 Electronic Notes in Theoretical Computer Science 184 (2007) 209–233 

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A Static Semantics for Alloy and its Impact in Refactorings

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**Abstract**

Refactorings are usually proposed in an ad hoc way because it is difficult to prove that they are sound with respect to a formal semantics, not guaranteeing the absence of type errors or semantic changes. Conse- quently, developers using refactoring tools must rely on compilation and tests to ensure type-correctness and semantics preservation, respectively, which may not be satisfactory to critical software development. In this paper, we formalize a static semantics for Alloy, which is a formal object-oriented modeling language, and encode it in Prototype Verification System (PVS). The static semantics’ formalization can be useful for

specifying and proving that transformations in general (not only refactorings) do not introduce type errors, for instance, as we show here.

*Keywords:* refactoring, type system, theorem proving, object models

# Introduction

Evolution is an important and demanding software development activity, as the originally defined structure usually does not accommodate adaptations, demanding new ways to reorganize software. Modern development practices, such as program refactoring [[9](#_bookmark25)], improve programs while maintaining their original behavior, in order, for instance, to prepare software for change. Similarly, an *object model refactoring* is a transformation that improves design structure while preserving semantics. They might bring similar benefits but with a greater impact on cost and productivity,

1 We would like to thank Augusto Sampaio, Daniel Jackson, and members of the [Software Productivity](http://www.cin.ufpe.br/spg) [Group](http://www.cin.ufpe.br/spg) at UFPE, for their important comments. This work was supported by CNPq and CAPES (Brazilian research agencies).

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doi:10.1016/j.entcs.2007.03.023

since they are used in earlier stages of the software development process. For in- stance, model transformations can be used to improve the analysis performance of a tool [[14](#_bookmark27)].

In current practice, even using refactoring tools, programmers must rely on successive compilation and a good test suite to have some guarantee that it does not introduce type errors and preserves the observable behavior, respectively [[9](#_bookmark25)]. A test suite is able only to uncover errors, not to prove their absence. Moreover, usually, modifying the structure of a program, such as extracting a new class, implies updating the test suite in order to add new test cases for the new classes. Therefore, relying on a test suite is not a good way to guarantee behavior preservation. In case of structural model refactorings, most proposed transformations rely on informal

argumentation. Refactorings are usually proposed in an *ad hoc* way because it is

hard to prove that they are sound with respect to a formal semantics. Even a number of model transformations proposed in the literature, which are intended to be semantics-preserving, may lead to incorrect transformations that may introduce type errors in some situations, as we show in Section [2](#_bookmark1). This may be unacceptable, especially for developing critical software systems.

In this paper, we specify an abstract syntax and static semantics for Alloy [[19](#_bookmark35)], which is a formal object-oriented modeling language discussed in Section [4](#_bookmark5), in the Prototype Verification System (PVS) [[28](#_bookmark44)], which encompasses a specification lan- guage and a theorem prover (as discussed in Section [3](#_bookmark4)). Moreover, we show how we can use this static semantics in order to prove in PVS that refactorings for Alloy are type-safe. Therefore, the contributions of this paper are the following:

* an abstract syntax and static semantics for Alloy in PVS, shown in Sections [5](#_bookmark8) and [6](#_bookmark9), respectively;
* the experience of applying this static semantics in proposing and proving in PVS model transformations, such as refactorings, for Alloy, as shown in Section [7](#_bookmark14).

In a previous work [[14](#_bookmark27)], we propose model transformations for Alloy, showing a number of applications. In another work [[15](#_bookmark28)], we give a dynamics semantics for Alloy and show how to prove that model transformations for Alloy preserve dynamic semantics. In this work, we focus on the static semantics and show how it can be used in proposing and proving (in PVS) that model transformations for Alloy do not introduce type errors.

One of the most difficult tasks for proposing refactorings is to define required enabling conditions. Proposing and proving refactorings in PVS helps identifying when transformations for Alloy do not introduce type errors. Even popular pro- gram refactoring tools, such as Eclipse [[6](#_bookmark21)], may introduce some simple errors, such as transforming a well-typed program into a ill-typed one. Next, we show a simple example describing part of a banking system in Java containing two kinds of ac- counts (savings and checking). Account declares a method getBalance, in which

we would like to apply the *Push Down Method* refactoring [[9](#_bookmark25)] to ChAccount. So,

we choose in Eclipse that this refactoring should change Account and ChAccount

classes. After applying this refactoring, the resulting program is ill-typed since the

method debit uses the method getBalance, which is not defined in SavAccount

anymore.

public class Account { float balance;

public float getBalance() { return balance;

} ...

}

public class SavAccount extends Account { public void debit(float amount) {

balance = getBalance()-amount;

} ...

}

public class ChAccount extends Account {

...

}

It is important to mention that it is a very difficult task to state all preconditions required for a transformation to be behavior-preserving. In our opinion, in this particular case the tool should at least warn the user that this transformation may introduce a type error, before applying the refactoring.

In case of structural model refactoring, this scenario is even worse since there are few model transformations proposed in the literature, most of them are proposed in an ad hoc way. Consequently, proposing refactorings following our approach can help improve tool support, adding reliability to software development. Although we demonstrate our approach for Alloy, we believe that it can be similarly applied to proving program refactorings. Moreover, the discussion in this paper can be useful for model transformations in general, not only semantics-preserving. So, it can be similarly applied to transformations for the Model Driven Architecture (MDA) [[22](#_bookmark37)]. For example, it is important to show that transformations between Platform Independent Models (PIM) do not introduce type errors.

# Motivating Examples

In this section, we show how apparently structural semantics-preserving model transformations may introduce type errors. These examples show that when propos- ing model transformations, we have to prove not only the dynamic semantics preser- vation, but also the absence of type errors.

In the context of a banking system application, Figure [1](#_bookmark2), which presents two object models [[25](#_bookmark41)], shows a transformation that introduces a type error. Each box in an object model represents a set of objects. The arrows are relations and indicate how objects of a set are related to objects in other sets. An arrow with a closed head form, such as from ChAcc to Account, denotes a subtype relationship. The left-hand side (LHS) diagram states that accounts may be checking or savings. Each account may have bank cards. Moreover, there is an invariant stating that savings accounts do not have a bank card. The join operator (.), in this case, denotes the standard relational composition. The no keyword, when applied to an expression, denotes that this expression has no elements. The *ps* keyword is a meta-variable representing a surrogate for the rest of the model.

The transformation depicted in Figure [1](#_bookmark2), which is proposed elsewhere [[26](#_bookmark42),[8](#_bookmark24),[3](#_bookmark19)], can *always* be applied (there is no enabling condition for this refactoring). This

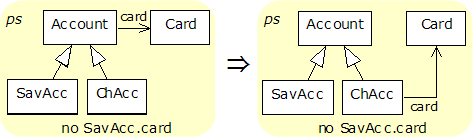
transformation corresponds to the *Push Down Field* refactoring [[9](#_bookmark25)]. Notice that refactorings proposed elsewhere [[9](#_bookmark25)] are for programs. However, we can state similar ones for models.

