Polynomial functors

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October 15, 2023

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1 Introduction

Coherence spaces have been described as "a simplification of Scott domains..." from which "one eventually discovers a logical layer finer than intuitionism, linear logic" [2]. Quotes such as these imply that Girard discovered linear logic by first considering coherence spaces. This however, is not true. In fact, Girard discovered linear logic by first considering a model of λ-calculus using Analytic Functors, indeed, we quote from his paper [1]:

> "It appears now (October 1986) that the main interest of the paper is the general analogy with linear algebra. The analogy brought in sight new operations, new connectives, thus leading to 'linear logic'. The treatment of the sum of types... contains implicitly all the operations of linear logic. What has been found later is that the operations used here (e.g., linearization by means of 'tensor algebra') are of logical nature."

2 Girard's Normal Form Theorem

One of the major contributions of [1] is his Normal Form Theorem [1, Theorem 2.8] which establishes an equivalence between three different types of functors: those satisfying the *finite normal form property* (Definition **??**), those which are analytic (Definition 2.1.1), and those which are normal (Definition 2.2.1). We remark that the statement of the Theorem is written incorrectly there, the correct statement is given as follows.

Theorem 2.0.1. *Let $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ be a functor. Then the following are equivalent.*

- *The functor $\mathscr{F}$ is normal.*

- *The functor $\mathscr{F}$ satisfies the finite normal form property.*

- *The functor $\mathscr{F}$ is isomorphic to an analytic functor.*

Normality of a functor $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ is a preservation property (indeed a simple one, a functor is normal if it preserves wide pullbacks and filtered colimits). Such functors admit a minimal, finite subobject of each $(F, x) \in \mathrm{El}(\mathscr{F})$. The existence of these subobjects is condition that $\mathscr{F}$ satisfies the finite normal form property.

Definition 2.0.2. Let $(F, x) \in \mathrm{El}(\mathscr{F})$. A **form** of $\mathscr{F}$ with respect to (F, x) is an object of the category $\mathrm{El}(\mathscr{F})/(F, x)$, the comma category over (F, x) of the category of elements $\mathrm{El}(\mathscr{F})$.

The form is **normal** if it is initial (ie, is an initial object in the category $\mathrm{El}(\mathscr{F}))/(F, x)$.

Let $X \in \mathrm{Set}$ be a set and $F \in \mathrm{Set}^A$ a functor, and $\eta : (G, y) \longrightarrow (F, x)$ a form, where $x \in \mathscr{F}(F)$.

- X is an **integer** if it is one of the following sets (formally defined using induction).

$$
\begin{aligned}
0 &:= \varnothing \\
1 &:= \{0\} = \{\varnothing\} \\
2 &:= \{0, 1\} = \{\varnothing, \{\varnothing\}\} \\
3 &:= \{0, 1, 2\} = \{\varnothing, \{\varnothing\}, \{\varnothing, \{\varnothing\}\}\} \\
&\;\;\cdots \\
n &:= \{0, \cdots, n - 1\} \\
&\;\;\cdots
\end{aligned}
$$

- F is **finite** if for all $a \in A$ the set $F(a)$ is finite, and all but finitely many of $F(a)$ are equal to $\varnothing$.

- F is **integral** if for all $a \in A$ the set $F(a)$ is an integer.

- $\eta : (G, y) \longrightarrow (F, x)$ is **finite** if G is finite.

- $\eta : (G, y) \longrightarrow (F, x)$ is **integral** if G is integral.

We let $\mathrm{Int}(A)$ denote the set of integral functors $F \in \mathrm{Set}^A$.

The functor $\mathscr{F}$ satisfies the **finite normal form property** if for every object (F, x) in $\mathrm{El}(\mathscr{F})$ (the category of elements of $\mathscr{F}$) there exists a finite normal form $\eta : (G, y) \longrightarrow (F, x)$ (Definition 2.0.2).

The functor $\mathscr{F}$ satisfies the **integral normal form property** if in the above the functor G can be taken to be integral.

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Clearly, every integral functor is finite. Conversely, every finite functor is isomorphic to an integral functor. It follows that the finite normal form property is equivalent to the integral normal form property. Moreover, this holds even when A is an arbitrary category, even though this case was not considered in Girard's original paper [1].

Let A be a small category with only identity morphisms (in other words, A is a set).

The collection of these minimal presentations form the collection of normal forms which is implied to exist by the above equivalence. This collection can in turn be organised into an analytic functor isomorphic $\mathscr{F}$. That is to say, $\mathscr{F}$ can be reconstructed from set of minimal data. We now explore this equivalence in more detail.

2.1 Analytic functors

Analytic functors, as per their name, are functors which can be written in a matter resembling a formal power series. For us, the power series presentation will be useful for describing a model of the untyped λ-calculus, in particular for describing the interpretation of λ-abstractions. Any relationship between the power series presentation of an analytic functor and a power series in say, complex analysis, is coincidental and no such link will be made in this paper. There do exist relationships between an alternate notion of analytic functor, first considered by Joyal, and generating series, but this notion of analytic functors is distinct from what is given here and in [1], the common name is an unfortunate accident.

Definition 2.1.1. A functor $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ is **analytic** if there exists a family of sets $\{C_G\}_{G \in \mathrm{Set}^A}$ such that for all objects $F \in \mathrm{Set}^A$ and all morphisms $\mu : F \longrightarrow G$ we have

$$\mathscr{F}(F) = \coprod_{G \in \mathrm{Int}(A)} (\mathrm{Set}^A(G, F) \times C_G) \quad \mathscr{F}(\mu) = \coprod_{G \in \mathrm{Int}(A)} (\mathrm{Set}^A(G, \mu) \times C_G)$$

We now show that if a functor $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ admits the finite normal form property then it is isomorphic to an analytic functor. As already mentioned, this result can be thought of as recovering the functor $\mathscr{F}$ from its collection of normal forms. In short, given a functor $F \in \mathrm{Set}^A$ and an element $x \in \mathscr{F}(F)$, a normal form $\eta : (G, y) \longrightarrow (F, x)$ will induce the data of a triple $(G, \eta, y') \in \coprod_{G \in \mathrm{Int}(A)} (\mathrm{Set}^A(G, F) \times C_G)$ where y' is equivalent to

y under an appropriate equivalence relation. To finish the proof, we must define the equivalence relation defining the classes which form C_G. This will require an alternate classification of when an integral form is normal without reference to its codomain.

Lemma 2.1.2. *Let $\eta : (G, y) \longrightarrow (F, x)$ be an integral form (not necessarily normal) and say $\mathscr{F}$ satisfies the integral normal form property. Then η is normal if and only if $\mathrm{id}_G : (G, y) \longrightarrow (G, y)$ is.*

Proof. Let $\eta' : (G, y') \longrightarrow (F, x)$ be an integral normal form associated to (F, x). Then by normality there exists a morphism $\delta : G \longrightarrow G$ so that the following is a commutative diagram in $\mathrm{El}(\mathscr{F})$.

$$
\begin{array}{ccc}
(G, y') & \xrightarrow{\;\eta'\;} & (F, x) \\
{\scriptstyle \delta'} \big\uparrow \big\downarrow {\scriptstyle \delta} & \nearrow {\scriptstyle \eta} & \\
(G, y) & &
\end{array}
\tag{1}
$$

Since id is normal, there exists a section δ' rendering (1) commutative.

Since $\delta \delta' = \mathrm{id}_G$ and η is normal, it follows that η' is normal. On the other hand, say η is normal. Let $\epsilon : (H, w) \longrightarrow (G, y)$ be arbitrary. Consider the composition $\eta\epsilon$. By normality of η, there exists a unique $\delta : (G, y) \longrightarrow (H, w)$ so that the following diagram commutes:

$$
\begin{array}{ccc}
 & (F, x) & \\
{\scriptstyle \eta} \nearrow & \uparrow {\scriptstyle \eta\epsilon} & \\
(G, y) \xrightarrow{\;\delta\;} & (H, w) &
\end{array}
\tag{2}
$$

If δ' was another such map, then $\eta\epsilon\delta = \eta\epsilon\delta'$ so by normality of η we have that $\delta = \delta'$. $\qquad\square$

Lemma 2.1.3. *If a functor $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ satisfies the finite normal form property, then $\mathscr{F}$ is isomorphic to an analytic functor.*

Proof. The main step in the proof will be to define for each $G \in \mathrm{Int}(A)$ a set C_G and for each $F \in \mathrm{Set}^A$ a bijection

$$
h_F : \mathscr{F}(F) \longrightarrow \coprod_{G \in \mathrm{Int}(A)} \left(\mathrm{Set}^A(G, F) \times C_G \right)
\tag{3}
$$

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In fact, in the current setting where A admits only identity morphisms, this will complete the proof.

For any element (F, x) of $\mathrm{El}(\mathscr{F})$ there is some finite normal form $\eta : (G, y) \longrightarrow (F, x)$, isomorphic to an integral normal form. Thus, it suffices to consider the case where $\mathscr{F}$ satisfies the *integral* normal form property.

An integral normal form $\eta : (G, y) \longrightarrow (F, x)$ is *not* uniquely determined by (F, x), however, given another integral normal form $\eta' : (G', y') \longrightarrow (F, x)$ we have that $G' \cong G$ by normality and thus $G' = G$ by integrality. So at least the domain of the object is uniquely determined by (F, x).

Let X_G denote the elements $y \in \mathscr{F}(G)$ for which $\mathrm{id}_G : (G, y) \longrightarrow (G, y)$ is normal, since $\mathscr{F}$ satisfies the integral form property, there is always at least one such y. Let C_G denote a set of choices of representatives of the isomorphism classes of X_G.

Thus, to each $x \in \mathscr{F}(F)$ we have associated an integral normal form $\eta : (G, y) \longrightarrow (F, x)$ and fixed particular choices so that this map $h_F(x) = (G, \eta, y)$ is a bijection. $\qquad \square$

The converse to Lemma 2.1.3 also holds, which we now move onto proving.

In general, if $\mu : H \longrightarrow G$ is a natural transformation and $\eta : (G, y) \longrightarrow (F, x)$ is a normal form, then the composite $\eta \mu$ is need *not* be a normal form. However, if $\mathscr{F}$ satisfies the finite normal form property the normal forms *can* be carried through natural transformations. This is the content of the next Lemma.

Lemma 2.1.4. *Let $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ be a functor satisfying the normal form property. Then if $\eta : (G, y) \longrightarrow (F, x)$ is a normal form and $\mu : G \longrightarrow H$ is a natural transformation, then $\mu \eta : (G, y) \longrightarrow (H, \mathscr{F}(\mu)(x))$ is a normal form.*

Proof. Let $\epsilon : (K, z) \longrightarrow (H, \mathscr{F}(\mu)(x))$ be an arbitrary form. We show that there exists a unique morphism $(G, y) \longrightarrow (K, z)$ in the category $\mathrm{El}(\mathscr{F})/(H, \mathscr{F}(\mu)(x))$. Since $\mathscr{F}$ satisfies the normal form property there exists some normal form $\gamma : (L, w) \longrightarrow (H, \mathscr{F}(\mu)(x))$. It is convenient to draw this situation out in

the category $\mathrm{El}(\mathscr{F})$, ignore the dashed arrows for now.

$$
\begin{array}{ccc}
(G,y) & \xrightarrow{\ \eta\ } & (F,x) \\
{\scriptstyle\delta}\big\updownarrow{\scriptstyle\delta'} & & \big\downarrow{\scriptstyle\mu} \\
(L,w) & \xrightarrow{\ \gamma\ } & (H,\mathscr{F}(\mu)(x)) \\
{\scriptstyle\beta}\big\downarrow & \nearrow{\scriptstyle\epsilon} & \\
(K,z) & &
\end{array}
\tag{4}
$$

Since $\mu\eta : (G,y) \longrightarrow (H,\mathscr{F}(\mu)(x))$ is a form with respect to $(H,\mathscr{F}(\mu)(x))$ we have by initiality of $\gamma : (L,w) \longrightarrow (H,\mathscr{F}(\mu)(x))$ that there exists a morphism $\delta : (L,w) \longrightarrow (G,y)$ fitting into (4).

The morphism $\eta\delta : (L,w) \longrightarrow (F,x)$ induces the morphism δ' and composing this with the morphism β (which is induce by initiality of $\gamma : (L,w) \longrightarrow (H,\mathscr{F}(\mu)(x))$ induces a morphism $(G,y) \longrightarrow (K,z)$ which is the unique morphism rending the full diagram commutative. Thus $\mu\eta : (G,y) \longrightarrow (H,\mathscr{F}(\mu)(x))$ is initial. $\qquad\square$

Lemma 2.1.5. *Let $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ be analytic. Then $\mathscr{F}$ satisfies the normal form property.*

Proof. Let $F \in \mathrm{Set}^A$ be arbitrary and consider an element (G,η,y) of $\mathscr{F}(F) = \coprod_{G'\in\mathrm{Int}(A)}(\mathrm{Set}^A(G',F)\times C_{G'})$. We can then consider the set $\mathscr{F}(G) = \coprod_{G'\in\mathrm{Int}(A)}\mathrm{Set}^A(G',G)\times C_{G'}$. A particular element of this set is (G,id_G,y). We show that $\eta : (G,(G,\mathrm{id}_G,y)) \longrightarrow (F,(G,\eta,y))$ is normal.

