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Global State Considered Helpful

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Abstract

Reynolds’ view of a storage cell as an expression-acceptor pair has been widely used by researchers. We present a different way of organizing semantics of state, and in particular game semantics, by adding to typing contexts a zone for global state. This has the following advantages.

Firstly, it causes the “good variable” equations for references to be validated, and also the noninterference equations between distinct references, as enumerated by Plotkin and Power.

Secondly, it gives a cleaner categorical structure based on the configurations (state + program) used to describe operational semantics.

Thirdly, it leads to a simpler proof that the game semantics is sound and adequate with respect to the operational semantics.

*Keywords:* game semantics, categorical semantics, good variables

# Introduction

Languages with state often have special types for storage cells, such as ref types in ML, and var types in Algol-like languages. Some denotational models inter- pret these as a type of distinguishable names [[9](#_bookmark25),[14](#_bookmark30),[22](#_bookmark38)], but in other models that is unsuitable. Reynolds [[20](#_bookmark36)] suggested that a cell could be regarded as an “expression- acceptor pair”, i.e. a function that returns the current value, together with a pro- cedure that updates it. This suggestion was successfully adopted in both possible world [[15](#_bookmark31)] and game semantics [[1](#_bookmark18),[2](#_bookmark19),[19](#_bookmark35)].

It was noted, however, that a cell is not an arbitrary expression-acceptor pair, but enjoys some special properties. For example, writing to a cell and then read- ing it gives the value just written. These so-called “good variable” properties [2](#_bookmark1) were enumerated in [[18](#_bookmark34)] in order to axiomatize the computational effect of global state. Recent papers in game semantics have addressed the “good variable” issue by restricting strategies in various ways [[12](#_bookmark28),[13](#_bookmark29)].

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2 The call-by-name nature of Idealized Algol obscures this issue, because a var type is a type not of cells but of state-dependent expressions that return a cell. Such expressions are not necessarily “good variables”.

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In this paper, we propose a different approach that entirely avoids this problem. We do not have cell types at all. Instead, we have two-zone contexts Δ; Γ, where Γ is an ordinary context, and Δ (the “storage context”) is a list of cells. For example, a context n : bool*,* n' : bool*,* n'' : bool; f : nat → nat means that n*,* n'*,* n'' are bound to *distinct* cells storing booleans, and f is bound to a function.

A two-zone context of this kind is hardly novel, as it has been used for the formulation of operational semantics in [[4](#_bookmark20),[5](#_bookmark21)] and Chapter 5 of [[21](#_bookmark37)]. But in our formulation the new construct binds cells from the storage context Δ, which is less familiar, though it does appear in [[17](#_bookmark33)].

We shall look at the impact of this arrangement on game semantics of state. For illustration, let us consider the following call-by-value term *M* : nat in the above two-zone context:

n := true*.* n := false*.*

n'' := false*.* read n as { true*.* f(3)+ 4

false*.* f(7)+ 5

}

In the traditional game semantics in [[2](#_bookmark19)], *M* denotes a set of dialogues between Proponent (P) and Opponent (O) such as the following.

P: Set n to be true. O: OK.

P: Set n to be false.

O: OK.

P: Set n'' to be false.

O: OK.

P: What is n currently? O: True.

P: I call f with argument 3. O: Your call returns 2.

P: I return 6.

Note that this play involves unnecessary information (the first call to n) and an impossible response from Opponent (that n is true). The assignment and reading commands are seen as no different from function calls.

In the game semantics we shall present, here is an example dialogue of *M* pro- ceeding from the initial state n '→ true*,* n' '→ false*,* n'' '→ true.

P: I call f with argument 7, in state n '→ false*,* n' '→ false*,* n'' '→ false. O: Your call returns 14, in state n '→ false*,* n' '→ true*,* n'' '→ false.

P: I return 19, in state n '→ false*,* n' '→ true*,* n'' '→ false.

Now the entire global state must be described in each move, but no moves are required to read or assign to a cell. Note that Opponent assigns to n'—it is a global cell, so both players have access to it.

It is clear how to calculate the denotation of *M* ' d=ef new n'' := true*. M* from the denotation of *M* . The effect of new is to make n'' into a private cell that Opponent does not have access to. So we look at those dialogues in which n'' is initially true, and Opponent never changes n''—such as the dialogue we saw—and then erase all mention of n''

It is also clear how to weaken *M* by calculating its denotation in the bigger context n : bool*,* n' : bool*,* n'' : bool*,* n''' : nat; f : nat → nat. This time, we consider those plays where Proponent never changes the contents of n''' and erasing n''' yields a play on *M* .

These two operations, hiding and weakening, in combination with the tradi- tional strategy operations of composition and copycat, provide a simple categorical structure from which the semantics of the individual syntactic constructs is eas- ily obtained. Indeed the game semantics in this paper is not new—it is the same as [[1](#_bookmark18)]—it is only the organization which is different [3](#_bookmark2) . Moreover, the soundness of the model wrt operational semantics is immediate, and this had previously proved challenging, especially in the setting of higher-order store. And the method of [[11](#_bookmark27)] can easily be applied to give computational adequacy.

Structure of Paper First we look at a calculus without store, its categorical semantics and then game semantics. Then we do the same with store. Along the way, we shall need in Sect. [3.1](#_bookmark14) to develop the theory of *expansions* in order to formulate an injective renaming lemma.

# Basic Language

* 1. *Syntax*

To make the game semantics as simple as possible, we work with a calculus JWA where functions are called (by value) but do not return. The types are given by

*A* ::= ¬*A* | Σ *Ai* | 1 | *A* × *A* | X | rec X*. A*

*i*∈*I*

where *I* ranges over finite sets (or countable, for an infinitary variant). The type

¬*A* corresponds to *A* → 0 in call-by-value. There are two kinds of terms, *values* and *nonreturning commands*, indicated by the judgements Γ ▶v *V* : *A* and Γ ▶n *M* respectively. The types in Γ and *A* are all closed. The syntax is shown in Fig. [1](#_bookmark3).

