

# Normal functors[ions], [the irrelevance of] power series, and [a new model of] $\lambda$ -calculus.

Morgan Rogers, Thomas Seiller, William Troiani

University of Sorbonne Paris Nord, University of Melbourne

2022

# Where did Linear Logic come from?

Girard was considering a categorical model of the untyped  $\lambda$ -calculus where each term  $t$  in context  $\{x_1, \dots, x_n\}$  is interpreted as a normal functor:

$$\llbracket x_1, \dots, x_n \mid t \rrbracket : (\underline{\text{Set}}^A)^n \longrightarrow \underline{\text{Set}}^A$$

where  $A$  is any countably infinite set.

## Definition

A functor  $F : \underline{\text{Set}}^A \longrightarrow \underline{\text{Set}}$  is **normal** if it

- ▶ preserves wide pullbacks,
- ▶ preserves filtered colimits.

# Girard's Normal Functor Theorem

## Theorem

Let  $\mathcal{F} : \mathbf{Set}^A \longrightarrow \mathbf{Set}$  be a functor. Then the following are equivalent.

- ▶ The functor  $\mathcal{F}$  is normal.
- ▶ The functor  $\mathcal{F}$  is isomorphic to an analytic functor.
- ▶ The functor  $\mathcal{F}$  satisfies the finite normal form property.

## Definition

A functor  $\mathcal{F} : \mathbf{Set}^A \longrightarrow \mathbf{Set}$  is **analytic** if there exists a family of sets  $\{C_G\}_{G \in \mathbf{Set}^A}$  such that for all objects  $F \in \mathbf{Set}^A$  and all morphisms  $\mu : F \longrightarrow G$  we have

$$\mathcal{F}(F) = \coprod_{G \in \mathbf{Int}(A)} (C_G \times \mathbf{Set}^A(G, F))$$

# Is all of this machinery necessary?

A critical definition of Girard's is the following.

## Definition

Let  $A$  be a set. Define

$$\text{Int}(A) \subseteq \text{Set}^A$$

to be the set of integral functors  $G$ . That is

$$|\bigcup_{a \in A} G(a)| < \infty$$

and each  $G(a) \in \mathbb{N}$ . I.e,  $G(a)$  is one of the following sets

$$0 = \emptyset, \quad 1 = \{0\} = \{\emptyset\}, \quad n = \{0, \dots, n-1\}, \dots$$

So... why not replace  $\text{I}(A)$  with the set of finite multisets of  $A$ ?

## Our new model

Girard's setup seems categorically unnatural. So we “decategorified” his model and came up with the following.

Girard	Us
$\text{Set}^A$	$\mathcal{Q}(A) := \{f : A \longrightarrow \mathbb{N} \cup \{\infty\}\}$
$\text{Int}(A)$	$\mathcal{I}(A) := \{f : A \longrightarrow \mathbb{N} \mid f \text{ has finite support}\}$
Normal	Preserves supremums

We have the following important observation: let  $f : \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$  be an order preserving function which preserves supremums, and let  $\underline{a} \in \mathcal{Q}(A)$  be arbitrary.

$$\begin{aligned} f(\underline{a}) &= f(\sup_{\underline{a}' \in \mathcal{I}(A)} \underline{a}' \cdot \delta_{\underline{a}' \leq \underline{a}}) \\ &= \sup_{\underline{a}' \in \mathcal{I}(A)} f(\underline{a}' \cdot \delta_{\underline{a}' \leq \underline{a}}) \\ &= \sup_{\underline{a}' \leq \underline{a}} f(\underline{a}') \end{aligned}$$

Thus  $f$  is determined by its restriction to  $\mathcal{I}(A)$ .

