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FULL-LENGTH ARTICLE

A Global-best Harmony Search based Gradient Descent Learning FLANN (GbHS-GDL-FLANN) for data classification



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Abstract While dealing with real world data for classification using ANNs, it is often difficult to determine the optimal ANN classification model with fast convergence. Also, it is laborious to adjust the set of weights of ANNs by using appropriate learning algorithm to obtain better classification accuracy. In this paper, a variant of Harmony Search (HS), called Global-best Harmony Search along with Gradient Descent Learning is used with Functional Link Artificial Neural Network (FLANN) for classification task in data mining. The Global-best Harmony Search (GbHS) uses the concepts of Particle Swarm Optimization from Swarm Intelligence to improve the qualities of harmonies. The problem solving strategies of Global-best Harmony Search along with searching capabilities of Gradient Descent Search are used to obtain optimal set of weight for FLANN. The proposed method (GbHS-GDL-FLANN) is implemented in MATLAB and compared with other alternatives (FLANN, GA based FLANN, PSO based FLANN, HS based FLANN, Improved HS based FLANN, Self Adaptive HS based FLANN, MLP, SVM and FSN). The GbHS-GDL-FLANN is tested on benchmark datasets from UCI Machine Learning repository by using 5-fold cross validation technique. The proposed method is analyzed under null-hypothesis by using Friedman Test, Holm and Hochberg Procedure and Post-Hoc ANOVA Statistical Analysis (Tukey Test & Dunnett Test) for statistical analysis and validity of results. Simulation results reveal that the performance of the proposed GbHS-GDL-FLANN is better and statistically significant from other alternatives.

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KEYWORDS

Classification; Functional link artificial neural network;

Gradient descent learning; Harmony search;

Global-best Harmony Search

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1. Introduction

Data Analysis is an analytical process of examining data to discover useful information and draw conclusions which help in decision making. It integrates diversified techniques under Statistic, Engineering and Science. Since 1990, data are being collected in numerous speed and in large volume in the area

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of web, business management, e-commerce, remote sensors, microarrays gene expression, scientific simulations, production control and engineering design, transactions, stocks and bioin- formatics, etc. These explosive growths of data collection and the need of automated extraction of novel, valid, unknown and potentially useful information from the data in large databases gave birth to many data analysis methodology, which includes Data Mining and Business Intelligence.

Data mining is the process of identifying novel, understand- able and previously unknown patterns in data which helps in decision making. Most tricky and challenging decision making processes in day to day human life is classification, which helps to make decision from past experience. In data mining, the Classification is defined as a variety of data analysis process that can be used to assign important classes to unknown patterns. Classification task predicts definite class labels and constructs a model based on the training dataset which is used to classify anonymous patterns.

In the recent years, many classification tasks have been proposed in emerging areas of science and engineering which includes document classification [[1–3]](#_bookmark49), Sentiment classification [[4–7]](#_bookmark44), Fault classification [[8–11]](#_bookmark44), Text classification [[12–14]](#_bookmark45), Image classification [[15–18]](#_bookmark46) and Gene Expression classification and Bio Medical Data classification [[19–23]](#_bookmark48) and others [[24–30]](#_bookmark50), which have given new shape, motivation and direction to application of the classification task in data mining.

Although a number of traditional classification methods are proposed by many researchers [[31–35]](#_bookmark51), first time, Zhang et al. [[34]](#_bookmark51) realized that artificial neural network models are alternative to various conventional classification methods which are based on statistics. The artificial neural networks (ANNs) are capable of generating complex mapping between input and the output space; thus, they can form arbitrarily complex nonlinear decision boundaries. Along the way, there are already several artificial neural networks, each utilizing a different form of learning or hybridization. As compared to higher order neural network, the classical neural networks (Example: MLP) are suffering from slow convergence and unable to automatically decide the optimal model for classifi- cation. In the last few years, to overcome the limitations of conventional ANNs, some researchers have focused on higher order neural network (HONN) models [[36,37]](#_bookmark52) for better performance.

1. Literature survey

In this paper, it is an attempt to design higher order neural network model with competitive learning based on new meta-heuristic optimization algorithm for classification of benchmark datasets from the well known machine learning data repository.

Prior to this, a Chebyshev Functional Link Artificial Neural Network model (Chebyshev-FLANN) with Chebyshev polynomial functional expansion for prediction of financial indices is proposed by Patra et al. [[38]](#_bookmark55). The performance of FLANN and chFLANN is found nearly equivalent and train- ing time for FLANN and chFLANN is noticed as almost half of the MLP. Among MLP, FLANN and Chebyshev-FLANN, the chFLANN is found best among these three. Also it is observed that FLANN and chFLANN are efficient and have less complex architecture as compared to MLP.

Misra and Dehuri [[39]](#_bookmark57) have proposed a classification method by using FLANN and simulation results show that proposed FLANN model is capable to handle linearly non-separable classes by increasing the dimension of input space through func- tional expansion. The execution time and accuracy of this model is found to be better than the other alternatives.

A hybrid functional link artificial neural network (HFLANN) based on genetic algorithm (GA) for optimal input feature selection by using functionally expanded selected features is proposed by Dehuri et al. [[40]](#_bookmark59) which address nonlin- ear nature of classification problems. Through experimental results, the HFLANN is proven to be better in optimal set feature selection as compared to RBFN and FLANN with back propagation learning.

A comprehensive survey on FLANN is made and an efficient PSO based back propagation learning is proposed by Dehuri and Cho [[41]](#_bookmark61). In this paper, the basic concept of FLANN, associated basis functions, learning schemes and development of FLANNs over time are discussed. Also the authors have used PSO based back propagation learning scheme on Chebyshev-FLANN for classification and the pro- posed method is proved to be better as compared to FLANN by testing with benchmark datasets.

An efficient FLANN for stock price prediction of the clos- ing price of US stocks is suggested by Patra et al. [[42]](#_bookmark63) and found to be better in performance in terms of more accurate predictions of stock. In this paper, a FLANN with trigonometric functional expansion (Trigonometric-FLANN) is used and shown to be better result as compared to MLP-based prediction model.

A FLANN based prediction model for prediction of causing genes in gene diseases is proposed by Sun et al. [[43]](#_bookmark65). In this study, three classifiers (i.e. MLP, SVM, FLANN) have been implemented and compared. The performance of the FLANN classifier is found to be better over MLP and SVM. For better prediction of the stock market indices, Chakravarty and Das [[44]](#_bookmark67) have proposed a Functional Link Neural Fuzzy (FLNF) Model and compared with FLANN based prediction model in terms of root mean square error. The simulation results show that the FLNF performs better over FLANN. Also the authors have addressed the issue of falling in local minima in case of back propagation learning

by employing Particle Swarm Optimization.

A classification method based on FLANN is achieved by Majhi et al. [[45]](#_bookmark68) for classification of online Indian customer behavior and the proposed FLANN model found to be superior in classification accuracy than other statistical approach (discriminant analysis). Also authors have suggested to use psychographic and cultural information for further improvement of the proposed method.

An accurate hybrid FLANN classifier (HFLNN) is pro- posed by Dehuri and Cho [[46]](#_bookmark71) by selecting an optimal subset of favorable input features. This is achieved by eliminating fea- tures with fewer or no predictive information. The proposed method is found to be better as compared to FLANN and RBFN.

Forecasting of stock exchange rates is achieved with Genetic algorithm (GA) based FLANN model by Nayak et al. [[47]](#_bookmark73) and proposed method is compared with MLP, GA based MLP and GA based FLANN models. The authors have claimed that the FLANN-GA is found better in almost all cases.

Bebarta et al. [[48]](#_bookmark53) have implemented few variants of FLANN model (Power FLANN, Legendre FLANN, Cheby- shev FLANN and Laguerre FLANN) for forecasting stock price index and performances are measured in terms of stan- dard deviation error, squared error, etc. All the four proposed methods are implemented and found to be simple and efficient to predict the various Indian stock data.

A Bat inspired optimization based FLANN classification method is proposed by Mishra et al. [[49]](#_bookmark53). The method is com- pared with FLANN and hybrid PSO based FLANN classifica- tion method. In this paper, bat algorithm is used to adjust the weights of the FLANN efficiently which results in high accu- racy for classification. The simulation results show that the proposed method outperforms FLANN and hybrid PSO based FLANN classifiers.

Various dimension reduction strategies are projected by Mahapatra et al. [[50]](#_bookmark53) for the Chebyshev FLANN classifier and have been used for cancer classification. The basic idea

used in this paper is to perform PCA, FA, DFT and DCT tech- niques to reduce dimension of the data and then Chebyshev FLANN classifier is applied for better classification. It is observed that the combination of DCT feature reduction tech- nique along with Chebyshev FLANN classifiers outperforms other possible alternatives.

Mishra et al. [[51]](#_bookmark53) have developed MLP, FLANN and PSO- FLANN classification models for classification of biomedical data. In this paper, to extract important input features, an effi- cient dynamic classifier fusion (DCF) is proposed along with principal component analysis (PCA) scheme. After extraction of optimal input features, LMS classifier is performed along with PSO based Back propagation learning algorithm. Although MLP is a traditional ANN, surprisingly, in this study, PSO based Back propagation learning-MLP is found to be better as compared to FLANN and PSO-FLANN.

An Improved PSO (IPSO) based FLANN classifier (IPSO-FLANN) is proposed by Dehuri et al. [[52]](#_bookmark53) and

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| Table 1 FLANN models and learning methods used for various applications in recent years. | | | |
| Author(s) | Model used | Learning method employed | Application |
| Park and Pao [[244]](#_bookmark180) | FLANN | Back Propagation | Pattern Recognition |
| Patra and Kot [[242]](#_bookmark205) | Chebyshev FLANN | Back Propagation | System Identification |
| Abu-Mahfouz [[247]](#_bookmark180) | FLANN | Back Propagation | Detection of Gear Faults |
| Patra et al. [[38]](#_bookmark55) | FLANN | Back Propagation | Prediction |
| Patra et al. [[38]](#_bookmark55) | Chebyshev FLANN | Back Propagation | Prediction |
| Mishra and Dehuri [[39]](#_bookmark57) | FLANN | Back Propagation | Classification |
| Dehuri et al. [[40]](#_bookmark59) | FLANN | GA + Back Propagation | Classification |
| Patra et al. [[42]](#_bookmark63) | FLANN | Back Propagation | Stock Price Prediction |
| Dehuri and Cho [[41]](#_bookmark61) | FLANN | PSO + Back Propagation | Classification |
| Abbas [[250]](#_bookmark180) | FLANN | Back Propagation | System Identification |
| Sun et al. [[43]](#_bookmark65) | FLANN | Back Propagation | Disease Gene Prediction |
| Nanda et al. [[251]](#_bookmark181) | FLANN | Back Propagation | Identification of MIMO Plants |
| Chakravarty and Das [[44]](#_bookmark67) | FLNF | Back Propagation | Prediction of Stock Indices |
| Majhi et al. [[77]](#_bookmark80) | FLANN | Gradient Descent | Forecasting of Stock |
| Majhi et al. [[77]](#_bookmark80) | FLANN | Recursive Least Square | Forecasting of stock |
| Emrani et al. [[253]](#_bookmark185) | FLANN | PSO + Back Propagation | System Identification |
| Majhi et al. [[45]](#_bookmark68) | FLANN | Back Propagation | Classification of Consumer Behaviour |
| Dehuri and Cho [[46]](#_bookmark71) | FLANN | GA + Back Propagation | Classification |
| Sicuranza and Carini [[56]](#_bookmark56) | FLANN | Back Propagation | Noise Control |
| Nayak et al. [[47]](#_bookmark73) | FLANN | GA + Back Propagation | Forecasting |
| Bebarta et al. [[48]](#_bookmark53) | FLANN | Back Propagation | Forecasting and Classification |
| Bebarta et al. [[48]](#_bookmark53) | Power FLANN | Back Propagation | Forecasting and Classification |
| Bebarta et al. [[48]](#_bookmark53) | Laguerre FLANN | Back Propagation | Forecasting and Classification |
| Bebarta et al. [[48]](#_bookmark53) | Legendre FLANN | Back Propagation | Forecasting and Classification |
| Bebarta et al. [[48]](#_bookmark53) | Chebyshev FL ANN | Back Propagation | Forecasting and Classification |
| Mishra et al. [[49]](#_bookmark53) | FLANN | BO + Back Propagation | Classification of Microarray Data |
| Mahapatra et al. [[50]](#_bookmark53) | Chebyshev FL ANN | Back Propagation | Classification of Cancer Data |
| Mishra et al. [[51]](#_bookmark53) | FL ANN | Back Propagation | Classification of Bio-Medical Data |
| Dehuri et al. [[52]](#_bookmark53) | FL ANN | IPSO + Gradient Descent | Classification |
| Sicuranza. and Carini [[59]](#_bookmark62) | Recursive FLANN | Back Propagation | Noise Control |
| George and Panda [[57]](#_bookmark58) | FLANN | Back Propagation | Noise Control |
| Mili and Hamdi [[53]](#_bookmark53) | FLANN | PSO + Back Propagation | Classification |
| Mili and Hamdi [[53]](#_bookmark53) | FLANN | DE + Back Propagation | Classification |
| Parija et al. [[58]](#_bookmark60) | FLANN | Back Propagation | Location management |
| Ali and Haweel [[60]](#_bookmark64) | Legendr-FLANN | Back Propagation | Channel Equalization |
| Durga and Tarun [[61]](#_bookmark66) | FLANN | Back Propagation | Wind Power Forecasting |
| Durga and Tarun [[61]](#_bookmark66) | Legendre-FLANN | Back Propagation | Wind Power Forecasting |
| Durga and Tarun [[61]](#_bookmark66) | Chebyshev-FLANN | Back Propagation | Wind Power Forecasting |
| Cui et al. [[62]](#_bookmark69) | FLANN | Back Propagation | Identification of Model |
| Naik et al. [[54]](#_bookmark53) | FLANN | PSO + GA + Gradient Descent | Non-linear Data Classification |
| Naik et al. [[55]](#_bookmark54) | FLANN | HMBO + Gradient Descent | Non-linear Data Classification |
| Naik et al. [[63]](#_bookmark70) | FLANN | HS + Gradient Descent | Non-linear Data Classification |
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compared with MLP, support vector machine (SVM), RBFN, FLANN with gradient descent learning and Fuzzy Swarm Net (FSN) model. Initially, IPSO is used to optimize the weight value of Functional link ANN and finally, functionally expanded (using trigonometric basis functions) input patterns are supplied to FLANN for classification. The proposed method is found to be simple and better as compared to MLP, SVM, FLANN with gradient decent learning and FSN. Mili and Hamdi [[53]](#_bookmark53) have developed a good number of FLANN based classifier such as PSO based FLANN, GA based FLANN and Differential Evolution (DE) based FLANN for classification task. These classifiers are compared and tested with various expansion functions. In their study, the authors have concluded that the proposed methods are performing better in terms of accuracy and convergence as

compared to traditional FLANN.

An efficient classification method based on FLANN and a hybrid learning scheme based on PSO and GA have been proposed by Naik et al. [[54]](#_bookmark53) and it is found to be relatively better in performance as compared to other alternatives. The PSO, GA and the gradient descent search are used iteratively to adjust the parameters of FLANN until the error is less than the required value, which helps the FLANN model to get better classification accuracy.

Naik et al. [[55]](#_bookmark54) have designed a Honey Bee Mating Optimization (HBMO) based learning scheme for FLANN classifier and compared with FLANN, GA based FLANN and PSO based FLANN classifiers. The proposed method mimics the iterative mating process of honey bees and strate- gies to select eligible drones for mating process, for selection of best weights for FLANN classifiers.

Along with these applications, many recent applications of FLANN model with various hybrid learning schemes from the period 2000–2015 are listed in [Table 1](#_bookmark1).

[Table 1](#_bookmark1) represents various recent applications of FLANN models with varieties of hybrid learning methods to solve real life applications.

1. Background study of the proposed work

From all the FLANN models discussed in literature survey ([Table 1](#_bookmark1)), few of them ([Table 2](#_bookmark2)) implement some form of

learning methods which learns from past data in Classification tasks in Data mining. Almost all the higher order ANNs (HONNs) including functional link higher order ANN (FLANN) are sensitive to random initialization of weight and rely on the learning algorithm adopted. Although a selection of efficient learning algorithm for HONNs helps to improve the performance, initialization of weights with optimized weights rather than random weights also plays important roles in efficiency of HONNs.

