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# Using Assertions to Enhance the Correctness of Kmelia Components and their Assemblies

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#### Abstract

The Kmelia component model is an abstract formal component model based on services. It is dedicated to the specification and development of correct components. This work enriches the Kmelia language to allow the description of data, expressions and assertions when specifying components and services. The objective is to enable the use of assertions in Kmelia in order to support expressive service descriptions, to support client/supplier contracts with pre/post-conditions, and to enhance formal analysis of component-based systems. Assertions are used to perform analysis of services, component assemblies and service compositions. Additionally we enable the definition of virtual contexts for required services and the corresponding observable state space for the components which provide the services. We illustrate the work with the verification of consistency properties involving data at component and assembly levels.

Keywords: Component, Assembly, Datatype, Assertions, Property Verification

## 1 Introduction

In the design of service and component-based software systems, formal models are helpful to specify and document components and systems, to find components and services in libraries according to formal search requirements, to check various kind of properties (correctness, liveness, safety) for component certification, to refine models and generate executable components. Formal models are mandatory to build trusted components [24]. In [9], we proposed a formal component model, called Kmelia, where i) the services are more than simple operations; they includes contracts, communication interactions, dynamic evolution rules and composition, ii) the components are designed independently from their environment by setting assumptions, iii) the component assemblies are governed by strict service composability rules, iv) the composite components are governed by encapsulation and promotion policies.

The Kmelia model [9] is an abstract formal component model dedicated to the specification and development of correct components; abstract means that component and assemblies are independent from execution platforms. They can be implemented later in centralised or distributed execution platforms. The formal definition of concepts is necessary to express and to ensure the verification of system specification properties. One key feature of Kmelia is the central role of services. A service specification describes a behaviour that corresponds to some desired functionalities. Assembling two components consists in linking their required and provided services. Linking components by their services in assemblies establishes a possible bridge to Service Oriented Architectures.

In [9] we introduced the syntax and semantics for the core model and language. It has been incrementally enriched later. We focused on the dynamic aspects of composition: interaction compatibility in [9], on component protocols with service composition in [7]. Following this incremental approach, we consider in the current article an enrichment of the data and expressions in Kmelia and its impact on the language syntax, its semantics and the verification of properties. Our objective is twofold:

- Enable the definition of assertions (with invariant, pre/post conditions, and properties of services, components, and composites),
- Increase the expressiveness of the *action* statements so as to deal with real size case studies.

Assertions are useful i) to define contracts on services (with pre/post-conditions); contracts increase the confidence in assembly correctness (by constraining the pre/post-conditions of the involved services) and enable rich query expression when searching for a component in libraries, ii) to ensure the consistency of components with respect to the invariant. The actions implement a functional part of the services which should then be proved to be consistent with the contracts. Therefore the correctness verification aspects of the Kmelia model is enhanced via the use of assertions.

Motivations. Modelling real life systems requires to cover the static and dynamic aspects of components (structure, links, actions, interactions). We want to verify early the development step, whether assemblies are well-formed; it is important to cover structural, dynamic and functional aspects of systems to tackle various kind of applications. The state of the art shows that most of the abstract component models [4,15,28,14] enable various verifications of the interaction correctness but they lack expressiveness on the data types; they do not provide assertions and the related verification rules. As an example, in Wright the dynamic part based on CSP is well detailed (specification and verification) while the data part is less well dealt with [4]. In the proposal of [26] the data types are defined using algebraic specifications, which are convenient to use symbolic model checking of state transition systems but this proposal does not deal with contracts and assertions.

Contribution. In this work, we enrich the Kmelia model with data and assertions in order to cover the whole static and dynamic aspects and hence to deal with safe

<sup>&</sup>lt;sup>1</sup> Our contract definitions are related to results of works such as design-by-contracts [23].

services, consistent components and correct contract-based assemblies. First, the Kmelia language is enriched with data and assertions so as to cover in an homogeneous way structural, dynamic and functional correctness with respect to assertions. Second, we deal with state space visibility and access through different levels of nested components; in addition to service promotion we define variable promotions and the related access rules from component state in component compositions. Last, feasibility of proving component correctness using the assertions is introduced. We show how structural correctness is verified and how the associated properties are expressed with the new data language.

The article is structured as follows. Section 2 gives an overview of the Kmelia abstract model and introduces its new features. In Section 3 a working example is introduced to illustrate the use of data and assertions. The formal analysis is treated in Section 4; we present various analysis to be performed, the ones that are currently implemented and we focus on component consistency and on checking assembly links. Section 5 concludes the article and draws discussions and perspectives. This work is supported by the COSTO tool which is presented during the tool demo session of the conference; In the appendix A we give an extract of the Kmelia syntax concerning the new data part. An overview of COSTO is given in Appendix B.

## 2 The Kmelia Model and its new Features

The main concepts of Kmelia are: component, service, assembly and composition. A component is a container of services. A service is a complex entity: it has a state and a dynamic behaviour; a service may also declare required and provided subservices. The service behaviour defines the order in which the service performs its actions. Communication actions are primitives for synchronous interactions between services. In a component assembly, components are pairwise linked through services: a required service is *achieved* by the provided service it is linked to. A composite component encapsulates a component assembly.

In this section we revisit the Kmelia model of [9] which is augmented and restructured. In particular the following features are introduced:

- (i) a notion of observability similar to a read-only visibility in programming, which allows to make the state information of a provider component available to clients or composite components;
- (ii) a refined definition of required services allowing constraints on a *virtual state* space, i.e. assumptions on provider components which are not known when designing the services;
- (iii) a more flexible message naming rule; now two communicating services can use different service names, which is consistent with the independent design of providers and clients;
- (iv) state and message mappings in the assembly links to handle the correspondence between the provider components and the required service contexts.

These new features are related to service actions, assertions and contracts. We

designed a small but expressive *data language* to enable the description of datatypes, expressions and predicates (quick overview in appendix A).

In the following we use a mathematical toolkit inspired by the Z notation.  $X \leftrightarrow Y$  denotes the relations from X to Y ( $x \mapsto y$  denotes a pair (x,y) member of a relation);  $X_1 \uplus X_2$  denotes the disjoint union of sets;  $X \nrightarrow Y$  denotes the partial functions from X to Y;  $X \nleftrightarrow Y$  denotes the partial one-to-one functions from X to Y; id denotes the identity relation; when r is a relation  $(r: X \leftrightarrow Y)$ , dom(r) and ran(r) denote respectively the domain and the range of r;  $E \lhd r$  and  $r \rhd F$  denote respectively the domain and the range restrictions of a relation r where  $E \subseteq X$  and  $F \subseteq Y$ .

