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ORIGINAL ARTICLE

An empirical study on SAJQ (Sorting Algorithm for Join Queries)

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Abstract Most queries that applied on database management systems (DBMS) depend heavily on the performance of the used sorting algorithm. In addition to have an efficient sorting algorithm, as a pri- mary feature, stability of such algorithms is a major feature that is needed in performing DBMS queries. In this paper, we study a new Sorting Algorithm for Join Queries (SAJQ) that has both advantages of being efficient and stable. The proposed algorithm takes the advantage of using the *m*-way-merge algo- rithm in enhancing its time complexity. SAJQ performs the sorting operation in a time complexity of *O*(*n* log *m*), where *n* is the length of the input array and *m* is number of sub-arrays used in sorting. An unsorted input array of length *n* is arranged into *m* sorted sub-arrays. The *m*-way-merge algorithm merges the sorted *m* sub-arrays into the final output sorted array. The proposed algorithm keeps the stability of the keys intact. An analytical proof has been conducted to prove that, in the worst case, the proposed algorithm has a complexity of *O*(*n* log *m*). Also, a set of experiments has been performed to investigate the performance of the proposed algorithm. The experimental results have shown that the proposed algorithm outperforms *otherStable–Sorting algorithms that are designed for join-based queries.*

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KEYWORDS

Sorting; Stable–Sorting;

Sort-merge algorithms; Auxiliary storage sorting; Merging;

Database queries

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1. Introduction

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In database management systems (DBMS), sorting operation

is considered as an integral component in most performed que- ries [[1–3]](#_bookmark4). Usually, sorting operations have a great impact on the system performance and space needed [[4–6]](#_bookmark5). In general, the performance of DBMS queries depends on performance of the sorting algorithm. Also, most DBMS queries require sorting with stable results [[4,7–9]](#_bookmark5), where stable sorting pre- serves the order of those records with same key values. In other words, if the sorted file has two records with the same key value, the outcome of the sorting algorithm will have the two records in the same order, although their positions relative to other records may change [[2,10,11]](#_bookmark6). Stability of a sorting algorithm is a property of the algorithm, not of the compari-

son mechanism [[7,8,12,13]](#_bookmark7). For instance, Quick Sorting algo- rithm is not stable while Merge Sort algorithm is stable.

Internal memory sorting algorithms are only concerned with applying the sorting mechanism on chunks of data that can fit in main memory. Usually, external memory sorting algorithms use internal memory sorting to fulfill part of the sorting procedure. They typically perform two phases [[7,14–16]](#_bookmark7). In the first phase, a set ordered sub-files is produced. The produced sub-files are processed to produce a totally ordered output data file. Merge-Based Sorting algorithms constitute an important exter- nal memory sorting algorithms class, where input data is parti- tioned into data chunks of approximately equal size, sorts these data chunks in main memory and writes the sorted chunks into disk. The sorted chunks are retrieved into main memory, merged then the sorted output is written into disk.

External memory sorting performance is often limited by I/O performance [[9,17,18]](#_bookmark7). Disk I/O bandwidth is significantly lower than main memory bandwidth. It is important to mini- mize the amount of data written to or read from disks. Even with the recent advances in main memory technology, still very large files do not fit in RAM. In such case, data files must be sorted in at least two passes. In each pass, disk blocks are read and written to the disk. CPU-based sorting algorithms incur significant cache misses on data sets that do not fit in the data caches [[18,19]](#_bookmark8). Therefore, it is not efficient to sort partitions comparable to the size of main memory [[16,20,21]](#_bookmark8). These result in a tradeoff between disk I/O performance and CPU compu- tation time spent in sorting the partitions. In merge-based external sorting algorithms, the time spent in Phase 1 can be reduced by choosing run sizes comparable to the CPU cache sizes. However, this choice increases the time spent in Phase 2 to merge a large number of small runs.

In this paper, a new Sorting Algorithm for Join Queries (SAJQ) [[22]](#_bookmark8) is studied. SAJQ is categorized as a Stable–Sort- ing algorithm that reduces the number of comparisons, ele- ment moves and the required auxiliary storage will be presented. Our proposed algorithm partitions the input data into data chunks that are already sorted, and then writes these runs to disk. The sorted runs are merged in main memory and written back into disk. In internal sorting, the proposed algo- rithm has three performance measures, element comparisons, element moves, and auxiliary storage used. SAJQ operates with an average *m* log *m* element comparisons, *m* log *m* element moves, and exactly *n* auxiliary storage, where *n* is the size of the input array to be sorted, and *m* is the average size of sub-arrays. No auxiliary arithmetic operations with indices will be needed. The proposed algorithm has been tested in extensive performance studies. Experimental results have shown that when the proposed algorithm is adapted to sort externally, it accelerates the computation of equi-join and non-equi-join queries in database systems. Also, it accelerates numerical statistic queries on data streams. The data sets used in the performance studies are databases consisting of up to one million values.