Say $\epsilon : (H,(G',\eta',y')) \longrightarrow (F,(G,\eta,y))$ is a form, then

$$
\mathscr{F}(\epsilon)(G',\eta',y') = (G,\eta,y)
\tag{5}
$$

We unpack the definition of the function $\mathscr{F}(\epsilon) = \coprod_{G\in\mathrm{Int}(A)}(\mathrm{Set}^A(G,\epsilon) \times C_G)$. This function makes the following Diagram commute, where the vertical morphisms are canonical inclusion maps.

$$
\begin{array}{ccc}
\coprod_{G\in\mathrm{Int}(A)}(\mathrm{Set}^A(G,H) \times C_G) & \xrightarrow{\ \mathscr{F}(\mu)\ } & \coprod_{G\in\mathrm{Int}\,A}(\mathrm{Set}^A(G,F)) \\
\big\uparrow & & \big\uparrow \\
\mathrm{Set}^A(G,H) \times C_G & \xrightarrow{\ \_\circ\epsilon\times\mathrm{id}_{C_G}\ } & \mathrm{Set}^A(G,F) \times C_G
\end{array}
\tag{6}
$$

So (5) implies $((\_ \circ \epsilon) \times \mathrm{id})(\eta', y') = (\eta, y)$. We thus have:

$$G' = G, \quad \epsilon\eta' = \eta, \quad y' = y \tag{7}$$

Thus, the domain of the morphism $\epsilon : (H, (G', \eta', y')) \longrightarrow (F, (G, \eta, y))$ is equal to $(H, (G, \eta', y))$. We need a unique morphism $(G, (G, \mathrm{id}_G, y)) \longrightarrow (H, (G, \eta', y))$. Clearly η' is such a morphism, and it is the unique such because for any morphism $\mu : G \longrightarrow G$ we have $(\mathrm{Set}^A(G, \mu) \times C_G)(\mu) = \mu$, and so η' is the unique morphism μ determined by the condition $(\mathrm{Set}^A(G, \mu) \times C_G)(\mu) = \eta'$. $\qquad \square$

We have now established two thirds of the Normal Form Theorem 2.0.1. The following section completes the proof by showing that $\mathscr{F}$ satisfies the finite normal form property if and only if it is normal. Everything so far also holds in the setting where A is an arbitrary category, even though the assumption was made in [1] that A is a set.

2.2 Normal functors

The functors of this section $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ will be defined as those which preserve certain limits and colimits, but their crucial property will in fact be that the image of a functor $F \in \mathrm{Set}^A$ under $\mathscr{F}$ will be determined by *finite data*, even if F is infinitary. To illustrate this point, consider a set X and let $\{X_i\}_{i \in I}$ be its set of finite subsets. Then X can be written as the filtered colimit $\mathrm{Colim}_{i \in I}\{X_i\}$. Now say Y is another set and we have a function $f : X \longrightarrow Y$. We can consider X, Y as categories (with only identity morphisms) and f as a functor. Then if f preserves filtered colimits and wide pullbacks, we have the following:

$$\begin{aligned} f(X) &= f(\mathrm{Colim}_{i \in I}\{X_i\}) \\ &= \mathrm{Colim}_{i \in I}\{f(X_i)\} \end{aligned}$$

We can think of the collection $\{f(X_i)\}_{i \in I}$ as a collection of *finite approximations* to f. Moreover, if $x \in X$ and $X_i, X_j \subseteq X$ are both finite such that $x \in X_i, X_j$ then $x \in X_i \cap X_j$ and so

$$f(X_i \cap X_j) = f(X_i) \cap f(X_j) \tag{8}$$

as f preserves pullbacks. This implies that there exists a *minimal, finite* subset X determining the behaviour of f on x.

The theory presented in this section can be thought of as a generalisation of this phenomena just observed to a categorical setting.

Definition 2.2.1. A functor $\mathrm{Set}^A \longrightarrow \mathrm{Set}$ is **normal** if it preserves directed colimits and (wide) pullbacks.

Lemma 2.2.2. *Any functor $F \in \mathrm{Set}^A$ is the colimit of finite functors in Set^A.*

Proof. Left to the reader. □

Lemma 2.2.2 is useful for proving that certain subobjects are finite. In short, one can prove a set Y is finite by defining a surjective function $f : X \longrightarrow Y$ where X is finite. This suggest a relaxing of the finite normal form condition to the *saturated form condition*, which is to say that every appropriate pair (F, x) admits a saturated form.

Definition 2.2.3. A form $\eta : (G, y) \longrightarrow (F, x)$ is **saturated** if any other form $\epsilon : (H, z) \longrightarrow (G, y)$ is an epimorphism.

Lemma 2.2.4. *If $\mathscr{F}$ is normal, then every saturated form is finite.*

Proof. Let $\eta : (G, y) \longrightarrow (F, x)$ be a saturated form. We have by Lemma 2.2.2 that G is the colimit of its finite subobjects, so we write $G \cong \mathrm{Colim}\{G_i\}_{i \in I}$. Hence, $\mathscr{F}(G) \cong \mathscr{F} \mathrm{Colim}\{G_i\} \cong \mathrm{Colim}\{\mathscr{F}(G_i)\}$, using normality.

Thus, we can view y as an element of $\mathrm{Colim}\{\mathscr{F}(G_i)\}$ and consider $i \in I$ along with $y' \in \mathscr{F}(G_i)$ which maps onto $y \in \mathrm{Colim}\{\mathscr{F}(G_i)\}$ under the corresponding morphism of the colimit. We thus have a commutative diagram.

$$\mathscr{F}(G) \xrightarrow{\ \cong\ } \mathrm{Colim}\{\mathscr{F}(G_i)\} \tag{9}$$
$$\mathscr{F}(G_i)$$

Thus, $(G_i, y') \longrightarrow (G, y)$ is a form which is surjective by saturation of η. Since G_i is finite, this implies G is finite. □
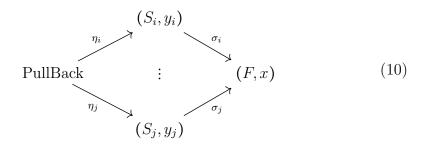
The final preliminary lemma required states that morphisms out of saturated normal forms are unique, in an appropriate sense. The proof of this lemma will use the fact that any functor preserving pullbacks preserves equalisers.

Lemma 2.2.5. *Let $\eta : (G, y) \longrightarrow (F, x)$ be saturated and $\eta' : (G, y) \longrightarrow (F, x)$ an arbitrary form. Then $\eta = \eta'$.*

Proof. Consider the equaliser $\mathrm{Eq}(\mathscr{F}\eta, \mathscr{F}\eta')$. Since $\mathscr{F}\eta(y) = \mathscr{F}\eta'(y)$ we have that $y \in \mathrm{Eq}(\mathscr{F}\eta, \mathscr{F}\eta')$. Since $\mathrm{Eq}(\mathscr{F}\eta, \mathscr{F}\eta') \cong \mathscr{F}\mathrm{Eq}(\eta, \eta')$ it follows that $(\mathrm{Eq}(\eta, \eta'), y) \longrightarrow (G, y)$ is a form, which in fact is surjective by saturation of η. It follows that $\eta = \eta'$. $\qquad\square$

Lemma 2.2.6. *If $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ is normal then it satisfies the normal form property.*

Proof. Let (F, x) be a pair consisting of a functor $F \in \mathrm{Set}^A$ and an element $x \in \mathscr{F}(F)$. Consider all the saturated forms with codomain (F, x) and take the pullback of this entire diagram. We use the labelling as given by (10).

$$
\begin{array}{ccc}
 & (S_i, y_i) & \\
\overset{\eta_i}{\nearrow} & & \overset{\sigma_i}{\searrow} \\
\mathrm{PullBack} \qquad \vdots & & (F, x) \qquad\qquad (10) \\
\underset{\eta_j}{\searrow} & & \overset{\sigma_j}{\nearrow} \\
 & (S_j, y_j) &
\end{array}
$$

There exists $y \in \mathscr{F}(\mathrm{PullBack})$ so that $\mathscr{F}\eta_i(y) = y_i$ for all i. We consider a saturated form $\epsilon : (G, z) \longrightarrow (\mathrm{PullBack}, y)$. We claim that this is a normal form with respect to (F, x).

Assume there is a form $\delta : (H, w) \longrightarrow (F, x)$ and consider a saturated form $\delta' : (H', w') \longrightarrow (H, w)$. A saturated form is one such that any form *into* it is surjective. Thus $\delta\delta' : (H', w') \longrightarrow (F, x)$ is saturated as $\delta' : (H', w') \longrightarrow (H, w)$ is.

It follows that $(H, w) = (S_i, y_i)$ for some i. Thus we have a morphism $\eta_i\epsilon : (G, z) \longrightarrow (S_i, y_i) = (H, w)$. It follows from Lemma 2.2.5 that this is the unique morphism in the appropriate sense. This completes the proof. $\qquad\square$

The remaining result to be proved for Theorem 2.0.1 is the converse to Lemma 2.2.6. Indeed this is the most difficult part of the proof. This result and its proof was one of the main motivators for the authors to search for a more satisfying framework within which to describe the ideas hidden inside the details here. We include the result and proof here for completeness.

Lemma 2.2.7. *A functor $\mathscr{F} : \mathrm{Set}^A \longrightarrow \mathrm{Set}$ satisfying the finite normal form property is normal.*

Proof. We must show that $\mathscr{F}$ preserves directed colimits and wide pullbacks. $\mathscr{F}$ **preserves directed colimits:** consider a directed system, that is, assume there exists a collection of objects $\{F_i\}_{i \in I}$ fo Set^A, where I is a set equipped with a partial order $<$, along with a collection of morphisms $\{\alpha_{ij} : F_i \longrightarrow F_j\}_{i,j \in I}$ subject to the following conditions

- $\forall i, j \in I$, $\exists k \in I$ such that $\alpha_{ik} : F_i \longrightarrow F_k$, and $\alpha_{jk} : F_j \longrightarrow F_k$ exist.

- $\forall i, j, k \in I$, $\alpha_{jk}\alpha_{ij} = \alpha_{ik}$

- $\forall i \in I$ $\alpha_{ii} = \text{id}_{F_i}$

Let C denote the directed colimit of this directed system in the category Set^A and let $\{\mu_i : F_i \longrightarrow C\}$ denote the associated morphisms into C. Consider also the directed colimit

$$\left(C', \{g_i : \mathscr{F}(F_i) \longrightarrow C'\}_{i \in I}\right) \tag{11}$$

of the directed system given by $\left(\{\mathscr{F}(F_i)\}_{i \in I}, \{\mathscr{F}(\alpha_{ij}) : \mathscr{F}(F_i) \longrightarrow \mathscr{F}(F_j)\}_{i,j \in I}\right)$ in the category Set.

By the universal property of C', there exists a unique function

$$f : C' \longrightarrow \mathscr{F}(C) \tag{12}$$

so that for all $i \in I$ the following diagram commutes.

$$\begin{array}{ccc} \mathscr{F}(F_i) & & \\ {\scriptstyle g_i}\downarrow & \searrow^{\mathscr{F}(\mu_i)} & \\ C' & \xrightarrow{\;\;f\;\;} & \mathscr{F}(C) \end{array} \tag{13}$$

We need to prove that f is an isomorphism (ie, a bijection). We do this by proving that it is injective and surjective.

First we prove surjectivity. Let $z \in \mathscr{F}(C)$. By the finite normal form property, there exists a finite normal form $\epsilon : (G, w) \longrightarrow (C, z)$. Now, for each $a \in A$ there is a function

$$\epsilon_a : G(a) \longrightarrow C(a) \tag{14}$$

hence, there exists some $i \in I$ and function $\epsilon'_a : G(a) \longrightarrow F_i(a)$ through which the function ϵ_a factors. Since G is finite, and the colimit is directed, there

exists an $i \in I$ such that for each $a \in A$ there is a morphism $G(a) \longrightarrow F_i(a)$, which we also call ϵ'_a, which makes the following diagram commute.

$$
\begin{array}{ccc}
G(a) & \xrightarrow{\ \epsilon'_a\ } & F_i(a) \\
 & {\scriptstyle \epsilon_a} \searrow & \downarrow \\
 & & C(a)
\end{array}
\tag{15}
$$

We claim the collection $\epsilon' := \{\epsilon'_a : G(a) \longrightarrow F_i(a)\}$ is a natural transformation, however since A is discrete (ie, has no non-identity morphisms), there is no condition to check, so this is vacuously satisfied.

Note: even in the case where A is an arbitrary category, we still obtain naturality, it is inhereted from naturality of the morphisms involved in the following diagram:

$$
\begin{array}{ccccc}
G & \longrightarrow & F_{i'} & \xrightarrow{\ \alpha_{i'i}\ } & F_i \\
 & & & {\scriptstyle \alpha_{ji}} \uparrow & \\
 & & \searrow & & \\
 & & & F_j &
\end{array}
\tag{16}
$$

We have constructed a natural transformation $\epsilon' : G \longrightarrow F_i$ so that the following diagram commutes.

$$
\begin{array}{ccc}
G & \xrightarrow{\ \epsilon'\ } & F_i \\
 & {\scriptstyle \epsilon} \searrow & \downarrow \\
 & & C
\end{array}
\tag{17}
$$

Let z' denote $\mathscr{F}(\epsilon')(w)$. We have commutativity of the following diagram

$$
\begin{array}{ccc}
\mathscr{F}F_i & & \\
{\scriptstyle g_i} \downarrow & \searrow {\scriptstyle \mathscr{F}\mu_i} & \\
C' & \xrightarrow{\ f\ } & \mathscr{F}(C)
\end{array}
\tag{18}
$$

Hence, $g_i(z')$ is an element of C' such that $f(g_i(z')) = z$, establishing surjectivity.

Now we prove injectivity. Let $x_1, x_2 \in C'$ be such that $f(x_1) = f(x_2)$. Let z denote this element of $\mathscr{F}(C)$. The functions $\{g_i\}_{i \in I}$ form a surjective family over C' and so there exists $i, i' \in I$ and $x'_1 \in \mathscr{F}(F_i), x'_2 \in \mathscr{F}(F_{i'})$ so that $g_i(x'_1) = x_1, g_{i'}(x'_2) = x_2$. In fact, since the diagram the colimit is over is filtered, we can assume without loss of generality that $i = i'$.