**Definition 2.1** A state space W defines a set of variables constrained by an invariant and an initialisation:  $W = \langle T, V, type, Inv, Init \rangle$  where T is a set of types, V a set of variables,  $type: V \to T$  the function that map variables to types, Inv an invariant defined on V and Init the initialisation of the variables of V.

The state space concept is used for both components and services. In the following  $\mathcal{N}$  is a finite set of *names* and Let  $\mathcal{M}$  is the set of **message** names with  $\mathcal{M} \subseteq \mathcal{N}$ .

## 2.1 Components

The component definition in [9] has been restructured: the set of actions  $\mathcal{A}$  is deferred to Kmelia expressions and the constraint  $\mathcal{C}_S$  is now achieved by service properties like the protocols of [7].

A component (type) C is a tuple  $\langle \mathcal{W}, \mathcal{I}, \mathcal{D}, \nu \rangle$  with:

- W the component the state space (see definition 2.1).
- \(\mathcal{I}\) ⊆ \(\mathcal{N}\) the component interface, partitioned in two disjoint finite sets \(\mathcal{I}\) = \(\mathcal{I}^P \opi \mathcal{I}^R\) where \(^P\) stands for provided and \(^R\) stands for required.
- D is the set of service descriptions, as detailed in Section 2.2. Like I, D is partitioned along the provided/required criteria: D = D<sup>P</sup> ⊎ D<sup>R</sup>.
- ν is a partial function which maps service names to service descriptions (ν: N >++>> D).

Listing 1: Component structure

The component interface must be consistent with the service description: (1) provided and required services have distinct names:  $\operatorname{dom}(\nu \rhd \mathcal{D}^P) \cap \operatorname{dom}(\nu \rhd \mathcal{D}^R) = \emptyset$  and (2) the services in the interface of the component are a subset of the services described in the component:  $\mathcal{I}^P \subseteq \operatorname{dom}(\nu \rhd \mathcal{D}^P) \wedge \mathcal{I}^R \subseteq \operatorname{dom}(\nu \rhd \mathcal{D}^R)$ .

Observability of the component state. To preserve the abstraction and encapsulation of components, the state of a component is accessed only through its

provided services. Nevertheless to understand the specification of a service (i.e. its contract) we might need to observe its context (a part of its component state space). Similarly a composite component needs to observe informations from its components. Thus, we distinguish the observable part  $V^O$  of the state variables  $(V^O \subset V)$ , the observable part  $(Inv^O)$  of the invariant  $(Inv^O)$  is defined on  $V^O$ ) and the pre/post-conditions are written accordingly (with the rules of Section 2.2).

#### 2.2 Services

The behaviour of a component relies on the behaviours of its services. A service describes a functionality and a behaviour using actions combined with a labelled transition system. A service is started when it is called (by a client service), it is then said to be *activated* and should evolve until it reaches its final state.

A service shares the state space of its component with other services of the same component. During its evolution a service s may call other services or communicate with them using messages. All the interacting services of s are defined in the interface of s. Due to dependencies and interactions between services, the actions of several activated services interleave or synchronise, but only one action of an activated service may be observed at a time.

Formally a service s of a component C is defined by a tuple  $\langle \sigma, \mathcal{I}S, Cont, \mathcal{W}^L, \mathcal{B} \rangle$  with:

- $\sigma = \langle s, param, ptype, Tres \rangle$  the service signature where s is the service name, param a set of parameters,  $ptype: param \to T$  the function mapping parameters to types and  $Tres \in T$  the service result type;
- $\mathcal{I}S$  the service interface as detailed below:
- $Cont = \langle Pre, Post \rangle$  the service contract where Pre is the pre-condition and Post the post-condition;
- $W^L$  the local state space (definition 2.1) which is used only in the service behaviour  $\mathcal{B}$ ;
- B the service behaviour; it is an extended labelled transition system (eLTS) as defined below.

Listing 2: Service structure

The service interface  $\mathcal{I}S$  is defined by a tuple  $\langle \mathcal{D}I, \mu, \mathcal{W}^V \rangle$  where

- $\mathcal{D}I$  is the *service dependency*; it is composed of the services which the current service depends on.  $\mathcal{D}I$  is made of four disjoint sets  $\langle sub, cal, req, int \rangle$  where  $sub \subseteq \text{dom}(\nu \rhd \mathcal{D}^P)$  (resp.  $cal \subseteq \text{dom}(\nu \rhd \mathcal{D}^R)$ ),  $req \subseteq \text{dom}(\nu \rhd \mathcal{D}^P)$ ,  $int \subseteq \text{dom}(\nu \rhd \mathcal{D}^P)$ ) contains the provided service names (resp. the ones required from the caller, from any component or from the component itself) in the scope of s.
- $\mu = \langle mname, mparam, mptype \rangle$  is a set of message signatures where  $mname \in \mathcal{M}$ , mparam and mptype are as in service signature;
- $W^V$  is a virtual state space according to definition 2.1;

The behaviour  $\mathcal{B}$  of a service s is a labelled transition system (LTS) extended by the use of nested states (via a state annotation function) and nested transitions (by specific labels). Therefore  $\mathcal{B}$  is an eLTS defined by a tuple  $\mathcal{B} = \langle S, L, \delta, \Phi, S_0, S_F \rangle$  with S the set of the states of s; L is the set of transition labels (possibly guarded combinations of actions [guard] action\*) and  $\delta$  is the transition function ( $\delta \in S \times L \to S$ );  $S_0$  is the initial state ( $S_0 \in S$ );  $S_F$  is the finite set of final states ( $S_F \subseteq S$ );  $\Phi$  is a state annotation function ( $\Phi \in S \to sub_s$ ). An action is now a Kmelia expression. An elementary action (an assignment for example) does not involve other services and does not use a communication channel. A communication action is either a service call/response or a message communication. The full details on defining and verifying services behaviour are provided in references [9,7].

The new following new features are consequences on services of the component observability. In particular a provided service can use an observable variable in its pre condition (e.g. a service addElement should not be called when the observable component variable isFull is evaluated as true). Consequently, a required service may define a virtual context to set assumptions on what should be a provider component.

Virtual state spaces. A required service of a component is an abstraction of a service provided by another component. Since that component is unknown when specifying the required service, it is necessary to "imagine" its state, which we call a virtual state space (namely  $W^V$ ). For a provided service this virtual context is always empty.

