

λ -terms as polynomials

Will Troiani

October 11, 2022

Let t be a λ -term and let $\{x_1, \dots, x_n\}$ be a valid context for t , that is

$$\text{FV}(t) \subseteq \{x_1, \dots, x_n\} \quad (1)$$

We define an integer m and an interpretation for t as a polynomial map:

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid t \rrbracket : \mathbb{N}[x_1, \dots, x_n]^m &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, \dots, X_m) &\longmapsto q(X_1, \dots, X_m) \end{aligned}$$

What we mean by $\llbracket x_1, \dots, x_n \mid t \rrbracket$ being a polynomial, is that

$$q(X_1, \dots, X_m) \in (\mathbb{N}[x_1, \dots, x_n])[X_1, \dots, X_m] \quad (2)$$

Definition 0.0.1. Say $t = x_i$ is a **variable**. Then $m = 1$ and:

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid x_i \rrbracket : \mathbb{N}[x_1, \dots, x_n] &= \mathbb{N}[x_1, \dots, x_n] \longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ X &\longmapsto x_i \end{aligned}$$

Say $t = \lambda x_{n+1}.t$ is an abstraction: assume we have

$$\begin{aligned} \llbracket x_1, \dots, x_n, x_{n+1} \mid t \rrbracket : \mathbb{N}[x_1, \dots, x_n, x_{n+1}]^m &\longmapsto \mathbb{N}[x_1, \dots, x_n, x_{n+1}] \\ (X_1, \dots, X_m) &\longmapsto q(X_1, \dots, X_m) \end{aligned}$$

We notice that

$$q(X_1, \dots, X_m) \in (\mathbb{N}[x_1, \dots, x_{n+1}])[X_1, \dots, X_m] \quad (3)$$

and so there exists a polynomial $q' \in \mathbb{N}[x_1, \dots, x_{n+1}, X_1, \dots, X_m]$ such that

$$q'(x_1, \dots, x_{n+1}, X_1, \dots, X_m) = q(X_1, \dots, X_m) \quad (4)$$

We introduce a new variable X_{m+1} and consider

$$q'(x_1, \dots, x_n, X_{m+1}, X_1, \dots, X_m) \in (\mathbb{N}[x_1, \dots, x_n])[X_1, \dots, X_{m+1}] \quad (5)$$

There exists a polynomial $q'' \in (\mathbb{N}[x_1, \dots, x_n])[X_1, \dots, X_{m+1}]$ such that

$$q''(X_1, \dots, X_{m+1}) = q'(x_1, \dots, x_n, X_{m+1}, X_1, \dots, X_m) \quad (6)$$

We define

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid \lambda x_{n+1}.t \rrbracket : \mathbb{N}[x_1, \dots, x_n]^{m+1} &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, \dots, X_{m+1}) &\longmapsto q''(X_1, \dots, X_{m+1}) \end{aligned}$$

Say $t = uv$ is an application: say we have

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid u \rrbracket : \mathbb{N}[x_1, \dots, x_n]^{m_1} &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, \dots, X_{m_1}) &\longrightarrow q_1(X_1, \dots, X_{m_1}) \end{aligned}$$

and

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid v \rrbracket : \mathbb{N}[x_1, \dots, x_n]^{m_2} &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, \dots, X_{m_2}) &\longmapsto q_2(X_1, \dots, X_{m_2}) \end{aligned}$$

We define

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid uv \rrbracket : \mathbb{N}[x_1, \dots, x_n]^{m_1+m_2-1} &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, \dots, X_{m_1+m_2-1}) &\longmapsto q_1(X_{m_2+1}, \dots, X_{m_2+m_1-1}, q_2(X_1, \dots, X_{m_2})) \end{aligned}$$

Proposition 0.0.2. *This is a model of the untyped λ -calculus.*

Proof. We show that

$$\llbracket x_1, \dots, x_n \mid (\lambda x_{n+1}.t)s \rrbracket = \llbracket x_1, \dots, x_n \mid t[x_{n+1} := s] \rrbracket \quad (7)$$

We prove this by induction on the structure of t .

Say $t = x_i$ is a variable. If $i \neq n+1$ then $t[x_{n+1} := s] = x_i$ and

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid x_i \rrbracket : \mathbb{N}[x_1, \dots, x_n] &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ X &\longmapsto x_i \end{aligned}$$

On the other hand,

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid \lambda x_{n+1}.x_i \rrbracket : \mathbb{N}[x_1, \dots, x_n]^2 &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, X_2) &\longmapsto x_i \end{aligned}$$

and so

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid (\lambda x_{n+1}.x_i)s \rrbracket : \mathbb{N}[x_1, \dots, x_n]^2 &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, X_2) &\longmapsto x_i \end{aligned}$$

If $i = n+1$ then $t[x_{n+1} := s] = s$ and

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid \lambda x_{n+1}.x_{n+1} \rrbracket : \mathbb{N}[x_1, \dots, x_n]^m &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, \dots, X_m) &\longmapsto X_m \end{aligned}$$

Thus

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid (\lambda x_{n+1}.x_{n+1})s \rrbracket : \mathbb{N}[x_1, \dots, x_n]^m &\longrightarrow \mathbb{N}[x_1, \dots, x_n] \\ (X_1, \dots, X_m) &\longmapsto \llbracket x_1, \dots, x_n \mid s \rrbracket(X_1, \dots, X_m) \end{aligned}$$

