r = r'$  hence the representative is unique. □

**Definition 1.8** (Tree Set). The set of vertices of the same tree  $\mathcal{E}_F(v)$  in a forest  $F = (V, E)$  is defined as:

$$\mathcal{E}_F(v) := \{u : u \in V \text{ where } \rho_F(u) = \rho_F(v)\}$$

**Definition 1.9** (Partition). The set  $P \subseteq \mathbb{P}(S)$  is a partition of a set  $S$  if:

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1.  $a \neq \emptyset$  for all  $a \in P$
  2.  $a \cap b = \emptyset$  for all  $a, b \in P$  where  $a \neq b$
  3.  $\bigcup_{a \in P} a = S$

**Proposition 1.5** (Forest Partition). A forest  $F = (V, E)$  is a partition of  $V$  for the following set:

$$\{\mathcal{E}_F(v) : v \in V\}$$

*Proof.* Let  $F = (V, E)$  be a forest. We will show that the set in the proposition is a partition of  $V$  by showing that it satisfies the three properties in definition 1.9.

1. By definition of  $\mathcal{E}_F(v)$  it can not be empty since for  $\mathcal{E}_F(v)$  then  $\rho_F(v) = \rho_F(v)$ . Hence  $\mathcal{E}_F(v) \neq \emptyset$  for all  $v \in V$ .
2. Let  $a$  and  $b$  be two arbitrary elements in the set such that  $a \neq b$ . By definition of  $a$  and  $b$  there exists  $v_1, v_2 \in V$  such that  $a = \{u : u \in V \wedge \rho_F(u) = \rho_F(v_1)\}$  and  $b = \{u : u \in V \wedge \rho_F(u) = \rho_F(v_2)\}$ . Since  $a \neq b$  it follows that  $\rho_F(v_1) \neq \rho_F(v_2)$  since otherwise  $a = b$ , hence  $a \cap b = \emptyset$ .
3. Let  $v$  be an arbitrary element in  $V$ . By proposition 1.2 there exists a root  $r \in V$  such that  $\mathcal{R}_F(r)$  and  $v \rightsquigarrow r$  or  $v = r$ . By definition of the representative it follows that  $\rho_F(v) = r$ . Now let  $a = \{u : u \in V \wedge \rho_F(u) = \rho_F(v)\}$ . By definition of  $a$  it follows that  $v \in a$ . Since  $v$  was arbitrary it follows that  $\bigcup_{a \in P} a = V$ .

□

**Definition 1.10** (Same Tree Relation). The relation  $\sim_F$  on a forest  $F$  is defined as:

$$u \sim_F v : \iff u \in \mathcal{E}_F(v)$$

**Corollary 1.1** (Same Tree Relation is an Equivalence Relation). The relation  $\sim_F$  on a forest  $F$  is an equivalence relation due to  $\{\mathcal{E}_F(v) : v \in V\}$  being a partition of  $V$ . by proposition 1.5.

**Definition 1.11** (Forests with Equivalent Tree Sets). Two forests  $F = (V, E)$  and  $F' = (V', E')$  have equivalent tree sets  $F \cong F'$  if:

- Vertices are the same  $V = V'$ .

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- The tree sets are equivalent  $\mathcal{E}_F(v) = \mathcal{E}'_F(v)$  for all  $v \in V$ .

**Definition 1.12** (Tree Union). The tree union of two elements  $v$  and  $u$  for a forest  $F = (V, E)$  is such that  $v \sim_{F'} u$  in a new forest  $F' = (V', E')$  and  $F'$  satisfy the following properties:

1.  $\mathcal{E}_{F'}(v) = \mathcal{E}_{F'}(u) = \mathcal{E}_F(v) \cup \mathcal{E}_F(u)$  and
2.  $\mathcal{E}_{F'}(w) = \mathcal{E}_F(w)$  for all  $w \in V \setminus (\mathcal{E}_F(v) \cup \mathcal{E}_F(u))$ .

**Proposition 1.6** (Tree Union). Let forest  $F = (V, E)$ ,  $p = \rho_F(u)$  be the representative of  $u$  and let  $q = \rho_F(v)$  be the representative of  $v$  where  $q \neq p$ . Then defined  $F'$  as:

$$F' := (V, E \cup \{(q, p)\})$$

Then  $u \sim_{F'} v$  in  $F'$  and  $F'$  will satisfy the properties of a tree union.

