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# **ORIGINAL ARTICLE**

# Color image retrieval using statistical model and radial basis function neural network

# K. Seetharaman, S. Sathiamoorthy \*

Department of Computer Science and Engineering, Annamalai University, Annamalai Nagar 608 002, Tamil Nadu, India

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## KEYWORDS

Full range auto regressive model; Radial basis function neural network; Color autocorrelogram; Edge histogram descriptor; Micro-texture **Abstract** This paper proposes a new and effective framework for color image retrieval based on Full Range Autoregressive Model (FRAR). Bayesian approach (BA) is used to estimate the parameters of the FRAR model. The color autocorrelogram, a new version of edge histogram descriptor (EHD) and micro-texture (MT) features are extracted using a common framework based on the FRAR model with BA. The extracted features are combined to form a feature vector, which is normalized and stored in image feature vector database. The feature vector database is categorized according to the nature of the images using the radial basis function neural network (RBFNN) and *k*-means clustering algorithm. The proposed system adopted Manhattan distance measure of order one to measure the similarity between the query and target images in the categorized and indexed feature vector database. The query refinement approach of short-term learning based relevance feedback mechanism is adopted to reduce the semantic gap. The experimental results, based on precision and recall method are reported. It demonstrates the performance of the improved EHD, effectiveness and efficiency achieved by the proposed framework.

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#### 1. Introduction

Rapid development in the multimedia and its related fields drastically increases the size of the image repositories in diverse fields such as medicine, media, commerce, engineering, and

<sup>\*</sup> Corresponding author. Tel.: +91 (0) 9994029213.
E-mail address: ks\_sathia@yahoo.com (S. Sathiamoorthy).
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entertainment. Simultaneously, the significant increase in the use of images for training, education and research in diverse fields greatly demands for effective and efficient system for storing and retrieving the images in/from the huge repositories, which is very difficult and most challenging task than ever before for a research community.

The traditional image retrieval techniques rely on manually annotated textual keywords [1]. With the large-scale image repositories, the results of textual keyword based retrieval systems are not completely reliable due to the usage of limited number of textual keywords, being subjective, laborious, time-consuming and tedious. The content based image retrieval (CBIR) system overcomes the shortcomings of textual keyword based image retrieval systems and it is an effective and

efficient solution to deal with the large-scale image repositories. Thus, many effective CBIR systems have been presented in the literature [2–10] since 1990s with varying degree of reliability, capability and automation. The images in the CBIR systems are represented by a set of visual contents such as color, texture, spatial, and shape.

Since, color is one of the most prominent perceptual features of an image, several approaches such as color histogram, color moments, color correlogram, color autocorrelogram and MPEG-7 based scalable color descriptor (SCD), dominant color descriptor (DCD), color layout descriptor (CLD) and color structure descriptor (CSD) have been used in the literature [5,11] to represent the color information of an image.

Texture feature explores the structural arrangement of surfaces and its relationship in the images. Hence, many researchers [5,11–14] conducted studies on texture features extracted using the Markov random field, Hidden Markov random field, Autoregressive, Multiresolution Gaussian Autoregressive, Gibbs field, Homogeneous texture descriptor (HTD), BDIP and BVLC, wavelet transform based techniques and so on.

Shape features also provide potential information of an image. Many research efforts have been taken to describe the shape features [15–19] based on region and edge information. However, region based approach may not be easy and reliable for a diverse collection of images due to the unavailability of fully automated generalized approach [20]. Hence, most of the researchers employed the edge based techniques [21–25] for CBIR system.

In the same line, many researchers performed semantic based image retrieval [26–29]. But, they are not able to establish a robust map between automatically annotated textual keywords and visual contents for a wide range of visual concepts. Hence, it affects the desired level of generalization and accuracy. Moreover, creating a dictionary of textual keywords is difficult due to the semantic gap problem and it also requires manual verification process, which is tedious and time consuming. Recently, a few numbers of studies have been conducted to construct composite feature descriptors [21,23,30], namely joint composite descriptor (JCD) and micro-structure descriptor (MSD).

