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An evolutionary framework for multi document summarization using Cuckoo search approach: MDSCSA



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In today’s scenario the rate of growth of information is expanding exponentially in the World Wide Web. As a result, extracting valid and useful information from a huge data has become a challenging issue. Recently text summarization is recognized as one of the solution to extract relevant information from large documents. Based on number of documents considered for summarization, the summarization task is categorized as single document or multi-document summarization. Rather than single document, multi-document summarization is more challenging for the researchers to find accurate summary from multiple documents. Hence in this study, a novel Cuckoo search based multi-document summarizer (MDSCSA) is proposed to address the problem of multi-document summarization. The proposed MDSCSA is also compared with two other nature inspired based summarization techniques such as Particle Swarm Optimization based summarization (PSOS) and Cat Swarm Optimization based summa- rization (CSOS). With respect to the benchmark dataset Document Understanding Conference (DUC) datasets, the performance of all algorithms are compared in terms of ROUGE score, inter sentence simi- larity and readability metric to validate non-redundancy, cohesiveness and readability of the summary respectively. The experimental analysis clearly reveals that the proposed approach outperforms the other summarizers included in this study.

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1. Introduction

Now a day’s the rate of information growth is expanding expo- nentially in the World Wide Web, which creates information over- load problem. One solution to this problem is shortening of information, called text summarization (TS). Text summarization is the process of creating shorter version of original text without losing main contents [[1]](#_bookmark26) called summary. The summary provides a quick guide to create interest on information, helps in making decision on document whether it is readable or not as well as it is served as a time saver for users [[2]](#_bookmark26). The way in which summary is generated either is an extraction or an abstraction method [[3,4]](#_bookmark26).

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Extraction based summaries are generated by selecting the impor- tant portions of the original text. Whereas, abstraction based sum- maries requires linguistic analysis to construct new sentences from the original text [[5,6]](#_bookmark26). Based on dimension, extraction based sum- maries can be categorized into two ways i.e., generic or query dependent [[7]](#_bookmark27). Generic summary reflects the major content of the documents without any additional information. But, Query- dependent summary focuses on the information expressed in the given queries [[8,9]](#_bookmark28).

Number of documents considered for generating summary, can classify the summarization problem as single document or multi- document summarization [[10,11]](#_bookmark29). When a document is condensed into a shorter version, it is called single document summarization, whereas condensing a set of documents into a summary is called multi-document summarization. Therefore, summarization of multiple documents can be considered as an extension of summa- rization of single document [[12]](#_bookmark30). In multi-document summariza- tion, search space is larger compared to single document summarization, which makes it more challenging for extracting important sentences. In that context, multi-document summariza- tion can be considered as an optimization problem with the objec- tive of producing optimal summary containing informative

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sentences of the original documents. Nature inspired optimization based approaches are the suitable choices to address this optimiza- tion problem. In literature several meta heuristic techniques such as particle swarm optimization (PSO), differential evolution (DE), harmony search (HS), Cuckoo search (CS) and genetic algorithm (GA) are applied in single or multi-document summarization.

Being inspired by the application of Cuckoo search in other opti- mization problems [[13–34]](#_bookmark31), in this study a novel Cuckoo search algorithm based summarizer is presented for multi-document summarization. Though single document using Cuckoo search algorithm is present in literature [[35]](#_bookmark32) but, multi-document sum- marizer using Cuckoo search is new to this area. Further the model is also compared with Particle Swarm Optimization based summa- rizer and Cat Swarm Optimization based summarizer. The perfor- mance of such models are analyzed over DUC datasets with respect to few summary evaluation metrics such as ROUGE score, inter sentence similarity and readability metric. These evaluation metrics are considered to validate the non-redundancy, cohesive- ness and readability of the generated summary.

The structure of paper is organized as follows. Section [2](#_bookmark3) briefly describes the related works on text summarization problem using global optimization techniques. Section [3](#_bookmark4) introduces the proposed extractive summarization model. Section [4](#_bookmark5) presents Cuckoo search based summarizer for solving summarization problem. Next, Sec- tion [5](#_bookmark11) details the numeric calculation for objective function, Sec- tion [6](#_bookmark15) elaborates on experiments and result analysis and finally Section [7](#_bookmark25) addresses the conclusions.

1. Related works

In this section, a theoretical study of evolutionary algorithms based text summarization and various applications of Cuckoo search algorithm is discussed.

In multi-document summarization, compression of multiple documents, speed of sentence extraction, redundancy between sentences and sentence selection are the critical issues in the for- mation of useful summaries. In the past, such issues are resolved by statistical tools. But, due to significantly poor performance of statistical tools in text extraction, from 2000 onwards a number of global optimization techniques such as particle swarm opti- mization (PSO) [[2,11,36–38]](#_bookmark26), differential evolution (DE) [[1,7,11,12,36,37,39–44]](#_bookmark26), and genetic algorithm (GA) [[10,45–51]](#_bookmark29) are proposed by several researchers for improving the performance of sentence selection in document summarization. Initially, the optimization algorithm GA was first used in test summarization problem [[45]](#_bookmark42) to retrieve relevant document based on query and relevant judgments. Thereafter in [[46]](#_bookmark43), the author has evaluates the efficiency of GA with fitness functions for relevance feedback in information retrieval problem for maintaining the document order. Later on GA based programming technique is used for fuzzy retrieval system to extract information based on query by applying off-line adaptive process [[48]](#_bookmark46) and in [[49]](#_bookmark47), the author has used GA for text summarization based on sentence score. Each sentence score is obtained through the comparison of each sentence with all other sentences as well as with the document title by cosine measure. The informative features weights are calculated using GA to influence the words relevancy. Word relevancy defines rele- vancy and rank of the sentences having highest score with respect to a threshold, are selected as summary sentences. A single docu- ment generic summary has been extracted based on different sen- tence features using GA by comparing with some other techniques and were evaluated using ROUGE score [[10]](#_bookmark29). Kogilavani et al. [[50]](#_bookmark50) Presents a feature based multi-document generic summarization using GA & clustering to enhance the summary quality by maxi- mizing length, coverage and informativeness while minimizing

the redundancy. Whereas, genetic algorithm based document sum- marization has been proposed to generate optimal summary by combining article sentences and query sentence to achieve satis- fied length, high coverage, high informativeness and low redun- dancy in summary [[51,52]](#_bookmark52). However the GA is providing better result for text summarization. But GA suffers from issues of more parameter tunning [[39]](#_bookmark33). To obtain better summary with less parameter tunning, the authors of [[1,7,40,41]](#_bookmark26) have used DE for text summarization problem. Aliguliyev [[1]](#_bookmark26) presents a generic docu- ment summarizer based on sentence clustering using DE. Whereas in [[42]](#_bookmark34), a single document summarizer focuses on sentence feature as key ingredient instead of clustering to extract summary. A sum- marizer for single document based on clustering has been pre- sented and made comparison of discrete DE and conventional DE for summarization and showed comparison result by the authors of [[36]](#_bookmark32). Alguliev et al. [[43]](#_bookmark38) have used DE algorithm to enhance sen- tence feature based summary by maximizing content coverage, readability and cohesion to improve text readability and informa- tiveness of summary. As the problem of summarization is consid- ered as discrete optimization problem in [[43]](#_bookmark38), to solve such problem the author has used adaptive DE to maximize informa- tiveness of summary while reducing the redundancy of summary. In contrast, the summarization problem is considered as p-median problem and Quadratic Boolean programming problem by the authors of [[7,40]](#_bookmark27), for that a new variation of DE with self adaptive mutation and crossover parameters and binary DE is used. Where as in [[43]](#_bookmark38), adaptive crossover parameter is used for optimizing the summary result. The models discussed in [[7,12,39]](#_bookmark27) not only express sentence-to-sentence relationship, but also express summary-to-document and summary-to-subtopics relationships. In all the above cases, DE based summarizer is showing signifi- cantly better result than GA based summarizer both for single and multi-document summarization.