In related works ([Table 2](#_bookmark2)), it is noticed that, all most all the previously published works have addressed the issue of random initialization of weight in FLANN by using various optimiza- tion algorithms such as Genetic Algorithm (GA) [[64,65]](#_bookmark72), Parti- cle Swarm Optimization (PSO) [[66]](#_bookmark74), and Honey-Bee Mating Optimization (HBMO) [[67,68]](#_bookmark74). In these papers, various opti- mization algorithms (GA, PSO, Improved PSO, HMBO, etc.) are used to select the best set of weight for FLANN models for various nonlinear data classification. Although it is reported that these optimization techniques are successfully used in FLANN models for improved models such as GA based FLANN (GA-FLANN) [[40]](#_bookmark59), PSO based FLANN (PSO-FLANN) [[41]](#_bookmark61), IPSO based FLANN (IPSO-FLANN)

[[52]](#_bookmark53), HS based FLANN (HS-FLANN) [[63]](#_bookmark70) and HBMO based FLANN [[55]](#_bookmark54) (HBMO-FLANN), the major negative aspects of these implementations are the requirement of various complicated mathematical operators such as (i) Mutation and Crossover operator in GA in GA-FLANN, (ii) Position and Velocity calculation in PSO in PSO-FLANN and IPSO-FLANN and (iii) Crossover and Mutation in HBMO in HBMO-FLANN. The performance of these models depends upon the way of implementation of these mathematical opera- tions (such as selection of crossover operation, mutation oper- ation and mutation rate) and any changes in these factors may lead to increase in time and space complexity of the algorithm. Considering these, some new variants of Harmony Search

[[69]](#_bookmark74) are used in FLANN learning model with Gradient Des- cent learning scheme for classification. Many researchers are attracted toward the study of harmony search and its applica- tions due to the fact that, HS algorithms have few mathemat- ical requirements as compared to earlier meta-heuristic optimization algorithms and can be easily used for optimiza- tion problems. We have surveyed about 170 published papers

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| Table 2 Various FLANN models and learning methods used for data classification in recent years. | | | |
| Author(s) | Model used | Learning method employed | Application |
| Mishra and Dehuri [[39]](#_bookmark57) | FLANN | Back Propagation | Classification |
| Dehuri et al. [[40]](#_bookmark59) | FLANN | GA + Back Propagation | Classification |
| Dehuri and Cho [[41]](#_bookmark61) | FLANN | PSO + Back Propagation | Classification |
| Majhi et al. [[45]](#_bookmark68) | FLANN | Back Propagation | Classification of Consumer Behaviour |
| Dehuri and Cho [[46]](#_bookmark71) | FLANN | GA + Back Propagation | Classification |
| Bebarta et al. [[48]](#_bookmark53) | FLANN | Back Propagation | Forecasting and Classification |
| Nayak et al. [[47]](#_bookmark73) | FLANN | Back Propagation | Forecasting of Stocks |
| Mishra et al. [[49]](#_bookmark53) | FLANN | BO + Back Propagation | Classification of Microarray Data |
| Mahapatra et al. [[50]](#_bookmark53) | Chebyshev FL ANN | Back Propagation | Classification of Cancer Data |
| Mishra et al. [[51]](#_bookmark53) | FL ANN | Back Propagation | Classification of Bio-Medical Data |
| Dehuri et al. [[52]](#_bookmark53) | FL ANN | IPSO + Gradient Descent | Classification |
| Dehuri et al. [[52]](#_bookmark53) | MLP | Back Propagation | Classification |
| Dehuri et al. [[52]](#_bookmark53) | SVM | Back Propagation | Classification |
| Dehuri et al. [[52]](#_bookmark53) | FSN | Back Propagation | Classification |
| Naik et al. [[55]](#_bookmark54) | FLANN | HMBO + Gradient Descent | Non-linear Data Classification |
| Naik et al. [[63]](#_bookmark70) | FLANN | HS + Gradient Descent | Non-linear Data Classification |
|  |  |  |  |

**Survey on Various Application of Harmony Search Algorithm: 2004 - 2015**

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**Engineering Cross Application**

**Others**

**Power and Energy Water System Management**

**Medical Robotics**

**Control**

0 20 40 60

Figure 1 Various contributions on applications of harmony search algorithms.

on application of harmony Search algorithms till the year 2015 in the scientific databases of Elsevier, IEEE and Springer.

It is found that, various papers have been published in the area of different application of HS ([Fig. 1](#_bookmark3)) which includes

engineering (32.353%), water system management (4.118%),

medical (2.353%), robotics (1.765%), control (1.176%), power and energy (12.353%), cross application (22.941%) and others (22.941%). Starting from the development of HS, it has been a keen interest among the diversified researchers and has been used in various real life applications [[70–239]](#_bookmark74) ([Table 3](#_bookmark4)).

Inspired from successful applications of harmony Search algorithms, in this paper, an attempt has been made to address the intricacy in adjusting the set of weights of the FLANN model by using appropriate learning algorithm. Here the prob- lem solving approach of the Global-best Harmony Search along with learning ability of the Gradient Descent Learning (GDL) is used to obtain the optimal set of weight of FLANN model. The objective is to design an Ease-of-use FLANN model with Global-best Harmony Search technique which requires very few mathematical operation as compared to other meta-heuristics.

In this paper, an attempted has been made to design a FLANN model with hybrid Global-best Harmony Search (GbHS) and Gradient descent search based learning method for classification. The performance in terms of classification accuracy of the proposed method is compared with some of the existing popular methods such as MLP, SVM, and FSN and found that the results are exceeding over others.

Table 3 Various applications of harmony search algorithms.

References Application area

Lee and Geem [[70]](#_bookmark74), Lee et al. [[71]](#_bookmark74), Saka [[72,73]](#_bookmark74), Zarei et al. [[74]](#_bookmark74), Kaveh and Talatahari [[75]](#_bookmark75), Fesanghary et al. [[76]](#_bookmark76), Fesanghary [[77]](#_bookmark80), Kaveh and Shakouri [[78]](#_bookmark81), Khazali et al. [[79]](#_bookmark84), Parizad et al. [[80]](#_bookmark86), Wei et al. [[81]](#_bookmark89), Verma et al. [[82]](#_bookmark91), Barzegari et al. [[83]](#_bookmark93), Nezhad et al. [[84]](#_bookmark95), Zhang and Hanzo [[85]](#_bookmark97), Gao et al. [[86]](#_bookmark98), Jafarpour and Khayyambashi [[87]](#_bookmark77), Sarvari and Zamanifar [[88]](#_bookmark77), Yadav et al. [[89]](#_bookmark77), Erdal et al. [[90]](#_bookmark77), Srinivasa et al. [[91]](#_bookmark77), Kudikala et al. [[92]](#_bookmark77), Mehdizadeh et al. [[93]](#_bookmark77), Kermani et al. [[94]](#_bookmark78), Gao et al. [[95]](#_bookmark79), Bekda and Nigdeli [[96]](#_bookmark82), Harrou and Zeblah [[97]](#_bookmark83), Del Ser et al. [[98]](#_bookmark85), Fesanghary et al. [[99]](#_bookmark87), Kaveh and Ahangaran [[100]](#_bookmark88), Shariatkhah et al. [[101]](#_bookmark90), Degertekin [[102]](#_bookmark92), Askarzadeh and Rezazadeh [[103]](#_bookmark94), Landa-Torres et al. [[104–](#_bookmark96) [107]](#_bookmark96), Manjarres et al. [[108,109]](#_bookmark99), Gil-Lopez et al. [[110]](#_bookmark99), Del Ser et al. [[111]](#_bookmark99), Manjarres et al. [[112]](#_bookmark100), Yoo et al. [[113]](#_bookmark101), Huang et al. [[114]](#_bookmark105), Niu et al. [[115]](#_bookmark106), Askarzadeh and Masoud [[116]](#_bookmark108), Li et al. [[117]](#_bookmark110), Akin and Saka [[118]](#_bookmark113), Wang et al. [[119]](#_bookmark114), Zhai et al. [[120]](#_bookmark116), George et al. [[121]](#_bookmark118), Ouyang et al. [[122]](#_bookmark121), Wang et al. [[123]](#_bookmark123), Tarkeshwar et al. [[124]](#_bookmark125)

Engineering

Geem [[125]](#_bookmark102), Ayvaz [[126,127]](#_bookmark102), Geem [[128]](#_bookmark102), Geem et al. [[129]](#_bookmark102), Ayvaz [[130]](#_bookmark102), Cisty [[131]](#_bookmark102) Water/Ground Water System Management

Panchal [[132,133]](#_bookmark102), Gandhi et al. [[134]](#_bookmark103), Landa-Torres et al. [[135]](#_bookmark104) Medical

Tangpattanakul et al. [[136]](#_bookmark107), Yazdi et al. [[137]](#_bookmark109), Xu et al. [[138]](#_bookmark111) Robotics

Coelho et al. [[139]](#_bookmark112), Das Sharma et al. [[140]](#_bookmark115) Control

Vasebi et al. [[141]](#_bookmark117), Coelho and Mariani [[142]](#_bookmark119), Ceylan and Ceylan [[143]](#_bookmark120), Geem [[144]](#_bookmark122), Sinsupan et al. [[145]](#_bookmark124), Gao et al. [[146]](#_bookmark126), Ceylan et al. [[147]](#_bookmark127), Coelho et al. [[148]](#_bookmark127), Sui et al. [[149]](#_bookmark127), Sivasubramani and Swarup [[150]](#_bookmark127), Geem [[151]](#_bookmark127), Khorram and Jaberipour [[152]](#_bookmark127), Pandi and Panigrahi [[153]](#_bookmark127), Sivasubramani and Swarup [[154]](#_bookmark128), Chatterjee et al. [[155]](#_bookmark129), Afshari et al. [[156]](#_bookmark133), Sirjani et al. [[157]](#_bookmark134), Sirjani and Mohamed [[158]](#_bookmark136), Sirjani et al. [[159]](#_bookmark138), Javaheri and Goldoost-Soloot [[160]](#_bookmark141), Mukherjee [[161]](#_bookmark143)

Geem [[162]](#_bookmark144), Alexandre et al. [[163]](#_bookmark146), Geem [[164]](#_bookmark148), Wang et al. [[165]](#_bookmark150), Diao [[166]](#_bookmark130), Cobos et al. [[167]](#_bookmark130), Sarvari et al. [[168]](#_bookmark130), Hoang et al. [[169]](#_bookmark130), Alia et al. [[170]](#_bookmark130), Mandava et al. [[171]](#_bookmark130), Forsati and Mahdavi [[172]](#_bookmark130), Kaizhou et al. [[173]](#_bookmark131), Gao et al. [[174]](#_bookmark132), Han et al. [[175]](#_bookmark135), Yadav et al. [[176]](#_bookmark137), Wang et al. [[177]](#_bookmark139), Ayachi et al. [[178]](#_bookmark140), Ramos et al. [[179]](#_bookmark142), Navi et al. [[180]](#_bookmark145), Chandran and Nazeer [[181]](#_bookmark147), Ahmed et al. [[182]](#_bookmark149), Yusof et al. [[183]](#_bookmark151), Ko and Sim [[184]](#_bookmark152), Li et al. [[185]](#_bookmark152), Pan et al. [[186,187]](#_bookmark152), Ren et al. [[188]](#_bookmark152), Fu and Zhang [[189]](#_bookmark152), Jing et al. [[190]](#_bookmark153), Peiying et al. [[191]](#_bookmark154), Diao and Shen [[192]](#_bookmark158), Krishnaveni and Arumugam [[193]](#_bookmark159), Ezhilarasi and Swarup [[194]](#_bookmark162), Li et al. [[195]](#_bookmark164), Hua et al. [[196]](#_bookmark166), Ahmad et al. [[197]](#_bookmark168), Habib et al. [[198]](#_bookmark169), Salcedo-Sanz et al. [[199]](#_bookmark172), Gao et al. [[200]](#_bookmark174)

Geem [[201]](#_bookmark176), Geem and Choi [[202]](#_bookmark178), Geem and Williams [[203]](#_bookmark155), Fourie et al. [[204]](#_bookmark155), Mun and Geem [[205,206]](#_bookmark155), Coelho and Bernert [[207]](#_bookmark155), Ma et al. [[208]](#_bookmark155), Zou et al. [[209,210]](#_bookmark155), Fourie et al. [[211]](#_bookmark156), Mohsen et al. [[212]](#_bookmark157), Cheng and Yong [[213]](#_bookmark160), Bo et al. [[214]](#_bookmark161), Kattan et al. [[215]](#_bookmark163), Wong and Guo [[216]](#_bookmark165), Jaberipour and Khorram [[217]](#_bookmark167), Wang et al. [[218]](#_bookmark170), Huang et al. [[219]](#_bookmark171), Zou et al. [[220]](#_bookmark173), Kayhan et al. [[221]](#_bookmark175), Wang et al. [[222]](#_bookmark177), Kulluk et al. [[223]](#_bookmark179), Kattan and Abdullah [[224]](#_bookmark182), Alsewari and Zamli [[225]](#_bookmark182), Taleizadeh et al. [[226]](#_bookmark182), Landa-Torres et al. [[227]](#_bookmark182), Kulluk et al. [[228]](#_bookmark182), Salcedo-Sanz et al. [[229]](#_bookmark182), Garcı´ a-Torres et al. [[230]](#_bookmark183), Plasencia et al. [[231]](#_bookmark184), Turky et al. [[232]](#_bookmark186), Valian et al. [[233]](#_bookmark187), Yuan et al. [[234]](#_bookmark189), Kong et al. [[235,236]](#_bookmark192), Go¨ kc¸e and Ayvaz [[237]](#_bookmark195), Gupta and Jain [[238]](#_bookmark197), Salman et al. [[239]](#_bookmark199)

Power and Energy

Cross- Application

Others

The remaining part of this paper is organized as follows: Preliminaries in Section [4](#_bookmark5), proposed method in Section [5](#_bookmark12), experimental setup in Section [6](#_bookmark24), simulation results and perfor- mance comparisons in Section [7](#_bookmark25), proof of statistical signifi- cance in Section [8](#_bookmark28), conclusion in Section [9](#_bookmark47) and references.

1. Preliminaries
   1. *Functional link artificial neural network architecture*

The Functional Link Artificial Neural Network (FLANN)

[[240]](#_bookmark202) is a class of Higher Order Neural Networks that make use of higher combination of its inputs [[241,242]](#_bookmark203) and has been successfully used in many applications such as pattern recogni- tion [[243,244]](#_bookmark180), classification [[245–247]](#_bookmark180), channel equalization

[[248]](#_bookmark180), system identification [[249–253]](#_bookmark180) and prediction [[254]](#_bookmark188). Even if it has a single-layer network, still it is capable to handle nonlinear separable classification task as compared to MLP.

In FLANN, the dimension of input pattern increases artifi- cially through the functional expansion and then the extended and transformed input data are used to train the feed forward network. During functional expansion, various mathematical functions, such as sine, cosine, and log, are used to transform an original input pattern to its extended version. The number of input terms during functional expansion depends upon the number of attribute of an input pattern. The basic structure of FLANN is depicted in [Fig. 2](#_bookmark7).

erated by using Eq. [(1)](#_bookmark6), where *xi*(*j*) stands for *j*th attribute The functionally expanded values for dataset *x* can be gen- of order *m* × *n*. value of *i*th pattern and ‘*x*’ is a dataset in a form of matrix

*u*(*xi*(*j*)) = {*xi*(*j*); cos P*xi*(*j*); sin P*xi*(*j*); cos 2P*xi*(*j*);

sin 2P*xi*(*j*) ... cos *n*P*xi*(*j*); sin *n*P*xi*(*j*)} (1)

erated for an input attribute value *xi*(*j*) of a pattern *xi*, intern, Total 2*n* + 1 number of functionally expanded values are gen-

Weight Updation



w2,1

w2,2 w2,3

x (2)

Functional Expansion



S

y

tanh (S)

sin** *nx* (2)

cos** *nx* (2)

w2,2n

w2,2n+1

*x*(*n*)

sin** *x*(*n*)

wn,1

wn,2

x (n)

Functional Expansion

cos** *x*(*n*)

wn,3

Error

sin** *nx* (*n*)

cos** *nx* (*n*)

wn,2n

wn,2n+1

*x*(1)

sin** *x*(1) cos** *x*(1)

w1,1

w1,2 w1,3

x (1)

sin** *nx* (1)

cos** *nx* (1)

Functional Expansion

w1,2n

w1,2n+1

*x*(2)

sin** *x*(2)

cos** *x*(2)

Learning Algorithm

Figure 2 Functional link artificial neural network architecture.

(*n* \* (2*n* + 1)) number of expanded values are generated for a single input pattern *xi*. In Eq. [(1)](#_bookmark6), value for *i* and *j* can be ran- ged from *i* = 1, 2 .. . *m* and *j* = 1, 2 ... *n*, where *m* and *n* are number of input pattern and number of attribute values of each input pattern respectively except class level (probably last

column of dataset *x*). Hence, the complete functionally expanded values for dataset *x* is represented using Eq. [(2)](#_bookmark8).

*u* = {{*u*(*x* (1)); *u*(*x* (2)) .. . *u*(*x* (*n*))}*T*;

1 1 1

{*u*(*x*2(1)); *u*(*x*2(2)) ... *u*(*x*2(*n*))}*T* ...

{*u*(*x* (1)); *u*(*x* (2)) .. . *u*(*x* (*n*))}*T*} (2)

*m*

*m*

*m*

The weights of FLANN are set randomly prior to the above

functionally expanded values ‘*/*’ are the input to FLANN clas- sifier. Total *n* \* (2*n* + 1) number of weights are set for each individual pattern, as each input pattern is transformed to *n* \* (2*n* + 1) number of functionally expanded values. Random initialization of weight-set for each individual pattern can be visualized as Eq. [(3)](#_bookmark8).

*Wi* = {*wi*;1; *wi*;2; ... *wi*;2*n*+1}; for *i* = 1; 2 ... *n* (3)

where *Wi* is the weight vector initialized randomly for a single

input pattern. Hence, initialization of set of weight for input patterns of dataset ‘*x*’ can be viewed as a weight vector

*W* = {*W* ; *W* .. . *W* }*T*, where *W* is the set of weight for *i*th pattern in the dataset *x*. The dataset ‘*x*’ is supplied to FLANN

1 2 *m* *i*

in terms of functionally expanded values ‘*/*’ and the net output is obtained as follows.

* First, values of *S* is calculated as *S* = *uXW* = {*s*1; *s*2 ... *sm*}.
* Then, the net output *Y* is computed as *Y* = *f* (*S*) =

{*f* (*s*1);*f* (*s*2)...*f* (*sm*)} = {*y*1;*y*2 ...*ym* } = {tanh(*s*1); tanh(*s*2)

...tanh(*sm*)}. Here tanh is used as activation function and net output *yi* is for input pattern *xi*.

Based on net output *yi* and given target value *ti*, error of FLANN is calculated and a suitable learning method is adopted to adjust weight values of FLANN.

* 1. *Gradient descent learning scheme*

Gradient descent learning is the most commonly used training methods in which weights are changed in such a way that network error is declined as rapidly as possible. The learning of FLANN model using Gradient descent method with error of the network is described below.

* Error of *k*th input pattern is generated as *e*(*k*) = *Y* (*k*) — *t*(*k*)

which is used to compute error term *d*(*k*) = 1—*y* × *e*(*k*),

2

*k*

2

for *k* = 1, 2 ... *m*, where *m* is the number of input pattern in a dataset.

* Then, weight factor of ‘D*W* ’ can be computed as

D*W*

*i*=1 *i i*

*L*

, for *q* = 1; 2 .. . *L* × (2*n* + 1).

P*L* 2×*l*×*u* ×*d*

*q*

=

* Finally, weight updation is done as *wnew* = *w* + D*W* where *w* = (*w*1; *w*2 ... *wL*×(2*n*+1)) and D*W* = (D*W* 1; D*W* 2 .. . D*W L*×(2*n*+1)).

