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The Effects of Effects on Constructivism

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Abstract

It is commonly understood that Countable Choice holds constructively due to the underlying computa- tional nature of constructivism. However, in this paper we demonstrate that invoking different notions of computation result in radically different behaviors regarding Countable Choice. In particular, we illustrate that, although *deterministic* computation guarantees Countable Choice, *non-*deterministic computation can negate Countable Choice. We then further show that using *stateful* computation can restore Countable Choice even in the presence of non-determinism. This finding suggests that much of the modern discourse of constructivism assumes a deterministic underlying computational system, despite non-determinism being a fundamental aspect of modern-day computation.

*Keywords:* constructivism, effects, countable choice, non-determinism, stateful computation

# Introduction

As software has grown increasingly critical to our society’s infrastructure, mechan- ically verified software has grown increasingly important, feasible, and prevalent. Proof assistants such as [Coq](https://coq.inria.fr/) [[5](#_bookmark34)], [Agda](http://wiki.portal.chalmers.se/agda/) [[8](#_bookmark38)], [Twelf](http://twelf.org/), and [Nuprl](http://www.nuprl.org/) [[1,](#_bookmark31) [14](#_bookmark44)] are some of the most popular tools for mechanical verification. Each of these proof assistants sits on top of a computational system that embodies the mathematical philosophy of constructivism “under which an object exists only if we can construct it” [[9](#_bookmark39)]. In proof assistants, construction is done through programs via a tight correspondence to proofs often referred to as the proofs-as-programs paradigm [[2](#_bookmark32)].

This paradigm, most famously exhibited by the BHK interpretation, grounds constructivism in computation [[43](#_bookmark69)]. Yet there are many different notions of com- putation. While Turing machines and the *λ*-calculus crosscut these notions, even they have some subtlety. For example, an algorithm is required to terminate on all inputs, but the definition of termination varies in non-classical settings. One definition gives Markov’s Principle [[28](#_bookmark58)], where an iterative computation terminates if it cannot proceed indefinitely; another definition gives Bar Induction [[12](#_bookmark42)], where a recursive computation terminates if every possible recursion path encounters a

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base case; and there are yet more definitions. Thus it is well known that some constructive principles depend on the specifics of what one considers to entail com- putation [[9](#_bookmark39)].

Nonetheless, some principles are often considered to transcend such details about computation, meaning their verity or falsity is independent of the particular notion of computation employed. A notable example is Countable Choice (CC), which is widely accepted amongst contructivists [[7,](#_bookmark37) [9,](#_bookmark39) [25,](#_bookmark55) [32,](#_bookmark62) [33,](#_bookmark63) [36,](#_bookmark66) [44](#_bookmark70)], though not uni- versally [[37](#_bookmark67)–[41](#_bookmark68)]. Put simply, CC states that any total relation from the natural numbers has a corresponding function exhibiting the totality of that relation. It has been shown that CC holds for any model of type theory standardly constructed from any model of the *λ*-calculus—more specifically from any partial combinatory algebra [[15,](#_bookmark45) [17,](#_bookmark46) [47](#_bookmark74)]—and as such is independent of factors such as a particular def- inition of termination. In essence, the computation realizing the proof of totality itself describes the desired choice function. This example is particularly important to constructivism because CC is often relied upon to achieve what classically would be done through the Law of Excluded Middle (LEM). For example, without CC and LEM the types (not to be confused with setoids) of (modulated) Cauchy re- als are not necessarily Cauchy complete, nor are they necessarily equivalent to the Dedekind reals [[27](#_bookmark57)]. CC unifies the most common constructive formulations of the reals, namely the Cauchy, modulated Cauchy, and Dedekind reals [[10](#_bookmark40)].

However, in this paper we illustrate that the standard justification for CC makes an implicit assumption about computation, one that underlies much of the discourse of constructivism. That assumption is that the computation used to construct an object (in order to show it exists) is *deterministic*. Indeed, the computational systems underlying every major proof assistant are all deterministic. While some systems such as Coq rely on canonicalization to achieve decidable type-checking, even undecidable extensional systems like Nuprl have coincidentally all made their computational systems deterministic. That is, while there is significant research in constructively *modeling* and reasoning *about* non-deterministic computation, non- deterministic computation has not itself been *directly* incorporated into the compu- tational systems underlying proof assistants.

We show how non-deterministic computation can be soundly incorporated into these computational systems. In fact, the standard computational models of type theory trivially extend to non-deterministic computation—none of the definitions or proofs for these models were truly utilizing the deterministic assumption. However, the same is not true for principles that were derived from these standard models. For example, we show that adding even a modicum of non-determinism, in the form of a possibilistic coin flip, not only makes CC no longer hold in the model, it in fact makes the *negation* of CC hold. This formally supports Schuster’s philosoph- ical concern that CC would be incompatible with non-deterministic extensions to constructivism [[41](#_bookmark68)].

Non-determinism is just one example of *effectful* computation. Thus, we also consider the impact of *stateful* computation on constructive models. We show that, in addition to still forming a consistent model of type theory, stateful computation

can be used to restore CC *even in the presence of non-determinism*. This is because state can be used to memoize [[29](#_bookmark59)] computations. Thus CC can be implemented by memoizing the computation contained in the proof of totality. This suggests that constructive systems wanting to directly support (rather than just model) probabilistic or non-deterministically parallel algorithms should also support some form of state as well in order to be consistent with CC and the unification of the reals.

# Background

While our discussion will be focused on constructive *type* theory, we want our find- ings to be relevant to *set* theory as well, and so we must discuss type theories with appropriate expressivenes. Since we will be building multiple such type theories to evaluate the various impacts of effects, to focus on the computational aspects of these theories we rely on known tools to generate the boilerplate. To this end we provide background on topos theory and tripos theory corresponding to models of type/set theory and higher-order logic.

* 1. *From Set Theory to Topos Theory*

In order to be comparable to common set theories, a type theory needs to exhibit certain important properties. One is that proofs must be irrelevant but not erased, meaning proofs can be used in computations so long as the result of the computation does not depend on the specifics of the proof. This enables functions to correspond to total and determined relations. Another is extensionality of entailment, i.e. that equality on predicates is extensional. This enables the correspondence between functions and total, determined relations to be bijective. Lastly, propositions must be impredicative, meaning there is a type/set (not just universe) of propositions, denoted Ω. This enables the construction of powersets.

Altogether these requirements place us in the setting of (elementary) *topos* the- ory [[23](#_bookmark53)]. Toposes are well established to form models of both set theory and exten- sional dependent type theories with impredicative propositions [[34](#_bookmark64)]. More specif- ically, since we here focus on *Countable* Choice, we work within the context of *W* -topos theory, i.e. toposes with a natural-number object modeling the natural numbers.

* 1. *From Tripos Theory to Topos Theory*

We will be particularly interested in toposes constructed from *triposes* [[19,](#_bookmark47) [35](#_bookmark65)]. A tripos is a model of higher-order logic whose type theory is modeled by sets and functions. [1](#_bookmark1) Higher-order logic has a simple type theory—unit, pairs, and

1 A tripos is actually a model of higher-order *dependent* predicate logic whose *dependent* type theory is modeled by sets and functions, but the additional dependent structure is irrelevant for our purposes. We use triposes solely in order to construct toposes, and the process for doing so applies to any model of higher-order (simple) logic over any (simple) type theory [[20](#_bookmark48), Corollary 6.1.7].

functions—and a predicate logic formed by *T*, *⊥*, conjunction, disjunction, impli- cation, equality, and universal and existential quantification. Importantly, the type theory also includes a type, Ω, of propositions, whose terms correspond to proposi- tions in the predicate logic. Thus, higher-order logic provides a means of abstractly reasoning about relations, including impredicative quantification over propositions. Given a tripos modeling higher-order logic (or more generally a higher-order fibration [[20](#_bookmark48), Definition 5.3.1] [2](#_bookmark2) ), one can construct a topos via the “tripos-to-topos construction” [[19](#_bookmark47)]. Whereas the tripos models a simple type theory, the resulting topos is well established to model a dependent type theory. And whereas the tripos might not model extensionality of entailment, the resulting topos necessarily will.

Thus the tripos-to-topos construction enables us to work in a simpler setting, with the more complex constructions being automatically generated for us.

Since this construction is standard, we only review the key components. First, an object in the constructed topos is given by a pair *⟨I, ≈I⟩* of a type *I* and a partial- equivalence relation *≈I* on *I×I* in the tripos. Second, a morphism in the constructed topos from *⟨I, ≈I⟩* to *⟨J, ≈J ⟩* is a relation *R* on *I × J* that respects *≈I* and *≈J* in the tripos. This relation must be total, meaning *∀i* : *I. i ≈I i ⊃ ∃j* : *J. i R j* holds in the tripos, and determined, meaning *∀i* : *I, j, jj* : *J. i R j ∧ i R jj ⊃ j ≈J jj* holds in the tripos. Furthermore, two morphisms are considered equal if their relations are equivalent in the tripos, effectively baking in extensionality of entailment.

* 1. *Realizability Toposes and Triposes*

The tripos-to-topos construction is often used for building realizability models [[24,](#_bookmark54) [47](#_bookmark74)] of (extensional, impredicative) dependent type theory. In particular, a *real- izability topos* is a topos that is constructed from a *realizability tripos*, where a realizability tripos is a tripos that is constructed from a partial combinatory alge- bra (of codes) through a process we discuss in Section [3.2](#_bookmark8). The key intuition is that a predicate on a set *I* specifies for each element *i* of *I* which codes (if any) “realize” that the predicate holds for *i*. This means that an object in the resulting realizability topos is a set *I* (from the metatheory) along with a relation *i ≈I ij* specifying which codes (if any) are considered to realize that *i* and *ij* are equal. A common example takes *I* to be set of natural numbers N and takes *n ≈*N *nj* to be realized solely by the Church encoding of *n* when *n* and *nj* are equal, and by nothing otherwise. Thus objects in a realizability topos conceptually specify a set *I* along with a computational interpretation of equality on *I*.

Another example is the object representing the powerset of natural numbers. For this object the set *I* is the set of predicates on N *in the tripos*. The predi- cate *≈I* states that a code realizes that two predicates *φ* and *ψ* are equivalent if it can convert any realizer of *φ*(*n*) into the Church encoding of *n* and it can convert any realizer of *φ*(*n*) into a realizer of *ψ*(*n*) and vice versa. Thus two predicates are con-

2 There is an error in this definition due to a change in terminology across works [[21](#_bookmark51)]. The definition should only require a *weak* generic object. This is relevant and evident because realizability triposes have a *strict* generic object, which can only be shown to be weak generic objects. Non-weak generic objects furthermore model extensionality of entailment.

sidered equivalent if they are *computationally* strict, i.e. there is a computation that can extract the natural number for which the realizer holds, and *computationally* equivalent, i.e. there is a computation that can convert between the realizers.

Note that *≈I* is *not* reflexive since there may not be a way to computationally realize that a predicate is strict. Hence, the predicate *i ≈I i* is often called the “ex- istence predicate” for *i* as it indicates that *i* “exists”. The definition of morphisms is designed so that they conceptually need only handle elements that exist according to this existence predicate.

* 1. *Relating Topos and Tripos Models of Higher-Order Logic*

A topos has an internal model of higher-order logic given by its subobjects, i.e. sub- sets [[20](#_bookmark48), Corollary 5.4.9]. When a topos is constructed from a tripos, the inter- nal model of the topos is closely related to the associated tripos. In particular, (equivalence classes of) subobjects of *⟨I, ≈I⟩* in the topos bijectively correspond to (equivalence classes of) predicates on *I* that are strict with respect to *≈I* in the tripos [[20](#_bookmark48), Proposition 6.1.6(ii)]. As such, the interpretations of many propositional connectives, like conjunction, coincide in the two models.

However, there are some differences between these models. For example, the quantification *∀i* : *⟨I, ≈I⟩. φ*(*i*) in the topos corresponds to the quantification *∀i* :

*I. i ≈I i ⊃ φ*(*i*) in the tripos, and similarly *∃i* : *⟨I, ≈I⟩. φ*(*i*) in the topos corresponds to *∃i* : *I. i ≈I i ∧ φ*(*i*) in the tripos [[20](#_bookmark48), Proposition 6.1.6(iii)]. That is, whenever the topos quantifies over an element *i* : *⟨I, ≈I⟩*, the translation of that quantifi- cation in the tripos quantifies over an element of *i* : *I and insists that i “exists”*,

i.e. *i ≈I i*. This step in the translation is particularly important for realizability toposes since it means that proofs of *∀i* : *⟨I, ≈I⟩. φ*(*i*) can have access to a realizer that *i* “computationally exists”, i.e. *i ≈I i*, and that proofs of *∃i* : *⟨I, ≈I⟩. φ*(*i*) must provide a realizer that *i* “computationally exists”.

* 1. *Countable Choice in a Tripos*

CC has an internal and an external definition in topos theory that correspond to internal and external CC in set theory [[23,](#_bookmark53) [45](#_bookmark71)]. In this paper we discuss *internal* CC because we are concerned about whether it can be used within the theory.

**Definition 2.1 (Internal CC for Topos)** *CC holds internally in a W-topos when the following holds in its internal model of higher-order logic for all objects τ:*

*∀R* : N *× τ →* Ω*.* (*∀n* : N*.∃t* : *τ. n R t*) *⊃ ∃f* : N *→ τ.∀n* : N*. n R f* (*n*)

In this paper we focus on toposes constructed from triposes, so we focus on this definition’s counterpart in tripos theory.

**Definition 2.2 (Internal CC for Tripos)** *CC holds internally in a tripos when the following holds in its internal model of higher-order logic for all sets I:*

*∀R* : N *× I →* Ω*.* Tot(*R*) *⊃ ∃S* : N *× I →* Ω*.* Tot(*S*) *∧ S ⊆ R ∧* Det(*S*)

*where* Tot(*R*) *∀n* : N*.* 𝕟*n ⊃ ∃i* : *I. n R i*

𝕟*n ∀φ* : N *→* Ω*. φ*(0) *∧* (*∀nj* : N*. φ*(*nj*) *⊃ φ*(*nj*+1)) *⊃ φ*(*n*) [3](#_bookmark6)

*S ⊆ R ∀n* : N*,i* : *I. n S i ⊃ n R i*

Det(*S*) *∀n* : N*, i, ij* : *I. n S i ∧ n S ij ⊃ i* =*I ij*

**Lemma 2.3** *A W-topos constructed from a tripos internally models CC iff the tripos internally models CC.*

**Proof** In the case where *τ* is of the form *⟨I,* =*I⟩*, this follows easily from interpret- ing Definition [2.1](#_bookmark3) in the model of strict predicates of the tripos, which is equiv- alent to the internal model of the topos constructed from that tripos [[20](#_bookmark48), Propo- sition 6.1.6(ii)]. For *τ* of the form *⟨I, ≈I⟩*, the *S* given by Definition [2.1](#_bookmark3) only re- spects =*I* , so one then defines *n Sj i* as *∃ij* : *I. ij ≈I i ∧ n S ij* to get the appropriate relation that furthermore respects *≈I* . *2*

In the sequel we construct three triposes each based on a different notion of computation with respect to which effects are directly incorporated into the com- putational model. Using Lemma [2.3](#_bookmark5), we demonstrate that varying one’s notion of computation wildly affects the validity of CC in the resulting constructive type theory.

In order to avoid digressing into low-level details or metatheoretic concerns, we take ZFC [[46](#_bookmark72)] as our prevailing metatheory, though we do make a point to note where this particular choice of metatheory is relevant. All of the following lemmas and theorems have been mechanically verified, with more care taken towards metatheoretic concerns, so we refer readers interested in those details to the Coq proofs [[13](#_bookmark43)] or appendices.

# Constructivism and Determinism

Realizability is at the heart of constructivism as it captures the notion of extracting (computable) content from proofs. In turn, partial combinatory algebras [[15,](#_bookmark45) [17]](#_bookmark46) are at the heart of realizability as they formalize the key components of compu- tation that serve the proofs-as-programs correspondence. Indeed, a topos is called a realizability topos if it can be derived through a standard construction from a partial combinatory algebra. Due to the properties of this construction and of par- tial combinatory algebras, every realizability topos models CC [[47](#_bookmark74)], supporting the common understanding that CC holds constructively [[7,](#_bookmark37)[9,](#_bookmark39)[25,](#_bookmark55)[32,](#_bookmark62)[33,](#_bookmark63)[36,](#_bookmark66)[44](#_bookmark70)]. Next we review partial combinatory algebras and the relevant standard constructions, and we illustrate why CC follows from these foundations of constructivism.

3 𝕟 is designed so that defining *n ≈*N *n′* as *n* =N *n′ Λ* 𝕟*n* makes *⟨*N*, ≈*N*⟩* a natural-number object in the constructed topos.

* 1. *Partial Combinatory Algebras*

Put simply, a computation accepts inputs and produces outputs. These inputs and outputs can themselves describe computation, i.e. computations are also data. A combinatory algebra formalizes this view, which is critical to developing Turing- complete systems like the *λ*-calculus, via a set of codes and an ability to apply codes to one another to produce outputs. However, another important aspect of Turing-completeness is that computations may not always manage to actually pro- duce an output, i.e. terminate. A *partial* combinatory algebra incorporates this by permitting application of codes to be partial.

Partial combinatory algebras are formalized in two steps. The first introduces the concepts of codes and application of codes—known as a *partial applicative struc- ture*. The second step then ensures that the partial applicative structure has the necessary expressiveness for modeling computational systems like the *λ*-calculus.

**Definition 3.1 (Partial Applicative Structure)** *A partial applicative structure is a set C of “codes” c and a* partial *binary “application” operator · on C. We use cf · ca ↓ cr to denote cr being the (successful) result of the application cf · ca.*

Given a partial applicative structure, one can consider application “expressions” such as (*c*1 *·*(*c*2 *· c*3)) *·*(*c*4 *· c*5). A partial combinatory algebra is a partial applicative structure that is “functionally complete”, meaning there is a way to encode such expressions with *n* free variables as individual codes accepting *n* arguments through applications.

*e* ::= *i ∈* N *| c ∈ C | e · e*

*En* = *{e |* all *i*s in *e* are *< n}*

*c ↓ c*

*ef ↓ cf ea ↓ ca cf · ca ↓ cr*

|  |  |
| --- | --- |
| *e* | *e*[*ca*] |
| 0 | *ca* |
| *i* +1 | *i* |
| *c* | *c* |
| *ef · ea* | *ef* [*ca*] *· ea*[*ca*] |

*ef · ea ↓ cr*

**Definition 3.2 (Partial Combinatory Algebra)** *A partial combinatory algebra (PCA) is a partial applicative structure with an assignment of every expression e ∈ En*+1 *to a code cλn.e ∈ C that conceptually embodies the λ-calculus term binding the n* +1 *free variables in e, as formalized by the following requirements:*

*∀n.∀e ∈ En*+2*.∀ca. cλn*+1*.e · ca ↓ cλn.e*[*ca*] *∀e ∈ E*1*.∀ca, cr. cλ*0*.e · ca ↓ cr ⇐⇒*

*e*[*ca*] *↓ cr*

Perhaps the more standard definition of PCAs is as partial applicative structures with *S* and *K combinators* satisfying certain behaviors [[47](#_bookmark74)]. These combinators are simply encodings of particular expressions that are sufficient to ensure that all ex- pressions can be encoded. In our formalization, the *S* and *K* combinators are simply the codes *cλ*2*.*(0 *·* 2) *·*(1 *·* 2) and *cλ*1*.*0 modeling the *λ*-calculus terms *λx.λy.λz.* (*x z*) (*y z*) and *λx.λy.x*, respectively. Similarly, one can define a code *cλ* that Church-encodes

*n*

the natural number *n*:

*cλ* = *cλ*1*.*1 *cλ*

= *cλ*1*.*0 *·*((*cλ ·* 0) *·* 1)

0 *n*+1 *n*

* 1. *Modeling Higher-Order Logic with PCAs*

Given a PCA one can construct its corresponding realizability tripos via a standard construction [[47](#_bookmark74)]. The core intuition behind a realizability tripos is that a predicate on a set *I* specifies for each element *i* which codes from the PCA serve as *realizers* that the predicate holds for *i*, and that one predicate *φ* entails another *ψ* when there is a *uniform* code that converts all the realizers of *φi*, i.e. *φ*(*i*), to realizers of *ψi* for every *i* in *I*. Uniformity means that the code does not itself depend on *i*—the same code must work for all elements of *I*.

Uniformity is critical for ensuring entailment corresponds to computation. To see why, consider the fact that in every realizability tripos there is a predicate 𝕟 on the natural numbers N specifying that its only realizer for a natural number *n* is its Church encoding *cλ*. Given a function *f* : N *→* N in the metatheory, we can define another predicate on the natural numbers, call it *φf* , whose only realizer for

*n*

a given *n* is *cλ* . Consider what it means for 𝕟 to entail *φf* . If entailment could be

*f* (*n*)

evidenced by a different code *cn* for each *n ∈* N, then 𝕟 entails *φf* for any function *f*

since *cn* could be the constant computation that returns *cλ*

*f* (*n*)

. However, requiring

a uniform code that works for all indices *n ∈* N ensures the predicate 𝕟 entails *φf* if and only if *f* is computable according to the PCA at hand. Thus uniformity ensures that entailment actually has computational significance.

