

Projections for Infinitary Rewriting

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Abstract

Proof terms in term rewriting are a representation means for reduction sequences, and more in general for contraction activity, allowing to distinguish e.g. simultaneous from sequential reduction. Proof terms for finitary, first-order, left-linear term rewriting are described in [15], ch. 8. In a previous work [12] we defined an extension of the finitary proof-term formalism, that allows to describe contractions in *infinitary* first-order term rewriting, and gave a characterisation of permutation equivalence.

In this work, we discuss how *projections* of possibly infinite rewrite sequences can be modeled using proof terms. Again, the foundation is a characterisation of projections for finitary rewriting described in [15], Sec. 8.7. We extend this characterisation to infinitary rewriting and also refine it, by describing precisely the role that structural equivalence plays in the development of the notion of projection. The characterisation we propose yields a definite expression, i.e. a proof term, that describes the projection of an infinitary reduction over another.

To illustrate the working of projections, we show how a common reduct of a (possibly infinite) reduction and a single step that makes part of it can be obtained via their respective projections. We show, by means of several examples, that the proposed definition yields the expected behavior also in cases beyond those covered by this result. Finally, we discuss how the notion of limit is used in our definition of projection for infinite reduction.

Keywords: infinitary term rewriting, proof terms, permutation equivalence, projection

1 Introduction

The general scope of this article is infinitary, first-order, left-linear term rewriting, with strong convergence as the criterion for limits of infinite reductions.

The same principles and notions used to study sequences of numbers, or more generally, of points in a topological space, can be applied to *reduction sequences* (which are sequences of rewriting steps), and particularly to *infinite* ones. By adapting the notions of limit and convergence, a target term can be determined for some infinite sequences. Such targets are, usually, infinite terms.

The possibility of infinite reduction sequences having targets leads to the realm of *infinitary rewriting*. It is natural to wonder whether the notions and results known for finite rewriting have extensions in the infinitary setting. Several results, both positive and negative, appear in the literature of the last 25 years [4], [8], [15].

The notion of *projecting* a reduction sequence over another, coinital, one, has been extensively studied and finds its origin in a key lemma for confluence of lambda calculus and orthogonal term rewriting, the Parallel Moves Lemma [3], [2], [15]. Projections may be used to formulate stronger versions of *confluence*¹. Given two coinital sequences δ and γ , where $t \xrightarrow{\delta} s$ and $t \xrightarrow{\gamma} u$, a common reduct of s and u can be obtained by applying to them the projection of γ over δ , and that of δ over γ , respectively. This statement can be further strengthened using characterisations of *permutation equivalence* of reductions. If we use the notation δ/γ for the projection of δ over γ , \approx for permutation equivalence and an infix colon $;$ for concatenation of reductions, then a stronger variant of confluence can be stated as follows:

$$\delta; \gamma/\delta \approx \gamma; \delta/\gamma$$

The aim of this article is to present some preliminary definitions and results related to projections, taken from our ongoing work on infinitary permutation equivalence. More in particular, our goal is to define projection in such a way that an explicit expression is obtained, representing the projection of an infinitary reduction over another. We also want to find out to which extent such a characterisation involves the notion of limit.

To this end, we use the representation of infinitary rewriting by means of *proof terms* given in [12], which extends that given for finitary, first-order, left-linear term rewriting in [15]. A proof term is an expression, namely a term, that describes a reduction. As a matter of fact, something more general: any combination of simultaneous (i.e. multistep) and sequential reduction can be denoted by a proof term. Composition, or concatenation, of reductions is represented in the proof term formalism by a binary symbol. An infix dot is used, so that the composition of (the reductions denoted by) the proof terms ψ and ϕ is noted $\psi \cdot \phi$. Infinitary permutation equivalence is modeled by equational logic applied to proof terms.

The study of equivalence between reductions in [15] includes a characterisation of projection of one reduction over another, by means of the binary operation $/$ defined between proof terms. That is, if ψ and ϕ are proof terms, then ψ/ϕ is a proof term that represents the projection of ψ over ϕ . The definition of the projection operation is given modulo structural equivalence, a subrelation of permutation equivalence that is specific for the proof term formalism. Therefore, some details about how to obtain the proof term corresponding to a projection are left open in that definition.

Results and discussion

We give a definition of projections for infinitary rewriting, which extends and refines that given in [15] for the finitary case. The refinement consists in specifying

¹ The study of infinitary confluence is not a mere extension of the results known for the finitary case. E.g., the infinitary counterpart of the Newman lemma does not hold, cfr. [7,10].

some of the permutation-equivalence transformations that are needed in order to compute projections.

We show a partial confluence result about this definition. Given a (possibly infinite) reduction ψ and one of its constituent steps, let us call it ϕ , such that the step can be performed on the source of ψ , we prove that $\psi \cdot (\phi/\psi) \approx \phi \cdot (\psi/\phi)$. This statement corresponds to the strengthened variant of confluence described earlier, as expressed by means of proof terms. We prove this result not in full generality. The minimal requirement on the step ϕ would be that it can already be performed in the source term of the proof term ψ , that is, that it does not depend on any previous step in the reduction represented by ψ . This requirement is strengthened in the sense that it not only *holds* for ϕ , but that, moreover, this is in some sense *evident*, just from the syntactic form of the proof term ψ .

This restriction, made specifically for this exploratory paper, has a twofold motivation. Firstly, it keeps matters simple, so that they can be clearly explained. Generalisations can be obtained, but they require more complicated techniques. Secondly, it turns out that in our work on infinitary standardisation, a major motivation for our interest in projections, nothing more is needed.

We show that our definition behaves as expected in some cases that extend the scope of the proven property, by means of several examples. We remark that in many cases the computation of the (proof term representing the) projection uses the notion of limit only to obtain the source or target term of a proof term; limits are not needed in order to reason specifically about projections. This includes computations of the projection of an infinite reduction over a finite one, and conversely, of a finite reduction over an infinite one.

We point out that limits are needed though, in some finite-over-infinite cases, related to *infinitary erasure*, and also to compute infinite-over-infinite projections.

Structure of the paper

In Section 2, we give the needed definitions about infinitary rewriting and the proof term model. After a preliminary discussion in Section 3, we introduce the definition of projection in Section 4, analyzing it through several examples, and we state and prove our partial confluence result in Section 5. In Section 6, we explore cases where the explicit mention of limits in the definition of projection cannot longer be avoided. Finally, some preliminary conclusions of this work-in-progress, and possible directions for future research, are given in Section 7. An extended version [13] includes the omitted proofs, and also some additional material regarding the formal definition of projections.

2 Preliminaries

We briefly introduce infinitary rewriting by means of the TRS with signature $\{a/0, f/1, g/1, k/1\}$ and the rules $f(x) \rightarrow g(x), g(x) \rightarrow k(x)$. Consider the term $f^n(a)$ for some $n < \omega$. In the tree rendering of this term, a sequence of n occurrences of f precedes the occurrence of a . An *infinite* sequence of chained f symbols represents an *infinite term*, which we denote as f^ω . For each $n < \omega$, this

linear tree has an occurrence of f at depth n . This term is the source of the *infinite reduction sequence* $f^\omega \rightarrow g(f^\omega) \rightarrow g^2(f^\omega) \dots g^n(f^\omega) \rightarrow g^{n+1}(f^\omega) \dots$. Note that the infinite sequence formed by the *targets* of the successive prefixes of this reduction, namely $\langle g(f^\omega), g^2(f^\omega), \dots g^n(f^\omega) \dots \rangle$, converges with g^ω as limit. Additionally, the sequence given by the *depth* (distance to the root) in which each step is performed, is simply $\langle 0, 1, 2, \dots n \dots \rangle$, so that it tends to infinity. Such a reduction sequence is considered as (strongly) convergent, having g^ω as target. In turn, it can be further extended from this target, leading to the following reduction sequence $f^\omega \rightarrow g(f^\omega) \rightarrow g^2(f^\omega) \rightarrow \dots g^\omega \rightarrow k(g^\omega) \rightarrow k^2(g^\omega) \rightarrow \dots k^\omega$, whose length is $\omega * 2$.

These simple examples show that the application of the notions of *limit* and *convergence* to the study of reduction sequences, lies in the foundation of infinitary term rewriting.

Infinitary term rewriting allows to rigorously define infinite terms and convergent infinite reductions, and study their properties. We refer to Chapter 12 in [15] and to [10] for the basic definitions. Here we just remark that we adopt the *strong convergence* criterion: for a transfinite rewrite sequence to be convergent, we require the depths of the successive steps to tend to infinity at each limit ordinal.

Projections of possibly infinite reductions are also defined in [8], and in a similar way, in [15], Chapter 12; our work proposes an alternative approach to that subject, via proof terms. Proof terms for term rewriting were introduced in [15], Chapter 8 and have been adapted to the infinitary setting in [12] and [11].