Observability vs. service assertions. Let s be a service of a component C. The distinction between observable and non-observable variables of the component state space is revisited  $^2$  according to the following tables. The first table indicates the accessible state spaces for a service.

Service	Variables		Invariant	
state space	Observable part	Non-observable part	Observable part	Non-observable part
Provided s	$V^O$	V	$Inv^O$	Inv
Required s	$V^V$	V	$Inv^V$	Inv

The second table indicates how service assertions are splitted in observable/non-observable parts and makes it precise which variables are accessible in each part.

<sup>&</sup>lt;sup>2</sup> it is not a partition here because of the supplementary variables in *param* and *result*.

Service	pre-condition		post-condition		
Assertions	Observable	Non-observable	Observable	Non-observable	
scope	$Pre^{O}$	$Pre^{NO}$	$Post^O$	$Post^{NO}$	
Provided s	$V^O \cup param$	none	$V^O \cup param \cup \{ \text{ result } \}$	$V \cup param \cup \{ \text{ result } \}$	
Required s	$V^V \cup param$	$V \cup param$	$V^V \cup param \cup \{ \text{ result } \}$	none	

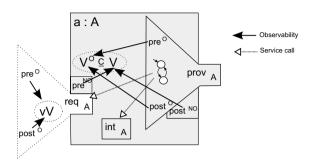


Fig. 1. State variables scope and assertion scope

Fig. 1 summarises the relations between state spaces, observability and service contracts. The box denotes a component a:A. The grey (resp. white) "funnel" denotes provided (resp. required) services. The observable pre/post-conditions of service  $prov_A$  (resp.  $req_A$ ) refer to the observable state  $V^O$  of a (resp. the virtual state  $V^V$  of  $req_A$ ). A non-observable pre-condition of a required service  $req_A$  gives call conditions on the (caller) component state variables V of a. The non-observable post-condition of a service  $prov_A$  locally refers to the whole state V of a and should establish the non-observable part of the invariant of a.

## 2.3 Assembly and composite

Components are composed through their references by **assembly links** in component assemblies or by **promotion links** in composite components. A link is an abstract communication channel which connects two distinct services. A sublink is a link defined in the context of another link according to the service dependency structure (cal and sub).

A component reference is one element of a component (type). A component reference is denoted by c:C where C is a component. The access to a state variable v of c is denoted c.v. By murdering the language we will use the term 'component' for both the type and the reference.

Let C be a set of component  $c_k : C_k$  with  $k \in 1..n$  and  $C_k = \langle W_k, \mathcal{I}_k, \mathcal{D}_k, \nu_k \rangle$ .

 $BaseLink \subseteq (\mathcal{C} \times \mathcal{N} \times \mathcal{C} \times \mathcal{N})$  is a set of quadruples such that :

- (1)  $\forall (c_i, n_1, c_j, n_2) : BaseLink \bullet n_1 \in \text{dom } \nu_i \land n_2 \in \text{dom } \nu_j$
- (2)  $\forall c_i : \mathcal{C}, \ n_1 : \operatorname{dom} \nu_i \bullet (c_i, n_1, c_i, n_1) \notin BaseLink$

 $SubLink: BaseLink \leftrightarrow BaseLink$ 

 $(3) \qquad \forall (l_1, l_2) \in SubLink \bullet (l_2, l_1) \notin SubLink^*$ 

where dom  $\nu_i$  is the set of service names of component  $C_i$  and  $SubLink^*$  is the transitive closure of the relation SubLink. (1) expresses that any basic link relates a

service name of a component to a service name of another component; (2) expresses that a service name cannot be linked to itself and (3) there is no circular dependency in the links.

## 2.3.1 Component assembly

An assembly is a set of components that are linked (horizontal composition) through their services. An assembly link associates a required service to a provided one. A communication channel is established between the interacting services when assembling components. A channel defines a context for the communication actions of the service behaviour. Since a behaviour is written without knowing the component with which it will communicate, one has to know at least the channel dedicated to the communication. A channel is usually named after the required service that represents the context. The placeholder keyword CALLER is a special channel that stands for the channel open for a service call. From the point of view of a provided service p, CALLER is the channel that is open when p is called. From the point of view of the service that calls p, this channel is named after one of its required service, which is probably named p. The placeholder keyword SELF is a special channel that stands for the channel open for an internal service call. In this case, the required service is also the provided service.

The new features are the mappings we have introduced in the links.

Context and message mappings in assembly links. The ultimate goal is to connect a required service defined in its virtual context to a provided service defined in its observable context (the observable state space of its component). The signatures matching and the dependency mapping (via sublinks) were introduced in [9]. Here we add a context mapping and a message mapping. The former is the consequence of the introduction of the virtual context concept. The virtual state space variables sr of a component cr: CR must be "instantiated" using the observable variables sp of a component cp: CP. The latter enables different message names since the required service communication actions are designed independently from the provided service communication actions. Currently, each message name of sr is mapped to a message name of sp. If more flexibility is needed e.g. parameters re-ordering, one can use adaptation mechanisms [6].

Fig. 2 extends Fig. 1 to assemblies and composite components. The boxes denote components (a, b) and composite (c). The conditions given with Fig. 1 are the basis to check the contracts supported by the assembly links and the promotion links. In particular the virtual state  $V^V$  of  $req_B$  should map with a subset V of a. Non-observable pre-conditions (resp. post-conditions) are meaningless for a provided service (resp. required service) because they prevent safe assembly and promotion contracts.

Formally an assembly-type A is a tuple  $\langle \mathcal{C}, alinks, subs, vmap, mmap \rangle$  where

• C is a set of component references  $c_k$  such that  $c_k : C_k$  with  $k \in 1..n$ ,

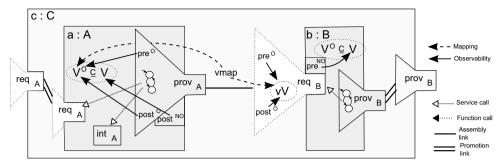


Fig. 2. State variables scope and assertion scope in assemblies

• alinks is a set of assembly links between services of C such that

```
\begin{array}{ll} alinks \subseteq BaseLink \land \\ (1) & (\forall (c_i, n_1, c_j, n_2) : alinks \bullet c_i \in \mathcal{C} \land c_j \in \mathcal{C} \land \\ (2) & ((n_1 \in \mathcal{I}_i^P \land n_2 \in \mathcal{I}_j^R) \lor (n_1 \in \mathcal{I}_i^R \land n_2 \in \mathcal{I}_j^P))) \end{array}
```

that is to say: the link components are those of the assembly (1); the linked services have a symmetric nature required-provided (2).