With these intuitions in mind, we can informally describe how the various propo- sitional connectives are modeled by realizability triposes, with formal descriptions to come as a special case of the more general system in Figure [2](#_bookmark25). The realizers of a conjunction *φ*1 *∧ φ*2 are simply the Church-encoded pairs of realizers of *φ*1 and *φ*2. The realizers of an implication *φ*1 *⊃ φ*2 are simply the codes that, when applied to a realizer of *φ*1, necessarily produce a realizer of *φ*2. There are no realizers for *⊥*, and the realizers of a disjunction *φ*1 *∨ φ*2 are the Church-encoded tagged unions of realizers of *φ*1 and realizers of *φ*2. A realizer of a universal quantification *∀i*:*I.φi* (for inhabited *I*) is anything that is a realizer of *φi* for *every i ∈ I*, whereas a realizer of an existential quantification *∃i*:*I.φi* is anything that is a realizer of *φi* for *some i ∈ I*. Lastly, any code is a realizer of *T*, and any code is a realizer of *i* =*I ij* if and only if *i* and *ij* are equal in *I* in the metatheory.

Notice that the realizers for the quantifiers are themselves uniform. That is, a realizer of *∃i*:*I.φi* has no *computational* way of knowing *which i* it is a realizer for, and similarly a realizer of *∀i*:*I.φi cannot* computationally depend on the index *i*. Thus there is a difference between, for example, realizers of *∀n*:N*.φn* versus realizers of *∀n*:N*.* 𝕟*n ⊃ φn*. A realizer of the former must be a single code that simultaneously realizes all *φn*s, whereas a realizer of the latter is a computation that maps each *n* to a realizer of *φn*.

* 1. *Countable Choice in Realizability Triposes*

Now we consider Countable Choice with this model of higher-order logic in mind. For this, we introduce a new notation, “*∃i* : *I | φi. ψi*”, indicating that there internally exists an *i* in *I* that *externally* satisfies *φi* and *internally* satisfies *ψi*.

A realizer of *∃i* : *I | φi. ψi* is anything that is a realizer of *ψi* for some *i ∈ I* satisfying *φi*. We also denote *n R i* with *R⟨n,i⟩*, and we use *R⟨n,i⟩*(*c*) to denote that *c* is a realizer for *R⟨n,i⟩*.

**Lemma 3.3** *CC is equivalent in every realizability tripos to the following holding for every set I:*

*∀R* : N *× I →* Ω*.* Tot(*R*) *⊃ ∃S* : N *× I →* Ω *| S ⊆ R Λ* Det(*S*)*.* Tot(*S*)

*where*

*S ⊆ R* = *∀n, i, c. S(n,i⟩*(*c*) =*⇒ R(n,i⟩*(*c*) Det(*S*)= *∀n, i, i′, c, c′. S(n,i⟩*(*c*) *Λ S(n,i'⟩*(*c′*) =*⇒ i* = *i′*

**Proof** [[13,](#_bookmark43) [E-A3](#_bookmark118)] Given Lemma [2.3](#_bookmark5), this lemma essentially states that inclusion and determinism can be proven computationally if and only if they can be proven in the metatheory. The backwards direction of this is simple. Unfolding definitions, a realizer of inclusions is a code that uniformly converts realizers of *S⟨n,i⟩* to realizers of *R⟨n,i⟩*. If inclusion is provable in the metatheory, then the identity computation exhibits inclusion trivially. Unfolding the definition of determinism, note that the equality predicate =*I* is computationally vacuous, meaning the realizers have no computational value beyond whether a realizer exists at all. In this case, *i* =*I ij* has a realizer if and only if *i* and *ij* are equal (in *I*) in the metatheory. Consequently, Det is itself computationally vacuous; it has a realizer if and only if *S⟨n,i⟩* and *S⟨n,i′⟩* both have realizers for a given *n* only when *i* equals *ij* in the metatheory. Thus, if determinism is provable in the metatheory, then the identity computation exhibits determinism since equality is realized by anything provided the equality holds.

The greater challenge is the forwards direction: showing there is a relation where inclusion and determinism hold in the metatheory whenever there is an appropriate relation where inclusion and determinism are proven computationally. Given a

realizer *cc* of CC and a realizer *cR*

*tot*

of totality for some relation *R*, then applying *cc*

*R*

to *c*

*tot*

necessarily results in a triple of codes *cS*

*S*

*det*

, *c*

, and *cS*

that realize inclusion,

determinism, and totality, respectively, for some relation *S*. Define a new relation *S*ˆ

*incl*

*tot*

such that *S*ˆ

*⟨n,i⟩*

is realized by *c* when *R⟨n,i⟩*

is realized by *c and S⟨n,i⟩*

has a realizer

(which can be anything). Clearly *S*ˆ is included in *R* in the metatheory. Similarly,

*S*ˆ is determined in the metatheory because it has realizers for *⟨n, i⟩* and *⟨n, ij⟩* only

when *S* does, which the existence of *cS*

*det*

realizing Det(*S*) in turn implies that *i*

and *ij* are equal. Lastly, totality of *S*ˆ is realized by the sequential composition

*incl*

*S*

of *c*

*tot*

realizing totality of *S* and *cS*

realizing inclusion of *S* into *R*. *2*

**Theorem 3.4** *CC is modeled by every realizability tripos.*

**Proof** [[13,](#_bookmark43) [E-B1;](#_bookmark122) adapted from [18](#_bookmark49)] By Lemma [3.3](#_bookmark9), it is sufficient to provide a code that converts realizers that an arbitrary relation *R* is total into realizers that some metatheoretically-determined subrelation *S* of *R* is total. That code is simply the identity computation *cλ*0*.*0. To see why, note that the definition of *S* can depend on

the specific realizer *cR* that *R* is total. By the definition of totality, applying *cR*

*tot*

*tot*

to the Church encoding of any natural number *n* must result in a realizer, say *cn*, of *R⟨n,i⟩* for some index *i ∈ I*, *without specifying or even necessarily knowing what i is*. In fact, the returned code might even be a realizer of *R⟨n,i⟩* for multiple indices

in *I*. Let *in ∈ I* be such a corresponding index for each *n ∈* N. [4](#_bookmark13) Define *S⟨n,i⟩* to be realized by *cn* if and only if *i* equals *in*, trivially making *S* determined. Since each *cn* is a realizer of *R⟨n,in⟩*, *S* is a subrelation of *R*. Because application is *deterministic*,

applying *cR* to the Church encoding of *n* will always result in *cn* and hence always

*tot*

be a realizer of *S⟨n,in⟩*, thereby realizing totality. *2*

Thus all realizability toposes, which by definition are derived from PCAs, nec- essarily model CC.

# Introducing Non-Deterministic Computation

Notice that the fact that application is deterministic is critical to the proof that realizability models exhibit CC. However, as we show next, determinism is entirely irrelevant to the realizability interpretation of higher-order logic. Rather, determin- ism is simply a historical artifact imposing an artificial constraint, and realizability can actually be similarly formulated on the basis of non-deterministic, i.e. *relational*, combinatory algebras. Thus, in this section we develop relational combinatory al- gebras, illustrate how they naturally still form a model of higher-order logic, and demonstrate that this natural generalization has dramatic effect on constructivism, with something as simple as a coin flip changing CC from being necessarily true to being necessarily false.

* 1. *Relational Combinatory Algebras*

In order to *directly* model non-deterministic computation, we developed relational combinatory algebras (RCAs), a generalization of PCAs in which the application operator is relational rather than functional. Thus applying one code to another can have zero, one, *or many* possible outcomes.

There is a subtlety to address though. Because PCAs are deterministic, if an application results in some code then that application *always* terminates. However, with RCAs, an application can successfully result in a code on one execution yet fail to terminate on another execution. Thus RCAs need a termination predicate *in addition to* an application relation.

**Definition 4.1 (Relational Applicative Structure)** *A relational applicative struc- ture is a set C of “codes” c, an “application” relation cf · ca ↓ cr, and a “termina- tion” predicate cf · ca↓ satisfying the following:*

***Progress*** *∀cf , ca. cf · ca↓* =*⇒ ∃cr. cf · ca ↓ cr*

For a relational applicative structure, one can extend the termination predi- cate *e↓* to applicative expressions:

*ef ↓ ea↓ ∀cf , ca. ef ↓ cf ∧ ea ↓ ca* =*⇒ cf · ca↓*

*c↓ ef · ea↓*

4 This assumes CC in the metatheory, which is standard practice here, and which is why we assume specifically ZF*C* in this paper.

*c* ::= *λn.En*+1 *| n | p*

*e*[*c*]*↓ e*[*c*] *↓ c′*

(*λ*0*.e*) *· c↓* (*λ*0*.e*) *· c ↓ c′* (*λn*+1*.e*) *· c↓* (*λn*+1*.e*) *· c ↓ λn.e*[*c*]

*p* ::= const*n |* succ *|* on *→n* do *p* else *p′ |* flip

*→n* ::= *n,...*

*p · n↓* const*n' · n ↓ n′* succ *· n ↓ n* +1 flip *· n ↓* 0 flip *· n ↓* 1

*n ∈ →n p · n ↓ n′*

(on *→n* do *p* else *p′*) *· n ↓ n′*

*n ∈/ →n p′ · n ↓ n′*

(on *→n* do *p* else *p′*) *· n ↓ n′*

Figure 1. Flip-RCA

**Definition 4.2 (Relational Combinatory Algebra)** *A relational combinatory algebra is a relational applicative structure with an assignment of expressions e ∈ En*+1 *to codes cλn.e ∈ C satisfying the followings:*

*∀n.∀e ∈ En*+2*.∀ca, cr. cλn*+1*.e · ca ↓ cr* =*⇒ cr* = *cλn.e*[*ca*]

*∀e ∈ E*1*.∀ca, cr. cλ*0*.e · ca ↓ cr* =*⇒ e*[*ca*] *↓ cr*

*∀n.∀e ∈ En*+2*.∀ca. cλn*+1*.e · ca↓*

*∀e ∈ E*1*.∀ca. e*[*ca*]*↓* =*⇒ cλ*0*.e · ca↓*

Each of these definitions are the straightforward generalizations of PCAs to non- terminating non-deterministic computation. That is, PCAs are simply the special case of RCAs in which application is deterministic and implies termination:

*∀cf , ca, cr, cj . cf · ca ↓ cr ∧ cf · ca ↓ cj* =*⇒ cr* = *cj*

*∀cf , ca, cr. cf · ca ↓*

*r r r*

*cr* =*⇒ cf · ca↓*

* 1. *Modeling Higher-Order Logic with RCAs*

Given an RCA one can construct its corresponding *RCA tripos*. All truths in this tripos are still realizable, suggesting that having the term “realizability tripos” refer specifically to PCAs is a misnomer. As such, we introduce the more accurate term “PCA tripos” for that particular notion of realizability.

The core intuition behind an RCA tripos is exactly the same as fora PCA tripos. A predicate on a set *I* specifies which codes from the RCA serve as realizers that the predicate holds for a particular element *i*. A predicate *φ* entails another predicate *ψ* when there is a uniform code that for all *i ∈ I* terminates when applied to any realizer of *φi* and any possible resulting code is a realizer of *ψi*. The constructions and the proofs are all the same as well, with formal descriptions to come as a special case of the more general system in Figure [2](#_bookmark25). Thus the deterministic behavior of PCAs is surprisingly irrelevant to their ability to model higher-order logic (and type theory), suggesting that RCAs are actually a more natural fit for realizability theory.

* 1. *Refuting Countable Choice with Non-Determinism*

This natural generalization of realizability theory, however, has major consequences. In particular, in Figure [1](#_bookmark14) we present Flip-RCA, an RCA whose corresponding tripos *refutes* CC simply due to the presence of a coin flip.

Flip-RCA is comprised of three key parts. The first is the *λn.En*+1 construct,

which describes a *λ*-value with *n* + 1 variables. This construction makes functional completeness trivial, since the code *cλn.e* is simply given by *λn.e*. The termina- tion and reduction rules are standardly defined to guarantee such codes behave as required by functional completeness.

The second key part is the natural-number codes *n* and primitives succ and on *→n* do *p* else *pj*. These are not strictly necessary, but they make the proof much simpler due to the fact that every “primitive” *p* only accepts inputs and produces outputs of the form *n*. In particular, on *→n* do *p* else *pj* emulates the behavior of *p* on a finite list of inputs *→n* and otherwise defers to *pj*. Also, defining *cast*(*e*) as *e ·* succ *·* 0 provides an expression that evaluates to *n* whenever the expression *e* evaluates to the Church encoding of *n*.

The third key part is flip, the only source of non-determinism in the system that makes this an RCA that is not a PCA. All flip does is non-deterministically evaluate to either 0 or 1.

**Lemma 4.3** *Flip-RCA is an RCA.*

**Proof** [[13,](#_bookmark43) [E-C2](#_bookmark125)] The *cλn.e* codes are given by the *λn.e* codes. *2*

This simple coin flip is enough to refute CC. To demonstrate how, we rely on the following lemma capturing the fact that Flip-RCA describes an extensional finitary

computational system. In the lemma we use *c*˙ to informally denote codes with

a “primitive hole” such that *c*˙[*p*] denotes the code resulting from filling that hole with the primitive *p*. The formal definitions are tedious and thus omitted here, but can be found in [[13,](#_bookmark43) [E-C3](#_bookmark126)]. The lemma states that any reduction involving a primitive follows from only finite interactions with that primitive, and so the reduction can proceed similarly for any other primitive that *can* also exhibit those same interactions.

**Lemma 4.4** *For all c*˙*f , c*˙*a, p, and cr, such that c*˙*f* [*p*] *· c*˙*a*[*p*] *↓ cr holds, there exists a c*˙*r satisfying:*

*cr* = *c*˙*r*[*p*] *∧ ∃B ⊆* N *×* N*. B is ﬁnite ∧ ∀⟨ni, no⟩∈ B. p · ni ↓ no*

*∧ ∀pj.* (*∀⟨ni, no⟩∈ B. pj · ni ↓ no*) =*⇒ c*˙*f* [*pj*] *· c*˙*a*[*pj*] *↓ c*˙*r*[*pj*]

**Proof** [[13,](#_bookmark43) [E-C4]](#_bookmark127) Induction on the proof of *c*˙*f* [*p*] *· c*˙*a*[*p*] *↓ cr*. *2*

**Lemma 4.5** *CC is internally equivalent in every RCA tripos to the following hold- ing for every set I:*

*∀R* : N *× I →* Ω*.* Tot(*R*) *⊃ ∃S* : N *× I →* Ω *| S ⊆ R ∧* Det(*S*)*.* Tot(*S*)

**Proof** [[13,](#_bookmark43) [E-A3](#_bookmark118)] Same definitions of *S ⊆ R* and Det(*S*) and proof as with PCAs in Lemma [3.3](#_bookmark9). *2*

**Theorem 4.6** *There exists a set I for which the negation of CC is internally mod- eled by the Flip-RCA tripos.*

**Proof** [[13,](#_bookmark43) [E-C5](#_bookmark128)] We use N as the set *I* for which we prove this negation. RCA (and PCA) triposes model *¬φ* if *φ* has no realizers. Thus it suffices to show that

the existence of a realizer of CC onto N for Flip-RCA leads to a contradiction. By Lemma [4.5](#_bookmark18), we can do so by showing there is no code that can convert realizers of totality for relations *R* on N into realizers of totality for some metatheoretically- determined subrelation of *R*.

Suppose *cc* is such a code. Consider applying *cc* to *λ*0*.*const0 *· cast*(0). The code *λ*0*.*const0 *· cast*(0) is a realizer of totality for the relation *R*0 whose sole realizer

for *R*0 *′* is 0 when *nj* equals 0. Thus this application terminates and results in

*n,n*

a realizer *c*0 of totality for some subrelation *S*0 of *R*0. Since *R*0 is a determined

*tot*

relation, one can easily deduce that this implies that *c*0

*tot*

results in 0 whenever it is

applied to a Church encoding of a natural number.

Now define *c*˙ to be *λ*0*.•· cast*(0) so that *c*˙[const0] is *λ*0*.*const0 *· cast*(0). Lemma [4.4](#_bookmark17) implies there is a *c*˙*tot* and some finite behavior *B* exhibitable by const0 such that

*c*˙*tot* [const0] equals *c*0

*tot*

and applying *cc* to *c*˙[*p*] can reduce to *c*˙*tot* [*p*] whenever *p* can

exhibit behavior *B*. Let *→n* be the list of inputs in *B*. Then on *→n* do const0 else *p* is guaranteed to exhibit behavior *B* regardless of what *p* is. Thus applying *cc* to *c*˙[on *→n* do const0 else *p*] can reduce to *c*˙*tot* [on *→n* do const0 else *p*] for any primi- tive *p*.

There are two particularly important primitives to consider. One is primi- tives of the form const*m*, in which case *c*˙[on *→n* do const0 else const*m*] is a realizer

of totality for the relation *R→n*;*m* whose sole realizer for *R→n*;*m*

*n,n′*

is 0 when *n* is in *→n*

and *nj* equals 0, or *m* when *n* is not in *→n* and *nj* equals *m*. This implies that *c*˙*tot*[on *→n* do const0 else const*m*] is a realizer of totality for some subrelation *S→n*;*m* of *R→n*;*m*. Again, since *R→n*;*m* is a determined relation, one can easily deduce that this implies that *c→n*;*m* = *c*˙*tot* [on *→n* do const0 else const*m*] results in *m* whenever it is applied to a Church encoding of a natural number not in *→n*.

*tot*

The other important case is the primitive flip. Then, *c*˙[on *→n* do const0 else flip] is a *non-deterministic* realizer of totality for the relation *R*N whose sole realizer

*tot*

for *R*N

*n,n*

*′* is *nj* for *any n*. This implies that *c*flip

= *c*˙[on *→n* do const0 else flip] is a

realizer of totality for some determined subrelation *S*N of *R*N. Now consider what happens when we apply *c*flip to some *n not* in *→n*. We know that *c→n*;*m · n* evaluates

*tot tot*

to *m*. Since flip *can* recreate the input-output behaviors of both const0 and const1,

Lemma [4.4](#_bookmark17) implies that *c*flip *· →n can* evaluate to *both* 0 and 1. This means that

*tot*

0 must realize *S*N

*n,n*0

for some *n*0, and similarly 1 must realize *S*N

for some *n*1.

Since 0 can only realize *R*N when *n*0 equals 0, and *S*N is a subrelation of *R*N, this

*n,n*1

*n,n*0

implies *n*0 must equal 0, and similarly *n*1 must equal 1. Thus both *S*N and *S*N

*n,*0

*n,*1

are realizable. Since the assumed behavior of *cc* implies that *S*N is determined, this implies 0 equals 1, thereby producing a contradiction. *2*

Interestingly, this proof can easily be modified to show that Flip-RCA refutes even *Weak* Countable Choice, which states that choice is possible if there is at most one choice to be made across all the countable inputs [[10](#_bookmark40)]. Weak Countable Choice is sufficient to unify the various formulations of the reals [[10](#_bookmark40)], thus suggesting that the Cauchy, modulated Cauchy, and Dedekind reals might indeed be distinct in the topos for Flip-RCA.

# Introducing Stateful Computation

We have shown that, although non-determinism naturally fits into realizability mod- els of higher-order logic, a flip of a coin can invalidate CC despite it holding so trivially before. Now we demonstrate that further extending the computation sys- tem with mutable state can restore CC even in the presence of non-determinism. This means that CC is not wholly incompatible with non-determinism, contrary to Schuster’s concern [[41](#_bookmark68)].

* 1. *Stateful Combinatory Algebras*

In order to *directly* model stateful computation, we developed stateful combinatory algebras (SCAs), a generalization of RCAs in which the application operator is stateful. That is, applying one code to another requires a state that it can then mutate. Just as there are PCAs that can *model* non-deterministic computation [[42](#_bookmark73)], PCAs can *model* state by using, say, the state monad [[31](#_bookmark61)]. But it is impossible to *force* PCA computations to share state—the requirements for the *S* combinator force it to duplicate any state a PCA computation might be using. SCAs ensure that all computation operates on the same mutating state, which, as we show, is a critical component in their ability to implement CC even in the presence of non-deterministic computation.

**Definition 5.1 (Stateful Applicative Structure)** *A stateful applicative struc- ture is an inhabited set* Σ *of “states” σ, a “possible future” preorder σ ≤ σj, a set C of “codes” c,* [5](#_bookmark21) *an “application” relation cf · ca ↓σ′ cr, and a “termination”*

*σ*

*predicate cf · ca↓σ satisfying the following properties:*

***Preservation*** *∀σ, cf , ca, σj, cr. cf · ca ↓σ′ cr* =*⇒ σ ≤ σj*

*σ*

***Progress*** *∀σ, cf , ca. cf · ca↓σ* =*⇒ ∃σj, cr. cf · ca ↓σ′ cr*

*σ*

The concept of “possible futures” here captures the fact that, even in a system with mutable state, the system can maintain certain invariants about its state and how it progresses, as enforced by the preservation property. These invariants will be critical to implementing CC. Note, though, that the application relation and termi- nation predicate are not themselves necessarily preserved by futures; an application is permitted to reduce to a code in a given state that it cannot reduce to in a future state, and a termination only guarantees that the *current* state *can* be mutated to provide a result. Thus this is not simply a standard possible-worlds structure [[26](#_bookmark56)]. We extend the definitions of application *e ↓σ′ cr* and termination *e↓σ* to applica-

*σ*

tive expressions as follows:

5 Our Coq formalization [[13](#_bookmark43), [C-D1](#_bookmark98)] also permits one to specify a “validity” predicate *σ ▶ c* indicating which codes are valid in which states. Here we elide this additional degree of control as it is irrelevant for the current discussion.