A *renaming* Γ *θ*  ' maps each identifier in Γ to one of the same type in Γ', whereas a *substitution* Γ *k*  ' maps each identifier in Γ to a value. These induce operations *θ*† and *k*∗ on terms in the usual way. They are used in the operational

Γ

Γ

semantics (Fig. [2](#_bookmark4)) and the equational theory (Fig. [3](#_bookmark5)). We write x*M* to mean *M*

weakened by x.

3 Another recent categorical semantics for higher-order store is that of [[8](#_bookmark24)], but this has been applied to

Γ ▶v x : *A* (x : *A*) ∈ Γ

Γ ▶v *V* : *A* Γ*,* x : *A* ▶n *M*

Γ ▶n let *V* be x*. M*

Γ ▶v *V* : *A*ˆ*ı*

Γ ▶v *V* : Σ

*Ai* Γ*,* x*i* : *Ai* ▶n *Mi* (∀*i* ∈ *I*)

Γ ▶v ⟨ˆ*ı, V* ⟩ :

Σ *Ai*

*i*∈*I*

ˆ*ı* ∈ *I*

*i*∈*I*

Γ ▶n pm *V* as {⟨*i,* x*i*⟩*.Mi*}*i*∈*I*

Γ ▶v *V* : *A* Γ ▶v *V* ' : *A*'

Γ ▶v ⟨*V, V* '⟩ : *A* × *A*'

Γ ▶v *V* : *A* × *A*' Γ*,* x : *A,* y : *A*' ▶n *M*

Γ ▶n pm *V* as ⟨x*,* y⟩*. M*

Γ*,* x : *A* ▶n *M*

Γ ▶v *λ*x*.M* : ¬*A*

Γ ▶v *V* : ¬*A* Γ ▶v *W* : *A*

Γ ▶n *VW*

Γ ▶v *V* : *A*[rec X*. A/*X] Γ ▶v fold *V* : rec X*. A*

Γ ▶v *V* : rec X*. A* Γ*,* x : *A*[rec X*. A/*X] ▶n *M*

Γ ▶n pm *V* as fold x*. M*

Fig. 1. Syntax of JWA with type recursion (the 1 type is omitted)

Transitions

let *V* be x*. M* ~ *M* [*V /*x]

pm ⟨ˆ*ı, V* ⟩ as {⟨*i,* x⟩*.Mi*}*i*∈*I* ~ *M*ˆ*ı*[*V /*x]

pm ⟨*V, V* '⟩ as ⟨x*,* y⟩*. M* ~ *M* [*V /*x*,V* '*/*y] pm fold *V* as fold x*. M* ~ *M* [*V /*x] (*λ*x*.M* )*V* ~ *M* [*V /*x]

Terminal configurations

pm z as {⟨*i,* x⟩*.Mi*}*i*∈*I* pm z as ⟨x*,* y⟩*. M* pm z as fold x*. M* z*V*

Fig. 2. Operational semantics on commands in fixed context Γ

Remark 2.1 For recursive types, we have included only the most rudimentary equations—merely asserting an isomorphism rec X*. A* =∼ *A*[rec X*. A/*X].

* 1. *Semantics of Types, Contexts, Renamings*

We recall the “families” construction from [[3](#_bookmark22)]: if C is a category, then an object of

fam(C) is a family of C-objects. The homset from {*Ai*}*i*∈*I* to {*Bj*}*j*∈*J* is given by

Σ

*i*∈*I*

*j*∈*J*

G(*Ai, Bj*). This inherits finite products from C.

An *arena* is a countable forest; we write ▶ to mean “is a parent of”, and say

∗▶ *r* when *r* is a root. We write rt *R* for the roots of *R*, and *R* T *r* for the arena of

different kinds of games.

let *V* be x*. M* = *M* [*V /*x]

pm ⟨ˆ*ı, V* ⟩ as {⟨*i,* x⟩*.Mi*}*i*∈*I* = *M*ˆ*ı*[*V /*x]

pm ⟨*V, V* '⟩ as ⟨x*,* y⟩*. M* = *M* [*V /*x*,V* '*/*y]

pm fold *V* as fold x*. M* = *M* [*V /*x]

(*λ*x*.M* )*V* = *M* [*V /*x]

*M* [*V /*z]= pm *V* as {⟨*i,* x⟩*.* x*M* [⟨*i,* x⟩*/*z]}*i*∈*I M* [*V /*z]= pm *V* as ⟨x*,* y⟩*.* x*,*y*M* [⟨x*,* y⟩*/*z] *M* [*V /*z]= pm *V* as fold x*.* x*M* [fold x*/*z]

*V* = *λ*x*.* x*V* x

Fig. 3. Equational laws for JWA

proper descendants of *r*. We write for disjoint union, and pt*i*∈*IRi* for the arena with *I* roots and a copy of *Ri* placed below the *i*th root.

A closed type denotes an arena family [4](#_bookmark6) , in the following manner: 1 = {∅}⟨⟩∈1

def

def

{*Ri*}*i*∈*I* × {*Sj* }*j*∈*J* = {*Ri* *Sj* }⟨*i,j*⟩∈*I*×*J*

Σ

*i*∈*I*

{*Rij*

}*j*∈*Ji*

= {*Rij*

def

def

}⟨*i,j*⟩∈*I*×*J*

¬{*Ri*}*i*∈*I* = {pt*i*∈*IRi*}⟨⟩∈1

The semantics of (open types and) recursive types follows [[3](#_bookmark22)], giving an arena iso- morphism **[**rec X*. A*]] =∼ [[*A*[rec X*. A/*X]]]. A context Γ denotes an arena family using the 1 and × operations.

An *arena renaming morphism* is a function *R*  *f*  that maps each root *b* ∈ rt *R* to a root *fb* ∈ rt *S* and restricts to an arena isomorphism *R*T *fb*  *S*T *fb* . These form a cocartesian category TokCh. Renamings between contexts are inter-

*S*

*b*

op def op

preted in B

where B = fam(TokCh

). The category B is a countably distributive

and equipped with an endofunctor ¬ on its isomorphism groupoid Isos B. Such a category is called a *JWA base*.

* 1. *Categorical Structure*

For a category C,a *left* C*-module* is a functor Cop N Se t . We think of N(*R*) asa homset—its elements are “morphisms from *R*” and written *R*  *f*  . We use them to interpret nonreturning commands.

