

2012 AASRI Conference on Modelling, Identification and Control

## Data Mining-based Operation Optimization of Large Coal-fired Power Plants

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### Abstract

Large coal-fired power generation is a complex process characterized as nonlinear and coupling correlation between the levels of equipment, subsystems and function modules. It is therefore difficult to describe the energy-consumption behaviour and optimize the operation parameters under different operation conditions and boundary conditions with conventional methods. With data mining methods such as Support Vector Regression (SVR) and Genetic Algorithm (GA), a huge amount of practical operation data stored in the plant-level Supervisory Information System (SIS) were used to model the energy consumption and optimize the operation parameters for less coal consumption. The results show that the power coal rate reduced significantly under the combination of SVR and GA. The optimal operation program has a practical feasibility, and the whole optimizing process can supply model basis for large coal-fired power units.

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Selection and/or peer review under responsibility of American Applied Science Research Institute

**Keywords:** Operation optimization; data mining; coal-fired power plant; SVR; GA

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## 1 Introduction

Large coal-fired power unit is a complex nonlinear system with more uncertainties to describe the energy-consumption behaviour, evaluate the coal rate for power generation and optimize the practical operation. The operation optimization problem can be described as Model (1), which consists of two parts: a optimum target such as the highest power efficiency or the minimum coal consumption, and a couple of constraints such as the power load, coal quality, ambient factors and operation conditions. The expression of such optimization problem is explicit but it is difficult to determine the optimal targets in operation optimization of power units, especially considering the varying boundary constraints, operation conditions and system features.

$$\begin{aligned} b_{opt} &= \min f(X) \\ s.t. \quad g_i(X) &\leq 0, i = 1, 2, \dots, m \\ h_j(X) &\leq 0, j = 1, 2, \dots, n \end{aligned} \quad (1)$$

Where,  $X$  is the key operation variables affecting the energy consumption of power units;

$f(X)$  is the optimal target function describing the economic performance of power units;

$g_i(X) \leq 0$  and  $h_j(X) \leq 0$  are the constraint conditions demonstrating the ambient and operation conditions of power units.

Commonly used optimal targets mainly cover the designed values from the fabricants of power equipment, the test benchmark conducted on site and the calculation results based on mathematic modelling of power units. There are several limitations of these methods such as low efficiency, inexplicit description and local optimization features; in addition, they are frequently impracticable, costly and time-consumed, for a power unit is a complex nonlinear system with more uncertainties to describe, evaluate and optimize for different boundary and operational conditions.

The increasing development of measuring, monitoring and information technologies strive a highway for the operation optimization of power units including data processing, uncertainty measuring, intelligent modelling and expert system building<sup>[2~5]</sup>. Clustering and fuzzy associated rules-based methods are used in process control and individual parameter optimization such as excessive air flow and boiler efficiency<sup>[2,5]</sup>; neural network (NN) and SVR methods are introduced to model the NO<sub>x</sub> emission of the coal-fired boiler and the operation parameters are optimized with ant colony optimization algorithm (ACO) and GA method. This made a reference for the operation optimization of power plants.

In this paper, SVR and GA are used to model the coal consumption behaviour and determine the optimum value of operation parameters. GA shows its priorities in two sides: to select the modelling parameters of SVR<sup>[4]</sup> and to optimize a set of key operation parameters to obtain the optimum values, at which the coal rate for power generation falls into the least under different operation conditions.

## 2 Related knowledge on SVR and GA

### 2.1 Overview on Support Vector Regression

As an emerging technology of data mining, support vector machine (SVM) was originally proposed by Vapnik in 1990s to solve the classification problem, which is based on the VC principle and structure risk minimization principles<sup>[3]</sup>. With a substantial foundation and systematic reasoning; SVR is of the favourable features in unique solution, global optimization and strong generalization. SVR problem is described as:

Given the training samples set:  $T = \{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\} \in (X \times Y)^n$ , where  $x_i \in R^n$ ,

$y_i \in R$ ,  $i = 1, 2, \dots, n$ .  $x_i$  is the input variable,  $y_i$  is the corresponding target value and  $n$  is the sample number. The aim of regression modelling is to search in  $R^n$  for a real-value function  $f(x)$ .