Turning our consideration to z, which is an element of $\mathscr{F}(C)$, we choose a normal form $\epsilon : (G, y) \longrightarrow (C, z)$. We have already seen in the proof of surjectivity how from this we obtain a $j \in I$ along with a natural transformation $\epsilon' : G \longrightarrow F_j$ so that the following diagram commutes.

$$
\begin{array}{ccc}
G & \xrightarrow{\epsilon'} & F_j \\
& {\searrow}{\scriptstyle \epsilon} & \downarrow{\scriptstyle \mu_j} \\
& & C
\end{array}
\tag{19}
$$

We have that $\mathscr{F}(\mu_i)(x_1') = \mathscr{F}(\mu_i)(x_2') = z$. So, by initiality of (G, y) there exists unique morphisms $\delta_1, \delta_2 : G \longrightarrow F_i$ so that the following diagram commutes

$$
\begin{array}{c}
G \\
{\scriptstyle \delta_2}\Big\Downarrow\Big\Downarrow{\scriptstyle \delta_1} \quad {\searrow}{\scriptstyle \epsilon} \\
F_i \xrightarrow[\mu_i]{} C
\end{array}
\tag{20}
$$

and so that $\mathscr{F}(\delta_1)(y) = x_1$ and $\mathscr{F}(\delta_2)(y) = x_2$.

Combining (19) and (20) we obtain commutativity of the following diagram.

$$
\begin{array}{ccc}
G & \xrightarrow{\epsilon'} & F_j \\
{\scriptstyle \delta_2}\Big\Downarrow\Big\Downarrow{\scriptstyle \delta_1} & & \downarrow{\scriptstyle \mu_j} \\
F_i & \xrightarrow[\mu_i]{} & C
\end{array}
\tag{21}
$$

Now, let $a \in A$ be an arbitrary element of A and consider (21) with everything evaluated at a, this gives a commuting diagram in Set. We notice that if $G(a)$ is non-empty, then there exists a pair of elements $d, d' \in F_i(a)$ so that $\mu_{ia}(d) = \mu_{ia}(d')$ and so there exists some $k \in I$ such that $\alpha_{ika} : F_i(a) \longrightarrow F_k(a)$ so that $\alpha_{ika}(d) = \alpha_{ika}(d')$. By finiteness of G (in particular, since all but finitely many $a \in A$ are such that $G(a)$ is non-empty) there thus exists $k \in I$ and $\alpha_{ik} : F_i \longrightarrow F_k$ so that for all $a \in A$ there exists $d, d' \in F_i(a)$ so that $\alpha_{ika}(d) = \alpha_{ika}(d')$. Lastly, by the first property above of directed colimits we may assume $k = j$. The result is the following commutative diagram in Set^A.

$$
\begin{array}{ccc}
& & F_k \\
& {\nearrow}{\scriptstyle \alpha_{ik}} & \downarrow{\scriptstyle \mu_j} \\
F_i & \xrightarrow[\mu_i]{} & C
\end{array}
\tag{22}
$$

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Finally, we can consider the following commuting diagram in Set.

$$
\begin{array}{c}
\mathscr{F}G \\
\mathscr{F}\delta_1 \; \big\Vert \; \mathscr{F}\delta_2 \qquad \searrow^{\mathscr{F}\epsilon'} \\
\mathscr{F}F_i \xrightarrow{\;\mathscr{F}\alpha_{ij}\;} \mathscr{F}F_j
\end{array}
\tag{23}
$$

Thus, $\mathscr{F}\alpha_{ij}\mathscr{F}\delta_1(y) = \mathscr{F}\alpha_{ij}\mathscr{F}\delta_2(y)$, ie, $\mathscr{F}\alpha_{ij}(x_1') = \mathscr{F}\alpha_{ij}(x_2')$, ie, $x_1 = x_2$. This establishes injectivity.

$$
\begin{array}{ccc}
\mathscr{F}P & \xrightarrow{\;f\;} & P' \\
& \searrow_{\mathscr{F}\pi_i} & \big\downarrow^{\mu_i} \\
& & \mathscr{F}(F_i)
\end{array}
\tag{24}
$$

We must show that f is a bijection. First we show surjectivity. Let $z \in P'$. For each i we consider $\mathscr{F}(\pi_i)(z)$, which we denote by z_i. Since $\mathscr{F}$ satisfies the normal form property, there exists a normal form

$$
\eta_i : (G_i, w_i) \longrightarrow (F_i, z_i)
\tag{25}
$$

By Lemma 2.1.4 the compositions

$$
\alpha_i \eta_i : (G_i, w_i) \longrightarrow (H, \mathscr{F}(\alpha_i)(w_i))
\tag{26}
$$

are normal forms with respect to $(G, \mathscr{F}(\alpha_i)(w_i))$ (note, $\mathscr{F}(\alpha_i)(w_i)$ is independent of i).

Hence by essential uniqueness of initial objects, we can assume without loss of generality that for all pairs $i, j \in I$ we have $G_i = G_j$, denote this common element by G.

By the universal property of the pullback, there exists a natural transformation $\delta : G \longrightarrow P$ rendering the following Diagram commutative.

$$
\begin{array}{ccc}
G & \xrightarrow{\;\eta_i\;} & F_i \\
\delta \big\downarrow & \nearrow_{\pi_i} & \\
P & &
\end{array}
\tag{27}
$$

We notice also that the colleciton of elements $\{w_i\}_{i \in I}$ induces an element $w \in \mathscr{F}G$ so that for all $i \in I$ we have $\mathscr{F}(\eta_i)(w) = z_i$.

We claim that
$$f\mathscr{F}(\delta)(w) = z \tag{28}$$

It suffices to show the following for all $i \in I$.

$$\pi_{\mathscr{F}(F_i)}(z) = \pi_{\mathscr{F}(F_i)}f\mathscr{F}(\delta)(w) \tag{29}$$

This holds by the following calculation.

$$\pi_{\mathscr{F}(F_i)}f\mathscr{F}(\delta)(w) = \mathscr{F}(\pi_i)\mathscr{F}(\delta)(w) \tag{30}$$
$$= \mathscr{F}(\eta_i)(w) \tag{31}$$
$$= z_i \tag{32}$$
$$= \pi_{\mathscr{F}(F_i)}(z) \tag{33}$$

Now we prove injectivity. Let $x_1, x_2 \in \mathscr{F}P$ be such that $f(x_1) = f(x_2)$. By the normal form property, there is a normal form $\chi_1 : (X_1, x_1') \longrightarrow (P, x_1)$ with respect to (P, x_1) and a normal form $\chi_2 : (X_2, x_2') \longrightarrow (P, x_2)$ with respect to (P, x_1). Let $i \in I$ be arbitrary and consider the composition of these normal forms with the natural transformation π_i:

$$(X_1, x_1') \xrightarrow{\chi_1} (P, x_1) \xrightarrow{\pi_i} (F_i, \mathscr{F}(\pi_i\chi_1)(x_1'))$$
$$\tag{34}$$
$$(X_2, x_2') \xrightarrow{\chi_2} (P, x_2) \xrightarrow{\pi_i} (F_i, \mathscr{F}(\pi_i\chi_2)(x_2'))$$

Now, by commutativity of (24) we have

$$\mathscr{F}(\pi_i\chi_1)(x_1') = \mathscr{F}(\pi_i)(x_1) = \mu_i f(x_1) = \mu_i f(x_2) = \mathscr{F}(\pi_i\chi_2)(x_2') \tag{35}$$

Let w denote this common element.

This implies that (34) are both objects of the same comma category, $\mathrm{El}(\mathscr{F})/(F_i, w)$, and in fact these are both normal by Lemma 2.1.4. We can thus assume without loss of generality that $X_1 = X_2, x_1' = x_2'$, we let X, x respectively denote these common elements. Thus, our hypothesis is: for all $i \in I$ we have

$$\pi_i\chi_1 = \pi_i\chi_2 \tag{36}$$

It now remains to show

$$\mathscr{F}(\chi_1)(x) = \mathscr{F}(\chi_2)(x) \tag{37}$$

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We do this by proving $\chi_1 = \chi_2$.

First, notice that for $k = 1, 2$ and all $i \in I$ we have $\mathscr{F}(\pi_i \chi_k) = \mu_i f \mathscr{F}(\chi_k)(x)$. Thus, by Lemma 2.1.4 the following are normal forms:

$$\pi_i \chi_k : (X, x) \longrightarrow (F_i, \mu_i f \mathscr{F}(\chi_k)(x)) \tag{38}$$

By uniqueness of normal forms, it follows that $\pi_i \chi_1 = \pi_i \chi_j$ for all $i \in I$. Let ξ_i denote this common morphism. We now have that both χ_1 and χ_2 are morphisms $X \longrightarrow P$ rendering the following diagram commutative for all $i, j \in I$.

$$\tag{39}$$

It follows from the universal property of the pullback that $\chi_1 = \chi_2$. $\qquad\square$

3 λ-terms as normal functors

The language of mathematics is constrained by the finite means we have to express it. Simultaneously, we are interested in inherently infinite structures such as the real numbers or infinite dimensional vector spaces. Thus, the language we use to describe mathematics must thread a needle between the finite and the infinite. Modern mathematical foundations achieves this with great success; we indulge ourselves in the hypothesis that a new variable or type may always be introduced and distinguished from the finite set which are currently being used in practice. This *potentially infinite* "set" of variables is neither finite, as any physical list of them will necessarily be incomplete, but also is not infinite, as only finitely many are every used at one given time. In this way, the set of variables used inside a mathematics proof is *potentially infinite*.

The untyped λ-calculus (the definition of which is recalled in Appendix A) is also potentially infinite in at least two different ways: in the context of a redex $(\lambda x.M)N$ there are an *arbitrary* yet *finite* amount of free occurrences of x inside M, and thus an arbitrary yet finite amount of substitutions

performed in the single-step β-reduction $(\lambda x.M)N \longrightarrow_\beta M[x \coloneqq N]$. This also holds for the simply typed λ-calculus, however the *untyped* λ-calculus admits another dimension of potential infinitude in that there are terms whose reductions grow *arbitrarily large*, although each term itself in the reduction sequence is finite. For instance we have, where ω denotes $\lambda x.xxx$:

$$\omega\omega \longrightarrow_\beta \omega\omega\omega \longrightarrow_\beta \omega\omega\omega\omega \longrightarrow_\beta \ldots$$

Finding models of the untyped λ-calculus establishes formal semantics displaying this idea of potential infinitude as suggested by the syntax.

At the beginning of Section 2.2, the way normal functors can be represented using finite data was sketched, and this was made formal by the proceeding arguments which showed that a normal functor can be reconstructed from it's collection of finite normal forms. Motivated by a search for a satisfying model of the untyped λ-calculus, Girard succeeded in defining a model where each λ-term t is interpreted with respect to a finite context $\{x_1, \ldots, x_n\}$ (simply a set of variables containing the free variables of t) as a normal functor

$$\mathrm{Set}^A \times \ldots \times \mathrm{Set}^A \longrightarrow \mathrm{Set}^A$$

where there are n copies of Set^A in the domain, and where A is a some a fixed choice of a countably infinite set.

Definition 3.0.1. For an arbitrary set A we denote by $\mathrm{Int}(A)$ the subset of Set^A given by integral functors.

Notice that if A is infinite then the sets $\mathrm{Int}(A) \times A$ and A have the same cardinality, and so there exists a bijection $\mathrm{Int}(A) \times A \longrightarrow A$. That such a bijection exists is crucial to the modelling of λ-terms. We have moreover insisted on A being countably infinite to reflect the statements on potential infinitude made earlier.

Remark 3.0.2. In the original presentation, [1, Proposition 3.1], a seemingly highly specific choice of set A_∞ was taken for A, along with a seemingly highly specific choice of bijection. This is misleading as the only property which A_∞ must satisfy is that it is in bijection with $\mathrm{Int}(A_\infty) \times A_\infty$, which is satisfied by any infinite set.

We now fix once and for all a countably infinite set A and a bijection $q : \mathrm{Int}(A) \times A \longrightarrow A$. This bijection induces an equivalence of categories

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$\bar{q} : \mathrm{Set}^A \longrightarrow \mathrm{Set}^{\mathrm{Int}(A) \times A}$ which, loosely speaking, is used to model currying. This is made precise by the following Lemma, where we use the notation $\mathrm{Norm}(\mathcal{C}, \mathcal{D})$ to denote the normal functors with domain a category $\mathcal{C}$ and codomain a category $\mathcal{D}$.

Lemma 3.0.3. *For $n > 0$ there exists a pair of functions $(-)^+, (-)^-$*

$$\mathrm{Norm}\left((\mathrm{Set}^A)^n \times \mathrm{Set}^A, \mathrm{Set}^A\right) \xrightleftharpoons[(-)^-]{(-)^+} \mathrm{Norm}\left((\mathrm{Set}^A)^n, \mathrm{Set}^{\mathrm{Int}(A) \times A}\right) \qquad (40)$$

such that the composite $((-)^+)^-$ is the identity.

Proof. Let $\mathscr{F} : (\mathrm{Set}^A)^n \times \mathrm{Set}^A \longrightarrow \mathrm{Set}^A$ be normal. By Theorem 2.0.1 we can assume without loss of generality that F is analytic. For any sequence $\underline{F} = (F_1, \ldots, F_n)$ of functors in Set^A and every functor $F \in \mathrm{Set}^A$ we have the following, where the coproduct is taken over all $\underline{G}, G \in \mathrm{Int}(A)^n \times \mathrm{Int}(A)$.

$$\mathscr{F}(\underline{F}, F) = \coprod C_{\underline{G}, G}(\underline{F}, F) \times \mathrm{Set}^A(G, F) \qquad (41)$$

for some family of functors $\{C_{\underline{G}, G}(\underline{F}, F) : A \longrightarrow \mathrm{Set}\}_{\underline{G}, G \in \mathrm{Int}(A)^n \times \mathrm{Int}(A)}$, (41) is a functor $A \longrightarrow \mathrm{Set}$.