JCD is a combination of color and edge directivity descriptor (CEDD) and fuzzy color and texture histogram (FCTH). The JCD describes color, texture and shape information. For color information, JCD uses 24-bin color histogram produced by the 24-bin fuzzy-linking system, for texture information it uses energy in high frequency bands of Haar wavelet transform and for shape information it exploits MPEG-7 based edge histogram descriptor (EHD). The MSD extracts and describes the color, texture and shape information using the edge orientation similarity with the underlying colors.

Although, a number of techniques have been developed by the researchers, the retrieval accuracy of the existing CBIR systems is still limited and unsatisfactory. Thus, there is a need of an increased attention for extracting compact and more balanced visual characteristics of an image. Moreover, most of the early studies extract various visual characteristics (color, texture, shape, etc.) of an image using various kinds of techniques, which is a cumbersome process due to the complementariness of techniques.

The main objective of the proposed work is developing an efficient and effective CBIR system, which extracts all kinds of visual features (color, texture, spatial and shape) of color

images in HSV color space using a framework based on Full Range Autoregressive (FRAR) model with Bayesian approach (BA) [12,13,31]. In the proposed system, the effectiveness of FRAR model with BA in capturing the edge and texture features of gray-scale images is successfully incorporated into color images in HSV color space and the same framework is used to extract the color features of an image. The extracted features are combined, normalized and stored in a separate feature vector database. The feature vectors in the feature vector database are indexed [32].

Artificial neural network techniques provide a potential solution for categorization task [33]. Recently, radial basis function neural network (RBFNN) [34] has attracted much attention due to its simple architecture, very efficient in learning, function approximation [35] and categorization tasks [36], and ability to escape from the local minima [37]. Hence, the present paper exploits the potential of RBFNN for image categorization. In RBFNN, the k-means algorithm [38] has been incorporated to determine the number of cluster centers. Correspondingly, several researchers reported that CBIR systems have been successfully applied the relevance feedback (RF) mechanism [39–41] to reduce the semantic gap and it considerably improves the retrieval performance of CBIR systems. Thus, this paper constructs a CBIR system with RF mechanism in short term learning. Manhattan distance measure [42,43] of order one is used to measure the similarity between the query and target images in the categorized and indexed feature vector space. Precision and recall method [42] is used to measure the performance of the proposed system.

The rest of the paper is organized as follows. The FRAR model is described in Section 2, while the feature extraction method is discussed in Section 3. Section 4 explains the RBFNN. The measure of similarity and performance is provided in Section 5. The proposed retrieval system is explained in Section 6. Section 7 provides experiments and results. Finally, conclusion is formulated in Section 8.

#### 2. FRAR model

Recent literature reports that a framework based on FRAR model [12,13,31] outperforms the existing methods in terms of capturing the edge and texture features of gray-scale images. In the proposed system, a framework based on FRAR model with BA is used for extracting color and its spatial information, shape information and micro-textures of color images in HSV color space.

Let X be a random variable that represents the intensity value of a pixel at location (k, l) in an image of size  $L \times L$ . The FRAR model is expressed in Eq. (1).

$$X(k,l) = \sum_{p=-M}^{M} \sum_{q=-M}^{M} \Gamma_r X(k+p,l+q) + \varepsilon(k,l)$$

$$p = q \neq 0$$
(1)

where  $\Gamma_r = \frac{K \sin(r\theta) \cos(r\varphi)}{g^r}$  and r = |p| + |q| + M(M-1)/2.

The initial assumption about the parameters are  $K \in R$ ;  $\alpha > 1$ ;  $\theta$ ,  $\phi \in [0, 2\pi]$  and  $n \in \{1,2,\ldots\}$ . In Eq. (1), X(k+p, l+q) is the spatial variation due to image properties and  $\varepsilon(k, l)$  is the spatial variation due to additive noise and FRAR model coefficients  $\Gamma_r$ , (r=1, 2) is the variation among the low-level primitives in the sub-image region of size  $M \times M$ ,

(M < L). The model coefficients are interrelated. The interrelationship is established through the model parameters K,  $\alpha$ ,  $\theta$ , and  $\phi$ , which are estimated using the BA [12,13,31].