In fact we have a pair of functions

$$\text{Norm} \left( \mathcal{Q}(A)^n \times \mathcal{Q}(A), \mathcal{Q}(A) \right)$$

$$(-)^- \uparrow \downarrow (-)^+$$

$$\text{Norm} \left( \mathcal{Q}(A)^n, \mathcal{Q}(\mathcal{I}(A) \times A) \right)$$

defined as follows, where  $\alpha \in \mathcal{Q}(A)^n$ ,  $(\underline{a}, a) \in \mathcal{I}(A) \times A$ .

$$f^+(\alpha)(\underline{a}, a) = f(\alpha, \underline{a})(a)$$

$$g^-(\alpha, \underline{a})(a) = \sup_{\underline{a}' \in \mathcal{I}(A)} g(\alpha)(\underline{a}', a) \cdot \delta_{\underline{a}' \leq \underline{a}}$$

We think of this as currying.

## Lemma

*We have that  $(f^+)^- = f$ , but in general  $(g^-)^+ \neq g$ .*

## Definition

Let  $\underline{x} = \{x_1, \dots, x_n\}$  be a set of variables and let  $t$  be a  $\lambda$ -term for which  $\underline{x}$  is a valid context.

- ▶ **The term  $t$  is a variable**  $x_i \in \underline{x}$ . We define

$$\begin{aligned} \llbracket \underline{x} \mid x_i \rrbracket : \mathcal{Q}(A)^n &\longrightarrow \mathcal{Q}(A) \\ (\underline{a}_1, \dots, \underline{a}_n) &\longmapsto \underline{a}_i \end{aligned}$$

to be the projection map.

# Application and abstraction

Since  $A$  is countably infinite, so is  $\mathcal{I}(A) \times A$ . We fix a bijection  $q : \mathcal{I}(A) \times A \longrightarrow A$  which induces a bijection  $\bar{q} : \mathcal{Q}(A) \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)$ .

## Definition

**The term  $t$  is an application**  $t = t_1 t_2$ .

$$(\bar{q}[\underline{x} \mid t_1])^- \circ [\underline{x} \mid t_2] : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A)$$

**The term  $t$  is an abstraction**  $t = \lambda y. t'$ .

$$[\underline{x}, y \mid t'] : \mathcal{Q}(A)^{n+1} \longrightarrow \mathcal{Q}(A)$$

We assume that this function is normal. We define

$$[\underline{x} \mid t] := \bar{q}^{-1}[\underline{x}, y \mid t']^+ : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A)$$



# Substitution Lemma, and denotation model Theorem

## Lemma

*Let  $t, s$  be  $\lambda$ -terms and  $\underline{x} = \{x_1, \dots, x_n\}$  and  $y$  be such that  $\underline{x} \cup \{y\}$  is a valid context for  $t$  and  $\underline{x}$  is a valid context for  $s$ . Then for any  $\alpha \in \mathcal{Q}(A)^n$  we have*

$$\llbracket \underline{x} \mid t[y := s] \rrbracket(\alpha) = \llbracket \underline{x}, y \mid t \rrbracket(\alpha, \llbracket \underline{x} \mid s \rrbracket(\alpha))$$

## Theorem

*This is a denotational model of the  $\lambda$ -calculus. That is, if  $t$  is a  $\lambda$ -term and  $\underline{x}$  a valid context for  $t$  and for  $s$ , then we have the following equality.*

$$\llbracket \underline{x} \mid (\lambda y. t)s \rrbracket = \llbracket \underline{x} \mid t[y := s] \rrbracket$$

# Extending to Linear Logic

- ▶  $\mathcal{I}(A)$  looks a lot like  $!A$
- ▶ Recall that a normal function  $f : \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$  is equivalent to an order preserving function  $\mathcal{I}(A) \longrightarrow \mathcal{Q}(A)$ .
- ▶ Is there a property which  $f$  may satisfy which means it is determined by its restriction to  $A$ ? Yes! Assume  $f$  is linear:

Let  $\underline{a} \in \mathcal{Q}(A)$

$$\begin{aligned} f(\underline{a}) &= f\left(\sum_{a \in A} \underline{a}(a) \cdot \delta_a\right) \\ &= \sum_{a \in A} \underline{a}(a) \cdot f(\delta_a) \end{aligned}$$

So this model has a concept of linearity: *linearity*.