In the following sections, the SAJQ algorithm, and the computational requirement analysis will be presented in de- tails. The SAJQ algorithm and the proof of its stability are pre- sented in Section 2, and the *m*-way-merge algorithm is discussed in Section 3. The analysis of time complexity and the analysis of the external version of the SAJQ algorithm are discussed in Section 4. Experimental results are presented in Section 5. Section 6 concludes the paper.

1. The SAJQ Sorting Algorithm

Several issues should be considered to guarantee stability. One of those issues concerns with the mechanism of placing ele- ments in corresponding segments, where the input array has to be scanned in sequential order from element *a*1 to element *an*. In order to determine where to place a specific element in a specific segment, that element should be placed in the first segment that meets the condition of being greater than or equal to the last element in that sub-array. In the merging pro-

cess, the *m*-way-merge [[23]](#_bookmark8) operation, that produces the output list, should select values from segments r1, r2,.. . , r*m* in prefer- ence according to the segment order. This will be discussed fur- ther in the following section.

The main idea of the proposed algorithm is to divide the elements *ai*, *i* = 1, ..., *n* of the input array *A* with length *n* into some disjoint segments r0, r1,..., r*m*, of equal lengths *l*; *l* = *n*/

*m*. All elements in segments r*i*’s, *i* = 1, ..., *m*, are sorted

according to key *k*. The algorithm starts with empty segments r0, r1,..., r*m*, i.e., for each segment r*i*, *i* = 1, .. . , *m*, the pointer to its last element *lasti* = 0. *ai* is compared to the last element of the not full segments r*j*, *j* = 1, ..., *m*. *ai* should fall in one of the following two cases:

* *ai* P r*j*(*lastj*), where *j* = 1, ..., *m*: *ai* is appended to r*j*.
* *ai* < r*j*(*lastj*) for all *j* with *lastj* „ *l*: insert *ai* in r*temp*.

If the temporary segment r*temp* is full, then the *m* segments

are *m*-way-merged, the 2-way-merged with the temporary seg- ment r*temp*. Assume the number of sorted elements is *L*. The

*L* elements are divided orderly on the first *S* segments; *S* =

; such that the first *S* — 1 segments are full. The first *S* — 1

*L*

*l*

segments will not be included in the subsequent inserts. The

algorithm will consider only those buffers from *S* to *m*.

In the merging process, we should consider the segments or- der. When two segments r*k*1 and, r*k*2 , *k*1 < *k*2 are merged and if there exists two elements *ai*1 in r*k*1 and *ai*2 in r*k*2 , such that

*ai*2 = *ai*2 , then in the merged segment, *ai*2 is placed before *ai*2 .

In the following example, a sorting problem is performed on

an input array. In the first phase, the input array is portioned into two sorted sub-arrays A and B. The second phase merges the elements of A and B into the output array.

In the following two examples, we depict the best case and worst cases of using the SAJQ technique.

*Example 1 (best case)*: Assume the following input array *X*, *n* = 14,

*Input array X*

1

6

2

7

2

9

11

5

15

17

6

7

15

17

By using the SAJQ technique, with *m* = 2, the two sorted sub-arrays are built as follows:

* The first element ‘‘1’’ of *X* is placed in sub-array *A*.
* The second element ‘‘6’’ is compared with the last element in *A*; i.e., ‘‘1’’, ‘‘6’’>=‘‘1’’, then ‘‘6’’ is inserted after ‘‘1’’.
* The third element ‘‘2’’ is compared with the last element in

*A*; i.e., ‘‘6’’, ‘‘2’’ < ‘‘6’’, then compared with the last ele-

ment in *B*; i.e., ‘‘null’’. Element ‘‘2’’ is placed in sub-array *B*.

* The fourth element ‘‘7’’ is compared with the last element in

*A*; i.e., ‘‘6’’, ‘‘7’’ > ‘‘6’’, then ‘‘7’’ is inserted after ‘‘6’’.