Definition 2.2 (i) A *ﬁrst-order JWA model* on a base B consists of

* + - a category C, with the same objects as B
    - a left C-module N
    - an identity-on-objects functor B *K*  C

4 Throughout this paper, “family” means *countable* family.

such that all the following functions are isomorphisms

|  |  |  |  |
| --- | --- | --- | --- |
| C(*A, B* × *B*') | −→ | C(*A, B*) × C(*A, B*') | C(*A,* 1) −→ 1 |
| *f* | '−→ | ⟨(*f* ; *Kπ*)*,* (*f* ; *Kπ*')⟩ | *f* '−→ ⟨⟩ |

C(*A* × Σ

*i*∈*I*

*Bi,C*) −→

*i*∈*I*

C(*A* × *Bi,C*)

N(*A* × Σ

*i*∈*I*

*Bi*) −→

*i*∈*I*

N(*A* × *Bi*)

*f* '−→ *λi.*((*A* × in*i*); *f* ))

A *JWA model* on B is a first-order JWA model together with an isomorphism

N(*A* × *B*) =∼ C(*A,* ¬*B*) natural in *A* ∈ Cop *,B* ∈ Isos B (1) We write FOJWA(B) for the (large) category of first-order JWA models on base

B, and JWA(B) for the (large) category of JWA models on base B. Morphisms

are identity on objects.

op

We emphasize that, in the semantics of JWA, a renaming is interpreted in ,

B

op

whereas a substitution is interpreted in C .

* 1. *Strategies*

If S is a left G-module, then fam(S): {*Ri*}*i*∈*I* '→ S(*Ri*) isa left fam(C)-module. Using this construction, we will build a JWA model (C*,* N) = (fam(G)*,* fam(S)) on

*i*∈*I*

def op

the base B = fam(TokCh ).

Definition 2.3 (NB Proponent begins) Let *R* be an arena. We define ∗ = −1.

def

1. A (finite) *justiﬁed sequence* on an arena *R* is a sequence *m*0*,... , mn*−1 where each *mi* = (*pi, ri*) consists of a *pointer* ∗ ≤ *pi < i* and an element *ri* ∈ *R* such that *rpi* ▶ *ri*, where *r*∗ = ∗.

def

1. A justified sequence is a *play* when *i* − *pi* is odd for every *i < n*. In a play, a move *i < n* is a *Proponent move* or an *Opponent move* according as *i* is even or odd. A play is *prior* or *posterior* according as its length is even or odd.
2. A *strategy* is a prefix-closed set *σ* of posterior plays that is deterministic: if

*sm, sm*' ∈ *σ* then *m* = *m*'. We write S(*R*) for the set of strategies on *R*.

1. We write SHO(*R*) for

*b*∈rt *R*

S(*Rb*). This is (isomorphic to) the set of strategies

where (as in [[7](#_bookmark23)]) Opponent begins, and may not point to ∗ after the initial move.

Definition 2.4 Let *R* and *S* be arenas.

1. We define the Hyland-Ong exponential *R* →HO *S* = pt*b*∈rt *S* (*R* *Sb*)

def

1. We define the homset

*b*∈rt *S*

G(*R, S*) =

def

SHO(*R* →HO *S*)

=∼ S(*R* *Sb*)

1. For an arena renaming morphism *S*  *f*  , we define the *copycat R Kf*

*R*

*S*

in G. At *b* ∈ rt *S*, it is the set of posterior plays on *R* *Sb* in which Proponent

begins with (∗*,f* (*b*)) and responds to (*j, r*) by pointing to *j* − 1 and playing

*fb*(*r*) or *f* −1(*r*) according as *r* ∈ *Sb* or *r* ∈ *Rf* (*b*).

*b*

Definition 2.5 Let *R, S, T* be arenas.

1. An *interaction sequence* on *R, S, T* is a justified sequence *s* on (*R* →HO *S*) *T*

such that

* + the *right inner thread s* T *S* *T* is a play
  + for each move *m* playing *b* ∈ rt *S*, the *left inner thread s* T *m* consisting of moves strictly descended from *m* is a play on *R* *Sb*
  + the *outer thread s* T *R* *T* (with the pointer from each *R* root move changed to ∗) is a play.

It is *outer-posterior* when the outer thread is posterior.

1. Let *σ* ∈ G(*R, S*) and let *τ* ∈ S(*S* *T* ). We define *σ* ÷ *τ* ∈ S(*R* *T* ) to be the outer thread of each outer-posterior interaction sequence *s* on *R, S, T* whose inner threads (*s* T *m*) ∈ *σr*(*m*) and (*s* T *S* *T* ) ∈ *τ* .

The composite of *R*  *f*  *g* is defined at *c* ∈ rt *T* by ÷, while the com-

*S T*

posite of *R*  *f*  *g* i s given by ÷

*S*

*R,S,*∅

. The identity on *R* is given by *K*id*R*.

This gives all the required structure, and we recover *f* ÷ *g* as (*f* × *T* ); *g*. Moreover, pre- and post-composition with *Kf* is given by renaming of elements. Applying the families construction to (G*,* S) gives a JWA model (C*,* N) on base B as required.

* 1. *Computational Adequacy*

To model divergence in JWA, we require the following structure.

Definition 2.6 A JWA model (C*,* N) on base B is *pointed* when it is equipped with a distinguished element ⊥*A* ∈ N (*A*) for each object *A*, such that *f* ; ⊥*B* = ⊥*A* for each *A*  *f*  in C.

*B*

Clearly our game model is pointed: the ⊥ morphism from an arena family

{*Ri*}*i*∈*I* is given at *i* ∈ *I* by the empty strategy.

We shall say that a pointed JWA model (equipped with a B-isomorphism to interpret each recursive type) is *adequate* when *M* ~*ω* implies **[***M* ]] = ⊥. Our aim is to show that our game model is adequate. We proceed as follows.

Definition 2.7 Let *f* be an endofunction on a set *A*.

1. A sequence (*an*)*n*∈N in *A* is a *ﬁxed sequence* of *f* when *f* (*an*+1) = *an* for all

*n* ∈ N.

