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# Calculation of Invariants Assertions

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#### Abstract

In this paper we present a series of theorems that allow to establish strategies for the calculation of invariant assertions, such as the Dijkstra's  $H_k(Post)$ , or the weakest precondition of the loop. A criterion is also shown for calculating the termination condition of a loop. As in the integrals calculus, the strategies proposed here to perform the calculation of an invariant, will depend on the shape of the loop with which it is working, particularly will work with for-type loops with or without early termination due to a sentry. http://www.elsevier.com/locate/entcs.

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### 1 Introduction

All the algorithms proposed in this paper will be written in GCL (Guarded Command Language) [1], which is a pseudolanguage defined by Dijkstra, which supports the writing of non-deterministic algorithms and their design, supports Hoare logic and formulas for weakest precondition, relatively simple, that facilitate the correction activity of a program. All assertions in this paper shall be assumed to be written in the language of the assertions of [2].

Dijkstra's logic [1] for program correction is based on the predicate transformer wp (weakest precondition), which is basically a syntactic two-variable function that symbolically returns the weakest precondition of a statement st given a post-condition Post (using the classic notation of two-variable functions, the notation wp(st, Post) refers to the result of applying to the function wp, the st and Post arguments, this result is the weakest precondition, symbolically speaking, of the statement st with postcondition Post). The successive use of wp allows calculating weakest preconditions between instruction and instruction, from the end of the program to the beginning.

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Dijkstra in [1] established the rules that define the function of syntactic transformation wp according to the following paragraph:

If  $B, B_0, \ldots, B_n$  and  $S, S_0, \ldots, S_n$  are Boolean expressions and GCL's statements respectively, if IF and Do are abreviations of statements  $if B_0 \to S_0[] \ldots []B_n \to S_n$  fi and  $do B \to S$  od respectively and if  $domain(B_0, \ldots, B_n)$  denoted a predicate that if is satisfied in a state, none of the expressions  $B_i$ , when these evaluated in that state, these incur an illegal operation (such as dividing by 0), then:

- wp(SKIP, Post) := Post
- $wp(y_{i_1}, \dots, y_{i_k} := Exp_1, \dots, Exp_k, Post) := domain(Exp_1, \dots, Exp_k) \land Post[y_{i_1}, \dots, y_{i_k} := Exp_1, \dots, Exp_k]$
- $wp(S_0; S_1, Post) := wp(S_0, wp(S_1, Post))$
- $wp(IF, Post) := domain(B_0, \dots, B_n) \land (B_0 \lor \dots \lor B_n) \land (B_0 \Rightarrow wp(S_0, Post)) \land \dots \land (B_n \Rightarrow wp(S_n, Post))$
- $wp(Do, Post) := (\exists k | k \ge 0 : H_k(Post))$ where  $H_k(Post)$  is a predicate that satisfies the equations:

$$H_0(Post) \equiv domain(B) \land \neg B \land Post$$
 
$$H_k(Post) \equiv$$
 
$$H_0(Post) \lor (domain(B) \land B \land wp(S, H_{k-1}(Post)))$$

# Contribution

for k > 1

1.1

In Hoare's logic [3] to make the partial correction of a loop Do with postcondition Post, the invariant rule must be applied:

$$\frac{Inv \Rightarrow domain(B) \qquad \{Inv \land B\}S\{Inv\}}{\{Inv\}do \ B \rightarrow S \ od\{Inv \land \neg B\},}$$

in combination with rule

$$\frac{\{Inv\}do \ B \to S \ od\{Inv \land \neg B\} \qquad Inv \land \neg B \Rightarrow Post}{\{Inv\}do \ B \to S \ od\{Post\}.}$$

Furthermore to demonstrate termination and make a full correction, a bound function f(x) for the loop (where x is a program state) must be used and the following two test obligations must be demonstrated.

$$Inv \wedge B \Rightarrow f(\boldsymbol{x}) \geq 0$$

and

$$\{Inv \wedge B \wedge f_0 = f(\boldsymbol{x})\}S\{f_0 > f(\boldsymbol{x})\}$$

In total it is necessary to prove five theorems or test obligations to verify that the invariant Inv proposed is correct, which is a great work even for very simple loops, and on the other hand the rules do not explain how the predicate Inv is constructed.

On the other hand, it is known that the predicates  $H_k(Post)$  of the Dijkstra's wp definition for loops Do are correct invariants, which by definition have associated a termination condition (condition that when a state satisfying at the beginning of the iterations, cause than loop cant iterate more than k times). Learning to calculate  $H_k(Post)$  represents an alternative to get invariants, without using the Hoare inference rules and without having to find bound functions, the same observation also holds for the weakest precondition of the loop, given a postcondition Post. In this work shows that for prooving loops correctness, it is much simpler to calculate or identify  $H_k(Post)$  or the weakest precondition, rather than to conjecture an invariant by applying the Hoare rules.

Concretely if we have an algorithm of form *Do* and a postcondition *Post*, then in the development of the work the following questions are answered:

- (i) Given an assertion Inv, is Inv the predicate  $H_k(Post)$  of the loop for some k?
- (ii) Given an assertion Inv, is Inv the weakest precondition of the loop with post-condition Post?
- (iii) How do I calculate the predicate  $H_k(Post)$  of the loop Do for some k?
- (iv) How do I calculate the weakest precondition of loop *Do* and postcondition *Post*?
- (v) How do I calculate the termination condition of a  $H_k(Post)$ ?

Questions (i) and (ii) are answered by using Theorem 3.1 and Corollary 3.3 for loops with a potentially non-deterministic body, but which behaves deterministically on the variables that occur in the loop guard. Questions (iii) and (iv) are answered using a technique based on mathematical induction, but only for loops of form

do 
$$i \neq N \rightarrow S_0$$
;  $i := i + 1$  od

or

do 
$$i \neq N \land C \rightarrow S_0$$
;  $i := i + 1$  od

where  $S_0$  does not modify variable i and operator  $\land$  is short-circuited. For question (v) a general criterion is established in Theorem 3.1 to construct a predicate  $T_k$  that corresponds to the termination condition of  $H_k(Post)$  for Do, on the other hand a criterion is established for the two types of previous loops that determines the sufficient conditions so that the termination condition is predicate  $a \le i \le N$ .