• subs denotes links included in other links:

```
subs \subseteq SubLink \land 
(3) \qquad (dom subs - ran subs) \subseteq alinks \land 
(4) \qquad (\forall ((c_i, n_1, c_j, n_2) \mapsto (c_k, n_3, c_l, n_4)) \in subs \bullet c_i = c_k \land c_j = c_l) \land 
(5) \qquad (\forall (c_i, n_1, c_j, n_2) : ran subs \bullet ((\nu_i(n_1) \in \mathcal{D}^P_i) \text{ xor } (\nu_j(n_2) \in \mathcal{D}^P_j)))
```

that is to say: Sublink depends on other links (3) of the same components (4); the required services are linked to the provided one (2),(5).

•  $vmap = \langle vmapVar, vmapExp \rangle$  is the context mapping function associated to links such that

```
vmapVar: BaseLink \leftrightarrow V
vmapExp: (BaseLink \times V) \leftrightarrow exp(V)
(6) \qquad dom \ vmap \subseteq (alinks \cup subs) \land dom \ vmapExp = vmap \land
(7) \qquad (\forall (c_i, n_1, c_j, n_2) : dom \ vmap ( (c_i, n_1, c_j, n_2) ) = V_{\nu(n2)}^V \land
(8) \qquad var(vmapExp(c_i, n_1, c_j, n_2)) \subseteq V_{C_i}^O)
```

where exp(V) denotes an expression over the variables of V and var(exp(V)) = V. These formula express that the links of the context mapping are those of the assembly (7). The mapped variables are those of the virtual state space variables of the required service  $n_2$ . The mapping expression is built using the observable variables of  $n_1$  (8).

• mmap is the message mapping function associated to links such that

```
mmap: BaseLink \leftrightarrow \mathcal{M} \times \mathcal{M}
(9) \quad dom \, mmap \subseteq (alinks \cup subs) \land (\forall (c_i, n_1, c_j, n_2) \in dom \, mmap \bullet
(10) \quad (\forall (m_1, m_2) \in mmap((c_i, n_1, c_j, n_2))) \bullet m_1 \in \mu_{s_1} \land m_2 \in \mu_{s_2}))
```

The links of the message mapping are those of the assembly (9). The mapped messages are those of the linked services  $s_1$  and  $s_2$  (10).

Listing 3: Assembly links

```
Assembly
Components
cp: CP;
cr: CR
Links // — assembly links—
@la: p-r cp. provServ cr. reqServ
context mapping
cr. var1 = expr(cp. varA, cp. varB...),
cr. var2 = expr(cp. varA, cp. varB...),
message mapping
provServ.msg1 = reqServ.msgA
provServ.msg2 = reqServ.msgB
sublinks: {lasub}
// — sublinks
@lasub: r-p cp. subReq cr. prov
...
End // assembly
```

As an illustration, let consider the assembly example of Listing 3. Two components cp:CP and cr:CR are assembled by an assembly link named @la; this link expresses that the required service reqServ of cr is *implemented* by the provided service provServ of cp. The p-r prefix denotes the link direction (from provided to required). The context mapping associates an expression built on the observable variables varA, varB... of the component cp to the var1,var2... variables of the virtual context of reqServ. The message mapping associates msg1 of provServ to msgA of reqServ. The sublinks must be consistent with the service dependencies. For example, if the provided service provServ requires the service subReq in its calrequires dependency, then a sublink must be associated to a service prov provided by the component cr or in the subprovides dependency of the service reqServ.

### 2.3.2 Composite

A composite is a component that encapsulates assemblies or other components. Some features (variables and services) of the nested sub-components can be promoted at the composite level. In a previous version [9], we defined promotion links to promote services with possible service renaming. Promotion is extended here to state variables promotion and it permits pre-condition weakening and post-condition strengthening with respect to the state variable promotion. We current apply the same observability schema for assembly clients or composite clients except that observable variables can be promoted at the composite level.

**State variables promotion.** An observable variable vo from a sub-component c:C can be promoted as a variable vp of a composite component (the syntax

for that is: vp FROM c.vo). The promoted variables retain their types and are accessed in their effective contexts using a service of the sub-component that owns the variables. This guarantees the encapsulation principle.

Formally a composite-type is a tuple  $CC = \langle C, A, plinks, pvars \rangle$  where

- $C = \langle \mathcal{W}, \mathcal{I}, \mathcal{D}, \nu \rangle$  is a component type as defined in Section 2.1. The default predefined composite component is SELF: C.
- $A = \langle \mathcal{C}, alinks, subs, vmap, mmap \rangle$  is an assembly definition as in Section 2.3.1.
- plinks is a set of promotion links between services of A which are unused in alinks:

```
plinks \subseteq BaseLink \land (\forall (c_i, n_1, c_i, n_2) : plinks \bullet
```

- $c_i \in \mathcal{C} \land c_i = SELF \land$
- (2)
- $((n_1 \in \mathcal{I}_j^R \land n_2 \in \text{dom } \nu^R) \lor (n_1 \in \mathcal{I}_j^P \land n_2 \in \text{dom } \nu^P)) \land (\forall c_k \in \mathcal{C}, n_3 \in \mathcal{I}_k \bullet (c_i, n_1, c_k, n_3) \notin alinks \land (c_k, n_3, c_i, n_1) \notin alinks) \land$
- $\nu(n_2) = rename(\nu(n_1), n_2)$ (4)

where dom  $\nu$  is the set of service names of the composite, rename(s,n) is a function that returns the service s renamed by n.

• pvars is the promotion mapping function such that

$$pvars: (\mathcal{C} \times V) \leftrightarrow V$$

$$(5) \qquad (\forall (c_i, vp) : \operatorname{dom} pvars \bullet vp \in V_{C_i}^O \wedge type(vp) = type(pvars(c_i, vp)))$$

A promotion link associates the SELF component to one of the assembly components (1). The promoted services keep their nature (provided or required) (2). The promoted services are not linked in the assemblies (3). The promoted service definition is the one of the sub-component up to a service renaming (4). The promoted variables are observable variables in their owner sub-component and have the same type in the composite (5).

The newly introduced features (data language, observability, virtual context) are expressive means to describe contracts. Section 3 illustrates them.

#### 3 A Working Example

In this section we illustrate the Kmelia language by specifying a simplified Stock Management system; the new features of Kmelia are also discussed. This system manages product references (catalog) and product storage (stock). The main service models a vending process. The system administrators have specific rights, they can add or remove references under some business rules such as: "a new reference was not in the catalog" or "a removable reference must have an empty stock level".