1. A fixpoint *a* of *f* is *sequentially unique* when every fixed sequence of *f* is the constant sequence at *a*. (Clearly this implies uniqueness.)

Definition 2.8 (i) A JWA model (C*,* N) on base B is *ticking* when it is equipped with an endofunction C*A* on N(*A*) for each object *A*, such that

* + C*A* has a sequentially unique fixpoint C*ω* , for each object *A*

*A*

* + C(*f* ; *g*)= *f* ; C(*g*) for each *A*  *f*  *g* i n C and N. (Clearly this implies *f* ; C*ω* = C*ω* for each *A*  *f*  in C.)

*B*

*B*

1. A *tick-hiding* from a ticking JWA model M*J* = (C*J,* N *J*) to a pointed JWA model M = (C*,* N) on the same base B is a morphism M*J*  *α*  M in JWA(B) such that
   * *α*(C(*f* )) = *αf* for each *A*  *f*  i n N *J*
   * *α*(C*ω* )= ⊥*A* for each object *A*.

*A*

Proposition 2.9 *Let* M = (C*,* N) *be a pointed JWA model on base* B*. If there exists a ticking JWA model* M*J* = (C*J,* N *J*) *on base* B *and a tick-hiding* M*J*  *α*  M *, then* M *is adequate.*

To apply Prop. [2.9](#_bookmark10) to our game semantics, we define

Definition 2.10 (i) A *prior ticking play* is a prior play where each Proponent- move has a number attached (representing the number of ticks output by Pro- ponent).

1. A *posterior ticking play* is either a posterior play where each Proponent-move has a number attached, or a prior ticking play followed by *ω* (representing infinitely many ticks).
2. A *ticking strategy* on an arena *R* is a prefix-closed set *σ* of posterior ticking plays that is deterministic: i.e. if *sm, sm*' ∈ *σ* then *m* = *m*'. We write S*J*(*R*) for the set of ticking strategies on *R*.
3. The *tick-hiding* of a ticking strategy *σ* on an arena *R* is the strategy obtained by discarding all the numbers of ticks in each play, and discarding all the plays that end in *ω* (they become divergences).

We then define the rest of the ticking model just as in Sect. [2.4](#_bookmark7). For composition, some plays ending in *ω* arise as the outer thread of an infinite interaction sequence, as in [[11](#_bookmark27)]. We omit details.

# Adding Storage

To add storage to JWA, we use contexts of the form Δ; Γ, where Δ is a list of distinct locations with associated type and Γ as before is a list of distinct identifiers with associated type. The syntax is given by Fig. [1](#_bookmark3) with Γ replaced by Δ; Γ, and also by Fig. [4](#_bookmark11).

Again a renaming Γ *θ*  ' maps identifiers to identifiers, and a substitution Γ *k*  ' maps each (x : *A*) ∈ Γ to a value Δ; Γ' ▶v *k*(x) : *A*. An *injection*

Γ

Δ Γ

Δ *φ*  ' maps each location in Δ injectively to one of the same type in Δ'. These induce operations *θ*†, *k*∗ and *φ*† on terms.

Δ

We write Δ; Γ ▶sn *E* to mean that *E* is a configuration that can arise during the execution of a command Δ; Γ ▶n *M* . It will consist of a list of local cells, a

Δ; Γ ▶v *V* : *A* Δ; Γ ▶n *M*

Δ; Γ ▶n n := *V. M*

(n : *A*) ∈ Δ

Δ; Γ*,* x : *A* ▶n *M*

Δ; Γ ▶n read n as x*. M* (n : *A*) ∈ Δ

−−→

v −−−→

−−→ n

Δ*,* n : *A*; Γ ▶ *V* : *A* Δ*,* n : *A*; Γ ▶ *M*

Δ; Γ ▶n

−−−−→

new n := *V. M*

Fig. 4. Syntax For State

−→ −→

*A* ; *s*; n := *V. M* ~

−→

*A* ; *s*; read n as x*. M* ~

*A* ; *s*[n '→ *V* ]; *M*

−→

*A* ; *s*; *M* [*s*(n)*/*x]

−→ −−−−→

−→ −→'

−→n

−−−−→

*A* ; *s*; new n := *V. M* ~

*A, A* ;

*s,* n '→ *V* ; *M*

Fig. 5. Transitions For Storage

global state, a local state and a command, as follows.

−−→ −'−−→' v −−−→ −−'−−→' −−→ −'−−→' n

n : *A,* n

: *A* ; Γ ▶

*V* : *A, V* : *A*

n : *A,* n

: *A* ; Γ ▶ *M*

−−→

sn −'−−→'

−−−−→

−−' −−−→'

n : *A*; Γ ▶

n : *A* ;

n '→ *V,* n

'→ *V* ; *M*

We define operational semantics for commands in a fixed context Δ; Γ. The transitions are those in Fig. [2](#_bookmark4) (leaving the store unchanged) and those in Fig. [5](#_bookmark12). The terminal configurations are as in Fig. [2](#_bookmark4), with any store. To execute a command Δ; Γ ▶n *M* in a given *global state*, mapping each (n : *A*) ∈ Δ to a value Δ; Γ ▶v

*V* : *A*, we begin with *ε*; *s*; *M* and follow the transitions.

For understanding the operational semantics, it is convenient to assume that, given a storage context Δ, each new cell is named in a canonical way.

But in fact, the choice does not matter, because within the configuration

−'−−→'

−−−−→ −−' −−−→'

−→'

n : *A* ;

n '→ *V,* n

'→ *V*

; *M* the identifiers n

are bound.

The equational theory of JWA with store is given by Fig. [3](#_bookmark5) together with Fig. [6](#_bookmark13).

We extend it to configurations by taking Fig. [5](#_bookmark12) and the “exchange” equation

−→ '

−→'

−−−−→

' ' −−' −−−→'

n *,* p*,* p *,* n ; *s,*

n '→ *V,* p '→ *W,* p

'→ *W ,* n

'→ *V*

; *M* =

−→ '

−→'

−−−−→ ' '

−−' −−−→'

n *,* p *,* p*,* n ; *s,*

n '→ *V,* p

'→ *W ,* p '→ *W,* n

'→ *V* ; *M*

It is important to note the limitations on structural rules for terms and config- urations in context Δ; Γ.