Rautray and Balabantaray [[37]](#_bookmark32) presents a generic summarizer for single document using particle swarm optimization algorithm, by considering content coverage and redundancy feature as key aspects of summary. For solving such problem, the objective func- tion is designed by taking weighted average of content coverage and redundancy features. Another PSO based single document summarizer is also proposed in [[11]](#_bookmark35), which has used the same objective function as described in [[37]](#_bookmark32), but by taking features of text as an input arguments instead of sentence weights as input arguments to the model. Binwahlan et al. [[2]](#_bookmark26) have presented a PSO based extractive summarizer where expression of ROUGE is used as fitness functions for extraction of summary sentences. The summary based on PSO is also presented by Asgari et al. [[38]](#_bookmark36) considering summary features such as content coverage, readabil- ity and length. A multi-document summarization system using PSO has been presented in [[36]](#_bookmark32) based on the concept of clustering of sentences by calculating inter sentence similarity between sen- tences and sentence to document set to achieve content coverage and diversity of summary. In contrast, similarity metric also used by Alguliev et al. [[44]](#_bookmark40) to achieve content coverage, diversity and length of summary for multiple document sets. Rautray et al.

[[53]](#_bookmark56) presents cat swarm optimization (CSO) algorithm based multi document summarizer, which takes content coverage, readability and cohesion as key aspects of summary. The summary is evalu- ated over DUC dataset and compared with two other optimization algorithms such as particle swarm optimization and harmony search algorithm, in which CSO shows competitively better result than other two algorithms.

Cobos et al. [[15]](#_bookmark41) have implemented Cuckoo search algorithm for web document clustering or web clustering engine. Cuckoo search uses Balanced Bayesian Information Criteria for fitness function and compared against existing clustering algorithms for web document, Suffix Tree Clustering, Lingo and Bisecting K-mean

algorithm. The CS algorithm shows a significant improvement result than other algorithms. A new biodiesel engine have devel- oped by Wong et al. [[16]](#_bookmark44) to achieve fewer emissions, low fuel cost and wide operating range of engine using Cuckoo search algorithm. The CS algorithm is compared with PSO algorithm and the result shows that CS is similar to PSO bur with less user defend parameters.

For minimization of power loss and maximization of voltage magnitude, reconfiguration network methodologies using CS algo- rithm have proposed by Nguyen and Truong [[17]](#_bookmark45). The radial topol- ogy of network is maintained by CS algorithm, which is compared with PSO and other compared methods in literature and the result of CS is more noticeable. A combinatorial optimization approach using Cuckoo search algorithm [[18]](#_bookmark48) have introduced to minimize possible number of test cases by considering the combination of inputs for detecting defects. Here Cuckoo search algorithm is used to create optimized combinatorial test set. Along with these engi- neering applications, many other recent applications of Cuckoo Search algorithm are listed in [Table 1](#_bookmark6).

Though various optimization algorithms were proposed in past, but application of Cuckoo search algorithm for developing summa- rizer is very few in the area of text summarization. Mirshojaei and Masoomi [[35]](#_bookmark32) has already addressed summarization problem using Cuckoo search algorithm. But it is applied only for single doc- ument summarization. Here, summarization result of Cuckoo search algorithm is compared with the summarization result of particle swarm optimization algorithm, bacterial foraging opti- mization algorithm and word summarizer in terms of F-score. Among all cases, the F-score of Cuckoo search algorithm is showing comparatively better than the other results.

To the best of the authors’ knowledge, no study is available in the open literature with the application of Cuckoo search algorithm for multi-document summarization problem.

1. Multi-document summarization

Multi-document summarization is an automatic process to cre- ate a concise and comprehensive document, called summary from multiple documents. The entire procedure of multi-document summarization is divided into three steps such as preprocessing, input representation and summary representation. The overview of summarization system is shown in [Fig. 1](#_bookmark7). Input to the summa-

Table 1

Applications of Cuckoo search algorithm in recent years.

Author(s) Application

Dash et al. [[13]](#_bookmark31) Thermal system

Udayraj et al. [[14]](#_bookmark39) Heat transfer problems

Cobos et al. [[15]](#_bookmark41) Clustering

Ljouad et al. [[19]](#_bookmark49) Object tracker & Kalman filter

Araghi et al. [[20]](#_bookmark51) Traffic signal controller

Wong et al. [[16]](#_bookmark44) Engine optimization

Nguyen et al. [[21]](#_bookmark53) Hydrothermal scheduling

Dash et al. [[22]](#_bookmark54) Thermal system

Nguyen et al. [[17]](#_bookmark45) Network configuration

Abd-Elaziz et al. [[23]](#_bookmark55) Power system

Zineddine [[24]](#_bookmark57) Computer security

Nguyen et al. [[25]](#_bookmark59) Hydrothermal scheduling

Dos Santos et al. [[26]](#_bookmark60) Energy conservation

Wang et al. [[27]](#_bookmark32) Solar radiation

Elkeran [[28]](#_bookmark32) Sheet nesting problem

Bhargava et al. [[29]](#_bookmark32) Phase equilibrium problem

Fateen et al. [[30]](#_bookmark32) Phase stability calculation

Ding et al. [[31]](#_bookmark32) Fuzzy system

Ahmed et al. [[18]](#_bookmark48) Software engineering

Panda et al. [[32]](#_bookmark32) Multilevel thresholding

Bhandari et al. [[33]](#_bookmark32) Satellite image segmentation

Kumar et al. [[34]](#_bookmark32) FIR differentiator design

rization system is multiple documents such as *D*1, *D*2, .. ., *D*N. The documents are initially preprocessed, and the result is gone through input representation and summary representation to extract final summary. The detail of summarization process is dis- cussed in the following subsections.

* 1. *Preprocessing*

Preprocessing goes through four sub processes.

* Sentence segmentation: From the set of input text documents, each individual document *D* is segmented separately as *D* = {*S*1,

*S*2, .. ., *S*n}, where *Sj* denotes *j*th sentence in the document for easy extraction of summary sentence, and n is the number of sentences in document.