*ef ↓σ′ cf*

*σ*

*′*

*ea σ′′ ca*

*↓*

*σ*

*cf · ca*

*′′*

*σ′′′ cr*

*↓*

*σ*

*c ↓σ c*

*σ*

*e ↓σ ∀σj,c . e ↓σ c*

*ef · ea ↓σ′′′ cr*

=*⇒ e ↓σ′ ∧ ∀σjj,c . e ↓σ′ c*

*σ*

=*⇒ c*

*· c ↓σ′′*

*f*

*c↓σ*

*f f σ′ f*

*a*

*ef · ea↓σ*

*a a σ′′ a f a*

**Definition 5.2 (Stateful Combinatory Algebra)** *A stateful combinatory alge- bra is a stateful applicative structure with an assignment of every expression e ∈ En*+1 *to a code cλn.e ∈ C satisfying the following properties in all states σ, σj ∈* Σ*:*

*∀n.∀e ∈ En*+2*.∀ca, cr. cλn*+1*.e · ca ↓σ′ cr* =*⇒ σj*

*σ*

= *σ ∧ cr* = *cλn.e*[*ca*]

*∀e ∈ E*1*.∀ca, cr. cλ*0*.e · ca ↓σ′ cr* =*⇒ e*[*ca*] *↓σ′ cr*

*σ σ*

*∀n.∀e ∈ En*+2*.∀ca. cλn*+1*.e · ca↓σ*

*∀e ∈ E*1*.∀ca. e*[*ca*]*↓σ* =*⇒ cλ*0*.e · ca↓σ*

RCAs are the special case of SCAs with precisely one state.

* 1. *Modeling Higher-Order Logic with SCAs*

Since PCAs and RCAs are each special cases of SCAs, we were informal about how they model impredicative higher-order logic. Now we provide a formal de- scription of the model in Figure [2](#_bookmark25). One technical note is that types in our model are *inhabited* sets. This technically means that our model specifies a higher-order fibration [[20](#_bookmark48), Definition 5.3.1] [6](#_bookmark24) , which is a generalization of a tripos. We do this because it permits a simpler interpretation of universal quantification. Furthermore, the standard tripos-to-topos construction works for any higher-order fibration [[20,](#_bookmark48) Corollary 6.1.7], so the applicability to set theory and type theory is maintained. In fact, the resulting topos is equivalent to the topos that would be derived from the tripos construction.

**Theorem 5.3** *For any SCA, Figure* [*2*](#_bookmark25) *speciﬁes a consistent model of higher-order logic.*

**Proof** [[13,](#_bookmark43) [D-C1](#_bookmark113)] The remaining components and proofs for a higher-order fibration follow easily from the definitions in Figure [2](#_bookmark25). The only thing we prove explicitly here is consistency.

A proposition *φ* in Figure [2](#_bookmark25) is realizable if there exists a state *σ* and code *cφ* such that *φσ*(*cφ*) holds. The progress property of SCAs and the definition of entailment in Figure [2](#_bookmark25) imply that when a proposition entails another one, realizability of the former implies realizability of the latter. Since the proposition *T* is realizable and the proposition *⊥* is not, *T* cannot entail *⊥*, guaranteeing consistency of the model for any SCA. *2*

6 There is an error in this definition due to a change in terminology across works [[21](#_bookmark51)]. The definition should only require a *weak* generic object. This is relevant and evident because realizability triposes have a *strict* generic object, which can only be shown to be weak generic objects. Non-weak generic objects furthermore model extensionality of entailment.

Notation Predicates

*cf · ca ↓σ φ* , *cf · ca↓σ Λ ∀σ′, cr. cf · ca ↓σ' cr* =*⇒*

*σ*

*φσ'* (*c* )

*r*

Fibration

**Type** A type *τ* is an inhabited set.

*γ*

**Top** The predicate *T* in Γ is realized by (any) *c*

for *γ* in *σ*.

**Conjunction** The predicate *φ × ψ* in Γ is real- ized by *c* for *γ* in *σ* if the following holds:

**Context** A context Γ is an inhabited set.

*∀σ′. σ ≤ σ′* =*⇒ c · c*

*λ*1 *.*1

*↓σ' φ*

*Λ c · c*

*λ*1 *.*2

*↓σ'*

**Proposition** A proposition *φ* is a “stateful” predicate on codes *φσ*(*c*) that is “future-stable”:

*∀σ, σ′, c. σ ≤ σ′ Λ φσ*(*c*) =*⇒ φσ'* (*c*)

*ψγ*

**Bottom** The predicate *⊥* in Γ has no realizers for *γ* in any *σ*.

**Disjunction** The predicate *φ∨ψ* in Γ is realized

*γ*

**Predicate** A predicate *φ* in context Γ assigns to each in- habitant *γ* of Γ a proposition *φγ* .

by *cλ*1 *.*1 *· cφ*

by *cλ*1 *.*2 *· cfi*

for *γ* in *σ* if *φσ*(*cφ*) holds, and

for *γ* in *σ* if *ψσ*(*cψ*) holds.

*γ*

**Entailment** A predicate *φ* entails a predicate *ψ* in Γ if there exists a code *c* satisfying the following:

*∀γ ∈* Γ*.∀σ, cφ. φσ*(*cφ*) =*⇒ c · cφ ↓σ ψγ*

*γ*

**Substitution** A substitution *t* from a context Γ to a con- text Γ*′* is a function from Γ to Γ*′*. For a predicate *φ* in context Γ*′*, the substituted predicate *φ*[*t*] in Γ is realized by *c* for *γ* in *σ* when *φσ* (*c*) holds.

*t*(*γ*)

Types

**Unit** The type **1** is the singleton set **1**.

**Product** The type *τ × τ′* is the set of pairs *τ × τ′*.

**Function** The type *τ → τ′* is the set of functions *τ → τ′*.

**Impredicativity** The type Ω is the set of future-stable stateful predicates on codes

*{φ ⊆* Σ *× C | φ* is future-stable*}*.

**Implication** The predicate *φ ⊃ ψ* in Γ is real- ized by *c* for *γ* in *σ* if the following holds:

*' '*

*′ ′ σ σ*

*∀σ , cφ. σ ≤ σ Λ φγ* (*cφ*) =*⇒ c · cφ ↓*

*ψγ*

**Equality** The predicate =*τ* in context Γ *×* (*τ × τ* ) is realized by (any) *c* for

*⟨γ, ⟨x, y⟩⟩ ∈* Γ *×* (*τ × τ* ) in *σ* if *x* equals *y*.

**Universal Quantification** For a predicate *φ* in a context Γ *× τ* , the predicate *∀τ.φ* in Γ is realized by *c* for *γ* in *σ* if *∀x ∈ τ. φσ* (*c*)

*(γ,x⟩*

holds.

**Existential Quantification** For a predicate *φ* in a context Γ *× τ* , the predicate *∃τ.φ* in Γ is realized by *c* for *γ* in *σ* if *∃x ∈*

*σ*

*τ. φ*

*(γ,x⟩*

Figure 2. SCA Model of Higher-Order Logic

(*c*) holds.

* 1. *Restoring Countable Choice with State*

Next we show that introducing state enables SCA triposes to model CC even in the presence of non-determinism. In particular, we use state to memoize realizers of totality. Memoization [[29](#_bookmark59)] (whose original intent was to optimize computation) is the method of wrapping a computation with something that keeps track of inputs already passed to this computation and their corresponding outputs. Most impor- tantly for our purposes, it has the benefit of always providing the same output for a given input even when the generating computation is itself non-deterministic. While we could provide a general proof that a tripos for any SCA with a special memoiz- ing combinator models CC, due to space constraints we simply provide a concrete example of such an SCA. Thus Figure [3](#_bookmark26) defines Mem-SCA, whose ndnat code pro- vides non-determinism, and whose memo code implements memoization (via lookup codes).

Mem-SCA is presented in two parts. We describe the left-hand side of Figure [3](#_bookmark26) first, which formalizes *pre*-states and a “frozen” computational system under a given pre-state *ς*. A pre-state is comprised of an “allocation” table *α* and a “memoization” table *μ*. These tables do not necessarily satisfy the invariants of the system that will enable Mem-SCA to model CC, but they are sufficient for specifying a computational system. An entry *⟨l, c⟩* in an allocation table *α* indicates that the memoizations at location *l* should be generated by code *c*. An entry *⟨l, n, c⟩* in a memoization table *μ*

*′*

*′*

*σ ∈ ⟨ς⟩*

*∀⟨l, n, c⟩, ⟨l, n, c′⟩∈ μς . c* = *c′*

*ς*

*∀⟨l, c⟩∈ α , ⟨l, n, c ⟩∈ μ . c · c ↓ c*

*′*

*λ ς ′*

⎫⎪⎬

*ας* and *μς* are finite

*ς ς*

*n* ⎭

*e*[*c*]*↓σ*

⎪

(*λn*+1*.e*) *· c ↓ς λn.e*[*c*]

*⟨l, c⟩∈ ας*

*ασ ⊆ ασ' μσ ⊆ μσ'*

*σ ≤ σ′* (*λn*+1*.e*) *· c↓σ* (*λ*0*.e*) *· c↓σ*

memo *· c↓σ*

*⟨l, c⟩∈ ασ c · cλ↓σ*

*n*

ndnat *· c ↓ς cλ* memo *· c ↓ς* lookup*Æ* lookup *· cλ ↓ς c* ndnat *· c↓σ*

*ef ↓ς cf*

*n*

*Æ n*

Pre-States

*l ∈ L* = N

*c* ::= *λn.En*+1 *| p*

*p* ::= ndnat *|* memo *|* lookup*Æ*

*α ⊆ L × C*

*μ ⊆ L ×* N *× C*

*ς* ::= *⟨α, μ⟩*

*e*[*c*] *↓ς c′*

(*λ*0*.e*) *· c ↓ς c′*

*⟨l, n, c⟩∈ μς*

⎪⎩

⎧⎪⎨

States

*∀⟨l, c⟩, ⟨l, c ⟩∈ α . c* = *c*

*σ*

*e*[*c*] *↓ c*

lookup *· cλ↓σ*

*Æ*

*n*

*c ↓ς c*

*ea ↓ς ca*

*ef · ea ↓ς cr*

*cf · ca ↓ς cr*

*p · ca ↓σ' cr*

*σ*

*σ ′*

*'*

(*λn*+1*.e*) *· c ↓σ λn.e*[*c*] (*λ*0*.e*) *· c ↓σ' c′*

*σ*

*σ ≤ σ′*

*p · ca ↓ςσ' cr*

*σ*

Figure 3. Mem-SCA

indicates that the input *n* has a memoized output *c* at location *l*. Consequently, the computational system specifies that memo applied to a code *c* can reduce to a lookup*l* for any location *l* whose entries should be generated by *c* according to *α*. Similarly, the computational system specifies that lookup*l* applied to a Church-encoded natural number *n* can reduce to a memoized ouput *c* for input *n* at location *l* according to *μ*. The remainder of the computational system behaves as expected for the *λ*-calculus, with the addition that ndnat can reduce to any Church-encoded natural number.

Note that a pre-state does not actually guarantee that the entries in its mem- oization table are generated according to its allocation table, nor does it have any notion of mutating state. Both of these issues are addressed by the right-hand side of Figure [3](#_bookmark26), which formalizes states and a mutating computational system. A state is a pre-state satisfying additional invariants. First and second, a given location can be generated by at most one code and can have at most one memoized output for each given input. Third, every memoized output is indeed a possible result of applying the input to the generating code. Last, the tables are finite. A state’s possible futures are simply all states containing its entries (and possibly more). Termination is straightforward, with the one subtlety that lookup*l* only terminates on inputs that its generating code terminates on. Lastly, mutating reduction does not actually prescribe how the state should be mutated—instead, its effect is that the state can be mutated to any future state *provided* the application would re- duce according to the frozen computational system, i.e. provided the future state has enough entries that the application can reduce without needing to add more entries. This means that the issue of determining actually how to mutate the state such that the reduction can be completed is delegated to the proof of progress.

**Lemma 5.4** *Mem-SCA is an SCA.*

**Proof** [[13,](#_bookmark43) [E-D2](#_bookmark131)] We provide the only interesting aspect of this proof, which is progress. For this, we rely on the fact that *cf · ca ↓ς cr* is easily shown to imply

*′*

*cf · ca ↓ς c* whenever *ςj* contains all the entries in *ς*. Progress is proven by in-

*r*

duction on the proof of termination, the only interesting cases for which are memo

and lookup*l*.

Suppose memo *· ca↓σ* holds. We need to provide a state *σj* and a code *cr* such that memo *· ca ↓σ′ cr* holds. Since *ασ* is finite, there is an “unused” location *l*. Define *ςj* to be *ςσ* with *⟨l, c⟩* added to the allocation table, and define *cr* to be lookup*l*. The required reduction and the fact that the pre-state *ςj* satisfies the requirements to provide a state *σj* follow easily.

*σ*

Now suppose lookup*l · ca↓σ* holds. We need to provide a state *σj* and a code *cr* such that lookup*l · ca ↓σ′ cr* holds. The assumption implies that *ca* is the Church encoding of some natural number *n*, that *l* has a corresponding code *cf* in the allocation table *ασ*, and that *cf · cλ↓σ* holds. Since *μσ* is finite we can check to see if it has a code *c* corresponding to *l* and *n*, in which case *σj* is simply *σ* and *cr* is simply *c*. Otherwise, by the induction hypothesis *cf · cλ↓σ* entails the existence

*σ*

*n*

*n*

of a state *σ*ˆ and code *c*ˆ such that *cf · cλ σ c*ˆ holds. Again, since *μσ*ˆ is finite we

*n*

*↓*

*σ*ˆ

can check to see if it has a code *cj* corresponding to *l* and *n*, in which case *σj* is simply *σ*ˆ and *cr* is simply *cj*. Otherwise, we define *ςj* to be *ςσ*ˆ with *⟨l, c*ˆ*⟩* added to the allocation table, and we define *cr* to be *c*ˆ. The required reduction and the fact that the pre-state *ςj* satisfies the requirements to provide a state *σj* follow easily. *2*

**Lemma 5.5** *CC is internally equivalent in every SCA tripos to the following hold- ing for every set I:*

*∀R* : N *× I →* Ω*.* Tot(*R*) *⊃ ∃S* : N *× I →* Ω *| S ⊆ R ∧* Det(*S*)*.* Tot(*S*)

*where*

*S ⊆ R* : *∀n, i, σ, c. Sσ*

*⟨n,i⟩*

(*c*) =*⇒ Rσ*

(*c*)

Det(*S*): *∀n, i, ij, σ, c, cj. Sσ* (*c*) *∧ Sσ ′* (*cj*) =*⇒ i* = *ij*

*⟨n,i⟩*

*⟨n,i⟩ ⟨n,i ⟩*

**Proof** [[13,](#_bookmark43) [E-A5](#_bookmark120)] The reasoning is very similar to PCAs and RCAs except for one

nuance with state. One applies *cc* to *cR*

*tot*

*tot*

as before, now in some given state *σ*, to

again get a triple of codes *cS*

*incl*

*S*

*det*

, *c*

*⟨n,i⟩*

, and *cS*

, and a future state *σj*. As before, define

the new relation *S*ˆ such that *S*ˆ*σ′′*

*⟨n,i⟩*

*⟨n,i⟩*

is realized by *c* when *Rσ′′*

is realized by *c*, *Sσ′′*

has a realizer, *and σjj* is a possible future of *σj*. This final requirement addresses the

*tot*

fact that *cS*

*incl*

*S*

*det*

, *c*

, and *cS*

are only guaranteed to exhibit their expected behaviors

in state *σj and any of its possible futures* due to the future-stability of propositions.

Consequently, the remainder of the proof can proceed as before. *2*

Note that the definition of Det(*S*) in Lemma [5.5](#_bookmark28) requires realizability of *S⟨n,i⟩*

*j*

and *S⟨n,i′⟩* to imply that *i* and *i* are equal *only if* they are realizable *in the same*

*state σ*. Thus it is perfectly acceptable for *S⟨n,i⟩* and *S⟨n,i′⟩* to be realizable for distinct *i* and *ij* in distinct states. Furthermore, totality of *S* only requires *S⟨n,i⟩* to *eventually* be a realizable for some *i* for each *n*. Thus *S* can be non-deterministic

*across states* and be only finitely defined at any particular state, which is how we address the challenges of non-determinism.

**Theorem 5.6** *CC is internally modeled by the tripos for Mem-SCA.*

**Proof** [[13,](#_bookmark43) [E-D3](#_bookmark132)] The realizer of the proposition in Lemma [5.5](#_bookmark28) for Mem-SCA is

simply memo. Suppose *cR*

*tot*

is a realizer of totality in a given state *σ* for a relation *R*.

We need to show that memo *· cR*

*tot*

terminates in *σ*, and that any code it can reduce

to in a possible future *σj* is necessarily a realizer of totality in *σj* of some determined subrelation of *R*. Termination is trivial since memo is defined to terminate on all inputs in all states, so reduction is the primary challenge.

By definition, memo *· cR*

*tot*

only reduces to lookup*l* and only in states *σj* for which

*⟨l, cR ⟩* is in *ασ′* . Thus we need to show that lookup*l* is a realizer of totality in *σj* for

*tot*

*σ′′ σ′′*

some determined subrelation of *R*. Let *cn* denote codes for which *⟨l, n, cn ⟩∈ μσ′′*

and *σj ≤ σjj* hold, which (if it exists) is necessarily unique for a given *σjj*. Note

that, if *cσ′′* exists, then it is a realizer of *Rσ′′*

for some *i*. The reason is that, by

*n ⟨n,i⟩*

the required properties of states, in order to be in the memoization table for *l* the

code *cσ′′* must be a possible result of applying the generator for *l* (as specified by *α*)

*n*

to *cλ*. By assumption, that generator is *cR*

and consequently a realizer of totality

*n tot*

of *R*, so its output on input *cλ* is necessarily a realizer of *Rσ′′*

for some *i*. So let

*n ⟨n,i⟩*

*iσ′′* be a selection of indices in *I* such that *cσ′′* is a realizer of *Rσ′′*

, and such that

*n n ⟨n,iσ′′ ⟩*

*n*

*iσ′′′* equals *iσ′′* whenever both are defined and *σjjj* is a possible future of *σjj* (and so

*n*

*cσ′′′*

*n*

*σ′′* [7](#_bookmark30)

*n* equals *cn* ).

*n*

Given these choices, define *Sσ′′*

*⟨n,i⟩*

(*c*) to hold when *c* equals *cσ′′*

and when *i*

equals *iσ′′*

*n*

(and both *cσ′′*

and *iσ′′*

exist). Each proposition *S*

*⟨n,i⟩*

is future-stable

because *cσ′′* is future-stable and each *iσ′′* was chosen to be future-stable. Since we

*n*

*n*

*n*

already established that *cσ′′*

*n*

*n*

is a realizer of *Rσ′′*

*⟨n,iσ′′ ⟩*

*n*

, *S* is a subrelation of *R*. By

definition, *Sσ′′*

*⟨n,i⟩*

*σ′′*

*⟨n,i′⟩*

and *S*

are both realizable in a given state *σjj* only when both

equal *in* , ensuring determinism of *S*. It remains to prove that lookup*l* is a realizer

*σ′′*

of totality for *S*. Since *cR* is a realizer of totality in *σj*, it terminates on all Church-

*tot*

encoded natural-number inputs in *σj*, which implies lookup*l* does as well. Any code that can result from applying lookup*l* to a *cλ* in a possible future *σjj* is necessarily

*n*

in the memoization table for *l* in *σjj*

*σ*

*′′*

and therefore equal to *cn* , which by definition

is a realizer of *S⟨n,iσ′′ ⟩* in *σjj*. Thus lookup*l* is a realizer of totality for a determined subrelation of *R*, and hence memo is a realizer of the proposition in Lemma [5.5](#_bookmark28), thereby evidencing that the tripos for Mem-SCA models CC. *2*

*n*

Although we do not formally develop it here, a similar SCA can even model a principle known as dependent choice (DC), which is strictly stronger than CC [[4,](#_bookmark35)[22](#_bookmark52)]. The state provides a table in which each entry of the required sequence is simply generated on demand from the previous one according to the allocated realizer of totality. As with Mem-SCA, this works even in the presence of non-determinism.

# Related Work and Conclusions

This paper demonstrates that key principles of constructivism highly depend on the effectful notion of the computation system, using CC as an illustrative example. We show that the traditional constructive proof of CC fundamentally relies upon a deterministic computational system, and that adding even a coin flip entails its

7 This assumes Zorn’s Lemma in the metatheory, which is why we assume specifically ZFC in this pa- per. The Coq proof provides a more careful construction that, as is standard practice here, assumes only countable choice in the metatheory [[13](#_bookmark43), [E-D3](#_bookmark132)].

negation. We further show that then adding mutable state to the computational system makes it again possible to implement CC. In doing so, the paper extends the boundaries of constructivism towards a truly proofs-as-programs paradigm, not just a proofs-as-*deterministic*-programs paradigm.