We define for $(G', a) \in \mathrm{Int}(A) \times A$ the following where the coproduct is over all $\underline{G} \in \mathrm{Int}(A)^n$

$$\mathscr{F}^+(\underline{F})(G, a) = \coprod C_{\underline{G}, G}(\underline{F}, G)(a) \qquad (42)$$

Conversely, given a normal functor $\mathscr{G} : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^{\mathrm{Int}(A) \times A}$ we define for $(\underline{F}, F) \in (\mathrm{Set}^A)^n \times \mathrm{Set}^A$ and $a \in A$:

$$\mathscr{G}^-(\underline{F}, F)(a) := \coprod_{G \in \mathrm{Int}(A)} \mathscr{G}(\underline{F})(G, a) \times \mathrm{Set}^A(G, F) \qquad (43)$$

The claims made on the functions $(-)^+, (-)^-$ follow easily. $\qquad \square$

Definition 3.0.4. Let t be a term and $\underline{x} = \{x_1, \ldots, x_n\}$ a context for t (ie, a set of variables containing the free variables of t). We simultaneously define a functor

$$[\![\underline{x} \mid t]\!] : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^A \qquad (44)$$

and prove its normal by induction on the structure of t.

- **The term t is a variable $x_i \in \underline{x}$.** We define

$$\llbracket \underline{x} \mid x_i \rrbracket : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^A$$
$$(F_1, \ldots, F_n) \longmapsto F_i$$

  to be the projection map. Note that this is clearly a normal functor.

- **The term t is an application $t_1 t_2$.** We have interpretations of the smaller terms:

$$\llbracket \underline{x} \mid t_i \rrbracket : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^A \tag{45}$$

  We define

$$\llbracket \underline{x} \mid t \rrbracket : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^A$$
$$\underline{F} \longmapsto (\overline{q}\llbracket \underline{x} \mid t_1 \rrbracket)^{-}(\underline{F}, \llbracket \underline{x} \mid t_2 \rrbracket(\underline{F}))$$

- **The term t is an abstraction $\lambda x.t'$.** We have an interpretation of the smaller term:

$$\llbracket \underline{x} \mid t' \rrbracket : (\mathrm{Set}^A)^n \times \mathrm{Set}^A \longrightarrow \mathrm{Set}^A \tag{46}$$

  We define

$$\llbracket \underline{x} \mid t \rrbracket : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^A$$
$$\underline{F} \longmapsto \overline{q}^{-1}\llbracket \underline{x} \mid t' \rrbracket^{+}(\underline{F})$$

Remark 3.0.5. Although the notation and presentation differs significantly, this is exactly the same definition as [1, The model A_∞]. In particular, using the notation there, given $H : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^{\mathrm{Int}(A) \times A}$, $J : (\mathrm{Set}^A)^n \longrightarrow \mathrm{Set}^A$, and $\underline{F} \in (\mathrm{Set}^A)^n$ we have:

$$\mathrm{App}(H(\underline{F}), J(\underline{F})) = H^{-}(\underline{F}, J(\underline{F})) \tag{47}$$

The expected substitution Lemma holds, as does the statement that any two terms related under $\alpha\beta\eta$-equivalence are interpreted as isomorphic functors. In Section 4 we simplify this model and provide full proofs of the two corresponding statements (respectively Lemma 4.3.3, Theorem 4.3.4) there. Thus we omit the proofs of the following two statements.

Lemma 3.0.6. *Substitution Lemma*

Theorem 3.0.7. *Model*

4 λ-terms as normal functions

Throughout we work with a fixed set A.

Definition 4.0.1. We denote by $\mathcal{Q}(A)$ the set of functions $\underline{a} : A \longrightarrow \mathbb{N} \cup \{\infty\}$. That is, $\mathcal{Q}(A)$ is the set of multisets with elements in A allowing for the possibility that elements occur infinitly often. We denote by $\mathcal{I}(A)$ the subset of $\mathcal{Q}(A)$ consisting of **finite** functions $\underline{a} : A \longrightarrow \mathbb{N} \cup \{\infty\}$, that is, functions satisfying the conditions that all but finitely many $c \in A$ are such that $\underline{a}(c) = 0$ and that the image lies in $\mathbb{N}$.

Remark 4.0.2. The set $\mathcal{Q}(A)$ is partially ordered with order $\leq$ given by the following with $\underline{a}_1, \underline{a}_2 \in \mathcal{Q}(A)$:

$$\underline{a}_1 \leq \underline{a}_2 \text{ if and only if } \forall a \in A, \underline{a}_1(a) \leq \underline{a}_2(a) \tag{48}$$

Let $n > 0$ be a natural number and consider the set $\mathcal{Q}(A)^n$. Since $\mathcal{Q}(A)$ is partially ordered, the set $\mathcal{Q}(A)^n$ is also equipt with a partial order $\leq$ given as follows. If $x = (\underline{a}_1, \ldots, \underline{a_n}), y = (\underline{b}_1, \ldots, \underline{b_n}) \in \mathcal{Q}(A)^n$,

$$x \leq y \text{ if and only if } \underline{a}_i \leq \underline{b}_i \ \forall i = 1, \ldots, n, \tag{49}$$

We fix another set B.

Definition 4.0.3. A function $f : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(B)$ is **normal** if it is order preserving, that is, for $x, y \in \mathcal{Q}(A)^n$ if

$$x \leq y \tag{50}$$

then

$$f(x) \leq f(y) \tag{51}$$

An order preserving function f is **normal** if it preserves supremum of filtered sets. That is, if $\{x_i\}_{i \in I}$ is a filtered set of elements in $\mathcal{Q}(A)^n$, then

$$f(\sup_{i \in I}\{x_i\}) = \sup_{i \in I}\{f(x_i)\} \tag{52}$$

Remark 4.0.4. Definition 4.0.3 is the analogue to Girard's definition of Normal Functors [1, Definition 2.1].

Definition 4.0.5. An order preserving function $f : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(B)$ is **analytic** if for any pair $(x, b) \in \mathcal{Q}(A)^n \times B$ we have

$$f(x)(b) = \sup_{u \in \mathcal{I}(A)^n} f(u)(b)\delta_{u \leq x} \tag{53}$$

where

$$\delta_{u \leq x} = \begin{cases} 1, & u \leq x \\ 0, & \text{otherwise} \end{cases} \tag{54}$$

This is the analogue in the current context of *analytic functors* defined in [1, Definition 2.2].

Theorem 4.0.6. *Let* $f : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(B)$ *be order preserving. Then* f *is normal if and only if it is analyitic.*

Proof. First assume that f is normal. Let $(x, b) \in \mathcal{Q}(A)^n \times B$ be arbitrary. Consider the set

$$\mathscr{X}_x := \{\underline{b} \in \mathcal{I}(A) \mid \underline{b} \leq x\} \tag{55}$$

Then $\sup \mathscr{X}_x = x$. Since f is normal, we thus have

$$\begin{aligned} f(x)(b) &= f(\sup \mathscr{X}_x)(b) \\ &= \sup f(\mathscr{X}_x)(b) \\ &= \sup_{u \in \mathcal{I}(A)^n} f(u)(b)\delta_{u \leq x} \end{aligned}$$

and so f is analytic.

On the other hand, say f is analytic. Let $\{x_i\}_{i \in I}$ be a filtered set. Then for any $b \in B$ we have

$$f(\sup_{i \in I}\{x_i\})(b) = \sup_{u \in \mathcal{I}(A)^n}\left\{f(u)(b)\delta_{u \leq \sup_{i \in I}\{x_i\}}\right\} \tag{56}$$

On the other hand, we have

$$\sup_{i \in I}\{f(x_i)(b)\} = \sup_{i \in I}\left\{\sup_{u \in \mathcal{I}(A)^n}\{f(u)(b)\delta_{u \leq x_i}\}\right\} \tag{57}$$

It is clear that (56) and (57) are equal. $\qquad\qquad\square$

4.1 The retract

Central to this section is the adjunction of Theorem 4.1.2. Loosely speaking, this bijection organises the data of the interpretations of both application and abstraction in the λ-calculus. We will relate a normal function $f : \mathcal{Q}(A)^{n+1} \longrightarrow \mathcal{Q}(A)$ to its "curried" version $f^+ : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)$. Since we abstract a particular copy of $\mathcal{Q}(A)$ inside $\mathcal{Q}(A)^{n+1}$ we in fact will notate $\mathcal{Q}(A)^{n+1}$ as $\mathcal{Q}(A)^n \times \mathcal{Q}(A)$. This reflects the conceptual point and indeed simplifies the notation too.

Definition 4.1.1. Let $f : \mathcal{Q}(A)^n \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$ be normal. By Theorem 4.0.6 we can write, for $(\alpha, \underline{a}) \in \mathcal{Q}(A)^n \times \mathcal{Q}(A), c \in A$:

$$f(\alpha, \underline{a})(c) = \sup_{\underline{b} \in \mathcal{I}(A)} f(\alpha, \underline{b})(c)\delta_{\underline{b} \leq \underline{a}} \tag{58}$$

Then we can define a function $f^+ : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)$ as follows.

$$f^+(\alpha)(\underline{b}, c) = f(\alpha, \underline{b})(c) \tag{59}$$

We note that f^+ is analytic and thus normal by Theorem 4.0.6.

Given a normal function $f : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)$ we define $f^- : \mathcal{Q}(A)^n \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$ in the following way.

$$f^-(\alpha, \underline{a})(c) := \sup_{\underline{b} \in \mathcal{I}(A)} f(\alpha)(\underline{b}, c)\delta_{\underline{b} \leq \underline{a}} \tag{60}$$

Theorem 4.1.2. *There exists a natural bijection.*

$$\mathrm{Normal}(\mathcal{Q}(A)^n \times \mathcal{Q}(A), \mathcal{Q}(A)) \longrightarrow \mathrm{Normal}(\mathcal{Q}(A)^n, \mathcal{Q}(\mathcal{I}(A) \times A)) \tag{61}$$

which maps a normal function $f : \mathcal{Q}(A)^n \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$ to f^+ and has inverse which maps a normal function $g : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)$ to g^-.

Proof. Let $f : \mathcal{Q}(A)^n \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$ be normal. Let $(\alpha, \underline{a}) \in \mathcal{Q}(A)^n \times \mathcal{Q}(A), c \in A$. We have:

$$\begin{aligned}
(f^+)^-(\alpha, \underline{a})(c) &= \sup_{\underline{b} \in \mathcal{I}(A)} f^+(\alpha)(\underline{b}, c)\delta_{\underline{b} \leq \underline{a}} \\
&= \sup_{\underline{b} \in \mathcal{I}(A)} f(\alpha, \underline{b})(c)\delta_{\underline{b} \leq \underline{a}} \\
&= f(\alpha, \underline{a})(c)
\end{aligned}$$

On the other hand, let $g : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)$ be normal. Then

$$\begin{aligned}
(g^-)^+(\alpha)(\underline{b}, c) &= g^-(\alpha, \underline{b})(c) \\
&= \sup_{\underline{b}' \in \mathcal{I}(A)} g(\alpha)(\underline{b}', c)\delta_{\underline{b}' \leq \underline{b}} \\
&= g(\alpha)(\underline{b}, c)
\end{aligned}$$

$\square$

Remark 4.1.3. We think of the presentation of f given in (58) as a power series presentation of f. If we write $u = (\underline{b}_1, \ldots, \underline{b}_n)$ and $\alpha = (\underline{a}_1, \ldots, \underline{a}_n)$ then

$$\delta_{u \leq \alpha} = \prod_{i=1}^{n} \delta_{\underline{b}_i \leq \underline{a}_i} \tag{62}$$

Thus, the transformation from f to f^+ can be thought of as removing a variable. The transformation from f^+ to $(f^+)^-$ puts this variable back. Loosely speaking, this is the conceptual reason why the $+$ and $-$ constructions are mutual inverses, as made precise by Theorem 4.0.6.

4.2 The App function

Definition 4.2.1. We define a function $\mathrm{App} : \mathcal{Q}(\mathcal{I}(A) \times A) \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$ as follows. Let $(f, \underline{a}) \in \mathcal{Q}(\mathcal{I}(A) \times A) \times \mathcal{Q}(A), c \in A$.

$$\mathrm{App}(f, \underline{a})(c) = \sup_{\underline{b} \in \mathcal{I}(A)} f(\underline{b}, c) \delta_{\underline{b} \leq \underline{a}} \tag{63}$$

Remark 4.2.2. Say $f : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)$ and $g : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A)$. Then for $\alpha \in \mathcal{Q}(A)^n$ we have

$$\mathrm{App}(f(\alpha), g(\alpha)) = f^-(\alpha, g(\alpha)) \tag{64}$$

Lemma 4.2.3. *The function* App *is normal.*

Proof. We will show directly that App preserves filtered supremums.

First, notice that there is a bijection $\mathcal{Q}(\mathcal{I}(A) \times A) \times \mathcal{Q}(A) \cong \mathcal{Q}(\mathcal{I}(A) \times A + A)$. Thus, the definition of normality is according to Definition 4.0.3 with respect to the function

$$\mathcal{Q}(\mathcal{I}(A) \times A + A) \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A) \tag{65}$$

induced by this bijection and the function App. This definition is equivalent to the following condition.