*Proof.* Let  $F = (V, E)$ ,  $p = \rho_F(u)$  be the representative of  $u$  and let  $q = \rho_F(v)$  be the representative of  $v$ . By definition  $q$  will have parent  $p$  in  $F'$  and since  $q$  is a root it has no parent then  $F'$  is a forest. Now for all  $w \in \mathcal{E}_F(q)$  it holds that  $w \rightsquigarrow q$  or  $q = w$  and since  $q \rightsquigarrow p$  it follows that  $w \rightsquigarrow p$ . Hence  $w \in \mathcal{E}_{F'}(p)$  for all  $w \in \mathcal{E}_F(q)$  and trivially  $w \in \mathcal{E}_{F'}(p)$  for all  $w \in \mathcal{E}_F(p)$  so it follows that  $\mathcal{E}_{F'}(v) = \mathcal{E}_{F'}(u) = \mathcal{E}_F(v) \cup \mathcal{E}_F(u)$ . Now let  $w \in V \setminus (\mathcal{E}_F(v) \cup \mathcal{E}_F(u))$  be an arbitrary element. Since  $w \not\rightsquigarrow p$ ,  $w \neq p$ ,  $w \not\rightsquigarrow q$ , and  $w \neq q$  it follows that  $w$  has the same representative in  $F'$  as in  $F$  hence  $\mathcal{E}_{F'}(w) = \mathcal{E}_F(w)$ .  $\square$

### 1.3 Parallel Union-Find

**Definition 1.13** (Conflict-free Set). Let  $F$  be a forest,  $X \subseteq \{(\rho_F(v), \rho_F(u)) : (v, u) \in V \times V\}$  be a set of root pairs. Then  $X$  is a conflict-free set in  $F$  if  $(V, Y)$  is a forest.

**Proposition 1.7** (Conflict-free Forest Union). Let forest  $F = (V, E)$  be a forest and let  $X \subseteq V \times V$  be a conflict-free set in  $F$  where  $|X| = n$ . Then defining the following forests:

$$\begin{aligned} F_0 &:= F \\ F_i &:= (V, E_{i-1} \cup \{(v_i, u_i)\}) \text{ for } (v_i, u_i) \in X \text{ and } 1 \leq i \leq n \end{aligned}$$

Then  $F_n$  is a forest.

*Proof.* Let forest  $F = (V, E)$  be a forest,  $X \subseteq V \times V$  be a conflict-free set in  $F$ . We will show that  $F_n$  is a forest by induction on  $i$ .

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- Base case: If  $i = 0$  then  $F_i = F_0 = F$  which is a forest.
  - Induction hypothesis: Assume that  $F_{i-1}$  is a forest for all  $1 \leq i < n$ . Let  $(v_i, u_i) \in X$ , we know that  $v_i \neq v_j$  for all  $(v_j, u_j) \in Y \setminus \{(v_i, u_i)\}$  since otherwise  $(V, X)$  would not be a forest and  $X$  would not be a conflict-free set in  $F$ . So  $v_i$  will only have one parent in  $F_i$  since it only appears once as a child in  $(V, X)$ . By definition all of the edges in  $X$  consists of roots in  $F$ , and since  $(V, X)$  is a forest there are no cycles  $y \not\sim y$  for all  $y \in V$  in  $F_i$ . Hence  $F_i$  is a forest.

Thus by induction  $F_n$  is a forest.  $\square$

**Proposition 1.8** (Conflict-free Set Equivalence). Let forest  $F$  be a forest and let  $X \subseteq V \times V$  be a conflict-free set in  $F$  where  $|X| = n$ . Then defining the following forests:

$$F_0 := F$$

$$F_i := (V, E_{i-1} \cup \{(v_i, u_i)\}) \text{ for } (v_i, u_i) \in X \text{ and } 1 \leq i \leq n$$

$$G_0 := F$$

$$G_j := (V, E_{i-1} \cup \{(\rho_{G_{j-1}}(v_j), \rho_{G_{j-1}}(u_j))\}) \text{ for } (v_j, u_j) \in X \text{ and } 1 \leq j \leq n$$

Then  $F_n \cong G_n$ .