This paper focuses on the effect of effects on *existing* principles of constructivism. Other works similarly each make some choice principle compatible with computa- tions with continuations [[3,](#_bookmark33) [16,](#_bookmark50) [30](#_bookmark60)]. Interestingly, despite the difference in goals, these systems use techniques as coinduction, lazy evaluation, and infinite terms that are employed in a manner bearing resemblance to our memoization technique. It would also be interesting to explore what *new* principles might be made possible by effects. For example, Bickford et al. [[6](#_bookmark36)] explore using the stateful nature of Nuprl’s library system to provide free-choice sequences [[11](#_bookmark41)]. We suspect these techniques can be combined to model both CC and free choice, and even extended

to support notions of choice almost reaching ZFC.

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# Coq Formalization: Table of Contents

* 1. [Overview](#_bookmark75) 109
     1. [Conventions](#_bookmark76)
     2. [Metatheoretic Assumptions](#_bookmark77)
  2. [Categories](#_bookmark78) 109
     1. [Common.v](#_bookmark79) B-B [Categories.v](#_bookmark80)
     2. *1* [*Definition of a Category*](#_bookmark81) 109
     3. [Cartesian.v](#_bookmark82)

*B-C1* [*Definition of a Cartesian Category*](#_bookmark83) 109

*B-C2* [*Definition of a Cartesian-Closed Category*](#_bookmark84) 109

*B-C3* [*Definition of a Nautral-Number Object*](#_bookmark85) 109

* + 1. [Sets.v](#_bookmark86)

*B-D1* [*Definition of the Category of Inhabited Sets*](#_bookmark87) 110

* 1. [Combinatory Algebras](#_bookmark88) 110
     1. [Expressions.v](#_bookmark89)
  2. *1* [*Definition of Applicative Expressions*](#_bookmark90) 110
     1. [RCAs.v](#_bookmark91)
  3. *1* [*Definition of a Relational Applicative Structure*](#_bookmark92) 110

*C-B2* [*Definition of Relational Reduction and Termination of Applicative Expressions*](#_bookmark93) 110

* 1. *3* [*Definition of a Relational Combinatory Algebra*](#_bookmark94) 111
     1. [PCAs.v](#_bookmark95)
  2. *1* [*Definition of a Partial Combinatory Algebra*](#_bookmark96) 111
     1. [SCAs.v](#_bookmark97)
  3. *1* [*Definition of a Stateful Applicative Structure*](#_bookmark98) 111

*C-D2* [*Definition of Stateful Reduction and Termination of Applicative Expressions*](#_bookmark99) 111

* 1. *3* [*Definition of a Stateful Combinatory Algebra*](#_bookmark100). . . . . . . . . . . . . . . . . . . . . . . . . .
     1. [**Lambdas.v**](#_bookmark101)

112

* 1. *1* [*Framework for Building Codes with Lambda-Terms and Primitives*](#_bookmark102) 112
     1. [**FreeRCA.v**](#_bookmark103)
  2. *1* [*Framework for Building Relational Combinatory Algebras with Lambda-Terms and Primitives*](#_bookmark104). 112
     1. [**FreeSCA.v**](#_bookmark105)
  3. *1* [*Framework for Building Stateful Combinatory Algebras with Lambda-Terms and Primitives*](#_bookmark106) 112
  4. [**Higher-Order Fibrations**](#_bookmark107) **113**
     1. [**HOFs.v**](#_bookmark108)
  5. *1* [*Definition of a Higher-Order Fibration*](#_bookmark109) 113
     1. [**RCAstoHOFs.v**](#_bookmark110)
  6. *1* [*Proof that a Relational Combinatory Algebra forms a Higher-Order Fibration*](#_bookmark111) 114
     1. [**SCAstoHOFs.v**](#_bookmark112)
  7. *1* [*Proof that a Stateful Combinatory Algebra forms a Higher-Order Fibration*](#_bookmark113) 115
  8. [**Countable Choice**](#_bookmark114) **116**
     1. [**CountableChoice.v**](#_bookmark115)

*E-A1* [*Definition of Internal Countable Choice in Evidenced Frames*](#_bookmark116) 116

*E-A2* [*Definition of Internal Countable Choice in Relational Combinatory Algebras*](#_bookmark117) 116

*E-A3* [*Proof that Internal CC for a PCA/RCA is Equivalent to Internal CC for its Higher-Order*](#_bookmark118)

[*Fibration*](#_bookmark118) 116

* 1. *4* [*Definition of Internal Countable Choice in Stateful Combinatory Algebras*](#_bookmark119) 116
  2. *5* [*Proof that Internal CC for an SCA is Equivalent to Internal CC for its Higher-Order Fibration*](#_bookmark120) 117
     1. [**CCPCAs.v**](#_bookmark121)
  3. *1* [*Proof that Partial Combinatory Algebras Internally Model Countable Choice*](#_bookmark122) 117
     1. [**NCCRCA.v**](#_bookmark123)
  4. *1* [*Definition of Flip-RCA*](#_bookmark124) 117

*E-C2* [*Proof that Flip-RCA is an RCA*](#_bookmark125) 117

*E-C3* [*Definition of Flip-RCA Codes with Primitive Holes*](#_bookmark126) 117

*E-C4* [*Proof that Flip-RCA is Extensional and Finitary*](#_bookmark127) 118

*E-C5* [*Proof that Flip-RCA Internally Negates Countable Choice*](#_bookmark128) 118

**E-D** [**CCSCA.v**](#_bookmark129)

|  |  |  |
| --- | --- | --- |
| *E-D1* | [*Definition of Mem-SCA*](#_bookmark130). . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 118 |
| *E-D2* | [*Proof that Mem-SCA is an SCA.*](#_bookmark131). . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 120 |
| *E-D3* | [*Proof that Mem-SCA Internally Models Countable Choice*](#_bookmark132). . . . . . . . . . . . . . . . . . . | 120 |

# Overview

The contributions of this paper have all been mechanically verified. This appendix is intended to let the reader know what exactly has been mechanically verified. In particular, it provides all the relevant formal definitions and formal statements of the lemmas and theorems. It does not, however, walk through the proofs in detail. For that, we refer the interested reader to directly interact with the Coq proofs themselves [[13](#_bookmark43)].

## A-A. Conventions

Because Coq does not have quotient types and true subset types (due to the lack of baked-in proof irrelevance with support for unification), we employ a convention of define a type, then a validity predicate on that type, and then an equivalence relation on that type if appropriate. We only use elements of a type that are valid.

## A-B. Metatheoretic Assumptions

The proof only makes one metatheoretic assumption, countable choice, in two places: the proof that all PCAs model countable choice, and the proof that Mem-SCA models countable choice.

# Categories

## B-A. Common.v

We use **Set** to ensure that a type belongs to the universe of sets rather than some larger universe. We cannot use the standard Set universe because we need **Set** to contain Prop to model impredicativity.

Definition **Set** : Type := Type. Inductive ∅ : **Set** :=.

## B-B. Categories.v

* 1. *1. Definition of a Category*

This definition is standard. We include here only the structural components so that the reader may be introduced the notation.

Module Type *Category*.

Parameter *O* : Type. Parameter *JO* : *O →* Prop.

Parameter *~* : *O → O →* Type.

Parameter *J~* : *∀ {o*1 *o*2 : *O}*, *~ o*1 *o*2 *→* Prop.

Parameter *≈~* : *∀ {o*1 *o*2 : *O}*, *~ o*1 *o*2 *→ ~ o*1 *o*2 *→* Prop.

End *Category*.

## B-C. Cartesian.v

* 1. *1. Definition of a Cartesian Category*

This definition is standard. We include here only the structural components so that the reader may be introduced the notation.

Module Type *CartesianCategory*.

Include *Category*.

Parameter **1** : *O*.

Parameter ! : *∀ o* : *O*, *~ o* **1**.

Parameter *×* : *O → O → O*.

Parameter *(·, ·⟩* : *∀ {o o*1 *o*2 : *O}*, *~ o o*1 *→ ~ o o*2 *→ ~ o* (*× o*1 *o*2 ).

Parameter *π*1 : *∀ o*1 *o*2 : *O*, *~* (*× o*1 *o*2 ) *o*1 .

Parameter *π*2 : *∀ o*1 *o*2 : *O*, *~* (*× o*1 *o*2 ) *o*2 .

End *CartesianCategory*.

*B-C2. Definition of a Cartesian-Closed Category*

This definition is standard. We include here only the structural components so that the reader may be introduced the notation.

Module Type *CartesianClosedCategory*.

Include *CartesianCategory*.

Parameter *⇒* : *O → O → O*.

Parameter Λ : *∀ {o o*1 *o*2 : *O}*, *~* (*× o o*1 ) *o*2 *→ ~ o* (*⇒ o*1 *o*2 ).

Parameter ***eval*** : *∀ o*1 *o*2 : *O*, *~* (*×* (*⇒ o*1 *o*2 ) *o*1 ) *o*2 .

End *CartesianClosedCategory*.

*B-C3. Definition of a Nautral-Number Object*

This definition is standard. We include here only the structural components so that the reader may be introduced the notation.

Module Type *NaturalNumberObject* (*CC* : *CartesianCategory* ).

Parameter ***N*** : *O*.

Parameter ***Z*** : *~* **1 *N***.

Parameter ***S*** : *~* ***N N***.

Parameter ***recN*** : *∀ {o* : *O}*, *~* **1** *o → ~ o o → ~* ***N*** *o*.

End *NaturalNumberObject*.

## B-D. Sets.v

*B-D1. Definition of the Category of Inhabited Sets*

Module *InhabitedSets <*: *CartesianClosedCategory*.

Record *O* : Type := *{ set* : **Set**; *Jset* : *set →* Prop; *≈set* : *set → set →* Prop *}*.

Objects are required to be *inhabited* sets (but not pointed sets), as indicated by *sinh*.

Record *JO* (*o* : *O*) : Prop

:= *{ sinh* : *∃ s* : *set o*, *Jset o s*

; *srefl* : *∀ s* : *set o*, *Jset o s → ≈set o s s*

; *ssym* : *∀ s s’* : *set o*, *Jset o s → Jset o s’ → ≈set o s s’ → ≈set o s’ s*

; *strans* : *∀ s s’ s’’* : *set o*, *Jset o s → Jset o s’ → Jset o s’’ → ≈set o s s’ → ≈set o s’ s’’ → ≈set o s s’’ }*.

Definition *~* (*o*1 *o*2 : *O*) : Type := *set o*1 *→ set o*2 .

Note that morphisms are not required to preserve the required inhabitant, making this the category of inhabited sets rather than pointed sets.

Record *J~* (*o*1 *o*2 : *O*) (*m* : *~ o*1 *o*2 ) : Prop

:= *{ mpresv* : *∀ s1* : *set o*1 , *Jset o*1 *s1 → Jset o*2 (*m s1* )

; *mprese* : *∀ s1 s1’* : *set o*1 , *Jset o*1 *s1 → Jset o*1 *s1’ → ≈set o*1 *s1 s1’ → ≈set o*2 (*m s1* ) (*m s1’* ) *}*.

Definition *≈~ {o*1 *o*2 : *O}* (*m*1 *m*2 : *~ o*1 *o*2 ) : Prop := *∀ s1 s1’* : *set o*1 , *Jset o*1 *s1 → Jset o*1 *s1’ → ≈set o*1 *s1 s1’ →*

*≈set o*2 (*m*1 *s1* ) (*m*2 *s1’* ).

We omit the remainder of this module as it simply demonstrates that inhabited sets form a cartesian-closed category, which is not novel. Note, though, that the ability to customize the validity predicate and equivalence relation are necessary for this construction.

End *InhabitedSets*.

Module *InhabitedSetsNat <*: *NaturalNumberObject InhabitedSets*.

We omit the contents of this module as they simply demonstrate that inhabited sets have the obvious natural-number object.

End *InhabitedSetsNat*.

# Combinatory Algebras

## C-A. Expressions.v

* 1. *1. Definition of Applicative Expressions*

Module *ApplicativeExpression*.

Fixpoint *V* (*C* : **Set**) (*n* : N) : Type := match *n* with 0 *'→ C | S n '→ option* (*V C n* ) end.

Fixpoint *vcode {C* : Type*}* (*c* : *C*) (*n* : N) : *V C n* := match *n* with 0 *'→ c | S n '→ Some* (*vcode c n* ) end.

Inductive *E*? *{C* : **Set***} {n* : N*}* : Type := *evar* (*c* : *V C n* ) *| ·* (*ef ea* : *E*?).

Definition *Expr* (*C* : **Set**) : Type := *E*? *C* 0.

Fixpoint *JV {C* : **Set***}* (*JC* : *C →* Prop) *{n* : N*}* : *V C n →* Prop

:= match *n* with 0 *'→ JC | S n '→ λ v '→* match *v* with *None '→* True *| Some v '→ JV JC v* end end. Inductive *JE {C* : **Set***}* (*JC* : *C →* Prop) *{n* : N*}* : *E*? *C n →* Prop

:= *evarv* (*c* : *V C n* ) : *JV JC c → JE JC* (*evar n c*)

*| eappv* (*e**f ea* : *E*? *C n* ) : *JE JC ef → JE JC ea → JE JC* (*· ef ea* ).

Fixpoint *e*˙[*·*] *{C* : **Set***}* (*c* : *C*) *{n* : N*}* (*e* : *E*? *C* (*S n*)) : *E*? *C n*

:= match *e* with *evar * *v '→ evar n* (match *v* with *None '→ vcode c n | Some v '→ v* end) *| · ef ea '→ ·* (*e*˙[*·*] *c ef* ) (*e*˙[*·*] *c*

*ea* ) end.

Lemma *esubstv* (*C* : **Set**) (*JC* : *C →* Prop) (*c* : *C*) (*n* : N) (*e* : *E*? *C* (*S n*)) : *JC c → JE JC e → JE JC* (*e*˙[*·*] *c e*).

Definition (*·*) *{C* : **Set***}* (*c* : *C*) *{n* : N*}* : *E*? *C n* := *evar n* (*vcode c n* ).

Lemma *ecodev* (*C* : **Set**) (*JC* : *C →* Prop) (*c* : *C*) (*n* : N) : *JC c → JE JC* (*n* := *n* ) ((*·*) *c*).

End *ApplicativeExpression*.

## C-B. RCAs.v

* 1. *1. Definition of a Relational Applicative Structure*

This is the formal statement of Definition [4.1](#_bookmark11).

Module Type *RelationalApplicativeStructure*.

Parameter *C* : **Set**.

Parameter *JC* : *C →* Prop.

Parameter *↓c* : *C → C → C →* Prop. Parameter *↓* : *C → C →* Prop.

Parameter *preservation* : *∀ cf ca cr* : *C*, *JC cf → JC ca → ↓c cf ca cr → JC cr* .

Parameter *progress* : *∀ cf ca* : *C*, *JC cf → JC ca → ↓ cf ca → ∃ cr* : *C*, *↓c cf ca cr* .

End *RelationalApplicativeStructure*.

*C-B2. Definition of Relational Reduction and Termination of Applicative Expressions*

Module *RelationalApplicativeExpression*.

Inductive *↓E {C* : **Set***}* (*↓c* : *C → C → C →* Prop) : *E*0 *C → C →* Prop

*c*

:= *revar* (*c* : *C*) : *↓E ↓c* (*evar* 0 *c*) *c*

*c*

*| reapp* (*ef ea* : *E*0 *C*) (*cf ca cr* : *C*) : *↓E ↓c ef cf → ↓E ↓c ea ca → ↓c cf ca cr → ↓E ↓c* (*· ef ea* ) *cr* .

*c c c*

Inductive *↓E {C* : **Set***}* (*↓c* : *C → C → C →* Prop) (*↓* : *C → C →* Prop) : *E*0 *C →* Prop

:= *tevar* (*c* : *C*) : *↓E ↓c ↓* (*evar* 0 *c*)

*| teapp* (*ef ea* : *E*0 *C*) : *↓E ↓c ↓ ef →* (*∀ cf* : *C*, *↓E ↓c ef cf → ↓E ↓c ↓ ea ∧* (*∀ ca* : *C*, *↓E ↓c ea ca → ↓ cf ca* ))

*c c*

*→ ↓E ↓c ↓* (*· ef ea* ).

Definition *↓φ {C* : **Set***}* (*↓c* : *C → C → C →* Prop) (*↓* : *C → C →* Prop) (*cf ca* : *C*) (*φr* : *C →* Prop) : Prop

:= *↓ cf ca ∧* (*∀ cr* : *C*, *↓c cf ca cr → φr cr* ).

Lemma *termred forall {C I* : **Set***}* (*↓c* : *C → C → C →* Prop) (*↓* : *C → C →* Prop) (*JI* : *I →* Prop) (*cf ca* : *C*) (*φr* : *I*

*→ C →* Prop) : (*∃ i* : *I*, *JI i* ) *→* (*∀ i* : *I*, *JI i → ↓φ ↓c ↓ cf ca* (*φr i* )) *→ ↓φ ↓c ↓ cf ca* (*λ cr '→ ∀ i* : *I*, *JI i → φr i cr* ).

Fixpoint *↓E {C* : **Set***}* (*↓c* : *C → C → C →* Prop) (*↓* : *C → C →* Prop) (*e* : *E*0 *C*) (*φr* : *C →* Prop) : Prop

*φ*

:= match *e* with *evar * *c '→ φr c | · ef ea '→ ↓E ↓c ↓ ef* (*λ cf '→ ↓E ↓c ↓ ea* (*λ ca '→ ↓φ ↓c ↓ cf ca φr* )) end.

*φ φ*

Lemma *termredexpr {C* : **Set***}* (*↓c* : *C → C → C →* Prop) (*↓* : *C → C →* Prop) (*e* : *E*0 *C*) (*φr* : *C →* Prop) : *↓E ↓c ↓*

*φ*

*e φr → ↓E ↓c ↓ e ∧* (*∀ cr* : *C*, *↓E ↓c e cr → φr cr* ).

*c*

End *RelationalApplicativeExpression*.

* 1. *3. Definition of a Relational Combinatory Algebra*

This is the formal statement of Definition [4.2](#_bookmark12).

Module Type *RelationalCombinatoryAlgebra*.

Include *RelationalApplicativeStructure*.

Parameter *cλ* : *∀ n* : N, *E*? *C* (*S n*) *→ C*.

Parameter *cencodev* : *∀ n* : N, *∀ e* : *E*? *C* (*S n*), *JE JC e → JC* (*cλ n e*).

Parameter *red encode S* : *∀ n* : N, *∀ e* : *E*? *C* (*S* (*S n*)), *∀ ca cr* : *C*, *JE JC e → JC ca → ↓c* (*cλ* (*S n*) *e*) *ca cr → cλ n*

(*e*˙[*·*] *ca e*) = *cr* .

Parameter *red encode 0* : *∀ e* : *E*? *C* 1, *∀ ca cr* : *C*, *JE JC e → JC ca → ↓c* (*cλ* 0 *e*) *ca cr → ↓E ↓c* (*e*˙[*·*] *ca e*) *cr* .

*c*

Parameter *term encode S* : *∀ n* : N, *∀ e* : *E*? *C* (*S* (*S n*)), *∀ ca* : *C*, *JE JC e → JC ca → ↓* (*cλ* (*S n*) *e*) *ca* .

Parameter *term encode 0* : *∀ e* : *E*? *C* 1, *∀ ca* : *C*, *JE JC e → JC ca → ↓E ↓c ↓* (*e*˙[*·*] *ca e*) *→ ↓* (*cλ* 0 *e*) *ca* .

End *RelationalCombinatoryAlgebra*.

## C-C. PCAs.v

* 1. *1. Definition of a Partial Combinatory Algebra*

This is the formal statement of Definition [3.2](#_bookmark7)

Module Type *PartialCombinatoryAlgebra*.

Include *RelationalCombinatoryAlgebra*.

Parameter *red deterministic* : *∀ cf ca cr c'* : *C*, *JC cf → JC ca → ↓c cf ca cr → ↓c cf ca c' → cr* = *c'* .

*r r r*

Parameter *red term* : *∀ cf ca cr* : *C*, *JC cf → JC ca → JC cr → ↓c cf ca cr → ↓ cf ca* .

End *PartialCombinatoryAlgebra*.

## C-D. SCAs.v

* 1. *1. Definition of a Stateful Applicative Structure*

This is the formal statement of Definition [5.1](#_bookmark20). One difference, though, is that in this definition we allow code-validity to depend on the current state so long as code-validity is future-stable. Although our proofs do not rely on this additional degree of flexibility, it can be convenient for keeping the model clean, say by guaranteeing that any references a valid code has to the state necessarily refer to allocated locations.

Module Type *StatefulApplicativeStructure*.

Parameter Σ : **Set**.

Parameter *J*Σ : Σ *→* Prop. Parameter *sinhabited* : *∃ σ* : Σ, *J*Σ *σ*.

Parameter *≤* : Σ *→* Σ *→* Prop. Parameter *frefl* : *∀ σ* : Σ, *J*Σ *σ → ≤ σ σ*.

Parameter *ftrans* : *∀ σ σ' σ''* : Σ, *J*Σ *σ → J*Σ *σ' → J*Σ *σ'' → ≤ σ σ' → ≤ σ' σ'' → ≤ σ σ''* .

Parameter *C* : **Set**.

Parameter *JC* : Σ *→ C →* Prop.

Parameter *codev fut* : *∀ σ σ'* : Σ, *∀ c* : *C*, *J*Σ *σ → J*Σ *σ' → ≤ σ σ' → JC σ c → JC σ' c*.

Parameter *↓c* : Σ *→ C → C →* Σ *→ C →* Prop. Parameter *↓* : Σ *→ C → C →* Prop.