Let $\{f_i\}_{i \in I} \subseteq \mathcal{Q}(\mathcal{I}(A) \times A)$ and $\{\underline{a}_j\}_{j \in J} \subseteq \mathcal{Q}(A)$ be arbitrary filtered sets. Then the following equality holds.

$$\mathrm{App}(\sup_{i \in I}\{f_i\}, \sup_{j \in J}\{\underline{a}_j\}) = \sup_{i \in I, j \in J} \mathrm{App}\left(f_i, \underline{a}_j\right) \tag{66}$$

Let $\{f_i\}_{i \in I} \subseteq \mathcal{Q}(\mathcal{I}(A) \times A)$ be an arbitrary set and let f denote $\min_{i \in I}\{f_i\}$ and $\underline{a}$ denote $\min_{i \in I}\{\underline{a}_i\}$. Then for any pair $(\underline{b}, c) \in \mathcal{Q}(\mathcal{I}(A) \times A)$ we have

$$
\begin{aligned}
\mathrm{App}(f, \underline{a})(\underline{b}, c) &= \sup_{\underline{b} \in \mathcal{I}(A)} f(\underline{b}, c)\delta_{\underline{b}(c) \leq \underline{a}(c)} \\
&= \sup_{\underline{b}} \sup_{i \in I}\{f_i\}(\underline{b}, c)\delta_{\underline{b} \leq \sup_{j \in J} \underline{a}_j} \\
&= \sup_{\underline{b}} \sup_{i \in I, j \in J} f_i(\underline{b}, c)\delta_{\underline{b} \leq \underline{a}_j} \\
&= \sup_{i \in I, j \in J} \sup_{\underline{b}} f_i(\underline{b}, c)\delta_{\underline{b} \leq \underline{a}_j}
\end{aligned}
$$

as required. $\qquad\square$

4.3 The λ-calculus model

We continue working with a fixed set A but now we impose the extra assumption that A is countably infinite. We notice that since $\mathcal{I}(A) \times A$ is also countably infinite, there exists a bijection

$$
q : \mathcal{I}(A) \times A \longrightarrow A \tag{67}
$$

We fix a choice of such a bijection q. There is an induced bijection

$$
\overline{q} : \mathcal{Q}(A) \longrightarrow \mathcal{Q}(\mathcal{I}(A) \times A)
$$
$$
\underline{a} \longmapsto \underline{a} \circ q
$$

Definition 4.3.1. A **context** is a sequence of variables $\underline{x} = \{x_1, \ldots, x_n\}$. Given a λ-term t a context $\underline{x}$ is **valid for** t if the free variable set $\mathrm{FV}(t) \subseteq \underline{x}$ of t is a subset of $\underline{x}$.

Definition 4.3.2. Let $\underline{x} = \{x_1, \ldots, x_n\}$ be a set of variables and let t be a λ-term for which $\underline{x}$ is a valid context. We will associate to each such pair $(\underline{x}, t)$ a normal function

$$
[\![\underline{x} \mid t]\!] : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A) \tag{68}
$$

We define (68) inductively on the structure of t.

- **The term t is a variable $x_i \in \underline{x}$.** We define

$$
[\![\underline{x} \mid x_i]\!] : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A)
$$
$$
(\underline{a}_1, \ldots, \underline{a}_n) \longmapsto \underline{a}_i
$$

to be the projection map. Note that this is clearly normal.

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- **The term t is an application** $t = t_1 t_2$. We assume that we already have interpretations of the smaller terms and we consider the product of these.

$$\langle [\![\underline{x} \mid t_1]\!], [\![\underline{x} \mid t_2]\!] \rangle : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A) \tag{69}$$

We then form the function

$$\mathrm{App}\left(\langle \bar{q} [\![\underline{x} \mid t_1]\!], [\![\underline{x} \mid t_2]\!] \rangle \right) : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A) \tag{70}$$

The result of this is normal by Lemma 4.2.3, the fact that the product diagonal map $\mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A) \times \mathcal{Q}(A), \alpha \longmapsto (\alpha, \alpha)$ is normal, and the fact that composition of normal functions results in a normal function.

- **The term t is an abstraction** $t = \lambda y.t'$. We assume that we already have an interpretation of the smaller term.

$$[\![\underline{x}, y \mid t']\!] : \mathcal{Q}(A)^{n+1} \longrightarrow \mathcal{Q}(A) \tag{71}$$

We assume that this function is normal. We define

$$[\![\underline{x} \mid t]\!] := \bar{q}^{-1} [\![\underline{x}, y \mid t']\!]^+ : \mathcal{Q}(A)^n \longrightarrow \mathcal{Q}(A) \tag{72}$$

The function $\bar{q}^{-1}$ is a bijection and so is clearly normal. Since f^+ is also normal the result then follows from the fact that composing normal functions results in a normal function.

Lemma 4.3.3. *[Substitution Lemma] Let t, s be λ-terms and $\underline{x} = \{x_1, \ldots, x_n\}$ be a collection of variables and y another variable so that $\underline{x} \cup \{y\}$ is a valid context for t and $\underline{x}$ is a valid context for s. Then for any $\alpha \in \mathcal{Q}(A)^n$ we have*

$$[\![\underline{x} \mid t[y := s]]\!](\alpha) = [\![\underline{x}, y \mid t]\!](\alpha, [\![\underline{x} \mid s]\!](\alpha)) \tag{73}$$

Proof. We proceed by induction on the structure of the term t. We notice that the case where t is a variable is trivial.

Say $t = t_1 t_2$ **is an application.** First, we have the following, where $(\alpha, \underline{a}) \in \mathcal{Q}(A)^n \times \mathcal{Q}(A)$, note that we suppress the contexts to ease notation.

$$[\![t_1 t_2]\!](\alpha, \underline{a}) = \mathrm{App}\left(\bar{q} [\![t_1]\!](\alpha, \underline{a}), [\![t_2]\!](\alpha, \underline{a}) \right) \tag{74}$$

On the other hand,

$$\begin{aligned}
[\![(t_1[y := s])(t_2[y := s])]\!](\alpha) &= \mathrm{App}(\bar{q} [\![t_1[y := s]]\!](\alpha), [\![t_2[y := s]]\!](\alpha)) \\
&= \mathrm{App}(\bar{q} [\![t_1]\!](\alpha, [\![s]\!](\alpha)), [\![t_2]\!](\alpha, [\![s]\!](\alpha)))
\end{aligned}$$

where in the final line we have used the inductive hypothesis.

Thus we have (73) in this case.

Say $t = \lambda y'.t'$ is an abstraction. We have, where $(\alpha, \underline{a}) \in \mathcal{Q}(A)^n \times \mathcal{Q}(A)$:

$$[\![\underline{x}, y \mid \lambda y'.t]\!](\alpha, \underline{a}) = \overline{q}^{-1}[\![\underline{x}, y, y' \mid t']\!]^+(\alpha, \underline{a}) \tag{75}$$

On the other hand, we have for $\alpha \in \mathcal{Q}(A)^n$ and $c \in A$ the following (assume $q^{-1}(c) = (\underline{c}', c'')$).

$$
\begin{aligned}
[\![\underline{x}, y \mid \lambda y'.t[y := s]]\!](\alpha)(c) &= \left(\overline{q}^{-1}[\![\underline{x}, y, y' \mid t'[y := s]]\!]^+\right)(\alpha)(c) \\
&= [\![\underline{x}, y, y' \mid t'[y := s]]\!]^+(\alpha)(\underline{c}', c'') \\
&= \sup_{u \in \mathcal{I}(A)^n}[\![\underline{x}, y, y' \mid t'[y := s]]\!](u, \underline{c}')(c'')\delta_{u \leq \alpha} \\
&= \sup_{u \in \mathcal{I}(A)^n}[\![\underline{x}, y, y' \mid t']\!](u, [\![\underline{x} \mid s]\!](u), \underline{c}')(c'')\delta_{u \leq \alpha} \\
&= [\![\underline{x}, y, y' \mid t']\!]^+(\alpha, [\![\underline{x} \mid s]\!](\alpha), \underline{c}')(c'') \\
&= \overline{q}^{-1}[\![\underline{x}, y, y' \mid t']\!]^+(\alpha, [\![\underline{x} \mid s]\!])(c)
\end{aligned}
$$

where we have used the inductive hypothesis in the fourth line.

Thus (73) follows. $\qquad\square$

Theorem 4.3.4. *This is a denotational model of the λ-calculus. That is, if t is a λ-term and $\underline{x}$ a valid context for t and for s, then we have the following equality.*

$$[\![\underline{x} \mid (\lambda y.t)s]\!] = [\![\underline{x} \mid t[y := s]]\!] \tag{76}$$

Proof. By the substitution Lemma 4.3.3 we have for $\alpha \in \mathcal{Q}(A)^n$:

$$[\![\underline{x} \mid t[y := s]]\!](\alpha) = [\![\underline{x}, y \mid t]\!](\alpha, [\![\underline{x} \mid s]\!](\alpha)) \tag{77}$$

On the other hand, we have

$$
\begin{aligned}
[\![\underline{x} \mid (\lambda y.t)s]\!](\alpha) &= \mathrm{App}(\langle(\overline{q}\overline{q}^{-1}[\![\underline{x}, y \mid t]\!]^+), [\![\underline{x} \mid s]\!]\rangle)(\alpha) \\
&= ([\![\underline{x}, y \mid t]\!]^+)^-(\alpha, [\![\underline{x} \mid s]\!](\alpha)) \\
&= [\![\underline{x}, y \mid t]\!](\alpha, [\![\underline{x} \mid s]\!](\alpha))
\end{aligned}
$$

which concludes the proof. $\qquad\square$

5   Relationship with categorical semantics

We define the following monad on Set.

Definition 5.0.1. For any set A let $\mathcal{Q}(A)$ be the set of functions $A \longrightarrow \overline{\mathbb{N}}$ where $\overline{\mathbb{N}} = \mathbb{N} \cup \{\infty\}$ is the extended natural numbers. To each function $f : A \longrightarrow B$ we define a function $\mathcal{Q}(f) : \mathcal{Q}(A) \longrightarrow \mathcal{Q}(B)$ as follows, where $\underline{a} \in \mathcal{Q}(A), b \in B$:

$$
\begin{aligned}
\mathcal{Q}(f)(\underline{a})(b) &= \sum_{a \in A} \delta_{f(a)}(b) \cdot \underline{a}(a) \\
&= \sum_{a \in f^{-1}(b)} \underline{a}(a)
\end{aligned}
$$

For each set A we define a function $\mu_A : \mathcal{Q}^2(A) \longrightarrow \mathcal{Q}(A)$ as follows, where $\underline{A} \in \mathcal{Q}^2(A), a \in A$:

$$
\mu_A(\underline{A})(a) = \sum_{\underline{a} \in \mathcal{Q}(A)} \underline{A}(\underline{a}) \cdot \underline{a}(a) \tag{78}
$$

We also define a function $\eta_A : A \longrightarrow \mathcal{Q}(A)$ as follows, where $a \in A$:

$$
\eta_A(a) = \delta_a \tag{79}
$$

where

$$
\delta_a : A \longrightarrow \overline{\mathbb{N}}
$$

$$
a' \longmapsto \begin{cases} 1, & a' = a \\ 0, & \text{otherwise} \end{cases}
$$

Lemma 5.0.2. *The triple $(\mathcal{Q}, \eta, \mu)$ forms a monad on* Set*.*

Proof. First we show commutativity of the square Diagram pertaining to multiplication. First we calculate $\mu_A \mu_{\mathcal{Q}(A)}$. For $\mathscr{A} \in \mathcal{Q}^3(A), \underline{a} \in \mathcal{Q}(A)$ we have

$$
\mu_{\mathcal{Q}(A)}(\mathscr{A})(\underline{a}) = \sum_{\underline{A} \in \mathcal{Q}^2(A)} \mathscr{A}(\underline{A}) \cdot \underline{A}(\underline{a}) \tag{80}
$$

Thus

$$
\mu_A \mu_{\mathcal{Q}(A)}(\mathscr{A})(a) = \sum_{\underline{A} \in \mathcal{Q}^2(A)} \sum_{\underline{a} \in \mathcal{Q}(A)} \mathscr{A}(\underline{A}) \cdot \underline{A}(a) \cdot \underline{a}(a) \tag{81}
$$

On the other hand, we calculate $\mu_A \mathcal{Q}(\mu_A)$:

$$\mathcal{Q}(\mu_A)(\mathscr{A})(\underline{a}) = \sum_{\underline{A} \in \mu_A^{-1}(\underline{a})} \mathscr{A}(\underline{A})$$

$$= \sum_{\underline{A} \in \mathcal{Q}^2(A)} \delta_{\mu_A(\underline{A})}(\underline{a}) \cdot \mathscr{A}(\underline{A})$$

Thus,

$$\mu_A \mathcal{Q}(\mu_A)(\mathscr{A})(a) = \sum_{\underline{a} \in \mathcal{Q}(A)} \sum_{\underline{A} \in \mathcal{Q}^2(A)} \delta_{\mu_A(\underline{A})}(\underline{a}) \mathscr{A}(\underline{A}) \cdot \underline{a}(a)$$

$$= \sum_{\underline{a} \in \mathcal{Q}(A)} \sum_{\underline{A} \in \mathcal{Q}^2(A)} \mathscr{A}(\underline{A}) \cdot \mu_A(\underline{A})(a)$$

$$= \sum_{\underline{a} \in \mathcal{Q}(A)} \sum_{\underline{A} \in \mathcal{Q}^2(A)} \mathscr{A}(\underline{A}) \cdot \underline{A}(\underline{a}) \cdot \underline{a}(a)$$