* The procedure is repeated for all elements in *X*. The sorted sub- arrays *A* and *B*, with elements position in *X*, are given below.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *Position in X* | *A* | *Position in X* | *B* | *Position in X* | *Temp* |
| 1 | 1 | 3 | 2 |  | – |
| 2 | 6 | 6 | 2 |  |  |
| 4 | 7 | 9 | 5 |  |  |
| 7 | 9 | 12 | 6 |  |  |
| 8 | 11 | 13 | 7 |  |  |
| 10 | 15 | 14 | 15 |  |  |
| 11 | 17 | 15 | 17 |  |  |

The final sorted array is obtained by using an *m*-way merg- ing algorithm, as given below.

|  |  |
| --- | --- |
|  | *Output* |
| 1 from *A* | 1 |
| 1 from *B* | 2 |
| 2 from *B* | 2 |
| 3 From *B* | 5 |
| 2 from *A* | 6 |
| 4 from *B* | 6 |
| 3 from *A* | 7 |
| 5 from *B* | 7 |
| 4 from *A* | 9 |
| 5 from *A* | 11 |
| 6 from *A* | 15 |
| 6 from *B* | 15 |
| 7 from *A* | 17 |
| 7 from *B* | 17 |

*Example 1 (worst case)*: Assume the following input array

*X*, *n* = 14,

By using the SAJQ technique, with *m* = 2, the two sorted sub-arrays are built as follows:

1. The first element ‘‘17’’ of *X* is placed in sub-array *A*.
2. The second element ‘‘16’’ is compared with the last element in *A*; i.e., ‘‘17’’, ‘‘16’’ < ‘‘17’’, then compared with the last element in *B*; i.e., ‘‘null’’. Element ‘‘16’’ is placed in sub-array *B*.
3. The third element ‘‘15’’ is compared with the last element in *A*; i.e., ‘‘17’’, ‘‘15’’ < ‘‘17’’, then compared with the last element in *B*; i.e., ‘‘16’’, ‘‘15’’ < ‘‘16’’, then compared with the last element in temp; i.e., ‘‘null’’. Element ‘‘15’’ is placed in sub-array temp.
4. The fourth element ‘‘14’’ is compared with the last element in *A*; i.e., ‘‘17’’, ‘‘14’’ < ‘‘17’’, then compared with the last element in *B*; i.e., ‘‘16’’, ‘‘14’’ < ’’16’’, then compared with the last element

in temp; i.e., ‘‘15’’, ‘‘14’’ < ’’15’’. Now, we should merge the three arrays *A*, *B* and temp, and put the result in *A*.

1. The fourth element ‘‘14’’ is compared with the last element in *A*; i.e., ‘‘17’’, ‘‘16’’< ‘‘17’’, then compared with the last element in *B*; i.e., ‘‘null’’. Element ‘‘14’’ is placed in sub-array *B*.
2. The fifth element ‘‘11’’ is compared with the last element in *A*; i.e., ‘‘17’’, ‘‘11’’ < ‘‘17’’, then ‘‘11’’ is compared with the last element in *B*; i.e., ‘‘14’’, ‘‘11’’ < ‘‘14’’, then compared with the last element in temp; i.e., ‘‘null’’. Element ‘‘11’’ is placed in sub-array temp.
3. The procedure is repeated for all elements in *X* until sub-array *A* is full. In this case *m* is decreased by 1, and sub-array *B* is used as the first sub-array.
4. The procedure continues until the remaining elements in *X* are placed in *B*.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *Steps* 1–3 *Step* 4 *Steps* 5–7 | | | | | | | | | | | | | |
| *A* |  | *B* |  | *Temp* |  | *A* | *B* | *Temp* |  | *A* |  | *B* | *Temp* |
| 1 17 | 2 | 16 | 3 | 15 | 3 | 15 | – | – | 3 | 15 | 4 | 14 | 11 |
|  |  |  |  |  | 2 | 16 |  |  | 2 | 16 |  |  |  |
|  |  |  |  |  | ) *1* | *17* |  |  | ) 1 | 17 |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| *Position in X* | *A* | *Position in X* | *B* |
| 7 | 8 | 14 | 1 |
| 6 | 9 | 13 | 2 |
| 5 | 11 | 12 | 3 |
| 4 | 14 | 11 | 4 |
| 3 | 15 | 10 | 5 |
| 2 | 16 | 9 | 6 |
| 1 | 17 | 8 | 7 |

The final sorted array is obtained by using an *m*-way merg- ing algorithm, as given below.

|  |  |  |  |
| --- | --- | --- | --- |
| *Input array X* |  |  | *Output* |
| 17 |  | 1 from *A* | 1 |
| 16 |  | 1 from *B* | 2 |
| 15 |  | 2 from *B* | 3 |
| 14 |  | 3 From *B* | 4 |
| 11 |  | 2 from *A* | 5 |
| 9 |  | 4 from *B* | 6 |
| 8 |  | 3 from *A* | 7 |
| 7 |  | 5 from *B* | 8 |
| 6 |  | 4 from *A* | 9 |
| 5 |  | 5 from *A* | 11 |
| 4 |  | 6 from *A* | 14 |
| 3 |  | 6 from *B* | 15 |
| 2 |  | 7 from *A* | 16 |
| 1 |  | 7 from *B* | 17 |

The SAJQ algorithm is given below.