#### 1.2 Related Jobs

Originally in [1] the recursive definition of wp that was exposed at the beginning did not include the syntactic function domain in its rules, this was corrected in [2], where it incorporates it to the rule of wp of the assignment, but not in the other rules as defined at the beginning of the introduction. A justification for the incorporation of domain in the rules of wp of IF and Do, is in [4], where a revision of the denotational semantics of GCL including state abort is made. The syntactic function domain applies on expressions, but its incorporation in the construction rules of wp of IF

and Do, bring additional difficulties that were not in [2], to handle these difficulties, in [5] is defined the syntactic function support, which is being the analogous to domain, but applies on instructions instead of expressions. This work use some new properties of support demostrated in [6].

On the other hand, in [7]- [10] also responds to questions (iv) and (v), using a semantic type method called "calculation of invariant relations" [11], which basically consists of obtaining the relation that results from the denotational semantic interpretation of the body of loop Do, and then calculate the reflexive-transitive closure of that relationship. This technique presupposes that the language for assertions must be a set theory language. This work is created as a continuation of [5] and is the syntactic counterpart of [11] [8] using wp. Basically the technique used here is to calculate a general formula that expresses the result of successively applying wp to the body of Do, this has its semantic counterpart in [11] [8] where the relation resulting from the interpretation of the body of the loop is successively composed. The approach presented here shows that one can easily answer questions (i),(ii),(iii),(iv) and (v) without having to go to the semantic world of [11] [8], in the classical assertion's language of book [2] and using GCL with all the expression power of non-determinism. To understand the relationship between the semantic technique of invariant relations and the syntactic technique of invariant assertion can be reviewed [12].

In the area of automatic derivation of invariants there has been a recent interest in recent years [13]- [22], furthermore there are applications like [23] [24] that can calculate invariants for loops where the expressions of the assignments of the loop body are all linear or translatable to linear transition systems, in the same way in [25] there is another technique that is applicable only to loops where the body is translatable to a affine transformation of vector spaces. Exists applications based on separation logic and Hoare logic [26]- [28], and on the other hand [29] is a application based in wp, but works only for unstructured programs.

The development of the techniques presented here, has as a long-term objective, to construct an invariant assertion calculus sufficiently efficient and clean so that it can be implemented just as the applications Mapple and Mathematica implemented integral calculus. An existing application that can calculate weakest preconditions, based on symbolic computations, requesting less conditions than the previous paragraph, is described in [30], which is an application based on invariant relations, made with reflexive-transitive closure packages of Mathematica's (Wolfram Research). Because this work is the syntactic counterpart of [11] [8], it is expected to implement a calculation application of  $H_k(Post)$  based on the theorems of this work and [5], similar to [30].

# 2 No Determinism and Properties of wp and support

To demonstrate the theorems of the next sections, the following properties in [6] of the predicate transformer wp will be used.

**Lemma 2.1**  $wp(S, P \land Q) \equiv wp(S, P) \land wp(S, Q)$ 

**Lemma 2.2** Let P and R be a predicates, S a statement that behaves deterministically on the values of the variables of P and does not modify the values of the variables of R, and  $\epsilon$  is a variable not declared in the program, then

$$wp(S, (\exists \epsilon | R : P)) \equiv (\exists \epsilon | R : wp(S, P))$$

**Lemma 2.3** Let P and R be predicates, S a statement that does not modify the values of the variables of R, and  $\epsilon$  is a variable not declared in the program. If  $(\exists \epsilon | : R) \equiv true$ , then

$$wp(S, (\forall \epsilon | R : P)) \equiv (\forall \epsilon | R : wp(S, P))$$

**Lemma 2.4** Let P be a predicate and S a statement that does not modify the values of the variables of P, then

$$wp(S, P) \equiv support(S) \land P$$

where support(S) is a predicate that depends on the constants and variables declared in the program, such that a state satisfies it if and only if the instruction S does not abort when executed in that state.

For example, true is a predicate that for any S, holds that S does not modify its variables, so one way to calculate support(S) is to calculate  $wp(S, true) \equiv support(S) \land true \equiv support(S)$ . For example if S is instruction  $if \ a > -3 \rightarrow b := b/a \ [] \ a < -3 \rightarrow b := 2 \ fi$ , then

$$\begin{aligned} ℘(S,true) \\ &\equiv \\ &(a>-3\Rightarrow domain(b/a) \wedge true[b:=b/a]) \wedge (a \leq -3 \Rightarrow true[b:=2]) \\ &\equiv \\ &(a>-3 \Rightarrow a \neq 0) \wedge true \end{aligned}$$

therefore  $support(S) \equiv a > -3 \Rightarrow a \neq 0$ .

**Lemma 2.5** Let S be a statement, then

$$support(S; i := i + 1) \equiv support(S)$$

Proof.

$$support(S; i := i + 1) \equiv wp(S; i := i + 1, true) \equiv wp(S, wp(i := i + 1, true)) \equiv wp(S, true) \equiv support(S)$$

**Lemma 2.6** Let P and Q be predicates and S a statement. If S does not modify the values of the variables of P, then

$$wp(S, P \wedge Q) \equiv P \wedge wp(S, Q)$$

**Lemma 2.7** Let P and Q be predicates and S be a statement that behaves deterministically on the values of the variables of P, then

$$wp(S,P\Rightarrow Q)\equiv support(S) \wedge (wp(S,P)\Rightarrow wp(S,Q))$$

**Lemma 2.8** Let S be a statement, P a predicate and  $\epsilon$  a variable not declared in the program, then

$$wp(S, (\forall \epsilon | : P)) \equiv (\forall \epsilon | : wp(S, P))$$

# 3 Weakest Precondition and $H_k(Post)$ of Instruction Do

In order to calculate the weakest precondition or  $H_k(Post)$  of a loop, the following Theorem and Corollaries are presented.

**Theorem 3.1** Let k be an expression and Do an instruction of the form

```
\begin{array}{c} do \ B \rightarrow \\ S \\ od \\ \{Post\} \end{array}
```

and k',  $\epsilon$ ,  $\epsilon'$  variables not declared in the program (that is, they do not occur in Do) and do not occur in Post, S is an instruction (deterministic or non-deterministic).