The idea motivating the definition and application of proof terms is to denote the reductions of some calculus as *terms* over an extended signature. For each reduction rule in the original TRS, a *rule symbol* is introduced. The arity of a rule symbol coincides with the number of different variables occurring in the left-hand side of the rule it represents. E.g., the signature of proof terms for a first-order TRS T including the rules $f(x) \rightarrow g(x)$, $j(m(x), m(y)) \rightarrow k(x)$ and $g(x) \rightarrow k(x)$ adds the rule symbols $\mu/1$, $\rho/2$ and $\nu/1$.

The initial stage in the definition of infinitary proof terms, as given in [11,12], is the set of *infinitary multi-steps*, i.e., the finite or infinite terms over the signature extended with rule symbols. Multi-steps with exactly one occurrence of a rule symbol denote single reduction steps, e.g. $\mu(a) : f(a) \rightarrow g(a)$, $g(\rho(a, b)) : g(j(m(a), m(b))) \rightarrow g(k(a))$. We identify such proof terms as *one-steps*. With more occurrences of rule symbols, we denote multi-steps, like $j(\mu(a), \mu(b)) : j(f(a), f(b)) \rightarrow j(g(a), g(b))$, $\rho(\mu(a), b) : j(m(f(a)), m(b)) \rightarrow k(g(a))$. A multi-step can be infinite, and even contain infinitely many rule symbol occurrences, as e.g. $\mu^\omega : f^\omega \rightarrow g^\omega$.

The beginning and end terms of the corresponding reductions are called the source and target of the proof term. For the proof terms considered so far, they can be obtained via rewriting in two companion TRSs, denoted as *SRC* and *TGT* respectively. For each rule symbol $\rho : l \rightarrow r$, *SRC* includes a rule $\rho(x_1, \dots, x_m) \rightarrow l[x_1, \dots, x_m]$ and *TGT* a rule $\rho(x_1, \dots, x_m) \rightarrow r[x_1, \dots, x_m]$. Source and target of a proof term are its normal forms in *SRC* and *TGT*, respectively. Of course there are the questions of existence and uniqueness. First note that both *SRC* and *TGT*

have unique normal forms, since they are orthogonal infinitary TRSs. It is also not hard to verify that SRC enjoys infinitary strong normalisation (SN^∞). Contrarily, TGT does not enjoy even infinitary weak normalisation (WN^∞) if the TRS includes collapsing rules. We conclude that the source of an infinitary multi-step ψ is always uniquely defined. The target is only defined if ψ is WN^∞ , but if so, it is also unique. If ψ is not WN^∞ for TGT , then we say that $tgt(\psi)$ is undefined.

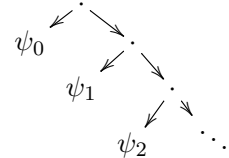
The set of redexes in $src(\psi)$ corresponding to the rule symbol occurrences in ψ admits at least one convergent development (respectively, all developments are convergent) precisely if ψ is WN^∞ (respectively SN^∞) in the TRS TGT . An infinitary multistep is called *convergent*, if its target can be computed.

To complete the definition of the set of finitary proof terms, we add a new binary function symbol \cdot (written infix), expressing concatenation, or composition, of reductions. Just to give a simple example, the proof term $f(\mu(a)) \cdot f(\nu(a))$ denotes the two-step reduction $f(f(a)) \rightarrow f(g(a)) \rightarrow f(k(a))$. The same reduction is represented by the proof term $f(\mu(a) \cdot \nu(a))$. Not all terms over the thus extended signature are valid proof terms though, but only those that can be constructed starting from the infinitary multi-steps by the following three inductive clauses.

First, closure under function or rule symbols: if ψ_1, \dots, ψ_n are proof-terms, then so are $f(\psi_1, \dots, \psi_n)$ and $\mu(\psi_1, \dots, \psi_n)$. Source and target terms are defined as expected, e.g. $src(\mu(\psi_1, \dots, \psi_n)) = l[src(\psi_1), \dots, src(\psi_n)]$, where $\mu : l \rightarrow h$.

Secondly, *binary composition*: if ψ, ϕ are proof terms, then so is $\psi \cdot \phi$, provided that $tgt(\psi) = src(\phi)$. This presupposes convergence of ψ . The proof term $\psi \cdot \phi$ is convergent iff ϕ is. We define $src(\psi \cdot \phi) = src(\psi)$ and $tgt(\psi \cdot \phi) = tgt(\phi)$.

Thirdly, *infinite composition*: the term corresponding to the figure is a proof term, if $\psi_0, \psi_1, \psi_2, \dots$ are, provided that for each $i < \omega$ we have convergence of ψ_i and $tgt(\psi_i) = src(\psi_{i+1})$. A linear rendering would be $\psi_0 \cdot (\psi_1 \cdot (\psi_2 \cdot \dots))$. We use $\cdot_{i < \omega} \psi_i$ as shorthand for this proof term.



For $\psi = \cdot_{i < \omega} \psi_i$, we define $src(\psi) = src(\psi_0)$, and declare that ψ is convergent iff the sequence $\langle mind(\psi_i) \rangle_{i < \omega}$ tends to infinity. Here *mind* stands for the *minimal activity depth* of a proof term. E.g., $mind(f(\mu(a)) \cdot \mu(g(a))) = 0$, since the denoted activity includes a root step; while $mind(m(f(\mu(a))) \cdot m(\mu(g(a)))) = 1$ as the denoted steps are at depths 2 and 1 resp.. Cfr. [11] for details. If $\cdot_{i < \omega} \psi_i$ is convergent, then $tgt(\psi)$ is defined as the limit of the sequence $\langle tgt(\psi_i) \rangle_{i < \omega}$.

By the above definition of proof terms an infinite composition is also a binary composition: $\cdot_{i < \omega} \psi_i = \psi_0 \cdot (\cdot_{i < \omega} \psi_{i+1})$. To preserve unique constructibility, infinitary proof terms are defined in [11] in layers corresponding to ordinal numbers, such that each proof term has a unique layer. Particularly, the (unique) layer of ψ is a limit ordinal iff ψ is an infinite composition.

Permutation equivalence (noted \approx henceforth) relates the proof terms that denote the same reduction in different ways, regarding parallelism/nesting degree, sequential order, and/or localisation. This relation is defined, in [11,12], as the congruence generated by the following seven basic equivalences:

$$\begin{array}{ll}
(\text{IdLeft}) & \text{src}(\psi) \cdot \psi \approx \psi \\
(\text{IdRight}) & \psi \cdot \text{tgt}(\psi) \approx \psi \\
(\text{Assoc}) & \psi \cdot (\phi \cdot \chi) \approx (\psi \cdot \phi) \cdot \chi \\
(\text{Struct}) & f(\psi_1, \dots, \psi_m) \cdot f(\phi_1, \dots, \phi_m) \approx f(\psi_1 \cdot \phi_1, \dots, \psi_m \cdot \phi_m) \\
(\text{InfStruct}) & \cdot_{i < \omega} f(\psi_i^1, \dots, \psi_i^m) \approx f(\cdot_{i < \omega} \psi_i^1, \dots, \cdot_{i < \omega} \psi_i^m) \\
(\text{OutIn}) & \mu(\psi_1, \dots, \psi_m) \approx \mu(s_1, \dots, s_m) \cdot r[\psi_1, \dots, \psi_m] \\
(\text{InOut}) & \mu(\psi_1, \dots, \psi_m) \approx l[\psi_1, \dots, \psi_m] \cdot \mu(t_1, \dots, t_m)
\end{array}$$

where $\mu : l \rightarrow r$, $s_i = \text{src}(\psi_i)$ and $t_i = \text{tgt}(\psi_i)$ in (InOut) and (OutIn), augmented with the following equational logic rules:

$$\begin{array}{c}
\frac{\psi_i \approx \phi_i \quad \text{for all } i < \omega}{\cdot_{i < \omega} \psi_i \approx \cdot_{i < \omega} \phi_i} \quad \text{InfComp} \\
\\
\frac{\begin{array}{l} \text{for all } k < \omega \quad \left\{ \begin{array}{l} \psi \approx_1 \chi_k \cdot \psi'_k \quad \text{mind}(\psi'_k) > k \\ \phi \approx_1 \chi_k \cdot \phi'_k \quad \text{mind}(\phi'_k) > k \end{array} \right. \\ \text{exists } \chi_k, \psi'_k, \phi'_k \end{array}}{\psi \approx \phi} \quad \text{Lim}
\end{array}$$

Here \approx_1 is the congruence generated by the seven basic equations, augmented by InfComp, but excluding the Lim-rule itself.

As a first example of proof terms including composition, and also of permutation equivalence, we consider the proof terms $fva \cdot \mu ka$ and $\mu ga \cdot gva$ (we omit some unary symbol parentheses in the sequel). These proof terms represent the two possible reduction sequences that transform the source term fga into gka . Note that *simultaneous reduction* of a set of cointial redexes is given, in the proof-term model, a specific denotation. In this case, the proof term μva denotes, specifically, the simultaneous step $fga \rightarrow gka$.