Now we check commutativity of the required triangles pertaining to the unit of the monad. First we calculate $\mu_A \eta_{\mathcal{Q}(A)}$:

$$(\mu_A \eta_{\mathcal{Q}(A)})(\underline{a})(a) = \sum_{\underline{a}' \in \mathcal{Q}(A)} \delta_{\underline{a}}(\underline{a}') \cdot \underline{a}'(a)$$

$$= \underline{a}(a)$$

On the other hand, we calculate $\mu_A \mathcal{Q}(\eta_A)$:

$$\mathcal{Q}(\eta_A)(\underline{a})(\underline{a}') = \sum_{a \in \eta_A^{-1}(\underline{a}')} \underline{a}(a)$$

$$= \sum_{a \in A} \delta_{\eta_A(a)}(\underline{a}') \cdot \underline{a}(a)$$

Thus

$$\mu_A(\mathcal{Q}(\eta_A)(\underline{a}))(a) = \sum_{\underline{a}' \in \mathcal{Q}(A)} \mathcal{Q}(\eta_A)(\underline{a})(\underline{a}') \cdot \underline{a}'(a)$$

$$= \sum_{\underline{a}' \in \mathcal{Q}(A)} \sum_{a' \in A} \delta_{\eta_A(a')}(\underline{a}') \cdot \underline{a}(a') \cdot \underline{a}'(a)$$

$$= \sum_{a' \in A} \underline{a}(a') \cdot \delta_{a'}(a)$$

$$= \underline{a}(a)$$

$\square$

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Lemma 5.0.3. *Let $f : A \longrightarrow B, g : B \longrightarrow C$ be morphisms in $\mathrm{Kl}(\mathcal{Q})$. Then for $a \in A$ we have the following formula for composition*

$$(g \circ f)(a) = \sum_{b \in B} \hat{f}(a)(b) \cdot \hat{g}(b) \tag{82}$$

Proof. By definition, Kleisli composition is given as follows, where $\hat{f} : A \longrightarrow \mathcal{Q}(B)$ and $\hat{g} : B \longrightarrow \mathcal{Q}(C)$ are the morphisms in Set equal (respectively) to the morphisms f, g in $\mathrm{Kl}(\mathcal{Q})$.

$$A \xrightarrow{\hat{f}} \mathcal{Q}(B) \xrightarrow{\mathcal{Q}(\hat{g})} \mathcal{Q}^2(C) \xrightarrow{\mu_C} \mathcal{C} \tag{83}$$

All of these maps have been explicitly defined. The result of this composition when applied to an element $a \in A$ is given as follows.

$$
\begin{aligned}
(\mu_C \mathcal{Q}(\hat{g})\hat{f})(a) &= \sum_{\underline{c} \in \mathcal{Q}(C)} \sum_{b \in \hat{g}^{-1}(\underline{c})} \hat{f}(a)(b) \cdot \underline{c} \\
&= \sum_{\underline{c} \in \mathcal{Q}(C)} \sum_{b \in B} \delta_{\hat{g}(b)}(\underline{c}) \hat{f}(a)(b) \cdot \underline{c} \\
&= \sum_{b \in B} \hat{f}(a)(b) \cdot \hat{g}(b)
\end{aligned}
$$

$\square$

Remark 5.0.4. Lemma 5.0.3 shows that Kleisli composition aligns with addition extension. To see this, assume $f : \mathcal{Q}(A) \longrightarrow \mathcal{Q}(B), g : \mathcal{Q}(B) \longrightarrow \mathcal{Q}(C)$ are two additive functions. Then for any $\underline{a} \in \mathcal{Q}(A)$ we have

$$\underline{a} = \sum_{a \in A} \underline{a}(a) \cdot \delta_a \tag{84}$$

Thus

$$(gf)(\underline{a}) = (gf)(\sum_{a \in A})\underline{a}(a) \cdot \delta_a \tag{85}$$

$$= g\big(\underline{a}(a) \cdot f(\delta_a)\big) \tag{86}$$

In turn, we have that $f(\delta_a) = \sum_{b \in B} f(\delta_a)(b) \cdot \delta_b$. Thus (86) is equal to the following.

$$\sum_{b \in B} \sum_{a \in A} f(\delta_a)(b) \cdot g(\delta_b)$$

Writing $\hat{f}$ for the restriction of f to the subset $A \subseteq \mathcal{Q}(A)$ and $\hat{g}$ for the restriction of g to the subset $B \subseteq \mathcal{Q}(B)$ we obtain (82).

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6 Intuitionistic Linear Logic proofs as additive functions

Since we have a model of the untyped λ-calculus, we thus have a model of the simply typed λ-calculus. We extend this model of the simply typed λ-calculus to Linear Logic by decomposing the arrow type constructor $A \longrightarrow B$ to $!A \multimap B$.

Recall that for a set A the set $\mathcal{Q}(A)$ contains all functions $f : A \longrightarrow \overline{\mathbb{N}}$. Considering $\overline{\mathbb{N}}$ as a set equipt with the operation of natural number addition, the set $\mathcal{Q}(A)$ along with pointwise addition forms a commutative monoid structure.

Definition 6.0.1. Given sets $A_1, \ldots, A_n, B$, a function $f : \mathcal{Q}(A_1) \times \ldots \times \mathcal{Q}(A_n) \longrightarrow \mathcal{Q}(B)$ is **additive** if it is linear in each argument. We denote the set of all additive functions

$$\mathrm{Add}(\mathcal{Q}(A_1) \times \ldots \times \mathcal{Q}(A_n), \mathcal{Q}(B)) \tag{87}$$

Say we have a function $f : \prod_{i=1}^n \mathcal{Q}(A_i) \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(B)$ which is additive in the variable $\mathcal{Q}(A)$. Then for any $\alpha \in \prod_{i=1}^n \mathcal{Q}(A_i)$ and $\underline{a} \in \mathcal{Q}(A)$ we have

$$f(\alpha, \underline{a}) = f(\alpha, \sum_{a \in A} \underline{a}(a) \cdot \delta_a)$$
$$= \sum_{a \in A} \underline{a}(a) \cdot f(\alpha, \delta_a)$$

We define

$$f^\times : \prod_{i=1}^n \mathcal{Q}(A_i) \longrightarrow \mathcal{Q}(A \times B)$$
$$\alpha \longmapsto \big((a,b) \mapsto f(\alpha, \delta_a)(b)\big)$$

Conversely, given an additive function $g : \prod_{i=1}^n \mathcal{Q}(A_i) \longrightarrow \mathcal{Q}(A \times B)$ we define

$$g^{\div} : \prod_{i=1}^n \mathcal{Q}(A_i) \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(B)$$
$$(\alpha, \underline{a}) \longmapsto \sum_{a \in A} \underline{a}(a) \cdot g(\alpha)(a,b)$$

Clearly, if $f : \prod_{i=1}^n \mathcal{Q}(A_i) \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(A)$ is additive in the final argument, then $(f^\times)^{\div} = f$. On the other hand, we have the following calculation.

$$
\begin{aligned}
(g^{\div})^\times(\alpha)(a,b) &= g^{\div}(\alpha, \delta_a)(b) \\
&= \sum_{a' \in A} \delta_a(a') \cdot g(\alpha)(a',b) \\
&= g(\alpha)(a,b)
\end{aligned}
$$

Thus we also have $(g^{\div})^\times = g$. We have proven the following.

Lemma 6.0.2. *There is a pair of bijections*

$$
\mathrm{Add}\left(\prod_{i=1}^n {}_\times\mathcal{Q}(A_i) \times \mathcal{Q}(A), \mathcal{Q}(B) \right) \xrightleftharpoons[(-)^{\div}]{(-)^\times} \mathrm{Add}\left(\prod_{i=1}^n \mathcal{Q}(A_i), \mathcal{Q}(A \times B) \right)
$$

$$\tag{88}$$

Remark 6.0.3. We remark that a *normal* function $f : \prod_{i=1}^n \mathcal{Q}(A_i) \longrightarrow \mathcal{Q}(B)$ is determined by its restriction to the domain $\prod_{i=1}^n \mathcal{I}(A_i) \longrightarrow \mathcal{Q}(B)$, whereas if f is *additive* then it is determined by its restriction to the domain $\prod_{i=1}^n A_i \longrightarrow \mathcal{Q}(B)$.

6.1 The linear app function

Definition 6.1.1. We define a function

$$
\begin{aligned}
\mathrm{LinApp}_{A,B} : \mathcal{Q}(A \times B) \times \mathcal{Q}(B) &\longrightarrow \mathcal{Q}(B) \\
(f, \underline{a}) &\longmapsto \sum_{a \in A} \underline{a}(a) \cdot f(a, -)
\end{aligned}
$$

I realise now that we have not been careful enough with what we mean by additive. Do we mean literally additive or "multi-additive", ie, additive in each argument? I think we mean the latter.

Lemma 6.1.2. *The function* $\mathrm{LinApp}_{A,B}$ *is additive in each argument.*

Proof. This is a calculation, let $(f, \underline{a}), (f', \underline{a}') \in \mathcal{Q}(A \times B) \times \mathcal{Q}(B)$. Then:

$$
\begin{aligned}
\mathrm{LinApp}_{A,B}\left(f + f', \underline{a} + \underline{a}' \right) &= \sum_{a \in A} (\underline{a} + \underline{a}')(a) \cdot (f + f')(a, -) \\
&= \sum_{a \in A} (\underline{a}(a) + \underline{a}'(a)) \cdot (f(a, -) + f'(a, -)) \\
&= \mathrm{LinApp}_{A,B}(f, \underline{a}) + \mathrm{LinApp}_{A,B}(f, \underline{a}') \\
&\quad \mathrm{LinApp}_{A,B}(f', \underline{a}) + \mathrm{LinApp}_{A,B}(f', \underline{a}')
\end{aligned}
$$

$\square$

6.2 The model of intuitionistic linear logic

Definition 6.2.1. There is an infinite set of **atoms** $X, Y, Z, \ldots$ The set of **formulas** is defined as follows.

- Any atomic formula is a pre-formula.

- If A, B are pre-formulas then so is $A \otimes B, A \,\invamp\, B$.

- If A is a pre-formula, then so is $\neg A, !A, ?A$.

The set of **formulas** is the quotient of the set of pre-formulas by the equivalence relation $\sim$ generated by, for any pre-formulas A, B and atomic formula X, the following.

$$\neg(A \otimes B) \sim \neg A \,\invamp\, \neg B \qquad\qquad \neg(A \,\invamp\, B) \sim \neg A \otimes \neg B$$
$$\neg(X, +) \sim (X, -) \qquad\qquad\qquad \neg(X, -) \sim (X, +)$$
$$\neg !A \sim ?\neg A \qquad\qquad\qquad\qquad \neg ?A \sim !\neg A$$

Definition 6.2.2. We choose for each atomic formula X a set which we denote $\underline{X}$. We define

$$\underline{X \otimes Y} = \underline{X \,\invamp\, Y} = \underline{X} \coprod \underline{Y}, \qquad \underline{!A} = \underline{?A} = \mathcal{I}(\underline{A}), \qquad \underline{\neg A} = \underline{A} \tag{89}$$

To each formula A we define

$$[\![A]\!] := \mathcal{Q}(\underline{A}) \tag{90}$$

We will intepret a proof π of a sequent $A_1, \ldots, A_n \vdash B$ as an additive function

$$\mathcal{Q}(\underline{A_1}) \times \ldots \times \mathcal{Q}(\underline{A_n}) \longrightarrow \mathcal{Q}(\underline{B}) \tag{91}$$

Definition 6.2.3. We define a translation of proofs in multiplicative, exponential linear logic to additive functions.

- **Identity group**

    − Say π is an axiom rule.
    $$\frac{}{X \vdash X} \, (\text{ax})$$
    Then $[\![\pi]\!] : \mathcal{Q}(\underline{X}) \longrightarrow \mathcal{Q}(\underline{X})$ is the identity function.

– Say π has final rule given by a cut.

$$\cfrac{\begin{matrix}\pi_1 \\ \vdots \\ \Gamma \vdash A\end{matrix} \qquad \begin{matrix}\pi_2 \\ \vdots \\ \Delta, A, \Delta' \vdash B\end{matrix}}{\Gamma, \Delta, \Delta' \vdash B}\,(\text{cut})$$

We define $[\![\pi]\!]$ to be the result of substituting $[\![\pi_2]\!]$ into the $\mathcal{Q}(A)$ slot for $[\![\pi_1]\!]$.

$$[\![\pi]\!] = [\![\pi_2]\!] \circ_{\mathcal{Q}(A)} [\![\pi_1]\!]$$

• **Multiplicative group**

– Say the last rule of π is given by $(\text{L}\otimes)$.

$$\cfrac{\begin{matrix}\pi' \\ \vdots \\ \Gamma, A, B, \Delta \vdash C\end{matrix}}{\Gamma, A \otimes B, \Delta \vdash C}\,(\text{L}\otimes)$$

Then $[\![\pi]\!] := [\![\pi']\!]$.