The system is designed as a reusable component StockSystem providing a (promoted) vending service and requiring an authorisation service. It encapsulates an assembly of two components: sm:StockManager and ve:Vendor as depicted in Fig. 3. The former is the core business component to manage product references and storage. The latter models a vendor user interface. With the vending service, a user may

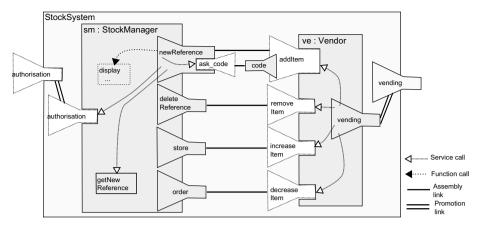


Fig. 3. Simplified Assembly of the Stock Case Study

add a new item in the stock management using the required service addltem. The required service addltem is fulfilled with the provided service newReference which gets a new reference and performs the update of the system if there is an available new reference (see Listing 5).

Links and sublinks are explicitly defined in the composite component, as detailed in Listing 7. The nested services in Fig. 3 represent the service dependency  $\mathcal{D}I$ . As example, the required service addltem provides a subservice named code. This will be detailed in the Listing 4. The different arrows represent various kinds of call: function call (with no side effects) or service call (according to the service dependency  $\mathcal{D}I$ ). The service newReference calls a display function (declared in the predefined Kmelia library), a service getNewReference internally required (from the same component), the service ask\_code required from its caller and a service authorisation which is externally required.

Data types in Kmelia. The data types are explicitly defined in a TYPES clause, in the shared libraries (predefined or user-defined). The following library (named Stocklib) declares some specific types, functions and constants. The data types in this part are rather concrete; more abstract data types are in the process to be included in the predefined library.

A Kmelia component and observable state. The Listing 4 shows an extract of the Kmelia specification of the StockManager component. The state of StockManager declares an *observable* variable catalog which will be available for a context mapping. Two arrays (plabels and pstock) are used to store the current references labels and available quantities. The invariant is a set of named predicates [obs] [@name]: <pred\_expr>, where labels in front of the assertion are (optional)

predicate names. The prefix obs means that the predicate belongs to  $Inv^O$ . As example **Oborned** states that the catalog has an upper bound; **Oreferenced** establishes that all references in the catalog have a label and a quantity; **Onotreferences** expresses that the unknown references have no label and no quantity.

Listing 4: Kmelia specification of StockManager State

A Kmelia service with its assertions. Listing 5 shows the specification of newReference, one of the service provided by StockManager. Its pre-condition expresses that the catalog does not reach its maximal size. The prefix obs means that the predicate QresultRange belongs to  $Post^O$ . The observable post-conditions state that we may have a result ranging in 1..maxRef or noReference; in the latter case the catalog remains unchanged. The non-observable post-condition (without the prefix obs) indicates how the non-observable state variables plabels and pstock would evolve.

Listing 5: Kmelia specification of the newReference Service

```
provided newReference () : Integer //Result = ProductId or noReference
Interface
calrequires : {ask code} \#required from the caller intrequires : {getNewReference}
obs size(catalog) < maxRef #the catalog is not full
Variables # local to the service
c: Integer; # c: input code given by the user
res: Reference;
 d : String; # product description
Initialization
 res := noQuantity;
Behavior
Init i # the initial state
Final f # a final state
//{eLTS see figure below}
Post
 obs QresultRange:((Result>=1 and Result<=maxRef) or (Result=noReference))
 obs @resultValue:(Result \Leftrightarrow noReference) implies (notln(old(catalog), Result)
and catalog = add(old(catalog), Result)),
obs @noresultValue:(Result = noReference) implies Unchanged{catalog}, @refAndQuantity: (Result ⇔ noReference) implies
```

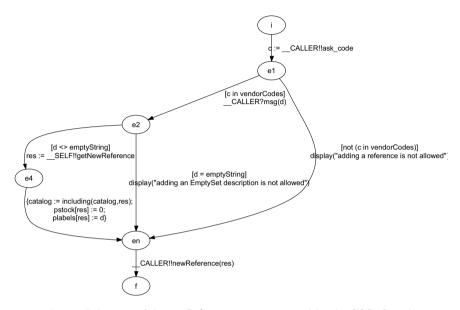


Fig. 4. Behaviour of the newReference service exported by the COSTO tool

The behaviour of the service newReference is not presented in its textual form but its graphical representation is given Fig. 4. It is is obtained by a dot translator of the COSTO tool (dedicated to the Kmelia model, Sec.4). Remind that a transition label is a guarded combination of actions. The communication actions are noted channel(comOp) message(param\*) where the communication operators comOp are send (!) or receive (?) a message, call (!!) or result(??) a service. The channel \_CALLER is used for the caller service, \_SELF is used for a service of the same component (internal call), \_rs stands for a required service. In Fig. 4, the behaviour of newReference consists to ask a vendor code if the returned code is referenced into the vendorCodes, then get a product description d and add it to the catalog. In any case the service returns the operation status to the caller.

Virtual state space of a required service. The Listing 6 shows the specification of the required service addltem of the component Vendor. A required service set assumptions on its provider by setting a virtual state space (page 6). In the Listing 6, the virtual variables of addltem represent the Vendor view of a catalog: it is only concerned by asking whether it is empty or full. The pre/post-condition are written accordingly.

Listing 6: Kmelia specification of addltem

```
required addItem () : Integer
|Interface
| subprovides : {code}
| Virtual Variables
| catalogFull : Boolean;
```

```
catalogEmpty: Boolean //possibly catalogSize
Virtual Invariant not(catalogEmpty and catalogFull)
Pre not catalogFull
//No LTS
Post (Result <> noReference) implies (not catalogEmpty)
End
```

In Listing 7 we describe the composite StockSystem, it is an extract of the textual representation of Fig. 3. The composite StockSystem is defined with an assembly which includes the sub-components sm:StockManager and ve:Vendor. The assembly links and sublinks connect the sub-component services. The promotion links set the services vending and authorisation at the composite level.