Parameter *preservation* : *∀ σ* : Σ, *∀ cf ca* : *C*, *∀ σ'* : Σ, *∀ cr* : *C*, *J*Σ *σ → JC σ cf → JC σ ca → ↓c σ cf ca σ' cr →*

*J*Σ *σ' ∧ ≤ σ σ' ∧ JC σ' cr* .

Parameter *progress* : *∀ σ* : Σ, *∀ cf ca* : *C*, *J*Σ *σ → JC σ cf → JC σ ca → ↓ σ cf ca → ∃ σ'* : Σ, *∃ cr* : *C*, *↓c σ cf ca*

*σ' cr* .

End *StatefulApplicativeStructure*.

*C-D2. Definition of Stateful Reduction and Termination of Applicative Expressions*

Module *StatefulApplicativeExpression*.

Inductive *↓E {*Σ *C* : **Set***}* (*↓c* : Σ *→ C → C →* Σ *→ C →* Prop) : Σ *→ E*0 *C →* Σ *→ C →* Prop

*c*

:= *revar* (*σ* : Σ) (*c* : *C*) : *↓E ↓c σ* (*evar* 0 *c*) *σ c*

*c*

*| reapp* (*σ* : Σ) (*ef ea* : *E*0 *C*) (*σ'* : Σ) (*cf* : *C*) (*σ''* : Σ) (*ca* : *C*) (*s’’’* : Σ) (*cr* : *C*) : *↓E ↓c σ ef σ' cf → ↓E ↓c σ'*

*c c*

*ea σ'' ca → ↓c σ'' cf ca s’’’ cr → ↓E ↓c σ* (*· ef ea* ) *s’’’ cr* .

*c*

Inductive *↓E {*Σ *C* : **Set***}* (*↓c* : Σ *→ C → C →* Σ *→ C →* Prop) (*↓* : Σ *→ C → C →* Prop) : Σ *→ E*0 *C →* Prop

:= *tevar* (*σ* : Σ) (*c* : *C*) : *↓E ↓c ↓ σ* (*evar* 0 *c*)

*| teapp* (*σ* : Σ) (*ef ea* : *E*0 *C*) : *↓E ↓c ↓ σ ef →* (*∀ σ'* : Σ, *∀ cf* : *C*, *↓E ↓c σ ef σ' cf → ↓E ↓c ↓ σ' ea ∧* (*∀ σ''* :

*c*

Σ, *∀ ca* : *C*, *↓E ↓c σ' ea σ'' ca → ↓ σ'' cf ca* )) *→ ↓E ↓c ↓ σ* (*· ef ea* ).

*c*

Definition *↓φ {*Σ *C* : **Set***}* (*↓c* : Σ *→ C → C →* Σ *→ C →* Prop) (*↓* : Σ *→ C → C →* Prop) (*σ* : Σ) (*cf ca* : *C*) (*φr* : Σ *→ C →* Prop) : Prop

:= *↓ σ c**f ca ∧* (*∀ σ'* : Σ, *∀ cr* : *C*, *↓c σ cf ca σ' cr → φr σ' cr* ).

Lemma *termred forall {*Σ *C I* : **Set***}* (*↓c* : Σ *→ C → C →* Σ *→ C →* Prop) (*↓* : Σ *→ C → C →* Prop) (*JI* : *I →* Prop) (*σ* : Σ) (*cf ca* : *C*) (*φr* : *I →* Σ *→ C →* Prop) : (*∃ i* : *I*, *JI i* ) *→* (*∀ i* : *I*, *JI i → ↓φ ↓c ↓ σ cf ca* (*φr i* )) *→ ↓φ ↓c ↓ σ cf ca* (*λ σ' cr '→ ∀ i* : *I*, *JI i → φr i σ' cr* ).

Fixpoint *↓E {*Σ *C* : **Set***}* (*↓c* : Σ *→ C → C →* Σ *→ C →* Prop) (*↓* : Σ *→ C → C →* Prop) (*σ* : Σ) (*e* : *E*0 *C*) (*φr* : Σ

*φ*

*→ C →* Prop) : Prop

:= match *e* with *evar * *c '→ φr σ c | · ef ea '→ ↓E ↓c ↓ σ ef* (*λ σ' cf '→ ↓E ↓c ↓ σ' ea* (*λ σ'' ca '→ ↓φ ↓c ↓ σ'' cf ca*

*φ φ*

*φr* )) end.

Lemma *termredexpr {*Σ *C* : **Set***}* (*↓c* : Σ *→ C → C →* Σ *→ C →* Prop) (*↓* : Σ *→ C → C →* Prop) (*σ* : Σ) (*e* : *E*0 *C*)

(*φr* : Σ *→ C →* Prop) : *↓E ↓c ↓ σ e φr → ↓E ↓c ↓ σ e ∧* (*∀ σ'* : Σ, *∀ cr* : *C*, *↓E ↓c σ e σ' cr → φr σ' cr* ).

*φ c*

End *StatefulApplicativeExpression*.

* 1. *3. Definition of a Stateful Combinatory Algebra*

This is the formal statement of Definition [5.2](#_bookmark22).

Module Type *StatefulCombinatoryAlgebra*.

Include *StatefulApplicativeStructure*.

Parameter *cλ* : *∀ n* : N, *E*? *C* (*S n*) *→ C*.

Parameter *cencodev* : *∀ n* : N, *∀ e* : *E*? *C* (*S n*), *∀ σ* : Σ, *J*Σ *σ → JE* (*JC σ*) *e → JC σ* (*cλ n e*).

Parameter *red encode S* : *∀ σ σ'* : Σ, *∀ n* : N, *∀ e* : *E*? *C* (*S* (*S n*)), *∀ ca cr* : *C*, *J*Σ *σ → JE* (*JC σ*) *e → JC σ ca → ↓c*

*σ* (*cλ* (*S n*) *e*) *ca σ' cr → σ'* = *σ ∧ cλ n* (*e*˙[*·*] *ca e*) = *cr* .

Parameter *red encode 0* : *∀ σ σ'* : Σ, *∀ e* : *E*? *C* 1, *∀ ca cr* : *C*, *J*Σ *σ → JE* (*JC σ*) *e → JC σ ca → ↓c σ* (*cλ* 0 *e*) *ca σ' cr → ↓E ↓c σ* (*e*˙[*·*] *ca e*) *σ' cr* .

*c*

Parameter *term encode S* : *∀ σ* : Σ, *∀ n* : N, *∀ e* : *E*? *C* (*S* (*S n*)), *∀ ca* : *C*, *J*Σ *σ → JE* (*JC σ*) *e → JC σ ca → ↓ σ* (*cλ*

(*S n*) *e*) *ca* .

Parameter *term encode 0* : *∀ σ* : Σ, *∀ e* : *E*? *C* 1, *∀ ca* : *C*, *J*Σ *σ → JE* (*JC σ*) *e → JC σ ca → ↓E ↓c ↓ σ* (*e*˙[*·*] *ca e*) *→*

*↓ σ* (*cλ* 0 *e*) *ca* .

End *StatefulCombinatoryAlgebra*.

## C-E. Lambdas.v

* 1. *1. Framework for Building Codes with Lambda-Terms and Primitives*

Module *LambdaTerm*.

Defines *λ*-body expressions *L* parameterized by a set of codes *C*, and defines codes *C*? parameterized by a set of primitives *P* such that a code is either a primitive or a *λ*-code of a *λ*-body expression.

End *LambdaTerm*.

## C-F. FreeRCA.v

* 1. *1. Framework for Building Relational Combinatory Algebras with Lambda-Terms and Primitives*

We elide the construction as it is just tedious and made complex by the fact that there is no direct way to define mutually dependent inductive types or propositions across modules. We only show the module type for specifying the set of primitives and their termination and reduction behavior.

Module *FreeRelationalCombinatoryCode*.

Defines relational application *↓λ* and termination *↓λ*

*c*

*C*

for *λ*-body expressions, and application *↓c* and termination *↓*

? *C*?

for codes, each parameterized by application and termination rules for the appropriate unknown sets of codes/primitives.

End *FreeRelationalCombinatoryCode*.

Module Type *PrimitiveApplicativeStructure*.

Parameter *P* : **Set**.

Parameter *JP* : *P →* Prop.

Parameter *↓p* : *P → C*? *P → C*? *P →* Prop. Parameter *↓p* : *P → C*? *P →* Prop.

*c*

Parameter *preservation prim* : *∀ pf* : *P* , *∀ ca* : *C*? *P* , *∀ cr* : *C*? *P* , *JP pf → JC*

*JP ca → ↓p pf ca cr → JC*

*JP cr* .

? *c* ?

*p p*

Parameter *progress prim* : *∀ pf* : *P* , *∀ ca* : *C*? *P* , *JP pf → JC*? *JP ca → ↓ pf ca → ∃ cr* : *C*? *P* , *↓ pf ca cr* .

*c*

End *PrimitiveApplicativeStructure*.

Module *FreeRelationalCombinatoryAlgebra* (*PAS* : *PrimitiveApplicativeStructure*) *<*: *RelationalCombinatoryAlgebra*.

Include *FreeRelationalCombinatoryCode*.

Include *PAS*.

Tediously ties the mutually recursive knot.

End *FreeRelationalCombinatoryAlgebra*.

## C-G. FreeSCA.v

* 1. *1. Framework for Building Stateful Combinatory Algebras with Lambda-Terms and Primitives*

We elide the construction as it is just tedious and made complex by the fact that there is no direct way to define mutually dependent inductive types or propositions across modules. We only show the module type for specifying the set of primitives and their termination and reduction behavior.

Module *FreeStatefulCombinatoryCode*.

Defines stateful application *↓λ* and termination *↓λ* for *λ*-body expressions, and application *↓C*? and termination *↓C*?

*c c*

for codes, each parameterized by application and termination rules for the appropriate unknown sets of codes/primitives

and states.

End *FreeStatefulCombinatoryCode*.

Module Type *PrimitiveApplicativeStructure*.

Parameter Σ : **Set**.

Parameter *P* : **Set**.

Parameter *J*Σ : Σ *→* Prop. Parameter *sinhabited* : *∃ σ* : Σ, *J*Σ *σ*.

Parameter *≤* : Σ *→* Σ *→* Prop. Parameter *frefl* : *∀ σ* : Σ, *J*Σ *σ → ≤ σ σ*.

Parameter *ftrans* : *∀ σ σ' σ''* : Σ, *J*Σ *σ → J*Σ *σ' → J*Σ *σ'' → ≤ σ σ' → ≤ σ' σ'' → ≤ σ σ''* .

Parameter *JP* : Σ *→ P →* Prop.

Parameter *primv fut* : *∀ σ σ'* : Σ, *∀ p* : *P* , *J*Σ *σ → J*Σ *σ' → ≤ σ σ' → JP σ p → JP σ' p*.

Parameter *↓p* : Σ *→ P → C*? *P →* Σ *→ C*? *P →* Prop. Parameter *↓p* : Σ *→ P → C*? *P →* Prop.

*c*

Parameter *preservation prim* : *∀ σ* : Σ, *∀ pf* : *P* , *∀ ca* : *C*? *P* , *∀ σ'* : Σ, *∀ cr* : *C*? *P* , *J*Σ *σ → JP σ pf → JC*

?

(*JP σ*) *ca*

*→ ↓p σ pf ca σ' cr → J*Σ *σ' ∧ ≤ σ σ' ∧ JC* (*JP σ'* ) *cr* .

*c* ?

*p '*

Parameter *progress prim* : *∀ σ* : Σ, *∀ pf* : *P* , *∀ ca* : *C*? *P* , *J*Σ *σ → JP σ pf → JC*? (*JP σ*) *ca → ↓ σ pf ca → ∃ σ* : Σ,

*∃ cr* : *C*? *P* , *↓p σ pf ca σ' cr* .

*c*

End *PrimitiveApplicativeStructure*.

Module *FreeStatefulCombinatoryAlgebra* (*PAS* : *PrimitiveApplicativeStructure*) *<*: *StatefulCombinatoryAlgebra*.

Include *FreeStatefulCombinatoryCode*.

Include *PAS*.

Tediously ties the mutually recursive knot.

End *FreeStatefulCombinatoryAlgebra*.

# Higher-Order Fibrations

## D-A. HOFs.v

*D-A1. Definition of a Higher-Order Fibration*

Module Type *HigherOrderFibration* (*CCC* : *CartesianClosedCategory*).

**Propositions**

Parameter Φ : *O →* Type.

Parameter *J*Φ : *∀ {o* : *O}*, Φ *o →* Prop.

**Entailment**

Parameter *▶* : *∀ {o* : *O}*, Φ *o →* Φ *o →* Prop.

Parameter *refl* : *∀ o* : *O*, *∀ φ* : Φ *o*, *JO o → J*Φ *φ → ▶ φ φ*.

Parameter *trans* : *∀ o* : *O*, *∀ φ*1 *φ*2 *φ*3 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ J*Φ *φ*3 *→ ▶ φ*1 *φ*2 *→ ▶ φ*2 *φ*3 *→ ▶ φ*1 *φ*3 .

**Substition**

Parameter *φ*˙[*·*] : *∀ {o*1 *o*2 : *O}*, *∀ m* : *~ o*1 *o*2 , Φ *o*2 *→* Φ *o*1 .

Parameter *substv* : *∀ o*1 *o*2 : *O*, *∀ m* : *~ o*1 *o*2 , *∀ φ*2 : Φ *o*2 , *JO o*1 *→ JO o*2 *→ J~ m → J*Φ *φ*2 *→ J*Φ (*φ*˙[*·*] *m φ*2 ).

Parameter *substi* : *∀ o*1 *o*2 : *O*, *∀ m* : *~ o*1 *o*2 , *∀ φ*2 *φ'* : Φ *o*2 , *JO o*1 *→ JO o*2 *→ J~ m → J*Φ *φ*2 *→ J*Φ *φ' → ▶ φ*2 *φ'*

2 2 2

*→ ▶* (*φ*˙[*·*] *m φ*2 ) (*φ*˙[*·*] *m φ'* ).

2

Parameter *subste* : *∀ o*1 *o*2 : *O*, *∀ m*1 *m*2 : *~ o*1 *o*2 , *∀ φ*2 : Φ *o*2 , *JO o*1 *→ JO o*2 *→ J~ m*1 *→ J~ m*2 *→ J*Φ *φ*2 *→ ≈~*

*m*1 *m*2 *→ ▶* (*φ*˙[*·*] *m*1 *φ*2 ) (*φ*˙[*·*] *m*2 *φ*2 ) *∧ ▶* (*φ*˙[*·*] *m*2 *φ*2 ) (*φ*˙[*·*] *m*1 *φ*2 ).

Parameter *substid* : *∀ o* : *O*, *∀ φ* : Φ *o*, *JO o → J*Φ *φ → ▶ φ* (*φ*˙[*·*] (*id o*) *φ*) *∧ ▶* (*φ*˙[*·*] (*id o*) *φ*) *φ*.

Parameter *substcomp* : *∀ o*1 *o*2 *o*3 : *O*, *∀ m*12 : *~ o*1 *o*2 , *∀ m*23 : *~ o*2 *o*3 , *∀ φ*3 : Φ *o*3 , *JO o*1 *→ JO o*2 *→ JO o*3 *→ J~ m*12 *→ J~ m*23 *→ J*Φ *φ*3 *→ ▶* (*φ*˙[*·*] *m*12 (*φ*˙[*·*] *m*23 *φ*3 )) (*φ*˙[*·*] (; *m*12 *m*23 ) *φ*3 ) *∧ ▶* (*φ*˙[*·*] (; *m*12 *m*23 ) *φ*3 ) (*φ*˙[*·*] *m*12 (*φ*˙[*·*] *m*23 *φ*3 )).

**True**

Parameter *T* : *∀ o* : *O*, Φ *o*.

Parameter *topv* : *∀ o* : *O*, *JO o → J*Φ (*T o*).

Parameter *topi* : *∀ o* : *O*, *∀ φ* : Φ *o*, *JO o → J*Φ *φ → ▶ φ* (*T o*).

Parameter *tops* : *∀ o*1 *o*2 : *O*, *∀ m* : *~ o*1 *o*2 , *JO o*1 *→ JO o*2 *→ J~ m → ▶* (*T o*1 ) (*φ*˙[*·*] *m* (*T o*2 )).

**Conjunction**

Parameter *∧* : *∀ {o* : *O}*, Φ *o →* Φ *o →* Φ *o*.

Parameter *conjv* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ J*Φ (*∧ φ*1 *φ*2 ).

Parameter *conji* : *∀ o* : *O*, *∀ φ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶ φ φ*1 *→ ▶ φ φ*2 *→ ▶ φ* (*∧ φ*1 *φ*2 ).

Parameter *conje1* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶* (*∧ φ*1 *φ*2 ) *φ*1 .

Parameter *conje2* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶* (*∧ φ*1 *φ*2 ) *φ*2 .

Parameter *conjs* : *∀ o*1 *o*2 : *O*, *∀ m* : *~ o*1 *o*2 , *∀ φ*1 *φ*2 : Φ *o*2 , *JO o*1 *→ JO o*2 *→ J~ m → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶* (*∧* (*φ*˙[*·*]

*m φ*1 ) (*φ*˙[*·*] *m φ*2 )) (*φ*˙[*·*] *m* (*∧ φ*1 *φ*2 )).

**False**

Parameter *⊥* : *∀ o* : *O*, Φ *o*.

Parameter *botv* : *∀ o* : *O*, *JO o → J*Φ (*⊥ o*).

Parameter *bote* : *∀ o* : *O*, *∀ φ* : Φ *o*, *JO o → J*Φ *φ → ▶* (*⊥ o*) *φ*.

Parameter *bots* : *∀ o*1 *o*2 : *O*, *∀ m* : *~ o*1 *o*2 , *JO o*1 *→ JO o*2 *→ J~ m → ▶* (*φ*˙[*·*] *m* (*⊥ o*2 )) (*⊥ o*1 ).

**Disjunction**

Parameter *∨* : *∀ {o* : *O}*, Φ *o →* Φ *o →* Φ *o*.

Parameter *disjv* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ J*Φ (*∨ φ*1 *φ*2 ). Parameter *disji1* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶ φ*1 (*∨ φ*1 *φ*2 ). Parameter *disji2* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶ φ*2 (*∨ φ*1 *φ*2 ).

Parameter *disje* : *∀ o* : *O*, *∀ φ*1 *φ*2 *φ* : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ J*Φ *φ → ▶ φ*1 *φ → ▶ φ*2 *φ → ▶* (*∨ φ*1 *φ*2 ) *φ*.

Parameter *disjs* : *∀ o*1 *o*2 : *O*, *∀ m* : *~ o*1 *o*2 , *∀ φ*1 *φ*2 : Φ *o*2 , *JO o*1 *→ JO o*2 *→ J~ m → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶* (*φ*˙[*·*] *m*

(*∨ φ*1 *φ*2 )) (*∨* (*φ*˙[*·*] *m φ*1 ) (*φ*˙[*·*] *m φ*2 )).

**Implication**

Parameter *⊃* : *∀ {o* : *O}*, Φ *o →* Φ *o →* Φ *o*.

Parameter *impv* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ J*Φ (*⊃ φ*1 *φ*2 ).

Parameter *impi* : *∀ o* : *O*, *∀ φ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶* (*∧ φ φ*1 ) *φ*2 *→ ▶ φ* (*⊃ φ*1 *φ*2 ).

Parameter *impe* : *∀ o* : *O*, *∀ φ*1 *φ*2 : Φ *o*, *JO o → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶* (*∧* (*⊃ φ*1 *φ*2 ) *φ*1 ) *φ*2 .

Parameter *imps* : *∀ o*1 *o*2 : *O*, *∀ m* : *~ o*1 *o*2 , *∀ φ*1 *φ*2 : Φ *o*2 , *JO o*1 *→ JO o*2 *→ J~ m → J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶* (*⊃* (*φ*˙[*·*]

*m φ*1 ) (*φ*˙[*·*] *m φ*2 )) (*φ*˙[*·*] *m* (*⊃ φ*1 *φ*2 )).

**Universal Quantification**

Parameter *∀* : *∀ {o*1 : *O}*, *∀ o*2 : *O*, Φ (*× o*1 *o*2 ) *→* Φ *o*1 .

Parameter *sforallv* : *∀ o*1 *o*2 : *O*, *∀ φ*12 : Φ (*× o*1 *o*2 ), *JO o*1 *→ JO o*2 *→ J*Φ *φ*12 *→ J*Φ (*∀ o*2 *φ*12 ).

Parameter *sforalli* : *∀ o*1 *o*2 : *O*, *∀ φ*1 : Φ *o*1 , *∀ φ*12 : Φ (*× o*1 *o*2 ), *JO o*1 *→ JO o*2 *→ J*Φ *φ*1 *→ J*Φ *φ*12 *→ ▶* (*φ*˙[*·*] (*π*1 *o*1 *o*2 ) *φ*1 ) *φ*12 *→ ▶ φ*1 (*∀ o*2 *φ*12 ).

Parameter *sforalle* : *∀ o*1 *o*2 : *O*, *∀ φ*1 : Φ *o*1 , *∀ φ*12 : Φ (*× o*1 *o*2 ), *JO o*1 *→ JO o*2 *→ J*Φ *φ*1 *→ J*Φ *φ*12 *→ ▶ φ*1 (*∀ o*2

*φ*12 ) *→ ▶* (*φ*˙[*·*] (*π*1 *o*1 *o*2 ) *φ*1 ) *φ*12 .