SVR algorithm can be described as the following optimization problem:

$$\min_{w, b, \xi} \left\{ \begin{array}{l} \frac{1}{2} \|w\|^2 + C \sum_{i=1}^l (\xi_i + \xi_i^*) \\ s.t. \left\{ \begin{array}{l} y_i - w^T \Phi(x_i) - b \leq \varepsilon + \xi_i \\ w^T \cdot \Phi(x_i) + b - y_i \leq \varepsilon + \xi_i^* \\ \xi_i, \xi_i^* \geq 0 \end{array} \right. \end{array} \right. \quad (2)$$

Where  $\omega = \sum_{i=1}^n (\alpha_i - \alpha_i^*) \Phi(x_i)$  is the adjustable weight vector,  $C > 0$  is the penalty factor used to ensure the smoothness and training accuracy of  $f(x)$ ,  $\xi^* = (\xi_1, \xi_1^*, \dots, \xi_l, \xi_l^*)^T$  is the slack variable to relax the constraints in (2),  $\varepsilon$  can be used to regulate the fitting accuracy of model. Where  $K$  is the kernel matrix and  $K(x_i, x_j) = \langle \Phi(x_i), \Phi(x_j) \rangle$ . Solve model (4) and obtain the optimum solution  $\bar{\alpha} = (\bar{\alpha}_1, \bar{\alpha}_1^*, \dots, \bar{\alpha}_l, \bar{\alpha}_l^*)^T$ , thus the derived model is:

$$f(x) = \sum_{i=1}^n (\alpha_i - \alpha_i^*) K(x, x_i) + b \quad (3)$$

In SVR model, there are three factors, i. e. the type of kernel function, kernel function parameter and punish coefficient, dramatically affecting the accuracy, complexity and generalization ability of the model built with SVR. For this, it is important to select and optimize the above parameters<sup>[4]</sup>.

## 2.2 Overview on Genetic Algorithm

GA is one of the optimization algorithms, which is derived from Darwin's genetic choice and biological evolution process of natural selection. GA is a global optimization algorithm with strong commonality, robustness and promising applications in machine learning, process control, economic forecast and optimization<sup>[9-10]</sup>. It consists of the following 7 main components: string coding, initialization, fitness function, selection operation, crossover operation, mutation operation and stop criterion.

## 2.3 GA-based model parameter selection and operation optimization

As shown in Figure 1, GA is introduced in this paper for both the parameter selection of SVR model and the optimization of operation parameters. The optimization process includes the following six steps:

Step 1: Initial parameter Settings. Preset GA algorithm population scale, selection operation, crossover operation and mutation operation and termination evolution algebra. Choose operation selection scale selection operator, crossover operation using single point crossover operator, mutation operation use basic for mutation operator.

Step 2: Coding and the generation of initial population. According to stay optimization parameter  $\varepsilon$ ,  $C$ ,  $\sigma$  and operation parameters of the optimal interval and precision requirements, to determine to optimize parameters of the chromosome length, the parameters are binary coding.

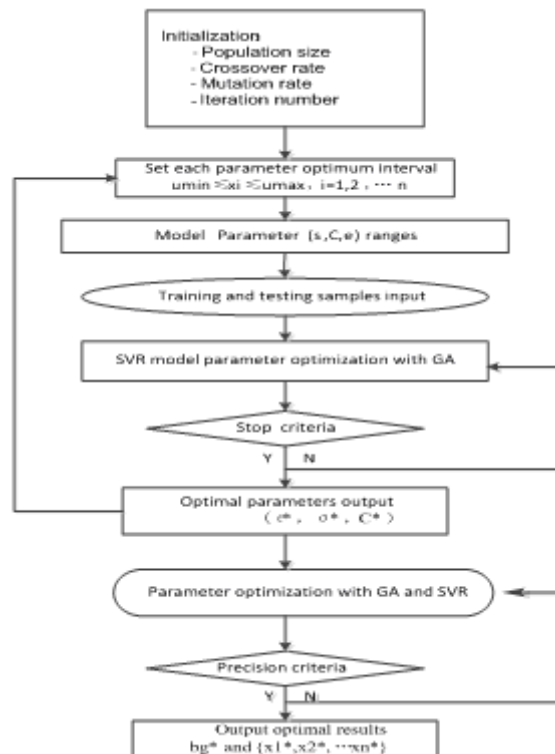


Fig 1 Flow chart of GA-based model parameter and operation optimization algorithm