Listing 7: Kmelia specification of StockSystem

```
COMPONENT StockSystem
INTERFACE
   provides : {vending}
requires : {authorisation}
COMPOSITION
Assembly
   Components
     sm : StockManager;
ve : Vendor
   Links /////////assembly links/////////
Iref: p-r sm. newReference, ve.addItem
   context mapping
   ve.catalogEmpty == empty(sm.catalog),
ve.catalogFull == size(sm.catalog) = MaxInt
sublinks : {lcode}
      Icode: r-p sm.ask code, ve.code
End // assembly
Promotion
      nks /////////promotion links////////lvend:p-p ve.vending, SELF.vending
  Links /
      laut: r-r sm. authorisation, SELF. authorisation
END COMPOSITION
```

Context and message mappings. The context and message mappings (see Section 2.3.1) are specified into the assembly links. In Listing 7, the variables of the virtual state space of the required service addltem are associated with an expression of the variables of the context of the provided service newReference *i.e.* the observable state variables of the component sm. In this example, there is no message mapping because both services use the same msg message (declared in the default Kmelia library).

This example is used for the experimentation on the formal analyses described in the next section.

# 4 Formal Analysis and Experimentations

The formal analysis of a Kmelia specification consists in checking various kind of properties at the Kmelia model. The verification goal is to detect the specification errors: the violation policy is postponed to implementation issues. Some analysis are performed directly in the COSTO tool which supports Kmelia, the others are delegated to appropriate external tools. In this section, we address those aspects

related to the new features introduced in Sect. 2. We show how the Rodin framework that supports the Event-B notation is interesting to check the component consistency and the contract correctness.

## 4.1 Formal analysis

Kmelia concepts are analysed according to various facets such as safe type checking, consistency and correctness, communication integrity, deadlock freeness, assembly compatibility, promotion consistency, etc. The formal analysis individually validate the components before checking the assembly:

- (i) each individual component specification must be validated once for all by checking the verification requirements given Table 1. When all the rules are checked, then the component specification is considered as correct, possibly put in libraries, and could be reused within assemblies or composites.
- (ii) assembly or promotion links must only consider references to components previously shown as correct. Then the rules given in Table 2 are verified to validate the Kmelia assembly and composite.

Analysis	Status of the work	
Static rules: Scope + name resolution + type-checking	implemented	
Observability rules (see 2.2)	implemented	
Component interface consistency	implemented	
Services dependency consistency:		
$\mathcal{D}I$ well-formed vs. $\mathcal{I}$ and $\mathcal{D}$ (component)	implemented	
$\mathcal{D}I$ vs. $\mathcal{B}$ (eLTS)		
Simple constraint checking (parameters, query, protocol,)	implementation in progress	
Local eLTS checking (liveness, false guards, reachability)	implemented with subprovides	
$deadlock-free(\mathcal{B})$	without expressions	
Invariant consistency vs. pre/post conditions:		
provided services: $Inv_{old}^O \wedge Pre_{old}^O \wedge Post^O(r) \Rightarrow Inv^O$	(a) checked via B tools	
$Inv_{old} \wedge Pre_{old} \wedge Post^{O}(r) \wedge Post^{NO}(r) \Rightarrow Inv$	(b) (see 4.4)	
required services: $Inv_{old}^V \wedge Pre_{old}^O \wedge Post^O(r) \Rightarrow Inv^V$	(c)	
Consistency between service assertions and eLTS:		
eLTS vs. Post the post-condition should be established		
required service $R$ calls vs. $Pre_R$ the context must ensure the precondition (local+virtual)	study in progress	
eLTS vs. subprovided service $SP$ annotations $Pre_{SP}$ the context must ensure the pre-condition (local)		

where the old prefix denotes the variable value before the service execution.

 $\begin{array}{c} {\rm Table}\ 1 \\ {\rm Formal\ analysis\ of\ Kmelia\ components} \end{array}$ 

The status *implemented* means that the analysis is implemented in the COSTO tool. The status *checked via* L means that we implemented a translator plugin to generate specications in the L language or tool.

Currently no result is directly inferred from the checking of the individual components to facilitate the check of the assembly. The considered rules are complementary.

The verification process used for the rule numbered (a), (b), ... will be detailed in Section 4.4 after a short analysis example in Section 4.2 and an introduction to the case tool principles in Section 4.3.

Analysis	Status of the work
Static rules: Scope + name resolution + type-checking	implemented
Observability rules: promoted variables	implemented
Link/sublink consistency: assembly and composite	
signature matching	implemented
service dependency matching (subprovides, callrequires)	
context mapping (vmap) and observability rules	implemented
message mapping (mmap)	
Assembly Link Contract correctness:	
$vmap(Pre_R^O) \Rightarrow Pre_P^O$	(d)
$Post_{P}^{O} \Rightarrow vmap(Post_{R}^{O})$	(e)
Provided Promotion Link Contract correctness: PP is in the composite	
$vmap(Pre_{PP}^{O}) \Rightarrow Pre_{P}^{O}$	(f) checked via B tools
$Post_{P}^{O} \Rightarrow vmap(Post_{PP}^{O})$	(g) (see 4.4)
Required Promotion Link Contract correctness: RR is in the composite	
$vmap(Pre_R^O) \Rightarrow Pre_{RR}^O$	(h)
$Post_{RR}^{O} \Rightarrow vmap(Post_{R}^{O})$	(i)
eLTS (behaviour) compatibility	checked via CADP and MEC
eLTS (behaviour) compatibility with mmap	implementation in progress

The verification process used for the rule numbered (a), (b), ... is detailed in Section 4.4 after a short analysis example in Section 4.2 and an introduction to the case tool principles in Section 4.3.

## 4.2 Simple static analysis example

Let  $depends_k$  be a relation between component services names defined as a part of the service dependency in a component  $C_k$  where  $sm = \nu_k(m)$ :

$$depends_k : \mathcal{N} \leftrightarrow \mathcal{N}$$
  
  $\forall (n,m) : depends_k \bullet (n \in cal_{sm}) \lor (n \in req_{sm}) \lor (n \in sub_{sm})$ 

The *Link/sublink consistency* analysis on an assembly is intended to check whether the following property holds: the services in the sublinks are in the dependencies of the involved services (w.r.t *sublinks*).

$$\forall (l, sl) \in subs \mid l = (C_i, n_1, C_j, n_2) \land sl = (C_k, n_3, C_l, n_4) \bullet ((n_3, n_1) \in depends_i^* \lor (n_4, n_2) \in depends_i^*)$$

where  $depends_k^*$  is the transitive closure of the service dependency  $depends_k$ .