Parameter *sforalls* : *∀ o*1 *o' o*2 : *O*, *∀ m* : *~ o*1 *o'* , *∀ φ'* : Φ (*× o' o*2 ), *JO o*1 *→ JO o' → JO o*2 *→ J~ m → J*Φ *φ'*

1 1 12 1 1 12

*→ ▶* (*∀ o*2 (*φ*˙[*·*] (*(·, ·⟩* (; (*π*1 *o*1 *o*2 ) *m* ) (*π*2 *o*1 *o*2 )) *φ'* )) (*φ*˙[*·*] *m* (*∀ o*2 *φ'* )).

**Existential Quantification**

12 12

Parameter *∃* : *∀ {o*1 : *O}*, *∀ o*2 : *O*, Φ (*× o*1 *o*2 ) *→* Φ *o*1 .

Parameter *sexistsv* : *∀ o*1 *o*2 : *O*, *∀ φ*12 : Φ (*× o*1 *o*2 ), *JO o*1 *→ JO o*2 *→ J*Φ *φ*12 *→ J*Φ (*∃ o*2 *φ*12 ).

Parameter *sexistsi* : *∀ o*1 *o*2 : *O*, *∀ φ*12 : Φ (*× o*1 *o*2 ), *∀ φ*1 : Φ *o*1 , *JO o*1 *→ JO o*2 *→ J*Φ *φ*12 *→ J*Φ *φ*1 *→ ▶ φ*12 (*φ*˙[*·*] (*π*1 *o*1 *o*2 ) *φ*1 ) *→ ▶* (*∃ o*2 *φ*12 ) *φ*1 .

Parameter *sexistse* : *∀ o*1 *o*2 : *O*, *∀ φ*12 : Φ (*× o*1 *o*2 ), *∀ φ*1 : Φ *o*1 , *JO o*1 *→ JO o*2 *→ J*Φ *φ*12 *→ J*Φ *φ*1 *→ ▶* (*∃ o*2 *φ*12 )

*φ*1 *→ ▶ φ*12 (*φ*˙[*·*] (*π*1 *o*1 *o*2 ) *φ*1 ).

Parameter *sexistss* : *∀ o*1 *o' o*2 : *O*, *∀ m* : *~ o*1 *o'* , *∀ φ'* : Φ (*× o' o*2 ), *JO o*1 *→ JO o' → JO o*2 *→ J~ m → J*Φ *φ'*

1 1 12 1 1 12

*→ ▶* (*φ*˙[*·*] *m* (*∃ o*2 *φ'* )) (*∃ o*2 (*φ*˙[*·*] (*(·, ·⟩* (; (*π*1 *o*1 *o*2 ) *m* ) (*π*2 *o*1 *o*2 )) *φ'* )).

12 12

**Equality**

Parameter = : *∀ {o*1 : *O}*, *∀ o*2 : *O*, Φ (*× o*1 *o*2 ) *→* Φ (*× o*1 (*× o*2 *o*2 )).

Parameter *seqv* : *∀ o*1 *o*2 : *O*, *∀ φ*12 : Φ (*× o*1 *o*2 ), *JO o*1 *→ JO o*2 *→ J*Φ *φ*12 *→ J*Φ (= *o*2 *φ*12 ).

Parameter *seqi* : *∀ o*1 *o*2 : *O*, *∀ φ*12 : Φ (*× o*1 *o*2 ), *∀ φ*122 : Φ (*× o*1 (*× o*2 *o*2 )), *JO o*1 *→ JO o*2 *→ J*Φ *φ*12 *→ J*Φ *φ*122

*→ ▶ φ*12 (*φ*˙[*·*] (*(·, ·⟩* (*π*1 *o*1 *o*2 ) (*(·, ·⟩* (*π*2 *o*1 *o*2 ) (*π*2 *o*1 *o*2 ))) *φ*122 ) *→ ▶* (= *o*2 *φ*12 ) *φ*122 .

Parameter *seqe* : *∀ o*1 *o*2 : *O*, *∀ φ*12 : Φ (*× o*1 *o*2 ), *∀ φ*122 : Φ (*× o*1 (*× o*2 *o*2 )), *JO o*1 *→ JO o*2 *→ J*Φ *φ*12 *→ J*Φ *φ*122

*→ ▶* (= *o*2 *φ*12 ) *φ*122 *→ ▶ φ*12 (*φ*˙[*·*] (*(·, ·⟩* (*π*1 *o*1 *o*2 ) (*(·, ·⟩* (*π*2 *o*1 *o*2 ) (*π*2 *o*1 *o*2 ))) *φ*122 ).

Parameter *seqs* : *∀ o*1 *o' o*2 : *O*, *∀ m* : *~ o*1 *o'* , *∀ φ'* : Φ (*× o' o*2 ), *JO o*1 *→ JO o' → JO o*2 *→ J~ m → J*Φ *φ' → ▶*

1 1 12 1 1 12

(*φ*˙[*·*] (*(·, ·⟩* (; (*π*1 *o*1 (*× o*2 *o*2 )) *m* ) (*π*2 *o*1 (*× o*2 *o*2 ))) (= *o*2 *φ'* )) (= *o*2 (*φ*˙[*·*] (*(·, ·⟩* (; (*π*1 *o*1 *o*2 ) *m* ) (*π*2 *o*1 *o*2 )) *φ'* )).

12 12

**Impredicativity**

Parameter Ω : *O*.

Parameter *holds* : Φ Ω.

Parameter *χ* : *∀ {o* : *O}*, Φ *o → ~ o* Ω.

Parameter *opropv* : *JO* Ω.

Parameter *holdsv* : *J*Φ *holds*.

Parameter *characterv* : *∀ o* : *O*, *∀ φ* : Φ *o*, *JO o → J*Φ *φ → J~* (*χ φ*).

Parameter *holdsi* : *∀ o* : *O*, *∀ φ* : Φ *o*, *JO o → J*Φ *φ → ▶ φ* (*φ*˙[*·*] (*χ φ*) *holds*).

Parameter *holdse* : *∀ o* : *O*, *∀ φ* : Φ *o*, *JO o → J*Φ *φ → ▶* (*φ*˙[*·*] (*χ φ*) *holds*) *φ*.

End *HigherOrderFibration*.

When a higher-order fibration is defined over a category with a natural-number object ***N***, it automatically has an 𝕟 predicate over ***N***. However, the automatic construction of 𝕟 tends to be complicated, so here we allow one to specify an optimized, necessarily equivalent, construction.

Module Type *NatHigherOrderFibration* (*CCC* : *CartesianClosedCategory*) (*NNO* : *NaturalNumberObject CCC* ).

Include *HigherOrderFibration CCC*.

Parameter 𝕟 : Φ ***N***.

Parameter *isnatv* : *J*Φ 𝕟.

Parameter *isnatiz* : *▶* (*T* **1**) (*φ*˙[*·*] ***Z*** 𝕟).

Parameter *isnatis* : *▶* 𝕟 (*φ*˙[*·*] ***S*** 𝕟).

Parameter *isnate* : *∀ φ* : Φ ***N***, *J*Φ *φ → ▶* (*T* **1**) (*φ*˙[*·*] ***Z*** *φ*) *→ ▶ φ* (*φ*˙[*·*] ***S*** *φ*) *→ ▶* 𝕟 *φ*.

End *NatHigherOrderFibration*.

## D-B. RCAstoHOFs.v

*D-B1. Proof that a Relational Combinatory Algebra forms a Higher-Order Fibration*

This module demonstrates that every relational (and partial) combinatory algebra forms a consistent higher-order fibration over the inhabited sets, as claimed in Section [4.2](#_bookmark15) (and Section [3.2](#_bookmark8)). We only show the definitions of the constructions and the realizers of the various entailments and omit the lemmas required by *NatHigherOrderFibration*, the proofs of which are straightforward from the definitions.

Module *RCAtoHOF* (*RCA* : *RelationalCombinatoryAlgebra* ) *<*: *NatHigherOrderFibration InhabitedSets InhabitedSetsNat*.

Definition Φ (*o* : *O*) : Type := *set o → C →* Prop.

Definition *J*Φ *{o* : *O}* (*φ* : Φ *o*) : Prop := *∀ x x’* : *set o*, *∀ c* : *C*, *Jset o x → Jset o x’ → ≈set o x x’ → JC c → φ x c → φ*

*x’ c*.

Definition *▶ {o* : *O}* (*φ*1 *φ*2 : Φ *o*) : Prop := *∃ c* : *C*, *JC c ∧ ∀ x* : *set o*, *∀ c1* : *C*, *Jset o x → JC c1 → φ*1 *x c1 → ↓φ*

*↓c ↓ c c1* (*φ*2 *x* ).

Definition *⊆*Φ *{o* : *O}* (*φ*1 *φ*2 : Φ *o*) : Prop := *∀ x* : *set o*, *∀ c* : *C*, *Jset o x → JC c → φ*1 *x c → φ*2 *x c*.

Definition *caxiom* : *C* := *cλ* 0 (*evar* 1 *None*).

Definition *ccut* (*c1 c2* : *C*) : *C* := *cλ* 0 (*·* ((*·*) *c2* ) (*·* ((*·*) *c1* ) (*evar* 1 *None*))).

Definition *φ*˙[*·*] *{o*1 *o*2 : *O}* (*m* : *~ o*1 *o*2 ) (*φ*2 : Φ *o*2 ) : Φ *o*1 := *λ s1 '→ φ*2 (*m s1* ).

Definition *T* (*o* : *O*) : Φ *o* := *λ x c '→* True.

Definition *c2sel1* : *C* := *cλ* 1 (*evar* 2 *None*).

Definition *c2sel2* : *C* := *cλ* 1 (*evar* 2 (*Some None*)).

Definition *∧ {o* : *O}* (*φ*1 *φ*2 : Φ *o*) : Φ *o* := *λ x c '→ ↓φ ↓c ↓ c c2sel1* (*φ*1 *x* ) *∧ ↓φ ↓c ↓ c c2sel2* (*φ*2 *x* ).

Definition *cconji* (*e1 e2* : *C*): *C* := *cλ* 1 (*·* (*·* (*evar* 2 (*Some None*)) (*·* ((*·*) *e1* ) (*evar* 2 *None*))) (*·* ((*·*) *e2* ) (*evar* 2 *None*))).

Definition *cconje1* : *C* := *cλ* 0 (*·* (*evar* 1 *None*) ((*·*) *c2sel1* )).

Definition *cconje2* : *C* := *cλ* 0 (*·* (*evar* 1 *None*) ((*·*) *c2sel2* )).

Definition *⊥* (*o* : *O*) : Φ *o* := *λ x c '→* False.

Definition *∨ {o* : *O}* (*φ*1 *φ*2 : Φ *o*) : Φ *o* := *λ x c '→* (*∃ c1* : *C*, *JC c1 ∧ φ*1 *x c1 ∧ cλ* 1 (*·* (*evar* 2 *None*) ((*·*) *c1* )) = *c*)

*∨* (*∃ c2* : *C*, *JC c2 ∧ φ*2 *x c2 ∧ cλ* 1 (*·* (*evar* 2 (*Some None*)) ((*·*) *c2* )) = *c*).

Definition *cdisji1* : *C* := *cλ* 2 (*·* (*evar* 3 (*Some None*)) (*evar* 3 *None*)). Definition *cdisji2* : *C* := *cλ* 2 (*·* (*evar* 3 (*Some* (*Some None*))) (*evar* 3 *None*)). Definition *cdisje* (*e1 e2* : *C*) : *C* := *cλ* 0 (*·* (*·* (*evar* 1 *None*) ((*·*) *e1* )) ((*·*) *e2* )).

Definition *⊃ {o* : *O}* (*φ*1 *φ*2 : Φ *o*) : Φ *o* := *λ x c '→ ∀ c1* : *C*, *JC c1 → φ*1 *x c1 → ↓φ ↓c ↓ c c1* (*φ*2 *x* ). Definition *ctuple2* : *C* := *cλ* 2 (*·* (*·* (*evar* 3 (*Some* (*Some None*))) (*evar* 3 *None*)) (*evar* 3 (*Some None*))). Definition *cimpi* (*e* : *C*) : *C* := *cλ* 1 (*·* ((*·*) *e*) (*·* (*·* ((*·*) *ctuple2* ) (*evar* 2 *None*)) (*evar* 2 (*Some None*)))). Definition *cimpe* : *C* := *cλ* 0 (*·* (*·* (*evar* 1 *None*) ((*·*) *c2sel1* )) (*·* (*evar* 1 *None*) ((*·*) *c2sel2* ))).

Definition *∀ {o*1 : *O}* (*o*2 : *O*) (*φ*12 : Φ (*× o*1 *o*2 )) : Φ *o*1 := *λ x1 c '→ ∀ x2* : *set o*2 , *Jset o*2 *x2 → φ*12 (*pair x1 x2* ) *c*. Definition *∃ {o*1 : *O}* (*o*2 : *O*) (*φ*12 : Φ (*× o*1 *o*2 )) : Φ *o*1 := *λ x1 c '→ ∃ x2* : *set o*2 , *Jset o*2 *x2 ∧ φ*12 (*pair x1 x2* ) *c*. Definition = *{o*1 : *O}* (*o*2 : *O*) (*φ*12 : Φ (*× o*1 *o*2 )) : Φ (*× o*1 (*× o*2 *o*2 ))

:= *λ x122 c '→ ≈set o*2 (*fst* (*snd x122* )) (*snd* (*snd x122* )) *∧ φ*12 (*pair* (*fst x122* ) (*fst* (*snd x122* ))) *c*.

Definition Ω : *O* := *{| set* := *C →* Prop; *Jset* := *λ φ '→ ∀ c* : *C*, *JC c → φ c → φ c*; *≈set* := *λ φ p’ '→ ∀ c* : *C*, *JC c → φ*

*c ↔ p’ c |}*.

Definition *holds* : Φ Ω := *λ φ '→ φ*.

Definition *χ {o* : *O}* (*φ* : Φ *o*) : *~ o* Ω := *φ*.

Fixpoint *cλ* (*n* : N) : *C*

*·*

:= match *n* with 0 *'→ c**λ* 1 (*evar* 2 (*Some None*)) *| S n '→ cλ* 1 (*·* (*evar* 2 *None*) (*·* (*·* ((*·*) (*cλ n* )) (*evar* 2 *None*)) (*evar* 2

*·*

(*Some None*)))) end.

Definition 𝕟 : Φ ***N*** := *λ n '→ eq* (*cλ n* ).

*·*

Definition *cnatiz* : *C* := *cλ* 0 ((*·*) (*cλ* 0)).

*·*

Definition *cnatis* : *C* := *cλ* 2 (*·* (*evar* 3 (*Some None*)) (*·* (*·* (*evar* 3 *None*) (*evar* 3 (*Some None*))) (*evar* 3 (*Some* (*Some None*))))).

Definition *cnate* (*cz cs* : *C*) : *C* := *cλ* 0 (*·* (*·* ((*·*) (*cλ* 1 (*·* (*·* (*evar* 2 *None*) ((*·*) *cs*)) (*evar* 2 (*Some None*))))) (*evar* 1

*None*)) (*·* ((*·*) *cz* ) ((*·*) *caxiom* ))).

Inductive *Realizable* (*φ* : Φ **1**) : Prop := *realizable* (*c* : *C*) : *JC c → φ tt c → Realizable φ*.

Theorem *entails realizable* (*φ*1 *φ*2 : Φ **1**) : *J*Φ *φ*1 *→ J*Φ *φ*2 *→ ▶ φ*1 *φ*2 *→ Realizable φ*1 *→ Realizable φ*2 .

Theorem *consistent* : *▶* (*T* **1**) (*⊥* **1**) *→* False. End *RCAtoHOF*.

## D-C. SCAstoHOFs.v

*D-C1. Proof that a Stateful Combinatory Algebra forms a Higher-Order Fibration*

This module demonstrates that every stateful combinatory algebra forms a consistent higher-order fibration over the in- habited sets, as claimed in Theorem [5.3](#_bookmark23). It is the formal statement of Figure [2](#_bookmark25). We only show the definitions of the constructions and omit the lemmas required by *NatHigherOrderFibration*, the proofs of which are straightforward from the definitions (and the realizers of entailment for which are the same as for RCAs).

Module *SCAtoHOF* (*SCA* : *StatefulCombinatoryAlgebra* ) *<*: *NatHigherOrderFibration InhabitedSets InhabitedSetsNat*.

Definition Φ (*o* : *O*) : Type := *set o →* Σ *→ C →* Prop. Record *J*Φ *{o* : *O}* (*φ*ˆ : Φ *o*) : Prop

:=

*{ propv* : *∀ x* : *set o*, *∀ s s’* : Σ, *∀ c* : *C*, *Jset o x → J*Σ *s → J*Σ *s’ → ≤ s s’ → JC s c → φ*ˆ *x s c → φ*ˆ *x s’ c*

; *prope* : *∀ x x’* : *set o*, *∀ s* : Σ, *∀ c* : *C*, *Jset o x → Jset o x’ → ≈set o x x’ → J*Σ *s → JC s c → φ*ˆ *x s c → φ*ˆ *x’ s c*

*}*.

Definition *▶ {o* : *O}* (*φ*ˆ1 *φ*ˆ2 : Φ *o*) : Prop := *∃ c* : *C*, *∀ s* : Σ, *J*Σ *s → JC s c ∧ ∀ x* : *set o*, *∀ s* : Σ, *∀ c1* : *C*, *Jset o x →*

*J*Σ *s → JC s c1 → φ*ˆ1 *x s c1 → ↓φ ↓c ↓ s c c1* (*φ*ˆ2 *x* ).

Definition *⊆*Φ *{o* : *O}* (*φ*ˆ1 *φ*ˆ2 : Φ *o*) : Prop := *∀ x* : *set o*, *∀ s* : Σ, *∀ c* : *C*, *Jset o x → J*Σ *s → JC s c → φ*ˆ1 *x s c → φ*ˆ2

*x s c*.

Definition *φ*˙[*·*] *{o*1 *o*2 : *O}* (*m* : *~ o*1 *o*2 ) (*φ*ˆ2 : Φ *o*2 ) : Φ *o*1 := *λ s1 '→ φ*ˆ2 (*m s1* ).

Definition *T* (*o* : *O*) : Φ *o* := *λ x s c '→* True.

Definition *c2sel1* : *C* := *cλ* 1 (*evar* 2 *None*).

Definition *c2sel2* : *C* := *cλ* 1 (*evar* 2 (*Some None*)).

Definition *∧ {o* : *O}* (*φ*ˆ1 *φ*ˆ2 : Φ *o*) : Φ *o* := *λ x s c '→ ∀ s’* : Σ, *J*Σ *s’ → ≤ s s’ → ↓φ ↓c ↓ s’ c c2sel1* (*φ*ˆ1 *x* ) *∧ ↓φ ↓c ↓*

*s’ c c2sel2* (*φ*ˆ2 *x* ).

Definition *⊥* (*o* : *O*) : Φ *o* := *λ x s c '→* False.

Definition *∨ {o* : *O}* (*φ*ˆ1 *φ*ˆ2 : Φ *o*) : Φ *o* := *λ x s c '→* (*∃ c1* : *C*, *JC s c1 ∧ φ*ˆ1 *x s c1 ∧ cλ* 1 (*·* (*evar* 2 *None*) ((*·*) *c1* ))

= *c*) *∨* (*∃ c2* : *C*, *JC s c2 ∧ φ*ˆ2 *x s c2 ∧ cλ* 1 (*·* (*evar* 2 (*Some None*)) ((*·*) *c2* )) = *c*).

Definition *⊃ {o* : *O}* (*φ*ˆ1 *φ*ˆ2 : Φ *o*) : Φ *o* := *λ x s c '→ ∀ s’* : Σ, *∀ c1* : *C*, *J*Σ *s’ → ≤ s s’ → JC s’ c1 → φ*ˆ1 *x s’ c1 →*

*↓φ ↓c ↓ s’ c c1* (*φ*ˆ2 *x* ).

Definition *∀ {o*1 : *O}* (*o*2 : *O*) (*φ*ˆ12 : Φ (*× o*1 *o*2 )) : Φ *o*1 := *λ x1 s c '→ ∀ x2* : *set o*2 , *Jset o*2 *x2 → φ*ˆ12 (*pair x1 x2* ) *s c*. Definition *∃ {o*1 : *O}* (*o*2 : *O*) (*φ*ˆ12 : Φ (*× o*1 *o*2 )) : Φ *o*1 := *λ x1 s c '→ ∃ x2* : *set o*2 , *Jset o*2 *x2 ∧ φ*ˆ12 (*pair x1 x2* ) *s c*. Definition = *{o*1 : *O}* (*o*2 : *O*) (*φ*ˆ12 : Φ (*× o*1 *o*2 )) : Φ (*× o*1 (*× o*2 *o*2 ))

:= *λ x122 s c '→ ≈set o*2 (*fst* (*snd x122* )) (*snd* (*snd x122* )) *∧ φ*ˆ12 (*pair* (*fst x122* ) (*fst* (*snd x122* ))) *s c*.

Definition Ω : *O*

:= *{| set* := Σ *→ C →* Prop

; *Jset* := *λ φ*ˆ *'→ ∀ s s’* : Σ, *∀ c* : *C*, *J*Σ *s → J*Σ *s’ → ≤ s s’ → JC s c → φ*ˆ *s c → φ*ˆ *s’ c*

; *≈set* := *λ φ*ˆ *p’ '→ ∀ s* : Σ, *∀ c* : *C*, *J*Σ *s → JC s c → φ*ˆ *s c ↔ p’ s c |}*.