* Tokenization: Terms of each sentence are tokenized as *T* = {*t*1,

*t*2,.. ., *t*m}, where *tk* for k = 1,2, .. ., m. represents all the distinct

terms occurring in *D* and *m* is the number of terms.

* Stop word removal: Most commonly used words in English language such as ‘a’, ‘an’, and ‘the’ which has less important sig-

nificance with respect to the document are removed.

* Stemming: It is a process of chopping off the ends of words to a common base form.
  1. *Input representation*

In this section the preprocessed data presented in word form is used to calculate weight (sum of term frequencies) for each sen- tence known as sentence informative score. The sentence informa- tive score, represented as weight of sentence is further entered as input to the optimization algorithm for implementation. The details of input representation is discussed in [Fig. 3](#_bookmark12).

* 1. *Summary representation*

The objective of summary representation is generating sum- mary of document sets containing useful information. Through the optimal sentence selection process, the important sentences representing summary is selected by comparing the sentence informative score obtained through optimization algorithm with respect to a pre specified threshold value (see [Fig. 4](#_bookmark16)).

1. Cuckoo search based multi-document summarizer

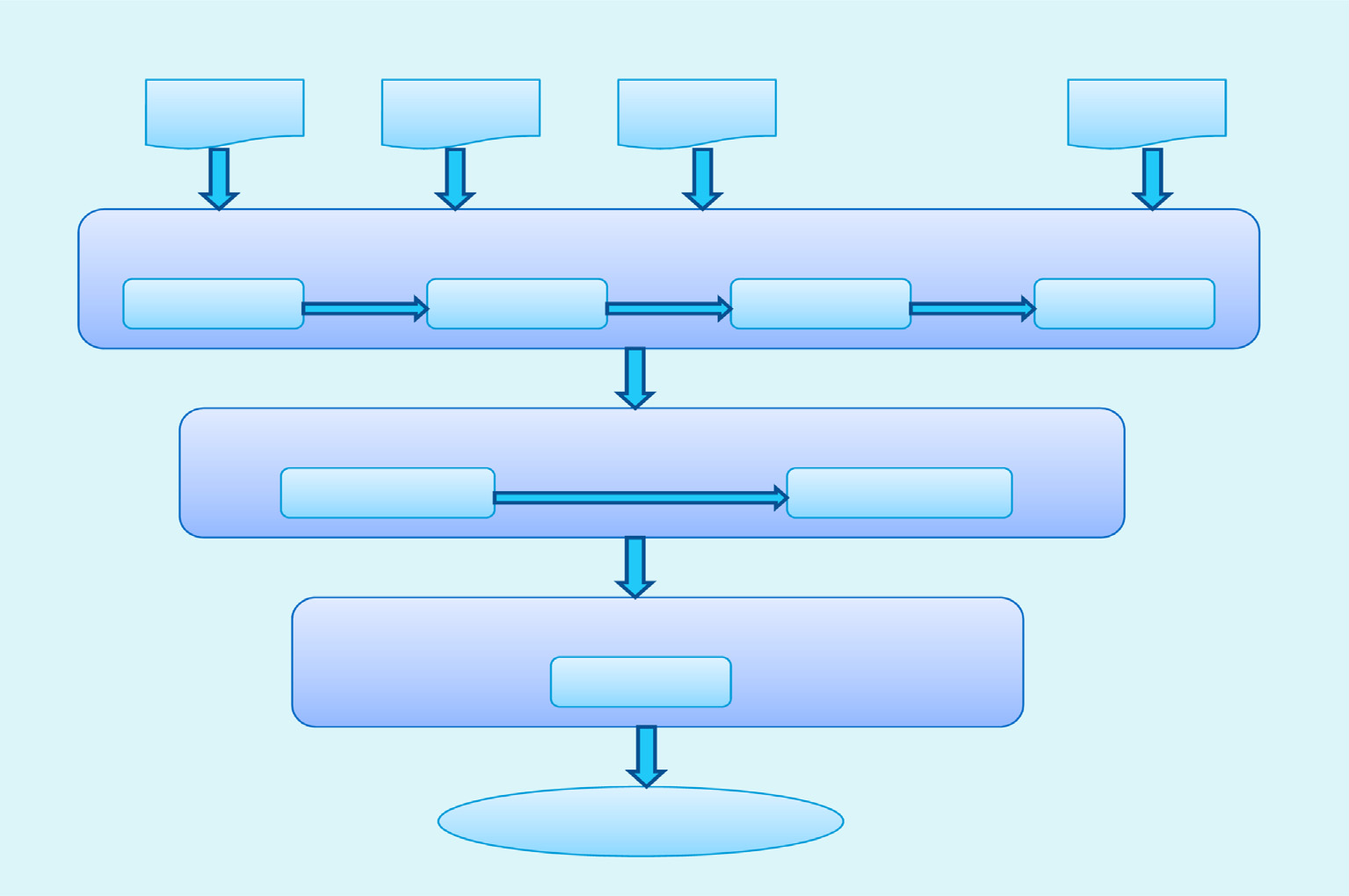
Cuckoo search (CS) is one of latest meta heuristic algorithm, inspired by the species of bird called the Cuckoo. Cuckoos are fas- cinating birds because of their aggressive reproduction strategy and beautiful sounds, they can make [[54–56]](#_bookmark58). The mature Cuckoos lay their eggs in the nests of other host birds or species [[57]](#_bookmark62). The nest containing each egg represents a solution, and each Cuckoo can lay only one egg that represents new and potentially better solution. The standard Cuckoo search algorithm can be described by three idealized rules: 1) One egg is laid by each Cuckoo in a ran- dom nest represents a solution sets; 2) The best eggs contained in the nests will carry over to the next generation; 3) The number of available nests is fixed, and a host bird can discovered an alien egg

with a probability (*Pa*). If this condition satisfies, either the egg can

be discarded or abandon the nest by the host, and built a new nest

elsewhere.

For implementation point of view, CS algorithm can use the simplest form where each nest has only a single egg. In this case there is no distinction between egg, nest or Cuckoo, as each nest corresponds to one egg which also represents one Cuckoo. The algorithm can be extended to more complicated cases in which each nest has multiple eggs representing a set of solutions.



Multiple Documents

D1

D2

D3

  

DN

Sentence Segmentation

Preprocessing

Tokenization

Stop word removal

Stemming

Input Representation

Sentence Informative score calculation

Cuckoo Search implementation

Summary Representation

Optimal sentence selection

Summary

Fig. 1. Overview of summarization system.