*Proof.* Let forest  $F$  be a forest,  $X \subseteq V \times V$  be a conflict-free set in  $F$ . We will show that  $F_n \cong G_n$ . We know that for some  $(v_i, u_i) \in X$  then  $v_i \neq y$  for all  $(y, w) \in X \setminus \{(v_i, u_i)\}$  since otherwise  $(V, X)$  would not be a forest and  $X$  would not be a conflict-free set in  $F$ . So all edge set unions will only give a root  $v_i$  a new parent  $u_i$  once. So  $\rho_{F_n}(v_i) = \rho_{F_n}(u_i)$  and  $\rho_{G_n}(u_i) = \rho_{G_n}(v_i)$  hence  $v_i$  remains in the same tree in both  $F_n$  and  $G_n$ . Since this holds for all  $(v_i, u_i) \in X$  it follows that all elements in  $V$  remains in the same tree in both  $F_n$  and  $G_n$ . Hence  $F_n \cong G_n$ .  $\square$

**Proposition 1.9** (Ordered Edges Implies Acyclicity). Let  $G = (V, E)$  be a directed graph where for all  $(v, u) \in E$  it holds that  $v < u$  for some strict total order  $(V, <)$ . Then  $G$  has no cycles.

*Proof.* Let  $G = (V, E)$  be a directed graph where for all  $(u, v) \in E$  it holds that  $u < v$  for some total order  $(V, <)$ . Let edges  $e_1, e_2, \dots, e_m \in E$  where  $m \geq 1$  and  $e_i = (v_{i-1}, v_i)$  for  $1 \leq i \leq m$  be some path in  $G$ . Since the edges are ordered it follows that:

$$v_0 < v_1 < v_2 < \dots < v_{m-1} < v_m$$

Hence by transitivity of the total order it follows that  $v_0 < v_m$ . So  $v_0 \neq v_m$  hence there are no cycles in  $G$ .  $\square$

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**Proposition 1.10** (Inverted Acyclic Graph is Acyclic). Let  $G = (V, E)$  be a directed acyclic graph. Then the inverted graph  $G' = (V, E')$  where  $E' = \{(u, v) : (v, u) \in E\}$  is also acyclic.

*Proof.* Let  $G = (V, E)$  be a directed acyclic graph and  $G' = (V, E')$  where  $E' = \{(u, v) : (v, u) \in E\}$  is the inverted graph. Let edges  $e_1, e_2, \dots, e_m \in E'$  where  $m \geq 1$  and  $e_i = (v_{i-1}, v_i)$  for  $1 \leq i \leq m$  be some path in  $G'$ . By definition of  $E'$  it follows that there exists edges  $e'_1, e'_2, \dots, e'_m \in E$  where  $e'_i = (v_i, v_{i-1})$  for  $1 \leq i \leq m$ . If there was a cycle in  $G'$  then it would hold that  $v_0 = v_m$ . But since  $G$  is acyclic it follows that  $v_0 \neq v_m$ . Hence there are no cycles in  $G'$ .  $\square$

**Algorithm 1.1** (Left Maximal Union). Let forest  $F = (V, E)$  be a forest and let  $Z \subseteq \{(\rho_F(v), \rho_F(u)) : (v, u) \in V \times V\}$  be a set of root pairs  $F$  and  $(V, Z)$  is an acyclic directed graph. The left maximal conflict-free set algorithm is defined as:

*LeftMaximalUnion*( $F, Z$ )

1.  $(V, E) \leftarrow F$
2. Let  $X \subseteq Z$  where  $\{v : (v, u) \in X\} = \{v : (v, u) \in Z\}$   
and  $|X| = |\{v : (v, u) \in Z\}|$
3.  $E \leftarrow E \cup X$
4. **return**  $((V, E), Z \setminus X)$

**Proposition 1.11** (Left Maximal Union Correctness). Let forest  $F = (V, E)$  be a forest and let  $Z \subseteq \{(\rho_F(v), \rho_F(u)) : (v, u) \in V \times V\}$  be a set of root pairs  $F$  and  $(V, Z)$  is an acyclic directed graph. Then the left maximal conflict-free set algorithm returns a forest  $F' = (V, E')$  and a conflict-free set  $X \subseteq Z$  in  $F$ .