Definition *holds* : Φ Ω := *λ φ*ˆ *'→ φ*ˆ.

Definition *χ {o* : *O}* (*φ*ˆ : Φ *o*) : *~ o* Ω := *φ*ˆ.

Fixpoint *cλ* (*n* : N) : *C*

*·*

:= match *n* with 0 *'→ cλ* 1 (*evar* 2 (*Some None*)) *| S n '→ cλ* 1 (*·* (*evar* 2 *None*) (*·* (*·* ((*·*) (*cλ n* )) (*evar* 2 *None*)) (*evar* 2

*·*

(*Some None*)))) end.

Definition 𝕟 : Φ ***N*** := *λ n * *'→ eq* (*cλ n* ).

*·*

Inductive *Realizable* (*φ*ˆ : Φ **1**) : Prop := *realizable* (*s* : Σ) (*c* : *C*) : *J*Σ *s → JC s c → φ*ˆ *tt s c → Realizable φ*ˆ.

Theorem *entails realizable* (*φ*ˆ1 *φ*ˆ2 : Φ **1**) : *J*Φ *φ*ˆ1 *→ J*Φ *φ*ˆ2 *→ ▶ φ*ˆ1 *φ*ˆ2 *→ Realizable φ*ˆ1 *→ Realizable φ*ˆ2 .

Theorem *consistent* : *▶* (*T* **1**) (*⊥* **1**) *→* False. End *SCAtoHOF*.

# Countable Choice

## E-A. CountableChoice.v

*E-A1. Definition of Internal Countable Choice in Evidenced Frames*

This is the formal statement of Definition [2.2](#_bookmark4). It is in point-free notation because it is defined over any cartesian-closed category, not just sets.

Module *CCinHOF* (*CCC* : *CartesianClosedCategory*) (*NNO* : *NaturalNumberObject CCC* ) (*HOF* : *NatHigherOrderFibration CCC NNO* ).

Definition *total* (*o*1 *o*2 : *O*) (*φ*1 : Φ *o*1 ) : Φ (*⇒ o*1 (*⇒ o*2 Ω))

:= *∀ o*1 (*⊃* (*φ*˙[*·*] *π*2 *φ*1 ) (*φ*˙[*·*] (***eval*** *o*1 (*⇒ o*2 Ω)) (*∃ o*2 (*φ*˙[*·*] (***eval*** *o*2 Ω) *holds*)))).

Definition *includes* : Φ (*×* Ω Ω) := *⊃* (*φ*˙[*·*] *π*2 *holds*) (*φ*˙[*·*] *π*1 *holds*).

Definition *lift* (*o*1 *o*2 : *O*) (*φ*2 : Φ (*× o*2 *o*2 )) : Φ (*×* (*⇒ o*1 *o*2 ) (*⇒ o*1 *o*2 ))

:= *∀ o*1 (*φ*˙[*·*] (*(·, ·⟩* (; (*(·, ·⟩* (; *π*1 *π*1 ) *π*2 ) (***eval*** *o*1 *o*2 )) (; (*(·, ·⟩* (; *π*1 *π*2 ) *π*2 ) (***eval*** *o*1 *o*2 ))) *φ*2 ).

Definition *determined* (*o*1 *o*2 : *O*) : Φ (*⇒ o*1 (*⇒ o*2 Ω))

:= *∀ o*1 (*φ*˙[*·*] (***eval*** *o*1 (*⇒ o*2 Ω)) (*∀ o*2 (*∀ o*2 (*⊃* (*φ*˙[*·*] (; (*(·, ·⟩* (; *π*1 *π*1 ) (; *π*1 *π*2 )) (***eval*** *o*2 Ω)) *holds*)

(*⊃* (*φ*˙[*·*] (; (*(·, ·⟩* (; *π*1 *π*1 ) *π*2 ) (***eval*** *o*2 Ω)) *holds*)

(*φ*˙[*·*] (*(·, ·⟩* ! (*(·, ·⟩* (; *π*1 *π*2 ) *π*2 )) (= *o*2 (*T* (*×* **1** *o*2 ))))))))).

Definition *countable choice* (*o* : *O*) : Φ **1**

:= *∀* (*⇒* ***N*** (*⇒ o* Ω)) (*φ*˙[*·*] *π*2 (*⊃* (*total* ***N*** *o* 𝕟)

(*∃* (*⇒* ***N*** (*⇒ o* Ω)) (*∧* (*∧* (*φ*˙[*·*] *π*2 (*total* ***N*** *o* 𝕟)) (*lift* ***N*** (*⇒ o* Ω) (*lift o* Ω *includes*)))

(*φ*˙[*·*] *π*2 (*determined* ***N*** *o*)))))).

End *CCinHOF*.

*E-A2. Definition of Internal Countable Choice in Relational Combinatory Algebras*

This is the formal statement of the definition in Lemmas [3.3](#_bookmark9) and [4.5](#_bookmark18).

Module *CCinRCA* (*RCA* : *RelationalCombinatoryAlgebra* ).

Definition *∃| {o*1 : *O}* (*o*2 : *O*) (*P12* : *set o*1 *→ set o*2 *→* Prop) (*p12* : Φ (*× o*1 *o*2 )) : Φ *o*1

:= *λ x1 c '→ ∃ x2* : *set o*2 , *Jset o*2 *x2 ∧ P12 x1 x2 ∧ p12* (*pair x1 x2* ) *c*.

Definition *rca included {o* : *O}* (*S R* : *set* (*⇒* ***N*** (*⇒ o* Ω))) : Prop := *∀ n* : N, *∀ x* : *set o*, *∀ c* : *C*, *Jset o x → JC c → S n x c→ R n x c*.

Definition *rca determined {o* : *O}* (*S* : *set* (*⇒* ***N*** (*⇒ o* Ω))) : Prop

:= *∀ n* : N, *∀ x x’* : *set o*, *∀ c c’* : *C*, *Jset o x → Jset o x’ → JC c → JC c’ → S n x c → S n x’ c’ → ≈set o x x’*.

Definition *rca countable choice* (*o* : *O*) : Φ **1**

:= *∀* (*⇒* ***N*** (*⇒ o* Ω)) (*φ*˙[*·*] *π*2 (*⊃* (*total* ***N*** *o* 𝕟)

(*∃|* (*⇒* ***N*** (*⇒ o* Ω)) (*λ R S '→ rca included S R ∧ rca determined S* ) (*φ*˙[*·*] *π*2 (*total* ***N*** *o*

𝕟))))).

*E-A3. Proof that Internal CC for a PCA/RCA is Equivalent to Internal CC for its Higher-Order Fibration*

We provide the codes exhibiting equivalence, but we elide here the actual proof of Lemmas [3.3](#_bookmark9) and [4.5](#_bookmark18) as it is rather tedious and follows from the definitions of the codes.

Definition *csimplify* : *C* := *cλ* 1 (*·* ((*·*) (*cλ* 1 (*·* (*·* (*evar* 2 *None*) ((*·*) *csnd* )) (*·* (*·* (*evar* 2 *None*) ((*·*) *cfst* )) (*evar* 2 (*Some None*)))))) (*·* (*·* (*evar* 2 *None*) (*evar* 2 (*Some None*))) ((*·*) *cfst* ))).

Definition *ccomplicate* : *C* := *cλ* 1 (*·* ((*·*) (*cλ* 1 (*·* (*·* (*evar* 2 (*Some None*)) (*·* ((*·*) (*cλ* 1 (*·* (*·* (*evar* 2 (*Some None*)) (*evar*

2 *None*)) ((*·*) (*cλ* 0 (*evar* 1 *None*)))))) (*evar* 2 *None*))) ((*·*) (*cλ* 2 (*evar* 3 (*Some* (*Some None*)))))))) (*·* (*evar* 2 *None*) (*evar* 2 (*Some None*)))).

Lemma *rca countable choice equiv* (*o* : *O*): *JO o →▶* (*countable choice o*) (*rca countable choice o*) *∧▶* (*rca countable choice o*) (*countable choice o*).

End *CCinRCA*.

*E-A4. Definition of Internal Countable Choice in Stateful Combinatory Algebras*

This is the formal statement of the definition in Lemma [5.5](#_bookmark28).

Module *CCinSCA* (*SCA* : *StatefulCombinatoryAlgebra* ).

Definition *∃| {o*1 : *O}* (*o*2 : *O*) (*P12* : *set o*1 *→ set o*2 *→* Prop) (*p12* : Φ (*× o*1 *o*2 )) : Φ *o*1

:= *λ x1 σ c '→ ∃ x2* : *set o*2 , *Jset o*2 *x2 ∧ P12 x1 x2 ∧ p12* (*pair x1 x2* ) *σ c*.

Definition *sca included {o* : *O}* (*S R* : *set* (*⇒* ***N*** (*⇒ o* Ω))) : Prop

:= *∀ n* : N, *∀ x* : *set o*, *∀ σ* : Σ, *∀ c* : *C*, *Jset o x → J*Σ *σ → JC σ c → S n x σ c→ R n x σ c*.

Definition *sca determined {o* : *O}* (*S* : *set* (*⇒* ***N*** (*⇒ o* Ω))) : Prop

:= *∀ n* : N, *∀ x x’* : *set o*, *∀ σ* : Σ, *∀ c c’* : *C*, *Jset o x → Jset o x’ → J*Σ *σ → JC σ c → JC σ c’ → S n x σ c → S n x’ σ*

*c’ → ≈set o x x’*.

Definition *sca countable choice* (*o* : *O*) : Φ **1**

:= *∀* (*⇒* ***N*** (*⇒ o* Ω)) (*φ*˙[*·*] *π*2 (*⊃* (*total* ***N*** *o* 𝕟)

(*∃|* (*⇒* ***N*** (*⇒ o* Ω)) (*λ R S '→ sca included S R ∧ sca determined S* ) (*φ*˙[*·*] *π*2 (*total* ***N*** *o*

𝕟))))).

* 1. *5. Proof that Internal CC for an SCA is Equivalent to Internal CC for its Higher-Order Fibration*

We provide the codes exhibiting equivalence, but we elide here the actual proof of Lemma [5.5](#_bookmark28) as it is rather tedious and follows from the definitions of the codes.

Definition *csimplify* : *C* := *cλ* 1 (*·* ((*·*) (*cλ* 1 (*·* (*·* (*evar* 2 *None*) ((*·*) *csnd* )) (*·* (*·* (*evar* 2 *None*) ((*·*) *cfst* )) (*evar* 2 (*Some None*)))))) (*·* (*·* (*evar* 2 *None*) (*evar* 2 (*Some None*))) ((*·*) *cfst* ))).

Definition *ccomplicate* : *C* := *cλ* 1 (*·* ((*·*) (*cλ* 1 (*·* (*·* (*evar* 2 (*Some None*)) (*·* ((*·*) (*cλ* 1 (*·* (*·* (*evar* 2 (*Some None*)) (*evar*

2 *None*)) ((*·*) (*cλ* 0 (*evar* 1 *None*)))))) (*evar* 2 *None*))) ((*·*) (*cλ* 2 (*evar* 3 (*Some* (*Some None*)))))))) (*·* (*evar* 2 *None*) (*evar* 2 (*Some None*)))).

Lemma *sca countable choice equiv* (*o* : *O*): *JO o →▶* (*countable choice o*) (*sca countable choice o*) *∧▶* (*sca countable choice o*) (*countable choice o*).

End *CCinSCA*.

## E-B. CCPCAs.v

* 1. *1. Proof that Partial Combinatory Algebras Internally Model Countable Choice*

This module demonstrates that the higher-order fibration for any PCA internally models countable choice, as claimed in Theorem [3.4](#_bookmark10). We only show the metatheoretic assumption made and the key lemma enabled by determinism that permits PCAs to model countable choice; we elide here the detailed proof of the theorem.

Module *CCPCA* (*PCA* : *PartialCombinatoryAlgebra* ).

Here we assume the axiom of countable choice in the metatheory.

Axiom *axiom of countable choice* : *∀ I* : **Set**, *∀ JI* : *I →* Prop, *∀ R* : N *→ I →* Prop, (*∀ n* : N, *∃ i* : *I*, *JI i ∧ R n i* ) *→ ∃*

*S* : N *→ I →* Prop, (*∀ n* : N, *∀ i* : *I*, *S n i → R n i* ) *∧* (*∀ n* : N, *∀ i i’* : *I*, *S n i → S n i’ → i* = *i’* ) *∧ ∀ n* : N, *∃ i* : *I*, *JI i*

*∧ S n i*.

This is the key lemma that enables us to prove choice. It requires the *red deterministic* property of PCAs.

Lemma *red exists* (*cf ca* : *C*) (*I* : **Set**) (*JI* : *I →* Prop) (*φ·* : *I → C →* Prop) : *JC cf → JC ca →* (*∃ i* : *I*, *JI i* ) *→ ↓φ ↓c*

*↓ cf ca* (*λ cr '→ ∃ i* : *I*, *JI i ∧ φ· i cr* ) *→ ∃ i* : *I*, *JI i ∧ ↓φ ↓c ↓ cf ca* (*φ· i* ).

Definition *cc* : *C* := *cλ* 0 ((*·*) *caxiom* ).

Theorem *countable choice* (*o* : *O*) : *JO o → ▶* (*T* **1**) (*countable choice o*).

End *CCPCA*.

## E-C. NCCRCA.v

* 1. *1. Definition of Flip-RCA*

This is the formal definition of Flip-RCA in Figure [1](#_bookmark14). It uses the *FreeRCA* module for *λ*-terms, so the following module specifies the primitives and their behavior. Note that primitives here include the *n* codes, with *pvalue* being the corresponding constructor. We use *O* here to refer to what the paper refers to as primitives.

Module *FlipApplicativeStructure <*: *PrimitiveApplicativeStructure*.

Inductive *O* : **Set** := *const* (*n* : N) *| succ | on · do · else ·* (***n*** : *list* N) (*oon ooff* : *O*) *| flip*.

Inductive *Prim’* : **Set** := *pvalue* (*n* : N) *| pop* (*o* : *O*).

Definition *JP* (*p* : *P* ) : Prop := True.

Inductive *↓O* : *O →* N *→* N *→* Prop

:= *roconst* (*n na* : N) : *↓O* (*const n* ) *na n*

*| rosucc* (*n* : N) : *↓O succ n* (*S n*)

*| robranchon* (***n*** : *list* N) (*oon ooff* : *O*) (*na nr* : N) : *In na* ***n*** *→ ↓O oon na nr → ↓O* (*on · do · else ·* ***n*** *oon ooff* ) *na nr*

*| robranchoff* (***n*** : *list* N) (*oon ooff* : *O*) (*na nr* : N) : (*In na* ***n*** *→* False) *→ ↓O ooff na nr → ↓O* (*on · do · else ·* ***n*** *oon ooff* ) *na nr*

*| rotails* (*na* : N) : *↓O flip na* 0

*| roheads* (*na* : N) : *↓O flip na* 1.

Definition *↓p* (*pf* : *P* ) (*ca* : *C*? *P* ) (*cr* : *C*? *P* ) : Prop

*c*

:= *∃* of : *O*, *pop* of = *pf ∧ ∃ na* : N, (*·*) (*pvalue na* ) = *ca ∧ ∃ nr* : N, (*·*) (*pvalue nr* ) = *cr ∧ ↓O* of *na nr* .

Definition *↓p* (*pf* : *P* ) (*ca* : *C*? *P* ) : Prop := *∃* of : *O*, *pop* of = *pf ∧ ∃ na* : N, (*·*) (*pvalue na* ) = *ca* .

Lemma *preservation prim* (*pf* : *P* ) (*ca* : *C*? *P* ) (*cr* : *C*? *P* ) : *JP pf → JC*

*JP ca → ↓p pf ca cr → JC*

*JP cr* .

? *c* ?

*p p*

Lemma *progress prim* (*pf* : *P* ) (*ca* : *C*? *P* ) : *JP pf → JC*? *JP ca → ↓ pf ca → ∃ cr* : *C*? *P* , *↓ pf ca cr* .

*c*

End *FlipApplicativeStructure*.

*E-C2. Proof that Flip-RCA is an RCA.*

The proof of Lemma [4.3](#_bookmark16) is a trival application of the *FreeRCA* module.

Module *FlipRCA* := *FreeRelationalCombinatoryAlgebra FlipApplicativeStructure*.

*E-C3. Definition of Flip-RCA Codes with Primitive Holes*

The first part of this module provides the formal definitions of codes with holes and of the operations for filling those holes used in Lemma [4.4](#_bookmark17).

Module *FlipNCC*.

Inductive *O*˙ : Type := *hohole | hoconst* (*n* : N) *| hosucc | hobranch* (***n*** : *list* N) (*o*˙*on o*˙*off* : *O*˙ ) *| hoflip*.

Fixpoint *fill op* (*o* : *O*) (*o*˙ : *O*˙ ) : *O*

:= match *o*˙ with

*| hohole '→ o*

*| hoconst n '→ const n*

*| hosucc '→ succ*

*| hobranch* ***n*** *o*˙*on o*˙*off '→ on · do · else ·* ***n*** (*fill op o o*˙*on* ) (*fill op o o*˙*off* )

*| hoflip '→ flip*

end.

Inductive *P*˙ : Type := *hpvalue* (*n* : N) *| hpop* (*o*˙ : *O*˙ ).

Definition *C*˙ : Type := *C*? *P*˙ .

Definition *fill prim* (*o* : *O*) (*p*˙ : *P*˙ ) : *P* := match *p*˙ with *hpvalue n '→ pvalue n | hpop o*˙ *'→ pop* (*fill op o o*˙) end. Definition *fill lambda* (*fill code* : *C*˙ *→ C*) *{n* : N*}* : *L C*˙ *n → L C n*

:= fix *fill lambda* (*hl* : *L C*˙ *n* ) : *L C n*

:= match *hl* with (*·*) *c*˙ *'→* (*·*) (*fill code c*˙) *| lvar * *v '→ lvar n v | · hlf hla '→ ·* (*fill lambda hlf* ) (*fill lambda hla* ) end.

Fixpoint *fill code* (*o* : *O*) (*c*˙ : *C*˙ ) : *C* := match *c*˙ with (*·*) *p*˙ *'→* (*·*) (*fill prim o p*˙) *| λ· n hl '→ λ· n* (*fill lambda* (*fill code o*) *hl* ) end.

*E-C4. Proof that Flip-RCA is Extensional and Finitary*

The actual proof is elided here, as it is straightforward from induction on the given proof of application. Only the formal statement of Lemma [4.4](#_bookmark17) is shown.

Lemma *continuity code* (*c*˙*f c*˙*a* : *C*˙ ) (*o* : *O*) (*cr* : *C*)

: *↓C*? *↓p* (*fill code o c*˙ ) (*fill code o c*˙*a* ) *cr*

*c c f*

*→ ∃ c*˙*r* : *C*˙ ,

*fill code o c*˙*r* = *cr*

*∧ ∃* ***io*** : *list* (*prod* N N),

*Forall* (*λ io '→ ↓O o* (*fst io*) (*snd io*)) ***io***

*∧ ∀ o’* : *O*, *Forall* (*λ io '→ ↓O*

*C*? *↓p* (*fill code o’ c*˙ ) (*fill code o’ c*˙*a* ) (*fill code o’ c*˙*r* ).

*o’* (*fst io*) (*snd io*)) ***io*** *→ ↓c c f*

*E-C5. Proof that Flip-RCA Internally Negates Countable Choice*

The detailed proof of Theorem [4.6](#_bookmark19) is elided here, but we provide the primary lemmas to provide some insight.

Fixpoint *filled op* (*o* : *O*) : *O*˙

:= match *o* with *const n '→ hoconst n | succ '→ hosucc | on · do · else ·* ***n*** *oon ooff '→ hobranch* ***n*** (*filled op oon* ) (*filled op ooff* )

*| flip '→ hoflip* end.

Definition *filled prim* (*p* : *P* ) : *P*˙ := match *p* with *pvalue n '→ hpvalue n | pop o '→ hpop* (*filled op o*) end. Definition *filled lambda* (*filled code* : *C → C*˙ ) *{n* : N*}* : *L C n → L C*˙ *n*

:= fix *filled lambda* (*Æ* : *L C n* ) : *L C*˙ *n*

:= match *Æ* with (*·*) *c '→* (*·*) (*filled code c*) *| lvar * *v '→ lvar n v | · Æf Æa '→ ·* (*filled lambda Æf* ) (*filled lambda Æa* ) end. Fixpoint *filled code* (*c* : *C*) : *C*˙ := match *c* with (*·*) *p '→* (*·*) (*filled prim p*) *| λ· n Æ '→ λ· n* (*filled lambda filled code Æ*)

end.

Lemma *fill filled code* (*o* : *O*) (*c* : *C*) : *fill code o* (*filled code c*) = *c*.

Lemma *red cnat* (*n* : N) : *↓C*? *↓p ↓p* (*cλ n* ) ((*·*) (*pop succ*)) *∧ ∀ cns* : *C*, *↓C*? *↓p* (*cλ n* ) ((*·*) (*pop succ*)) *cns → ∀ m* : N,

*c · c c ·*

*↓C*? *↓p ↓p cns* ((*·*) (*pvalue m* )) *∧ ∀ cnm* : *C*, *↓C*? *↓p cns* ((*·*) (*pvalue m* )) *cnm →* (*·*) (*pvalue* (*n* + *m* )) = *cnm* .