#### 3. Feature extraction

#### 3.1. Color autocorrelogram

In the proposed system, color feature is extracted from the H and S components of an image. The H and S components of the images are uniformly quantized using the Generalized Lloyd algorithm [5]. The number of quantization level (L) is fixed to 8. The autocorrelation coefficients ( $\alpha_c^k$ ) are derived from the FRAR model coefficients  $\Gamma_r$  as follows:

$$(\alpha_c^1) = \frac{\Gamma_1}{1 - \Gamma_2};$$

$$(\alpha_c^2) = \frac{\Gamma_1^2 + \Gamma_1 - \Gamma_2^2}{1 - \Gamma_2} \text{ and }$$

$$(\alpha_c^3) = \frac{\Gamma_1(\Gamma_1^2 + 2\Gamma_1 - \Gamma_2^2)}{1 - \Gamma_2}$$

Similarly, the kth order autocorrelation coefficient can be obtained by solving the following equation using recurrence relation.

$$\alpha_c^k = \Gamma_1 \alpha_c^{k-1} + \Gamma_2 \alpha_c^{k-2}; \quad 1 \le k \le m; \quad c \in (1, 2, \dots, L)$$
 (2)

where m is the lag variable, k is the order of autocorrelation coefficient and c represents the color. In the proposed system, the color autocorrelogram, which captures the spatial correlation between the identical colors at a distance l is computed for H and S components of an image. Since, the smallest distance gave the most detailed local properties of the image, the proposed system fix it to 1.

#### 3.2. Micro-textures (MTs)

The V component of an image is divided into number of overlapping sub-image regions of size  $3 \times 3$ , to locally characterize the nature of the image. The FRAR model coefficients  $\Gamma_r$ , (r=1, 2) are computed for each sub-image region by using the FRAR model parameters K,  $\alpha$ ,  $\theta$  and  $\phi$ , which are estimated using the BA. The autocorrelation coefficient is computed for each sub-image region from its model coefficients  $\Gamma_r$ .

The MTs [12,13] that are below or above the threshold for humans to understand are identified in each sub-image regions by measuring the homogeneity of variances among the computed autocorrelation coefficients using a statistical test of hypotheses and it is given in Eq. (3).

$$\widetilde{D}_m = n \left[ 1 - \left| \widetilde{R}_m \right|^{1/m} \right] \tag{3}$$

where  $\widetilde{R}_m$  is the autocorrelation matrix built by using the standardized autocorrelation coefficients, n is the number of samples and m is the lag variable. The statistical test of hypotheses is based on the measure  $\pm \alpha(\sigma/\sqrt{n})$ , where  $\alpha$  is level of significance and  $\sigma$  is standard deviation. The autocorrelation coefficient is compared with the measure  $\pm \alpha(\sigma/\sqrt{n})$  to find the outcome of the test. If the autocorrelation is highly significant, then it is considered that there exist micro-textures in the subimage region, or else (autocorrelation coefficients that fall in-

side the confidence limit, at 80% level of significance), it is identified as untexturedness. The dimension of extracted MTs is 201 in [12,13], which is very high and it results in high computational and storage cost. Therefore, the proposed system clusters all the identified MTs into 32 categories using kmeans clustering algorithm and the center of each category/ cluster is used to represent the MTs of that category. A number of categories (i.e., 32) are determined empirically for our experimental image database without affecting the performance of the proposed system. Subsequently, the representatives (i.e., center) of all the categories are ordered in ascending manner and numbered from 0 to 31. These numbers represent the MTs and called texnum. Then, the number of MTs in each category is computed and called texspectrum. The histogram of texnum vs. texspectrum is formed to represent the different types of MTs in an image. Fig. 1 depicts the example of proposed MTs.

#### 3.3. Improved EHD

The MPEG-7's EHD [21–25] represents the edge features based on their orientations and it is constructed using the edges detected by the Sobel operator, which is sensitive to noise and failed to detect very minute and fine edges. Thus, the proposed system extracts very minute and fine edges using a framework based on FRAR model with BA [31], which outperforms the existing methods such as Canny, Sobel and Prewitt operators, and also insensitive to noise due to the basic properties of FRAR model.