Basically, a better learning algorithm helps the ANN model for fast convergence. Further, a use of competitive optimiza- tion technique can, not only improve the convergence of a learning algorithm, but also enhance accuracy of an ANN based classifier. In the next subsection, a new meta-heuristic optimization technique, known as Harmony Search technique and its variants have been described.

* 1. *Variants of harmony search*

The Harmony Search (HS) [[69]](#_bookmark74) is a meta-heuristic algorithm inspired by musical process of searching for a perfect shape of harmony. The algorithm is based on natural musical processes in which a musician searches for a better state of harmony by tuning pitch of each musical instrument, such as jazz improvisa- tion. The music improvisation by pitch adjustment in the Harmony Search is analogous to local and global search process to find better solution in any optimization techniques.

* + 1. *Harmony search*

This section contains brief review on working procedure of the harmony search algorithm. In general, basic steps of harmony search can be expressed as follows:

Step 1 Initialize a harmony memory (HM) with randomly generated solution vectors (Harmonies)

Step 2 Repeat Steps 3 and 4 until no further significant growth in fitness of solution vector is noticed or the maximum number of iterations is reached

Step 3 Improvise HM to get New Harmony Memory (NHM) Step 4 Update the HM based on comparison between solution vectors of HM and NHM in terms of fitness. If any harmony in HM is less fit than harmony in NHM, then harmony in HM is excluded by adding harmony from NHM

Step 5 Exit

Basically, the harmony memory (HM) is a group of pre- defined number of solution vectors similar to a population of particle in PSO or chromosome in GA. Initially HM is initial- ized with random solution vectors and gradually, solution vectors in HM are improved by using Step-3 of harmony search procedure known as HM improvisation step. This step is entirely controlled by the parameters: Harmony Memory Consideration Rate (HMCR), Pitch Adjustment Rate (PAR) and Bandwidth (bw).

In HS, the HMCR controls the balance between exploration and exploitation and it is set between 0 and 1. The searching procedure behaves as purely random search, if the HMCR is set to 0 and a value 1 for HMCR specifies 100% of previous solution vectors from HM are taken into consideration for next

generation, which means, there is no chance to improve the

Where *u* = (*u*1; *u*2 .. . *uL* ), *e* = (*e*1; *e*2 .. . *eL*) and *d* = (*d*1; *d*2 ... *dL*) are the vector which represent sets of functional expansion, set of error and set of error

term respectively where *L* is the number of input patterns.

harmony from outside the HM. In this way, HMCR keeps

the balance between exploration and exploitation. Another parameter PAR determines the rate of adjustment of solution vectors based on the bandwidth (bw) which is usually a variable, and behaves as step size.

The HMCR and PAR determine Memory Consideration Probability (MCP), Pitch Adjustment Probability (PAP) and Random Probability (RP) as follows:

MCP = HMCR \* (1 — PAR)\* 100

PAP = HMCR \* PAR \* 100

of bw with iteration is inspired from the strategy of decreasing the learning rate of neural networks dynamically [[256]](#_bookmark191).

Unlike HS, the bw and PAR are not fixed and this value changes according to HS iterations which is achieved by using Eqs. [(5) and (6)](#_bookmark10).

bw !

RP = 100 — MCP — PAP

Basically, Improvisation of HM is governed by these parame-

bw(iter)= bwmax × *exp*

*ln*  bwmin

max × iter

*N*

(5)

ters (MCP, PAP, and RP).

MCP = 0.9 \* (1 — 0.45) \* 100 = 49.5 and PAP = 0.9 \* Example: If HMCR = 0.99 and PAR = 0.45 then

0.45 \* 100 = 40.5 and RP = 100 — 49.5 — 40.5 = 10. Which

In Eq. [(5)](#_bookmark10), bw(iter) is the bandwidth in particular iteration

‘iter’, bwmin and bwmax are the minimum and maximum band-

width respectively and *N* is the number of solution vector in the population.

means, during harmony improvisation phase (Step-3), 49.5%

of solution vectors are migrated (without any changes) from

PAR(iter)= PAR

min

+ PARmax — PARmin × iter (6)

*N*

previous harmony memory (HM) to New Harmony Memory

(NHM), 40.5% of solution vectors are gone through pitch adjustment and then included into NHM and 10% of solution vectors are gone through modification by adding randomly generated values with existing solution vector in HM.

In HS, the bw and PAR are fixed and pitch adjustment is done according to Eq. [(4)](#_bookmark9).

HM*i*(*t* + 1)

HM*i*(*t* + 1)= HM*j*(*t*)— rand(1)\* bw if rand(1) < 0.5

=

HM (*t* + 1)= HM (*t*)+ rand(1)\* bw if rand(1) > 0.5

( )

*i j*

(4)

In Eq. [(4)](#_bookmark9), HM*i*(*t* + 1) is the next *i*th harmony at time *t* + 1 and HM*j*(*t*) is the *j*th randomly selected harmony for pitch adjustment at time *t*.

In recent years, many Harmony Search variants ([Fig. 3](#_bookmark13)) have been proposed by the researchers by incorporating some modifications to the original HS algorithm [[69]](#_bookmark74). Further, these variants are some modifications of three major variations of HS and those are Improved HS, Global-best HS and Self Adaptive HS. These variants have some common steps and are different in strategies of solving optimization problem. Overall strategies and steps involved with these variants of Harmony Search have been demonstrated in [Fig. 3](#_bookmark13).

* + 1. *Improved harmony search*

The Improved Harmony Search (IHS) [[255]](#_bookmark190) is an initial variant of HS, which employs a novel strategy for generation of new solution vectors that not only enhances accuracy but also improves the convergence rate of basic HS algorithm. The authors have claimed the better performance of IHS over HS by eliminating constant parameters (bw, PAR) in HS algorithm and incorporating dynamically changes in PAR and bw with iteration number.

The IHS is free from the fixed values of PAR and bw in the HS algorithm by decreasing bw and increasing PAR with an iteration number and found considerable influence on the quality of solutions. The mechanism of dynamically decreasing

In Eq. [(6)](#_bookmark10), PAR(iter) is the pitch adjustment rate in particular iteration ‘iter’, PARmin and PARmax are the minimum and

maximum pitch adjustment rate and *N* is the number of solu- tion vector in the population.

* + 1. *Global-best Harmony Search*

Inspired from successful use of PSO in numerous applications, Omran and Mahdavi [[257]](#_bookmark193) have developed Global best Har- mony Search (GbHS), which borrowed the concepts from PSO to enhance its performance of HS optimization. Instead

of dynamically increasing PAR, authors have suggested to

employ the small constant PAR which may prevent overshoot- ing and oscillation that normally occurs in IHS.

In GbHS, it eliminates the difficulties of selecting appropri- ate bandwidth (bw) by directly adopting the current best pitch (Global best) from the harmony memory and adjusting other solution vectors to improve their qualities in the HM without pitch adjustment step. This process of HM improvisation is analogous to selection of local best (LBest) and global best (GBest) particle (In PSO) from population based on which, changing of position of particles is obtained. The performance of GbHS is found to be significantly better than HS and IHS in terms of quality of solution and convergence rate.

* + 1. *Self adaptive harmony search*

In, SAHS [[258]](#_bookmark194), the pitch adjustment step in IHS has been modified to incorporate better utilization of its own experi- ences, by updating the new harmony according to the maxi- mum and minimum values in the HM. Here, the objective is to simplify pitch adjustment step by introducing a new strategy of adjusting new harmony by using maximum and minimum values in HM encountered so far, thereby eliminating bw altogether from HS procedure.

Like IHS, in SAHS, the bw and PAR change with HS iter- ations. The SAHS is different from IHS in pitch adjustment mechanism as illustrated in Eq. [(7)](#_bookmark11).

Let minHM and maxHM denote the lowest and the highest values of the *i*th variable in the HM respectively and then har- mony in HM is further adjusted by the following Equations:

HM*i*(*t* + 1)=

( *i j*  *j*  )

*i*

*j*

*j*

HM (*t* + 1)= HM (*t*)+ max (HM) — HM (*t*) × rand(1) if rand(1) < 0.5 HM (*t* + 1)= HM (*t*)— HM (*t*)— min (HM) × rand(1) if rand(1) > 0.5

(7)

**Steps Involves in Harmony Search Variants**

|  |  |  |  |
| --- | --- | --- | --- |
| **Harmony Search** | **Initialization of HM.** | **Initialization of HMS, & HMCR.** | **Initialization of Compute MCP,**  **PAR & BW. PAP & RP.** |
| **(HS)** |  |  |  |
|  | **Check Termination** | **Update HM.** | **Improvisation of** |
|  | **Criteria.** |  | **HM.** |
| **Improved Harmony** | **Initialization of HM.** | **Initialization of HMS & HMCR.** | **Increasing PAR & Compute MCP,**  **Decreasing BW. PAP & RP.** |
| **Search** |  |  |  |
| **(IHS)** |  |  |  |
|  | **Check Termination** | **Update HM.** | **Improvisation of** |
|  | **Criteria.** |  | **HM.** |
| **Global-Best** | **Initialization of HM.**  **Check Termination Criteria.** | **Initialization of HMS & HMCR.**  **Update HM.** | **Initialization of Compute MCP,**  **PAR & BW. PAP & RP.**  **Swarm Intelligence (PSO) Based Improvisation of HM.** |
| **Harmony** |
| **Search** |
| **(GbHS)** |
| **Self Adaptive Harmony Search (SAHS)** | **Initialization of HM.** | **Initialization of HMS & HMCR.** | **Changes in PAR Compute MCP,**  **with iterations. PAP & RP.** |
|  | **Check Termination** | **Update** | **Improvise HM using Min(HM)** |
|  | **Criteria.** | **HM.** | **& Max(HM).** |

Figure 3 Harmony search variants.

where HM*i*(*t* + 1) is the next *i*th harmony at time *t* + 1, HM*j*(*t*) is the *j*th randomly selected harmony for pitch adjust- ment at time *t*, min(HM) and max(HM) are the minimum and

maximum values of entire harmony memory (HM) and rand

(1) is a uniform number in the [0, 1] range without 1.

1. Proposed method

In this section, we have considered four FLANN classifiers with Gradient descent learning based on four variants of Harmony Search algorithm. In this paper, a deep experimental analysis on Harmony Search algorithm and its different vari- ants (i.e. Improved HS, Global-best HS and Self Adaptive HS) has been done and an attempt has been made to use the problem solving strategies of these variants to improve perfor- mance of FLANN classifiers. Here the objective is to select the best set of weight (Weight-set) from a set of randomly selected

weight-sets (Population) for FLANN model for classification task. This paper mainly focused on Global-best HS based Gradient Descent Learning-FLANN model (GbHS-GDL- FLANN) for classification and the objective is to investigate the performances of Global-best HS (GbHS) to enhance clas- sification accuracy of FLANN classifier as compared to basic HS (HS), Improved HS (IHS) and Self Adaptive HS (SAHS). Also, the performance of GbHS-GDL-FLANN is compared with other meta-heuristic algorithm (GA based FLANN and PSO based FLANN) to get generalized performance. The pseudo codes developed during implementation of proposed GbHS based Gradient descent learning FLANN (GbHS- GDL-FLANN) are presented in Section [5.1](#_bookmark14). The simulation results and the comparisons of performance of these hybrid FLANN classifiers (FLANN, GA-GDL-FLANN, PSO-GDL-FLANN, HS-GDL-FLANN, IHS-GDL-FLANN, GbHS-GDL-FLANN and SAHS-GDL-FLANN), MLP, SVM

and FSN are discussed in Section [7](#_bookmark25).

* 1. *Global-best Harmony Search based Gradient Descent Learning-FLANN (GbHS-GDL-FLANN)*

Initially ([Fig. 4](#_bookmark16)), the population of weight-sets (HM) is randomly initialized. Each weight-set is a possible candidate set of weight of FLANN for classification of the dataset. Each

individual weight-set in HM can be defined as follows:

*Wi* = *wi*;1; *wi*;2 .. . *wm*×*n*×(2×*k*+1) (8) In Eq. [(8)](#_bookmark14), the (2 × *k* + 1) is the number of functionally expanded values for a single value in input pattern (for a cho-

sen value of *k*), *n* is the number of values (features) in a single input pattern and *m* is the number of patterns in the dataset.

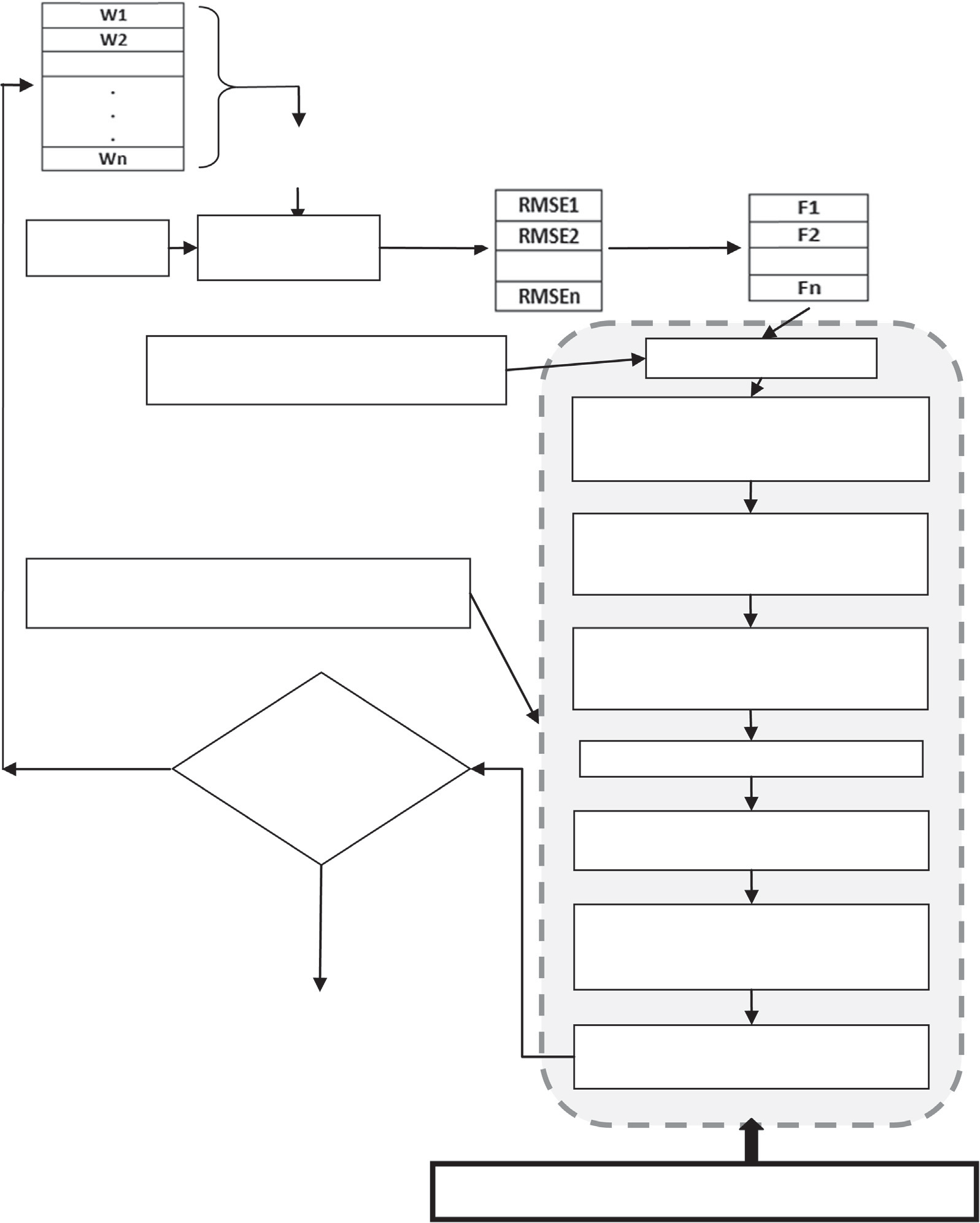
The set of weight-sets in the HM (population) is represented as Eq. [(9)](#_bookmark15).

HM = (*W*1; *W*2 ... *Wm*) (9)

The objective of this study is to improve the quality of weight-

sets by using Global-best HS and to find the best weight-set from the population (HM). The problem solving strategies of Global-best HS are used here to improve the qualities of harmonies in harmony memory (HM) and the complete flow of execution can be realized by using [Fig. 4](#_bookmark16) and pseudo codes (Algorithms 1–4). Initially, the harmony memory (HM) is initialized with ‘*n*’ numbers of weight-sets for FLANN. Each weight-set *Wi* is set to FLANN and the FLANN model is trained with a particular dataset. Based on output of the

**HM**



**Fitness**

=

**Dataset**

**FLANN Model**

**Initialize HMS, HMCR, PAR & bw. (For 1st iteration only)**

**Compute HMCP, PAP & RP.**

**Randomly select harmony memories from HM with a probability of HMCP and use as NHM.**

**Randomly initialize velocity ‘V’ according to HM. (For 1st iteration only)**

**Randomly select harmony memories from HM**

**with a probability of PAP for Improvisation through adjustment using bw.**

**Randomly select harmony memories from HM**

**with a probability of RP and add or subtract a random value from it.**

**No**

**If Maximum Iteration is Reached**

**Select Local Best ‘Lbest’ as Lbest = NHM.**

**Set Best Harmony from NHM as Global best ‘Gbest’.**

**Yes**

**Compute Next Velocity ‘Vnew’ from HM (Current Population), V (Current Velocity), Gbest & Lbest, by using Equation-12**

**Stop**

**Update NHM as NHM=HM + Vnew. by using Equation-13**

**Global-best HS Based Improvisation of HM**

Figure 4 Overview of proposed scheme.

FLANN and given target value, error of the network is obtained. For a specific dataset, the root mean square error (RMSE) (Eq. [(10)](#_bookmark17)) for each Weight-set *Wi* is computed by using output of the FLANN ([Algorithm 4](#_bookmark23)) and given target value. Based on RMSEs, fitness of the weight-sets is computed by using Eq. [(11)](#_bookmark17).