The predicate domBG is defined recursively such that:

- In domBG only occur  $\epsilon'$  and the constants and variables of a program
- $domBG[\epsilon' := 0] \equiv domain(B)$
- $domBG \equiv wp(S, domBG[\epsilon' := \epsilon' 1])$  when  $0 < \epsilon' \le k \land domain(B) \land B \land support(S)$

Predicate NBG is defined recursively such that:

- $\bullet$  In NBG only occur  $\epsilon$  and the constants and variables of a program
- $NBG[\epsilon := 0] \equiv \neg B$
- $NBG \equiv wp(S, NBG[\epsilon := \epsilon 1])$  when  $0 < \epsilon \le k \land domain(B) \land B \land support(S)$

Predicate  $TI_{k'}$  is defined as:

$$domain(B) \land B \land (\exists \epsilon | 1 \le \epsilon \le k' : (\forall \epsilon' | 1 \le \epsilon' \le \epsilon : domBG) \land NBG)$$

Predicate  $T_{k'}$  is defined as:

$$(\exists \epsilon | 0 \le \epsilon \le k' : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domBG) \land NBG)$$

Then, if S acts deterministically on the variables of domBG and NBG, it holds that:

(i) If there is a predicate inv such that:

- $domain(B) \land \neg B \land Post \equiv domain(B) \land \neg B \land inv$
- $TI_{k'} \Rightarrow (wp(S, inv) \equiv inv)$ then

$$H_{k'}(Post) \equiv T_{k'} \wedge inv$$

for all k' such that  $0 \le k' \le k$ .

(ii) In addition to the hypotheses of (i), if the recurrence defining domBG and NBG are defined up to  $\epsilon, \epsilon' = k + 1$ , then

$$B \wedge wp(S, inv) \wedge (\forall \epsilon' | 0 \le \epsilon' \le k+1 : domBG) \wedge NBG[\epsilon := k+1] \Rightarrow T_k \ (*)$$

If and only if

$$H_{k+1}(Post) \equiv H_k(Post)$$

The predicate inv of the theorem should not be confused with an invariant, this rather, is a sub-formula of an invariant Inv that is of the form  $T_{k'} \wedge inv$ .

Note that saying that S acts deterministically on the variables of domBG and NBG, means that in each iteration, S acts deterministically on the variables of B.

**Remark 3.2** The predicate  $T_{k'}$  will be called "termination condition", since it describes the weakest condition that causes the loop to iterate at most k' times. Likewise, predicate  $TI_{k'}$  will be called "termination condition in the iteration" because it describes the condition that causes the loop to iterate at the most k', starting from a state that is within the iteration.

The formula of the first item of (i) of the previous theorem will be called "termination test obligation" and the formula of the second item of (i) of the previous theorem will be called "iteration test obligation".

Note that the previous theorem says, that to prove that an assertion is a  $H_{k'}(Post)$  of a given loop, then it is sufficient to demonstrate the two previous test obligations, which is much simpler than demonstrating the five test obligations that define the Hoare's logic for the invariant assertion. Next, Theorem 3.1 will be demonstrated.

**Proof.** Because this is a theorem about a formula whose instances are formulas, then a system of formal derivation of predicates will be used to ensure a correct result. The reader should understand the following demonstration as a family of demonstrations (one for each instance of predicates inv, NBG, and domBG), which results from applying each of the following derivations in the order they are presented. The rules of inference that are used in this work are those of the calculative logic (original of [31]) presented in the book of Gries [32].

It will be shown by induction on k' assuming that  $k' \leq k$  and that k' is a variable that does not occur in inv, NBG, domBG, S and Post.

Case 1 
$$k'=0$$

```
\equiv < k' = 0 >
H_0(Post)
domain(B) \land \neg B \land Post
domain(B) \land \neg B \land inv
domBG[\epsilon' := 0] \land NBG[\epsilon := 0] \land inv
(\forall \epsilon' | 0 \le \epsilon' \le 0 : domBG) \land NBG[\epsilon := 0] \land inv
(\exists \epsilon | 0 \le \epsilon \le 0 : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domBG) \land NBG) \land inv
\equiv < k' = 0 >
(\exists \epsilon | 0 < \epsilon < k' : (\forall \epsilon' | 0 < \epsilon' < \epsilon : domBG) \land NBG) \land inv
It is now assumed that the theorem is true for k'-1 and will be proved for k'
H_{k'}(Post)
\equiv
H_0(Post) \vee (domain(B) \wedge B \wedge wp(S, H_{k'-1}(Post)))
≡<inductive hypothesis>
NBG) \wedge inv))
\equiv < S is deterministic in domBG and NBG, it does not modify \epsilon', \epsilon, k', it exists
      \epsilon' that 0 < \epsilon' < \epsilon (because 0 < \epsilon) and Lemas 2.1,2.2,2.3>
H_0(Post) \lor (domain(B) \land B \land (\exists \epsilon | 0 \le \epsilon \le k' - 1 : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon :
                                                    wp(S, domBG)) \wedge wp(S, NBG)) \wedge wp(S, inv))
\equiv \langle wp(S, P) \Rightarrow support(S) \text{ for any } P >
H_0(Post) \vee (domain(B) \wedge B \wedge (\exists \epsilon | 0 \le \epsilon \le k' - 1 :
(\forall \epsilon' | 0 \le \epsilon' \le \epsilon : wp(S, domBG)) \land wp(S, NBG)) \land wp(S, inv) \land support(S))
\equiv <Definition of domBG and NBG >
H_0(Post) \vee (domain(B) \wedge B \wedge (\exists \epsilon | 0 \le \epsilon \le k' - 1 :
(\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domBG[\epsilon' := \epsilon' + 1]) \land NBG[\epsilon := \epsilon + 1]) \land wp(S, inv) \land support(S))
\equiv < wp(S, P) \Rightarrow support(S) \text{ for any } P >
H_0(Post) \vee (domain(B) \wedge B \wedge (\exists \epsilon | 0 \le \epsilon \le k' - 1 :
(\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domBG[\epsilon' := \epsilon' + 1]) \land NBG[\epsilon := \epsilon + 1]) \land wp(S, inv))
```