Fig. 1. Push Down Relation

From the invariant (no SavAcc.card) and the fact that accounts can only be checking or savings, we conclude that only checking accounts have a bank card. So, we may apply the *Push Down Field* refactoring and push down the card relation to ChAcc, yielding the right-hand side (RHS) diagram. A deeper analysis shows that this transformation, although preserves dynamic semantics, introduces a type error in the refactored diagram. Since card is now declared in ChAcc, we cannot join SavAcc and card. So this invariant is no longer well-typed in Alloy. The RHS diagram in Figure [1](#_bookmark2) becomes ill-typed. The *Pull Up Field* refactoring [[9](#_bookmark25)] also cannot be applied sometimes, differently from proposed elsewhere [[8](#_bookmark24)]. We have to make sure that pulling up a relation does not introduce name conflicts, for instance.

Another transformation [[17](#_bookmark33)] defines that we can *always* convert a generalization into an injective function, as exemplified in Figure [2](#_bookmark3). This transformation is known as the *Replace Inheritance with Delegation* refactoring [[9](#_bookmark25)]. The LHS diagram states that a checking account is a type of account. Moreover, there is an invariant stating that ChAcc is a subset of Account. The in keyword, in this case, denotes the subset operator. Applying the proposed transformation results in a diagram where each checking account is related to exactly one account by the acc relation. The gen- eralization is converted into acc and an invariant stating that acc is an injection. Since ChAcc and Account have different types in the refactored diagram, the invari- ant stating that checking account is a subset of account is ill-typed. Therefore, the transformation introduces a type error considering Alloy’s type system.

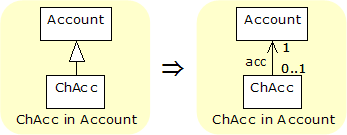


Fig. 2. Converting a generalization into a relation

Since the authors proposed their transformation for UML class dia- grams [[26](#_bookmark42),[8](#_bookmark24),[17](#_bookmark33)], and the Object Constraint Language (OCL) [[21](#_bookmark38)] presents a com-

plex type system [[7](#_bookmark23),[31](#_bookmark47)], these examples may also introduce a type error. Another work [[3](#_bookmark19)] does not consider invariants. Therefore, the examples presented in this section suggest the importance of formally proving not only that a structural model transformation preserves dynamic semantics, but also that they do not introduce any type error. This is also useful for model transformations in general, not only refactorings.

# PVS Overview

The Prototype Verification System (PVS) provides mechanized support for formal specification and verification [[28](#_bookmark44)]. The PVS system contains a specification lan- guage, based on simply typed higher-order logic, and a prover. Each specification consists of a collection of theories. Each theory may introduce types, variables, constants, and may introduce axioms, definitions and theorems associated with the theory. Specifications are strongly typed, meaning that every expression has an associated type.

Suppose that we want to model part of a banking system in PVS, on which each bank contains a set of accounts, and each account has an owner and a balance. Next, we declare a theory named BankingSystem that declares two uninterpreted types (Bank and Person), representing sets of banks and persons, and a record type denoting an account. An uninterpreted type imposes no assumptions on implemen- tations of the specification, contrasting with interpreted types such as int, which imposes all axioms of the integer numbers. Record types, such as Account, impose an assumption that it is empty if any of its components types is empty, since the resulting type is given by the cartesian products of their constituents. The owner and balance are fields of Account, denoting the account’s owner and its balance, respectively.

BankingSystem: THEORY BEGIN

Bank: TYPE Person: TYPE

Account: TYPE = [# owner: Person, balance: int #]

In PVS, we can also declare function types. Next, we declare two functions types (mathematical relation and function, respectively). The first one just de- clares the accounts’s type, establishing that each bank relates to a set of accounts. The withdraw function declares the withdraw operation and defines the associated mapping.

accounts: [Bank -> set[Account]]

withdraw(acc: Account, amount: int): Account = acc WITH [balance := (balance(acc)-amount)]

The balance(acc) expression denotes the balance of the acc account. We can use these fields as predicates. For instance, balance(acc)(100) is a predicate stating that the balance of the acc account is 100. The WITH keyword denotes the override operator, which replaces the mapping for acc by a new tuple, if acc is originally in the function domain. In the withdraw function, the expression containing the WITH operator denotes an account with the same owner of acc, but with a balance

subtracted of amount. Similarly, we can declare a function representing the credit operation.

Besides declaring types and functions, a PVS specification can also declare ax- ioms, lemmas and theorems. For instance, next we declare a theorem stating that the balance of an account is not changed when performing the withdraw operation after the credit operation with the same amount.

withdrawCreditTheorem: THEOREM FORALL(acc: Account, amount: int) :

balance(withdraw(credit(acc,amount),amount)) = balance(acc) END BankingSystem

The FORALL keyword denotes the universal quantifier. The previous quantification is over an account and an amount to be deposited and then withdraw.

The PVS proof checker provides a collection of powerful proof commands to carry out propositional, equality, and arithmetic reasoning with the use of definitions and lemmas. For instance, the withdrawCreditTheorem theorem can be proved by applying the expand rule twice, which expands a definition at its occurrence, in the withdraw and credit functions. These proof commands can be combined to

form *proof strategies*. PVS prover offers some built in strategies. For instance, we

can prove the previous theorem by just applying the grind strategy, which installs rewritings and successive simplifications. More details about the PVS prover can be found elsewhere [[29](#_bookmark45)].

# Alloy

In this section, we give an overview of Alloy in Section [4.1](#_bookmark6) and propose a core language for it in Section [4.2](#_bookmark7). A core language for Alloy contains a small set of its constructs. It contains all constructs that cannot be expressed in term of others, thus presenting the same expressivity of Alloy. Since the core language is as expressive as any Alloy model, the results for the core language can be leveraged for Alloy.

* 1. *Overview*

An Alloy model or specification consists of a set of modules. Each module may contain some signature declarations and paragraphs (constraints and analysis). Sig- natures are used for defining new types, and constraint paragraphs, such as facts and functions, used to record constraints and expressions. Each signature comprises a set of objects (elements), which associate with other objects by relations declared in the signatures. A signature paragraph introduces a type and a collection of re- lations, called fields, along with the types of the fields and other constraints on the values they include.

Next, we model part of the banking system in Alloy, on which each bank is related to sets of accounts and customers, and each account may have some owners. The following fragment declares three signatures and three relations. In Bank’s declaration, the set qualifier specifies that accounts associates each element in Bank to a set of elements in Account. When we omit the keyword, we specify a total function.

sig Bank {

accounts: set Account, customers: set Customer

}

sig Customer {} sig Account {

owner: set Customer

}

All top level signatures, which do not extend other, are implicitly disjoint. For

instance, Account and Bank are disjoint. Moreover, accounts may be checking or savings. In Alloy, one signature can extend another, establishing that the extended signature is a subset of the parent signature. For instance, the values given to ChAcc form a subset of the values given to Account.

sig ChAcc, SavAcc extends Account {}

Signature extension introduces a subtype. Alloy supports single inheritance. The extensions of a signature are mutually disjoint. In this case, ChAcc and SavAcc are disjoint.