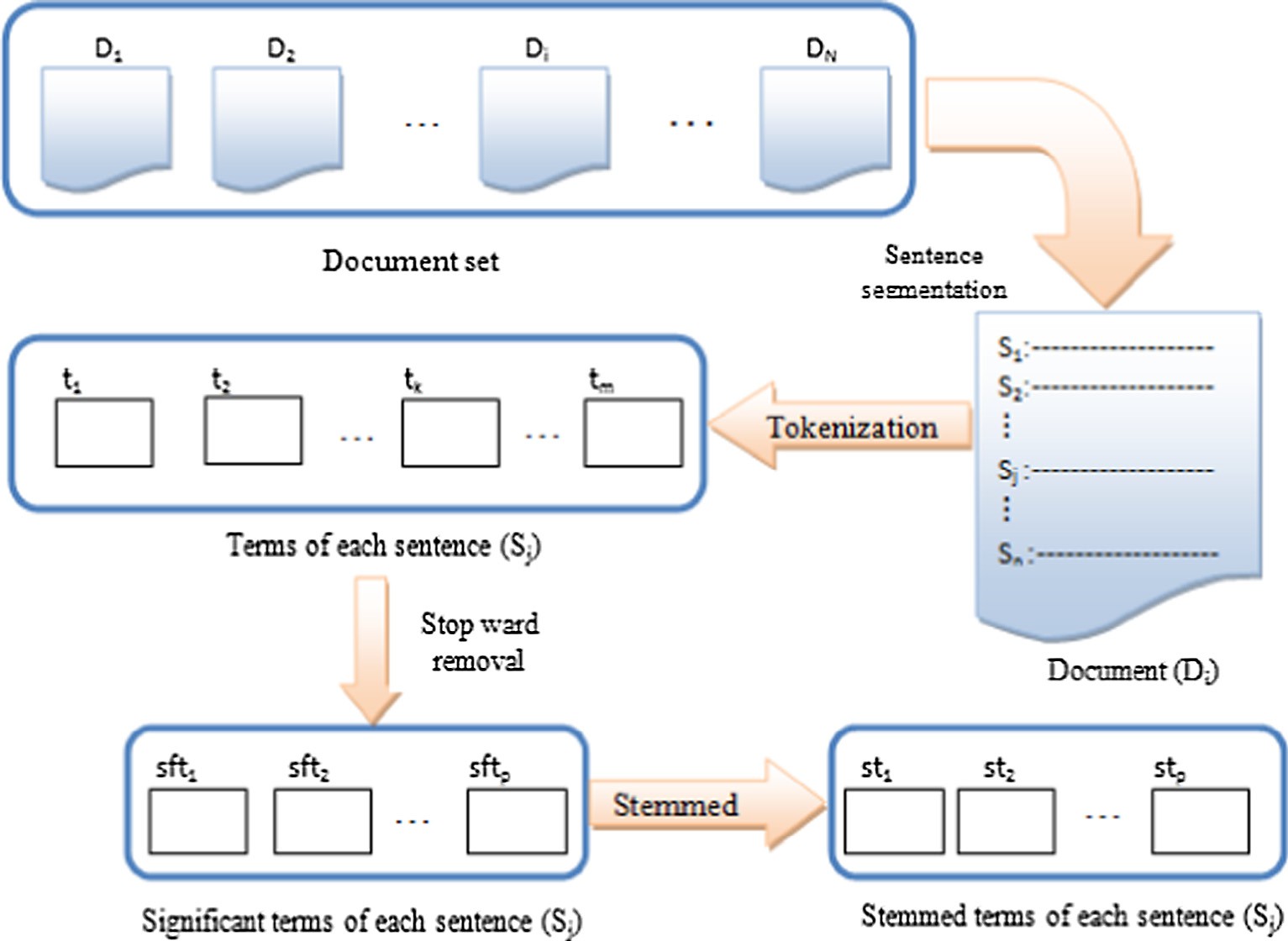


Fig. 2. Flowchart of document preprocessing.

When generating new solutions *xt*+1, a balanced combination of a local random walk and the global explorative random walk is

*i*

used. This can be controlled by a switching parameter *Pa*. The local random walk can be written as:

×

( *a* —

)

( )

*i*

*i*

On the other hand, the global random walk is carried out by using Lévy flights. A Lévy flight contains successive random steps [[56,58,59]](#_bookmark61), and is characterized by a sequence of rapid jumps, can be represented by the following equation:

*xt*+1

*i* =

*xt a S H P*

*e*  *xt*

*xt*

1 *xt*+1 = *xt* + *a* *L*´*e*vy(*k*) (2)

where *xt* and *xt* are two different solutions selected randomly by

*i* +

*j* —

*k*

*j*

*k*

where *a* is step size, which should be proportional to scale of

optimization problem (i.e. *a* > 0), is entry wise move during

random permutation, *H*(*u*) is a Heaviside function, *e* is a random

number drawn from a uniform distribution and s is the step size.

multiplication and Lévy(*k*) is random numbers drawn from Lévy

distribution.