* We do not have semantically meaningful *contraction* in Δ. For example, the equation

n : bool*,* n' : bool; k : ¬1 ▶n n := true*.* n' := false*.* k⟨⟩

= n' := false*.* n := true*.* k⟨⟩

read n as x*.* n := x*.* x*M* = *M*

read n as x*.* read n as y*. M* = read n as z*. M* [z*/*x*,* z*,* y]

n := *V.* n := *W. M* = n := *W. M*

n := *V.* read n as x*. M* = n := *V. M* [*V /*x]

read n as x*.* read n' as y*. M* = read n' as y*.* read n as x*. M* (n /= n') n := *V.* n' := *W. M* = n' := *W.* n := *V. M* (n /= n')

n := *V.* read n' as x*.M* = read n' as x*.* n := x*V. M* (n /= n')

−−−−→

' ' −'−−−→

−−−−→ ' '

−'−−−→

new n := *V,* p := *W,* p

−−−−→

= *W ,* n

'

:= *V. M* = new n := *V,* p

−−−−→

:= *W ,* p = *W,* n

:= *V. M*

new n := *V,* m := *V .* m := *W. M* = new n := *V,* m := *W. M*

−−−−→

new n := *V,* m := *V*

−−−−→

*.* read m as x*. M* = new n := *V,* m := *V*

'

'*. M* [*V*

'*/*x]

−−−−→

new n := *V.* m :=

−−−−→

−→n

−−−−→

*W. M* = m := *W.* new n := *V .M*

−−−−−x→

new n := *V.* read m as x*. M* = read m as x*.* new n := *V. M*

−−−−→

new n := *V.*

−→n

*M* = *M*

−−−−→

−−−−→

−−−−−−→→

−−−−→

new n := *V.* new m := *W. M* = new n :=

m*V,* m := *W. M*

Fig. 6. Equations for storage (cf. [[18](#_bookmark34)])

is provable, but renaming both n and n' as n'' : bool makes the theory inconsistent.

* For configurations, we also lack *weakening* in Δ. For example, there is a config- uration

; k : ¬1 ▶sn *ε*; *ε*; k⟨⟩

but no configuration in context n : 0; k : ¬1.

* 1. *Modelling Injections*

We want to model each syntactic category: types, contexts, storage contexts, val- ues, commands, configurations, renamings, substitutions and injections. Storage contexts are interpreted using the finite products in the base B, just like ordinary

op

contexts. Renamings are interpreted in B , but what about injections? An elegant

solution was provided by Oles and Reynolds [[15](#_bookmark31)].

Definition 3.1 Let A be a cartesian category.

1. An *expansion A* (*r,*∗) consists of a “read” morphism *B r* and an

*B A*

“update” morphism *B* × *A*  ∗ satisfying [5](#_bookmark15)

*B*

∀*b* ∈ *B, a* ∈ *A. r*(*b* ∗ *a*)= *a*

∀*b* ∈ *B. b* ∗ *r*(*b*)= *b*

∀*b* ∈ *B, a, a*' ∈ *A.* (*b* ∗ *a*) ∗ *a*' = *b* ∗ *a*'

1. The *composite* of expansions

(*r,*∗) (*r*'*,*∗') is (*r*''*,*∗'') where

*A B C A C*

5 These equations represent commutative diagrams in the standard way. We write the binary operation ∗

in infix style.

*r*''(*c*) d=ef *r*(*r*'(*c*))

*c* ∗'' *a* d=ef *c* ∗' (*r*'(*c*) ∗ *a*)

and the *identity* expansion on *A* is (id*A, π*' ).

*A,A*

1. We write expan(A) for the category of objects of A and expansions. It is a *coaffine category* i.e. a symmetric monoidal category (under ×) whose unit is an initial object. Hence, by coaffine coherence [[16](#_bookmark32)], we can interpret injections in it.

Any isomorphism *B*  *α*  *A* × *Q* gives an expansion *A*  *e*

*B*

*A*

read

*B*  *α*  *A* × *Q*  *π*

update *B* × *A*

*α*×*A*  (*A* ×

*Q*) × *A*

⟨*π*'*,*(*π*;*π*')⟩ *A* × *Q α*−1

We say that (*Q, α*) is a *quotient* of *e*. A *morphism* between quotients is *Q*  *f*  such that *α*; (*A* × *f* )= *β*. (This guarantees that (*Q, α*) and (*R, β*) give the same expansion.) So any expansion has a category of quotients.

*B*

*R*

Definition 3.2 Let A be a cartesian category A with a strict initial object. We say A has *nonsingular quotients* when every expansion from a non-initial object has a quotient that is unique up to unique morphism (and hence, in the usual manner, unique up to isomorphism).

Proposition 3.3 *Both* CSet *(the category of countable sets) [*[*15*](#_bookmark31)*] and*

op

fam(TokCh ) *have nonsingular quotients.*

A storage context denote an object of CSet in the case of ground store, and

op

fam(TokCh ) in the case of general store, and we shall see that Prop. [3.3](#_bookmark16) enables

us to define the requisite structure in terms of products, rather than in terms of

expansions.

In general, for an object *D* and object sequence

−→

*A* = *A*0*,... , An*−1, we write

*e* −→ for the expansion from *D* to the left-associated product *D* × *A*0 ··· × *An*−1

*D*: *A*

given by induction on *n* in the evident way. This is useful, because a storage context

−−→

Δ*,* n : *A* denotes the left-associated product **[**Δ **]** × [[*A*0]] × ··· × [[*An*−1]].

* 1. *Conﬁgurations and their categorical structure*

By way of motivation for our categorical semantics, we note some pertinent facts.

−−→

Proposition 3.4 *Let* Δ = n : *A. The map from commands* Δ; Γ ▶n

*M to con-*

−−→

*ﬁgurations* Δ; Γ*,* x : *A* ▶sn

*provable equality.*

*E that maps M to ε*;

n−−→−→x;

−→x

*M is a bijection up to*

This suggests that configurations can be regarded as the primitive entity, and commands as a derived one. That is quite reasonable: whereas the behaviour of a

command is dependent on an initial state, a configuration has just one behaviour. We next consider some operations on configurations.