A fact is a kind of constraint paragraph. They are used to record formulae that always hold, such as invariants about the elements. The following example introduces a fact named BankConstraints, establishing general properties about the previously introduced signatures. It contains one formula stating that each account is related to exactly one customer by the owner relation. The all keyword represents the universal quantifier. The one keyword, when applied to an expression, denotes that the expression has exactly one element.

fact BankConstraints {

all acc:Account | one acc.owner

}

* 1. *Core Language*

In this section, we propose a core language for Alloy. Its definition is important for facilitating reasoning and proof of refactorings, as syntactic sugar constructs increase complexity and size of static semantics’ definitions. It is important to mention that since it is as expressive as Alloy, all results for the core language can be leveraged to the full language.

* + 1. *Imports*

We assume that each model consists of a single module. This constraint does not restrain the language’s expressiveness [[19](#_bookmark35)]. All signatures and relations that we wish to import must be declared in the same model. Each model may contain some signatures and formulae, as declared next.

model ::= (signature | formula)\*

* + 1. *Signature paragraphs*

Regarding *signatures*, we do not consider syntactic sugar constructs, such as abstract. An abstract signature partitions its subsignatures, so all elements of the abstract signature belong to exactly one of its direct subsignatures. This con- straint can be represented by a formula. Moreover, cardinality signature qualifiers,

such as one, lone and some, may also be represented in a formula. Each signa- ture has a name, may extend a signature, in addition to possibly declaring a set of relations. Next, we declare part of the grammar considered in the core language.

signature ::= *sig* sigName [*extends* sigName] {

(relName: *set* sigName,)\*

}

We only consider binary *relations*, and all of them must be declared with the set qualifier, as the other qualifiers (one (total function), some and lone (partial function)) are syntactic sugar. In this core language, we cannot declare the right type of a relation r with an expression exp. The right type is always the name of a signature. However, we can declare this constraint — the values given to the right type of r are a subset of the values given to exp — in a fact. Additionally, we consider that an Alloy model cannot declare two relations with the same name. It is important to mention that this constraint does not restrain expressiveness, since we can always rename a relation. Indeed, a model refactoring can be defined for renaming an Alloy relation from four (introduce/remove relation and formula) of our semantics-preserving model transformations proposed [[14](#_bookmark27)].

* + 1. *Constraint paragraphs*

In Alloy, we have three kinds of constraint paragraphs: facts, functions and pred- icates. Regarding *facts*, we do not consider names since they do not alter the semantics of the language. Facts attached to signatures are also syntactic sugar. Since facts are just a place to package formulae, we only consider its formulae. Our core language includes subset (in), equality, negation, conjunction and universal quantification *formulae*. The other kinds of formulae, such as existential quantifi- cation and disjunction, can be derived from those. Moreover, we consider binary (union (+), intersection (&), difference (-), join (.) and product (->)) and unary (transpose (~) and transitive closure (^)) *expressions*.

formula ::= expr *in* expr | expr = expr | *not* formula |

formula *and* formula | (*all* var: sigName | formula)

expr ::= sigName | relName | var | expr binop expr | unop expr binop ::= + | & | - | . | ->

unop ::= ~ | ^

sigName, relName, var ::= id

*Predicate* and *function* paragraphs are used to package formulae and expressions, respectively. We do not include them in our core language because they are actually syntactic, as explained elsewhere [[19](#_bookmark35)].

* + 1. *Analysis paragraphs*

Alloy has some other constructs for performing analysis, such as *assertions* and *commands* (run and check). Due to its exclusive use for performing analysis [[20](#_bookmark36)], they do not affect the meaning of a model. Therefore, we do not include in the core language. So, in our core language, an Alloy model may only contain signatures and facts.

All constraints mentioned before do not reduce the expressiveness of the lan- guage. Nevertheless, we have two assumptions in the considered language. Despite

Alloy’s limited support for integer expressions (addition and subtraction) [[19](#_bookmark35)], we do not consider them. Moreover, we only consider binary relations.

# Abstract Syntax

Before proposing a static semantics for Alloy, we must specify Alloy’s abstract syntax in PVS. It consists of introducing types, such as for models (Model) and signatures (Signature), and declaring relations, such as sigs, which represents all signatures of a model. In this section, we formalize the abstract syntax of the core language presented in Section [4.2](#_bookmark7). Hereafter in this section, when we refer to Alloy, we are referring to our core language.

An Alloy specification declares a set of signatures and formulae. The following fragment illustrates the definition of an Alloy model.

Model: TYPE

sigs: [Model -> set[Signature]] formulae: [Model -> set[Formula]]

The formulae relation represents all formulae declared in all facts in a module.

* 1. *Signatures and Relations*

Each signature has a name and a type. In addition, it may extend a signature and declare a set of relations. Next, we model in PVS Alloy’s signature paragraph. The property stating that Alloy supports single inheritance is formalized in Section [6](#_bookmark9).

Signature: TYPE

name: [Signature -> SigName] type: [Signature -> Type]

extends: [Signature -> set[SigName]] relations: [Signature -> set[Relation]]

The uninterpreted type Type represents a type in Alloy. In fact, we cannot use this name since it is a PVS keyword. Hereafter, when we use TYPE in capital letters, we refer to the PVS keyword. Otherwise, we refer to a type in Alloy. In Alloy, we have three kinds of names: signature, relation and variable names. The uninterpreted types representing them are declared next.

Name: TYPE

SigName: TYPE FROM Name RelName: TYPE FROM Name VarName: TYPE FROM Name

Besides declaring these types, we have declared axioms stating that signature, re- lation and variable names partition Name.

Relations (fields) have a name and a type. As explained before, we only deal with binary relations.

Relation: TYPE

name: [Relation -> RelName] type\_: [Relation -> Type]

For instance, in the banking system presented in Section [4.1](#_bookmark6), the accounts relation, which relates banks to a set of accounts, declared in the Bank signature, has the accounts name and the Bank->Account type.

* 1. *Expressions and Formulae*

In our core language, we consider ten kinds of expressions, which are specified next. We have expressions for signatures, relations and variable names. Moreover, there are five kinds of binary expressions representing the union, intersection, difference, join and product expressions. Finally, we have the transpose and closure unary expressions. In order to formalize them, we create a PVS abstract datatype [[28](#_bookmark44)].