*Proof.* Let forest  $F = (V, E)$  be a forest and let  $Z \subseteq \{(\rho_F(v), \rho_F(u)) : (v, u) \in V \times V\}$  be a set of root pairs  $F$  and  $(V, Z)$  is an acyclic directed graph. Since  $X$  is defined such that  $\{v : (v, u) \in X\} = \{v : (v, u) \in Z\}$  and  $|X| = |\{v : (v, u) \in Z\}|$  it follows that  $X$  is a conflict-free set in  $F$  since no vertex  $v$  appears more than once as a child in  $X$  i.e.  $(V, X)$  is a forest. By adding the edges in  $X$  to  $E$  it follows by proposition 1.7 that  $F' = (V, E')$  is a forest where  $E' = E \cup X$ .  $\square$

**Proposition 1.12** (Left Maximal Union Time Complexity). Let forest  $F = (V, E)$  be a forest and let  $Z \subseteq \{(\rho_F(v), \rho_F(u)) : (v, u) \in V \times V\}$  be a set of root pairs  $F$  and  $(V, Z)$  is an acyclic directed graph. Then the left maximal conflict-free set algorithm runs in  $O(|Z|)$  work and  $O(\log |Z|)$  depth.

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*Proof.* For step 1. it takes  $O(1)$  work and  $O(1)$  if we assume that  $E$  is only used once in this function. Step 2. can be implemented by a parallel sort on the first element of each pair in  $Z$  followed by a parallel filter that selects the first occurrence of each unique first element. This takes  $O(|Z|)$  work using radix sort with a fixed key length and  $O(\log |Z|)$  depth. Step 3. takes  $O(|X|)$  work and  $O(1)$  depth to add the edges in  $X$  to  $E$ . Step 4. takes  $O(|Z|)$  work and  $O(\log |Z|)$  depth to compute the set difference  $Z \setminus X$  by a filter. Hence the total work is  $O(|Z|)$  and the total depth is  $O(\log |Z|)$ . Hence the left maximal conflict-free set algorithm runs in  $O(|Z|)$  work and  $O(\log |Z|)$  depth.  $\square$

**Proposition 1.13** (Acyclic Directed Graph Presevation). Let  $G = (V, E)$  be a directed acyclic graph and let  $F = (V, X)$  be a forest where  $X \subseteq E$ . Then the directed graph  $G' = (V, E')$  where  $E' = \{(\rho_F(v), \rho_F(u)) : (v, u) \in E \setminus X \wedge \rho_F(v) \neq \rho_F(u)\}$  is also acyclic.

*Proof.* Let  $G = (V, E)$  be a directed acyclic graph and let  $F = (V, X)$  be a forest where  $X \subseteq E$ . Let  $q$  be a path  $e_1, e_2, \dots, e_m \in E$  where  $m \geq 1$  and  $e_i = (v_{i-1}, v_i)$  for  $1 \leq i \leq m$  be some path in  $G$ .

- If all edges  $e_i \notin X$  then the path  $q$  exists in  $G'$  and does not form a cycle since  $G$  is acyclic.
- If  $q$  has some subpath  $e_j, e_{j+1}, \dots, e_k \in X$  for  $1 \leq j < k \leq m$  where  $(v_{j-1}, v_j) \in X$  then  $\rho_F(v_{j-1}) = \rho_F(v_j)$  so it will not be in  $E \setminus X$ . Let  $(v, u) \in E$  where  $u = v_{j-1}$  or  $u = v_j$  then  $\rho_F(u) = v_k$  so the edge in  $G'$  will be  $(\rho_F(v), v_k)$ .
  - If  $v \in \{w : (w, u) \in X\}$  then  $\rho_F(v) = v_k$  so the edge is  $(v_k, v_k)$  which is a trivial cycle and since  $\rho_F(v) \neq \rho_F(u)$  it will not be in  $E'$ .
  - If  $v \notin \{w : (w, u) \in X\}$  then  $\rho_F(v) \neq v_k$  and  $(\rho_F(v), v_k)$  will be an edge in  $G'$ . And since the path  $v \rightsquigarrow v_k$  in  $G$  does not form a cycle it follows that single edge from  $(\rho_F(v), v_k) \in E'$  does not form a cycle either.