The Root Mean Square Error (RMSE) of predicted output values *y*^*i* of a target variable *yi* is computed for *n* different pre- dictions as follows:

sﬃPﬃﬃﬃﬃﬃ*n*ﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃ2ﬃﬃ

*i*=1(*yi* — *y*^*i* )

*n*

RMSE =

(10)

After improvisation of HM by using Glabal-best Harmony Search optimization, the HM is updated by based on comparison of fitness of weight-sets in HM and NHM. If the fitness of *i*th weight-set in HM is less than fitness of *i*th weight-set in NHM, then HM(i,:) will be replaced by NHM (i,:) else HM(i,:) serves as new harmony for next iteration. The pseudo codes for HM updation procedure are represented in [Algorithm 3](#_bookmark22). These processes are continued iteratively until maximum iteration is reached or increase in fitness of weight-sets in HM in subsequent iteration is not significant.

The complete schemes of the proposed method can be realized

in [Fig. 4](#_bookmark16).

*FWi* = 1/RMSE*i* (11)

In Eq. [(11)](#_bookmark17), *Wi* is the *i*th weight-sets in the population, *RMSEi*

is the root mean square error of *i*th weight-set and *FWi* is the fitness of *i*th weight-set *Wi*.

After evaluation of fitness values for each weight-set in HM, the HM goes through HM improvisation process based on Global-best Harmony Search (GbHS). During this, the parameters: HMS (Harmony Memory Size), HMCR (Har- mony Memory Consideration Rate), PAR (Pitch Adjustment Rate) and bw (Bandwidth) are set and based on which MCP (Memory Consideration Probability), PAP (Pitch Adjustment Probability) & RP (Random Probability) are computed ([Algo-](#_bookmark18) [rithm 1](#_bookmark18)). Basically, the Harmony Search procedure is governed by these parameters.

[Algorithm 2](#_bookmark19) represents pseudo-codes for Harmony Memory improvisation in which, initially, among all weight-sets (har- monies) in HM, some are randomly selected with a probability of MCP (Memory Consideration Probability) and included into New Harmony Memory (NHM). Here the objective is to migrate some weight-sets (harmonies) from HM into NHM without any changes on them, which serve as new harmonies. For the improvement of weight-sets through pitch adjustment, some weight-sets are selected randomly from HM with a prob- ability of PAP and then they are adjusted based on the variable distance bandwidth (bw) which is similar to the local search method with a step size bw. Similarly, with a probability of Random Probability (RP), some weight-sets are selected ran- domly and added to NHM by suitably adding or subtracting a random value on it. Although Global-best Harmony Search is suggested to bypass the pitch adjustment step, better result also can be obtained through pitch adjustment of harmonies.

After the generation of harmonies NHM from HM through Harmonic Memory Consideration, Pitch Adjustment and Random Selection phases with probabilities of MCP, PAP and RP respectively, all the harmonies in NHM are treated as local best particles (LBest) from which the harmony with best fitness is chosen as global best particle (GBest). Here, the population of harmonies in HM is analogous to popula- tion of particles in PSO. The next velocities (Vnew) of har- monies (particles) is computed by using *V* (Initial Velocity), LBest and Gbest from Eq. [(12)](#_bookmark20). After obtaining next velocity Vnew, the next position of harmonies in NHM is computed from Eq. [(13)](#_bookmark21) ([Algorithm 2](#_bookmark19)).

*Vi*(*t* + 1)= *Vi*(*t*)+ *c*1 \* rand(1)\* (lbest*i* — *Xi*(*t*))

+ *c*2 \* rand(1)\* (gbest*i* — *Xi*(*t*)) (12)

*Xi*(*t* + 1)= *Xi*(*t*)+ *Vi*(*t* + 1) (13)

Algorithm 1. Global-best-Harmony-Search-GDL-FLANN (GbHS-GDL-FLANN) Procedure

% *HMS: Harmony Memory Size, HMCR: Harmony Memory Consideration Rate, PAR: Pitch Adjustment Rate.*

% *Randomly initialize a harmony memory (HM) with size HMS.*

HM = —1 + (1 — —1).\*(rand (m, l));

% *Where* m is the number of weight-set in population

and l is the length of each weight-set.

% *Initialization of HMS, HMCR and PAR.*

HMS=40; HMCR=0.9; PAR=0.3;

bw=zeros(1,l)+0.0001;

% *Compute MCP(memory consideration probability), PAP(pitch adjustment probability) and RP(randomization probability).*

MCP=HMCR\*(1-PAR)\*100; PAP=HMCR\*PAR\*100; RP=100-MCP-PAP;

Iter = 0;

While (1)

% *Improvisation of Harmony Based on Global-best HS Optimization*

Function NHM = ImprovizationOfHarmonyMemory (HM, HMS, MCP, PAP, RP, bw);

% *Updation of HM*

Function HM = UpdateHarmonyMemory(HM, NHM);

% *Check for Termination Criteria. if* (iter >= MAX\_ITERATION)

break;

*end if*

iter=iter+1; End While

Algorithm 2. ImprovizationOfHarmonyMemory Procedure

*Function* NHM = ImprovizationOfHarmonyMemory(HM, HMS, MCP, PAP, RP, bw)

*for* i=1:1:HMS

r=rand(1)\*100;

*% Select jth weight-set randomly from harmony memory with memory consideration probability (MCP) which serve as New Harmony Memory (NHM).*

(*continued on next page*)

*If* (1<=r && r<=MCP)

j=floor (mod((rand(1)\*1000), HMS))+1; NHM(i,:)=HM(j,:);

*Endif*

% *Select jth weight-set randomly from harmony memory with a probability of PAR for pitch adjustment to improve quality of weight-set in HM which serves as new harmony memory (NHM). The PAR and appropriate bandwidth (bw) serve the purpose. It is similar to the local search method with step size of variable distance bandwidth.*

*If* (MCP+1<=r && r<=MCP+PAP)

j=floor(mod((rand(1)\*1000), HMS))

+1;

r1=rand(1);

If (r1<=0.5)

for k=1:1:lbw, where lbw is the length of bw

NHM(i,k)=HM(j,k) —

rand(1)\*bw(1,k);

End

Else

for k=1:1:lbw, where lbw is the length of bw

NHM(i,k)=HM(j,k)

+ rand(1)\*bw(1,k);

End

End

*endif*

% *Select jth weight-set randomly from harmony memory with a probability of RP which serve as new harmony memory (NHM). In this phase, a j*th*weight-set is selected randomly from HM and added to NHM by suitably adding or subtracting a random value from it.*

*If* (MCP+PAP+1<=r && r<=MCP

+PAP+RP)

j=floor(mod((rand(1)\*1000),

NHM(i,:)=HM(j,:)+(—0.1 HMS))+1;

+(0.1 — —0.1).\*rand(1));

*Endif*

% *Global best Harmony ‘gbest’ Selection: Select best harmony (weight-sets) from population having highest fitness among all weight-sets in the population (HM).*

lbest=NHM; for i=1:1:HMS w=lbest(i,:); Flbest(i,1)=fitfromtrain (u,w, t, *l*);

end [mx,mxi]=max(Flbest); gbest=lbest(mxi,:);

% *Compute next velocity ‘Vnew’: Compute next velocity Vnew from lbest, gbest, NHM and current velocity V.*

c1=2; c2=2;

For i=1:1:rlbest, where rlbest is the number of row in lbest

For j=1:1:clbest, where clbest is the number of column in lbest

Vnew(i,j) = V(i,j) + rand(1)\*c1\*(lbest(i,j)

— HM(i,j)) + rand

(1)\*c2\*(gbest(1,j) —

HM(i,j);

End

End

% *Generate next position of harmony NHM from old NHM and new velocity ‘Vnew’.*

NHM = HM + Vnew;

endfor

*end*

Algorithm 3. UpdateHarmonyMemory Procedure

*Function* HM = UpdateHarmonyMemory (HM, NHM)

% Update the HM: If the new harmony (weight-sets) in NHM is better than the harmony in the HM, then add the new harmony into the HM by excluding the worst harmony from the HM.

*for* i=1:1:HMS

w=HM(i,:);

F1(i,1)=fitfromtrain (u,w,t, *l*);

*endfor*

*for* i=1:1:HMS

w=NHM(i,:);

F2(i,1)=fitfromtrain (u,w,t, *l*);

*endfor* lf=length(F1); *for* i=1:1:lf

*if* (F1(i,1)<F2(i,1))

HM(i,:)=NHM(i,1);

*end if*

*endfor*

*end*

Algorithm 4. fitfromtrain Procedure

function F = fitfromtrain (u; *w*; *t*; *l*)

*S* = *u* · *w Y* = tanh(*S*);

If *u* = (*u*1; *u*2 .. . *uL*), *e* = (*e*1; *e*2 .. . *eL*) and

*d* = (*d*1; *d*2 .. . *dL*) are vector which represent set of functional expansion, set of error and set of error tern

respectively, then the weight factor of w ‘D*W*’ is

P

*L*

Computed as follows: D*W* =

2×*l*×*u* ×*d*

*q*

*i*=1 *i i* .

*L*

Compute error term *d*(*k*) =

*k*

1—*y*

2

2

× *e*(*k*), for

*k* = 1, 2 .. . *L* where *L* is the number of pattern.

*e* = *t* — *y*;

Compute root mean square error (RMSE) by using Eq.

[(10)](#_bookmark17) from target value and output.

*F* = 1/RMSE, where *F* is fitness of the of FLANN model.

end

1. Experimental setup

In this section, the environment for simulation, the dataset used for training & testing phase and the parameter setting for proposed methods during simulation are presented.

All the classification methods (FLANN, GA-FLANN, PSO-FLANN, HS-FLANN, IHS-FLANN, SAHS-FLANN

and GbHS-FLANN) are implemented in Matlab (Version 9.0) in a system with Window XP operating system. After obtaining the results of simulation, statistical analysis has been carried out using SPSS statistical tool (Version 16.0).

The benchmark datasets ([Table 4](#_bookmark27)) used for classification are originated from UCI machine learning repository [[259]](#_bookmark196) and processed by KEEL software [[260]](#_bookmark198).

[Table 4](#_bookmark27) represents the list of benchmark datasets which is used to evaluate the models. All the datasets are presented

Pitch Adjustment Rate (PAR): PARmin = 0.01, PARmax = 0.9

Bandwidth (bw): bwmin = 0.0001, bwmax = 1

20×(*UB*—*LB*)

* + 1. *Global-best Harmony Search parameter*

Harmony Memory Size (HMS): 40

Harmony Memory Consideration Rate (HMCR): 0.9 Pitch Adjustment Rate (PAR): 0.3

Bandwidth (bw): 0.0001

* + 1. *Self adaptive harmony search parameter*

Harmony Memory Size (HMS): 40

Harmony Memory Consideration Rate (HMCR): 0.9

along with their number of patterns, number of attributes

(without class attribute) and number of classes.

The detail descriptions about all these dataset can be obtained at ‘<http://archive.ics.uci.edu/ml/>’ and ‘<http://keel.es/>’.

* 1. *Parameters setting used for simulation*

Pitch Adjustment Rate (PAR): PAR PARmax = 0.9

1. Results and comparisons

min

= 0.01,

* + 1. *FLANN parameter*

During the learning of the FLANN model, the gradient des- cent learning method is used by setting ‘*l*’ to 0.13. The value of ‘*l*’ is obtained by testing the models in the range 0–3. Each value in the input pattern is expanded to 11 number of func-

In this section, the classification accuracies (Eq. [(14)](#_bookmark26)) obtained from various methods for all benchmark datasets with their comparison results are represented. These classification accuracies ([Tables 6–8](#_bookmark30)) are observed individually for training and testing phase.

tionally expanded input values by setting *n* = 5. (As FLANN model suggests to generate 2*n* + 1 number of functionally expanded input values for a single value in the input pattern.)

*i*=1 *n*

Classification accuracy = *n*

P

P

P

P

*i*=1

*m*

*j*=1;

*i*=*j*

*j*=1 *m*

CM*i*;*j* CM*i*;*j*

× 100 (14)

* + 1. *Harmony search parameter*

Harmony Memory Size (HMS): 40

Harmony Memory Consideration Rate (HMCR): 0.9 Pitch Adjustment Rate (PAR): 0.3

Bandwidth (bw): 0.0001

* + 1. *Improved harmony search parameter*

Harmony Memory Size (HMS): 40

Harmony Memory Consideration Rate (HMCR): 0.9

In Eq. [(14)](#_bookmark26), the CM is the confusion matrix which represents

number of well classified and miss classified pattern after classification operation.

Here n and m are no. of row and no. of column of CM respectively and they are supposed to be equal (i.e. *n* = *m*).

* 1. *Cross validation*

The Cross-Validation [[261]](#_bookmark200) is a statistical method to estimate generalized performance of the learned model from data which compare learning algorithms by dividing data into two seg- ments: training set & testing set, which are used to train and evaluate the model respectively. In *k*-fold cross-validation [[262]](#_bookmark201), the data are partitioned into *k* equally or nearly equally sized fragments on which training and validation are per- formed such that, in each test different fold of the data is used for training and validation.

|  |  |  |  |
| --- | --- | --- | --- |
| Table 4 Dataset information. | | | |
| Dataset | Number of pattern | Number of features (excluding class label) | Number of classes |
| Monk 2 | 256 | 06 | 02 |
| Iris | 150 | 04 | 03 |
| Heart | 256 | 13 | 02 |
| Hayesroth | 160 | 04 | 03 |
| Wine | 178 | 13 | 03 |
| Ionosphere | 351 | 33 | 02 |
| Hepatitis | 80 | 19 | 02 |
| Pima | 768 | 08 | 02 |
| New Thyroid | 215 | 05 | 03 |
| Bupa | 345 | 06 | 02 |
| Dermatology | 256 | 34 | 06 |
|  |  |  |  |

In this paper, all the datasets used for classification are pre- pared for cross validation by using 5-folds cross validation technique. During the preparation of datasets for 5-fold cross validation, 5 pairs of dataset sample are created and each pair contains datasets for training and testing phase.

[Table 5](#_bookmark29) represents 5-fold cross validated Newthyroid data- set in which dataset is divided into 5 pair datasets. Each pair contains dataset for training and testing which are used to train and test the models respectively.

For example ([Table 5](#_bookmark29)), the ‘newthyroid-5-1tra.dat’ and ‘n ewthyroid-5-1tst.dat’ data are a pair of datasets sample of New Thyroid dataset which is used for training and testing phase for a single run respectively. As 5-fold cross validation

is employed, the New Thyroid datasets contains 5 such pair of dataset sample for training and testing the algorithms.

The 5-fold cross validated dataset for NEW THYROID dataset is presented in [Table 5](#_bookmark29). All other datasets are prepared for 5-fold cross validation in the same fashion and collected from KEEL Dataset Repository [[260]](#_bookmark198). The average classifica- tion accuracies on 5-fold cross validation dataset during train- ing and testing phase are listed in [Tables 6–8](#_bookmark30). In [Tables 6–8](#_bookmark30), the average of classification accuracies of algorithms on ‘new thyroid-5-1tra.dat’, ‘newthyroid-5-2tra.dat’, ‘newthyroid-5-3tr a.dat’, ‘newthyroid-5-4tra.dat’ and ‘newthyroid-5-5tra.dat’ is posted as the classification accuracy in training phase for New Thyroid dataset. Similarly, the average of classification accuracies of algorithms on ‘newthyroid-5-1tst.dat’, ‘newthyr oid-5-2tst.dat’, ‘newthyroid-5-3tst.dat’, ‘newthyroid-5-4tst.da t’ and ‘newthyroid-5-5tst.dat’ is posted as the classification accuracy in testing phase.

[Table 6](#_bookmark30) describes the comparison of classification accura- cies of FLANN, GA based FLANN (GA-FLANN), PSO

based FLANN (PSO-FLANN) and HS based FLANN (HS- FLANN) classifiers and [Table 7](#_bookmark31) represents comparison of other 4 classifiers: HS based FLANN (HS-FLANN), Improved HS based FLANN (IHS-FLANN), Self-Adaptive HS based FLANN (SAHS-FLANN) and Global-best HS based FLANN (GbHS-FLANN), which are based of variants of Harmony Search technique.

After comparison of proposed method with hybrid models ([Tables 6 and 7](#_bookmark30)), we have made some comparison with other similar approaches in the same area. The projected method (GbHS-FLANN) is compared with Multi-Layer Perceptron [[52]](#_bookmark53), Support Vector Machine [[52]](#_bookmark53) and Fuzzy System Nets [[52]](#_bookmark53). [Table 8](#_bookmark31) represents the average classification accuracies of the GbHS-FLANN, MLP, SVM and FSN for both training and testing phase. The average of training and testing accura- cies on the datasets are listed in [Table 9](#_bookmark32). The overall statistic on performance of all the methods in this study is shown in [Fig. 5](#_bookmark33). From the simulation results ([Table 9](#_bookmark32)), it clearly indi- cates that the proposed GbHS-FLANN outperforms over the other results in all the tested datasets.

In this study, the performance of GA, PSO, HS, IHS, SAHS and GbHS is analyzed in order to know the improve- ment of harmonies (weight-sets) in the population by these algorithms in different generation. The changes in fitness of weight-sets in different generations are observed in all the 11 number of datasets and [Figs. 6–16](#_bookmark35) demonstrate the improve- ments of fitness of weight-sets in the population.