Expression: DATATYPE BEGIN IMPORTING Names

VARIABLE(n: VarName): VARIABLE?: Expression SIGNAME(n: SigName): SIGNAME?: Expression RELNAME(n: RelName): RELNAME?: Expression UNION(l,r: Expression): UNION?: Expression

INTERSECTION(l,r: Expression): INTERSECTION?: Expression DIFFERENCE(l,r: Expression): DIFFERENCE?: Expression JOIN(l,r: Expression): JOIN?: Expression

PRODUCT(l,r: Expression): PRODUCT?: Expression TRANSPOSE(exp: Expression): TRANSPOSE?: Expression CLOSURE(exp: Expression): CLOSURE?: Expression

END Expression

A PVS datatype is specified by providing a set of *constructors*, *recognizers* and *accessors*. The previous datatype has some *constructors*, such as SIGNAME and UNION, which allow the expressions to be constructed. For instance, the expression SIGNAME(n) is an element of this datatype if n is a signature name. The UNION? and CLOSURE? *recognizers* are predicates over the Expression datatype that are true when their argument is constructed using the corresponding constructor. For instance, CLOSURE?(e) is true when e is a closure expression. Suppose that we have the UNION(e1,e2) union expression, where e1 and e2 are expressions. We can use the l and r *accessors* to access the left and right expressions. For exam- ple, the l(UNION(e1,e2)) expression yields the e1 expression. When a datatype is type checked, a new theory is created that provides the axioms and induction principles needed to ensure that the datatype is the initial algebra defined by the constructors [[28](#_bookmark44)].

In our core language, we have seven kinds of formulae. Besides formulae repre- senting true and false, there are negations, conjunctions, universal quantifications, subset and equality formulae. Similar to expressions, we create a PVS datatype for formulae.

Formula: DATATYPE BEGIN

IMPORTING Expression, Names TRUE: TRUE?: Formula FALSE: FALSE?: Formula

NOT(f: Formula): NOT?: Formula AND(l,r: Formula): AND?: Formula

FORALL(x:VarName, t:SigName, f:Formula): FORALL?: Formula SUBSET(l,r: Expression): SUBSET?: Formula

EQUAL(l,r: Expression): EQUAL?: Formula END Formula

For example, suppose in the banking system presented in Section [4.1](#_bookmark6) we have the all b:Bank | some b.accounts formula in Alloy stating that all banks have at least one account. The some keyword, when applied to an expression, defines a predicate stating that there is at least one element in the expression. Considering our formalization for representing universal quantification formulae, the variable name x of all b:Bank | some b.acc is b, its type t is Bank and the f formula is some b.accs. Notice that all universal quantification formulae are quantified over

signature names.

# Static Semantics

In this section, we propose a static semantics for Alloy which is not formally stated in previously proposed semantics [[19](#_bookmark35),[7](#_bookmark23)]. An Alloy model is well-formed (wellFormed), if its signatures and relations are well formed (wfSigRel), and its formulae are well-typed (wellTyped), as stated next in PVS.

wellFormed(m: Model): boolean = wfSigRel(m) *∧* wellTyped(m)

The boolean keyword denotes the boolean type. Hereafter, besides mixing some well-known mathematical symbols with PVS keywords and functions, we consider a few extensions to the original PVS language for improving readability.

There are some constraints that define a well-formed signature or relation in Alloy. Next, we declare the well-defined constraints for our core language. For instance, a signature can only extend another declared in the same mod- ule (extSigsFromModel) and an Alloy model cannot have two signatures with the same name (uniqueSigName). Moreover, a signature cannot extend itself direct or indirectly (noRecExtension) and may extend at most one signature (singleInheritance). Additionally, the left and right side types of a relation must be signature names declared in the same model (relType). Finally, as pre- viously mentioned, we add a constraint stating that we cannot have two relations with the same name (uniqueRelName) in a model. Next we formalize the wfSigRel predicate.

wfSigRel(m: Model): boolean =

extSigsFromModel(m) *∧* uniqueSigName(m) *∧* noRecExtension(m) *∧*

singleInheritance(m) *∧* relType(m) *∧* uniqueRelName(m)

Next we specify two of the previous predicates. The others are specified similarly. For instance, a signature must extend another signature declared in the same model, as stated in the following predicate.

extSigsFromModel(m: Model): boolean =

*∀* s:Signature, n:SigName:

sigs(m)(s) *∧* extends(s)(n) *⇒*

*∃* s1:Signature: sigs(m)(s1) *∧* name(s1)=n

Another example, the predicate establishing that there are no two signatures with the same name is declared next.

uniqueSigName(m: Model): boolean =

*∀* s1,s2:Signature:

sigs(m)(s1) *∧* sigs(m)(s2) *∧* name(s1)=name(s2) *⇒* (s1 = s2)

* 1. *Type System*

In this section, we specify in PVS when formulae are well-typed in the core language. A formal type system for object models has been proposed [[7](#_bookmark23)], which is extended for Alloy although not formalized. Firstly, we show an example that will be used in this section. Figure [3](#_bookmark10) describes an object model of a banking system, on which each bank is related to sets of accounts and customers, and each account may have

some owners. Moreover, accounts may be checking or savings.

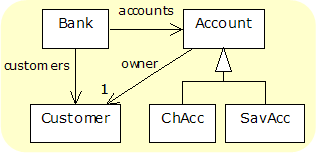


Fig. 3. Banking System Application

One kind of type error in Alloy is an *arity error* . It is reported when we attempt to apply an operator to an expression of the wrong arity, or to combine expressions of incompatible arities. For instance, the union of a signature name and a field, such as Bank+owner, is ill-typed since they have different arities. A *disjointness error* happens when two relations in an expression are combined in a way that always results in an empty relation. For example, the expression Bank & Account yields a disjointness error, since this intersection is always empty.

* + 1. *Well-typed formulae*

Next we describe when Alloy’s formulae are well-typed. The following PVS recursive function [[28](#_bookmark44)] states when a formula is well-typed in a model.

wellTyped(f: Formula,

m: { md:Model | wfSigRel(md) },

vars: set[Variable]): RECURSIVE boolean

The universally quantified variables of the formula f are represented by vars. Ini- tially, this set is empty. However, during the recursion, we remove all universal quantification variables founded in the formula and add to vars.

In Table [1](#_bookmark11), we formalize when formulae are well-typed in Alloy. The symbol Γ represents the context. The notation Γ *▶ f* means that the formula *f* is well- typed in Γ. We use in the following tables *f* and *g* denoting formulae and *p* and *q* representing expressions.

An equality formula e1 = e2 is well-typed when both expressions are well-typed and have the same arity. Moreover, the types of the expressions e1 and e2 are not disjoint. Next we formalize in PVS the last rule of Table [1](#_bookmark11). For instance, the formula Account = Customer is ill-typed since Account and Customer have disjoint types.

wellTypedExp(e1,m,vars) *Λ* wellTypedExp(e2,m,vars) *Λ*

arity(e1)=arity(e2) *Λ*

typeExpr(e1,m,vars) *∩* typeExpr(e2,m,vars) */*= *$*

The typeExpr relation yields all possible types of an expression. For example, the type of Account can be itself, ChAcc or SavAcc types. The constraints for subset formulae are the same for equality formulae. Negation and conjunction formulae are well-typed if their subformulae are well-typed.