Algorithm SAJQ

{

// The first part of the proposed algorithm is the stable formation of segments. An additional *m* sub-arrays r*j*, and *lastj* = 0, *j* = 1, .. . , *m* are required.//

*i* = 1; // *i* is set to the first element of the input array// *b* = 1; // *b* is the starting buffer to append items to// *do while* (*i* 6 *n*)

{

STEP1: Read element *ai* from the input array; STEP2:

*j* = *b*; *append* = 0;

*do until* (*append* =1 *or j* > *m*)

{*if ai* P r*j*(*lastj*) *and lastj* „ *l* // *subarray* r*j* is not full, and

*ai* P last-element in r*j*;

*then {lastj* + =1; r*j*(*lastj*)= *ai*; //append *ai* to r*j*;

*append* = 1;}

*else j*++;

}

*if* (*append* = 1)

*then {append* = 0; *i*++; *goto* STEP1;};

*else {insert ai in its right position in* r*temp*, *lasttemp* + 1;

*i*++; // *all* sub-arrays r*j*

are not suitable for insertion (either full or *ai* < last-ele- ment in r*j*);

*if lasttemp* > l *then*

{(*m* — *b* + 1)-way-merge segments r*j*’s, *j* = *b*,..., *m*; 2-way-merge the results with segment r*temp*;

*L is the total number of elements in the merged buffers; Do while L* > *l*

{*Fill buffer b with l items*;

*L* = *L* — *l b* = *b* + 1;}

*Fill buffer b with L items*; *goto* STEP1;}

}

*m*-way-merge segments r*j*’s, *i* = 1, .. . , *m* into final output array;

}

1. The merging

In this section, we discuss the *m*-way-merge algorithm. 2-Way-merge is discussed first to show complexity measures and stability issues of the algorithm. The discussion is then gen- eralized to the proposed more general case of *m*-way-merge.

Merging is the process whereby two sorted lists of elements are combined to create a single sorted list. Originally, most sorting was done on large database systems using a technique known as merging. Merging is used because it easily allows a compromise between expensive internal Random Access Mem- ory (RAM) and external magnetic mediums where the cost of RAM is an important factor. Originally, merging is an external method for combining two or more sorted lists to create a sin- gle sorted list on an external medium.

The most natural way to merge two lists *A* with *n*1 sorted elements and *B* with *n*2 sorted elements is to compare the val- ues of the two lists starting from the lowest (smallest) locations in both lists and then output the element with the smaller va- lue. The next two smallest values are compared in a similar manner and the element with smaller value is placed in the out- put array. This process is repeated until one of the lists is ex-

hausted. Such merging mechanism requires *n*1 + *n*2 — 1 comparisons and *n*1 + *n*2 data moves to create a sorted list

of *n*1 + *n*2 elements. The order in which same values in lists *A* and *B* are placed in the output array favors those elements coming from *A* rather than from *B*. Merging in this manner is easily made stable. In addition, stability is guaranteed regardless of the nature of the input permutation.

A merge algorithm is stable if it always leaves sequences of equal data values in the same order at the end of merging.

If the merge algorithm is merging two lists such that it keeps the indices of a sequence of equal values from a given list in sorted order, i.e., they are kept in the same order as they were before the merge as illustrated in the example 1, the merge algorithm is said to be stable. Otherwise, the algorithm is said to be unstable.

Stability is defined such that values from *A* are always given preference whenever a tie occurs between values in *A* and *B*, and that the indices of equal set of values are kept in sorted or- der at the end of the merge operation. The following lemma states that the Stable–Sorting algorithm is stable.

Lemma 1. *Let A be an array with n elements. The Stable sort algorithm guarantees that the resulted sorted array is stable.*

Proof 1. The algorithm consists of a series of two phase epi- sodes. The first phase of each episode produces a set of sorted sub-arrays, while the second phase merges the sorted sub- arrays. The elements of the input array are placed in sub- arrays in an ordered fashion. Two elements with same value are placed either in the same sub-array, where the element comes first in the input array is placed first in that sub-array. If the two elements are placed in two different sub-arrays, then the sub-array that contains the first element should have lower order than the other sub-array that contains the second ele- ment. The *m*-way-merge technique merges the sorted sub- arrays in order to produce the sorted array. Before each of the followed episodes, the sorted elements are placed in order in the *m* sub-arrays. In the followed episodes, the two phases are identical to those of the first episode. h

The proposed *m*-way-merge operation can be implemented as a series of 2-way-merge operations with preserving stability requirements, or can be merge *m* lists in the same time. The 2-way-merge operation can be generalized for *m* sorted lists, where *m* is some positive integer >2. For keeping track of the next smallest value in each list during the merge operation, *m* pointers will be needed. The number of comparisons needed for merging *m* sorted lists, each consisting of *l* data items is *O*(*n* log2(*m*)), where *n* = *ml*.