1. Proof of statistical significance

In this section, the statistical comparison of classifiers over multiple datasets [[263]](#_bookmark204) is presented to argue the projected method is statistically better and significantly different from

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| Table 5 Datasets in 5-fold for cross validation. | | | | | | |
| Dataset | Data files | Number of pattern | Task | Number of pattern in class-1 | Number of pattern in class-2 | Number of pattern in class-3 |
| New Thyroid | newthyroid-5-1tra.dat | 172 | Training | 120 | 28 | 24 |
|  | newthyroid-5-1tst.dat | 43 | Testing | 30 | 07 | 06 |
|  | newthyroid-5-2tra.dat | 172 | Training | 120 | 28 | 24 |
|  | newthyroid-5-2tst.dat | 43 | Testing | 30 | 07 | 06 |
|  | newthyroid-5-3tra.dat | 172 | Training | 120 | 28 | 24 |
|  | newthyroid-5-3tst.dat | 43 | Testing | 30 | 07 | 06 |
|  | newthyroid-5-4tra.dat | 172 | Training | 120 | 28 | 24 |
|  | newthyroid-5-4tst.dat | 43 | Testing | 30 | 07 | 06 |
|  | newthyroid-5-5tra.dat | 172 | Training | 120 | 28 | 24 |
|  | newthyroid-5-5tst.dat | 43 | Testing | 30 | 07 | 06 |
|  |  |  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Table 6 Comparison of results among FLANN, GA-FLANN, PSO-FLANN and HS-FLANN.  Datasets Classification accuracies of classifiers in % | | | | | | | | | | | |
|  | FLANN |  |  | GA-FLANN |  |  | PSO-FLANN |  |  | HS-FLANN |  |
|  | Training | Testing |  | Training | Testing |  | Training | Testing |  | Training | Testing |
| Monk 2 | 93.828 | 92.043 |  | 96.545 | 93.199 |  | 97.453 | 95.466 |  | 97.914 | 96.537 |
| Iris | 96.847 | 97.368 |  | 97.13 | 98.166 |  | 97.352 | 98.65 |  | 97.857 | 99.472 |
| Heart | 88.963 | 78.481 |  | 89.407 | 79.074 |  | 89.778 | 79.852 |  | 89.917 | 80.222 |
| Hayesroth | 90.359 | 82.313 |  | 91.063 | 83.562 |  | 91.266 | 83.937 |  | 91.547 | 85.063 |
| Wine | 92.76 | 93.186 |  | 94.368 | 95.536 |  | 97.762 | 95.627 |  | 97.597 | 95.570 |
| Ionosphere | 79.482 | 80.927 |  | 87.336 | 89.152 |  | 92.372 | 90.18 |  | 91.552 | 90.069 |
| Hepatitis | 73.519 | 70.593 |  | 80.275 | 75.826 |  | 80.028 | 75.42 |  | 82.481 | 76.273 |
| Pima | 78.416 | 78.76 |  | 78.64 | 78.80 |  | 80.126 | 79.47 |  | 80.683 | 80.581 |
| Thyroid | 93.918 | 76.558 |  | 94.198 | 77.535 |  | 94.302 | 78.791 |  | 94.407 | 79.256 |
| Bupa | 72.16 | 72.76 |  | 74.321 | 75.5 |  | 76.384 | 76.75 |  | 76.318 | 76.358 |
| Dermatology | 96.358 | 92.442 |  | 96.946 | 93.859 |  | 97.011 | 94.08 |  | 97 | 93.872 |
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| Table 7 Comparison of results among HS-FLANN, IHS-FLANN, SAHS-FLANN and GbHS-FLANN.  Datasets Classification accuracies of classifiers in % | | | | | | | | | | | |
|  | HS-FLANN |  |  | IHS-FLANN |  |  | SAHS-FLANN |  |  | GbHS-FLANN |  |
|  | Training | Testing |  | Training | Testing |  | Training | Testing |  | Training | Testing |
| Monk 2 | 97.914 | 96.537 |  | 97.929 | 96.552 |  | 98 | 96.634 |  | 98.019 | 96.692 |
| Iris | 97.857 | 99.472 |  | 97.871 | 99.695 |  | 97.869 | 99.541 |  | 98.164 | 99.58 |
| Heart | 89.917 | 80.222 |  | 89.924 | 80.275 |  | 89.932 | 80.295 |  | 89.95 | 80.361 |
| Hayesroth | 91.547 | 85.063 |  | 91.557 | 85.193 |  | 91.602 | 85.26 |  | 91.582 | 85.247 |
| Wine | 97.597 | 95.570 |  | 97.902 | 95.63 |  | 97.927 | 95.783 |  | 98.152 | 95.923 |
| Ionosphere | 91.552 | 90.069 |  | 91.893 | 90.173 |  | 92.735 | 90.672 |  | 92.95 | 91.363 |
| Hepatitis | 82.481 | 76.273 |  | 82.638 | 76.334 |  | 82.533 | 76.294 |  | 82.586 | 76.306 |
| Pima | 80.683 | 80.581 |  | 80.835 | 80.593 |  | 80.738 | 80.587 |  | 82.733 | 81.53 |
| Thyroid | 94.407 | 79.256 |  | 94.437 | 79.263 |  | 94.426 | 79.261 |  | 94.804 | 79.335 |
| Bupa | 76.318 | 76.358 |  | 76.475 | 76.925 |  | 76.618 | 77.426 |  | 78.236 | 78.754 |
| Dermatology | 97 | 93.872 |  | 97.046 | 94.382 |  | 97.176 | 94.762 |  | 97.369 | 95.442 |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Table 8 Comparison of results among MLP, SVM, FSN and GbHS-FLANN.  Datasets Classification accuracies of classifiers in % | | | | | | | | | | | |
|  | MLP |  |  | SVM |  |  | FSN |  |  | GbHS-FLANN |  |
|  | Training | Testing |  | Training | Testing |  | Training | Testing |  | Training | Testing |
| Iris | 98.15 | 94.00 |  | 91.69 | 91.70 |  | 97.182 | 96.00 |  | 98.164 | 99.58 |
| Heart | 82.63 | 80.42 |  | 85.20 | 84.19 |  | 85.19 | 84.86 |  | 89.95 | 80.361 |
| Wine | 96.14 | 92.29 |  | 79.06 | 73.66 |  | 97.87 | 93.69 |  | 98.152 | 95.923 |
| Ionosphere | 74.61 | 73.28 |  | 83.74 | 83.74 |  | 90.54 | 87.5 |  | 92.95 | 91.363 |
| Hepatitis | 60.42 | 60.83 |  | 76.27 | 63.18 |  | 76.57 | 72.52 |  | 82.586 | 76.306 |
| Pima | 76.61 | 77.19 |  | 79.68 | 75.37 |  | 75.27 | 76.39 |  | 82.733 | 81.53 |
| Thyroid | 79.78 | 79.77 |  | 90.70 | 90.76 |  | 96.74 | 94.39 |  | 94.804 | 79.335 |
| Bupa | 67.52 | 67.39 |  | 74.57 | 68.53 |  | 65.19 | 65.00 |  | 78.236 | 78.754 |
| Dermatology | 86.78 | 80.63 |  | 95.49 | 87.65 |  | 96.28 | 90.65 |  | 97.369 | 95.442 |
|  |  |  |  |  |  |  |  |  |  |  |  |

***Average Classiﬁcation Accuracies in %***

Table 9 Comparison of average classification accuracy of MLP, SVM, FSN and GbHS-FLANN.

Datasets Average classification accuracies of classifiers in %

**87.440 %**

**86.448 %**

**85.657 %**

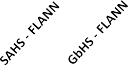
**84.083 %**

**81.954 %**

**79.358 %**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | MLP | SVM | FSN | GbHS-FLANN |
| Iris | 96.075 | 91.695 | 96.591 | 98.872 |
| Heart | 81.525 | 84.695 | 85.025 | 85.1555 |
| Wine | 94.215 | 76.36 | 95.78 | 97.0375 |
| Ionosphere | 73.945 | 83.74 | 89.02 | 92.1565 |
| Hepatitis | 60.625 | 69.725 | 74.545 | 79.446 |
| Pima | 76.9 | 77.525 | 75.83 | 82.1315 |
| Thyroid | 79.775 | 90.73 | 95.565 | 87.0695 |
| Bupa | 67.455 | 71.55 | 65.095 | 78.495 |
| Dermatology | 83.705 | 91.57 | 93.465 | 96.4055 |

**87.75 % 87.91 % 88.032 % 88.53 %**

1 2 3 4 5 6 7 8 9 10

other alternative classifiers by using Friedman test [[264,265]](#_bookmark206). List of datasets on which these tests have been carried out and the assigned ranks to each of the considered methods is presented in [Table 10](#_bookmark39).

* 1. *Friedman test*

The Friedman test is a nonparametric statistical method which computes average ranks of algorithms (Eq. [(15)](#_bookmark36)) and compares

Figure 5 Comparisons of results of proposed method with all related work.

them. In Eq. [(15)](#_bookmark36), *r j* is the rank of the *j*th of *k* number of clas- sifiers on *i*th of *N* number of datasets.

*i*

In [Table 10](#_bookmark39), all the classification models are ranked based on their performance on datasets. Each classifier is assigned with a rank which is mentioned with brackets. The models with lowest and highest rank are considered to be models hav- ing best and worst performance respectively.

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Figure 6 Improvements in fitness of population in different iterations observed in MONK2 dataset.

Figure 7 Improvements in fitness of population in different iterations observed in IRIS dataset.

In [Table 10](#_bookmark39), the ranks of each classifier on various datasets {*R*1 = 7, *R*2 = 5.91, *R*3 = 4.636, *R*4 = 4.364, *R*5 = 2.636,

are shown in brackets. Based on *r j*, the average ranks of seven

*i*

*R*6 = 2.273, *R*7

= 1.182}

classifier is found from Eq. [(15)](#_bookmark36).

*R* = 1 X*rj*

*F*

*j*

*N*

*i*

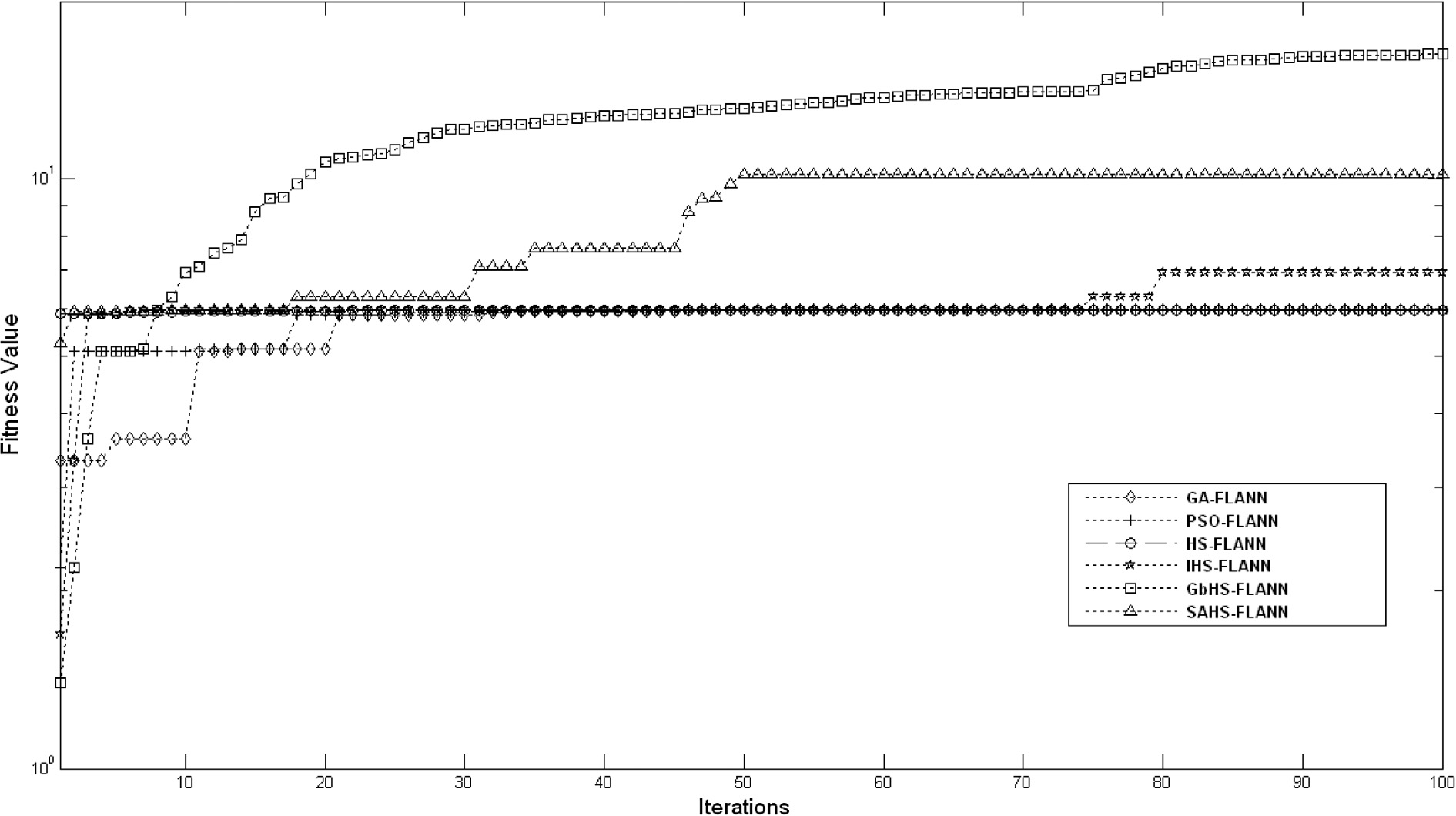
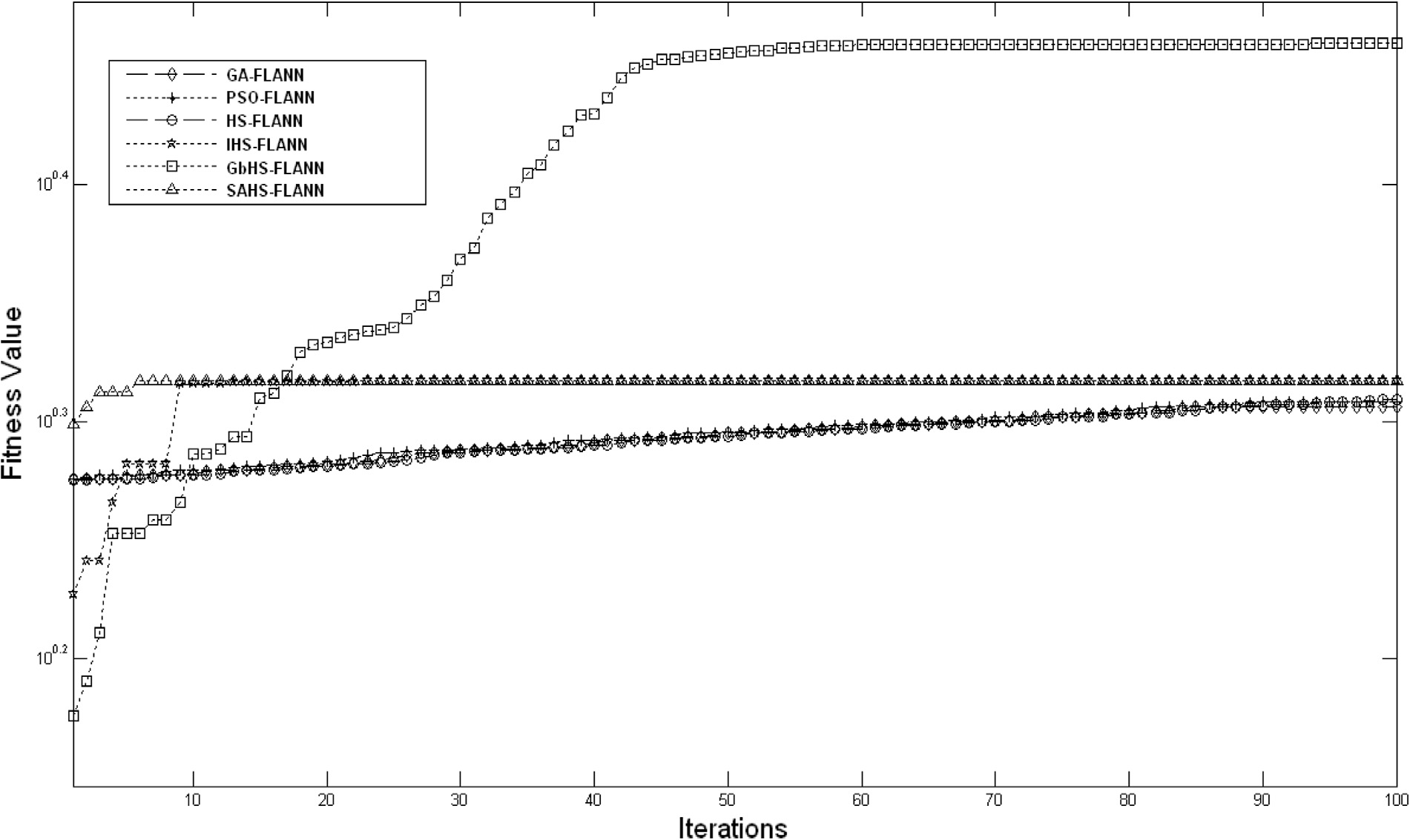
*i*

(15)

The *X*2 value is computed from the average rank *Rj* of each

classifier by using Eq. [(16)](#_bookmark37). In this study, we got the value

of *X*2 as 61.232. From the value of *X*2 , the Friedman



*F*

*F*

The average ranks for all classifiers are found as follows: statistics *FF* is computed by Eq. [(17)](#_bookmark38) and found as 128.42281.

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Figure 8 Improvements in fitness of population in different iterations observed in HEART dataset.

Figure 9 Improvements in fitness of population in different iterations observed in HAYESROTH dataset.