# A genuine bijection

In fact we have a pair of bijections.

$$\begin{array}{c} \text{Add} \left( \prod_{i=1}^n \mathcal{Q}(A_i) \times \mathcal{Q}(A), \mathcal{Q}(B) \right) \\ \quad \quad \quad \uparrow \downarrow (-)^{\times} \\ \text{Add} \left( \prod_{i=1}^n \mathcal{Q}(A_i), \mathcal{Q}(A \times B) \right) \end{array}$$

Defined as follows, for  $\alpha \in \prod_{i=1}^n \mathcal{Q}(A_i)$ ,  $\underline{a} \in \mathcal{Q}(A)$ ,  $(a, b) \in A \times B$ .

$$\begin{aligned} f^{\times}(\alpha)(a, b) &= f(\alpha, \delta_a)(b) \\ g^{\dot{\times}}(\alpha, \underline{a}) &= \sum_{a \in A} \underline{a}(a) \cdot g(\alpha)(a, b) \end{aligned}$$

We use this to define a model of multiplicative, exponential linear logic.

## A taste

Say the last rule of  $\pi$  is given by  $(R \multimap)$ .

$$\frac{\begin{array}{c} \pi' \\ \vdots \\ \Gamma, A, \Delta \vdash B \end{array}}{\Gamma, \Delta \vdash A \multimap B} (R \multimap)$$

We define

$$\llbracket \pi \rrbracket := \llbracket \pi' \rrbracket^\times$$

Say  $\Gamma = A_1, \dots, A_n, \Delta = B_1, \dots, B_m$

$$\frac{\llbracket \pi' \rrbracket : \prod_{i=1}^n \mathcal{Q}(A_i) \times \mathcal{Q}(A) \times \prod_{i=1}^m \mathcal{Q}(B_i) \longrightarrow \mathcal{Q}(B)}{\llbracket \pi \rrbracket = \llbracket \pi' \rrbracket^\times : \prod_{i=1}^n \mathcal{Q}(A_i) \times \prod_{i=1}^m \mathcal{Q}(B_i) \longrightarrow \mathcal{Q}(A \times B)} \times$$

## A taste

$$\frac{\begin{array}{c} \pi' \\ \vdots \\ \Gamma \vdash A \end{array} \quad \begin{array}{c} \pi'' \\ \vdots \\ B, \Delta \vdash C \end{array}}{A \multimap B, \Gamma, \Delta \vdash C} (\text{L } \multimap)$$

$$\llbracket \pi' \rrbracket : \prod_{i=1}^n \mathcal{Q}(A_i) \longrightarrow \mathcal{Q}(A)$$

$$\llbracket \pi'' \rrbracket : \prod_{i=1}^m \mathcal{Q}(B) \times \mathcal{Q}(B_i) \longrightarrow \mathcal{Q}(C)$$

We define

$$\begin{aligned} \llbracket \pi \rrbracket : \mathcal{Q}(A \times B) \times \prod_{i=1}^n \mathcal{Q}(A_i) \times \prod_{i=1}^m \mathcal{Q}(B_i) &\longrightarrow \mathcal{Q}(C) \\ (f, \underline{\alpha}, \beta) &\longmapsto \llbracket \pi'' \rrbracket \left( \beta, \sum_{a \in A} \llbracket \pi' \rrbracket(\alpha)(a) \cdot f(a, (-)) \right) \end{aligned}$$

## (cut)-reduction invariance

In the special case where  $f = \llbracket \zeta \rrbracket^\times(\gamma)$  for some  $\gamma \in \prod_{i=1}^k \mathcal{Q}(C_i)$ , we obtain

$$\begin{aligned}(\alpha, \beta, \gamma) &\longmapsto \llbracket \pi'' \rrbracket \left( \beta, \sum_{a \in A} \llbracket \pi' \rrbracket(\alpha)(a) \cdot \llbracket \zeta \rrbracket(\gamma)(a, -) \right) \\&= \llbracket \pi'' \rrbracket \left( \beta, (\llbracket \zeta \rrbracket^\times)^\dagger(\gamma, \llbracket \pi' \rrbracket)(\alpha) \right) \\&= \llbracket \pi'' \rrbracket \left( \beta, \llbracket \zeta \rrbracket(\gamma, \llbracket \pi' \rrbracket)(\alpha) \right)\end{aligned}$$