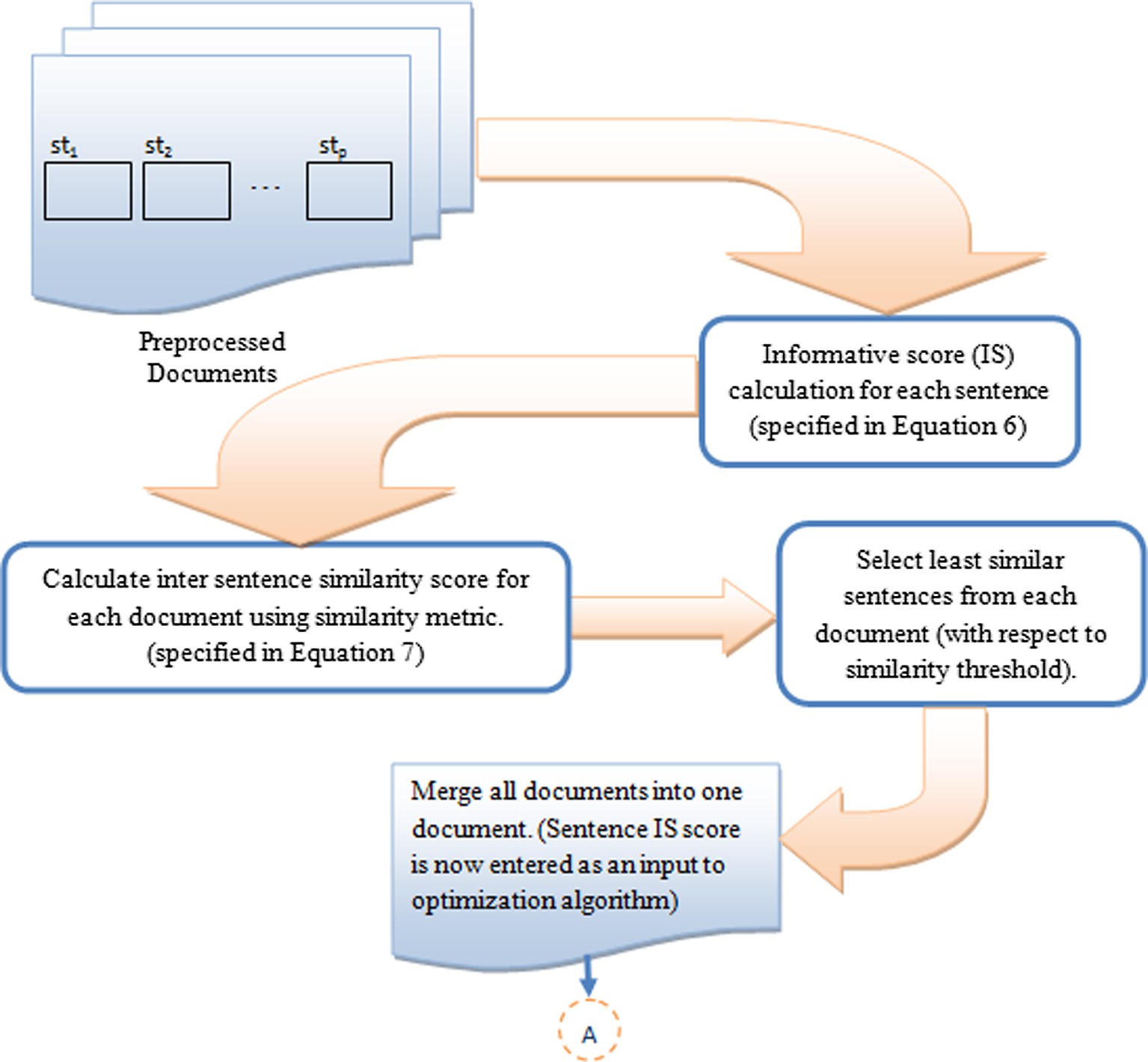


Fig. 3. Flowchart of input representation.

In-addition, the steps involved in MDSCSA is discussed below.

*Step 1: Collect a set of multiple documents M, where M =* {*D*1*, D*2,.. ., *D*N}. Each *D*i *represents individual document of set M. Length of each Di is represented in terms of number of sentences, which vary from document to document.*

*Step 2: Preprocess each text document Di using the sentence seg- mentation, tokenization, stop word removal and stemming steps as shown in* [Fig. 2](#_bookmark8)*.*

*Step 3: Calculate the Informative score ISjk (i.e. the sentence weight derived from the sum of term frequencies) for each sentence Sj of the preprocessed document Di using Eq.* [*(3)*](#_bookmark13)*.*

*ISjk* = *tfjk* × log(*n*/*nk*) (3)

*where ISjk represents informative score for each sentence Sj with*

*respect to term tk. tfjk is the term frequency (i.e. number of times the term tk occurred in sentence Sj, nk denotes the number of sen- tences in which tk appears. The term* log *(n/nk) is referred as inverse sentence frequency used in vector space model for sentence retrieval.*

*Step 4: Calculate inter sentence similarity for the preprocessed doc- ument Di using Eq.* [*(4)*](#_bookmark14)*.*

P *ik jk*

*m IS IS*

## *sim*(*si*, *sj*)= qPﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃPﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃﬃ , *i*, *j* = 1, .. . , *n* (4)

*k*=1

*ik*

*IS*

*m*

*k*=1

*IS*2 ·

*m*

*k*=1

2

*jk*

*Step 7: Initialize CS parameters such as population size, rate of alien eggs, step factor* (*Sf*) *and levy exponent* (*k*)*.*

*Step 8: Use sentence IS score as nest information of each* Cuckoo *within the specified search space. Each nest corresponds to a poten- tial solution to the given optimization problem.*

*Step 9: Compute the fitness function fi for each of these nests as per the given problem using Eq.* [*(3)*](#_bookmark13)*.*

*Step 10: The new population of nests is obtained using Lévy flight as specified in Eq.* [*(2)*](#_bookmark9)*.*

*Step 11: Calculate the fitness f*j *corresponding to the new nests and compare with the fitness f*i *of the previous nests.*

*Step 12: If f*j *is better than f*i.

*Replace the previous nest solution by new nest solution.*

*Step 13: In the new population, select a fraction Pa of worst per- forming nests. Replace these nests by randomly generated ones within the specified search space & build new ones.*

*Step14: Compute the fitness function for the new nests obtained. Step15. Based on the fitness values, record the best performing nests in the current population set. Which are then compared with the best nest obtained until current generation, and replace current best by previous best nest.*

*Step 16: If the termination criterion is not met, go to Step 9.*

*Step 17: Select sentences chronologically from the document based on their threshold.*

*Step 5: Select least similar sentences for each Di based on a thresh- old similarity value.*

*Step 6: Merge the selected all least similar sentences of each Di as a single document Dinput.*

1. Summary evaluation criteria

The objective of the TS problem is to maximize informativeness while reducing redundancy and preserving readability of the