 $H_0(Post) \vee (domain(B) \wedge B \wedge (\exists \epsilon | 0 < \epsilon < k' - 1 :$ 

```
(\forall \epsilon' | 1 \le \epsilon' \le \epsilon + 1 : domBG) \land NBG[\epsilon := \epsilon + 1]) \land wp(S, inv))
H_0(Post) \lor (domain(B) \land B \land (\exists \epsilon | 1 \le \epsilon \le k'):
(\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG) \land wp(S, inv))
\equiv <Definition of inv >
H_0(Post) \lor (domain(B) \land B \land (\exists \epsilon | 1 < \epsilon < k'):
(\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG) \land inv)
\equiv <Definition of H_0(Post) >
(domain(B) \land \neg B \land Post) \lor (domain(B) \land B \land (\exists \epsilon | 1 \le \epsilon \le k'))
(\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG) \land inv)
\equiv <Definition of inv >
(domain(B) \land \neg B \land inv) \lor (domain(B) \land B \land (\exists \epsilon | 1 \le \epsilon \le k'))
(\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG) \land inv)
\equiv <Distributivity of \land over \lor >
(domain(B) \land inv) \land (\neg B \lor (B \land (\exists \epsilon | 1 < \epsilon < k') :
                                                                                                                                                                                                    (\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG)))
\equiv <Absorption>
(domain(B) \land inv) \land (\neg B \lor (\exists \epsilon | 1 \le \epsilon \le k' : (\forall \epsilon' | 1 \le \epsilon' \le \epsilon : domBG) \land NBG))
\equiv <Distributivity of \land over \lor >
inv \wedge ((domain(B) \wedge \neg B) \vee (domain(B) \wedge (\exists \epsilon | 1 \le \epsilon \le k'))
                                                                                                                                                                                                             (\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG)))
=
inv \wedge ((domain(B) \wedge \neg B) \vee (\exists \epsilon | 1 \le \epsilon \le k' : domain(B) \wedge \exists \epsilon \in A : domain(B) \wedge \exists \epsilon \in
                                                                                                                                                                                                                (\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG))
\equiv <Definition of domBG >
inv \wedge ((domBG[\epsilon' := 0] \wedge \neg B) \vee (\exists \epsilon | 1 \le \epsilon \le k' : domBG[\epsilon' := 0] \wedge \neg B)
                                                                                                                                                                                                                (\forall \epsilon' | 1 < \epsilon' < \epsilon : domBG) \land NBG))
\equiv
((domBG[\epsilon' := 0] \land \neg B) \lor (\exists \epsilon | 1 \le \epsilon \le k' : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domBG) \land NBG)) \land inv
\equiv < dom BG[\epsilon' := 0] \equiv (\forall \epsilon' | 0 \le \epsilon' \le 0 : dom BG) and definition of NBG >
(\exists \epsilon | 0 \le \epsilon \le k' : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domBG) \land NBG) \land inv
```

On the other hand, to demonstrate (ii) of the theorem, it will be shown that  $H_{k+1}(Post)$  is equivalent to a formula of form  $p \vee H_k(Post)$  with p of form  $wp(S, inv) \wedge q$ , since in this way we have  $H_{k+1}(Post) \equiv H_k(Post)$  iff  $p \Rightarrow H_k(Post)$ . But how  $H_k(Post) \equiv T_k \wedge inv \equiv T_k \wedge wp(S, inv)$ , then  $p \Rightarrow H_k(Post)$  iff  $p \Rightarrow T_k$ .

It is assumed that  $0 < k' \le k+1$  then the same first 8 steps are made

like before but instead of inductive hypothesis in step 2 it applies directly  $H_{k'-1}(Post) \equiv T_{k'-1} \wedge inv$ , since in (i) it was shown that this is true for  $0 < k' \le k+1$ . With this one has to  $H_{k'}(Post)$  is equivalent to:

$$H_0(Post) \lor (domain(B) \land B \land (\exists \epsilon | 1 \le \epsilon \le k' : (\forall \epsilon' | 1 \le \epsilon' \le \epsilon : domBG) \land NBG) \land wp(S, inv))$$

Instantiating k' := k + 1, you have to  $H_{k+1}(Post)$  is equivalent to

$$H_{0}(Post) \vee (domain(B) \wedge B \wedge wp(S, inv) \wedge \\ (\exists \epsilon | 1 \leq \epsilon \leq k+1 : (\forall \epsilon' | 1 \leq \epsilon' \leq \epsilon : domBG) \wedge NBG)) \equiv \\ (domain(B) \wedge B \wedge wp(S, inv) \wedge (\forall \epsilon' | 1 \leq \epsilon' \leq k+1 : domBG) \wedge NBG[\epsilon := k+1]) \vee \\ H_{0}(Post) \vee (domain(B) \wedge B \wedge wp(S, inv) \wedge \\ (\exists \epsilon | 1 \leq \epsilon \leq k : (\forall \epsilon' | 1 \leq \epsilon' \leq \epsilon : domBG) \wedge NBG))$$

The last disjunction of the previous formula is the same as that obtained earlier just before the equivalence that was labeled with the comment "Definition of inv", and it has already been shown that this formula is equivalent to  $H_k(Post)$ , therefore the previous formula It is equivalent to.

$$(domain(B) \land B \land wp(S, inv) \land (\forall \epsilon' | 1 \le \epsilon' \le k+1 : domBG) \land NBG[\epsilon := k+1]) \lor H_k(Post)$$

Corollary 3.3 If a predicate inv satisfies the hypotheses of (i) of Theorem 3.1 for all k and does not satisfies formula (\*) of (ii) of the same theorem for any k, then defining  $T_{\infty}$  as

$$(\exists \epsilon | 0 \le \epsilon : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domBG) \land NBG)$$

is fulfilled that

$$wp(Do, Post) \equiv T_{\infty} \wedge inv$$

on the other hand, if domBG, NBG and inv satisfies the hypotheses of (i) and (ii) and formula (\*), then

$$wp(Do,Post) \equiv H_k(Post) \equiv T_k \wedge inv$$

**Proof.** Immediate consequence of Theorem 3.1 and definition  $wp(Do, Post) \equiv (\exists k' | k' \geq 0 : H_{k'}(Post))$ 