The FRAR model in Eq. (1) is applied on V component image to estimate its image surface. Then, the difference between the V component image and estimated surface is computed and the resultant image is called residual images. The global confidence limit is measured for each sub-image region  $(3 \times 3)$  of residual image with a desired significance level. The global confidence limit is calculated with the use of global mean and standard deviation. If the pixel value is greater than or equal to the global confidence limit then it is squared; otherwise the pixel value is replaced with zero. The value other than 0 represents the edges and 0 represents non-edge part in the image. This extracts thick edges. To suppress the pixels around the edge pixel and to obtain thin edges, the non-maxima suppression algorithm is applied with the use of local confidence limit. The local confidence limit is calculated with the use of local mean and standard deviation of thick edge map. Each value in the thick edge map is compared with the confidence limit. If the value in the thick edge map is greater than the confidence limit then it is identified as edge pixel and is represented with the actual pixel value. Otherwise it is identified as nonedge pixel and is represented as 0. After extracting the edge pixels for the entire image, the orientation of each edge pixel is computed. Then, the orientations of edge pixels are quantized into 72 bins of 5 degree each, which is used to form an improved EHD.

## 4. RBFNN

The basic architecture of RBFNN is depicted in Fig. 2. The RBFNN is a three-layer feed-forward neural network, its input layer is connected with the hidden layer without weights and hidden layer is connected with the output layer with weights.

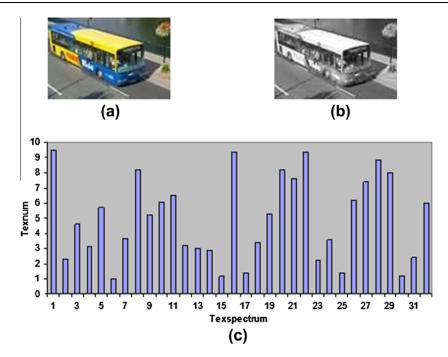


Figure 1 (a) Input image in RGB format, (b) V component image (input image is converted into HSV format then H, S and V components of image are segregated), and (c) proposed MTs of V component image.

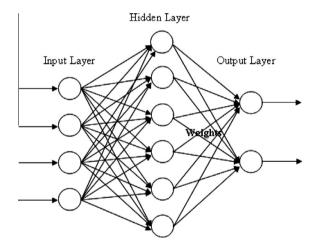


Figure 2 The basic architecture of RBFNN.

The number of hidden neurons in the hidden layer is determined by the k-means clustering algorithm. Each hidden neuron in the hidden layer represents the Gaussian activation function and it computes the distance between the input vector pattern and centroids. For a given n dimensional input vector  $I = [I_i] \in \Re^n$ , the output vector  $O = [O_j] \in \Re^p$  is calculated as in Eq. (4).

$$O_j = b_0 + \sum_{i=1}^h w_{ji} h\left(\exp\left(-\frac{\|I - c_i\|}{2\sigma_i^2}\right)\right)$$

$$\tag{4}$$

 $b_0$  is the bias term and  $w_{ij}$  is the weight of the hidden neuron i to output neuron j.  $\exp\left(-\frac{\|\mathcal{I}-c_i\|}{2\sigma_i^2}\right)$  is the Gaussian activation function.  $c_i$  and  $\sigma_i$  is the centroid and spread of the ith hidden neuron respectively. The weights  $w_{ji}$  represent the relative importance of the Gaussian activation functions in response to an external input.

The better values of centroids and the spread are set by trial and error techniques. The distance between the centroid and input vector pattern in n dimensional space is measured by the most commonly used Euclidean norm and is given by

$$||I - c_j|| = \sqrt{(I_1 - c_j)^2 + (I_2 - c_j)^2 + \dots + (I_n - c_j)^2}$$
 (5)

where  $||\cdot||$  is the norm of  $(I - c_i)$ .

The output of the Gaussian activation function is high, when the input is close to the centroid and it decreases rapidly to zero as the input's distance from the centroid increases.