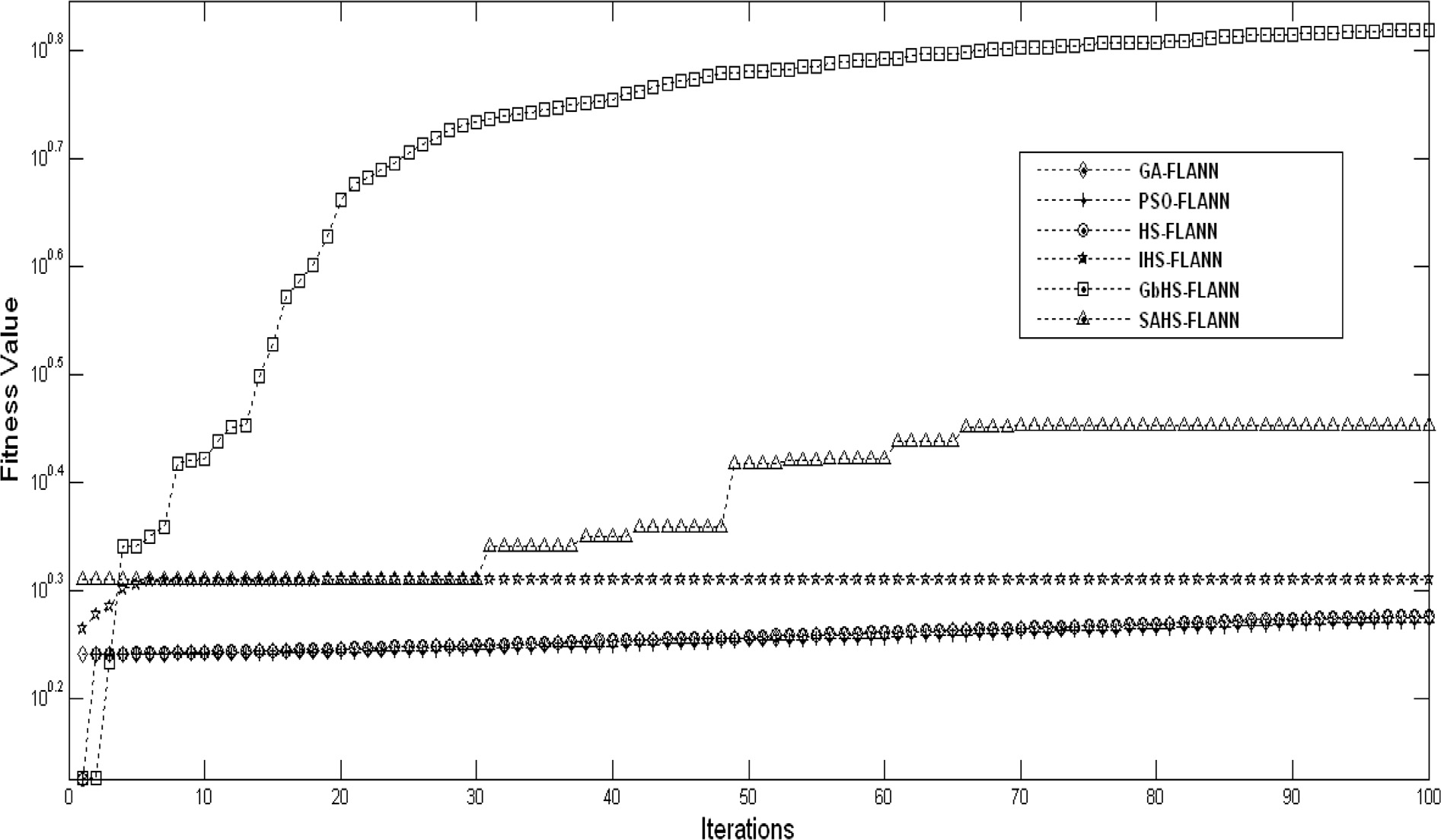
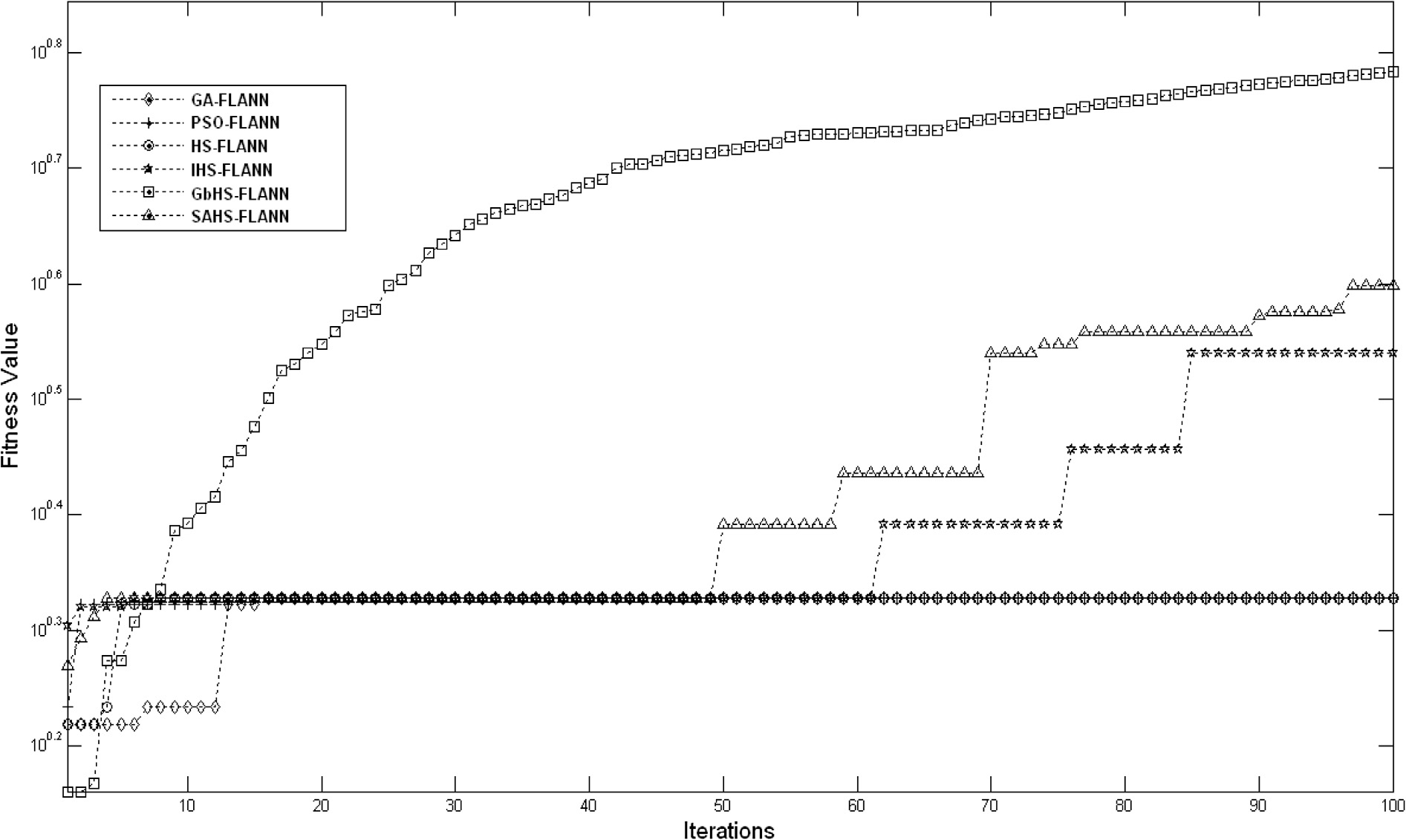
The Friedman statistic is distributed according to *X*2

*F*

with

(7 — 1) \* (11 — 1) = 60 degrees of freedom, a crucial

(*k* — 1) degree of freedom under the null-hypothesis (H0)



from *FF* with (*k* — 1) and (*k* — 1) \* (*N* — 1) degree of and the critical value of the *F*-distribution can be obtained number of datasets, *FF* = 128.42281 with 7 — 1 = 6 and freedom. In our case, for the 7 number of classifiers and 11

value = 3.12 is obtained from suitably selecting *a* = 0.01.

Density plot for degree of freedom (6, 60) is obtained and displayed in [Fig. 17](#_bookmark40).

The null-hypothesis is clearly rejected as critical value 3.12 is less than *FF* statistic 128.42281.

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Figure 10 Improvements in fitness of population in different iterations observed in WINE dataset.

Figure 11 Improvements in fitness of population in different iterations observed in IONOSPHERE dataset.

*F*

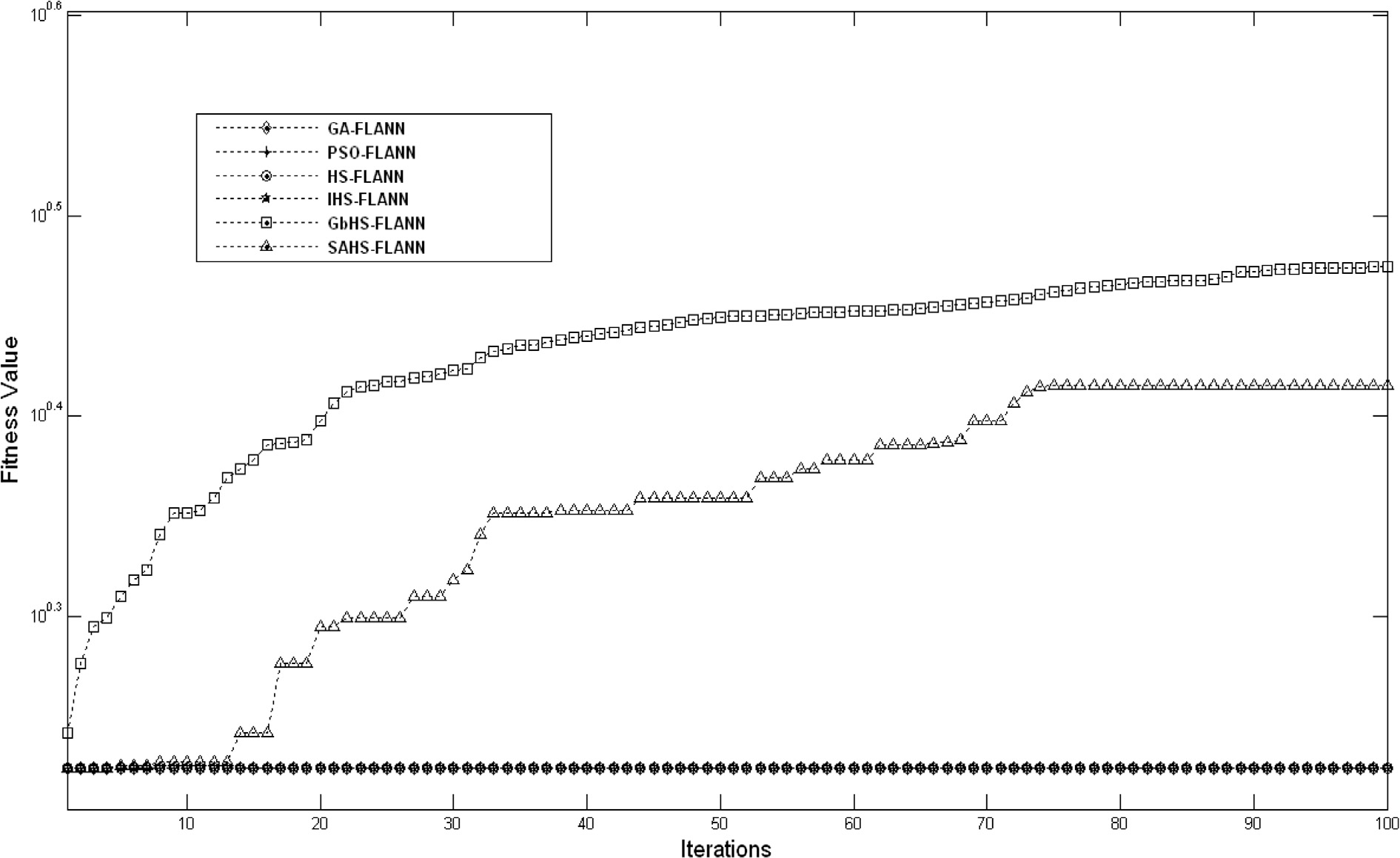
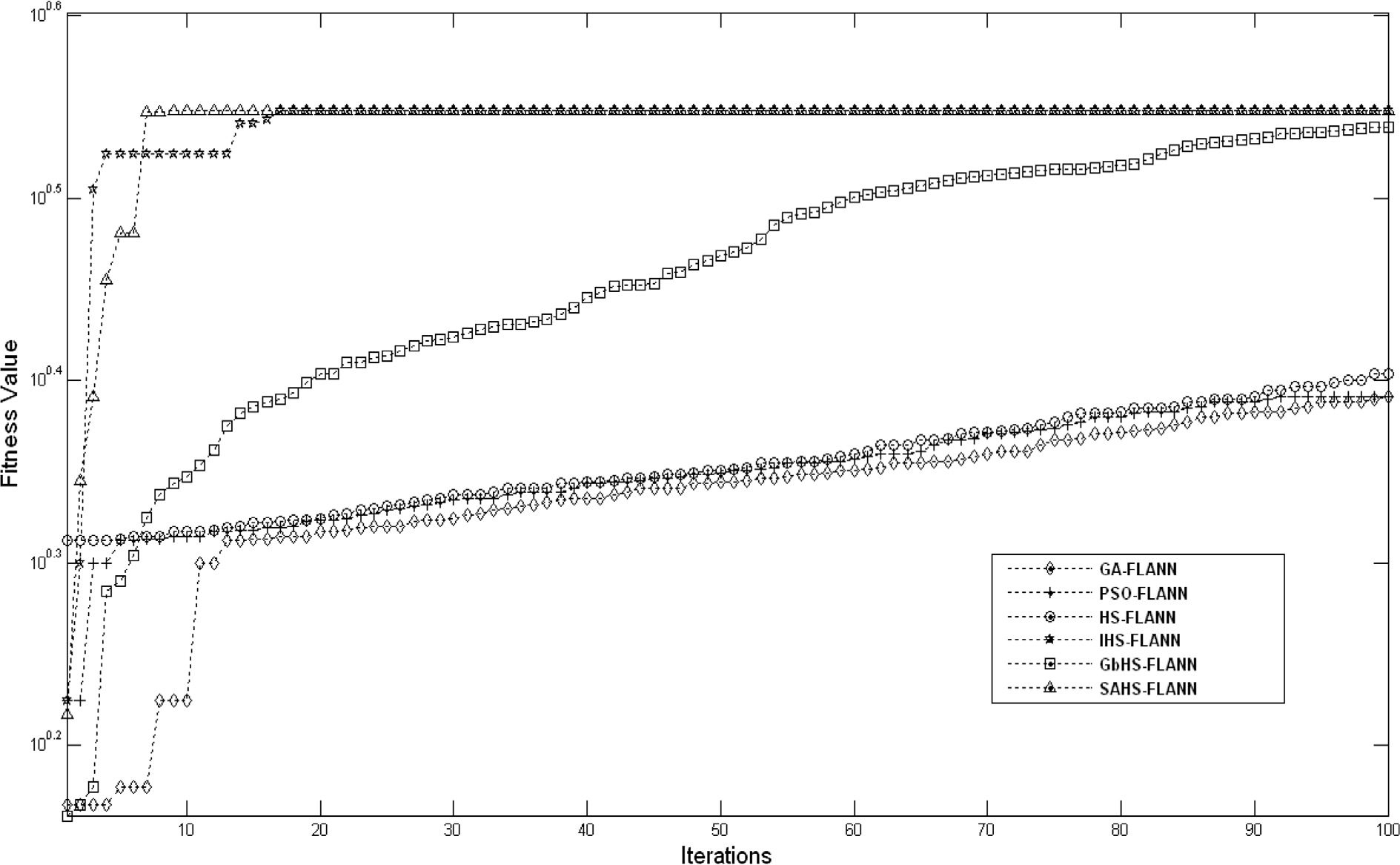
*F*

H0: All the classifier has same rank, hence they are

equivalent.

*FF* = (*N* — 1)*X*2 , *N*(*K* — 1) — *X*2 (17)

*X*2 = (12*N*/*k*(*k* + 1))



*F*

*k*(*k* + 1)2

*j*

X*R* —

!

2

4

*j*

(16)

After the rejection of null-hypothesis from Friedman test, in

order to evaluate performance by pairwise comparison of pro- posed classifier with another classifier based on *z*-score value