1. Performance analysis
   1. *Time complexity*

Scanning the elements of the input array of *n* elements and di- vide it into *m* sorted sub-arrays is done in linear time, except when the temporary array reaches its maximum length. The best case, when the temporary array is not reaching its maxi- mum length, needs *O*(*n*) comparison and *O*(*n*) moves. The maximum time required for doing the sorting process, and

keeping the stability required, needs *O*(*n* log *m*) comparison and *O*(*n*) moves. In the worst case, each of the empty buffers will hold only one element, and the algorithm may have to in- sert elements to the temporary array until it gets full. The pro- cess is repeated until all elements are inserted. Below, we prove that the Stable–Sorting algorithm has a time complexity of *O*(*n* log *m*), where *m* could be much smaller than *n*.

Assume *n* = *mr*, for some integer *r* > 0, if *r* = 1, which means number of buffers *m* equals to number of records *n*, the proposed approach could have, in the worst case, *O*(*n* log *n*) time complexity. If the number of buffers is decreased, by increasing *r*, we may get less cost but to a certain limit. If

*r* → ∞; (*m* → 1; *l* → *n*) the proposed approach could have

*O*(*n* log *n*) cost. From [Figs.](#_bookmark1) [2–4](#_bookmark1), we conclude that the best value

for *r* is 4.

*Best case*:

<= *n* + *n* log(*m*)+ *l* log(l) ⇒ *O*(*n* log(*m*))

*Worst case*:

1 :*m* getting *m* elements into *m* buffers

:*l* log(*l*) getting *l* elements (sorted) into temp buffer

:*m* log(*m*) *m*-way-merge of *m* buffers

:(*m* + *l*) merge with temp buffer

:(*m* + *l*) placing *l* elements in buffer 1 and m elements in buffer 2

:Total = *m* + *l* log(l) + *m* log(m) + 2 (*m* + *l*)

*r* → ∞; (*m* → 1, *l* → *n*) the proposed approach could have

*O*(*n* log *n*) cost.

In order to optimize the results of the inequality (1), with respect to *m*, we can either optimize the problem analytically by finding its global minimum, or run the inequality equation against some possible values of *m*. Because of the great com- plexity of the inequality equation, we have chosen the later solution. For three different values of *n*; *n* = 10,000, 100,000, and 1,000,000, [Figs. 1–3](#_bookmark0), respectively, have shown that out technique is almost optimum when m chosen to be 4.

In general in iteration *i*

Figure 1 Run time with *n* = 10.000 tuples.

2

Total cost 6 *m*(*m* + *l* + *l* log *l* — 2)+ *m*(*m*+1) (2(*m* — 1)+

P

*i*=1

*m*

*i* :*m* — *i* getting *m* — *i* into (*m* — *i*) buffers

:*l* log(*l*) getting *l* elements (sorted) into temp buffer

: <(*im* — *i*)log(*m*) (*m* — *i*)-way-merge of *m* — *i* buffers <*im* log (*m* — *i*)

: (*im* — *i* + *l*) merge with temp buffer

(*im* — *i* + *l*) placing *l* elements in buffer *m* — *i* and *im* — *x*(*i*) elements in buffer *i*

: Total = (*m* — *i*)+ *l* log(*l*)+ (*im* — *i*)/2 log(*m*)+ 2(*im* — *i* + *l*)

The process will be repeated *m* times

Total cost 6 (*m* — *i*)+ *l* log(*l*)+ (*im* — *i*) log(*m*)+

2(*im* — *i* + *l*) or

2

*In case that n = m3, then l = m2*

2 2

6 *n* + *m*3 + *m*2 — 3*m* + *n* log *l* + *m*3 —*m* log *m*

(*m* — 1 log *m* — 1))

Figure 2 Run time with *n* = 100.000 tuples.

4 — *m* log *m*

Total cost is *O*(*n* log *m*)