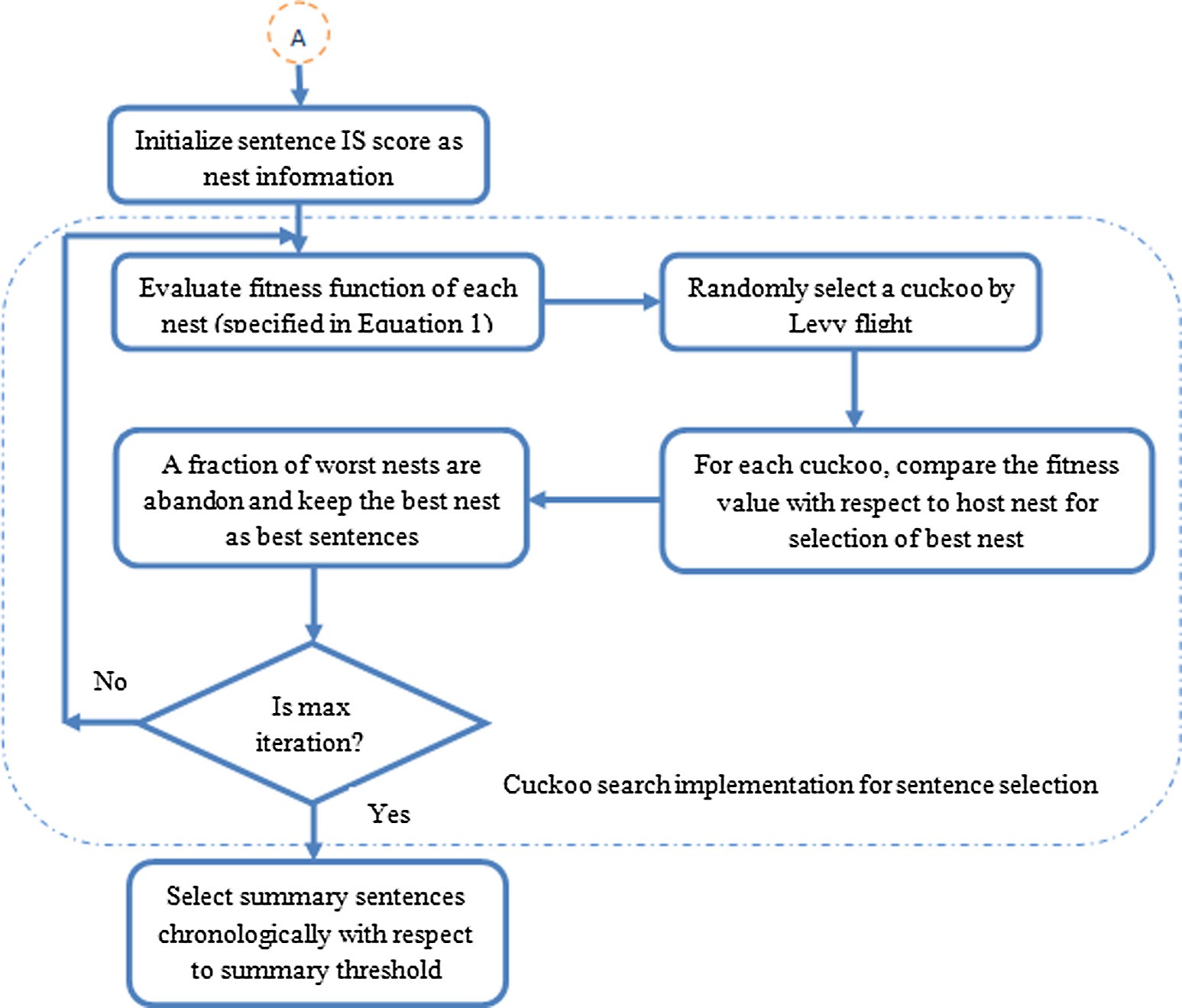


Fig. 4. Flowchart of summary representation.

generated summary. Therefore in this paper, authors have tried to build summaries from document sets with multiple objectives such as content coverage as well as non-redundancy, cohesion and readability, which are explained in following objective func- tion *f*(*S*) and that formalize as three sub-functions such as *fcov*(*S*), *fcoh*(*S*) and *fread*(*S*) to optimize summary.

*f* (*S*)= *f* cov(*S*)+ *f coh* (*S*)+ *f read* (*S*) (5)

The objective function (i.e., Eq. [(1)](#_bookmark10)) balances the content

coverage, cohesion and readability of the summary. The first term evaluates content coverage of the summary. A summary contains a set of relevant sentences, which covers the main content of docu- ment set. The main content of document is reflected by the highest weighted sentence or center of the each document. Therefore the content coverage of summary is represented as:

*f* cov(*S*)= *Sim* (*si*, *O*) i = 1, 2 ... n (6)

where *O* = the center of the main content collection of sentences i.e.,

*O* = {*O*1, *O*2,.. ., *O*n} of document sets and *O*i is weighted average of sentences of each document. Similarity between *S*i and *O* (specified in Eq. [(4)](#_bookmark14)) is evaluated to measure importance of the sentences. Higher similarity values correspond to high content coverage.

The cohesion between the sentences in the summary is connec- tion of ideas both at the sentence level and at the paragraph level. This helps in understanding the complete text in a better way. The

ideas of summary select a subset of *s* ⊂ *D* or sentence to sentence

relationship that chosen from *D*. This can be represented as:

*f coh*(*S*)= 1 — *Sim* (*si*, *sj*) i – j = 1, 2, .. . , n (7)

The higher value of *f*coh(*S*) specifies high connection between

sentences and vice versa.

The summary readability select a subset of *s* ⊂ *D* that maximizes the inter sentence relationship of *s* chosen from *D.* As

*f*read(*S*) *measures s*imilarity (specified in Eq. [(4)](#_bookmark14)) between *S*i *and S*j, the higher value of *f*read(*S*) specifies higher readability of the sum- mary, which is defined as:

*f read* (*S*)= *Sim* (*si*, *sj*) i – j = 1, 2, .. . , n (8)

1. Experiment and result analysis

This section conduct experiments to test proposed summarization system empirically. The MDSCSA is compared with CSOS and PSOS multi-document summarizer with respect to two years of DUC data- sets. All the summarizer models are implemented in MATLAB Version 2014a) in a system with Window 7 operating system. After obtaining the simulation result, the analysis of summary result has been carried out using ROUGE tool in terms of ROUGE score.

* 1. *Dataset*

The open bench mark datasets from DUC (Document Under- standing Conference) are used for the evaluation of text extraction result. [Table 2](#_bookmark17) provides a short description of DUC data sets. By the step of data preprocessing, less significant words or stop words from the original documents are removed by comparing with the available stop word list in net and the terms are stemmed using the most common stemmer in English called Porter’s stemmer.

* 1. *Controlling parameters*

Controlling parameters of any optimization algorithm are appli- cation oriented. Thus, there is no fixed value is assign to these

Table 2

Dataset description.

|  |  |  |
| --- | --- | --- |
| Data set parameters | Size (DUC2006) | Size (DUC2007) |
| Number of clusters | 50 | 45 |
| Number of documents in each clusters | 25 | 25 |
| Average no. of sent. per doc | 30.12 | 37.5 |
| Maximum no. of sent. per doc | 79 | 125 |
| Minimum no. of sent. per doc | 5 | 9 |
| Data source | AQUAINT | AQUAINT |
| Summary length (in words) | 250 | 250 |

parameters. Therefore derivation of parameters is obtained through number of simulations. For this text extraction problem, the controlling parameters of MDSCSA, CSOS and PSOS models are present in [Table 3](#_bookmark18).

* 1. *Evaluation metric*

For summary evaluation, ROUGE-1.5.5 package developed by

[[60]](#_bookmark63) is used in this study. It is used as the evaluation metric for text summarization. ROUGE includes different methods such as ROUGE-L, ROUGE-N, ROUGE-S, ROUGE-W and ROUGE-SU to mea-

sure the n-gram match between systems generated summaries and human summaries. Here ROUGE-N metric compares N-grams of two summaries, and counts the number of matches:

* 1. *Performance analysis*

This section analyses the performance of various models on the basis of three summary evaluation criteria as discussed in Section [4](#_bookmark5).

* + 1. *Observation 1 (based on ROUGE-N)*

The summary performance has been evaluated by using ROUGE-N with two *N* values such as ROUGE-1 and ROUGE-2 met- rics. These matrices are highly correlated with the human judg- ments. ROUGE-1 measures the overlap of unigrams between the system summary and the manual summaries created by human while ROUGE-2 compares the overlap of bigrams [[43]](#_bookmark38). The ROUGE-N evaluation is done based on content coverage, cohesive- ness and text readability of summary. A model providing higher ROUGE metric indicates higher similarity of the generated sum- mary with respect to the original document sets. Though the ROUGE-N value is represented in terms of three different metrics such as precision, recall and F-measure value, F-measure is assumed to have more significance for selection of a summary. In this study the model selection is done based on the best F-measure of the ROUGE-N values. [Table 4](#_bookmark19) shows the statistical analysis in term of worst, mean and best of F-measure of ROUGE-1 and ROUGE-2 evaluation metrics observed for the PSOS, CSOS and MDSCSA algorithm on DUC 2006 and DUC 2007 docu- ment set respectively. The evaluation metrics are observed for

P*S*∈*Summ*

*ref*

P*N*—*gram*∈*SCountmatch*(*N* — *gram*)

the system generated summaries (summary generated by PSOS,

*ROUGE* — *N* =

P*S*∈*Summref*

P*N*—*gram*∈*S*

*Count*(*N* — *gram*) (9)

CSOS and MDSCSA) with human generated summaries present in DUC. From the comparison of F measure it is observed that the best F measure value with respect to ROUGE-1 for all the three opti-

where N stands for the length of the N-gram, count match (N-gram)

is the highest number of N-grams co-occurring in candidate sum- mary and reference-summaries. Count (N-gram) is the number of N-grams in the reference summaries.