We prove that the three given proof terms are permutation equivalent, as follows. By (InOut) and (OutIn) we obtain $\mu va \approx fva \cdot \mu ka$ and $\mu va \approx \mu ga \cdot gva$. Symmetry and transitivity, which are included in the generated congruence, yield $fva \cdot \mu ka \approx \mu ga \cdot gva$. Note that the (InOut) and (OutIn) equations model the permutation of a head step w.r.t. internal activity.

In turn, the (Struct) equation allows to reason about activity lying inside a *fixed context*, as in the following permutation equivalence judgement: $mfva \cdot \mu ka \approx m(fva \cdot \mu ka) \approx m\mu va \approx m(\mu ga \cdot gva) \approx m\mu ga \cdot mgva$ where the first use of (Struct) enables the permutation of steps, and the second one yields the equivalence between reduction sequences. Here the fixed context is $m(\square)$.

The next example involves infinite composition. Consider $\psi = \psi_1 \cdot \psi_2$ where $\psi_1 = \cdot_{i < \omega} g^i(\mu(f^\omega))$ and $\psi_2 := \cdot_{i < \omega} k^i(\nu(g^\omega))$, and $\phi = \cdot_{i < \omega} \chi_i$ where $\chi_i = k^i(\mu(f^\omega) \cdot \nu(f^\omega))$. The proof terms ψ and ϕ denote, respectively, the reduction sequences $f^\omega \rightarrow gf^\omega \rightarrow g^2f^\omega \rightarrow \dots \rightarrow g^\omega \rightarrow kg^\omega \rightarrow k^2g^\omega \rightarrow \dots \rightarrow k^\omega$ and $f^\omega \rightarrow gf^\omega \rightarrow kf^\omega \rightarrow kgf^\omega \rightarrow k^2f^\omega \rightarrow \dots \rightarrow k^\omega$, that are two different ways to perform the transformation of each occurrence of f in f^ω to g and subsequently to k , by means of the μ - and ν -rules respectively.

Using the augmented congruence, including the Lim rule, the assertion $\psi \approx \phi$

can be justified. To start, note that $\psi_1 = \mu f^\omega \cdot \cdot_{i < \omega} g(g^i \mu f^\omega)$ just by definition of infinite compositions. In turn, (InfStruct) yields $\cdot_{i < \omega} g(g^i \mu f^\omega) \approx_1 g(\cdot_{i < \omega} g^i \mu f^\omega) = g(\psi_1)$. Applying a similar argument on ψ_2 , and then (Assoc), we obtain $\psi \approx_1 \mu f^\omega \cdot (g(\psi_1) \cdot \nu g^\omega) \cdot k(\psi_2)$. Then, a permutation of steps based on (InOut) and (OutIn) yields $\psi \approx_1 \mu f^\omega \cdot (\nu f^\omega \cdot k(\psi_1)) \cdot k(\psi_2)$, so that we get $\psi \approx_1 (\mu f^\omega \cdot \nu f^\omega) \cdot k(\psi_1 \cdot \psi_2) = \chi_0 \cdot k(\psi)$, by (Assoc) and (Struct). For any $n < \omega$, iterating over the whole argument yields $\psi \approx_1 \chi_0 \cdot \chi_1 \cdot \dots \cdot \chi_n \cdot k^{n+1}(\psi)$. On the other hand, it is straightforward to obtain $\phi \approx_1 \chi_0 \cdot \chi_1 \cdot \dots \cdot \chi_n \cdot \cdot_{i < \omega} \chi_{n+1+i}$. Hence Lim yields $\psi \approx \phi$.

This example shows the relevance of the Lim rule for permutation equivalence judgements. Proof terms ψ and ϕ can be proven \approx_1 -equivalent up to an arbitrary activity depth level n : we have $\psi \approx_1 \chi_0 \cdot \dots \cdot \chi_n \cdot \psi'$ and $\phi \approx_1 \chi_0 \cdot \dots \cdot \chi_n \cdot \phi'$, where $\text{mind}(\psi') > n$ and $\text{mind}(\phi') > n$. So, ψ and ϕ can be transformed into forms whose difference, represented by ψ' and ϕ' , can be made arbitrarily irrelevant (with minimum activity depth as the relevance measure). It is not possible to obtain $\psi \approx_1 \phi$, however. The Lim rule allows taking limits to conclude $\psi \approx \phi$.

Similarly, the infinite multistep μ^ω and the infinite composition $\cdot_{i < \omega} g^n(\mu(f^\omega))$ denote, respectively, the simultaneous and sequential contraction of the infinite set of μ -redexes present in the source term f^ω . In fact, the latter corresponds to the reduction sequence $f^\omega \rightarrow g(f^\omega) \rightarrow g^2(f^\omega) \rightarrow \dots \twoheadrightarrow g^\omega$. Other sequential reductions of the same set of redexes are denoted by specific infinite composition proof terms. As an example, the sequence $f^\omega \rightarrow f(g(f^\omega) \rightarrow g^2(f^\omega) \rightarrow g^2(f(g(f^\omega))) \rightarrow g^4(f^\omega) \rightarrow \dots \twoheadrightarrow g^\omega$ can be faithfully denoted by $\cdot_{i < \omega} g^{2i}(f(\mu(f^\omega))) \cdot g^{2i}(\mu(g(f^\omega)))$. Again, all these proof terms can be proven permutation equivalent. In the infinite case, the corresponding equivalence judgement makes use of the Lim equational rule.

In [12] we showed that any convergent reduction sequence can be given a precise denotation as a *stepwise* proof term, i.e., a proof term constructed from one-steps, by only using binary and infinitary composition. Moreover, this representation is *unique* modulo the associativity of the composition symbol. Note that e.g. $(\mu(f(a)) \cdot \nu(f(a))) \cdot k(\mu(a))$ and $\mu(f(a)) \cdot (\nu(f(a)) \cdot k(\mu(a)))$ are different, albeit equivalent, proof terms.

We gave in [12] also an alternative proof of the *compression* property for convergent transfinite rewrite sequences, using their representations as proof terms. In fact, we proved a strong version: the compressed (i.e. having length at most ω) reduction sequence is permutation equivalent (and not only coincident in source and target) to the original one. The general argument of our compression proof reflects a remark in [9]: compression can be considered as a degenerate form of *standardisation*. Based on this idea, we are currently working on standardisation results for infinitary rewriting, also based on the representation of reductions by means of proof terms.

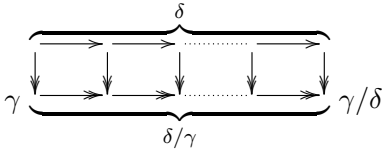
Finally, we remark that our definition of the set of proof terms, as well as our characterisation of permutation equivalence, are based on *inductive* notions and techniques. In particular, inductive reasoning can be used on the set of occurrences in a term, considering their distance to the root which is always finite. Also, trans-

finite induction can be used to reason about infinite reduction sequences, since their length can always be expressed as an ordinal.

An alternative approach that incorporates *coinductive* techniques, appears in [6]. There, convergent reduction sequences are represented by coinductively defined trees, and the *reduction relation* is characterised through a combination of inductive and coinductive fixed points. The latter characterisation is formalised in Coq, leading to a Coq-certified proof of compression. The approach is also extended to study infinitary equational reasoning. On the other hand, their proposal does not describe the space of transfinite reductions in full detail. In particular, it does not allow different descriptions of sequential and simultaneous reduction, and the order in which disjoint steps are performed cannot be expressed. Neither permutation equivalence nor projections are addressed². Hence, we perceive this work to be complementary with our characterisation of infinitary rewriting.

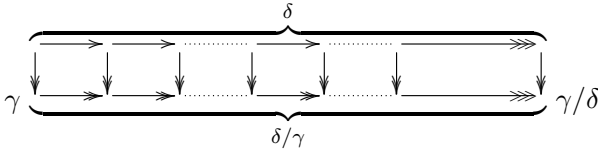
3 Finitary and infinitary projections

Let δ be a reduction sequence, and γ a coinital step. The following commutation diagram describes the argument of the Parallel Moves Lemma (PML).

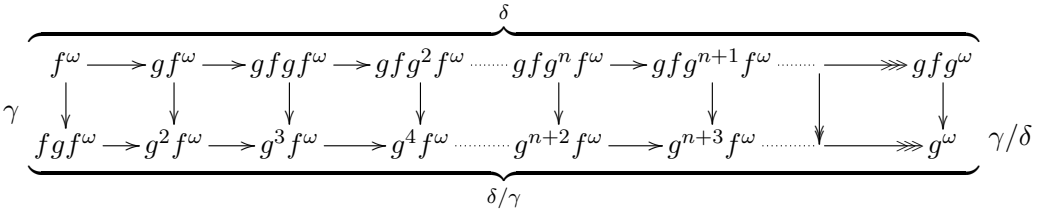


This diagram establishes a *particular confluence* property: a common target can be reached by performing γ/δ and δ/γ , after δ and γ respectively.