* Any configuration Δ; Γ ▶sn *E* can be converted into a configuration

−−→ −−→ sn '

Δ*,* n : *A*; Γ*,* x : *A* ▶

by n−−→−→x.

*E* by injective renaming. The additional cells are initialized

−−→

* Any configuration Δ*,* n : *A*; Γ ▶sn

*E* can be converted into a configuration

Δ; Γ ▶sn *E*' by *hiding* the global cells −→n i.e. making them local.

* More generally, for any injection Δ *φ*  ' , a configuration Δ'; Γ ▶sn *E* can be converted into a configuration Δ; Γ ▶sn *E*'. The order of hiding is immaterial, up to provable equality.

Δ

In the following definition, C homsets should be thought of as values (or substitu- tions), and E homsets should be thought of as configurations.

Definition 3.5 Let B be a base category with nonsingular quotients.

A *JWA model with global state* on B consists of

* a first-order JWA model (C*D,* E *D*) functorial in *D* ∈ Isos B—more precisely: a functor

(C−*,*E− )

* an isomorphism

Isos B

FOJWA(B)

E *D*(*D* × (*A* × *B*)) =∼ C*D*(*A,* ¬*B*) natural in *D, B* ∈ Isos B, *A* ∈ (C*D*)op

* functions

*D,P* (*A,B*) op

C*D*(*A, B*) v C*D* ×*P* (*A, B*) natural in *D, P* ∈ Isos B*,A* ∈B *,B* ∈B

E *D*(*A*)

*D,P* (*A*) *D* ×*P*

(*A* × *P* ) natural in *D, P* ∈ Isos B*,A* ∈B

such that

E

op

*D,P*

* C*D* v *D* ×*P* is a functor

C

* preserves composition in the sense that

C*D*(*A, B*) × E*D*(*B*)

×

v

J

C*D*×*P* (*A, B*) × E*D*×*P* (*B* × *P* )

; E *D* (*A*)

(−×*P* )×id

J

C*D*×*P* (*A* × *P, B* × *P* ) × E*D*×*P* (*B* × *P* )

J

; E *D* ×*P* (*A* × *P* )

* acts monoidally in the sense that

E *D*(*A*)

E *D*(*A*)

*D,P* (*A*) *D* ×*P*

(*A* × *P* )

*D,*1(*A*)

E

∼=

JJ

*D,P* ×*Q*(*A*)

J

*D*×*P,Q*(*A*×*P* )

J

E *D*×1(*A* × 1)

=

and likewise for

v

E *D*×(*P* ×*Q*)(*A* × (*P* × *Q*)) ∼

E( *D*×*P* )×*Q*((*A* × *P* ) × *Q*)

* respects singularity in the sense that E0(*A*)

0*,P* (*A*)

E0 ×*P* (*A* × *P* ) and like-

E *π*−1 (*π*)

wise for .

v

A *JWA model with global ground state* on B is defined similarly except that

*D, P, Q* range over Isos CSet instead of Isos B.

Given a JWA model with global state M = (C*,* E *,* *,* ) on B, we can now recover the “commands” from “configurations”. We define N *D*(*A*) d=ef E *D*(*D* × *A*), and define M*D* to be the JWA model (C*D,* N *D*). Just as for values, we can define

v

*D,P* (*A*)

*D*  *D*×*P*

weakening maps N (*A*) n N (*A*) to be the composite

∼

E *D*(*D* × *A*) E *D* ×*P* ((*D* × *A*) × *P* ) = E *D* ×*P* ((*D* × *P* ) × *A*)

For an expansion *D*  *e*  ' , we define a JWA(B) morphism M*D e*† *D*' by

the composites

*D*

*D,Q*(*A,B*)

M

C*α*−1 (*A,B*)

C*D*(*A, B*) v C*D* ×*Q*(*A, B*) C*D* ' (*A, B*)

*D,Q*(*A*)

C*α*−1 (*A*)

N *D*(*A*) n N *D*×*Q*(*A*) N *D*' (*A, B*)

where (*Q, α*) is any quotient of *e*. Note that this is independent of the particu- lar choice of quotient, by naturality and (in the case *A* is initial) the singularity respecting property. And so M*D* is functorial in *D* ∈ expan(B).

−−→

Now we can proceed to interpret terms. For a given storage context Δ = n : *A*,

we interpret JWA with global state in Δ within the JWA model M[[Δ]].

* For Δ; Γ*,* x : *Ai* ▶n *M* , the command read n*i* as x*. M* denotes the composite in

E[[Δ]]

[[Δ]] × [[Γ]] ⟨*π,*⟨*π*'*,*(*π*;*πi*)⟩⟩ [[Δ ]] × ([[Γ **]** × [[*A* ]]) [[*M* ]]

*i*

* For Δ; Γ ▶v *V* : *Ai* and Δ; Γ ▶n *M* , the command n*i* := *V. M* denotes the composite in E[[Δ]]

[[Δ]] × [[Γ]]

⟨*q,π*'⟩

[[Δ]] × [[Γ]]

[[*M* ]]

where **[**Δ **]** × [[Γ]] *q*  [[Δ ]] has *i*th component of *p* is *π*'; [[*V* ]] and *j*th component

*π*; *πj* for each *j* /= *i*

We then interpret configurations Δ; Γ ▶sn *E*—without local cells—in E[[Δ]]([[Γ **]**).

Definition 3.6 Let B be a base category with nonsingular quotients.