Step 3: Input the training sample and test sample, set up fitness function, the SVR model parameters optimization; Step 4: If meet the termination conditions, the output SVR optimal model ( $\epsilon^*$ ,  $C^*$ ,  $\sigma^*$ ), if not satisfied, return to step 3; Step 5: If meet the termination conditions, the output SVR optimal model ( $\epsilon^*$ ,  $C^*$ ,  $\sigma^*$ ), if not satisfied, return to step 3; Step 6: Meet maximum number of iteration, the output  $bg^*$  and  $bg^*$  and  $x^* = \{x_1^*, x_2^*, \dots, x_n^*\}$ .

### 3 Case analysis and application research

#### 3.1 case unit and data set description

A 600MW subcritical coal-fired power unit is selected as the case unit. The boiler is of HG-2023/17.6-YM4 type, subcritical, II-type layout, intermediate reheat controlled circulation drum-type; the steam turbine is of N600-16.7/537/537-I type, 600MW subcritical condenser steam unit, single intermediate reheat, four cylinders, quadruple-flow and single-shaft.

#### 3.2 Operation parameter optimization based on GA

The GA was used to optimize unit actual operation parameters, the objective function is to minimum power supply coal consumption of the unit, namely  $\text{opt\_}b_g = f(x_1, x_2, \dots, x_n)$ . For the thermal power units in operation, the operators can improve the operation performance of power units by adjusting the controllable parameters such as the main steam temperature, main steam pressure, reheated steam temperature, reheated

steam pressure. The ranges of operation parameter set  $x = \{x_1, x_2, \dots, x_n\}$  is shown in (4), where  $x_1$  is main steam temperature,  $x_2$  is reheat steam pressure,  $x_3$  is main steam temperature,  $x_4$  is main steam temperature,  $x_5$  is condenser end difference,  $x_6$  is vacuum of condenser,  $x_7$  is exhausted gas temperature,  $x_8$  is oxygen content in gas,  $x_9 \sim x_{15}$  is respectively 1 # heater terminal temperature difference (TTD), 2 # heater TTD, 3 # heater TTD, 5 # heater TTD, 6 # heater TTD, 7 # heater TTD, 8 # heater TTD, respectively. The parameters of GA are set as: population size selection for 50, coding method for binary coding, crossover probability was 0.8, the variation probability is 0.1, evolution algebra for 150 generations.

$$\text{s. t. } \begin{cases} 16 \leq x_1 \leq 16.7, 3 \leq x_2 \leq 4 \\ 530 \leq x_3 \leq 545, 530 \leq x_4 \leq 545 \\ 3 \leq x_5 \leq 7, 0.88 \leq x_6 \leq 0.95 \\ 100 \leq x_7 \leq 130, 3 \leq x_8 \leq 4 \\ -2 \leq x_9, \dots, x_{15} \leq 5 \end{cases} \quad (4)$$

The operation optimization is conducted under the conditions of 100%THA, 75%THA and 50%THA. The optimization process under 50%THA based on GA is shown in Figure 2, and the significance of key operation parameters is shown in Figure 3.