Say $t = \lambda x_{n+2}.u$ is an abstraction. Write

$$\llbracket x_1, \dots, x_n \mid u \rrbracket = q(X_1, \dots, X_m), \quad \llbracket x_1, \dots, x_n \mid s \rrbracket = p(X_1, \dots, X_{m'}) \quad (8)$$

Then

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid \lambda x_{n+2}.(\lambda x_{n+1}.u)s \rrbracket \\ = q(x_1, \dots, x_n, p(X_1, \dots, X_{m'}), X_{m+m'+1}, X_{m'+1}, \dots, X_{m'+m}) \end{aligned}$$

Also,

$$\llbracket x_1, \dots, x_n \mid \lambda x_{n+1} x_{n+2}.u \rrbracket = q(x_1, \dots, x_n, X_{m+2}, X_{m+1}, X_1, \dots, X_m) \quad (9)$$

it follows that

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid (\lambda x_{n+1} x_{n+2}.u)s \rrbracket \\ = q(x_1, \dots, x_n, p(X_1, \dots, X_{m'}), X_{m'+m+1}, X_{m'+1}, \dots, X_{m'+m}) \end{aligned}$$

By the inductive hypothesis, we have

$$\llbracket x_1, \dots, x_n, x_{n+2} \mid u[x_1 := s] \rrbracket = \llbracket x_1, \dots, x_n, x_{n+2} \mid (\lambda x_{n+1}.u)s \rrbracket \quad (10)$$

It follows that

$$\llbracket x_1, \dots, x_n \mid \lambda x_{n+2}(u[x_1 := s]) \rrbracket = \llbracket x_1, \dots, x_n \mid \lambda x_{n+2}.(\lambda x_{n+1}.u)s \rrbracket \quad (11)$$

Combining this with the above, we have

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid (\lambda x_{n+1} x_{n+2}.u)s \rrbracket &= \llbracket x_1, \dots, x_n \mid \lambda x_{n+2}.(u[x_1 := s]) \rrbracket \\ &= \llbracket x_1, \dots, x_n \mid (\lambda x_{n+2}.u)[x_1 := s] \rrbracket \end{aligned}$$

as required. **Say $t = t_1 t_2$ is an application.** Write

$$\llbracket x_1, \dots, x_n \mid t_i \rrbracket = q_1(X_1, \dots, X_{m_i}), \text{ for } i = 1, 2 \quad (12)$$

and again we write

$$\llbracket x_1, \dots, x_n \mid s \rrbracket = p(x_1, \dots, x_n, X_1, \dots, X_{m'}) \quad (13)$$

For $i = 1, 2$ we have

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid (\lambda x_{n+1}.t_i)s \rrbracket \\ = q_i(x_1, \dots, x_n, p(x_1, \dots, x_n, X_1, \dots, X_{m'}), X_{m'+1}, \dots, X_{m'+m_i}) \end{aligned}$$

Thus,

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid [(\lambda x_{n+1}.t_1)s][(\lambda x_{n+1}.t_2)s] \rrbracket \\ = q_1(x_1, \dots, x_n, p(x_1, \dots, x_n, X_1, \dots, X_{m'}), \\ X_{m'+m_2+1}, \dots, X_{m'+m_2+m_1-1}, q_2(x_1, \dots, x_n, p(x_1, \dots, x_n, X_1, \dots, X_{m'}), \\ X_{m'+1}, \dots, X_{m'+m_2})) \end{aligned}$$

On the other hand, we have

$$\begin{aligned} \llbracket x_1, \dots, x_n, x_{n+1} \mid t_1 t_2 \rrbracket \\ = q_1(x_1, \dots, x_n, x_{n+1}, X_{m_2+1}, \dots, X_{m_2+m_1-1}, q_2(x_1, \dots, x_n, x_{n+1}, X_1, \dots, X_{m_2})) \end{aligned}$$

Thus,

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid (\lambda x_{n+1}.t_1 t_2)s \rrbracket \\ = q_1(x_1, \dots, x_n, p(x_1, \dots, x_n, X_1, \dots, X_{m'}), \\ X_{m'+m_2+1}, \dots, X_{m'+m_2+m_1-1}, q_2(x_1, \dots, x_n, p(x_1, \dots, x_n, X_1, \dots, X_{m'}), \\ X_{m'+1}, \dots, X_{m'+m_2})) \end{aligned}$$

By the inductive hypothesis, we have for $i = 1, 2$:

$$\llbracket x_1, \dots, x_n \mid (\lambda x_{n+1}.t_i)s \rrbracket = \llbracket x_1, \dots, x_n \mid t_i[x_{n+1} := s] \rrbracket \quad (14)$$

It follows that

$$\llbracket x_1, \dots, x_n \mid [(\lambda x_{n+1}.t_1)s][(\lambda x_{n+1}.t_2)s] \rrbracket = \llbracket x_1, \dots, x_n \mid t_1[x_{n+1} := s]t_2[x_{n+1} := s] \rrbracket \quad (15)$$

Combining this with above we have

$$\begin{aligned} \llbracket x_1, \dots, x_n \mid (\lambda x_{n+1}.t_1t_2)s \rrbracket &= \llbracket x_1, \dots, x_n \mid t_1[x_{n+1} := s]t_2[x_{n+1} := s] \rrbracket \\ &= \llbracket x_1, \dots, x_n \mid (t_1t_2)[x_{n+1} := s] \rrbracket \end{aligned}$$

as required. □