If δ is an *infinite* reduction sequence, the diagram gets infinite as well:



leading to an infinite variant of PML. A concrete example follows, using the rule $f(x) \rightarrow g(x)$ and omitting parentheses for unary symbols



Note that each step in δ has a nonempty projection after (the respective projection of) γ . The projection of γ after δ can be naturally defined as the *limit* of the projections after its successive prefixes. In turn, the projection of δ after γ can be defined as the limit of the projections of the successive prefixes of the former. Observe that the notion of limit is relevant for the definition of projections, whenever

² A limited form of permutation equivalence is currently being studied [5].

infinite reductions are involved.

In the sequel, we define the projection of one reduction over another as a binary operation on (their representation as) *proof terms*. As permutation equivalence is also characterized on proof terms, we can express in this formalism the stronger version of the confluence criterion suggested by the PML described in the introduction, as follows: $\psi \cdot \phi / \psi \approx \phi \cdot \psi / \phi$, where ψ and ϕ represent δ and γ resp.. This statement also expresses the idea of *orthogonality* between ψ and ϕ in a way independent from the syntax of terms, or more generally, the form of the objects being rewritten. As such, it is closely related to the axiom called PERM in [14], and *Semantic orthogonality* in [1].

In the next section, we extend to the infinitary realm a definition given in [15], showing that the role of limits in computing projections is very restricted in some cases, as in the example just given. The strong confluence result is proved, for a very limited case, in Section 5.

4 Projection through proof terms

The projection of a reduction over another is defined in [15] Ch. 8, for finitary term rewriting, as the operation on proof terms defined as follows.

$$\begin{aligned} \mu(\phi_1, \dots, \phi_m) / \mu(\psi_1, \dots, \psi_m) &= h[\phi_1/\psi_1, \dots, \phi_m/\psi_m] \\ \mu(\phi_1, \dots, \phi_m) / l[\psi_1, \dots, \psi_m] &= \mu(\phi_1/\psi_1, \dots, \phi_m/\psi_m) \\ l[\phi_1, \dots, \phi_m] / \mu(\psi_1, \dots, \psi_m) &= h[\phi_1/\psi_1, \dots, \phi_m/\psi_m] \\ f(\phi_1, \dots, \phi_m) / f(\psi_1, \dots, \psi_m) &= f(\phi_1/\psi_1, \dots, \phi_m/\psi_m) \\ (\phi \cdot \psi) / \chi &= \phi / \chi \cdot \psi / (\chi / \phi) \\ \chi / (\phi \cdot \psi) &= (\chi / \phi) / \psi \end{aligned}$$

where $\mu : l \rightarrow h$. We give a simple example, using the rules: $\rho : j(g(x), y) \rightarrow j(x, y)$, $\mu : f(x) \rightarrow g(x)$, $\pi : a \rightarrow b$, $\tau : c \rightarrow d$, $\sigma : m(x) \rightarrow n(x)$.

$$\begin{aligned} &(j(\mu(\pi), m(c)) \cdot \rho(b, \sigma(c))) / j(f(\pi), \sigma(\tau)) \\ &= j(\mu(\pi), m(c)) / j(f(\pi), \sigma(\tau)) \cdot \rho(b, \sigma(c)) / (j(f(\pi), \sigma(\tau)) / j(\mu(\pi), m(c))) \\ &= j(\mu(b), n(d)) \cdot \rho(b, \sigma(c)) / j(g(b), \sigma(\tau)) \\ &= j(\mu(b), n(d)) \cdot \rho(b/b, \sigma(c)/\sigma(\tau)) \\ &= j(\mu(b), n(d)) \cdot \rho(b, n(d)) \end{aligned}$$

The projection denotes the steps in $j(\mu(\pi), m(c)) \cdot \rho(b, \sigma(c))$ that are not performed in $j(f(\pi), \sigma(\tau))$, namely the μ and ρ -steps, applied on the target of the latter proof term. We remark that in the last step of this example, we obtain $b/b = b$ by applying the fourth clause with $m = 0$.

The projection operation is defined *modulo* (the relation generated by) the equation (Struct). The following example shows why this is required.

$$\begin{aligned} \rho(m(c), b) / j(g(\sigma(c)) \cdot g(n(\tau)), b) &= \rho(m(c), b) / j(g(\sigma(c) \cdot n(\tau)), b) \\ &= \rho(m(c) / (\sigma(c) \cdot n(\tau)), b/b) = \rho((m(c)/\sigma(c))/n(\tau), b) = \rho(n(d), b) \end{aligned}$$

Observe that $j(g(\sigma(c)) \cdot g(n(\tau)), b)$ must be transformed into $j(g(\sigma(c) \cdot n(\tau)), b)$ in order to apply the second clause in the definition of projection.

In the following, we give a variant of the definition of the projection operation, aiming at two goals. First, to produce a more precise definition, making the use of structural equivalence explicit. Secondly, to obtain proof terms for projections involving *infinite* reductions, at least in some cases. For the first goal we establish the necessity, in some cases, to transform a proof term into a form that makes a fixed reduction prefix explicit. This is the role of structural equivalence in the projection, as shown in the last developed example w.r.t. the fixed prefix $j(g(\square), \square)$.

Let C be a context having a finite number of holes, and ψ a proof term. We say that C is a *fixed prefix* for ψ , iff any of the following items apply:

- $C = \square$
- $\psi = f(\psi_1, \dots, \psi_m)$, $C = f(C_1, \dots, C_m)$, and C_i is a fixed prefix for ψ_i for all i
- $\psi = \psi_1 \cdot \psi_2$ or $\psi = \cdot_{i < \omega} \psi_i$, and C is a fixed prefix for ψ_i for all i

Observe that C being a fixed prefix for ψ implies that C is composed by function (opposed to rule and dot) symbols only. C being a fixed prefix is stable by permutation equivalence.

Let C be a context and ψ a proof term, such that C is a fixed prefix for ψ . We define the *explicit fixed-prefix form* of ψ w.r.t. C , notation $\psi \triangleright C$, as follows:

$$\begin{aligned}
 \psi \triangleright \square &:= \psi \\
 f(\psi_1, \dots, \psi_m) \triangleright f(C_1, \dots, C_m) &:= f(\psi_1 \triangleright C_1, \dots, \psi_m \triangleright C_m) \\
 (\psi_1 \cdot \psi_2) \triangleright f(C_1, \dots, C_m) &:= f(\psi_{11} \cdot \psi_{21} \triangleright C_1, \dots, \psi_{1m} \cdot \psi_{2m} \triangleright C_m) \\
 &\quad \text{where } \psi_i \triangleright f^\square = f(\psi_{i1}, \dots, \psi_{im}) \text{ for } i = 1, 2 \\
 (\cdot_{i < \omega} \psi_i) \triangleright f(C_1, \dots, C_m) &:= f(\cdot_{i < \omega} \psi_{i1} \triangleright C_1, \dots, \cdot_{i < \omega} \psi_{im} \triangleright C_m) \\
 &\quad \text{where } \psi_i \triangleright f^\square = f(\psi_{i1}, \dots, \psi_{im}) \text{ for all } i < \omega
 \end{aligned}$$

In this definition, as well as in the sequel, f^\square denotes the context $f(\square, \dots, \square)$. We use also l^\square and h^\square , where $\mu : l \rightarrow h$. Observe that $j(g(\sigma(c)) \cdot g(n(\tau)), b) \triangleright j(g(\square), \square) = j(g(\sigma(c)) \cdot g(n(\tau)) \triangleright g(\square), b \triangleright \square) = j(g(\sigma(c) \cdot n(\tau) \triangleright \square), b) = j(g(\sigma(c) \cdot n(\tau)), b)$, the form needed to compute the projection in the last given example.

We say that a proof term ψ *includes head steps*, if $\psi = \mu(\psi_1, \dots, \psi_m)$, or either $\psi = \psi_1 \cdot \psi_2$ or $\psi = \cdot_{i < \omega} \psi_i$, and some ψ_n includes head steps.

Given two coinitial proof terms ψ and ϕ , we define the *projection* of ψ over ϕ , notation ψ / ϕ , as the operation given by the following clauses, considered in order.

1. $src(\psi) / \psi := tgt(\psi) \quad \psi / src(\psi) := \psi$
2. $\mu(\phi_1, \dots, \phi_m) / \mu(\psi_1, \dots, \psi_m) := h[\phi_1 / \psi_1, \dots, \phi_m / \psi_m]$
3. $\mu(\phi_1, \dots, \phi_m) / \psi := \mu(\phi_1 / \psi_1, \dots, \phi_m / \psi_m)$ if l^\square is a fixed prefix for ψ
4. $\phi / \mu(\psi_1, \dots, \psi_m) := h[\phi_1 / \psi_1, \dots, \phi_m / \psi_m]$ if l^\square is a fixed prefix for ϕ
5. $(\phi \cdot \psi) / \chi := \phi / \chi \cdot \psi / (\chi / \phi)$
if $\phi \cdot \psi$ includes head steps and $\chi = \mu(\chi_1, \dots, \chi_m)$, $f(\chi_1, \dots, \chi_m)$ or $\cdot_{i < \omega} \chi_i$
6. $\chi / (\phi \cdot \psi) := (\chi / \phi) / \psi$ if either $\phi \cdot \psi$ or χ include head steps
7. $\phi / \psi := f(\phi_1 / \psi_1, \dots, \phi_m / \psi_m)$ if f^\square is a fixed prefix for both ϕ and ψ

where in clauses 2, 3 and 4, $\mu : l \rightarrow h$; and also $\psi \triangleright l^\square = l[\psi_1, \dots, \psi_m]$ in clause 3, $\phi \triangleright l^\square = l[\phi_1, \dots, \phi_m]$ in clause 4, and analogously for $\phi \triangleright f^\square$ and $\psi \triangleright f^\square$ in clause 7.