In general, if *n* = *mr*, *l* = *mr*—1

2 2

3

*n*

4 2

1

2

1 3

Total cost 6 *n* + *n* + *n* — *m* + 3*n* log *m*+

2

Total cost is *O*(*n* log *m*)

if *n* = *m*4, *l* = *m*3

3 1

1 (*n* — *m*) log *m*

2

3

2 2

1 3

Total cost 6 2*n* + *n* — *m* + 2*n* log *m*+

3 1

Total cost 6 *n* + *nr*

2

+

3

*nr* —

3

2 *m* + (*r* — 1)*n* log *m*

1 3

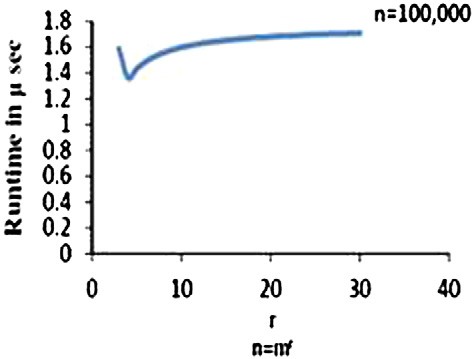
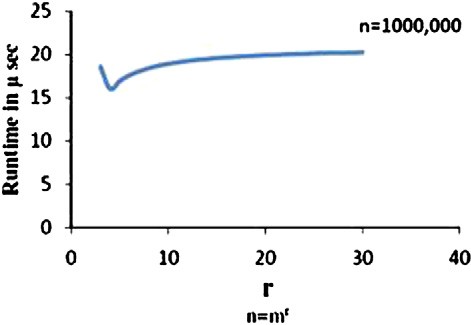
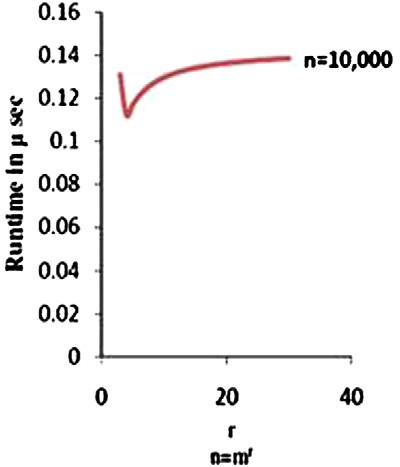
*nr* — *m*

log *m*

(1)

2

If *r* = 1; *m* = *n*, the proposed approach could have *O*(*n* log *n*) cost. If we decrease the number of buffers, by increasing r, we



equation, (*r* — 1)*n*log(*m*), will increase the total cost. If may get less cost but to a certain limit. Part of the total cost

Figure 3 Run time with *n* = 1000.000 tuples.

* 1. *Space complexity*

There is extra auxiliary storage equal to an array of the same size as the input array. Clever implementation of the Stable– Sorting algorithm can decrease the required auxiliary storage. There are no indices or any other hidden data structures re- quired for the execution of the algorithm. Auxiliary storage need for the proposed algorithm is *O*(*n*).

* 1. *External sorting version of the proposed algorithm*

External memory sorting algorithms reorganize large datasets. They typically perform two phases. The first phase produces a set of files; the second phase processes these files to produce a totally ordered permutation of the input data file. An impor- tant external memory sorting algorithms is Merge-Based Sort- ing where input data is partitioned into data chunks of approximately equal size, sorts these data chunks in main memory and writes the ‘‘runs’’ back to disk. The second phase merges the runs in main memory and writes the sorted output to the disk. Our proposed algorithm partitions the input data into equal sized data chunks. Each chunk is completely sorted in memory, using the same technique described in Section 2. It starts by placing chunk *A* with length *n* elements into memory. For each chunk *A*, the algorithm starts with empty segments

r1, r2,..., r*m*, then in the same way described in the Stable–

Sorting algorithm, elements *ai*, *i* = 1, .. . , *n* of chunk *A* are di- vided into some disjoint sorted segments r1, r2,.. . , r*m*, of equal lengths *l*; *l* = *n*/*m*, and merged back then stored in disk.

The process is repeated for all chunks *A*’s in file *f*. In, the sec- ond phase, the sorted chunks A’s are retrieved and merged back to disk.

External memory sorting performance is often limited by I/ O performance. Disk I/O bandwidth is significantly lower than main memory bandwidth. Therefore, it is important to mini- mize the amount of data written to and read from disks. Large files can not fit in RAM. In the proposed implementation, the input file is sorted in two passes. Each pass reads and writes to the disk.

In this paper, the SAJQ algorithm is adapted to perform external sorting using run sizes comparable to the available RAM size to minimize time required for the second part of the algorithm which is the final merging operation. This is done by assuming the input array to be sorted is stored in a file on the hard disk called *f*. *f* is much larger than available space in main memory. The main memory available is *g* bytes. We divide the space available in main memory into two partitions, *g*1 and *g*2; *g* = *g*1 + *g*2. The first *g*1 bytes of file *f* are copied into the first partition. The other *g*2 bytes of the main memory are divided into *m* + 1 blocks each has length *l; g*2 = (*m* + 1)*l* and *g*1 = *ml*. We perform the proposed algorithm on the por- tion of *f* in main memory. The *g*1 bytes of the sorted output will be stored back in file *f* in place of its first *g*1 bytes. Then we repeat the same procedure on the next *g*1 bytes from file *f* until the whole file is exhausted. The second part is to *m*- way-merge the sorted *g*1 chunks of file *f*. The merge procedure is done externally.