$\square$

**Algorithm 1.2** (Parallel Tree Union). Let forest  $F = (V, E)$  be a tree and let  $A \subseteq V \times V$  be a set of pairs of elements in  $V$  that will be unioned in

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parallel. The parallel tree union algorithm is defined as:

*ParallelTreeUnion*( $F, A$ )

1.  $Z_p \leftarrow \{(\rho_F(v), \rho_F(u)) : (v, u) \in A \wedge \rho_F(v) \neq \rho_F(u)\}$
2.  $Z_o \leftarrow \{(\min\{v, u\}, \max\{v, u\}) : (v, u) \in Z_p\}$
3.  $(F_1, Z_1) \leftarrow \text{LeftMaximalUnion}(F, Z_o)$
4.  $Z_q \leftarrow \{(\rho_{F_1}(v), \rho_{F_1}(u)) : (v, u) \in Z_1 \wedge \rho_{F_1}(v) \neq \rho_{F_1}(u)\}$
5.  $Z_s \leftarrow \{(u, v) : (v, u) \in Z_q\}$
6.  $(F_2, Z_2) \leftarrow \text{LeftMaximalUnion}(F_1, Z_s)$
7. **return**  $F_2$

**Proposition 1.14** (Parallel Tree Union Correctness). Let forest  $F = (V, E)$  be a tree and let  $A \subseteq V \times V$  be a set of pairs of elements in  $V$  that will be unioned in parallel. Then the parallel tree union algorithm returns a forest  $F' = (V, E')$  where for all  $(v, u) \in A$  it holds that  $v \sim_{F'} u$ .

*Proof.* Let forest  $F = (V, E)$  be a tree and let  $A \subseteq V \times V$  be a set of pairs. At step 1. by definition of  $Z_p$  it holds that for all  $(v, u) \in A$  then  $(\rho_F(v), \rho_F(u)) \in Z_p$  where  $\rho_F(v) \neq \rho_F(u)$ . In step 2. by proposition 1.9 it follows that  $(V, Z_o)$  is an acyclic directed graph since for all  $(v, u) \in Z_o$  it holds that  $v < u$ . So in step 3. left maximal union can be performed and by proposition 1.11 it follows that  $F_1$  is a forest and  $Z_1$  is the remaining elements in  $Z_o$  that was not added to  $F_1$ . In step 4. by proposition 1.13 it follows that  $(V, Z_q)$  is an acyclic directed graph. In step 5. by proposition 1.10 it follows that  $(V, Z_s)$  is also an acyclic directed graph. So in step 6. left maximal union can be performed again. Hence  $F_2$  is a forest being returned in step 7. and it fulfills that it has unioned some of the pairs in  $A$ .

It now remains to show that for all  $(v, u) \in A$  where  $\rho_F(v) \neq \rho_F(u)$  that every pair is unioned in  $F_2$ .

- If  $(\rho_F(v), \rho_F(u))$  was added to  $F_1$  in step 3. then by definition of left maximal union all  $\rho_F(v) \in \{w : (w, y) \in A\}$  will be given some parent  $w \in V$  and since  $F_2$  is formed by adding more edges to  $F_1$  it follows that  $v$  has the same parent in  $F_2$  as in  $F_1$ .
- If  $(\rho_F(v), \rho_F(u))$  was not added to  $F_1$  in step 3. then it is in  $Z_1$ . In step 6. we have the edge has become  $(\rho_{F_1}(\rho_F(u)), \rho_{F_1}(\rho_F(v))) \in Z_s$  where  $\rho_{F_1}(\rho_F(u)) \neq \rho_{F_1}(\rho_F(v))$ . If  $u \notin \{w : (w, y) \in A\}$  then  $\rho_{F_1}(\rho_F(u)) = \rho_F(u)$  since it has not been given a parent in step 3. So remaining  $u \in \{y : (w, y) \in A\}$  will be given a parent in step 6.



---

Hence  $\{w : (w, y) \in A\} \cup \{y : (w, y) \in A\}$  covers all elements to be unioned in  $A$  and since all these elements are given some parent in either step 3. or step 6. if they do not introduce cycles, it follows that for all  $(v, u) \in A$  it holds that  $v \sim_{F'} u$  in  $F' = F_2$ .  $\square$

**Proposition 1.15** (Parallel Tree Union Time Complexity). Let forest  $F = (V, E)$  be a tree and let  $A \subseteq V \times V$  be a set of pairs of elements in  $V$  that will be unioned in parallel. Then the parallel tree union algorithm runs in  $O(|A|^2)$  work and  $O(|V|)$  span.