We remark that clauses 5 and 6 apply to both binary and infinitary composition.

We add a few comments on this definition of projection. First, when using the definition we will always consider proof terms modulo the relation generated by the equations (IdLeft), (IdRight) and (Assoc), the so-called *reduction identities* in [15]. Secondly, we assume that ψ and ϕ are *mutually orthogonal*, even if the underlying TRS is not. This implies in particular that if $\phi = \mu(\phi_1, \dots, \phi_m)$ where $\mu : l \rightarrow h$, and ψ does not include head steps, then l^\square is a fixed prefix for ψ . Finally, we note that clause 1 is needed to avoid infinite iteration if $\text{src}(\psi)$ is an *infinite* term. Otherwise, e.g. to compute f^ω / f^ω clause 7 would have to be applied ad infinitum.

We show some simple cases of projections involving infinite proof terms, using the rule $\mu : f(x) \rightarrow g(x)$. Omitting parentheses for unary function symbols, we have e.g. $f\mu^\omega / \mu f\mu f^\omega = g(\mu^\omega / f\mu f^\omega) = g\mu(\mu^\omega / \mu f^\omega) = g\mu g(\mu^\omega / f^\omega) = g\mu g\mu^\omega$, applying clauses 4, 3, 2 and 1 respectively. We can also obtain the projection *over* an infinite reduction: $\mu f\mu f^\omega / f\mu^\omega = \mu(f\mu f^\omega / \mu^\omega) = \mu g(\mu f^\omega / \mu^\omega) = \mu g g(f^\omega / \mu^\omega) = \mu g^\omega$.

Sequential reductions lead to more laborious projection computations:

$$\begin{aligned}
& \cdot_{i<\omega} f g^i \mu f^\omega / (\mu f^\omega \cdot g f \mu f^\omega) \\
&= (\cdot_{i<\omega} f g^i \mu f^\omega / \mu f^\omega) / g f \mu f^\omega && \text{clause 6} \\
&= g(\cdot_{i<\omega} g^i \mu f^\omega / f^\omega) / g f \mu f^\omega && \text{clause 4} \\
&= g(\cdot_{i<\omega} g^i \mu f^\omega) / g f \mu f^\omega && \text{clause 1} \\
&= g(\cdot_{i<\omega} g^i \mu f^\omega / f \mu f^\omega) && \text{clause 7} \\
&= g((\mu f^\omega / f \mu f^\omega) \cdot (\cdot_{i<\omega} g^{i+1} \mu f^\omega / (f \mu f^\omega / \mu f^\omega))) && \text{clause 5} \\
&= g(\mu g f^\omega \cdot (\cdot_{i<\omega} g^{i+1} \mu f^\omega / g \mu f^\omega)) \\
&= g(\mu g f^\omega \cdot g(\cdot_{i<\omega} g^i \mu f^\omega / \mu f^\omega)) && \text{clause 7} \\
&= g(\mu g f^\omega \cdot g(\mu f^\omega / \mu f^\omega \cdot (\cdot_{i<\omega} g^{i+1} \mu f^\omega / (\mu f^\omega / \mu f^\omega)))) && \text{clause 5} \\
&= g(\mu g f^\omega \cdot g(g f^\omega \cdot (\cdot_{i<\omega} g^{i+1} \mu f^\omega / g f^\omega))) \\
&= g(\mu g f^\omega \cdot g(g f^\omega \cdot \cdot_{i<\omega} g^{i+1} \mu f^\omega)) && \text{clause 1} \\
&= g(\mu g f^\omega \cdot g(\cdot_{i<\omega} g^{i+1} \mu f^\omega)) && \text{reduction identities}
\end{aligned}$$

Note that the explicit fixed-prefix form of an infinite composition is used several times, namely, in the use of clause 4 and both uses of clause 7.

By relating the given examples, we observe that simultaneous and sequential descriptions of the same reduction lead to permutation equivalent projections. In this case we have $g\mu g\mu^\omega \approx g(\mu g f^\omega \cdot g(\cdot_{i<\omega} g^{i+1} \mu f^\omega))$, as we prove in the following. Note that for any $n < \omega$, using just (Assoc) we obtain $\cdot_{i<\omega} g^i \mu f^\omega \approx_1 \mu f^\omega \cdot g\mu f^\omega \cdot \dots \cdot g^n \mu f^\omega \cdot \cdot_{i<\omega} g^{i+n+1} \mu f^\omega$. On the other hand, (OutIn) and (Struct) yield $\mu^\omega \approx_1 \mu f^\omega \cdot g\mu^\omega \approx_1 \mu f^\omega \cdot g(\mu f^\omega \cdot g\mu^\omega) \approx_1 \mu f^\omega \cdot g\mu f^\omega \cdot g^2 \mu^\omega$, so that a simple iteration entails $\mu^\omega \approx_1 \mu f^\omega \cdot g\mu f^\omega \cdot \dots \cdot g^n \mu f^\omega \cdot g^{n+1} \mu^\omega$. Hence Lim allows to assert $\cdot_{i<\omega} g^i \mu f^\omega \approx \mu^\omega$. In turn, $g\mu g\mu^\omega \approx g(\mu g f^\omega \cdot g^2 \mu^\omega)$ while $g(\mu g f^\omega \cdot g(\cdot_{i<\omega} g^{i+1} \mu f^\omega)) \approx g(\mu g f^\omega \cdot g^2(\cdot_{i<\omega} g^i \mu f^\omega))$, where (InfStruct) is used for the latter assertion. Hence, congruence allows to conclude.

Finally we remark that in the given examples, projections involving an infinite proof term are successively decomposed, until clause 1 can be used to obtain a final expression for the projection. Limits are only indirectly involved, to compute source or target terms in the uses of that clause. In Section 6 we discuss some examples

of projections where limits should be used in a more essential way.

5 A partial confluence property

The definition of infinitary projections given in Section 4 allows to study the statement $\psi \cdot (\phi/\psi) \approx \phi \cdot (\psi/\phi)$, that we described in Section 3. Let us verify this property for the first example of Section 4, where $\psi = f\mu^\omega$ and $\phi = \mu f\mu f^\omega$, and the projections are $\psi/\phi = g\mu g\mu^\omega$ and $\phi/\psi = \mu g^\omega$. We have

$$\begin{aligned}
 \psi \cdot \phi/\psi &= f\mu^\omega \cdot \mu g^\omega \approx \mu^\omega \approx \mu f^\omega \cdot g\mu^\omega && (\text{InOut}), (\text{OutIn}) \\
 &\approx \mu f^\omega \cdot g(f\mu^\omega \cdot \mu g^\omega) && (\text{InOut}) \\
 &\approx \mu f^\omega \cdot g(f\mu f^\omega \cdot fg\mu^\omega \cdot \mu g^\omega) && (\text{OutIn}), (\text{Struct}) \\
 &\approx \mu f^\omega \cdot gf\mu f^\omega \cdot g(fg\mu^\omega \cdot \mu g^\omega) && (\text{Struct}) \\
 &\approx \mu f\mu f^\omega \cdot g\mu g\mu^\omega && (\text{OutIn}), (\text{InOut}) \\
 &= \phi \cdot \psi/\phi
 \end{aligned}$$

This section is devoted to proving the above mentioned result in a very limited case; namely, when ϕ denotes a single step on the source term of ψ , that is actually included in ψ . Moreover, we ask ϕ to denote a step *easily extractable* from ψ . The forthcoming statement covers e.g. this case: $\psi = \mu^\omega$ or $\psi = \cdot_{i<\omega} g^i \mu f^\omega$, and $\phi = \mu f^\omega$. The example just described is not comprised: ϕ denotes two (simultaneous) steps, and one of them (the outermost one) is not included in ψ .