*c c c*

Definition *cop* (*o* : *O*) : *C* := *λ·* 0 (*·* ((*·*) ((*·*) (*pop o*))) (*·* (*·* (*lvar* 1 *None*) ((*·*) ((*·*) (*pop succ*)))) ((*·*) ((*·*) (*pvalue* 0))))).

Lemma *red cop* (*o* : *O*) (*m* : N) (*cm* : *C*) (*φr* : *C →* Prop) : 𝕟 *m cm →* (*∀ n* : N, *↓O o m n → φr* ((*·*) (*pvalue n* ))) *→ ↓φ*

*↓c ↓* (*cop o*) *cm φr* .

Definition *hcop* (*o*˙ : *O*˙ ) : *C*˙ := *λ·* 0 (*·* ((*·*) ((*·*) (*hpop o*˙))) (*·* (*·* (*lvar* 1 *None*) ((*·*) ((*·*) (*hpop hosucc*)))) ((*·*) ((*·*) (*hpvalue* 0))))).

Lemma *fill cop* (*o* : *O*) (*o*˙ : *O*˙ ) : *fill code o* (*hcop o*˙) = *cop* (*fill op o o*˙).

Definition *Rop* (*o* : *O*) : *set* (*⇒* ***N*** (*⇒* ***N*** Ω)) := *λ m n c '→ ↓O o m n ∧* ((*·*) (*pvalue n* )) = *c*.

Lemma *Ropv* (*o* : *O*) : *Jset* (*⇒* ***N*** (*⇒* ***N*** Ω)) (*Rop o*).

Lemma *Rop total* (*o* : *O*) : *total* ***N N*** 𝕟 (*Rop o*) (*cop o*).

Definition *ncc* : *C* := *caxiom*.

Theorem *neg countable choice* : *∃ o* : *O*, *▶* (*countable choice o*) (*⊥* **1**).

End *FlipNCC*.

## E-D. CCSCA.v

*E-D1. Definition of Mem-SCA*

This is the formal definition of Mem-SCA in Figure [3](#_bookmark26). It uses the *FreeSCA* module for *λ*-terms, so the following module specifies the primitives and their behavior. There are a few differences between this definition and that in Figure [3](#_bookmark26), all for the sake of reducing metatheoretic assumptions. In particular, Σ (i.e. the set of *ς*s) is defined inductively as a sequence of allocation/memoization events, and *≤* is simply to be defined to be prefix. This means that if a pre-state has two predecessors, then one of the those predecessors must be a future of the other. It also means that if a location-input pair has a memoization in the current pre-state, then we can determine via *sfirst* the first point in the past where that pair was allocated in the pre-state. Any subsequent futures will have that same first state for the given entry, as proven by *sfirst fut eq*. This means that we can take a choice relation that is *not* future-stable, and define from it a choice relation that *is* future-stable by having every state instead the choice for a particular entry that was assigned to its first predecessor that had that entry (which might be itself). Beyond this, the proof is the same is given in Theorem [5.6](#_bookmark29).

Module *MemoizingApplicativeStructure <*: *PrimitiveApplicativeStructure*.

**Primitives and Pre-States**

Definition *L* : **Set** := N.

Inductive *P* : **Set** := *ndnat | memo | lookup* (*Æ* : *L*).

Inductive Σ : **Set** := *sempty | sallocate* (*σ* : Σ) (*Æ* : *L*) (*cf* : *C*? *P* ) *| smemoize* (*σ* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ).

Inductive *≤* (*σ* : Σ) : Σ *→* Prop

:= *frefl’* : *≤ σ σ*

*| fallocate* (*σ'* : Σ) (*Æ* : *L*) (*cf* : *C*? *P* ) : *≤ σ σ' → ≤ σ* (*sallocate σ' Æ cf* )

*| fmemoize* (*σ'* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : *≤ σ σ' → ≤ σ* (*smemoize σ' Æ n cr* ).

Lemma *ftrans’* (*σ σ' σ''* : Σ) : *≤ σ σ' → ≤ σ' σ'' → ≤ σ σ''* .

Lemma *flinear {s1 s2 σ'* : Σ*}* : *≤ s1 σ' → ≤ s2 σ' → ≤ s1 s2 ∨ ≤ s2 s1*.

Inductive *Allocated* (*σ* : Σ) (*Æ* : *L*) (*cf* : *C*? *P* ) : Prop := *aallocate* (*sa* : Σ) : *≤* (*sallocate sa Æ cf* ) *σ → Allocated σ Æ cf* .

Inductive *Memoized* (*σ* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : Prop := *mmemoize* (*sm* : Σ) : *≤* (*smemoize sm Æ n cr* ) *σ →*

*Memoized σ Æ n cr* .

Inductive *JP* (*σ* : Σ) : *P →* Prop

:= *pndv* : *JP σ ndnat*

*| pallocv* : *JP σ memo*

*| plookupv* (*n* : N) (*cf* : *C*? *P* ) : *Allocated σ n cf → JP σ* (*lookup n* ).

Lemma *allocated fut* (*σ σ'* : Σ) (*Æ* : *L*) (*cf* : *C*? *P* ) : *≤ σ σ' → Allocated σ Æ cf → Allocated σ' Æ cf* .

Lemma *memoized fut* (*σ σ'* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : *≤ σ σ' → Memoized σ Æ n cr → Memoized σ' Æ n cr* .

Lemma *primv fut’* (*σ σ'* : Σ) (*p* : *P* ) : *≤ σ σ' → JP σ p → JP σ' p*.

Lemma *pcodev fut’* (*σ σ'* : Σ) (*c* : *C*? *P* ) : *≤ σ σ' → JC* (*JP σ*) *c → JC*

?

?

(*JP σ'* ) *c*.

Fixpoint *cchurch {P* : **Set***}* (*n* : N) : *C*? *P*

:= match *n* with 0 *'→ λ·* 1 (*lvar* 2 (*Some None*)) *| S n '→ λ·* 1 (*·* (*lvar* 2 *None*) (*·* (*·* ((*·*) (*cchurch n* )) (*lvar* 2 *None*)) (*lvar*

2 (*Some None*)))) end.

**Application within a Pre-State**

These define *frozen* reduction within a pre-state.

Inductive *↓p* ? (*σ* : Σ) : *P → C*? *P → C*? *P →* Prop

*ς*

:= *rpfnd* (*ca* : *C*? *P* ) (*n* : N) : *↓p* ? *σ ndnat ca* (*cchurch n* )

*ς*

*| rpfalloc* (*cf* : *C*? *P* ) (*Æ* : *L*) : *Allocated σ Æ cf → ↓p* ? *σ memo cf* ((*·*) (*lookup Æ*))

*ς*

*| rpflookup* (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : *Memoized σ Æ n cr → ↓p* ? *σ* (*lookup Æ*) (*cchurch n* ) *cr* .

*ς*

Inductive *↓λ* (*↓C*? : *C P → C P → C P →* Prop) : *L* (*C P* ) 0 *→ C*

*ς ς* ? ? ? ? ?

*P →* Prop

:= *rlfcode* (*c* : *C*

*P* ) : *↓λ ↓C*? ((*·*) *c*) *c*

? *ς ς*

*ς ς f ς ς*

*ς f ς ς*

*| rlfapp* (*lf la* : *L* (*C*

? *P* ) 0) (*cf ca cr* : *C*?

*P* ) : *↓λ ↓C*? *lf c → ↓λ ↓C*? *la ca*

*→ ↓C*? *c ca cr → ↓λ ↓C*? (*· lf la*) *cr* .

Inductive *↓C*? (*σ* : Σ) : *C*

*ς*

?

*P → C*?

*P → C*?

*P →* Prop

:= *rcprim* (*p*

: *P* ) (*ca* : *C P* ) (*cr* : *C P* ) : *↓p* ? *σ p ca cr*

*C*? *σ* ((*·*) *p* ) *ca cr*

*f* ? ? *ς f*

*→ ↓ς f*

*| rclam0* (*lb* : *L* (*C*

*P* ) 1) (*ca cr* : *C P* ) : *↓λ* (*↓C*? *σ*) (*lsubst ca lb*) *cr*

*C*? *σ* (*λ·* 0 *lb*) *ca cr*

? ? *ς ς*

*ς*

*→ ↓ς*

*| rclamS* (*n* : N) (*lb* : *L* (*C*?

*P* ) (*S* (*S n*))) (*ca* : *C*?

*P* ) : *↓C*? *σ* (*λ·* (*S n*) *lb*) *ca* (*λ· n* (*lsubst ca lb*)).

Lemma *red lambda frozen fut* (*↓C*? *⇓C*? : *C P → C P → C P →* Prop) (*Æ* : *L* (*C P* ) 0) (*cr* : *C P* ) : (*∀ c*

*ς ς* ? ? ? ? ? *f*

*ca cr* :

*C P* , *↓C*? *c*

? *ς f*

*ca cr*

*→ ⇓C*? *c*

*ca cr* ) *→ ↓λ ↓C*? *Æ cr → ↓λ ⇓C*? *Æ cr* .

Lemma *red prim frozen fut* (*σ σ'* : Σ) (*pf* : *P* ) (*ca* : *C*? *P* ) (*cr* : *C*? *P* ) : *≤ σ σ' → ↓p* ? *σ pf ca cr → ↓p* ? *σ' pf ca cr* .

*ς f*

*ς ς ς ς*

Lemma *red code frozen fut* (*σ σ'* : Σ) (*c*

*ca cr* : *C*

*ς*

*' C*? *σ c ca cr*

*C*? *σ' c*

*ς*

*ca cr* .

*f*

Inductive *UnAllocated* : Σ *→ L →* Prop

? *P* ) : *≤ σ σ → ↓ς f*

*→ ↓ς f*

:= *uaempty* (*lf* : *L*) : *UnAllocated sempty lf*

*| uaallocate* (*lf* : *L*) (*σ* : Σ) (*Æ* : *L*) (*cf* : *C*? *P* ) : *UnAllocated σ lf →* (*lf* = *Æ →* False) *→ UnAllocated* (*sallocate σ Æ cf* )

*lf*

*| uamemoized* (*lf* : *L*) (*σ* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : *UnAllocated σ lf → UnAllocated* (*smemoize σ Æ n cr* ) *lf*.

Inductive *UnMemoized* : Σ *→ L →* N *→* Prop

:= *umempty* (*lf* : *L*) (*nf* : N) : *UnMemoized sempty lf nf*

*| umallocate* (*lf* : *L*) (*nf* : N) (*σ* : Σ) (*Æ* : *L*) (*cf* : *C*? *P* ) : *UnMemoized σ lf nf → UnMemoized* (*sallocate σ Æ cf* ) *lf nf*

*| ummemoized* (*lf* : *L*) (*nf* : N) (*σ* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ): *UnMemoized σ lf nf →* (*lf* = *Æ → nf* = *n →* False)

*→ UnMemoized* (*smemoize σ Æ n cr* ) *lf nf*.

Lemma *memoized unmemoized false* (*σ* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : *Memoized σ Æ n cr → UnMemoized σ Æ n →*

False.

**States**

Rather than defining state validity by the behaviors we need of states, as in Figure [3](#_bookmark26), we define state validity inductively and prove that it implies the necessary behaviors.

Inductive *J*Σ : Σ *→* Prop

:= *semptyv* : *J*Σ *sempty*

*| sallocatev* (*σ* : Σ) (*Æ* : *L*) (*cf* : *C*? *P* ) : *J*Σ *σ → UnAllocated σ Æ → JC*? (*JP σ*) *cf → J*Σ (*sallocate σ Æ cf* )

*| smemoizev* (*σ* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) (*cf* : *C*? *P* ) : *J*Σ *σ → Allocated σ Æ cf → UnMemoized σ Æ n → JC*?

(*J σ*) *cr*

*C*? *σ c* (*cchurch n* ) *cr → J* (*smemoize σ Æ n cr* ).

*P → ↓ς f* Σ

Lemma *statev fut* (*σ σ'* : Σ) : *≤ σ σ' → J*Σ *σ' → J*Σ *σ*.

Lemma *allocated det* (*σ* : Σ) (*sv* : *J*Σ *σ*) (*Æ* : *L*) (*cf cf’* : *C*? *P* ) : *Allocated σ Æ cf → Allocated σ Æ cf’ → cf* = *cf’*.

Lemma *memoized det* (*σ* : Σ) (*sv* : *J*Σ *σ*) (*Æ* : *L*) (*n* : N) (*cr cr’* : *C*? *P* ) : *Memoized σ Æ n cr → Memoized σ Æ n cr’ →*

*cr* = *cr’*.

Lemma *allocated valid* (*σ* : Σ) (*sv* : *J*Σ *σ*) (*Æ* : *L*) (*cf* : *C*? *P* ) : *Allocated σ Æ cf → JC*? (*JP σ*) *cf* .

Lemma *memoized valid* (*σ* : Σ) (*sv* : *J*Σ *σ*) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : *Memoized σ Æ n cr → JC*? (*JP σ*) *cr* .

Lemma *memoized allocated* (*σ* : Σ) (*sv* : *J*Σ *σ*) (*Æ* : *L*) (*n* : N) (*cr* : *C*? *P* ) : *Memoized σ Æ n cr → ∃ cf* : *C*? *P* , *Allocated*

*σ Æ cf* .

Lemma *memoized red* (*σ* : Σ) (*sv* : *J*Σ *σ*) (*Æ* : *L*) (*cf* : *C*? *P* ) (*n* : N) (*cr* : *C*? *P* ) : *Allocated σ Æ cf → Memoized σ Æ n*

*c C*?

*r → ↓ς σ cf* (*cchurch n* ) *cr* .

Lemma *sinhabited* : *∃ σ* : Σ, *J*Σ *σ*.

Lemma *frefl* (*σ* : Σ) : *J*Σ *σ → ≤ σ σ*.

Lemma *ftrans* (*σ σ' σ''* : Σ) : *J*Σ *σ → J*Σ *σ' → J*Σ *σ'' → ≤ σ σ' → ≤ σ' σ'' → ≤ σ σ''* .

Lemma *primv fut* (*σ σ'* : Σ) (*p* : *P* ) : *J*Σ *σ → J*Σ *σ' → ≤ σ σ' → JP σ p → JP σ' p*.

Lemma *pcodev fut* (*σ σ'* : Σ) (*c* : *C*? *P* ) : *J*Σ *σ → J*Σ *σ' → ≤ σ σ' → JC* (*JP σ*) *c → JC*

?

?

(*JP σ'* ) *c*.

**Application and Termination with States**

This defines the application relation that *does* mutate pre-state.

Definition *↓p* (*σ* : Σ) (*pf* : *P* ) (*ca* : *C*? *P* ) (*σ'* : Σ) (*cr* : *C*? *P* ) : Prop := *≤ σ σ' ∧ J*Σ *σ' ∧ ↓p* ? *σ' pf ca cr* .

*c ς*

Inductive *↓p* (*σ* : Σ) : *P → C*? *P →* Prop

:= *tpfnd* (*ca* : *C*? *P* ) : *↓p σ ndnat ca*

*| tpfalloc* (*cf* : *C*? *P* ) : *↓p σ memo cf*

*| tpflookup* (*Æ* : *L*) (*n* : N) (*cf* : *C*? *P* ) : *Allocated σ Æ cf → ↓C*? *↓p ↓p σ cf* (*cchurch n* ) *→ ↓p σ* (*lookup Æ*) (*cchurch n* ).

*c*

**Progress**

Lemma *preservation prim* (*σ* : Σ) (*pf* : *P* ) (*ca* : *C*? *P* ) (*σ'* : Σ) (*cr* : *C*? *P* ) : *J*Σ *σ → JP σ pf → JC*

?

(*JP σ*) *ca → ↓p σ*

*c*

*pf ca σ' cr → J*Σ *σ' ∧ ≤ σ σ' ∧ JC* (*JP σ'* ) *cr* .

?

Lemma *new* (*σ* : Σ) : (*∃ Æ* : *L*, *∀ Æ'* : *L*, *Æ ≤ Æ' → UnAllocated σ Æ'* ).

Lemma *memoized* (*σ* : Σ) (*Æ* : *L*) (*n* : N) : (*∃ cr* : *C*? *P* , *Memoized σ Æ n cr* ) *∨* (*UnMemoized σ Æ n* ).

Lemma *red code freeze* (*σ* : Σ) (*c ca* : *&*

*P* ) (*σ'* : Σ) (*cr* : *&*

*P* ) : *J σ → J* (*J σ*) *c → J*

(*J σ*) *ca*

*C*? *↓p*

*f* ?

*C*?

*σ cf ca σ' cr → ↓ σ' c ca cr* .

*ς*

*f*

? Σ *C*? *P*

*f C*? *P*

*→ ↓c c*

Lemma *red code thaw* (*σ* : Σ) (*c*

*ca* : *&*

*P* ) (*cr* : *&*

*C*? *σ c ca cr*

*C*? *↓p σ c ca σ cr* .

*f* ? ? *P* ) : *J*Σ *σ → ↓ς f*

*→ ↓c c f*

*p '*

Lemma *progress prim* (*σ* : Σ) (*pf* : *P* ) (*ca* : *&*? *P* ) : *J*Σ *σ → JP σ pf → JC*? (*JP σ*) *ca → ↓ σ pf ca → ∃ σ* : Σ, *∃ cr* :

*&*? *P* , *↓p σ pf ca σ' cr* .

*c*

End *MemoizingApplicativeStructure*.

*E-D2. Proof that Mem-SCA is an SCA.*

The proof of Lemma [5.4](#_bookmark27) is a trival application of the *FreeSCA* module.

Module *MemoizingSCA* := *FreeStatefulCombinatoryAlgebra MemoizingApplicativeStructure*.

*E-D3. Proof that Mem-SCA Internally Models Countable Choice*

The detailed proof of Theorem [5.6](#_bookmark29) is elided here, but we provide the primary lemmas to provide some insight.

Module *MemoizingCC*.

Here we assume the axiom of countable choice in the metatheory, asserting without proof that the set of states Σ and the set of codes *C* are both countable, and that *J*Σ , *≤*, and *Memoized* are each recognizable predicates so that the subset of states and codes satisfying them is also countable.

Axiom *axiom of countable choice* : *∀ I* : **Set**, *∀ JI* : *I →* Prop, *∀ σ* : Σ, *∀ Æ* : *L*, *∀ R* : N *→ I →* Σ *→ & →* Prop, (*∀ n* : N,

*∀ σ'* : Σ, *∀ c* : *&*, *J*Σ *σ' → ≤ σ σ' → Memoized σ' Æ n c → ∃ i* : *I*, *JI i ∧ R n i σ' c*) *→ ∃ S* : N *→ I →* Σ *→ & →* Prop, (*∀ n* : N, *∀ i* : *I*, *∀ σ'* : Σ, *∀ c* : *&*, *S n i σ' c → R n i σ' c*) *∧* (*∀ n* : N, *∀ i i’* : *I*, *∀ σ'* : Σ, *∀ c* : *&*, *S n i σ' c → S n i’ σ' c → i* = *i’* ) *∧ ∀ n* : N, *∀ σ'* : Σ, *∀ c* : *&*, *J*Σ *σ' → ≤ σ σ' → Memoized σ' Æ n c → ∃ i* : *I*, *JI i ∧ S n i σ' c*.

**First predecessor with a given entry**

Fixpoint *sfirst* (*Æ* : *L*) (*n* : N) (*σ* : Σ) : Σ

:= match *σ* with

*| sempty '→ sempty*

*| sallocate σ Æ' cf '→ sfirst Æ n σ*

*| smemoize σ Æ' n’ cr '→* if *eq dec Æ Æ'* then if *eq dec n n’* then *smemoize σ Æ' n’ cr* else *sfirst Æ n σ* else *sfirst Æ n σ*

end.

Lemma *smemoize sfirst fut* (*σ σ'* : Σ) (*Æ* : *L*) (*n* : N) (*cr* : *&*): *≤* (*smemoize σ Æ n cr* ) *σ' → ≤* (*smemoize σ Æ n cr* ) (*sfirst*

*Æ n σ'* ).

Lemma *sfirst memoized* (*Æ* : *L*) (*n* : N) (*σ* : Σ) (*cr* : *&*) : *Memoized σ Æ n cr → Memoized* (*sfirst Æ n σ*) *Æ n cr* .

Lemma *sfirst fut eq* (*Æ* : *L*) (*n* : N) (*σ σ'* : Σ) (*cr* : *&*) : *J*Σ *σ' → ≤ σ σ' → Memoized σ Æ n cr → sfirst Æ n σ* = *sfirst Æ*

*n σ'* .

Lemma *sfirst fut* (*Æ* : *L*) (*n* : N) (*σ* : Σ) : *≤* (*sfirst Æ n σ*) *σ*.

Lemma *sfirst unmemoized* (*σ* : Σ) (*Æ* : *L*) (*n* : N) (*σ'* : Σ) (*cr* : *&*) : *UnMemoized σ Æ n → Memoized σ' Æ n cr → ≤ σ σ'*

*→ ≤ σ* (*sfirst Æ n σ'* ).

**Countable Choice**

Definition *cc* : *&* := *cλ* 0 (*ecode* ((*·*) *memo*)).

Theorem *countable choice* (*o* : *O*) : *JO o → ▶* (*T* **1**) (*countable choice o*).

End *MemoizingCC*.