## 5. Similarity and performance measure

Similarity measure plays a noteworthy role in CBIR systems by computing the distance between feature vector of the query and target images. Literature reports that CBIR systems use similarity measures from the computational geometry, statistics and information theory. In the proposed system, the most frequently used geometrical similarity measure,  $L_1$  is used, which is a special case of the Manhattan metric. The  $L_1$  similarity measure is more robust to outliers, performs better than  $L_2$  and it saves much computational cost. The  $L_1$  distance measure between the query and target images is expressed as

$$D(Q,T) = \sum_{i=1}^{n} (Q_i - T_i)$$
(6)

where  $Q_i$  is a query image feature vector,  $T_i$  is a target image feature vector in the feature database and n is the size of the feature vector.

The performance of the proposed CBIR system is measured in three aspects namely, efficiency, effectiveness and computational complexity. Efficiency is associated with the storage requirements. The effectiveness of a system is related to the retrieval accuracy of the system and is measured using the most widely used precision (percentage of retrieved images that are also relevant) and recall (percentage of relevant images that are retrieved) methods and is written as

$$Precision = \frac{R_i}{T_i} \tag{7}$$

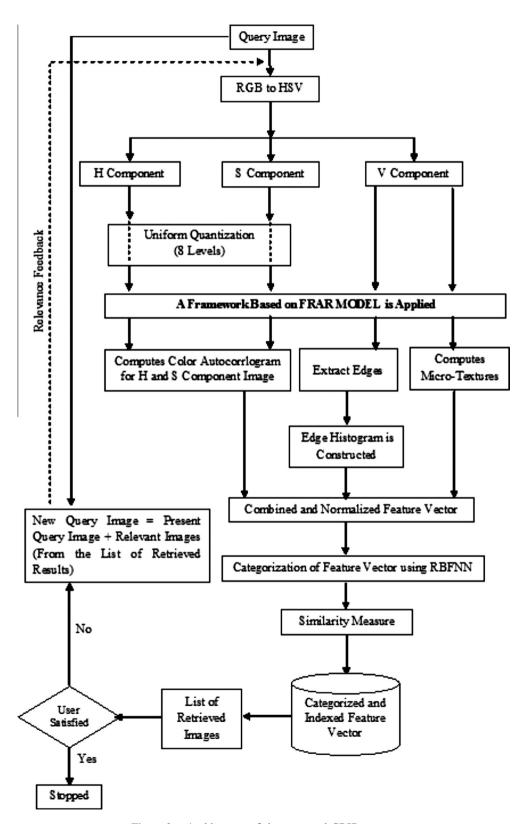


Figure 3 Architecture of the proposed CBIR system.

$$Recall = \frac{R_i}{T} \tag{8}$$

where  $R_i$  is the number of relevant retrieved images,  $T_i$  is the number of all retrieved images and T is the total number of relevant images in the image database. The effectiveness of the proposed system is also measured in terms of average recognition rate (AVR), which is defined as the percentage of retrieved images in top matches, which belongs to the same class as a query image.

### 6. Proposed image retrieval method

The architecture and various components of the proposed CBIR system are depicted in Fig. 3. In the proposed system, the color images in RGB color format are converted into HSV color format [44,45], where H (Hue) and S (Saturation) components are related to chromatic information and V component contains achromatic information. The color autocorrelogram is computed for H and S components of an image using

a framework based on FRAR model with BA. The MTs are extracted from the V component image as described in Section 3.2.

The retrieval performance of conventional EHD is improved in this paper by extracting the very minute and fine edges using a framework based on FRAR model with BA [31]. In the proposed system, V component image is used for edge extraction. After edge detection, orientation of each edge is computed and they are quantized into 72 bins of 5 degrees each, which is used to form an improved EHD.

The proposed system combines the automatically extracted features into one feature vector to represent each image in the experimental database. The dimension of the combined feature vector (color – 16 (H component: 8 and S component: 8), shape – 72, and texture – 32) is 120. The input feature vector is normalized to reduce the effect of outliers in the data and to obtain the same range of values for each proposed feature.