5.1 Easily extractable steps

Roughly speaking, a step included in a proof term ψ , that is, a rule symbol occurrence in ψ , is easily extractable if there are no other rule symbols in ψ denoting activity performed before that step, that affect positions in its pattern (that is, in the left-hand side pattern that is replaced by that step) or above it. E.g., if $\mu : f(x) \rightarrow g(x)$, $\nu : g(x) \rightarrow k(x)$, and $\pi : a \rightarrow b$, then the only easily extractable step in $\mu(a) \cdot \nu(\pi)$ is the μ occurrence, since it denotes a step that is performed before both the ν - and the π -steps and affects the root position, the same as the ν -step, and above that corresponding to the π -step. On the other hand, both the μ and the π occurrences are easily extractable in the equivalent $\mu(\pi) \cdot \nu(b)$, since they are performed simultaneously. We note that function symbols do not affect extractability, e.g. all the rule symbol occurrences are easily extractable in $j(\mu(\pi), \nu(c))$.

Formally, we define the set of easily extractable rule symbol occurrences in a proof term ψ , notation $\text{ers}(\psi)$, as a set of *pairs of positions*. The left component is the *contraction position*, i.e. the position in $\text{src}(\psi)$ where the step can be applied. The right component is the *position of the rule symbol occurrence* in the proof term. E.g., if $\psi = \mu(a) \cdot \nu(\pi)$, the only element of $\text{ers}(\psi)$ is $\langle \epsilon, 1 \rangle$: the μ occurrence at position 1 in ψ can be applied at position ϵ on $\text{src}(\psi) = f(a)$.

As the material of this section is deeply based on position analysis, we define an analogous to the fixed-prefix context property, given in terms of positions. Let P be a set of positions and ψ a proof term. We say that ψ *respects* P iff the latter is finite and prefix-closed, and any of the following applies

- ψ is an infinitary multistep, $P \subseteq \text{pos}(\psi)$ and $\psi(p) \in \Sigma$ for all $p \in P$.
- $\psi = \psi_1 \cdot \psi_2$, or $\psi = \cdot_{i < \omega} \psi_i$, and all ψ_i respect P
- $\psi = f(\psi_1, \dots, \psi_m)$ and either $P = \emptyset$ or ψ_i respects $P|_i$ for all i
- $\psi = \mu(\psi_1, \dots, \psi_m)$ and $P = \emptyset$

where $P|_i := \{p / ip \in P\}$, and ψ is assumed not a multistep in the last two clauses.

It is easy to verify that: (1) for any proof term ψ and context C , C is a fixed prefix for ψ iff ψ respects the set of non-hole positions of C , (2) if ψ respects P , then $\text{src}(\psi)(r) = \text{tgt}(\psi)(r)$ for all $r \in P$, and (3) permutation equivalence preserves the *respects* property. Cfr. [11], Sec. 5.5.

We now give the formal definition of *ers*.

$$\begin{aligned}
 \text{ers}(\mu(\psi_1, \dots, \psi_m)) &:= \{\langle \epsilon, \epsilon \rangle\} \cup \{\langle r_1 r_2, ip \rangle / \langle r_2, p \rangle \in \text{ers}(\psi_i) \wedge l(r_1) = x_i\} \\
 &\quad \text{where } \mu : l \rightarrow h \\
 \text{ers}(f(\psi_1, \dots, \psi_m)) &:= \bigcup \{\langle ir, ip \rangle / \langle r, p \rangle \in \text{ers}(\psi_i)\} \\
 \text{ers}(\psi_1 \cdot \psi_2) &:= \{\langle r, 1p \rangle / \langle r, p \rangle \in \text{ers}(\psi_1)\} \cup \{\langle r, 2p \rangle / \langle r, p \rangle \in \text{ers}(\psi_2) \\
 &\quad \wedge \psi_1 \text{ respects } \{r' / r' < r\} \cup (r \cdot \text{Ppos}(\psi_2(p)))\} \\
 \text{ers}(\cdot_{i < \omega} \psi_i) &:= \{\langle r, 2^j 1p \rangle / \langle r, p \rangle \in \text{ers}(\psi_j) \\
 &\quad \wedge \psi_i \text{ respects } \{r' / r' < r\} \cup (r \cdot \text{Ppos}(\psi_j(p)))\} \text{ for all } i < j
 \end{aligned}$$

where $\text{Ppos}(\mu) = \{p \in l / l(p) \notin \text{Var}\}$ and $\mu : l \rightarrow h$.

The set of easily extractable steps is restricted to keep the definition simple, avoiding non-trivial analysis of positions. E.g. in $\psi = \mu(a) \cdot \nu(\pi)$, the π -step, while not included in $\text{ers}(\psi)$, could be performed on $\text{src}(\psi) = f(a)$.

We verify that all easily extractable rule symbol occurrences are indeed extractable (to the source of the proof term) rule symbol occurrences.

Lemma 5.1 *Let ψ be a proof term, and $\langle r, p \rangle \in \text{ers}(\psi)$. Then $\psi(p)$ is a rule symbol, say $\psi(p) = \mu$, and $\text{src}(\psi)|_r = l[s_1, \dots, s_k]$ where $\mu : l \rightarrow h$.*

Proof A simple induction on $\langle \psi, r \rangle$ suffices³. If $\psi = \mu(\psi_1, \dots, \psi_m)$ and $r = r_1 r_2$, recall that $r_1 \neq \epsilon$, then we conclude by induction on $\langle \psi_i, r_2 \rangle$. If $\psi = f(\psi_1, \dots, \psi_m)$, so that $r = ir'$, then induction on $\langle \psi_i, r' \rangle$ suffices to conclude.

Assume that $\psi = \psi_1 \cdot \psi_2$. If $p = 1p'$, implying $\langle r, p' \rangle \in \text{ers}(\psi_1)$, then IH applies to $\langle \psi_1, r \rangle$. Recalling that $\text{src}(\psi) = \text{src}(\psi_1)$, the conclusions of the IH suffice to conclude. If $p = 2p'$, implying $\langle r, p' \rangle \in \text{ers}(\psi_2)$, then IH on $\langle \psi_2, r \rangle$ yields that $\psi(p) = \psi_2(p') = \mu$, and also that $\text{src}(\psi_2)|_r = \text{tgt}(\psi_1)|_r = l[t_1, \dots, t_k]$ for some t_1, \dots, t_k . In turn, $\langle r, 2p' \rangle \in \text{ers}(\psi)$ implies that ψ_1 respects $\{r' / r' < r\} \cup (r \cdot \text{Ppos}(l))$, so that $\text{src}(\psi)|_r = \text{src}(\psi_1)|_r = l[s_1, \dots, s_k]$.

If $\psi = \cdot_{i < \omega} \psi_i$, then an argument similar to that given for the previous case, where $p = 2^j 1p'$ instead of $p = 2p'$ suffices; an iteration over $\langle \psi_{j-1}, \dots, \psi_0 \rangle$ is required to verify $\text{src}(\psi_1)|_r = l[s_1, \dots, s_k]$. \square

The elements of $\text{ers}(\psi)$ correspond to the steps that can be extracted, i.e., applied to $\text{src}(\psi)$. The following definition formalises the notion of applying a rule symbol

³ If $\psi = \mu(\psi_1, \dots, \psi_m)$ or $\psi = f(\psi_1, \dots, \psi_m)$, then ψ_i is not smaller than ψ w.r.t. its ordinal number layer if ψ is a multistep; this is the reason to consider induction on pairs, adding r as the second component.

occurrence to a term. Let t be a term, r a position, and $\mu : l \rightarrow h$ a rule, such that $t|_r = l[t_1, \dots, t_m]$. We define the *insertion of μ into t at position r* as follows: $\text{irs}(t, \mu, r) := t[\mu(t_1, \dots, t_m)]_r$.

5.2 Basic properties

In order to prove the main result of this section, some basic properties of explicit fixed-prefix forms, easily extractable steps, and projections are required. We will state these auxiliary results, along with some description. Their proofs, straightforward once the proper induction principle is determined, are given in [13].

First, we verify that the explicit fixed-prefix forms of a proof term, as defined in Section 4, are equivalent to that proof term.

Lemma 5.2 *Let ψ be a proof term, and C a context such that C is a fixed prefix for ψ . Then $\psi \triangleright C = C[\psi_1, \dots, \psi_m]$, and $\psi \approx_1 \psi \triangleright C$. Moreover, these proof terms are structurally equivalent, i.e., a permutation equivalence derivation exists whose conclusion is $\psi \triangleright C \approx_1 \psi$ and where neither (InOut) nor (OutIn) are used.*

The next result states that easily extractable steps are compatible with explicit fixed-prefix forms, where the contraction position does not change. E.g., consider $\psi = m(f(\pi)) \cdot m(\mu(b))$, so that $\psi \triangleright m(\square) = m(f(\pi) \cdot \mu(b))$. We have $\langle 1, 21 \rangle \in \text{ers}(\psi)$, denoting that the μ -step at position 21 is easily extractable to the position 1; note that $\text{src}(\psi) = m(f(a))$. The element of $\text{ers}(\psi \triangleright m(\square))$ for the same step is $\langle 1, 12 \rangle$. The position of the rule symbol changed, while the contraction position is the same.