Furthermore, sensitivity, positive predictive value (PPV) and summary accuracy (Summaryacc) are used for summary evaluation. The sensitivity, PPV and Summaryacc of summary are evaluated based on the outcomes of candidate summary (Candidatesum), ref- erence summary (Referencesum), true sentences (Truesen) and least significant sentences (LSsen). The summary which is generated by our proposed summarizer is called candidate summary. Whereas, the summary is refer for an evaluation, called reference summary. In both the summary, the common sentences are referred as true sentences. But the sentences, neither in Candidatesum nor in Referencesum is called LSsen. Sensitivity, PPV and Summaryacc are calculated using the following equations.

mization algorithms are falling within the range 0.41–0.44 and with respect to ROUGE-2 it is within the range 0.07–0.13 for DUC 2006 dataset. Similarly for DUC 2007 dataset, the best F mea- sure value with respect to ROUGE-1 is falling within the range 0.40–0.43 and with respect to ROUGE-2 it is within the range 0.08–0.10. Though the values are data dependent it is clearly observed that, Cuckoo search is providing better F measure values (best of statistical analysis) for both the ROUGE scores on both the datasets. Further the precision, recall and F measure of both the ROUGE scores with respect to two datasets is specified in [Table 5](#_bookmark19). Analyzing the three matrices of ROUGE-N score and document classification metrics, it is clearly observed that MDSCSA is provid- ing better result compared to PSOS and CSOS with respect to ROUGE-1 and ROUGE-2 for both the datasets. The F measure value of ROUGE-N score is dependent on both recall and precision value. Similarly summary accuracy is dependent on both sensitivity and

### *Sensitivity*

|*Truesen*|

PPV score. So instead of evaluating the summarizers with respect

## 10

### *PPV*

= |*Truesen*| + |*Reference* | ( )

|*Truesen*|

*sum*

## = (11)

|*Truesen*| + |*Candidatesum*|

to precision, recall, sensitivity and PPV score separately, the model validation is done based on the F measure value and summary accuracy value (see [Table 6](#_bookmark20)).

* + 1. *Observation 2 (based on cohesion)*

*Sacc*

|*Truesen*| + |*LSsen*|

|*Truesen*| + |*LSsen*| + |*Referencesum*| + |*Candidatesum*|

=

## (12)

Cohesion is an essential element for the reader to be clear and

to achieve its final purpose. It refers to the degree to which sen- tences (or even different parts of one sentence) are connected so

Table 3

Parameters used for PSO, CSO and CS based summarizer.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| PSOS |  | CSOS |  | MDSCSA |  |
| Population size | 50 docs | Population size | 50 docs | Population size | 50 docs |
| C1 | [0,2] | SMP | 3 | Rate of alien eggs (*Pa*) | 0.75 |
| C2 | [0,2] | CDC | 0.2 | Step size (*Sf*) | 0.5 |
| *V*min, *V*max | [0,1] | SRD | 0.2 | Levy exponent (k) | 0.8 |
| W | 0.45 | Mixture ratio (MR) | 0.5 |  |  |
|  |  | w, C | 0.5, 4 |  |  |

Table 4

Performance comparisons of PSOS, CSOS and MDSCSA summarizer based on ROUGE-N (F measure) metric for DUC2006 and DUC2007 data.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Dataset | Evaluation metric | Optimization algorithm | Worst | Mean | Best |
| DUC 2006 | Rouge-1 | PSOS | 0.39087 | 0.4009 | 0.41127 |
|  |  | CSOS | 0.4003 | 0.4070 | 0.4229 |
|  |  | MDSCSA | 0.40422 | 0.4115 | 0.4311 |
|  | Rouge-2 | PSOS | 0.05848 | 0.0651 | 0.0784 |
|  |  | CSOS | 0.0714 | 0.0831 | 0.09033 |
|  |  | MDSCSA | 0.07677 | 0.0864 | 0.13986 |
| DUC 2007 | Rouge-1 | PSOS | 0.3916 | 0.3991 | 0.40967 |
|  |  | CSOS | 0.3908 | 0.4098 | 0.4207 |
|  |  | MDSCSA | 0.4000 | 0.4116 | 0.4243 |
|  | Rouge-2 | PSOS | 0.0743 | 0.0758 | 0.0762 |
|  |  | CSOS | 0.0809 | 0.0881 | 0.08903 |
|  |  | MDSCSA | 0.0817 | 0.0892 | 0.1034 |

Table 5

Precision, recall and F measure of ROUGE-N score for both the dataset.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Dataset | Evaluation metric | Optimization algorithm | Recall | Precision | F measure |
| DUC 2006 | Rouge-1 | PSOS | 0.44151 | 0.38491 | 0.41127 |
|  |  | CSOS | 0.43098 | 0.41520 | 0.4229 |
|  |  | MDSCSA | 0.43655 | 0.4258 | 0.4311 |
|  | Rouge-2 | PSOS | 0.08255 | 0.07469 | 0.0784 |
|  |  | CSOS | 0.0995 | 0.08271 | 0.09033 |
|  |  | MDSCSA | 0.12346 | 0.16129 | 0.13986 |
| DUC 2007 | Rouge-1 | PSOS | 0.44679 | 0.37825 | 0.40967 |
|  |  | CSOS | 0.46158 | 0.38662 | 0.4207 |
|  |  | MDSCSA | 0.4583 | 0.3951 | 0.4243 |
|  | Rouge-2 | PSOS | 0.0841 | 0.0697 | 0.0762 |
|  |  | CSOS | 0.0924 | 0.0859 | 0.08903 |
|  |  | MDSCSA | 0.1093 | 0.09824 | 0.1034 |

Table 6

Performance comparison of PSO, CSO and CS summarizer based on sensitivity, PPV and summary accuracy for both the dataset.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Dataset | Optimization algorithm | Evaluation metrics |  | | |
|  |  | Sensitivity | PPV | Summaryacc |  |
| DUC 2006 | PSOS | 0.5 | 0.4 | 0.9734 |  |
|  | CSOS | 0.56 | 0.5294 | 0.9800 |  |
|  | MDSCSA | 0.6 | 0.5708 | 0.99 |  |
| DUC 2007 | PSOS | 0.5 | 0.3529 | 0.9808 |  |
|  | CSOS | 0.5833 | 0.5 | 0.9904 |  |
|  | MDSCSA | 0.62 | 0.54 | 0.9951 |  |