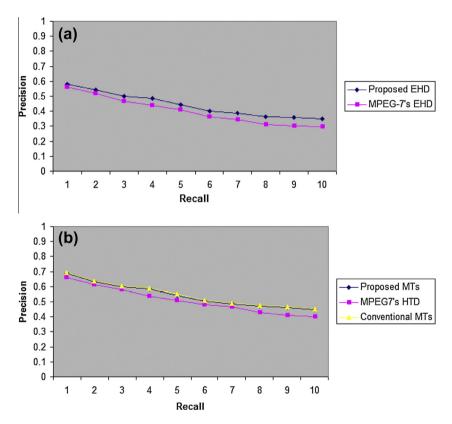


Figure 4 Sample images taken from the experimental database.

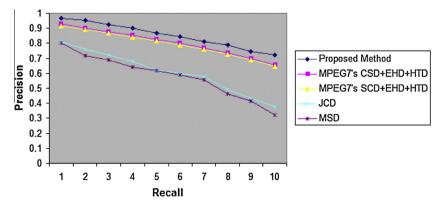
The normalized feature vectors are classified using RBFNN. In order to train the RBFNN, the feature vectors in the feature vector database are arbitrarily divided into ten sets of approximately equal sizes to attain a ten fold cross validation. In each execution of the ten fold cross validation, nine image sub-classes are considered for training and one for testing. So that, every sub-class was used as test data once. The optimal number of hidden neurons and its spread are determined by using the ten fold cross validation and they are utilized for the final training of RBFNN. Categorization of feature vectors using the RBFNN significantly improves the performance of the proposed CBIR system by filtering out irrelevant categories of feature vectors. The

categorized feature vectors are stored in a separate feature vector database and then they are indexed to increase the speed of retrieval.

In the proposed system, the semantic gap [46] between the low-level visual features and high-level semantic concepts perceived by the users is reduced by adopting the query refinement approach of short-term learning based RF mechanism in which the user marks the retrieved images as relevant, highly relevant and irrelevant to the query image. If the user is not satisfactory with the result, the mean feature vector of the query image and the images that are marked as highly relevant is computed, and is used as a new query image in the next iteration for search.



**Figure 5** (a) Average precision verses recall of an improved EHD and MPEG-7's EHD; (b) average precision verses recall of the proposed MTs, MPEG-7's HTD descriptor and conventional MTs.



**Figure 6** Average precision vs. recall of the proposed feature vector, the various combination of MPEG-7's visual descriptors, JCD and MSD.

While query image is posed to the proposed system, it automatically extracts the features of query image using a framework based on FRAR model with BA and finds the category of a query image using the RBFNN and performs similarity matching task using the  $L_1$  distance measure in the corresponding category of indexed feature database to fetch the target images. The retrieved images are ranked based on the similarity scores. An image with lowest similarity score is assigned higher rank. The user interaction is allowed in the final stage of retrieval process to refine the search.

# 7. Experiments and results

In order to implement the proposed system, more than two thousand color images are collected from the image databases such as Freefoto database (http://Freefoto.com), Wang's database (http://wang.ist.psu.edu/docs/related.shtml), Corel DB, VisTex DB and UCID database (http://vision.cs.aston.ac.uk/datasets/UCID/ucid.html). For sample, some of them have been presented in Fig. 4.

A subset of 100 query images is selected at random from the experimental database to evaluate the performance of the proposed EHD and MTs. In the experiment, the proposed EHD and MTs are compared with the conventional EHD, MTs [12,13] and HTD. The comparative result is shown in Fig. 5. The average retrieval rate attained by the proposed EHD and MTs is 44.14% and 55.36% and the conventional EHD, MTs and HTD are 40.12%, 55.91% and 52.07% respectively. The experimental results clearly reveal that the retrieval performance of the proposed EHD and MTs is superior to