A universal quantification formula *∀* x:T | f is well-typed when the subformula

f considering the variable x is well-typed. In nested quantifications, for simplicity,

*true*

Γ *▶* **true**

*false*

Γ *▶* **false**

Γ *▶ f*

Γ *▶* **not** *f*

Γ *▶ f,* Γ *▶ g*

Γ *▶ f* **and** *g*

*negation*

*conjunction*

Γ *▶ T, x ∈/ variables*(*f* )*,* Γ*,x* : *T ▶ f*

Γ *▶* **all** *x* : *T | f*

*forall*

Γ *▶ p,* Γ *▶ q, arity*(*p*) = *arity*(*q*)*,* Γ *▶ p* : *P,* Γ *▶ q* : *Q, P ∩ Q /*= *∅*

Γ *▶ p* **in** *q*

Γ *▶ p,* Γ *▶ q, arity*(*p*) = *arity*(*q*)*,* Γ *▶ p* : *P,* Γ *▶ q* : *Q, P ∩ Q /*= *∅*

*subset*

Γ *▶ p* = *q*

Table 1

Well-Typed Formulae

*equality*

we do not allow in our core language two variables with the same name, which is possible in Alloy. This does not restrain expressiveness since we can always rename a variable. Finally, the model m must declare a signature named T.

wellTyped(f,m,vars *∪* {x }) *Λ*

x *∈/* variables(f) *Λ*

*∃* s:sigs(m) | name(s)=T

The sigs and variables relations yield all signatures of a model and all variables used in a formula, respectively.

* + 1. *Well-typed expressions*

In Alloy, an expression is well-typed when it does not have arity (arityWT) and disjointness (disjointnessWT) errors, and all its names are declared in the model (nameWT), as described next.

wellTypedExp(e: Expression,

m: { md:Model | wfSigRel(md) }, vars: set[Variable]): boolean =

arityWT(e,m,vars) *Λ* nameWT(e,m,vars) *Λ* disjointnessWT(e,m,vars)

Hereafter, for simplicity, we call an expression without arity or disjointness errors as arity and disjointness well-typed expressions, respectively. In Table [2](#_bookmark12), we formalize when expressions are well-typed.

*Arity errors:* For example, a union expression e1+e2 is arity well-typed if each

subexpression is arity well-typed, and both subexpressions (e1 and e2) have the same arity, as formalized next.

arityWT(e1,m,vars) *Λ* arityWT(e2,m,vars) *Λ* arity(e1) = arity(e2)

For intersection and difference expressions, the constraints are the same. In case of join expressions, besides both subexpressions being arity well-typed, at least one of them must have an arity different than one. Since we are dealing with binary relations, product’s subexpressions must have arity one. The closure and transpose operations are only defined for expressions with arity two.

*Disjointness errors:* In case of disjointness errors, a difference expression e1-e2

is well-typed when e1 and e2 do not have disjoint types. There is no other restric- tion, except that each subexpression must be disjointness well-typed, as declared next.

typeExpr(e1,m,vars) *∩* typeExpr(e2,m,vars) */*= *$ Λ*

disjointnessWT(m,vars,e1) *Λ* disjointnessWT(m,vars,e2)

For instance, the expression Bank-Account is ill-typed since the types of Bank and Account are disjoint. These constraints are similar for intersection expres- sions. In Alloy, we can make a union of two expressions of different types, such as Bank+Account.

The same holds for product and transpose expressions. For join expressions e1.e2, at least the right type of e1 must be a super or subtype of the left type of e2. In case of closure expressions ^e1, at least the left and right types of e1 must have a subtype in common.

* + 1. *Type of expressions*

In order to avoid subtyping comparisons in Alloy’s type system, Alloy models are reduced to a *canonical form* [[7](#_bookmark23)], which is a subset of our core language but does not consider signature extensions. This canonical form eliminates each type that has a subtype in favour of *atomic types*. The atomic types are a fine-grained set of types that partition the same universe of objects. For every signature S that has a subtype, we create a *remainder type* named $S containing its direct instances that belong to no subtype. Since each atomic type created is disjoint, we eliminate subtype comparisons in favor of exact matching. For example, the canonical form of our banking system in Figure [3](#_bookmark10) contains a checking, savings and remainder accounts ($Account), hence eliminating the account’s parent signature. Thus $Account con- tains all accounts that are not checking or savings, hence it is disjoint from checking and savings accounts.

When eliminating subtype comparisons, each expression may have a set of pos- sible types. The following relation declares the types of an expression. Notice that models must have well-formed signatures and relations.

typeExpr(e: Expression,

m: { md:Model | wfSigRel(md) },

vars: set[Variable]): RECURSIVE set[Type]

In Table [3](#_bookmark13), we formalize the inference rules for calculating the expression types in Alloy.

For instance, in case a signature has a subtype, its type is the set of the subsig- nature types, united to the type of its remainder type. So, in the previous banking

*n* : *T ∈* Γ Γ *▶ n*

*name*

Γ *▶ p,* Γ *▶ q, arity*(*p*) = *arity*(*q*) Γ *▶ p* + *q*

*union*

Γ *▶ p,* Γ *▶ q, arity*(*p*) = *arity*(*q*)*,* Γ *▶ p* : *P,* Γ *▶ q* : *Q, P* & *Q /*= *∅*

Γ *▶ p* & *q*

Γ *▶ p,* Γ *▶ q, arity*(*p*) = *arity*(*q*)*,* Γ *▶ p* : *P,* Γ *▶ q* : *Q, P* & *Q /*= *∅*

Γ *▶ p — q*

*intersection*

*difference*

Γ *▶ p,* Γ *▶ q,* (*arity*(*p*) */*= 1 *V arity*(*q*) */*= 1)*,* Γ *▶ p* : *P,* Γ *▶ q* : *Q, P.Q /*= *∅*

Γ *▶ p.q*

Γ *▶ p,* Γ *▶ q, arity*(*p*) = 1*, arity*(*q*) = 1

*join*

Γ *▶ p* -> *q*

Γ *▶ p, arity*(*p*) = 2 Γ *▶* ~*p*

*transpose*

*product*

Γ *▶ p, arity*(*p*) = 2*,* Γ *▶ p* : *P,* ^*P /*= *∅*

Γ *▶* ^*p*

Table 2

Well-Typed Expressions

*closure*

system example, the type of Account is the union of SavAcc, ChAcc and $Account types, which is the remainder type. In case a signature, such as Bank, does not have a subsignature, its type is the singleton set with its name as unique element. The type of a relation name expression is the Cartesian product of its left and right types. For instance, owner’s type is the product of Account and Customer types.

For the other expressions, this simplification (canonical form) in the type system allows the use of relational operators in type calculation [[7](#_bookmark23)]. Thus the type of a union expression e1+e2 is the union of each subexpression’s type, as declared next.

typeExpr(e1,m,vars) *∪* typeExpr(e2,m,vars)

Another example, the type of a join expression is the join of each subexpression’s type. Moreover, the type of a product expression is the product of each subex- pression’s type. This general idea holds for all expressions in our core language, except for difference expressions, where the type of e1-e2 is e1’s type, and not the difference of each subexpression’s type.