**Corollary 3.4** Let Do be a loop as in Theorem 3.1 with guard  $i \neq N \land C$  (with short-circuited  $\land$ ) and body  $S_0$ ; i := i + 1, where  $S_0$  is a statement that does not modify neither i nor N. If domCG and NCG are defined like the predicates domBG and NBG of Theorem 3.1 but substituting B for C then:

$$TI_{k'} \equiv i \neq N \land C \land \\ ((N - k' \le i < N \land (\forall \epsilon' | 0 \le \epsilon' < N - i : domCG)) \lor \\ (\exists \epsilon | 1 \le \epsilon \le k' : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domCG) \land NCG))$$
and

$$T_{k'} \equiv (N - k' \le i \le N \land (\forall \epsilon' | 0 \le \epsilon' < N - i : domCG)) \lor (\exists \epsilon | 0 \le \epsilon \le k' : (\forall \epsilon' | 0 \le \epsilon' \le \epsilon : domCG) \land NCG)$$

Additionally it is fulfilled that if a is a constant, it is holds:

- (i) If  $C \equiv true$ , then  $T_{k'} \equiv N k' \le i \le N \text{ and } TI_{k'} \equiv N k' \le i < N$
- (ii) If  $domain(C) \equiv a \leq i < N$  or  $domain(C) \equiv a \leq i \leq N$ , then  $T_{N-a} \equiv a \leq i \leq N$  and  $TI_{N-a} \equiv a \leq i < N \land C$  and  $wp(Do, Post) \equiv H_{N-a}(Post)$

# 4 Examples of Algorithm Correctness Using $H_k(Post)$

Then from the conjecture of inv the correctness of the following algorithm will be carried out

$$\begin{aligned} &\text{do } i \neq N \land A[i] \neq 0 \rightarrow \\ &i := i+1 \\ &\text{od} \\ &\{Post: (\forall k | 0 \leq k < i : A[k] \neq 0)\} \end{aligned}$$

It is fulfilled that  $domain(A[i] \neq 0) \equiv 0 \leq i < N$  and if we take as hypothesis  $TI_N$ , that in this case by Corollary 3.4 is  $0 \leq i < N \land A[i] \neq 0$ , then:

$$\begin{split} wp(i := i+1, (\forall k | 0 \leq k < i : A[k] \neq 0)) &\equiv \\ (\forall k | 0 \leq k < i+1 : A[k] \neq 0) &\equiv \\ (\forall k | 0 \leq k < i : A[k] \neq 0) \wedge A[i] \neq 0 \\ &\equiv < A[i] \neq 0 \equiv true \text{ by hypothesis} > \\ (\forall k | 0 \leq k < i : A[k] \neq 0) & \end{split}$$

Taking inv as  $(\forall k | 0 \le k < i : A[k] \ne 0)$ , the iteration test obligation is fulfilled and as  $inv \equiv Post$ , then the termination obligation test is trivially met, concluding that

$$H_N(Post) \equiv 0 \le i \le N \land (\forall k | 0 \le k < i : A[k] \ne 0)$$

that according to (ii) of Corollary 3.4, is the weakest precondition of the algorithm.

It can be clearly seen that the foregoing is much simpler, than demonstrating the five test obligations established by the Hoare's logic, to prove the correctness of the previous loop. On the other hand, Corollary 3.4 suggests a justification for the classical technique of derivation of invariants, called "replacement of constants by variable". This technique consists of substituting a constant N of the postcondition for a fresh variable i and using this new predicate as invariant of a loop Do with guard  $i \neq N$  and increment of i of one in one.

For example, for a sort algorithm for an array A of length N with postcondition Sorted(A, N), then the algorithm can be constructed based on a loop of the form:

```
do i \neq N \rightarrow
S_0;
i := i + 1
od
\{Post : Sorted(A, N)\}
```

Where the invariant is obtained by calculating  $H_k(Post) \equiv N - k \leq i \leq N \wedge inv$  according to (i) of Corollary 3.4 and Theorem 3.1. To get inv we take the postcondition by substituting the constant N for i, this new predicate  $inv \equiv Sorted(A, i)$  satisfies that  $inv[i := N] \equiv Post$  and therefore the obligation test of termination.

According to Theorem 3.1 instruction  $S_0$ ; i := i + 1 must satisfy that if  $TI_k$  is true, then

$$wp(S_0; i := i + 1, inv) \equiv wp(S_0, Sorted(A, i + 1)) \equiv inv$$

so instruction  $S_0$  (using specification statements of [33]) must be

$$[TI_k \wedge Sorted(A, i), Sorted(A, i + 1)].$$

The above specification instruction is the most general of all that we can use, but any instruction that is a refinement of it, is an instruction that guarantees a correct sort algorithm. The internal loop of the Bubblesort or Insertsort algorithm are examples of refinements of the previous specification instruction.

# 5 Computation theorems for predicate inv

The previous Theorem and Corollaries have the same limitation as the Invariance Theorem, which pretends that an invariant predicate inv be searched without any particular method or heuristic. Next, a Theorem will be given, which suggests a method that allows to obtain a predicate inv like the one in the Theorems of the previous section, based on the calculation of wp(S, wp(S, ..., wp(S, Post), ...)) a number  $\epsilon$  of times.

**Lemma 5.1** Let  $S_0$  be a statement that does not modify the value of variables i and  $i_f$ . Let k be an expression and let  $\epsilon$  be a variable not declared in the program. Let PG be a predicate such that  $PG \equiv wp(S_0; i := i + 1, PG[\epsilon := \epsilon - 1])$  when  $0 < \epsilon \le k \land domain(B) \land B \land support(S_0; i := i + 1)$ , then assuming that  $i_f - k' \le i < i_f$ ,

you have that for  $0 < k' \le k$ :

$$TI_{k'} \wedge support(S_0)$$
  $\Rightarrow$   $wp(S_0; i := i + 1, PG[\epsilon := i_f - i]) \equiv PG[\epsilon := i_f - i]$ 