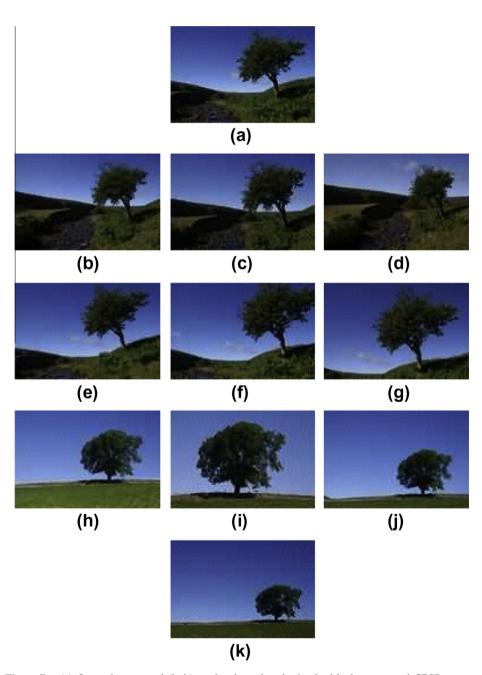


Figure 7 (a) Query image; and (b-k) retrieval results obtained with the proposed CBIR system.

Table 1         Feature vector dimension and the retrieval time of the various retrieval methods.		
Method	Dimension	Retrieval time in seconds
MPEG-7's (CSD + EHD + HTD)	174 (32 + 80 + 62)	2.98
MPEG-7's ( $SCD + EHD + HTD$ )	174(32 + 80 + 62)	2.92
JCD	168	2.67
MSD	72	1.69
Proposed (autocorrelogram + improved EHD + compact MTs)	120 (16 + 72 + 32)	1.94

MPEG-7's EHD and HTD respectively. The results also reveal that the retrieval performance of conventional MTs is slightly better than the proposed MTs and the difference in the retrieval performance is 0.55%, which is very less. Hence, it is neglected in the proposed system to circumvent the high computational and storage cost of conventional MTs. Subsequently, we compare the performance of the proposed feature vector with the combinations of MPEG-7's CSD, EHD and HTD; MPEG-7's SCD, EHD and HTD; JCD and MSD. It is observed from the experimental results that the retrieval performance of the proposed technique is better and the average retrieval rate is 84.97% for the proposed technique 80.42% 79.40%, 59.21% and 57.16% for the combination of MPEG-7's CSD, EHD and HTD; MPEG-7's SCD, EHD and HTD; JCD and MSD respectively. Fig. 6 depicts the average precision and recall curves of the proposed technique and the aforementioned combination of MPEG-7's visual descriptors, JCD and MSD.

In order to measure the robustness of the proposed system against illumination, viewing changes, scaling and rotation, some of the images in the databases are replicated then few of them are rotated at different angles and the remaining replicated images are either scaled up or scaled down. The images taken in different viewing positions and lighting conditions are also considered in our experiments. We observed that the experimental results are more robust than the conventional methods with the scaling, rotation, illumination and viewing invariance. Fig. 7 depicts the query image and the retrieval result of the proposed system, where the retrieved images are ranked and displayed in descending order of the similarity scores from top left to bottom right.

The proposed work is implemented with the system configuration: Pentium® Dual core personal computer with 2.20 GHz processor; 2 GB RAM; Java. The dimension and computational complexity of various feature vectors used in our experiments are shown in Table 1. From Table 1, it is evident that the computational complexity of the proposed technique is cheaper than the various combinations of MPEG-7 based descriptors and JCD. It is also observed that though the performance of the proposed combination of feature vector is noticeably greater, the size and computational complexity of MSD is significantly less, which is negligible.

From the experiments, it is observed that the retrieval results are satisfactory and matches the human visual perception to some extent. It is also demonstrated that the proposed system maintains the balance between the dimensions of feature vectors, retrieval performance and computational complexity.

# 8. Conclusion

In this paper, a common framework based on FRAR model with BA is employed to extract color autocorrelogram, im-

proved EHD and micro-textures of an image, which are dominant, rich in information, high discriminative power, less computational cost and low storage space requirement. The improved EHD and compact MTs yield better results, when compared to that of MPEG-7's EHD, HTD and conventional MTs. The combination of proposed feature vector also outperforms the various combinations of MPEG-7's visual descriptors (CSD, EHD and HTD; and SCD, EHD and HTD) and compact composite descriptors such as JCD and MSD. The proposed system is very useful for retrieving the images more efficiently and effectively in various application domains such as medicine, engineering, education, and research. In future, the proposed work can be extended to incorporate other machine learning mechanisms for further improvement.

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