1. Experimental results

Two classes of performance studies have been carried on our proposed algorithm. In the first class of experiments, we have used the SAJQ algorithm as an internal sorter, where its per- formance has been compared to the performance of other

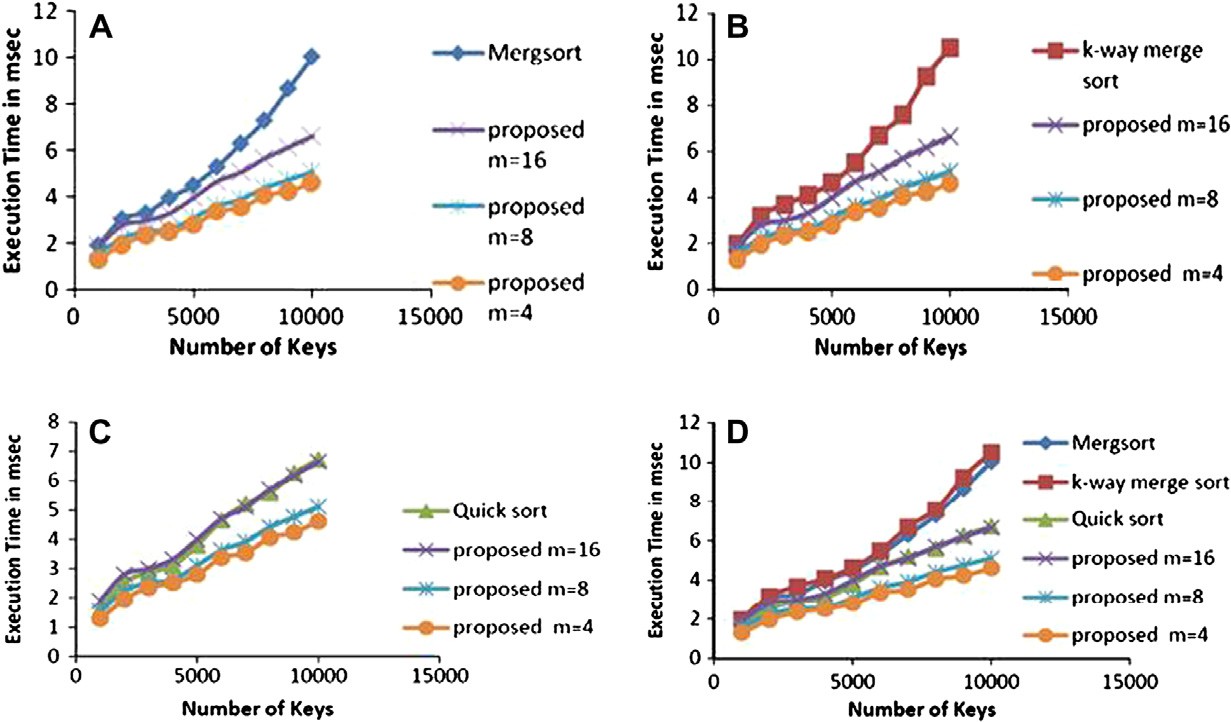
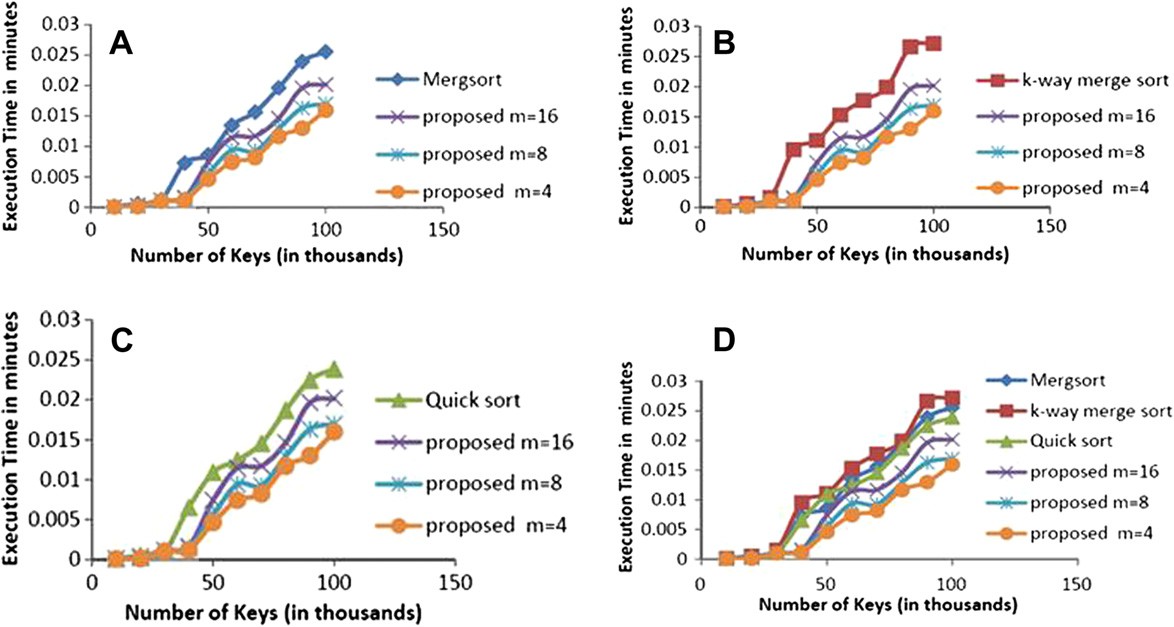