A *JWA model with state* on B consists of

* a JWA model with global state (C*,* E *,* *,* )

v

* functions

*D*×*P*

*D,P* (*A*) *D* op

E

such that

(*A*)

E (*A*) natural in *D, P* ∈ Isos B*,A* ∈B

* agrees with composition in the sense that

C*D*(*A, B*) × E*D*×*P* (*B*) id× C*D* (*A, B*) × E*D*(*B*)

×id

v

J

C*D*×*P* (*A, B*) × E*D*×*P* (*B*) ;

;

J J

E *D*×*P* (*A*) E *D* (*A*)

* acts monoidally and respects singularity in the same sense as
* initializing some cells, then hiding them, has no effect:

*D*  *D,P* (*A*) *D* ×*P*

E (*A*) ¸¸¸¸¸ E

¸¸¸¸¸¸

(*A* × *P* )

*D,P* (*A*×*P* )

E *D*(*π*)

¸¸¸¸z˛J

E *D*(*A* × *P* )

* initialization and hiding commute on distinct cells:

*D*×*P,Q*(*A*)

E *D*×*P*

(*A*)

E( *D*×*P* )×*Q*

(*A* × *Q*)

∼= E( *D*×*Q*)×*P*

(*A* × *Q*)

*D,P* (*A*)

J

*D*×*Q,P* (*A*×*Q*)

J

E *D*(*A*)

*D,Q*(*A*)

E *D* ×*Q*(*A* × *Q*)

A *JWA model with ground state* is defined similarly except that *D, P, Q* range over Isos CSet instead of Isos B.

Just as with global state, there is additional structure that can be derived from a JWA model with state M = (C*,* E *,* *,* *,* ) on B. For any expansion *D*  *e*  '

v *D*

*D*' *e*‡(*A*) *D*

object *A*, we define E

(*A*)

E (*A*) to be the composite

*D*' E *α*(*A*) *D* ×*Q*

*D,Q*(*A*) *D*

E (*A*)

E (*A*)

E (*A*)

where (*Q, α*) is any quotient of *e*—again, the choice of quotient does not affect the definition. And so E *D*(*A*) is functorial in *D* ∈ expan(B op and *A* ∈ Bop .

)

−−→

We can now complete our semantics of terms. Given terms Δ*,* n : *A*; Γ ▶

−−−→

*V* : *A*

v

−−→

and Δ*,* n : *A*; Γ ▶n

composite

−−−−→

*M* , the denotation **[**new n := *V. M* **]** is obtained by forming the

⟨⟨ −−'−−→ '

*π*:*π* ;[[*V* ]]⟩*,π* ⟩ −−→

[[Δ]] × [[Γ]]

[[Δ*,* n : *A*]] × [[Γ]]

[[*M* ]]

−−→

over **[**Δ*,* n : *A* **]**, then applying *e*

−→‡

to obtain a morphism over **[**Δ]].

[[Δ]]:[[*A*]]

That completes our semantics of terms. A substitution Γ *k*  ' denotes a

Δ Γ

C-morphism [[Γ']] [[*k*]] **[**Γ ]] in the usual way.

[[Δ]]

Proposition 3.7 *Let P be a term (command or value) in context* Δ; Γ*.*

1. *(Substitution) For any substitution* Γ *k*  ' *, we have* [[*k*∗*P* ]] = [[*k*]]; [[*P* ]] *in*

Δ Γ

M[[Δ]]*.*

1. *(Injective renaming) For any injection* Δ *φ* ' *we have* [[*φ*†*P* ]] = [[*φ*]]†[[*P* ]]*.*

Δ

−−→

We proceed to semantics of configurations. If Δ = n : *A* and we have values

−'−−→'

v −−−→ −−'−−→'

−'−−→' n

Δ*,* n

: *A* ; Γ ▶ *V* : *A, V*

: *A* and a command Δ*,* n

: *A* ; Γ ▶

*M* then the denota-

−'−−→' −−−−→ −−' −−−→'

tion **[**n : *A* ; n '→ *V,* n

'→ *V*

; *M* **]** is obtained by first forming the composite

⟨⟨−−→ −−→'

[[Γ]] [[*V* ]]*,*[[*V* ]]⟩*,*id⟩ −→'

[[Δ*, A* ]] × [[Γ]]

[[*M* ]]

−−→

over **[**Δ*,* n : *A* **]**, then applying *e*

−→‡

to obtain a morphism over **[**Δ]].

[[Δ]]:[[*A*]]

Proposition 3.8 *In any JWA model with state, the interpretation of values, com- mands and conﬁgurations validates the equational theory.*

* 1. *Game Semantics Of State*

op

In this section, we define a JWA model with state on base fam(TokCh ). First we

fix an arena family *D* = {*Ul*}*l*∈*L* in order to define (C*D,* E *D*). We modify Def. [2.3](#_bookmark8):

Definition 3.9 Let *R* be an arena.

1. A (finite) *justiﬁed sequence* on an arena *R* in store context *D* is a sequence

*m*0*,... , mn*−1 where each *mi* = (*pi, li, ri*) consists of a *pointer* ∗ ≤ *pi < i*, a

*state element li* ∈ *L* and an element *ri* ∈ (

*l*∈*L*

*Ul*) *R* such that either

* + *r* ▶ *r* , where *r* d=ef ∗, or

*pi i* ∗

* + *pi* /= ∗ and *ri* ∈ rt *Ulp* .

*i*

1. *Plays* and *strategies* are unchanged, and S*D*(*R*) is the set of strategies on *R* in

store context *D*. We write S*D* (*R*) for

HO

*l*∈*L,b*∈rt *R*

S(*Ul* *Rb*).

For arenas *R* and *S*, we define the homset

G*D*(*R, S*) d=ef

HO

S*D* (*R* →HO *S*) =∼

*l*∈*L,b*∈rt *S*

S*D*(*Ul*

*R* *Sb*)

Copycat is defined as above, except that Proponent must also copy the state element, and must copy any moves that explore *D*. Composition is as before, except that each thread includes root moves in *D* pointing to its moves, and all their descendants. We now define

C*D* d=ef fam(G*D*) E *D* d=ef fam(S*D*)

Our next task is to define the weakening operation.

Definition 3.10 Let *D* = {*Tk*}*k*∈*K* and *P* = {*Ul*}*l*∈*L* be arena families.