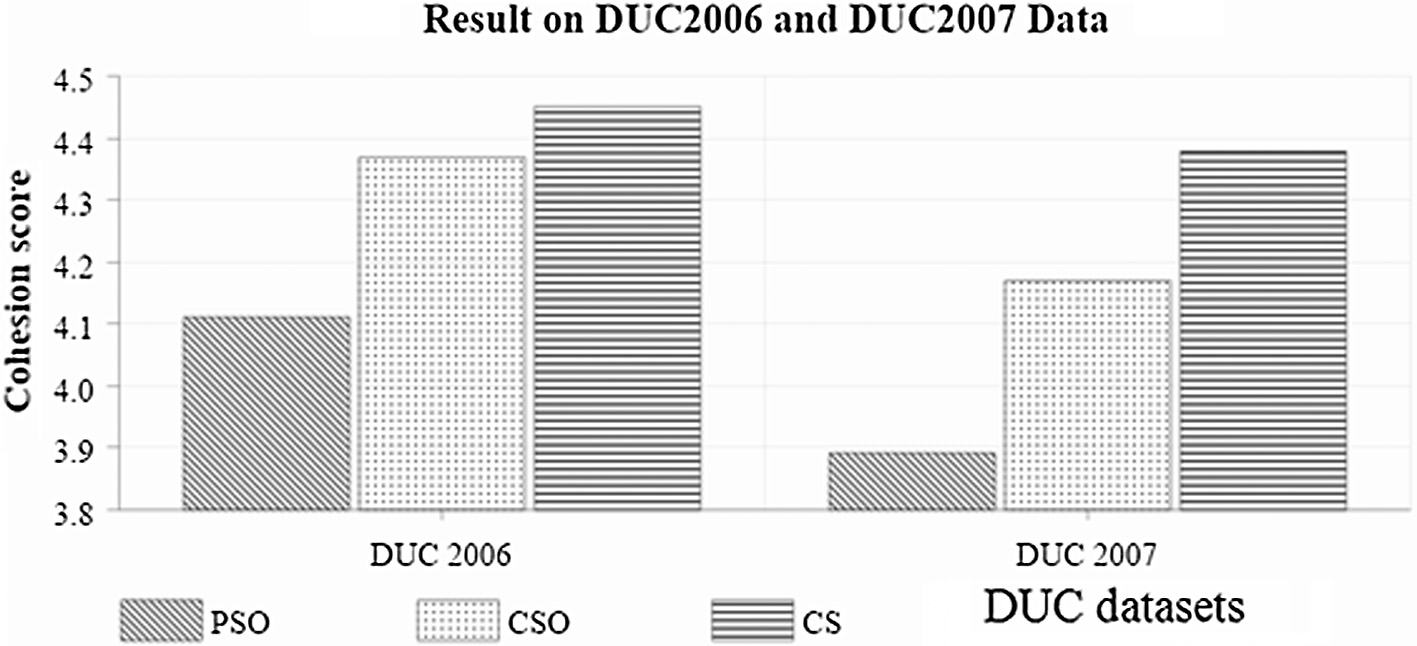


Fig. 5. Cohesion score comparison on DUC2006 and DUC2007 dataset.

that the flow of ideas is easy to follow. Cohesiveness in summary does not mean just ‘‘grammatically correctness” of sentences but cohesive summary refers to the connection of ideas both at the sentence level and at the paragraph level. Therefore cohesion of

consecutive sentences helps in understanding the complete text better [[40]](#_bookmark37). The common metric used to compute cohesiveness of summary is cosine similarity by considering average similarity of the sentences. [Fig. 5](#_bookmark21) shows cohesion score of different methods

Table 7

Readability metric formulas.

|  |  |  |
| --- | --- | --- |
| Readability metric | Formula | Equation no. |
| Flesch Kincaid Grade Level (FKGL) | 0.39 × (words/sentences) + 11.8 × (syllables/words) — 15.59 | (13) |
| Gunning fog score (FOG) | 0.4 (Average Sentence Length + Percentage of Hard Words) | (14) |
| SMOG Index (SMOG) | 1.0430 × sqrt(30 × complex words/sentences) + 3.1291 | (15) |
| Coleman Liau (CL) | 5.89 × (characters/words) — 0.3 × (sentences/words) — 15.8 | (16) |
| Automated readability index (ARI) | 4.71 × (characters/words) + 0.5 × (words/sentences) — 21.43 | (17) |

# Result on DUC 2006

12



10

**Readability score**

8

6

4

2

0

**FKGL FOG SMOG CL ARI**

# Readability metrics

MDSCSA  CSOS  PSOS

Fig. 6. Readability score of different methods on DUC2006 dataset.

# Result on DUC 2007

12



10

**Readability score**

8

6

4

2

0

**FKGL FOG SMOG CL ARI**

# Readability metrics

## MDSCSA CSOS PSOS

Fig. 7. Readability score of different methods on DUC2007 dataset.

on DUC datasets. From the analysis, it is observed that MDSCSA has shown comparatively better cohesion value than the PSOS and CSOS for both the datasets.

* + 1. *Observation 3 (based on readability)*

This experiment involves readability of summary, which means ‘‘how easily materials can be read and understood? This depends on several factors including the average length of sentences, the number of new words contained, and the grammatical complexity of the language used in a passage” [[61]](#_bookmark63). Readability can be calcu- lated by the formula discussed in [Table 7](#_bookmark22). Readability is estimated in terms of the number of years of education one needs to have to comprehend that text [[62]](#_bookmark63). The higher value of readability metric supports easy reading and understanding of generated summary whereas lower value creates difficulty in reading and understand- ing of the summary. The readability score of three different sum- marizers for DUC 2006 dataset and DUC 2007 dataset is shown in [Figs. 6 and 7](#_bookmark23) respectively. From the analysis, it is clearly observed that, for DUC 2006 dataset MDSCSA is providing better readability score with respect to FKGL, FOG, SMOG and ARI metrics compared to PSOS and CSOS and for CL metric all the three summa- rizers are producing almost same result. For DUC 2007 dataset

MDSCSA is providing better readability score with respect to all the metrics compared to both PSOS and CSOS summarizer.

1. Conclusion

This paper focuses on a Cuckoo search based multi-document summarizer to create a generic extractive summary. The summa- rizer is also compared with particle swarm optimization based summarizer and cat swarm optimization based summarizer. The performance of all discussed summarizers are evaluated in terms of ROUGE score, inter sentence similarity and readability metric to validate non-redundancy, cohesiveness and readability of the summary respectively on a benchmark dataset called as Document Understanding Conference datasets in three experiments. Observa- tion 1 and 2 discusses non-redundancy and cohesiveness of sum- mary, where in most of the cases Cuckoo search based model is showing better ROUGE score. Similarly in readability test discussed in observation 3, MDSCSA is also showing better readable score of the summary in [Figs. 6](#_bookmark23) and [7](#_bookmark24) compared to PSOS & CSOS based model. From the above observations, it can be concluded that the performance of MDSCSA is significantly better than the CSOS and PSOS algorithm in summary generation.

Controlling of evolutionary algorithm parameters are purely data dependent in the experiment of any application. As Cuckoo search algorithm is an evolutionary approach, thus the limitation of this approach is its controlling parameters. Therefore more sys- tematic approach of parameter setting will be explored in our future work. The performance of this approach can also be exam- ined using other competent nature inspired algorithms.

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