Γ *▶ p* + *q,* Γ *▶ p* : *P,* Γ *▶ q* : *Q*

Γ *▶ p* + *q* : *P* + *Q*

Γ *▶ p* & *q,* Γ *▶ p* : *P,* Γ *▶ q* : *Q*

*union*

Γ *▶ p* & *q* : *P* & *Q*

Γ *▶ p — q,* Γ *▶ p* : *P*

Γ *▶ p — q* : *P*

*intersection*

*difference*

Γ *▶ p.q,* Γ *▶ p* : *P,* Γ *▶ q* : *Q*

Γ *▶ p.q* : *P.Q*

Γ *▶ p* -> *q,* Γ *▶ p* : *P,* Γ *▶ q* : *Q*

Γ *▶ p* -> *q* : *P* -> *Q*

Γ *▶ p,* Γ *▶ p* : *P*

*join*

*product*

Γ *▶* ~*p* : ~*P*

Γ *▶ p,* Γ *▶ p* : *P*

Γ *▶* ^*p* : ^*P*

Table 3

*transpose*

*closure*

Expression Types

* 1. *Discussion*

Besides arity and disjointness errors, Alloy presents an additional type error: the *irrelevance error* . This error is reported when an expression is redundant, usually within union expressions. For instance, the expression (ChAcc+Bank)&Account is ill-typed since Bank and Account types are disjoint. If we replace Bank by the empty relation, the entire expression’s meaning is not changed.

However, this typing rule implies that *equational reasoning* in Alloy may intro- duce type errors when using the Alloy Analyzer [[20](#_bookmark36)], which is a tool used to type check and perform analysis on Alloy models. For example, the following model shows part of a banking system stating that all accounts are checking or savings. Moreover, some (checking) account is related to some primary savings accounts. It is important to mention that in Alloy, differently from Java, a parent signature can refer to some of its subsignatures’ relations [[19](#_bookmark35)], such as in the last formula of the BankConstraints fact.

sig Account {}

sig ChAcc extends Account { primary: set SavAcc

}

sig SavAcc extends Account {} fact BankConstraints {

Account = ChAcc+SavAcc some Account.primary

}

The some keyword, when applied to an expression, denotes that the expression

yields at least one element. In the last constraint, replacing Account by the union of checking and savings accounts generates the following model, which has the same dynamic semantics as the previous one.

sig Account {}

sig ChAcc extends Account { primary: set SavAcc

}

sig SavAcc extends Account {} fact BankConstraints {

Account = ChAcc+SavAcc

some (ChAcc+SavAcc).primary

}

Although Account has the same type as ChAcc+SavAcc, this transformation in- troduces an irrelevance error since SavAcc and the domain of primary, which is ChAcc, types are disjoint. This shows that this kind of equational reasoning is unsound considering the Alloy Analyzer. We do not consider this kind of error because equational reasoning is important when we are doing refactorings. In our formalization, the resulting constraint is well-typed since the type of ChAcc+SavAcc and the left type of primary are not disjoint, all names are declared in the model and at least one expression (primary) has an arity two in the join. Moreover, both expressions (ChAcc and SavAcc) have the same arity in the union.

All type errors (arity, disjointness and irrelevance) reported in Alloy Analyzer are sound. However, reports regarding *ambiguous references* may generate a false alarm [[7](#_bookmark23)]. In Alloy, two disjoint signatures can declare relations with the same name. For example, in the following fragment describing some expressions in Alloy, notice that the subsignatures Bynary and Unary declare a relation (left) with a same name. Moreover, we have a formula stating that all unary expressions have exactly one left expression. The one keyword, when applied to an expression, denotes that the expression yields exactly one element. However, the Alloy Analyzer tool reports a false alarm stating that this formula has an unambiguous reference type error. The tool reports that it is not possible to know whether left is from Unary or Binary in the formula.

sig Expression {}

sig Bynary extends Expression { left: Expression

}

sig Unary extends Expression { left: Expression,

right: Expression

}

fact ExpConstraints {

all exp: Unary | one exp.left

}

Ambiguous reference report is not considered a type error in Alloy [[19](#_bookmark35)]. Since it is not considered a type error, our core language does not allow two relations with the same name, hence avoiding ambiguous reference reports.

In Alloy it is possible to have none expressions, which denote the empty set relation. It has the same type (empty) of some ill-typed expressions [[7](#_bookmark23)]. For exam- ple, considering the formula Account = none expressing that there is no account. Although Account and none have disjoint types, this formula is well-typed in Alloy Analyzer. Therefore, we need some additional cases in some parts of our formaliza- tion for dealing with none expressions. Since none is a syntactic sugar construct,

we do not consider it here, hence making our formalization more uniform.

# Model Refactorings

In this section we show the importance of the static semantics in proposing and proving model transformations for Alloy in PVS. We have proposed a set of primitive laws for Alloy, stating properties about signatures, relations, facts and formulae [[14](#_bookmark27)]. Each primitive law defines two fine-grained structural semantics-preserving model transformations. Although they define primitive and localized transformations, we can compose them in order to derive interesting coarse-grained transformations.

Next, we show a law that allows us to introduce a relation and its definition, which is a formula of the form *r* = *exp*, into a model (applying from left to right); similarly it can also be used to remove a relation from a model (applying from right to left). Each law defines two templates of equivalent models [[16](#_bookmark32)] on the left and the right side. This law establishes that we can always introduce a relation declared with a fresh name. It also indicates that we can remove a relation that is not being used.

**Law 1** *⟨*introduce relation and its definition*⟩*

|  |  |  |
| --- | --- | --- |
| *ps*  **sig** *S* { |  | *ps*  **sig** *S* { |
| *rs*  } |  | *rs,*  *r* : **set** *T* |
| **fact** *F* {  *forms* | =Σ*,v* | }  **fact** *F* { |
| } |  | *forms*  *r*=*exp* |
|  |  | } |

## provided

(*↔*) (1) if *r* belongs to Σ, *r* does not appear in *exp* and *v* contains the *r→exp* item;

(2) for all names in Σ that are not in the resulting model, *v* must have exactly one valid item for it;

(*→*) (1) *S*’s family in *ps* does not declare any relation named *r*; (2) *T* is a signature name declared in *ps* or is *S*; (3) *r* does not appear in *exp*, or *exp* is *r*; (4) *exp≤r* in the resulting model;

(*←*) *r* does not appear in *ps* and *forms*.

We used the meta-variables *ps*, *rs* and *forms* to denote a set of paragraphs, a set of relation declarations and a set of formulae, respectively. Each law defines two templates of equivalent models on the left and the right side. We write (*→*), before the condition, to indicate that this condition is required when applying this law from left to right. Similarly, we use (*←*) to indicate what is required when applying the law in the opposite direction, and we use (*↔*) to indicate that the condition is necessary in both directions.