– Say the last rule of π is given by $(\text{R}\otimes)$.

$$\cfrac{\begin{matrix}\pi_1 \\ \vdots \\ \Gamma \vdash A\end{matrix} \qquad \begin{matrix}\pi_2 \\ \vdots \\ \Delta \vdash B\end{matrix}}{\Gamma, \Delta \vdash A \otimes B}\,(\text{R}\otimes)$$

We define $[\![\pi]\!]$ to be the product

$$[\![\pi_1]\!] \times [\![\pi_2]\!] \tag{92}$$

– Say the last rule of π is given by $(\text{R}\multimap)$.

$$\cfrac{\begin{matrix}\pi' \\ \vdots \\ \Gamma, A, \Delta \vdash B\end{matrix}}{\Gamma, \Delta \vdash A \multimap B}\,(\text{R}\multimap)$$

We define

$$[\![\pi]\!] := [\![\pi']\!]^{\times} \tag{93}$$

– Say the last rule of π is given by $(\text{L}\multimap)$.

$$
\begin{array}{cc}
\pi' & \pi'' \\
\vdots & \vdots \\
\dfrac{\Gamma \vdash A \qquad B, \Delta \vdash C}{A \multimap B, \Gamma, \Delta \vdash C} & (\text{L} \multimap)
\end{array}
$$

Say $\Gamma = A_1, \ldots, A_n, \Delta = B_1, \ldots, B_m$. Then we have two additive functions

$$
[\![\pi']\!] : \prod_{i=1}^{n} \mathcal{Q}(A_i) \longrightarrow \mathcal{Q}(A)
$$

$$
[\![\pi'']\!] : \prod_{i=1}^{m} \mathcal{Q}(B) \times \mathcal{Q}(B_i) \longrightarrow \mathcal{Q}(C)
$$

We define

$$
[\![\pi]\!] : \mathcal{Q}(A \times B) \times \prod_{i=1}^{n} \mathcal{Q}(A_i) \times \prod_{i=1}^{m} \mathcal{Q}(B_i) \longrightarrow \mathcal{Q}(C)
$$

$$
(f, \underline{\alpha}, \beta) \longmapsto [\![\pi'']\!]\big(\beta, \operatorname{LinApp}_{A,B}(f, [\![\pi']\!](\alpha))\big)
$$

- **Exponential group**

  - Say the last rule of π is given by (der).

    $$
    \begin{array}{c}
    \pi' \\
    \vdots \\
    \dfrac{\Gamma, A, \Gamma' \vdash \Delta}{\Gamma, !A, \Gamma' \vdash \Delta} \ (\text{der})
    \end{array}
    $$

    There is a morphism

    $$
    d_A : \mathcal{I}(A) \longrightarrow \mathcal{Q}(A)
    $$
    $$
    \underline{a} \longmapsto \underline{a}
    $$

    which simply forgets that $\underline{a}$ is finite.
    We define
    $$
    [\![\pi]\!] := [\![\pi']\!] \circ_{\mathcal{Q}(A)} d_A \tag{94}
    $$

  - Say the last rule of π is given by (prom).
    There is a morphism

    $$
    !_A : A \longrightarrow \mathcal{Q}(\mathcal{I}(A))
    $$
    $$
    a \longmapsto \delta_{\delta_a}
    $$

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where

$$\delta_a : A \longrightarrow \mathbb{N}$$

$$a' \longmapsto \begin{cases} 1, & a = a' \\ 0, & a \neq a' \end{cases}$$

and

$$\delta_{\delta_a} : \mathcal{Q}(A) \longrightarrow \mathbb{N}$$

$$\underline{a} \longmapsto \begin{cases} 1, & \underline{a} = \delta_a \\ 0, & \underline{a} \neq \delta_a \end{cases}$$

We define

$$[\![\pi]\!] :=\ !_A \circ [\![\pi']\!] \tag{95}$$

− Say the last rule of π is given by (ctr).

$$\begin{array}{c} \pi' \\ \vdots \\ \dfrac{\Gamma, !A, !A \vdash B}{\Gamma, !A, \vdash B} \ (\text{ctr}) \end{array}$$

We consider the canonical diagonal map

$$\Delta_A : \mathcal{I}(A) \longrightarrow \mathcal{I}(A) \times \mathcal{I}(A)$$

$$\underline{a} \longmapsto (\underline{a}, \underline{a})$$

We define

$$[\![\pi]\!] = [\![\pi']\!] \circ_{\mathcal{I}(A) \times \mathcal{I}(A)} \Delta_A \tag{96}$$

− Say the last rule of π is given by (weak).

$$\begin{array}{c} \pi' \\ \vdots \\ \dfrac{\Gamma \vdash B}{\Gamma, !A \vdash B} \ (\text{weak}) \end{array}$$

Say $\Gamma = A_1, \ldots, A_n$. Then

$$[\![\pi']\!] : \prod_{i=1}^n \mathcal{Q}(A_i) \longrightarrow \mathcal{Q}(B) \tag{97}$$

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We define

$$\llbracket \pi \rrbracket : \prod_{i=1}^{n} \mathcal{Q}(A_i) \times \mathcal{Q}(A) \longrightarrow \mathcal{Q}(B)$$

$$(\underline{a}_1, \ldots, \underline{a}_n, \underline{a}) \longmapsto \llbracket \pi' \rrbracket (\underline{a}_1, \ldots, \underline{a}_n)$$

- **Structural rule**

    - Say the last rule is (ex).

$$
\begin{array}{c}
\pi \\
\vdots \\
\dfrac{\Gamma, A, B, \Delta \vdash C}{\Gamma, B, A, \Delta \vdash C} \ (\text{ex})
\end{array}
$$

    Then there is a canonical swap map

$$s_{B,A} : B \times A \longrightarrow A \times B$$

$$(b, a) \longmapsto (a, b)$$

    We define

$$\llbracket \pi \rrbracket := \llbracket \pi' \rrbracket \circ_{A \times B} s_{B,A} \qquad (98)$$

Theorem 6.2.4. *Definition 6.2.3 gives a model of intuitionistic linear logic. That is, if π_1 and π_2 are* (cut)*-equivalent proofs, then*

$$\llbracket \pi \rrbracket = \llbracket \pi' \rrbracket \qquad (99)$$

Proof. We go through each (cut)-elimination rule methodically and prove invariance of the interpretations under these transformations.

Say $\gamma : \pi \longrightarrow \pi'$ is a reduction. If this reduction is either **anything**/(ax) or (ax)/**anything** then the constructions of $\llbracket \pi \rrbracket$ and $\llbracket \pi' \rrbracket$ differ only by composition with an identity morphism, and so clearly $\llbracket \pi \rrbracket = \llbracket \pi' \rrbracket$.

The cases of $(\mathrm{R} \otimes)/(\mathrm{L} \otimes)$, **anything**/(ctr), (prom)/(weak) are similarly trivial.

The interesting cases are (prom)/(der) and $(\mathrm{R} \multimap)/(\mathrm{L} \multimap)$. First we consider (prom)/(der). The two interpretations are respectively

$$\llbracket \pi' \rrbracket d_A \circ_{\mathcal{I}(A)} !_A \llbracket \pi \rrbracket, \qquad \llbracket \pi' \rrbracket \circ_A \llbracket \pi \rrbracket \qquad (100)$$

So it suffices to show that $d_A \circ !_A = \mathrm{Id}_{\mathcal{I}(A)}$. This is a calculation:

$$
\begin{aligned}
\mathrm{Add}(d_A)!_A(a) &= \mathrm{Add}(d_A)(\delta_{\delta_a}) \\
&= d_A(\delta_a) \\
&= \delta_a
\end{aligned}
$$

which is the identity in $\mathrm{Kl}(\mathcal{Q})$.

Next we consider $(\mathrm{R} \multimap)/(\mathrm{L} \multimap)$. The two interpretations are respectively

$$
\prod_{i=1}^{n} \mathcal{Q}(A_i) \times \prod_{i=1}^{m} \mathcal{Q}(B_i) \times \prod_{i=1}^{k} \mathcal{Q}(C_i) \longrightarrow \mathcal{Q}(C)
$$
$$
(\alpha, \beta, \gamma) \longmapsto [\![\pi'']\!](\mathrm{LinApp}([\![\pi]\!]^{\times}(\alpha), [\![\pi']\!](\beta)))
$$

and

$$
\prod_{i=1}^{n} \mathcal{Q}(A_i) \times \prod_{i=1}^{m} \mathcal{Q}(B_i) \times \prod_{i=1}^{k} \mathcal{Q}(C_i) \longrightarrow \mathcal{Q}(C)
$$
$$
(\alpha, \beta, \gamma) \longmapsto [\![\pi'']\!]([\![\pi]\!](\alpha, [\![\pi']\!](\beta)))
$$

So it suffices to show that for a general $g : \mathcal{Q}(A) \times \mathcal{Q}(C) \longrightarrow \mathcal{Q}(B)$ which is additive in $\mathcal{Q}(A)$, we have

$$
\mathrm{LinApp}(g^{\times}(\underline{c}), \underline{a}) = g(\underline{a}, \underline{c}) \tag{101}
$$

This follows from the following calculation.

$$
\begin{aligned}
\mathrm{LinApp}(g^{\times}(\underline{c}, \underline{a})) &= \sum_{a \in A} g^{\times}(\underline{c})(a, -) \\
&= \sum_{a \in A} \underline{a}(a) g(\delta_a, \underline{c})(-) \\
&= g(\underline{a}, \underline{c})
\end{aligned}
$$

where the last line follows from additivity of g. $\qquad\square$

7 Qualitative domains

Definition of stable function?

Definition 7.0.1. A **qualitative domain** is a set X along with a collection $\mathcal{X}$ of subsets $U \subseteq X$ subject to the following.

- $\mathscr{X}$ covers X

$$\bigcup_{U \in \mathscr{X}} U = X \tag{102}$$

- The empty set is in $\mathscr{X}$

$$\varnothing \in \mathscr{X} \tag{103}$$

- The union of a directed system in $\mathscr{X}$ is in $\mathscr{X}$. That is, if $\{U_i\}_{i \in I}$ satisfies

$$\forall i, j \in I, \exists k \in I, U_i \cup U_j \subseteq U_k \tag{104}$$

  and $U_i \in \mathscr{X}$ for all i, then $\bigcup_{i \in I} U_i \in \mathscr{X}$.

- Any subset of a set in $\mathscr{X}$ is in $\mathscr{X}$. That is,

$$V \in \mathscr{X} \text{ and } U \subseteq V \Longrightarrow U \in \mathscr{X} \tag{105}$$

A qualitative domain is **binary** if whenever $U \subseteq X$ is such that $U \notin \mathscr{X}$ there exists $x, y \in U$ such that $\{x, y\} \notin \mathscr{X}$.

Example 7.0.2. Let $X = \mathbb{Z}$. Denote respectively by $\mathbb{Z}_{\text{Even}}, \mathbb{Z}_{\text{Odd}}$ the even integers and the odd integers. Define:

$$\mathscr{X} := \mathcal{P}(\mathbb{Z}_{\text{Even}}) \cup \mathcal{P}(\mathbb{Z}_{\text{Odd}}) \cup \Big\{ \{n, m\} \mid n \text{ even}, m \text{ odd} \Big\} \tag{106}$$

This is a qualitative domain which is not binary.

Lemma 7.0.3. *For any qualitative domain* $(X, \mathscr{X})$ *a subset* $U \subseteq X$ *is an element of* $\mathscr{X}$ *if and only if all the finite subsets of* U *are in* $\mathscr{X}$.

Proof. If was proved just after Definition 2.2.3 that any set is the directed colimit of its finite subsets. Since a qualitative domain is closed under this operation, it follows that if all the finite subsets of U are in $\mathscr{X}$, then so is U.

Conversely, *any* subset of an element of $\mathscr{X}$ is in $\mathscr{X}$, by definition. So clearly any finite subset is so. $\qquad\square$

Theorem 7.0.4. *Let* $f : (X, \mathscr{X}) \longrightarrow (Y, \mathscr{Y})$ *be a stable function of qualitative domains. For all pairs* (U, y) *consisting of a set* $U \in \mathscr{X}$ *in* $\mathscr{X}$ *and an element* $y \in f(U)$ *in* $f(U)$ *there exists a unique minimal finite subset* $V \subseteq U$ *such that* $y \in f(V)$.

Proof. Recall that U is the direct colimit of its finite subsets, so we can write

$$\bigcup_{U' \in \mathcal{P}_{\text{fin}}(U)} U' = U \tag{107}$$

By Lemma 7.0.3 we have that all $U' \in \mathcal{P}_{\text{fin}}U$ are elements of $\mathscr{X}$. Since f preserves directed colimits, we have

$$f\left(\bigcup_{U' \in \mathcal{P}_{\text{fin}}(U)} U'\right) = \bigcup_{U' \in \mathcal{P}_{\text{fin}}(U)} f(U') \tag{108}$$

and so $y \in f(U')$ for some finite subset $U' \subseteq U$, establishing existence.

Assume that U' is chosen to be minimal with respect to inclusion. Say V is another such set. Then $U' \cup V$ is a subset of U, thus $U' \cup V \in \mathscr{X}$. In such a setting, f commutes with intersection, so $f(U' \cap V) = f(U') \cap f(V)$ contains y. To avoid contradicting minimality, we must have $U' = V$. This establishes uniqueness. $\qquad\square$

Definition 7.0.5. Let $(X, \mathscr{X}), (Y, \mathscr{Y})$ be qualitative domains and let $f : \mathscr{X} \longrightarrow \mathscr{Y}$ be a stable function. The **trace** of f is the following set.

$$\text{tr}(f) := \{(W, w) \in \mathcal{P}_{\text{fin}}(X) \times Y \mid \exists U \in W \cap \mathscr{X}, w \in f(U) \tag{109}$$

$$\text{and } \forall V \subseteq U, V \neq U \Rightarrow w \notin f(V)\} \tag{110}$$

Ranging over all stable functions gives back a qualitative domain.

Definition 7.0.6. Let $(X, \mathscr{X}), (Y, \mathscr{Y})$ be qualitative domains and let $f : \mathscr{X} \longrightarrow \mathscr{Y}$ be stable. Define the following set.

$$\mathscr{X} \Rightarrow \mathscr{Y} := \{\text{tr}(f) \mid f : \mathscr{X} \longrightarrow \mathscr{Y} \text{ stable}\} \tag{111}$$

Lemma 7.0.7. *In the context and with the notation of Definition 7.0.6, the following is a qualitative domain.*

$$\left(\mathcal{P}_{fin}(X) \times Y, \mathscr{X} \Rightarrow \mathscr{Y}\right) \tag{112}$$

Proof. Adopting the attitude that a function is a subset of a cartesian product, we may consider the *empty function* $\varnothing : \mathscr{X} \longrightarrow \mathscr{Y}$, defined formally as the empty subset of the set $X \times Y$. This is such that $\text{tr}(\varnothing) = \varnothing$, and so $\varnothing \in \mathscr{X} \times \mathscr{Y}$.

Next we show that $\mathscr{X} \times \mathscr{Y}$ is closed under subsets, that is, if $\text{tr}(f) \in \mathscr{X} \times \mathscr{Y}$ and $\mathfrak{a} \subseteq \text{tr}(f)$ then $\mathfrak{a} \in \mathscr{X} \times \mathscr{Y}$.

Define the following function.

$$h_{\mathfrak{a}} : \mathscr{X} \longrightarrow \mathscr{Y} \tag{113}$$

$$W \longmapsto \{ y \in Y \mid \exists V \subseteq W, V \text{ finite}, (V, y) \in \mathfrak{a} \} \tag{114}$$

We claim this is stable and that $\mathfrak{a} = \text{tr}(h)$. First we prove stability.