## 4.3 Automated checking

As mechanisation is a means to assess design and development techniques based on formal methods, we developed an Eclipse-based framework named COSTO (COmponent Study TOolbox [5]) to support all the steps of component analysis and specification (see also Appendix B). COSTO is dedicated to the management of the Kmelia specifications and to the checking of the primary properties (syntax, types, static). It delegates the verification of complex properties such as deadlock freeness, component or assembly consistency to other more efficient tools, as illustrated Fig. 5. According to the kind of property to check we target one tool, in such a way that the property can be expressed and verified; we select the parts of the Kmelia specifications involved in the target property; they are translated into the input formalism of the targeted tool and then checked by the tool.

The behaviour compatibility of services and components was treated in [9] using model-checking techniques provided by existing tools (Lotos/CADP $^3$  and MEC $^4$ ).

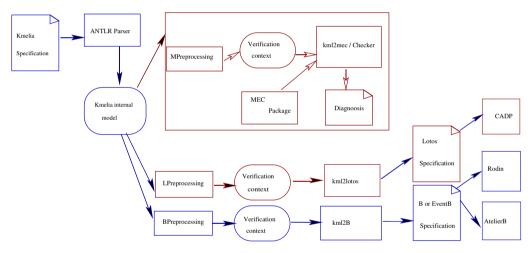


Fig. 5. A View of the COSTO Framework

In this article we focus on the bottom part of Fig. 5, related to the analysis of data and assertion properties.

### 4.4 Formal analysis of assertions

Our approach consists in reusing existing proof tools such as the B tools and especially the Atelier B<sup>5</sup> and Rodin<sup>6</sup> frameworks. The main issue is to present the verification of the necessary Kmelia elements in an appropriate manner to use efficiently the B provers. We design a systematic verification method that enables us to reuse the proof obligations generated by the B tools for our specific purpose.

<sup>3</sup> http://www.inrialpes.fr/vasy/cadp/

<sup>4</sup> http://altarica.labri.fr/wiki/tools:mec\_4

<sup>5</sup> http://www.atelierb.eu/

<sup>6</sup> http://rodin-b-sharp.sourceforge.net

We are currently developing a plugin (named Km12B) in the context of the COSTO tool. A first presentation of Km12B is available in [22]. It extracts B specifications to enable the verification of invariant consistency rules (a, b, c) and to mechanise the proof for assembly link contract rules (d, e, f, g, h, i). In the following we present the systematic verification method and the manual experimentations done with Rodin.

**Event-B** and Rodin frameworks. Rodin is a framework made of several tools dedicated to the specification and proof of Event-B models. Event-B [1] extends the classical B method [2] with specific constructions and usage; it is used for the modelling of general purpose systems and for reasoning on them. Proof obligations (POs) are generated to ensure the consistency of the considered model, i.e. the preservation of the INVARIANT by the EVENTS. Other POs ensure that a *refined* model is consistent, i.e. the abstract INVARIANT is preserved and the refined events do not contradict their abstract counterparts. POs can be discharged automatically or interactively, using the Rodin provers.

Verifying Kmelia specifications using Event-B. The main idea is, first to consider a part of the Kmelia specification involved in the property to be verified (a service, a component, a link of an assembly, an assembly, etc), then to build from this part of the specification, a set of (Event-)B models in such a way that the POs generated for them correspond to the specific obligations we needed to check for the Kmelia specification assertions. This approach was investigated before in [17,20] on the context of classical B and UML components.

We systematically build Event-B models, with an appropriate structure as explained below, to check a few of the proof obligations presented in Tables 1 and 2. Details and patterns which guide the Event-B models generation are given in [8].

- (i) For each component and its provided services, we build an Event-B model. The proof of the consistency of this model ensures the proof of the rules (a) and (b) for the invariant consistency at the Kmelia level.
- (ii) For each required service (and its "virtual context") we write an Event-B model. Its B consistency establishes the rule (c).
- (iii) For each assembly link between a required service req and a provided one prov, we build an Event-B model of the observable part of prov, which refines the Event-B model of the required service req previously checked.
  - the consistency proof of the Event-B model ensures the rule (a) for the invariant consistency at the Kmelia level;
  - the refinement proof establishes the rules (d) and (e) for assembly correctness.
- (iv) For each promotion link between a provided service prov and its promoted one pprov, we build an Event-B model of the observable part of prov, which refines the Event-B model of the provided service prov previously checked.
  - the consistency proof of the Event-B model ensures the rule (a) for the invariant consistency at the Kmelia level;
  - the refinement proof establishes both the rules (f) and (g) for the Kmelia promotion correctness.

- (v) For each promotion link between a required service req and a promoted one rreq, we build an Event-B model of the observable part of rreq, which refines the Event-B model of the required service req previously checked.
  - the consistency proof of the Event-B model ensures the rule (a) for the invariant consistency at the Kmelia level;
  - the refinement proof establishes both the rules (h) and (i) for the Kmelia promotion correctness.

We are not going to deal in this article with the details of the translation procedure and result <sup>7</sup>. For short, Kmelia invariant and pre-condition translations are quite systematic, whereas the post-condition concept does not exist in classical B. Therefore we abstract the post-condition by using an ANY substitution that satisfies the post-condition (once translated) as proposed in the context of UML/OCL to B translations [21]. In Event-B, translations of the post-conditions are quite systematic. Listing 8 depicts the Event-B translation of the service newReference of the Kmelia component StockManager.

Listing 8: Event-B specification for newReference

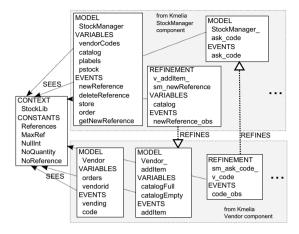
## 4.5 Experimental results

Applying our method on the case study presented in Section 3, we obtain the Event-B models structured as depicted in Fig 6. These models are studied within Rodin. We can verify the Kmelia components StockManager and Vendor before checking the assembly StockSystem.

The Event-B model StockManager is used to establish the preservation of the component invariant by the provided services. The model Vendor\_addltem allows us to check the preservation of the virtual state space by the required service addltem.

<sup>&</sup>lt;sup>7</sup> The Kmelia and Event-B specifications are available online at http://www.lina.sciences.univ-nantes.fr/coloss/download/facs09\_app.pdf

Then the refined model v\_addItem\_sm\_newReference is used to check the assembly link between the required service addItem and the provided one newReference.



	Auto.	Manual	Total
StockManager	16	3	19
${\sf Vendor\_addItem}$	2	1	3
v_addItem_sm newReference	22	1	23

Table 3 Rodin Proof obligations

Fig. 6. Event-B Models

We did not experiment the promotion correctness on this example, but it follows the same schema as the assembly correctness where the context mapping vmap is replaced by the promotion mapping pvars.