The *≤* operator denotes the subtype relationship. This law can also be applied when the signature *S* extends a signature. Although this law has other conditions related to the dynamic semantics preservation, we focus on the conditions related to the static semantics preservation.

When introducing *r*, its name must not be previously declared in the family of *S* (all signatures that extend or are extended by it) in order to preserve uniqueRelName valid. It is important to mention that uniqueRelName is the only property of wfSigRel that is different in full Alloy from our core language. This property states that a model cannot have two relations with the same name, which is very restrictive. In full Alloy, two relations declared in disjoint signatures can have the same name. The conditions related to the relation names of laws for the core language may be relaxed when considering full Alloy.

Moreover, *T* must be a signature name declared in the model in order to preserve relType. The other constraints of wfSigRel (extSigsFromModel, uniqueSigName, noRecExtension and singleInheritance) are related to signatures. Since we do not change signatures, these properties are satisfied. When removing *r*, all prop- erties regarding signatures are valid because we do not change them. Moreover, removing elements that are not used do not cause name conflicts. Therefore, all properties of wfSigRel are satisfied when removing a relation.

The previous law has another condition stating that *exp* must be a subtype of *r*. The expression *exp* cannot be a super type of *r* since it may introduce an inconsistency in the model. Since their types are not disjoint (*exp≤r*), the equality formula is well-typed. It is implicitly assumed that *exp* is well-typed and has arity one. Since we do not introduce and change any other formula, and there is no new subtypes, all formulae are well-typed when introducing and removing a relation.

Although these transformations are simple and localized, it is important to for- mally prove that they are sound. After formalizing a static semantics for Alloy, now we are able to state both transformations defined by Law [1](#_bookmark15) in PVS and verify whether they preserve the static semantics. Similarly, we have to prove that the dynamic semantics is also preserved, as described elsewhere [[15](#_bookmark28)]. So, each model transformation must transform a well-formed model into another well-formed model. Next, we show how to prove in PVS that the introduction of a relation preserves the static semantics (applying Law [1](#_bookmark15) from left to right). First, we describe the syntax of the template models in the transformation, as stated next. We consider that m1 and m2 represent the left and right side models of the law, respectively. In the previous law, the two models have the same formulae, except for *r* = *exp* (for readability, g is the surrogate for *r* = *exp*). There are two signatures (s1 and s2) named *S*, one on each side of the law. They are equivalent except that one of them declares a relation *r*. In general, we map each construction in the law to each

corresponding element in semantics.

syntaxIntRel(m1,m2:Model, s1,s2:Signature, r:Relation): bool = formulae(m2)= formulae(m1)*∪*{g} *Λ*

name(s1)=name(s2) *Λ* extends(s1)=extends(s2) *Λ*

relations(s2) = relations(s1)*∪*{r} *Λ* sigs(m1)(s1) ...

Each refactoring includes a number of enabling conditions for ensuring that it

preserves semantics. Next we declare a function describing some of the conditions for introducing a relation, as established in Law [1](#_bookmark15), stating that there exists a signature named T in m1. The right function denotes the right type of a relation.

condIntRel(m1,m2:Model, s1,s2:Signature, r:Relation): bool =

*∃* s:sigs(m1) | name(s) = right(r) ...

Since we are dealing with fine-grained transformations that have syntactic con- ditions, the previous two functions are easily specified. Now we are able to state the theorem in order to prove that the introduction of a relation preserves static semantics. So, we have to prove that a transformation takes a well-formed model to another well-formed model, as declared next.

staticSemanticsIntRel: THEOREM

*∀* m1,m2:Model, s1,s2:Signature, r:Relation:

syntaxIntRel(..) *Λ* condIntRel(..) *Λ*

wellFormed(m1) *⇒* wellFormed(m2)

Following a similar approach, we can prove that removing a relation and other model transformations preserves the static semantics.

An additional purpose of fine-grained laws is their possible composition, in order to derive model refactorings, such as one for pulling up a relation (applying from left to right) and pushing down a relation (applying from right to left), as described next. The following refactoring presents one proviso when we push down a relation *r*, stating that there is no expression using *r* with a type that is subtype of *T* but not subtype of *S*. Since we are decreasing its type, we have to make sure that the transformation does not introduce a type error, such as in the transformation depicted in Figure [1](#_bookmark2). When pulling up a relation, we have to make sure that it does not introduce name conflicts.

**Refactoring 1** *⟨*pull up relation*⟩*

=Σ*,v*

*ps*

**sig** *T* {

*rs,*

*r* : **set** *U*

}

**sig** *S* **extends** *T* {

*rs'*

}

**fact** *F* {

*forms*

**no** (*T — S*)*.r*

}

*ps*

**sig** *T* {

*rs*

}

**sig** *S* **extends** *T* {

*rs',*

*r* : **set** *U*

}

**fact** *F* {

*forms*

}

## provided

(*→*) *T* ’s family in *ps* does not declare any relation named *r*;

(*←*) *exp.r*, where *exp≤T* and *exp/≤S*, does not appear in *ps* or *forms* or any valid item in *v*.

The variable *exp* denotes any expression. This derived refactoring can also be applied when *T* extends a signature. Since both transformations have the same signatures and relations, preserving the hierarchy, this law preserves all properties of wfSigRel. Considering full Alloy, uniqueRelName has to make sure that pulling up a relation does not introduce name conflicts. As the law just changes *r*’s type when we decrease its type (applying from right to left), we have a proviso in all formulae containing it. The other formulae are well-typed.

* 1. *Discussion*

So far, we have proposed a set of 21 primitive laws for Alloy. All of them are proven sound in PVS. Although they define small transformations, we can compose them and derive interesting coarse-grained transformations, such as Refactoring [1](#_bookmark16). All refactorings derived using these laws are also type safe and do not need to be proven in PVS. Therefore, we do not need to prove that the two transformations defined by Refactoring [1](#_bookmark16) preserve the static semantics.

Proving some laws in PVS shows us the importance of defining fine-grained transformations. In our opinion, coarse-grained transformations would be far more difficult to prove. The PVS prover helps us by performing several proofs or part of those lemmas automatically. In order to do that, experience with PVS is needed, for deciding when to apply the appropriate proof command.

This proof experience in PVS is very important not only to understand the reasons for enabling conditions, but also for proposing conditions to other model refactorings, which is a difficult task. Proving that a transformation preserves the static semantics increases the knowledge about incorrect transformations. More- over, during the proofs we detected some problems in our initial static semantics specification. For example, the type of all binary expressions is the type of each expression applied to its binary operator. For instance, the type of exp1+exp2 is the union of each subexpression’s type. We followed this approach in the difference of two expressions. However, this is not correct. During the proofs in PVS, we realized that the type of exp1-exp2 is the type of exp1.

# Related Work

Our work is linked to the body of research related to Alloy’s semantics and type sys- tem, model and program refactorings. This section details some of these approaches, relating to the proposed solution.