1. Let *R* be an arena, let *l*ˆ∈ *L* be given, and let *s* be a play on *R* *U*ˆ*l* in storage context *D* × *P* . We say *s* is *weakened* (wrt *D, P,* ˆ*l*) when for each Proponent move *m* with state element ⟨*k, l*⟩
   * *l* = ˆ*l* if *m* = 0, otherwise *l* is the *L* component of the state element of *m* − 1
   * if either *m* =0 or move *m* − 1 plays an element of *R* ∪ *D* (i.e. not an element of *P* ), then so does move *m*
   * any Opponent-move *n* pointing to *m* and playing *b* ∈ rt *Ul* and state element

⟨*k*'*, l*'⟩ is followed by a Proponent-move *n* + 1 pointing to *m* −1 (or ∗ if *m* = 0) and playing *b* with state element ⟨*k*'*, l*'⟩; and any Opponent-move *p* pointing to a descendant *q* +1 of *n* or *n* +1 and playing *c* (necessarily in *D* or *P* ) with state element ⟨*k*''*, l*''⟩ is followed by a Proponent-move *p* + 1 pointing to *q* and playing *c* with state element ⟨*k*''*, l*''⟩.

If *s* is weakened, its *outer thread* is the play on *R* in storage context *D* given by all the moves of *s* that are in *R* and *D*, with only the *K*-component of each state element. (This is a posterior play if *s* is.)

1. Let *R* be an arena and let ˆ*l* ∈ *L* be given. We define

*D,P*  *D* ×*P*

S*DR*

S (*R* *U*ˆ*l*) to map *σ* to the strategy consisting of every

weakened (wrt *D, P,* ˆ*l*) posterior play whose outer thread is in *σ*.

1. From we derive maps

S*D R*  S*D* ×*P R*

HO HO

G*D*(*R, S*) G*D* ×*P* (*R, S*)

*D,P*

*D*  (*A,B*) *D* ×*P D D*

C (*A, B*) v C (*A, B*) where C = fam(G )

E *D*(*A*)

*D,P* (*A*) *D* ×*P*

(*A* × *P* ) where E *D*

= fam(S*D*)

in the evident way.

E

Finally we have to define hiding.

Definition 3.11 Let *D* = {*Tk*}*k*∈*K* and *P* = {*Ul*}*l*∈*L* be arena families.

1. Let *s* be a play on an arena *R* in storage context *D* × *P* . We say that *s* is

*hideable* when for every Opponent move *m* +1 with state element ⟨*k, l*⟩

* + *l* is the *L*-component of the state element of move *m*
  + if *m* plays an element of *R* ∪ *D*, then so does *m* +1
  + any Proponent move *n* pointing to *m* +1 and playing *b* ∈ rt *Ul* with state element ⟨*k*'*, l*'⟩ is followed by an Opponent move *n* + 1 pointing to *m* and playing *b* with state element ⟨*k*'*, l*'⟩; and any Proponent move pointing to a descendant *q* +1 of *n* or *n* +1 and playing *c* (necessarily in *D* or *P* ) with state element ⟨*k*''*, l*''⟩ is followed by an Opponent move pointing to *q* and playing *c* with state element ⟨*k*''*, l*''⟩.

If *s* is hideable, its *outer thread* is the play on *R* in storage context *D* given by all moves of *s* that are in *R* and *D*, with only the *K*-component of each state element. (This is a posterior play iff *s* is.)

*D*×*P*

*D,P* (*R*) *D*

1. For any arena *R* we define a map S

(*R*)

S (*R*) mapping *σ* to

the set consisting of the outer thread of every hideable posterior play in *σ*.

*D*×*P*

*D,P* (*A*) *D*

1. We define E

(*A*)

E (*A*) from

using the families construction.

Proposition 3.12 *The game model* (C*,* E *,* *,* *,* ) *is a JWA model with state, on*

op v

*base* fam(TokCh )*.*

* 1. *Computational Adequacy*

Let B be a base category with nonsingular quotients.

Definition 3.13 A JWA model with state (C*,* E *,* v*,* *,* ) on base B is *pointed*

when it is equipped with a distinguished element ⊥*A* ∈ N (*A*) for each object *A*,

Clearly our game model is pointed: the ⊥ morphism from an arena family

{*Ri*}*i*∈*I* is given at *i* ∈ *I* by the empty strategy.

We shall say that a pointed JWA model (equipped with a B-isomorphism to interpret each recursive type) is *adequate* when *E* ~*ω* implies **[***E*]] = ⊥. Our aim is to show that our game model is adequate. We proceed as follows.

Definition 3.14 (i) We write JWAS(B) for the category of JWA models with state on base B. Morphisms are identity-on-objects and preserve all structure on the nose.

1. A JWA model with state (C*,* E *,* *,* *,* ) on base B is *ticking* when it is equipped with an endofunction C*A* on N(*A*) for each object *A*, such that

v

* + C*A* has a sequentially unique fixpoint C*ω* , for each object *A*

*A*

* + C*A* is preserved by precomposition with a C-morphism, by and by .

1. A *tick-hiding* from a ticking JWA model with state M*J* =

(C*J,* E *J,* *J* *J* *J* M =

v *, ,* ) to a pointed JWA model with state

(C*,* E *,* v*,* *,* ) on the same base B is a morphism M*J*  *α*  M in

JWAS(B) such that

* + *α*(C(*f* )) = *αf* for each *A*  *f*  i n N *J*
  + *α*(*tickω* )= ⊥*A* for each object *A*.

*A*

Proposition 3.15 *Let* M = (C*,* E *,* *,* *,* ) *be a pointed JWA model on base* B*.*

v *J J J* *J* *J* *J*

*If there exists a ticking JWA model* M = (C *,* E *,* v *, , on base* B *and a*

*tick-hiding* M*J*  *α*  M *, then* M *is adequate.*

We apply Prop. [3.15](#_bookmark17) to prove the adequacy of the game model just as in Sect. [2.5](#_bookmark9).

# Further Work

We have now constructed a model of state and proved adequacy. Some remaining tasks are as follows.

1. To construct a model for a direct style calculus; this simply follows the con- struction in [[10](#_bookmark26)]. The treatment of storage is just as in this paper.
2. To show that for every storage context Δ and context Γ using finite sums, every computable element of N [[Δ]]( **[**Γ **]**) is definable by a command Δ; Γ ▶n *M* . Even in [], where Δ was empty, this was an open problem.
3. To show that the observational preorder corresponds to inclusion of complete traces.
4. To extend the model to include ref types, so as to reorganize the model of [[9](#_bookmark25)].

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