Lemma 5.3 *Let ψ be a proof term and f a function symbol, such that f^\square is a fixed prefix for ψ , and r, p such that $\langle r, p \rangle \in \text{ers}(\psi)$. Then there exists $q \in \text{pos}(\psi \triangleright f^\square)$ such that $(\psi \triangleright f^\square)(q) = \psi(p)$ and $\langle r, q \rangle \in \text{ers}(\psi \triangleright f^\square)$.*

The following lemmas state that projections behave as expected in two straightforward cases: the projection of one step over a reduction that respects the set of pattern positions of the left-hand side of the corresponding rule; and the projection of one step over a reduction that includes that step.

Lemma 5.4 *Let $\mu : l \rightarrow h$ be a rule, r a position, and ψ a proof term, such that ψ respects $\{r' \mid r' < r\} \cup (r \cdot \text{Ppos}(\mu))$, $\text{src}(\psi)|_r = l[s_1, \dots, s_m]$, and consequently $\text{tgt}(\psi)|_r = l[t_1, \dots, t_m]$. Then $\text{irs}(\text{src}(\psi), \mu, r) / \psi = \text{irs}(\text{tgt}(\psi), \mu, r)$.*

Lemma 5.5 *Whenever $\langle r, p \rangle \in \text{ers}(\psi)$, we have $\text{irs}(\text{src}(\psi), \psi(p), r) / \psi = \text{tgt}(\psi)$.*

5.3 Main results

We prove that the projection behaves as expected, in the sense described at the beginning of this Section, i.e. that $\psi \cdot \phi / \psi \approx_1 \phi \cdot \psi / \phi$, in two situations in which ϕ is a one-step. Firstly, if ψ does not interfere with ϕ , that is, if the activity described by ψ neither overlaps nor embeds the step described by ϕ . Secondly, if ϕ is an easily extractable step for ψ .

Lemma 5.6 *Let ψ be a proof term, $\mu : l \rightarrow h$ a rule symbol, and r a position, such that ψ respects $\{r' / r' < r\} \cup (r \cdot \text{Ppos}(\mu))$ and $\text{src}(\psi)|_r = l[s_1, \dots, s_m]$. Then $\text{irs}(\text{src}(\psi), \mu, r) \cdot \psi / \text{irs}(\text{src}(\psi), \mu, r) \approx_1 \psi \cdot \text{irs}(\text{tgt}(\psi), \mu, r) = \psi \cdot \text{irs}(\text{src}(\psi), \mu, r) / \psi$; cfr. Lemma 5.4.*

Proof We give only a sketch here, the full details can be found in [13]. The statement can be proved by induction on r .

If $r = \epsilon$, then l^\square is a fixed prefix for ψ , so that we can consider $\psi \triangleright l^\square = l[\psi_1, \dots, \psi_m]$; let $\text{src}(\psi_i) = s_i$ and $\text{tgt}(\psi_i) = t_i$ for all i . It is easy to obtain $\text{irs}(\text{src}(\psi), \mu, r) = \mu(s_1, \dots, s_m)$ and $\text{irs}(\text{tgt}(\psi), \mu, r) = \mu(t_1, \dots, t_m)$. Then $\text{irs}(\text{src}(\psi), \mu, r) \cdot \psi / \text{irs}(\text{src}(\psi), \mu, r) = \mu(s_1, \dots, s_m) \cdot h[\psi_1, \dots, \psi_m] \approx_1 \mu(\psi_1, \dots, \psi_m) \approx_1 l[\psi_1, \dots, \psi_m] \cdot \mu(t_1, \dots, t_m)$.

If $r = ir_1$, then f^\square is a fixed prefix for ψ for some f , so that we have $\psi \triangleright f^\square = f(\psi_1, \dots, \psi_m)$. If $\text{src}(\psi_i) = s_i$ for all i , then $\text{irs}(\text{src}(\psi), \mu, r) = f(s_1, \dots, \text{irs}(\text{src}(\psi_i), \mu, r_1), \dots, s_m)$ and similarly for $\text{irs}(\text{tgt}(\psi), \mu, r)$. It turns out that IH can be applied on ψ_i which, along with structural equivalence, suffices to conclude. \square

Proposition 5.7 *Let ψ be a proof term, and $\langle r, p \rangle \in \text{ers}(\psi)$. Then $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi \approx_1 \psi \cdot (\text{irs}(\text{src}(\psi), \psi(p), r) / \psi)$; cfr. Lemma 5.5.*

Proof We proceed by induction on $\langle r, p \rangle$.

Assume that $r = p = \epsilon$, so that $\psi = \mu(\psi_1, \dots, \psi_m)$ and $\text{irs}(\text{src}(\psi), \psi(p), r) = \mu(\text{src}(\psi_1), \dots, \text{src}(\psi_m))$. Say $\mu : l \rightarrow h$. We have $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\psi, \psi(p), r)) = \mu(\text{src}(\psi_1), \dots, \text{src}(\psi_m)) \cdot h[\psi_1 / \text{src}(\psi_1), \dots, \psi_m / \text{src}(\psi_m)] = \mu(\text{src}(\psi_1), \dots, \text{src}(\psi_m)) \cdot h[\psi_1, \dots, \psi_m] \approx_1 \psi$ applying (OutIn) in the last step. Note that clause 2 applies to $\psi / \text{irs}(\text{src}(\psi), \psi(p), r)$.

Assume that $\psi = \mu(\psi_1, \dots, \psi_m)$ where $\mu : l \rightarrow h$, and $r \neq \epsilon$. In this case, $r = r_1 r_2$, $p = ip_2$, $l(r_1) = x_i$, and $\langle r_2, p_2 \rangle \in \text{ers}(\psi_i)$. Observe that $\text{irs}(\text{src}(\psi), \psi(p), r) = l[\text{src}(\psi_1), \dots, \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2), \dots, \text{src}(\psi_m)]$. IH on $\langle r_2, p_2 \rangle$ entails $\text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2) \cdot (\psi_i / \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2)) \approx_1 \psi_i$, implying in particular that $\text{tgt}(\psi_i / \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2)) = \text{tgt}(\psi_i)$. We have

$$\begin{aligned}
 & \text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) \\
 &= l[\text{src}(\psi_1), \dots, \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2), \dots, \text{src}(\psi_m)] \\
 &\quad \cdot \mu(\psi_1, \dots, \psi_i / \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2), \dots, \psi_m) \\
 &\approx_1 l[\text{src}(\psi_1), \dots, \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2), \dots, \text{src}(\psi_m)] \\
 &\quad \cdot l[\psi_1, \dots, \psi_i / \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2), \dots, \psi_m] \\
 &\quad \cdot \mu(\text{tgt}(\psi), \dots, \text{tgt}(\psi_i), \dots, \text{tgt}(\psi_m)) \\
 &\approx_1 l[\psi_1, \dots, \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2) \cdot (\psi_i / \text{irs}(\text{src}(\psi_i), \psi_i(p_2), r_2)), \dots, \psi_m] \\
 &\quad \cdot \mu(\text{tgt}(\psi), \dots, \text{tgt}(\psi_i), \dots, \text{tgt}(\psi_m)) \\
 &\approx_1 l[\psi_1, \dots, \psi_i, \dots, \psi_m] \cdot \mu(\text{tgt}(\psi), \dots, \text{tgt}(\psi_i), \dots, \text{tgt}(\psi_m)) \approx_1 \psi
 \end{aligned}$$

by: definition of projection, where clause 3 applies to $\psi / \text{irs}(\text{src}(\psi), \psi(p), r)$ and clause 1 to assert $\psi_j / \text{src}(\psi_j) = \psi_j$ if $j \neq i$; (InOut); structural equivalence including (Struct) and (IdLeft); IH as described above; and finally (InOut).

Assume $\psi = \psi_1 \cdot \psi_2$ is a binary composition that includes head steps. In this case $p = jp_1$, $\text{src}(\psi) = \text{src}(\psi_1)$, $\psi(p) = \psi_j(p_1)$, and $\langle r, p_1 \rangle \in \text{ers}(\psi_j)$. Clause 5 applies to $\psi / \text{irs}(\text{src}(\psi), \psi(p), r)$, so that $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) = \text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi_1 / \text{irs}(\text{src}(\psi), \psi(p), r)) \cdot (\psi_2 / (\text{irs}(\text{src}(\psi), \psi(p), r) / \psi_1))$.