*Proof.* Step 2 and 4 are upperbounded by  $O(|A|)$  work and  $O(\log |A|)$  depth by proposition 1.12. Furthermore, step 1 and 5 can be implemented in  $O(|A|)$  work and  $O(1)$  depth by a parallel map. The limiting factor is step 3 and 6 where if we imagine we have a forest  $(V, E)$  where  $V = \{v_1, \dots, v_n, u\}$  and  $E = \{(v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n)\}$  and  $A = \{(u, v_1), (u, v_2), \dots, (u, v_n)\}$ . Then the total find operation will be  $2 \sum_{k=1}^{|A|} k = O(|A|^2)$  work since the tree is a linear chain and there are  $n$  union operations to be performed. Hence the total work is  $O(|A|^2)$  and the total depth is  $O(|V|)$  since the longest find operation takes  $O(|V|)$  depth in the worst case.  $\square$

## 1.4 Union by Size and Rank

## 1.5 Parallel Union-Find Improvements

# 2 Implementation

## 2.1 Interface

## 2.2 Union-find

```

1 type unionfind [n] = {parents: [n] handle}
1 def create (n: i64) : (*unionfind [n], [n] handle) =
2   let hs = iota n
3   in ({parents = rep none}, hs)
1 def find_by_vector [n] [u] (parents: *[n] handle) (hs: [
  u] handle) : (*[n] handle, [u] handle) =
2   let ps =
3     map (\h ->
4       loop h
5       while parents[h] != none do
6         parents[h])

```

---

```

7         hs
8     in (parents, ps)
9
10 def find [n] [u] ({parents}: *unionfind [n]) (hs: [u]
    handle) : (*unionfind [n], [u] handle) =
11     let (new_parents, ps) = find_by_vector parents hs
12     in ({parents = new_parents}, ps)
1
1 def normalize_step [m] [n] (is: [m] handle) (parents: *[
    n] handle) (ps: [m] handle) : (*[n] handle, [m] handle)
    =
2     let f h =
3         if parents[h] == none
4         then h
5         else if parents[parents[h]] == none
6         then parents[h]
7         else parents[parents[h]]
8     let ps' = map f ps
9     let new_parents = scatter parents is ps'
10    in (new_parents, ps')
11
12 def normalize [m] [n] (parents: *[n] handle) (is: [m]
    handle) : *[n] handle =
13     let ps = is
14     let (parents, _) =
15         loop (parents, ps)
16     for _i < 64 - i64.clz m do
17         normalize_step is parents ps
18     in parents
1
1 def find_eqs_root [n] [u] (parents: *[n] handle) (eqs: [
    u](handle, handle)) : ( *[n] handle, [u](handle,
    handle)) =
2     let eqs_elems = unzip eqs |> uncurry (++)
3     let (new_parents, new_eqs_elems) = find_by_vector
        parents eqs_elems
4     let eqs = split new_eqs_elems |> uncurry zip
5     in (new_parents, eqs)
1
1 def left_maximal_union [n] [u] (parents: *[n] handle) (
    eqs: [u](handle, handle)) : ?[m].(*[n] handle, [m](
    handle, handle)) =

```

---

```

2   let (l, r) = unzip eqs
3   let parents = reduce_by_index parents i64.min none l
      r
4   let (eqs, done) =
5     copy (partition (\(i, p) -> parents[i] != p) eqs)
6   let parents = normalize parents (map (.0) done)
7   in (parents, eqs)
1  def union [n] [u] ({parents}: *unionfind [n]) (eqs: [u
    ](handle, handle)) : *unionfind [n] =
2    let (parents, eqs) = find_eqs_root parents eqs
3    let eqs = map (\(a, b) -> if a < b then (a, b) else (
        b, a)) eqs
4    let eqs = filter (\(a, b) -> a != b) eqs
5    let (parents, eqs) = left_maximal_union parents eqs
6    let (parents, eqs) = find_eqs_root parents eqs
7    let eqs = map swap eqs |> filter (\(a, b) -> a != b)
8    let (parents, _) = left_maximal_union parents eqs
9    in {parents}

```

## 2.3 Union by Rank

## 2.4 Union by Size