**Proof.** To make this demonstration, it is assumed to be true  $i_f - k' \le i < i_f$  and  $TI_{k'}$ . But how  $i_f - k' \le i < i_f \Rightarrow i_f - k \le i < i_f$  and  $TI_{k'} \Rightarrow domain(B) \land B$ , you can assume  $i_f - k \le i < i_f$  and  $domain(B) \land B$  as well. It also  $support(S_0; i := i+1)$  is assumed since by Lema 2.5  $support(S_0) \equiv support(S_0; i := i+1)$ .

```
wp(S_0; i := i + 1, PG[\epsilon := i_f - i])
\equiv
wp(S_0; i := i + 1, (\forall \epsilon | \epsilon = i_f - i : PG))
wp(S_0, (\forall \epsilon | \epsilon = i_f - (i+1) : PG[i := i+1]))
\equiv < S_0 does not modify \epsilon, i_f, i, there is \epsilon such that \epsilon = i_f - (i+1) and
      Lema 2.3 >
(\forall \epsilon | \epsilon = i_f - (i+1) : wp(S_0, PG[i := i+1]))
(\forall \epsilon | \epsilon = i_f - (i+1) : wp(S_0; i := i+1, PG))
\equiv < Hypothesis i_f - k \le i < i_f implies 0 \le i_f - (i+1) < k >
(\forall \epsilon | \epsilon = i_f - (i+1) \land 0 \le i_f - (i+1) < k : wp(S_0; i := i+1, PG))
(\forall \epsilon | \epsilon = i_f - (i+1) \land 0 \le \epsilon < k : wp(S_0; i := i+1, PG))
\equiv <Hypotheses are satisfied to apply definition of PG >
(\forall \epsilon | \epsilon = i_f - (i+1) \land 0 \le \epsilon < k : PG[\epsilon := \epsilon + 1])
\equiv < 0 < \epsilon < k \text{ is redundant} >
(\forall \epsilon | \epsilon = i_f - (i+1) : PG[\epsilon := \epsilon + 1])
PG[\epsilon := \epsilon + 1][\epsilon := i_f - (i+1)]
PG[\epsilon := i_f - i]
```

**Lemma 5.2** Let R be a predicate and S a statement that behaves deterministically on the values of the variables of R. If  $i_f$  is a variable not declared in the program and Exp is an expression in which S does not modify the value of its variables, then

$$wp(S, Exp = (min \ i_f|R:i_f)) \equiv$$
 
$$support(S) \land Exp = (min \ i_f|wp(S,R):i_f)$$

**Proof.** Analogous to Lema 17 of [6]

**Lemma 5.3** Let  $S_0$  be a statement that does not modify the value of variable i. It is defined NBG as in Theorem 3.1, taking S as  $S_0$ ; i := i+1. Let k be an expression

and let  $\epsilon, i_f$  and k' be variables not declared in the program, then abbreviating m as

$$(min \ i_f|i \le i_f \le i + k' \land NBG[\epsilon := i_f - i] : i_f),$$

you have that for  $0 < k' \le k$ :

$$TI_{k'}$$
  $\Rightarrow$   $wp(S_0; i := i + 1, \epsilon = m - i) \equiv support(S_0) \land \epsilon = m - i - 1$ 

### **Proof.** Assuming $TI_{k'}$ you have to:

It is true  $\neg NBG[\epsilon := i - i]$ , and therefore, to consider that  $i_f$  can be equal to i in the calculation of m, it is impossible, in this way:

$$\begin{array}{l} \underline{m} \\ = \\ (\min i_f | i \leq i_f \leq i + k' \wedge NBG[\epsilon := i_f - i] : i_f) \\ = \\ (\min i_f | i < i_f \leq i + k' \wedge NBG[\epsilon := i_f - i] : i_f) \end{array} \tag{**}$$

With this it can be deduced that:

```
wp(S_0; i := i + 1, \epsilon = m - i)
wp(S_0, \epsilon = m[i := i+1] - i - 1)
wp(S_0, \epsilon + i + 1 = (min \ i_f | i < i_f \le i + k' + 1 \land NBG[\epsilon := i_f - i][i := i + 1] : i_f))
\equiv <Lemas 5.2 and 2.6>
support(S_0) \wedge \epsilon + i + 1 = (min \ i_f | i < i_f \le i + k' + 1 \wedge
                                                       wp(S_0, NBG[\epsilon := i_f - i][i := i + 1]) : i_f)
\equiv
support(S_0) \wedge \epsilon + i + 1 = (min \ i_f | i < i_f \le i + k' + 1 \wedge i_f)
                                                      wp(S_0; i := i + 1, NBG[\epsilon := i_f - i]) : i_f)
\equiv <Abbreviating (min \ i_f|i_f = i + k' + 1 \land wp(S_0; i := i + 1,
                                                                   NBG[\epsilon := i_f - i]) : i_f) \text{ as } m_1 >
support(S_0) \wedge \epsilon = min(m_1, (min \ i_f | i < i_f \le i + k' \wedge i_f))
                                            wp(S_0; i := i + 1, NBG[\epsilon := i_f - i]) : i_f)) - i - 1
\equiv <Lema 5.1>
support(S_0) \wedge \epsilon = min(m_1, (min\ i_f | i < i_f \le i + k' \wedge NBG[\epsilon := i_f - i] : i_f)) - i - 1
\equiv < observation (**) >
support(S_0) \wedge \epsilon = min(m_1, m) - i - 1
\equiv < TI_{k'} implies that exists \underline{m} \le i + k' < m_1 >
```

$$support(S_0) \wedge \epsilon = \underline{m} - i - 1$$

**Theorem 5.4** Let Do be a loop where S is the statement  $S_0$ ; i := i + 1 with  $S_0$  a statement that does not modify the value of the variable i. Let k be an expression and be  $\epsilon$ ,  $i_f$  and k' variables not declared in the program, which does not occur in Post and  $0 < k' \le k$ , defining the predicate NBG as in Theorem 3.1 and a predicate PostG that satisfies the following recursive equations:

- $PostG[\epsilon := 0] \equiv Post$
- $PostG \equiv wp(S_0; i := i + 1, PostG[\epsilon := \epsilon 1])$  when  $0 < \epsilon \le k$  and  $TI_k$ then abbreviating  $\underline{m}$  as

$$(\min i_f | i \le i_f \le i + k' \land NBG[\epsilon := i_f - i] : i_f),$$

you have to:

- (i) The predicate  $PostG[\epsilon := \underline{m} i]$  is a predicate, which satisfies the hypotheses of the predicate inv in (i) of Theorem 3.1.
- (ii) Additionally if the recursion that defines PostG, domBG and NBG are defined up to k+1, then  $TI_{k+1} \land PostG[\epsilon := k+1] \Rightarrow TI_k$  is equivalent to  $H_{k+1}(Post) \equiv H_k(Post)$ .