- If $j = 1$, then IH on $\langle r, p_1 \rangle$ yields $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi_1 / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi_1$, and Lemma 5.5 implies $\text{irs}(\text{src}(\psi), \psi(p), r) / \psi_1 = \text{tgt}(\psi_1) = \text{src}(\psi_2)$. Consequently, $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi_1 \cdot (\psi_2 / \text{src}(\psi_2)) = \psi$.
- If $j = 2$, recall that ψ_1 respects $\{r' / r' < r\} \cup (r \cdot \text{Ppos}(\psi(p)))$. Moreover, Lemma 5.1 implies that $\text{src}(\psi) \upharpoonright_r = l[s_1, \dots, s_m]$. Then Lemma 5.4 implies $\text{irs}(\text{src}(\psi), \psi(p), r) / \psi_1 = \text{irs}(\text{src}(\psi_2), \psi(p), r)$, and Lemma 5.6 entails $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi_1 / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi_1 \cdot \text{irs}(\text{src}(\psi_2), \psi(p), r)$. Consequently, application of clause 5 yields $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi_1 \cdot \text{irs}(\text{src}(\psi_2), \psi(p), r) \cdot \psi_2 / \text{irs}(\text{src}(\psi_2), \psi(p), r)$. In turn, IH on $\langle r, p_1 \rangle$ yields $\text{irs}(\text{src}(\psi_2), \psi(p), r) \cdot (\psi_2 / \text{irs}(\text{src}(\psi_2), \psi(p), r)) \approx_1 \psi_2$; recall that $\psi(p) = \psi_2(p_1)$. Hence we conclude.

Assume $\psi = \cdot_{i < \omega} \psi_i$ and ψ includes head steps. Then $p = 2^j 1 p_1$, where $\langle r, p_1 \rangle \in \text{ers}(\psi_j)$, ψ_i respects $\{r' / r' < r\} \cup (r \cdot \text{Ppos}(\psi(p)))$, and $\psi(p) = \psi_j(p_1)$. Lemma 5.1 implies $\text{src}(\psi) \upharpoonright_r = \text{src}(\psi_0) \upharpoonright_r = l[s_{01}, \dots, s_{0m}]$. Clause 5 yields $\psi / \text{irs}(\text{src}(\psi), \psi(p), r) = (\psi_0 / \text{irs}(\text{src}(\psi), \psi(p), r)) \cdot \cdot_{i < \omega} \psi_{i+1} / (\text{irs}(\text{src}(\psi), \psi(p), r) / \psi_0)$. In turn, Lemma 5.6 implies $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi_0 / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi_0 \cdot \text{irs}(\text{src}(\psi_1), \psi(p), r)$, and Lemma 5.4 entails $\text{irs}(\text{src}(\psi), \psi(p), r) / \psi_0 = \text{irs}(\text{src}(\psi_1), \psi(p), r)$. Therefore, $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi_0 \cdot \text{irs}(\text{src}(\psi_1), \psi(p), r) \cdot \cdot_{i < \omega} \psi_{i+1} / \text{irs}(\text{src}(\psi_1), \psi(p), r)$. This argument can be iterated for all $n < j$; observe $\langle r, 2^{j-n} 1 p_1 \rangle \in \text{ers}(\cdot_{i < \omega} \psi_{i+n})$ and $\psi(p) = \cdot_{i < \omega} \psi_{i+n}(2^{j-n} 1 p_1)$. We obtain $\text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) \approx_1 \psi_0 \cdot \dots \cdot \psi_{j-1} \cdot \text{irs}(\text{src}(\psi_j), \psi(p), r) \cdot \cdot_{i < \omega} \psi_{i+j} / \text{irs}(\text{src}(\psi_j), \psi(p), r)$. IH applies on $\langle r, p_1 \rangle$, allowing to assert $\text{irs}(\text{src}(\psi_j), \psi(p), r) \cdot \cdot_{i < \omega} \psi_{i+j} / \text{irs}(\text{src}(\psi_j), \psi(p), r) \approx_1 \cdot_{i < \omega} \psi_{i+j}$; recall $\psi(p) = \psi_j(p_1)$. This suffices to conclude.

Assume that f^\square is a fixed prefix for ψ , where $\text{src}(\psi) = f(s_1, \dots, s_m)$. It is easy to obtain $r \neq \epsilon$, that is, $r = ir_1$. Say $\psi \triangleright f^\square = f(\psi_1, \dots, \psi_m)$. Then $\text{src}(\psi) = \text{src}(\psi \triangleright f^\square)$ implies $\text{src}(\psi_i) = s_i$. Moreover, Lemma 5.3 implies $\langle r, q \rangle \in \text{ers}(\psi \triangleright f^\square)$ for some q such that $\psi(p) = \psi \triangleright f^\square(q)$. In turn, this implies $q = iq_1$ and $\psi(p) = \psi_i(q_1)$. Therefore, $\text{irs}(\text{src}(\psi), \psi(p), r) = \text{irs}(\text{src}(f(\psi_1, \dots, \psi_m), \psi_i(q_1), ir_1)) = f(\text{src}(\psi_1), \dots, \text{irs}(\text{src}(\psi_i), \psi_i(q_1), r_1), \dots, \text{src}(\psi_m))$. Clause 7 applies to $\psi / \text{irs}(\text{src}(\psi), \psi(p), r)$, so that

$$\begin{aligned} & \text{irs}(\text{src}(\psi), \psi(p), r) \cdot (\psi / \text{irs}(\text{src}(\psi), \psi(p), r)) \\ &= f(\text{src}(\psi_1), \dots, \text{irs}(\text{src}(\psi_i), \psi_i(q_1), r_1), \dots, \text{src}(\psi_m)) \\ & \quad \cdot f(\psi_1, \dots, \psi_i / \text{irs}(\text{src}(\psi_i), \psi_i(q_1), r_1), \dots, \psi_m) \\ &\approx_1 f(\psi_1, \dots, \text{irs}(\text{src}(\psi_i), \psi_i(q_1), r_1) \cdot \psi_i / \text{irs}(\text{src}(\psi_i), \psi_i(q_1), r_1), \dots, \psi_m) \\ &\approx_1 f(\psi_1, \dots, \psi_i, \dots, \psi_m) = \psi \triangleright f^\square \approx_1 \psi \end{aligned}$$

by definition of projection where clause 1 yields $\psi_j / s_j = \psi_j$ if $j \neq i$; structural equivalence; and IH on $\langle r_1, q_1 \rangle$ along with Lemma 5.2. \square

6 Limitations of this approach

As shown by the discussion at the beginning of Section 5, the definitions given in Section 4 allow to obtain proper projections for cases beyond the scope of Lemma 5.6 and Prop. 5.7. However, this is not always the case, even for projections involving an infinite and a finite reduction.

As an example, consider the rules $\rho : gx \rightarrow fgx$, $\pi : a \rightarrow b$, and let $\psi = \cdot_{i < \omega} f^i \rho a$, $\phi = g\pi$. We claim that according to the intuitive notion of projection, the result of ψ/ϕ should be $\cdot_{i < \omega} f^i \rho b$, that is the same reduction denoted by ψ , applied to the target of ϕ , namely $g(b)$. W.r.t. ϕ/ψ , we note that the π step denoted by ϕ vanishes in $\text{tgt}(\psi) = f^\omega$, while it can be performed on each partial target $f^n ga$. This phenomenon is referred to as *infinitary erasure* in [12]. Accordingly, we could expect the result of ϕ/ψ to be f^ω .

We have $\psi/\phi = (\rho a/g\pi) \cdot \cdot_{i < \omega} f^{i+1} \rho a / (g\pi/\rho a) = \rho b \cdot \cdot_{i < \omega} f^{i+1} \rho a / fg\pi = \rho b \cdot f(\cdot_{i < \omega} f^i \rho a / g\pi) = \rho b \cdot f(\psi/\phi)$, where the first and third equalities are justified by clauses 5 and 7 resp., and the last one just considers the definitions of ψ and ϕ . Successive iterations yield $\rho b \cdot f(\rho b \cdot f(\psi/\phi))$, $\rho b \cdot f(\rho b \cdot f(\rho b \cdot f(\psi/\phi)))$, etc., i.e., we obtain always expressions including an occurrence of the projection operator. On the other hand, $\phi/\psi = (g\pi/\rho a) / \cdot_{i < \omega} f^{i+1} \rho a = fg\pi / \cdot_{i < \omega} f^{i+1} \rho a = f(g\pi / \cdot_{i < \omega} f^i \rho a) = f(\phi/\psi) = f^2(\phi/\psi) \dots$, where clauses 6 and 7 are used in the first and third equalities resp.. As in the previous case, the successive expressions obtained always include an occurrence of the projection operator. This differs from the behaviour of the examples in Section 4, where a final (i.e. without occurrences of the projection operator) expression is obtained.

Observe that in both cases, the partial results approximate the expected final results. A similar phenomenon occurs when applying our definition to obtain the projection of an infinite composition over another one. These observations suggest the need of incorporating the notion of limit in the proposed definition of projection, in order to cover the cases not currently considered.

7 Conclusions and future research directions

In this article, we describe our work-in-progress about a possible characterisation, based on proof terms, of the projection of one reduction over another for infinitary, left-linear, first-order rewriting. We introduce this characterisation, show that it conveys the expected results in several cases, and prove a partial confluence property. We also discuss some limitations of the current form of the characterisation.

Two obvious further directions of work are: to extend the proposed definition, in order to comprise all projections of an infinitary reduction over another one, and to extend the soundness property expressed in Prop. 5.7 to all projections. Additionally, it would be interesting to further delimit the scope of the current version, that is, to understand in which cases the development of a projection can be performed without explicit use of the notion of limit.

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