* 1. *Semantics*

Related work proposes a formal dynamic semantics for a subset of our core lan- guage [[7](#_bookmark23),[18](#_bookmark34)]. The authors take into consideration the same expressions and formulae as ours, but, in contrast, they consider signatures as a syntactic sugar construct for sets. Moreover, there is no signature extension in their language. Our core language contains all elements of their language. They do not formally state when an Alloy

model is well-formed. In one of the approaches [[7](#_bookmark23)], authors proposed a type sys- tem for object models, which an extended version is used in Alloy. They formalize the type inference rules for object models but they do not show all rules for Alloy. They do not have mechanized Alloy’s semantics, differently from our work. Using our laws, we can reduce our core language to theirs language [[7](#_bookmark23)].

A related approach defines a formal semantics for a previous version of Al- loy [[13](#_bookmark29),[12](#_bookmark30),[11](#_bookmark31)], where there is no notion of subtyping. A subsignature has the same type of its parent signature. They follow a similar approach of the previous work, considering signatures as syntactic sugar; hence they do not show when an Alloy model is well-formed. Moreover, they have encoded it in PVS. Their language is very similar to our core language. Differently from our work, they extend Alloy to include other constructs for expressing dynamic properties, and propose a complete calculus for this extension by translating relational logic to the equational calculus of fork algebras [[10](#_bookmark26)].

* 1. *Model Refactorings*

Banerjee et al. [[2](#_bookmark17)] propose a set of primitive transformations for object-oriented database schemas. These schemas can be represented by a subset of UML class dia- grams. They propose a static semantics for schemas and informally argue that their transformations preserve it. They have transformations for adding and removing signatures, relations, inheritance, methods. Some of these transformations do not preserve dynamic semantics. Moreover, they argue that any kind of schema evo- lution transformation can be derived using their set of transformations. We have proposed similar transformations, but we focus on semantics-preserving transfor- mations. Moreover, our language has formulae differently from their work. It is much more difficult to remove an element (signature or relation) considering formu- lae. Additionally, we have encoded all transformations in PVS and proved using its prover.

Similarly to the previous work, other approaches [[30](#_bookmark46),[32](#_bookmark48)] propose a set of database schema transformations, such as adding, removing and renaming elements (classes and relations) [[24](#_bookmark40),[1](#_bookmark18)]. They informally argued that each one preserves a set of invari- ants that can be seen as the static semantics. All of them informally describe the conditions required by each transformation. We have proposed similar semantics- preserving transformations.

Suny´e et al. [[33](#_bookmark49)] present a set of class diagrams refactorings for adding, remov- ing and moving features. Enabling conditions are informally presented, but some of them are not feasible in practice to be implemented in a tool. Gogolla and Richters [[17](#_bookmark33)] show some transformations for class diagrams and OCL constraints. Both approaches do not propose a formal semantics for class diagrams. Also they do not guarantee the static semantics preservation. So, OCL constraints can become

easily ill-typed by applying some transformations. Some of the transformations pro- posed do not preserve semantics, such as the *Replace Inheritance with Delegation* refactoring, as shown in Section [2](#_bookmark1).

Lano and Bicarregui [[23](#_bookmark39)] present a semantics for some class diagrams, and a

set of transformations for structural and behavioral diagrams. They propose some structural refactorings, such as *Extract Interface*. Moreover, they do not precisely state the enabling conditions. Some class diagram transformations consider OCL constraints. Evans [[8](#_bookmark24)] proposes deductive transformations for a subset of UML class diagrams. A semantics is proposed for a subset of UML class diagrams. He proposes five transformations, such as the *Pull Up Attribute* refactoring. However, some transformations do not preserve the static semantics in some situations, as shown in Section [2](#_bookmark1). These transformations can easily introduce type errors when considering OCL constraints.

Another work [[26](#_bookmark42)] presents some refactoring rules for UML class diagrams an- notated with OCL constraints. They describe how the OCL constraints have to be refactored to preserve their syntactical correctness. However, they do not formally prove that they preserve semantics. We can easily verify that some of their trans- formations do not preserve semantics. No transformation has been proved to be type safe, which may be dangerous in some situations, as shown in Section [2](#_bookmark1).

Bergstein [[3](#_bookmark19),[4](#_bookmark20)] proposes five primitive *object-preserving* class transformations.

One transformation is very similar to our transformation defined by Refactoring [1](#_bookmark16). His work does not prove that the transformations preserve the static semantics. The conditions for each transformation are not precisely defined as presented in our work. Moreover, since it is a simple language, Bergstein considers pushing down or pulling up a relation as a primitive transformation, differently from our approach.

McComb [[27](#_bookmark43)] investigates refactorings for Object-Z models. He proposes three refactoring rules and show that they are complete in the sense that any Object-Z specification that does not have unbounded recursive constructs, any design may be derived, which represents a refinement of the original specification. He informally describes the enabling conditions of each refactoring rule and does not prove the static semantics preservation.

*8.3 Program Refactorings*

A work on program refactorings proposes a set of program refactorings for a subset of sequential Java [[5](#_bookmark22)]. A set of primitive laws is also defined, and proved that they are behavior preserving based on weakest preconditions semantics [[5](#_bookmark22)]. However, they did not formally prove that each transformation preserves the static semantics of the language. We believe that our approach can be similarly used for proving their laws.

A closely related approach was developed by Tip et al. [[34](#_bookmark50)]. They realized that some enabling conditions and modifications to source code for refactorings involv- ing generalization in Java, for automation in Eclipse [[6](#_bookmark21)], depend on relationships between types of variables. These type constraints enable the tool to selectively perform transformations on source code, avoiding type errors that would otherwise prohibit the overall application of the refactoring. They manually proved that these refactorings preserves the type system of the language. In our approach, we can prove that any model transformation preserves static semantics, not only dealing with generalization.

A more practical work proposes refactorings [[9](#_bookmark25)] for Java programs. The author guarantees that two programs have the same behavior if the resulting program compiles and does not present failures in a test suite. The compilation is necessary in order to guarantee that it preserves the static semantics and the test suite makes sure that the behavior is preserved.

# Conclusions

In this paper, we formalize a static semantics for Alloy in PVS. Moreover, we use PVS to specify some model transformations for Alloy, and prove them with respect to the static semantics proposed using PVS’s theorem prover. Additionally, we show the importance of dealing with fine-grained transformations. This approach can be used to make more reliable and cost effective model refactoring tools.

One of the most difficult tasks for proposing refactorings is to define the enabling conditions. This is the most important part for refactoring tools developers. They rely on these conditions to automate refactorings. We can waste a great amount of time trying to prove something that cannot be accomplished. The experience of proving some laws in PVS shows us that it becomes easier (dealing with fine- grained transformations) to identify which conditions are necessary for a specific transformation.

So far, we have proposed and proved, with respect to the static and dynamic semantics of Alloy, 14 semantics-preserving transformations in PVS, such as laws for introducing a signature, generalization, formula and subsignature. As a future work, we intend to propose more laws, and compose them to derive more refactorings.

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