This calculation proves equality of the interpretations of the two proofs:

$$(\alpha, \beta, \gamma) \mapsto \llbracket \pi'' \rrbracket \left( \beta, \sum_{a \in A} \llbracket \pi' \rrbracket (\alpha)(a) \cdot \llbracket \zeta \rrbracket (\gamma)(a, -) \right)$$

$$(\alpha, \beta, \gamma) \mapsto \llbracket \pi'' \rrbracket \left( \beta, \llbracket \zeta \rrbracket (\gamma, \llbracket \pi' \rrbracket (\alpha)) \right)$$

$$\frac{\begin{array}{c} \zeta \\ \vdots \\ \Theta, A \vdash B \end{array} \text{ (R } \multimap \text{)} \quad \frac{\begin{array}{c} \pi' \\ \vdots \\ \Gamma \vdash A \end{array} \quad \begin{array}{c} \pi'' \\ \vdots \\ \Delta, B \vdash C \end{array} \text{ (L } \multimap \text{)}}{A \multimap B, \Gamma, \Delta \vdash C} \text{ (cut)}}{\Theta, \Gamma, \Delta \vdash C} \text{ (cut)}$$

$\longrightarrow$







$$\frac{\frac{\begin{array}{c} \pi' \\ \vdots \\ \Gamma \vdash A \end{array} \quad \begin{array}{c} \zeta \\ \vdots \\ \Theta, A \vdash B \end{array} \text{ (cut)}}{\Gamma, \Theta \vdash B} \quad \begin{array}{c} \pi'' \\ \vdots \\ \Delta, B \vdash C \end{array} \text{ (cut)}}{\Gamma, \Theta, \Delta \vdash C} \text{ (cut)}$$

# Can we go further?

- ▶ Now that we have decategorified Girard's model, can we re-categorify it? At the moment, we are *not* anticipating our model to be an instance of a  $*$ -autonomous category with a comonad satisfying the relevant conditions, it seems as though we have something else.
- ▶ Once a more general framework is established, can we recover the famous relational model? Taking  $\mathcal{Q} = \mathcal{P}$  and relaxing the requirement that our functions be order preserving is a start...
- ▶ Once we have recovered the relational model, can we transfer the differential structure across to obtain a model of the differential  $\lambda$ -calculus?



-  G. Boole, *An Investigation into the Laws of Thought* (1854).
-  D. Cox, J. Little, D. O'Shea, *Ideals, Varieties, and Algorithms* Fourth Edition, Springer (2015).
-  J.-Y. Girard, *Linear Logic*, Theoretical Computer Science 50 (1), 1–102 (1987).
-  J.-Y. Girard, *Multiplicatives*, Logic and Computer Science: New Trends and Applications. Rosenberg & Sellier. pp. 11–34 (1987).
-  J.-Y. Girard, *Towards a geometry of interaction*, In J. W. Gray and A. Scedrov, editors, *Categories in Computer Science and Logic*, volume 92 of Contemporary Mathematics, 69–108, AMS (1989).
-  J.-Y. Girard, *The Blind Spot: lectures on logic*, European Mathematical Society, (2011).

-  J.-Y. Girard, Y. Lafont, and P. Taylor, *Proofs and Types*, Cambridge Tracts in Theoretical Computer Science 7, Cambridge University Press (1989).
-  W. A. Howard, *The formulae-as-types notion of construction*, in Seldin and Hindley *To H.B. Curry: essays on Combinatory logic, Lambda calculus and Formalism*, Academic press (1980).
-  O. Laurent, *An Introduction to Proof Nets*, <http://perso.ens-lyon.fr/olivier.laurent/pn.pdf> (2013).
-  D. Murfet, *Logic and Linear Algebra: An Introduction*, preprint <https://arxiv.org/abs/1407.2650v3> (2017).
-  D. Murfet and W. Troiani, *Gentzen-Mints-Zucker duality*, preprint <https://arxiv.org/abs/2008.10131> (2020).
-  W. Troiani, *Linear logic*, lecture notes <https://williamtroiani.github.io/MathNotes/LinearLogic.pdf> (2020).