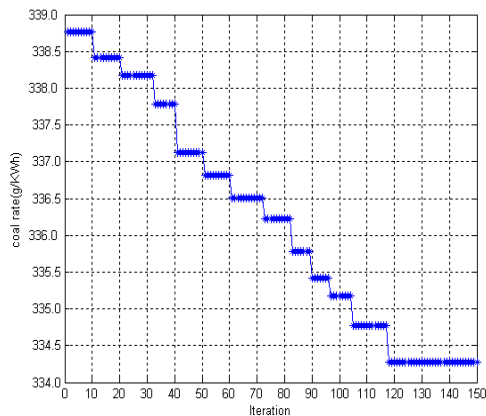


Fig 2 Optimizing process of GA under 50% THA

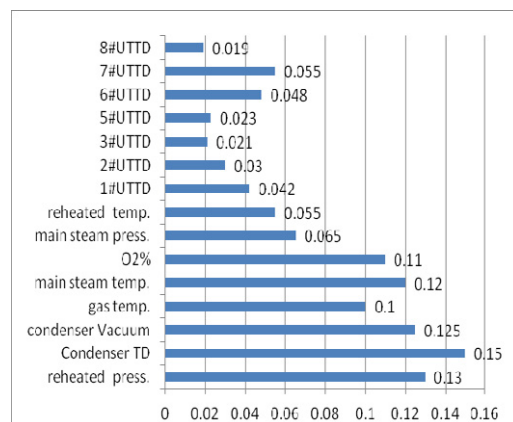


Fig 3 Significance of parameters under 50% THA

Table 1 shows and set at 100% condition, 75% condition, 50% conditions set parameter actual operation value and the optimization of target value.

#### 4. Conclusion

(1) The operation optimization of coal-fired power units can be realized by GA, and the coal rate under 100% THA, 75% THA and 50%THA is reduced by 2.87 g/kWh, 3.93 g/kWh, 5.80 g/kWh, i. e. 0.93%, 1.24% and 1.71%, respectively. There is larger energy-saving potential under lower power load..

(2) Under different working conditions, affects the unit energy consumption characteristics of different key variables. In the unit security constraint condition permission scope, increasing unit main steam pressure, main steam temperature, reheat steam temperature parameter value, smoke temperature decrease reduced, but reduces the power supply coal consumption bg. But smoke oxygen in 100%, 75% conditions

have rise.

Table 1. Comparison of realizable optimal values and operation values

Main parameters	THA		75% THA		50% THA	
	actual value	Optimal value	actual value	Optimal value	actual value	Optimal value
Main steam press. (MPa)	16.20	16.48	14.2	14.84	8.470	9.42
Reheat steam press.(MPa)	3.41	3.69	2.592	3.31	1.870	2.47
Main steam temp.(°C)	541.46	541.82	537.86	538.36	533.81	534.15
Reheat steam temp.(°C)	540.55	538.73	536.83	528.76	526.78	525.78
Condenser TD (°C)	4.60	4.50	12.626	5.451	11.85	7.40
Condenser Vacuum (%)	0.93	0.95	0.92	0.94	0.91	0.93
Exhausted temp. (°C)	124.20	118.88	124.26	116.03	111.32	107.82
O <sub>2</sub> % in gas (ppm)	3.86	3.94	4.50	4.60	6.32	5.45
1 # heater TTD (°C)	2.04	1.54	0.53	0.49	-1.92	0.43
2 # heater TTD (°C)	2.44	1.15	0.47	0.46	-1.75	0.89
3 # heater TTD (°C)	1.547	1.18	-0.58	1.84	-2.21	1.34
5 # heater TTD °C)	7.029	2.86	7.020	3.52	6.27	3.58
6 # heater TTD (°C)	7.505	3.29	7.772	3.73	9.07	3.92
7 # heater TTD (°C)	-4.285	4.21	-3.394	3.32	-3.48	4.15
8 # heater TTD (°C)	2.803	2.79	3.737	2.25	5.62	3.09
bg (g/KW •h)	307.36	304.49	316.75	312.82	340.08	334.28
△bg (g/KW •h)		2.87		3.93		5.80
△bg/ bg (%)		0.93%		1.24%		1.71%

(3) In the guarantee to the steam turbine the last stage blade not cause damage conditions, reasonable improve condenser vacuum degree, reduce steam turbine exhaust temperature, saving steam, improving efficiency, reduce the power supply coal consumption.

## Acknowledgements

This paper is supported by 973 Project (2009CB219801) and the Fundamental Research Funds for Central Universities (12QN05).

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