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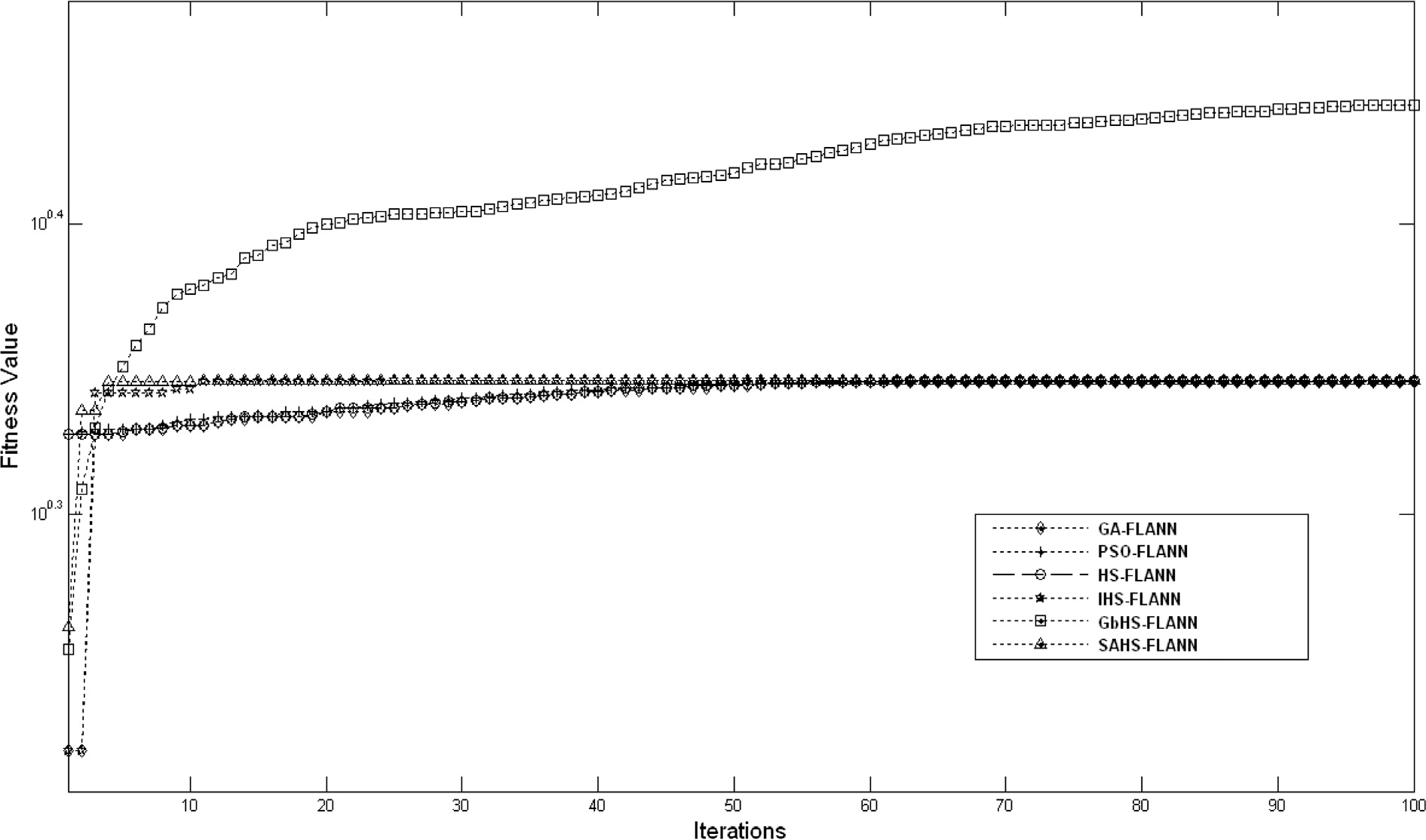
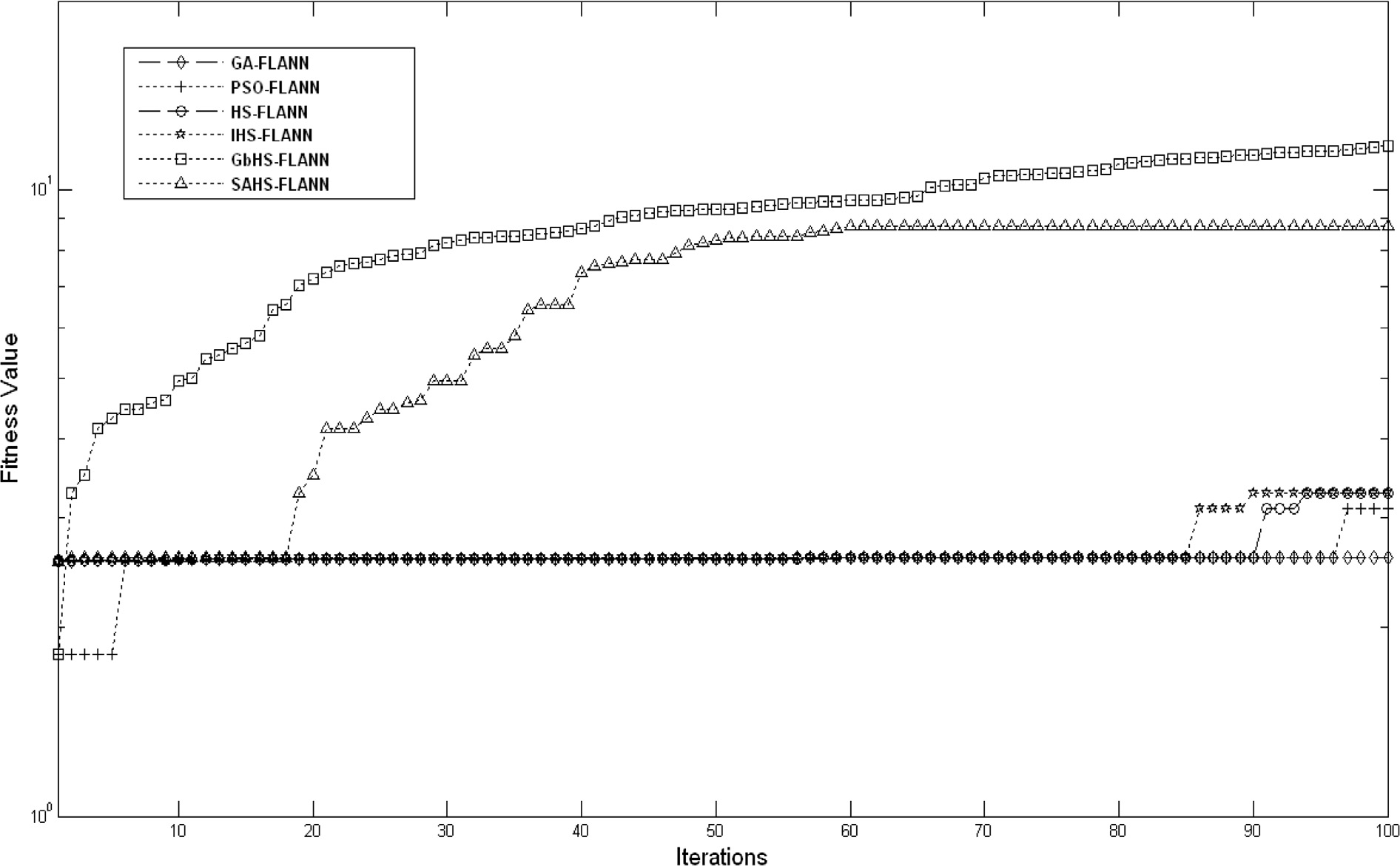


Figure 12 Improvements in fitness of population in different iterations observed in HEPATITIS dataset.

Figure 13 Improvements in fitness of population in different iterations observed in PIMA dataset.

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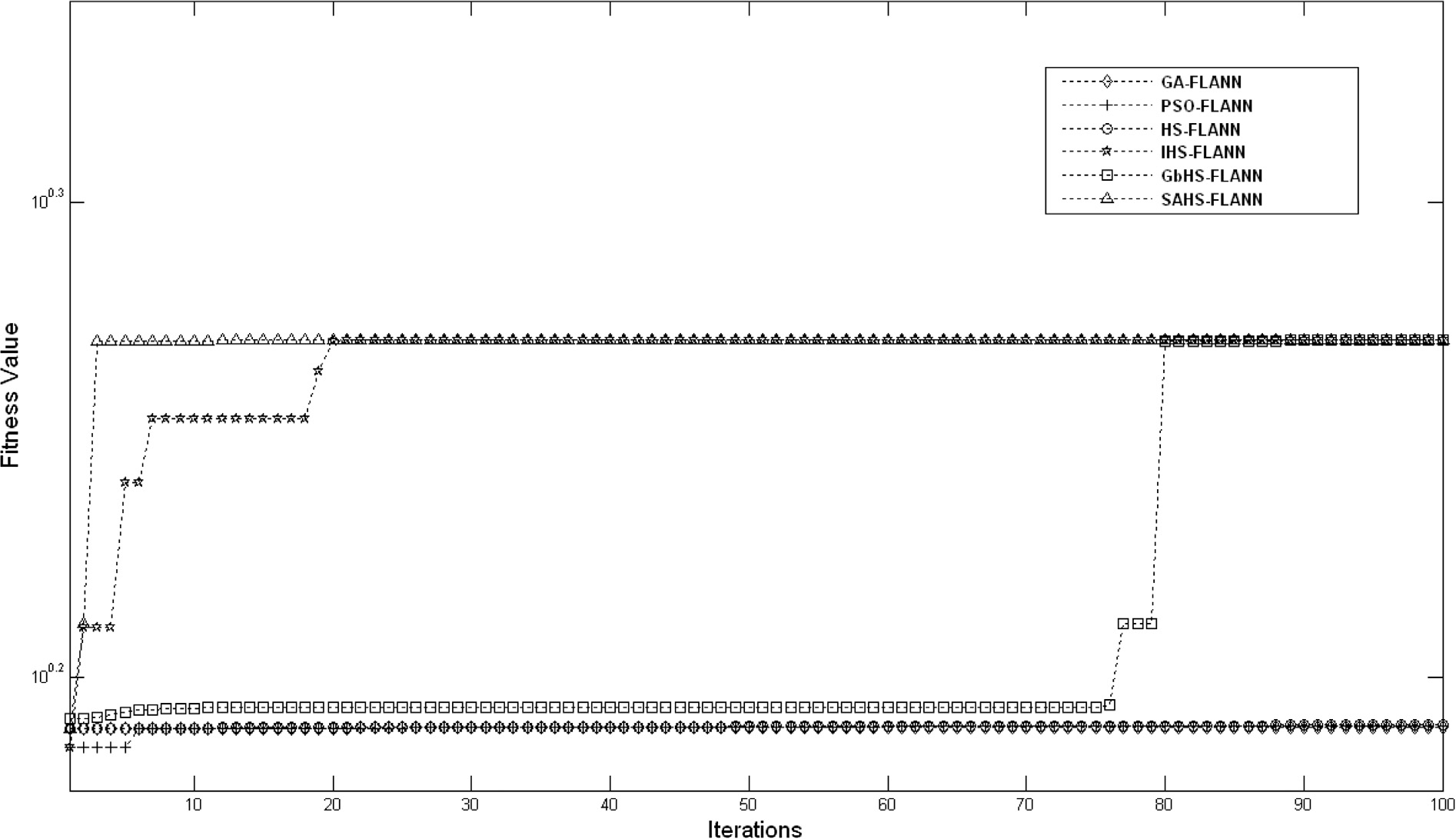
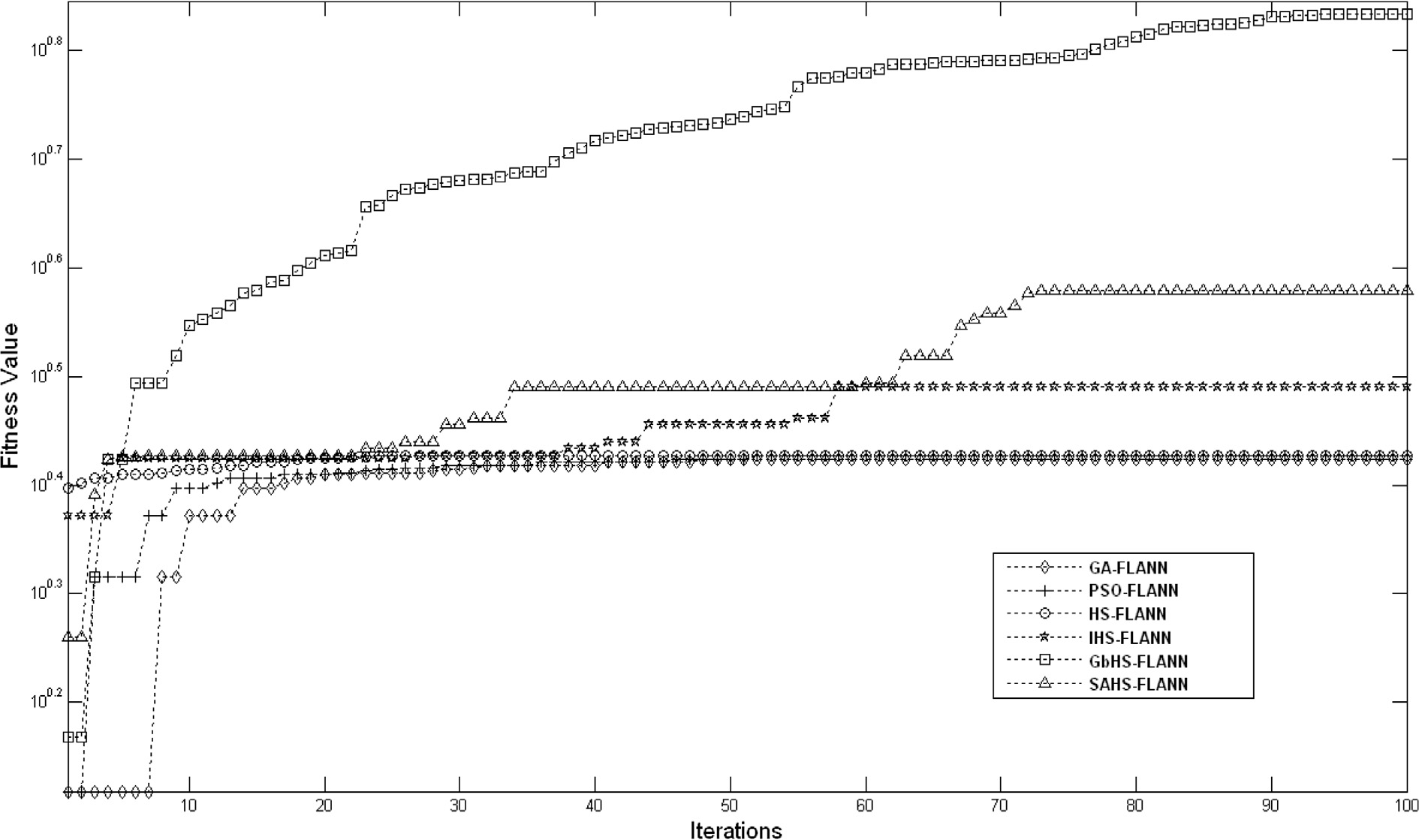


Figure 14 Improvements in fitness of population in different iterations observed in NEW THYROID dataset.

Figure 15 Improvements in fitness of population in different iterations observed in BUPA dataset.

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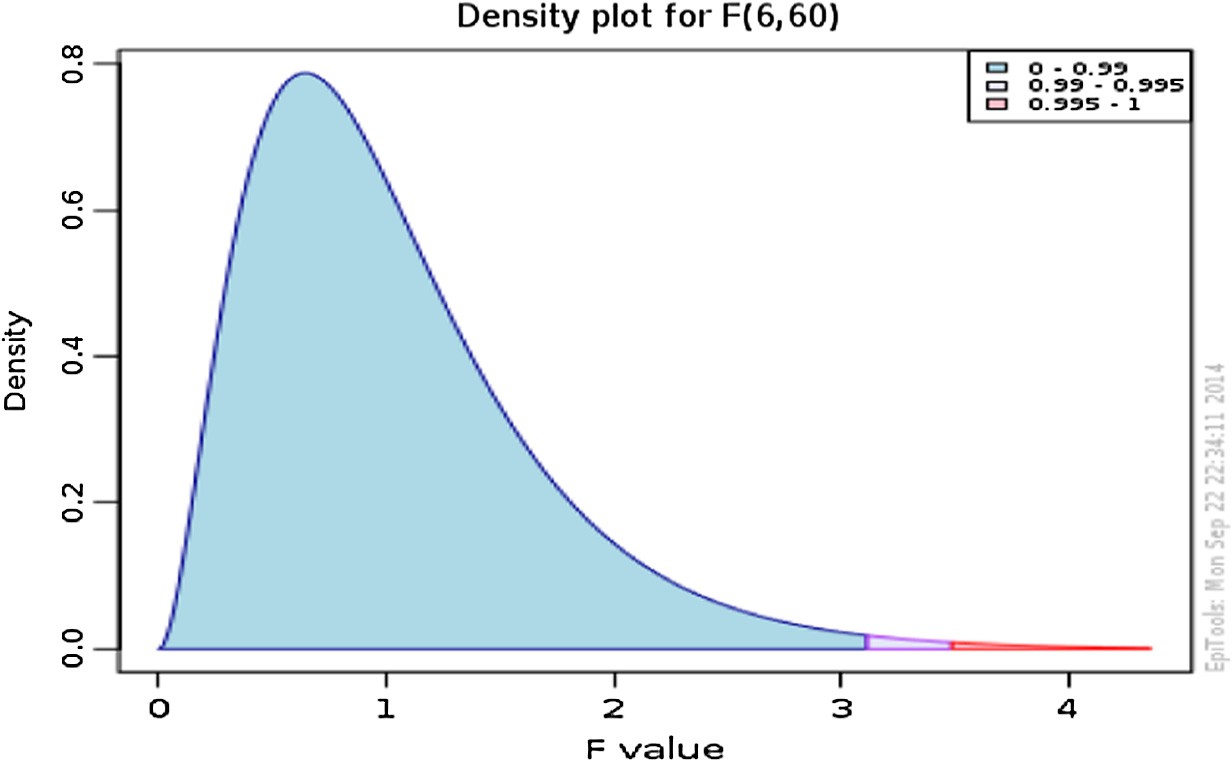
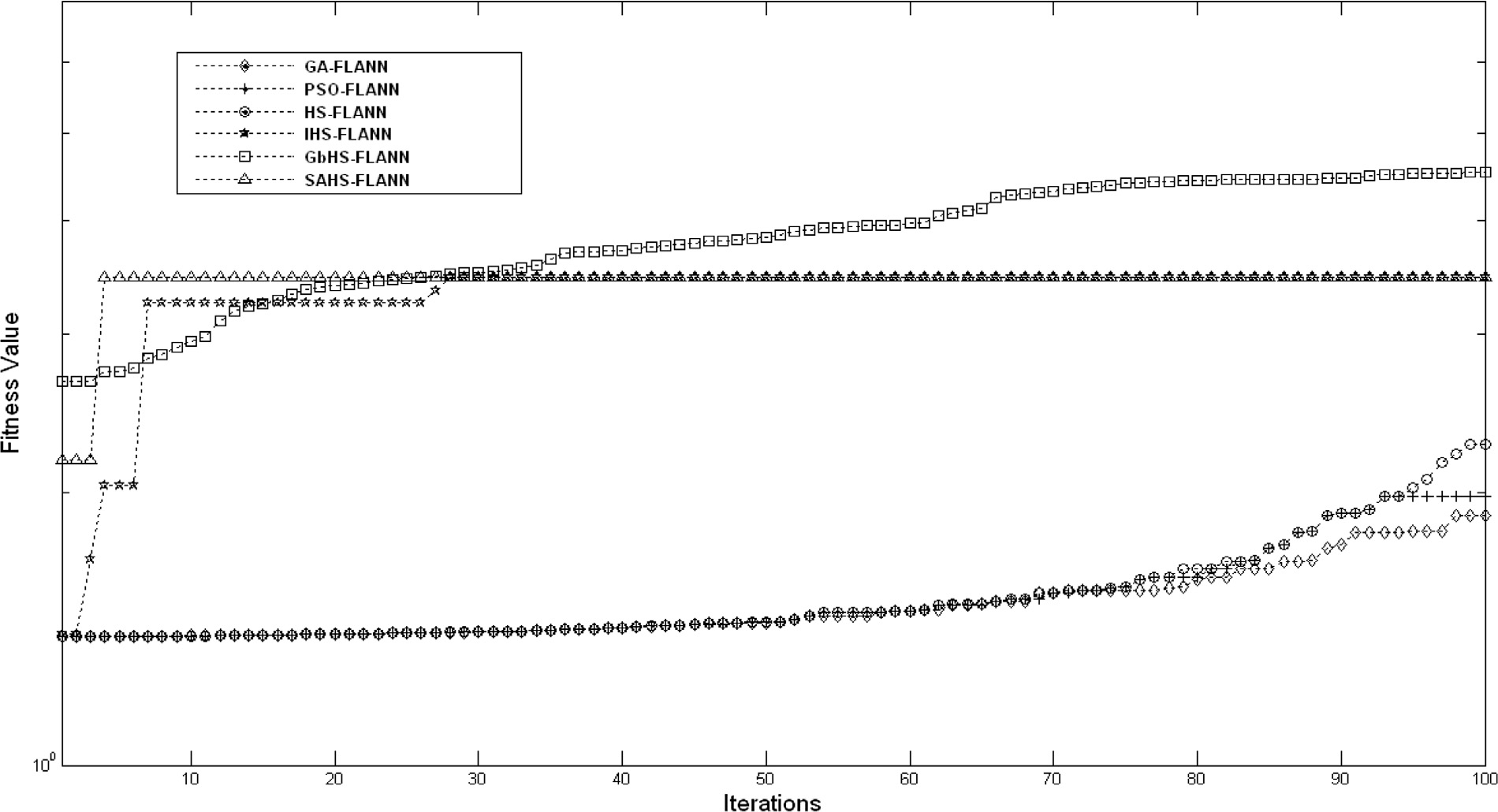