Figure 4 The proposed external SAJQ algorithm against mergesort, k-way mergesort, and quicksort algorithms, and a comparison of various sorting algorithms. (A) SAJQ algorithm against mergsort algorithm. (B) SAJQ algorithm against k-way mergesort algorithm. (C) SAJQ algorithm against Quick Sort algorithm. (D) SAJQ algorithm against all three Sorting algorithms.

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Figure 5 The proposed external SAJQ algorithm against mergesort, k-way mergesort, and quicksort algorithms, and a comparison of various sorting algorithms. (A) SAJQ algorithm against mergsort algorithm. (B) SAJQ algorithm against k-way sort algorithm. (C) SAJQ algorithm against Quick Sort algorithm. (D) SAJQ algorithm against all three Sorting algorithms.

well-known internal sorting algorithms. Those algorithms in- clude the Merge-Sort, the k-way mergesort and the Quick Sort algorithms. The SAJQ algorithm was tested with three differ- ent values of *m*; 4, 8, and 16. Synthetic data has been used in the experimental study, where the number of generated keys was varied between 1000 and 10,000 keys, each key is 20 char- acters long. The performance improvement obtained by using our proposed algorithm with *m* = 4, against mergesort ([Fig. 4](#_bookmark2)a) is gaining on average a 45% speedup over mergesort optimized CPU implementation. Using our proposed algo- rithm with *m* = 4, against k-way mergesort ([Fig. 4](#_bookmark2)b) is gaining on average a 40% speedup over k-way mergesort optimized CPU implementation. The performance improvement ob- tained by using our proposed algorithm with *m* = 4, against Quick Sort ([Fig. 4](#_bookmark2)c) is gaining on average a 22% speedup over Quick Sort optimized CPU implementation, and finally, we de- pict in [Fig. 4](#_bookmark2)d the running times of sorting algorithms in mil- liseconds for a number of keys to be sorted ranging from 1000 to 10,000 keys each key is 20 characters long.



In the second class of experiments, we have used the SAJQ algorithm as an external sorter, where its performance has been compared to the performance of the external version of the Merge-Sort, the k-way mergesort and the Quick Sort algo- rithms. The SAJQ algorithm was tested with three different values of *m*; 4, 8, and 16. Synthetic data has been used in the experimental study, where the number of generated keys was varied between 10,000 and 100,000 keys, each key is 20 charac- ters long. The performance improvement obtained by using our proposed algorithm with *m* = 4, against mergesort ([Fig. 5](#_bookmark3)a) is gaining on average a 33% speedup over mergesort optimized CPU implementation. Using our proposed algo- rithm with *m* = 4, against k-way mergesort ([Fig. 5](#_bookmark3)b) is gaining on average a 27% speedup over k-way mergesort optimized CPU implementation. The performance improvement ob- tained by using our proposed algorithm with *m* = 4, against

Quick Sort ([Fig. 5](#_bookmark3)c) is gaining on average a 18% speedup over Quick Sort optimized CPU implementation, and finally, we de- pict in [Fig. 5](#_bookmark3)d the running times of sorting algorithms in mil- liseconds for a number of keys to be sorted ranging from 10,000 to 100,000 keys each key is 20 characters long.

1. Conclusions

In this paper, the SAJQ algorithm was presented. This algo- rithm is Stable–Sorting algorithm with *O*(*n* log *m*), compari- sons where *m* is much smaller than *n*, and *O*(*n*) moves and *O*(*n*) auxiliary storage. Comparison of the new proposed algo- rithm and some well-known algorithms has proven that the new algorithm outperforms the other algorithms. Further, there is no auxiliary arithmetic operations with indices re- quired. We have improved the performance of join operations by applying our fast sorting algorithm and compared its per- formance against other optimized nested join algorithms. Our algorithm also has low bandwidth requirements.

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