**Proof.** inv is defined as  $PostG[\epsilon := \underline{m} - i]$  and it will be shown that inv complies with the equations of the Theorem 3.1

$$\begin{split} & domain(B) \wedge \neg B \wedge Post \\ & \equiv \\ & domain(B) \wedge NBG[\epsilon := 0] \wedge PostG[\epsilon := 0] \\ & \equiv \\ & domain(B) \wedge NBG[\epsilon := i - i] \wedge PostG[\epsilon := i - i] \\ & \equiv < \text{Since } NBG[\epsilon := i - i] \equiv \neg B \equiv true \text{ then } \underline{m} = i \\ & domain(B) \wedge NBG[\epsilon := i - i] \wedge PostG[\epsilon := \underline{m} - i] \\ & \equiv \\ & domain(B) \wedge \neg B \wedge inv \end{split}$$

On the other hand assuming  $TI_{k'}$  you have to

$$wp(S_0; i := i + 1, inv)$$

$$\equiv$$

$$wp(S_0; i := i + 1, PostG[\epsilon := \underline{m} - i])$$

$$\equiv$$

$$wp(S_0; i := i + 1, (\forall \epsilon | : \epsilon = \underline{m} - i \Rightarrow PostG))$$

$$\equiv < \text{Lema } 2.8 >$$

```
(\forall \epsilon | : wp(S_0; i := i + 1, \epsilon = \underline{m} - i \Rightarrow PostG))
\equiv < S_0; i := i + 1 acts deterministically on the variables
       of NBG and the variables i_f, i, k', \epsilon and Lemas 2.7 and 2.5>
(\forall \epsilon | : support(S_0) \land (wp(S_0; i := i + 1, \epsilon = m - i) \Rightarrow wp(S_0; i := i + 1, PostG)))
\equiv < \text{Lema } 5.3 >
(\forall \epsilon | : support(S_0) \land (\epsilon = m - i - 1 \Rightarrow wp(S_0; i := i + 1, PostG)))
support(S_0) \land (\forall \epsilon | : \epsilon = m - i - 1 \Rightarrow wp(S_0; i := i + 1, PostG))
support(S_0) \land (\forall \epsilon | \epsilon = m - i - 1 : wp(S_0; i := i + 1, PostG))
\equiv < TI_{k'} implies that exists \underline{m} and therefore exists \epsilon such that \epsilon = \underline{m} - i - 1 > 1
(\forall \epsilon | \epsilon = m - i - 1 : support(S_0) \land wp(S_0; i := i + 1, PostG))
\equiv \langle wp(S, P) \Rightarrow support(S) \text{ for any } P \text{ and Lema } 2.5 \rangle
(\forall \epsilon | \epsilon = m - i - 1 : wp(S_0; i := i + 1, PostG))
\equiv < TI_{k'} \Rightarrow 0 < m - i - 1 < k' \text{ and def of } PostG >
(\forall \epsilon | \epsilon = m - i - 1 : PostG[\epsilon := \epsilon + 1])
PostG[\epsilon := \epsilon + 1][\epsilon := m - i - 1]
PostG[\epsilon := m - i]
\equiv
```

On the other hand, assuming the hypotheses of (ii) of the theorem, the previous proof is valid taking k as k+1 and therefore  $TI_{k+1} \Rightarrow (wp(S, inv) \equiv inv)$ , then demonstrating by cases we have to that if  $T_k \equiv false$  then

$$\begin{split} &B \wedge wp(S,inv) \wedge (\forall \epsilon' | 0 \leq \epsilon' \leq k+1 : domBG) \wedge NBG[\epsilon := k+1] \Rightarrow T_k \\ &\equiv < T_k \equiv false > \\ &B \wedge wp(S,inv) \wedge (T_k \vee ((\forall \epsilon' | 0 \leq \epsilon' \leq k+1 : domBG) \wedge NBG[\epsilon := k+1])) \Rightarrow T_k \\ &\equiv \\ &B \wedge wp(S,inv) \wedge T_{k+1} \Rightarrow T_k \\ &\equiv < B \wedge T_{k+1} \equiv TI_{k+1} \text{ and } TI_{k+1} \Rightarrow (wp(S,inv) \equiv inv) > \\ &TI_{k+1} \wedge inv \Rightarrow T_k \\ &\equiv \\ &TI_{k+1} \wedge PostG[\epsilon := \underline{m} - i] \Rightarrow T_k \end{split}$$

Since  $T_k$  is false then  $\underline{m} - i > k$ , but in conjunction with  $TI_{k+1}$  which implies  $NBG[\epsilon := k+1]$ , It is fulfilled  $\underline{m} - i = k+1$  and therefore the previous formula is equivalent to

$$TI_{k+1} \wedge PostG[\epsilon := k+1] \Rightarrow T_k$$

For the case in which  $T_k$  is true, trivially the last and first implication are equivalent, with which by (ii) of Theorem 3.1, we have the proof.

# 6 Examples of Calculation of Invariants Assertions

Theorem 5.4 suggests a method to calculate  $H_k(Post)$  of a loop. The technique consists of applying the predicate transformer to the body of the loop and the postcondition  $\epsilon$  times until the predicate PostG is deduced. An example of the use of Theorem 5.4 is shown below:

#### 6.1 Fibonacci

```
\begin{aligned} \text{do } i \neq N \rightarrow \\ x,z &:= z, x+z; \\ i &:= i+1 \\ \text{od} \\ \{Post: z = fib(N+1)\} \end{aligned}
```

The parallel assignment instruction x, z, i := z, x + z, i + 1 is equivalent to the two instructions of the internal block of the loop, so in order to summarize, the parallel assignment instruction will be use in the calculations of this example.

wp is applied once to the body of the loop and to the postcondition:

```
wp(x, z, i := z, x + z, i + 1, z = fib(N + 1)) \equiv
x + z = fib(N + 1)
```