Table 3 gives an idea about the number of POs that are to be discharged to ensure the correctness of the Kmelia specification. Studying the example within Rodin revealed some errors in our initial Kmelia specification. As example, the first version of post-condition of the service newReference was wrong; one of the associated POs could not be discharged. We discovered that some conditions were missing in the case we have variables which are not updated. As a feedback in our Kmelia specifications, the error was corrected, and the PO discharged thereafter.

In general, the assertions associated to Kmelia services help us to ensure the correctness of the assembly links by considering the required-provided relationship as a refinement from the required service to the provided one. Consequently when the assertions are incorrect, the proofs fail, which means the assembly link is incorrect.

## 5 Discussion and Conclusion

In this article we have presented enrichments to the Kmelia abstract component model: a data language for Kmelia expressions and predicates; visibility features for component state in the context of composite components; contracts in the composition of services. The formal specification and analysis of the model are revisited accordingly. The syntactic analysis of Kmelia is effective in the COSTO tool that supports the Kmelia model. We have proposed a method to perform the necessary assertion verification using B tools: the contracts are checked through preliminary experimentations using the Rodin framework. We have illustrated the work with an example which is specified in Kmelia, translated manually but systematically, and verified using Rodin.

Discussion. Our work is more related to abstract and formal component models

like SOFA or Wright, rather than to the concrete models like CORBA, EJB or .NET. The Java/A [11] or ArchJava [3] models do not allow the use of contracts. We have already emphasised (see Section 1) the fact that most of the abstract models deal mainly with the dynamic part of components. Some of them [18,27] take datatypes and contracts into account but not the dynamic aspects. Some other ones [13,15] delay the data part to the implementation level.

In [16] may/must constraints are associated to the interactions defined in the component interfaces to define behavioural contracts between clients and suppliers. In Kmelia, the distinction between a supplier constraint and the client is done from a methodological point of view rather than a syntactic rule. The use of B to check component contracts has been studied in [17,20] in the context of UML components.

Fractal [19] proposes different approaches based on the separation of concerns: the structural features are defined in Fractal ADL [25]; dynamic behaviours are implemented by Vercors [10] or Fractal/SOFA [14] and the use of assertions is studied in ConFract [18]. In ConFract contracts are independent entities associated to several participants, not to services and links as in our case; their contracts support a rely/guarantee mechanism with respect to the (vertical) composition of components.

In [12] a component is a model in the sense of the algebraic specifications. Dynamic behaviours are associated to components but not to services, which are simple operations. The component provided and required interfaces are type specifications and composing component is based on interface (or type) refinement. In Kmelia components are assembled on their services; therefore the main issue is not to refine types as in [12] but rather to check contracts as in [29]. More specifically our case is more related to the *plugin matching* of [29].

Perspectives. Several aspects remain to be dealt with regarding assertions and the related properties, composition and correctness of component assemblies. First, we need to implement the full chain of assertion verification especially the translation which is necessary to automatically derive the needed Event-B models to check the assertions and the assemblies (a part of this work is already done with the Kml2B plugin). Second, we will integrate high level concepts and relations for data types. In particular, we plan to integrate ideas from objects and inheritance in the type system and also in component typing. But, assertions in this context are more difficult to specify and to verify. Another challenging point is the support for interoperability with other component models. In some real component software, a component assembly is built on components written in various specification languages. When connecting services (or operations) we can at least check the matching of signatures. If the specification language of the corresponding services or components enable the use of contracts (resp. service composition, service behaviour) we can provide the corresponding verification means.

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# A A generic syntax of expressions and assertions

This appendix summarises the syntax of types, expressions and assertions.

Basic types such as Integer, Boolean, Char, String with their usual operators and semantics are permitted. Abstract data types like record, enumeration, range, array and set are allowed in Kmelia. User-defined record types are built over the above *basic* types. Specific types and functions may be defined and imported from libraries.

```
TypeDef ::= TypeName \\ | "struct" "{" TypeDecl ("," TypeDecl)* "}" \\ | "array" "[" LiteralValue_1 ".." LiteralValue_2 "]" "of" TypeName \\ | "enum" "{" KmlExpr ("," KmlExpr)* "}" \\ | "range" LiteralValue_1 ".." LiteralValue_2 \\ | "setOf" TypeName
```

A Kmelia expression is built with constants, variables and elementary expressions built with standard arithmetic and logical operators like (+,\*,mod,<,>=,!=,or,and,implies,not,...). An assignment is made of a variable at the left hand side and an expression at the right hand side. In the following, each identifier class is denoted by a non-terminal symbol (C:constants,V:variables,O:operators,T:types). Identifiers are symbols built on letters, digits and the "\_" character according to the usual rules. The third rules includes the function calls.

and invariants) are first order logic *predicates*. In a post-condition of a service, the keyword old is used to distinguish the before and after variable states. This is close to OCL's **pre** or Eiffel's **old** keywords. Guards in the service behaviour are also predicates. All the assertions must conform to the observability rules described in Section 2.2.

## B Tool Demo

The COmponent STudy TOolkit (COSTO) toolbox is a prototype designed to support the Kmelia abstract component model and the associated verifications techniques, either directly or through the exportation of the relevant parts of a Kmelia model according to a verification context into provers or model-checkers.

The COSTO toolbox consists of:

- a core module with an ANTLR-based parser and an API to access the Kmelia (internal) model,
- several verification and exportation modules,
- a set of eclipse plugins.

Figure B.1 shows the Kmelia editor in eclipse, and a sample of the kind of errors (typing, observability, incompleteness of the mapping) that are detected. Besides standard completion, the editor supports smart completion in the case of assembly links. In Figure B.2, only required services defined in the Vendor component type are proposed and the user is warned that some of them do not match the exact signature of the provided service new\_reference which is defined in the StockManager component type.

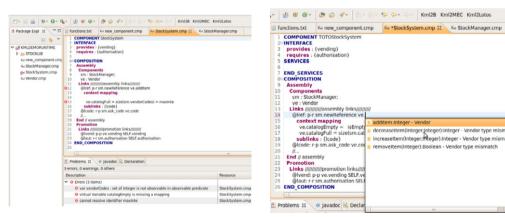


Fig. B.1. Kmelia editor in eclipse

Fig. B.2. Smart completion

Using the exportation buttons on the top of the editor while selecting an assembly link generate models in MEC, LOTOS or B to be verified in external tools.



Fig. B.3. Exportations to MEC/LOTOS/Event-B