Figure 16 Improvements in fitness of population in different iterations observed in DERMATOLOGY dataset.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Table 10 Ranks of classifiers on various datasets based on the classification accuracy on train and test set.  Datasets Average classification accuracies of classifiers in % | | | | | | | |
|  | FLANN | GA-FLANN | PSO-FLANN | HS-FLANN | IHS-FLANN | SAHS-FLANN | GbHS-FLANN |
| Monk 2 | 92.9355 (7) | 94.872 (6) | 96.4595 (5) | 97.2255 (4) | 97.2405 (3) | 97.317 (2) | 97.3555 (1) |
| Iris | 97.1075 (7) | 97.648 (6) | 98.001 (5) | 98.6645 (4) | 98.783 (2) | 98.705 (3) | 98.872 (1) |
| Heart | 83.722 (7) | 84.2405 (6) | 84.815 (5) | 85.0695 (4) | 85.0995 (3) | 85.1135 (2) | 85.1555 (1) |
| Hayesroth | 86.336 (7) | 87.3125 (6) | 87.6015 (5) | 88.305 (4) | 88.375 (3) | 88.431 (1) | 88.4145 (2) |
| Wine | 92.973 (7) | 94.952 (6) | 96.6945 (4) | 96.5835 (5) | 96.766 (3) | 96.855 (2) | 97.0375 (1) |
| Ionosphere | 80.2045 (7) | 88.244 (6) | 91.276 (3) | 90.8105 (5) | 91.033 (4) | 91.7035 (2) | 92.1565 (1) |
| Hepatitis | 72.056 (7) | 78.0505 (5) | 77.724 (6) | 79.377 (4) | 79.486 (1) | 79.4135 (3) | 79.446 (2) |
| Pima | 78.588 (7) | 78.72 (6) | 79.798 (5) | 80.632 (4) | 80.714 (2) | 80.6625 (3) | 82.1315 (1) |
| Thyroid | 85.238 (7) | 85.8665 (6) | 86.5465 (5) | 86.8315 (4) | 86.85 (2) | 86.8435 (3) | 87.0695 (1) |
| Bupa | 72.46 (7) | 74.9105 (6) | 76.567 (4) | 76.338 (5) | 76.7 (3) | 77.022 (2) | 78.495 (1) |
| Dermatology | 94.4 (7) | 95.4025 (6) | 95.5455 (4) | 95.436 (5) | 95.714 (3) | 95.969 (2) | 96.4055 (1) |
| Friedman’s rank in average | 7 | 5.91 | 4.636 | 4.364 | 2.636 | 2.273 | 1.182 |
|  |  |  |  |  |  |  |  |

Figure 17 Density plot.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Table 11 Result of Holm and Hochberg procedure. | | | | |
| *i* | Classifiers | *z*-values | *p*-values | *a*/(*k* — *i*) |
| 1 | GbHS-GDL-FLANN: GDL-FLANN | 6.31616 | 0 | 0.001667 |
| 2 | GbHS-GDL-FLANN: GA-GDL-FLANN | 5.13283 | 1.427543e—7 | 0.002 |
| 3 | GbHS-GDL-FLANN: PSO-GDL-FLANN | 3.74974 | 0.000089 | 0.0025 |
| 4 | GbHS-GDL-FLANN: HS-GDL-FLANN | 3.45445 | 0.000276 | 0.003333 |
| 5 | GbHS-GDL-FLANN: IHS-GDL-FLANN | 1.5785 | 0.057225 | 0.005 |
| 6 | GbHS-GDL-FLANN: SAHS-GDL-FLANN | 1.18441 | 0.118125 | 0.01 |
|  |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Table 12 Tukey test | results. |  | | | | |
| Multiple comparisons |  |  |  |  |  |  |
| (I) Algorithm | (J) Algorithm | Mean difference (I–J) | Std. error | Sig. | 90% confidence | interval |
|  |  |  |  |  | Lower bound | Upper bound |
| *Sample: Tukey HSD* |  |  |  |  |  |  |
| FLANN | GA-FLANN | —2.19986 | 1.02109 | .322 | —4.9552 | .5554 |
|  | PSO-FLANN | —3.18255 | 1.02109 | .031 | —5.9378 | —.4272 |
|  | HS-FLANN | —3.56841 | 1.02109 | .009 | —6.3237 | —.8131 |
|  | IHS-FLANN | —3.70368 | 1.02109 | .006 | —6.4590 | —.9484 |
|  | SAHS-FLANN | —3.81955 | 1.02109 | .004 | —6.5748 | —1.0642 |
|  | GbHS-FLANN | —4.22895 | 1.02109 | .001 | —6.9843 | —1.4737 |
| GA-FLANN | FLANN | 2.19986 | 1.02109 | .322 | —.5554 | 4.9552 |
|  | PSO-FLANN | —.98268 | 1.02109 | .962 | —3.7380 | 1.7726 |
|  | HS-FLANN | —1.36855 | 1.02109 | .833 | —4.1238 | 1.3868 |
|  | IHS-FLANN | —1.50382 | 1.02109 | .761 | —4.2591 | 1.2515 |
|  | SAHS-FLANN | —1.61968 | 1.02109 | .691 | —4.3750 | 1.1356 |
|  | GbHS-FLANN | —2.02909 | 1.02109 | .424 | —4.7844 | .7262 |
| PSO-FLANN | FLANN | 3.18255 | 1.02109 | .031 | .4272 | 5.9378 |
|  | GA-FLANN | .98268 | 1.02109 | .962 | —1.7726 | 3.7380 |
|  | HS-FLANN | —.38586 | 1.02109 | 1.000 | —3.1412 | 2.3694 |
|  | IHS-FLANN | —.52114 | 1.02109 | .999 | —3.2764 | 2.2342 |
|  | SAHS-FLANN | —.63700 | 1.02109 | .996 | —3.3923 | 2.1183 |
|  | GbHS-FLANN | —1.04641 | 1.02109 | .948 | —3.8017 | 1.7089 |
| HS-FLANN | FLANN | 3.56841 | 1.02109 | .009 | .8131 | 6.3237 |
|  | GA-FLANN | 1.36855 | 1.02109 | .833 | —1.3868 | 4.1238 |
|  | PSO-FLANN | .38586 | 1.02109 | 1.000 | —2.3694 | 3.1412 |
|  | IHS-FLANN | —.13527 | 1.02109 | 1.000 | —2.8906 | 2.6200 |
|  | SAHS-FLANN | —.25114 | 1.02109 | 1.000 | —3.0064 | 2.5042 |
|  | GbHS-FLANN | —1.0211 | 1.02109 | .995 | —3.4158 | 2.0948 |
| IHS-FLANN | FLANN | 3.70368 | 1.02109 | .006 | .9484 | 6.4590 |
|  | GA-FLANN | 1.50382 | 1.02109 | .761 | —1.2515 | 4.2591 |
|  | PSO-FLANN | .52114 | 1.02109 | .999 | —2.2342 | 3.2764 |
|  | HS-FLANN | .13527 | 1.02109 | 1.000 | —2.6200 | 2.8906 |
|  | SAHS-FLANN | —.11586 | 1.02109 | 1.000 | —2.8712 | 2.6394 |
|  | GbHS-FLANN | —.52527 | 1.02109 | .999 | —3.2806 | 2.2300 |
| SAHS-FLANN | FLANN | 3.81955 | 1.02109 | .004 | 1.0642 | 6.5748 |
|  | GA-FLANN | 1.61968 | 1.02109 | .691 | —1.1356 | 4.3750 |
|  | PSO-FLANN | .63700 | 1.02109 | .996 | —2.1183 | 3.3923 |
|  | HS-FLANN | .25114 | 1.02109 | 1.000 | —2.5042 | 3.0064 |
|  | IHS-FLANN | .11586 | 1.02109 | 1.000 | —2.6394 | 2.8712 |
|  | GbHS-FLANN | —.40941 | 1.02109 | 1.000 | —3.1647 | 2.3459 |
| GbHS-FLANN | FLANN | 4.22895 | 1.02109 | .001 | 1.4737 | 6.9843 |
|  | GA-FLANN | 2.02909 | 1.02109 | .424 | —.7262 | 4.7844 |
|  | PSO-FLANN | 1.04641 | 1.02109 | .948 | —1.7089 | 3.8017 |
|  | HS-FLANN | 1.0211 | 1.02109 | .995 | —2.0948 | 3.4158 |
|  | IHS-FLANN | .52527 | 1.02109 | .999 | —2.2300 | 3.2806 |
|  | SAHS-FLANN | .40941 | 1.02109 | 1.000 | —2.3459 | 3.1647 |
|  |  |  |  |  |  |  |

and *p*-value, the post-hoc test has been carried out by using the Holm procedure [[263,266,267]](#_bookmark204).

* 1. *Holm and Hochberg procedure*

is used to compare classifiers with their *p*-value and *a*/(*k* — *i*). In this section, the Holm [[268]](#_bookmark207) and Hochberg [[269]](#_bookmark208) procedure During this test, the *z*-value is obtained from Eq. [(18)](#_bookmark42) and

based on *z*-value, *p*-value is computed from the table of the normal distribution.

,r*k*ﬃﬃﬃ(ﬃﬃ*k*ﬃﬃﬃﬃ+ﬃﬃﬃﬃ1ﬃﬃﬃ)**ﬃ**

The ANOVA [[272]](#_bookmark208) is the general statistical technique for testing the differences between more than two related perfor- mances of the classifiers measured on the same datasets for training and testing. During ANOVA test, the null-hypothesis is to be considered is that: ‘‘all classifiers are same in perfor- mances and differences in performances are simply random”. In ANOVA test, total variability in classifier’s performances is investigated and classified into three categories: between- classifiers variability, between the datasets variability and between-error variability. It divides the total variation into the variability between the classifiers, variability between the datasets and the residual (error) variability. The null-

*z* = *Ri* — *Rj* 6*N*

(18)

hypothesis can be rejected if and only if, the between-

classifiers variability is larger than the between-error variability. In this paper, the statistics on all classifier’s performance is

where *z* is the *z*-score value, *k* is the number of classifiers, *N* is

the number of datasets and *Ri* and *Rj* are average rank of *i*th and *j*th classifier respectively.

*z*-value, *p*-value and *a*/(*k* — *i*), where ‘*i*’ is the classifier’s number. [Table 11](#_bookmark41) presents comparison of All 7 classifier based on In the Holm [[268]](#_bookmark207) and Hochberg [[269]](#_bookmark208) procedure, the

corresponding value of *a*/(*k* — *i*). In [Table 11](#_bookmark41), all classifiers null-hypothesis (H0) is rejected if *pi* – value is less than the and *a*/(*k* — *i*) values. For example, while comparing between are compared with proposed method with respect to *pi* – value than *a*/(*k* — *i*) value 0.000089. Hence the null-hypothesis is GbHS-FLANN and PSO-FLANN, the *pi* – value 3.74974 is less rejected in this case.

*a*/(*k* — *i*), it was observed that, in almost all the cases *pi* – values is less than *a*/(*k* — *i*) values. Hence, it is clear that the By using the Holm test, when we compared the *pi* – value with

null-hypothesis is rejected. Thus, the proposed classifier

‘GbHS-FLANN’ is statistically better and significantly different from other classifiers (except IHS-FLANN and SAHS-FLANN) in performance on cross validated data and outperforms other classifiers. In a more close observation, while comparison with IHS-FLANN and SAHS-FLANN, the GbHS-FLANN is found better than IHS-FLANN and SAHS-FLANN in performance but it is not much significantly different.

* 1. *Post-Hoc ANOVA Statistical Analysis (Tukey Test & Dunnett Test)*

After the rejection of the null-hypothesis from Friedman test in Section [8.1](#_bookmark34) and Holm procedure in Section [8.2](#_bookmark42), in this section, the Post-Hoc ANOVA Statistical Analysis has been carried out by using Tukey Test [[270]](#_bookmark208) & Dunnett Test [[271]](#_bookmark208) to get generalized statistic on the performance of all classifiers.

Table 13 Dunnett test results.

Multiple comparisons

(I) Algorithm (J) Algorithm

Mean difference (I–J)

Std. error

Sig.

90% confidence interval

Lower bound Upper bound

*Sample: Dunnett t (2-sided)*

computed under post-hoc-ANOVA test by using SPSS (Version: 16.0) statistical tool. All the methods are executed for 10 numbers of runs on each dataset. The test has been carried out with 90% confidence interval, 0.1 significant level and linear polynomial contrast. To get the differences between the performances of classifiers, we have used post-hoc ANOVA test by using mostly used Tukey test and Dunnett test. The Tukey test is carried out for comparisons of perfor- mance of all classifiers with each other and the Dunnett test for comparisons of all classifiers with base classifier (proposed classifier). The results from Tukey test and Dunnett test are presented in [Tables 12 and 13](#_bookmark41) respectively.

In Tukey test ([Table 12](#_bookmark41)), all the methods are compared pairwise with respect to mean difference, standard error and level of significance. The null-hypothesis is rejected if the between-classifiers variability is larger than the between-error variability. For example, while comparing the proposed method (GbHS-FLANN) with PSO-FLANN, we noticed that, the between-classifiers variability (1.04641) is larger than the between-error variability (1.02109). Hence, the null- hypothesis is rejected in this case. According to this observa- tion, we found the rejection of null-hypothesis in all most all cases (4 out of 6).

In Dunnett test ([Table 13](#_bookmark43)), only the proposed method is compared with other alternative methods with respect to mean difference, standard error and level of significance. The criteria for the rejection of null-hypothesis are same as Tukey test. For example, while comparing the proposed method (GbHS-FLANN) with GA-FLANN, we notice that, between-classifiers variability (2.02909) is larger than the between-error variability (1.02109). Hence, the null- hypothesis is rejected in this case. The rejection of null- hypothesis is noticed in all most all cases.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| FLANN | GbHS-FLANN | —4.22895 | 1.02109 | .000 | —6.5736 | —1.8843 |
| GA-FLANN | GbHS-FLANN | —2.02909 | 1.02109 | .197 | —4.3737 | .3156 |
| PSO-FLANN | GbHS-FLANN | —1.04641 | 1.02109 | .806 | —3.3911 | 1.2982 |
| HS-FLANN | GbHS-FLANN | —1.0211 | 1.02109 | .971 | —3.0052 | 1.6841 |
| IHS-FLANN | GbHS-FLANN | —.52527 | 1.02109 | .991 | —2.8699 | 1.8194 |
| SAHS-FLANN | GbHS-FLANN | —.40941 | 1.02109 | .998 | —2.7541 | 1.9352 |

As a conclusion of these tests, we noticed that, the mean differences (between-classifiers variability) among classifiers are larger than the standard errors (between-error variability) (except between GbHS-FLANN & IHS-FLANN and GbHS- FLANN & SAHS-FLANN) ([Table 12](#_bookmark41)). Also in Dunnett test ([Table 13](#_bookmark43)), while comparing GbHS-FLANN with other classi- fiers, we observed same as that of Tukey test. In both Tukey test and Dunnett test, the rejection of null-hypothesis holds for all most all classifier (out of 6 classifiers, rejection of null-hypothesis holds for 4 classifiers). Hence, as a whole, the null-hypothesis can be rejected.

1. Conclusion

From multiple comparison of classifiers by using Tukey test and Dunnett test ([Tables 12 and 13](#_bookmark41)), and rejection of the null-hypothesis of post-hoc test, clearly the proposed method is found significantly better and different from other methods. This is because, in all most all the cases, we noticed that, the mean differences (between-classifiers variability) among classi- fiers are larger than the standard errors (between-error vari- ability). In Friedman test, the null-hypothesis is rejected as the critical value of the *F*-distribution is found less than *FF* statistic, which proves the proposed classifier is statistically sig- nificant from other classifiers. After the rejection of the null- hypothesis in Friedman test, all classifiers are compared pair-

wise in terms of the *z*-values, *p*-values and *a*/(*k* — *i*) from the

ANOVA post-hoc test by using the Holm procedure ([Table 11](#_bookmark41)).

than *a*/(*k* — *i*) values thereby rejection of null-hypothesis. We observed that, in all most all the cases, *p*-values are less

From rigorous test under well known statistical methods

(Friedman test, Post-hoc test by Holm and Hochberg proce- dure, Tukey test and Dunnett test), we claim the proposed GbHS-FLANN classifier is better and outperforms other alter- natives (FLANN, GA-FLANN, PSO-FLANN, HS-FLANN,

IHS-FLANN, SAHS-FLANN). Also it can be computed with a low cost due to less complex architecture of FLANN and Global-best HS requires less mathematical computation and is free from complicated operators (like crossover in GA) and parameters (like c1, c2 in PSO). The future work is com- prised of integration of other improved variants of HS with other higher order neural network in diverse applications of data mining.

Acknowledgment

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