Now to the previous result is applied again wp  $wp(x, z, i := z, x + z, i + 1, x + z = fib(N + 1)) \equiv$  z + (x + z) = fib(N + 1)

$$\equiv x + 2z = fib(N+1)$$

 $\equiv$ 

If to the previous result it is apply again wp, it is obtained

$$wp(x, z, i := z, x + z, i + 1, x + 2z = fib(N + 1))$$
  
 $\equiv$   
 $z + 2(x + z) = fib(N + 1)$ 

$$2x + 3z = fib(N+1)$$

If to the previous result it is apply again wp, it is obtained 3x+5z=fib(N+1), so it is observed that the coefficients that accompany the x and y are the numbers of the Fibonacci sequence, so it is easy to show by induction, that the result of applying wp to the body of this loop and to postcondition z=fib(N+1) a number of  $\epsilon$  times is equal to

$$fib(\epsilon)x + fib(\epsilon + 1)z = fib(N + 1)$$

We will call this predicate PostG, which for  $\epsilon = N$  is satisfiable (taking x, z := 0, 1), so PostG is not false when  $\epsilon = N$ , for this reason PostG is not false for any  $\epsilon \leq N$ . This is because if  $PostG \equiv false$  for some  $\epsilon = e < N$ , then PostG would be false for all  $\epsilon > e$  (including  $\epsilon = N$ ), since  $wp(S, false) \equiv false$  and PostG was obtained from applying wp successively. Therefore, you can not use (ii) of the Theorem 5.4 for any k < N.

Therefore, predicate PostG satisfies the recurrence of Theorem 5.4 by taking k as N. Since  $\underline{m} = N$ , it is concluded that PostG[N-i] satisfies the hypotheses of (i) of Theorem 3.1 and by the previous paragraph, does not comply with (ii) of Theorem 3.1. Therefore, it is obtained:

```
H_N(Post) \equiv \\ T_N \wedge (fib(\epsilon)x + fib(\epsilon + 1)z = fib(N+1))[\epsilon := N-i] \equiv \\ 0 \leq i \leq N \wedge fib(N-i)x + fib(N-i+1)z = fib(N+1) \\ \text{Which is a valid invariant assertion for the loop.}
```

#### 6.2 Palindrome Words

The following algorithm for the verification of whether an array of characters of size N is a palindrome String is an example of the use of Theorem 5.4.

do 
$$i \neq N \land A[i-1] = A[N-i] \rightarrow$$
  
 $pal := A[i] = A[N-1-i];$   
 $i := i+1$   
od  
 $\{Post : pal \equiv palind(A, 0, N)\}$ 

Where predicate palind(A, i, N) is defined as

$$(\forall k | i \le k < N : A[k] = A[N - 1 - k])$$

The parallel assignment instruction pal, i := A[i] = A[N-1-i], i+1, is equivalent to the two instructions of the internal block of the previus loop, so in order to summarize calculations, the parallel assignment instruction will be use instead of internal block of the previus loop.

Since  $domain(A[i-1] = A[N-i]) \equiv 1 \le i \le N$ , then Corollary 3.4 says that  $TI_{N-1} \equiv 1 \le i < N \land A[i-1] = A[N-i]$  and  $Ti_{N-1} \equiv 1 \le i \le N$ 

Assuming  $TI_{N-1}$ , the transformer wp is applied to the body of the loop and the postcondition

$$\begin{split} ℘(pal,i:=A[i]=A[N-1-i],i+1,pal\equiv palind(A,0,N))\\ \equiv\\ &0\leq i< N\land (A[i]=A[N-1-i]\equiv palind(A,0,N))\\ \equiv &<0\leq i< N\equiv true \text{ by hypothesis }TI_{N-1}>\\ &A[i]=A[N-1-i]\equiv palind(A,0,N) \end{split}$$

Assuming  $TI_{N-1}$ , transformer wp is now applied to the body of the loop and to the previous result

$$wp(pal, i := A[i] = A[N-1-i], i+1, A[i] = A[N-1-i] \equiv palind(A, 0, N))$$
  
 $\equiv 0 \le i < N \land (A[i+1] = A[N-2-i] \equiv palind(A, 0, N))$   
 $\equiv < 0 \le i < N \equiv true \text{ by hypothesis } TI_{N-1} > A[i+1] = A[N-2-i] \equiv palind(A, 0, N)$ 

By induction it can be shown that applying a  $\epsilon \ (\leq N-1)$  number of times, the wp transformer to the body of the loop and the postcondition, the following satisfiable formula is obtained

$$(\epsilon = 0?pal: A[i + \epsilon - 1] = A[N - \epsilon - i]) \equiv palind(A, 0, N)$$

In the same way, when applying the wp transformer to the body of the loop and the denied guard a  $\epsilon$  ( $\leq N-1$ ) number of times, the satisfiable formula  $i+\epsilon=N\vee A[i+\epsilon-1]\neq A[N-i-\epsilon]$  is obtained, that when replacing  $\epsilon:=i_f-i$  it result

$$i_f = N \vee A[i_f - 1] \neq A[N - i_f].$$

Thus, the weakest precondition of the loop is:

$$1 \leq i \leq N$$
 
$$\land ((\underline{m}=i?pal:A[\underline{m}-1]=A[N-\underline{m}]) \equiv palind(A,0,N))$$
 where  $m$  is an abbreviation of

$$(min \ i_f | i \le i_f \le i + N - 1 \land (i_f = N \lor A[i_f - 1] \ne A[N - i_f]) : i_f)$$

### 7 Conclusions

The theorems presented here are a small contribution to the development of a pragmatic calculation for the correction of programs. The examples presented here show that using the appropriate theorems, it is possible to get the weakest precondition or  $H_k(Post)$  of certain instructions Do, in a fast and formal way.

Since invariant relationships and invariant assertions are related [12], for future research it is proposed to extract from the implementation of [30], the aspects that allow the implementation of the invariant calculation technique described in this paper. This implementation will allow not only calculating the invariants  $H_k(Post)$ , but also the complexity of the algorithms, since if f is the complexity function of the body of a loop and it can be verified that the initial conditions of the iteration satisfy  $H_k(Post)$ , then it is inferred, that the complete algorithm is of complexity O(kf).

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