Consider a directed system of sets $\{W_i\}_{i \in I}$. We have

$$g_U(\bigcup_{i \in I} W_i) = \{ y \in Y \mid \exists V \subseteq \bigcup_{i \in I} W_i, V \text{ finite}, (V, y) \in \mathfrak{a} \} \tag{115}$$

Let $y \in g_U(\bigcup_{i \in I} W_i)$ and let $V \subseteq \bigcup_{i \in I} W_i$ be finite so that $(V, y) \in \mathfrak{a}$. For each $v \in V$ there exists $i_v \in I$ so that $v \in W_{i_v}$. Since V is finite and the system $\{W_i\}_{i \in I}$ is directed, there thus exists $i \in I$ so that $V \subseteq W_i$. We have shown

$$g_U(\bigcup_{i \in I} W_i) = \bigcup_{i \in I} \{ y \in Y \mid \exists V \subseteq W_i, \text{ finite}, (V, y) \in \mathfrak{a} \} = \bigcup_{i \in I} g_U(W_i) \tag{116}$$

Next, say $W, W' \in \mathscr{X}$ are such that $W \cup W' \in \mathscr{X}$, we must show $g_U(W \cap W') = g_U(W) \cap g_U(W')$. We observe that $g_U(W \cap W') \subseteq g_U(W) \cap g_U(W')$ is trivial, as if there exists a finite subset V of $W \cap W'$ satisfying $(V, y) \in \mathfrak{a}$, then that same subset V is a subset of W and of W' satisfying $(V, y) \in \mathfrak{a}$.

Thus, we consider an element $y \in g_U(W) \cap g_U(W')$. Let $V \subseteq W, V' \subseteq W'$ be finite subsets so that $(V, y) \in \mathfrak{a}, (V', y) \in \mathfrak{a}$. We have that $V \cup V' \subseteq W \cup W' \in \mathscr{X}$ and so $V \cup V' \in \mathscr{X}$. This means that $f(V \cap V') = f(V) \cap f(V')$ by stability of f, moreover, this shows $y \in f(V \cap V')$. To avoid contradicting the minimality condition which is part of $\text{tr}(f)$ we must have $V \cap V' = V = V'$. Thus, V is a finite subset of $W \cap W'$ satisfying $(V, y) \in \mathfrak{a}$, in other words, $y \in g_U(W \cap W')$.

Next we show that $\text{tr}(g_{\mathfrak{a}}) = \mathfrak{a}$. First, let $(W, w) \in \mathfrak{a}$. We notice that since $\mathfrak{a} \subseteq \text{tr}(f)$ that W is finite. Hence, w satisfies the defining property of $g_U(W)$ and thus $w \in g_U(W)$. To show minimality, simply notice that for any subset $T \in \mathscr{X}$,

$$w \in g_{\mathfrak{a}}(T) \Longrightarrow (T, w) \in \mathfrak{a}$$
$$\Longrightarrow (T, w) \in \text{tr}(f)$$

Thus, W must be minimal so that $w \in g_{\mathfrak{a}}(W)$. We have shown that W is finite and minimal such that $w \in g_U(W)$, in other words, $(W, w) \in \text{tr}(g_U)$.

Lastly, say $(W, w) \in \text{tr}(g_{\mathfrak{a}})$. Then $w \in g_{\mathfrak{a}}(W)$. Let $W' \subseteq W$ be finite so that $(W', w) \in \mathfrak{a}$. Since W is the minimal such (by definition of $\text{tr}(g_{\mathfrak{a}})$), we must have $W = W'$, and so $(W, w) \in \mathfrak{a}$.

So far, we have established that $\mathscr{X} \times \mathscr{Y}$ is closed under subsets. Now we show that it is closed under directed union.

Say $\{\mathrm{tr}(f_i)\}_{i \in I}$ is a directed system. Define the following function.

$$h : \mathscr{X} \longrightarrow \mathscr{Y}$$
$$W \longmapsto \{y \in Y \mid \exists V \subseteq W, V \text{ finite}, (V, y) \in \bigcup_{i \in I} \mathrm{tr}(f_i)\}$$

First we show this is stable.

Closure under directed sets follows exactly similarly as the proof that $h_{\mathfrak{a}}$ (113) is closed under directed sets.

Finally, we show that if $W, W', W \cup W' \in \mathscr{X}$ then $h(W \cap W) = h(W) \cap h(W')$. This also follows similarly to what has already been shown, but we give the details.

Let V, V' be finite subsets of W, W' respectively so that $(V, y), (V', y) \in \bigcup_{i \in I} \mathrm{tr}(f_i)$. By directedness, there exists $i \in I$ such that $(V, y), (V', y) \in \mathrm{tr}(f_i)$. Since $V \cup V' \subseteq W \cup W'$ we have $V \cup V' \in \mathscr{X}$ and so $f_i(V \cap V') = f_i(V) \cap f_i(V')$, by stability of f_i. Since $y \in f_i(V \cap V')$ it follows by minimality that $V = V' = V \cap V'$. Thus $y \in h(W \cap W')$. $\qquad \square$

Proposition 7.0.8. *If $(X, \mathscr{X}), (Y, \mathscr{Y})$ are qualitative domains, then $(\mathcal{P}_{\mathit{fin}}(X) \times Y, \mathscr{X} \Rightarrow \mathscr{Y})$ is also binary.*

Proof. We claim the following: if $\mathfrak{a} \in \mathcal{P}_{\mathrm{fin}}(X) \times Y$ satisfies the following condition:

$$\text{if } (U, u), (V, u) \in \mathfrak{a} \text{ satisfy } U \cup V \in \mathscr{X} \text{ then } U = V \qquad (117)$$

then there exists a stable function $g : \mathscr{X} \longrightarrow \mathscr{Y}$ such that $\mathfrak{a} = \mathrm{tr}(g)$.

In the proof of Lemma 7.0.7 we showed that if $\mathfrak{a}$ is a subset of a set which is the trace $\mathrm{tr}(f)$ of a function, then $\mathfrak{a}$ is itself the trace of a function. There, the only fact about $\mathfrak{a} \subseteq \mathrm{tr}(f)$ which was used, was that in such a setting, condition (117) holds. Thus, the proof there applies to the current context.

The contrapositive to what has been proved so far, is that if $\mathfrak{a}$ is not the trace of any stable function, then there exists $(U, u), (V, u) \in \mathfrak{a}$ such that $U \cup V \in \mathscr{X}$ but $U \neq V$.

We consider the two element subset

$$A := \{(U, u), (V, u)\} \subseteq \mathfrak{a} \qquad (118)$$

Since $U \cup V \in \mathscr{X}$, if $A = \mathrm{tr}(g)$ for some stable g, then by minimality we would have $U = V$, which we know is not the case.

Thus, $\mathscr{X} \Rightarrow \mathscr{Y}$ is binary. $\qquad \square$

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Remark 7.0.9. We remark that Proposition 7.0.8 did *not* require that either $\mathscr{X}$ nor $\mathscr{Y}$ be binary.

Definition 7.0.10. Given qualitative domains $(X, \mathscr{X})$, $(\mathcal{P}_{\text{fin}}(X) \times Y, \mathscr{X} \Rightarrow \mathscr{Y})$ along with $U \in \mathscr{X}, \mathfrak{a} \in \mathscr{X} \Rightarrow \mathscr{Y}$ we define

$$\text{App}(\mathfrak{a}, U) = \{y \in Y \mid \exists V \subseteq U, (V, y) \in \mathfrak{a}\} \tag{119}$$

Let $\text{App}(\mathscr{X} \Rightarrow \mathscr{Y}, \mathscr{X})$ denote the collection of sets (119) ranging over all $U \in \mathscr{X}$ and all $\mathfrak{a} \in \mathscr{X} \Rightarrow \mathscr{Y}$.

Lemma 7.0.11. *In the context and with the notation of Definition 7.0.10, the pair $(Y, \text{App}(\mathscr{X} \Rightarrow \mathscr{Y}, \mathscr{X}))$ is a qualitative domain.*

Proof. Let $\mathfrak{a} = \text{tr}(g)$ for some stable function $g : \mathscr{X} \longrightarrow \mathscr{Y}$ and let $U \in \mathscr{X}$. Say $T \subseteq \text{App}(\mathfrak{a}, U)$ and define the following function.

$$f : \mathscr{X} \longrightarrow \mathscr{Y} \tag{120}$$
$$W \longmapsto \{y \in Y \mid \exists V \subseteq W \text{ finite } (V, y) \in \mathfrak{a}\} \cap T \tag{121}$$

This is well defined as for all $W \in \mathscr{X}$ the set given on the right of (121) is a subset of $g(W)$. Now we show that this is stable.

The function f clearly preserves inclusion, we now show that f preserves directed unions.

Consider a directed family of sets $\{W_i\}_{i \in I}$ each of which is an element of $\mathscr{X}$. We have the following calculation, where the second equality follows by directedness of $\{W_i\}_{i \in I}$ and the finiteness of the set V present there.

$$f(\bigcup_{i \in I} W_i) = \{y \in Y \mid \exists V \subseteq W \text{ finite } (V, y) \in \mathfrak{a}\} \cap T$$
$$= \left(\bigcup_{i \in I} \{y \in Y \mid \exists V \subseteq W_i \text{ finite } (V, y) \in \mathfrak{a}\}\right) \cap T$$
$$= \bigcup_{i \in I} \left(\{y \in Y \mid \exists V \subseteq W_i \text{ finite } (V, y) \in \mathfrak{a}\} \cap T\right)$$
$$= \bigcup_{i \in I} f(W_i)$$

Now we show that if $U, W \in \mathscr{X}$ satisfy $U \cup W \in \mathscr{X}$ then $f(U \cap W) = f(U) \cap f(W)$. The non-trivial inequality to be proved is the following.

$$\{y \in Y \mid \exists V \subseteq U \text{ finite } (V, y) \in \mathfrak{a}\} \cap \{y \in Y \mid \exists W \subseteq U \text{ finite } (V, y) \in \mathfrak{a}\} \tag{122}$$
$$\subseteq \{y \in Y \mid \exists V \subseteq U \cap W \text{ finite } (V, y) \in \mathfrak{a}\} \tag{123}$$

Let y be an element of the set (122) and let V_1, V_2 respectively denote finite sets such that $(V_1, y) \in \mathfrak{a}, (V_2, y) \in \mathfrak{a}$. Recall that $\mathfrak{a} = \mathrm{tr}(g)$ and so $y \in g(V_1) \cap g(V_2) = g(V_1 \cap V_2)$ by stability of g. It follows by minimality that $V_1 \cap V_2 = V_1 = V_2$. We thus have that V_1 is finite and $V_1 \subseteq U, V_1 \subseteq W$. So $(V_1, y) \in \mathfrak{a}$ and V_1 is finite and satisfies $V_1 \subseteq U \cap W$. That is, y is an element of the set given in (123). Establishing stability of f.

We now claim that $T = \mathrm{App}(\mathrm{tr}(f), U)$. First, let $t \in T$. Then $t \in \mathrm{App}(\mathfrak{a}, U)$. Denote by V a subseteq of U such that $(V, t) \in \mathfrak{a}$. Since $\mathfrak{a} = \mathrm{tr}(g)$ we have that V is finite and minimal amongst those subseteq $W \subseteq U$ such that $y \in g(W)$. That is, $t \in \mathrm{tr}(f)$.

On the other hand, if $y \in \mathrm{App}(\mathrm{tr}(f), U)$ then by definition of $\mathrm{App}(\mathrm{tr}(f), U)$ there exists $V \subseteq U$ such that $(V, y) \in \mathrm{tr}(f)$. This implies in particular that $y \in f(V)$ and so $y \in \{y' \in Y \mid \exists V' \subseteq W \text{ finite } (V, y) \in \mathfrak{a}\} \cap T$, so in particular, $y \in T$. We make the remark that everything up until this point of the proof still works if $\mathrm{App}(\mathfrak{a}, U)$ had instead been defined as $\{y \in Y \mid (U, y) \in \mathfrak{a}\}$. However for what follows, it is crucial that Definition (119) is taken instead.

We now show that $\mathrm{App}(\mathscr{X} \Rightarrow \mathscr{Y}, \mathscr{X})$ is closed under directed union. Let $\{\mathrm{App}(\mathfrak{a}_i, U_u)\}_{i \in I}$ be a directed set. For each $i \in I$ let f_i denote a stable function such that $\mathfrak{a}_i = \mathrm{tr}(f_i)$. We have already seen in the proof of Lemma 7.0.7 that the union of a direct system of sets which are all the trace of some stable function is itself the trace of a function. So our first claim is that $\{\mathrm{tr}(f_i)\}_{i \in I}$ is directed. Let $i, j \in I$ and let $k \in I$ be such that $\mathrm{App}(\mathrm{tr}(f_i), U_i), \mathrm{App}(\mathrm{tr}(f_j), U_j) \subseteq \mathrm{App}(\mathrm{tr}(f_k), U_k)$. Then for any $y \in \mathrm{App}(\mathrm{tr}(f_i), U_i)$ we have $y \in f_i(U_i) \subseteq f_k(U_i) \subseteq f_k(U_k)$ and similarly for j. This shows that $\mathrm{tr}(f_i), \mathrm{tr}(f_j) \subseteq \mathrm{tr}(f_k)$. It follows that $\{\mathrm{tr}(f_i)\}_{i \in I}$ is a directed system. Let f denote a stable function such that $\mathrm{tr}(f) = \bigcup_{i \in I} \mathrm{tr}(f_i)$.

Let $U := \bigcup_{i \in I} U_i$, the proof will be finished once we establish the following claim.

$$\bigcup_{i \in I} \mathrm{App}(\mathfrak{a}_i, U_i) = \mathrm{App}(\mathrm{tr}(f), U) \tag{124}$$

For any element $y \in \bigcup_{i \in I} \mathrm{App}(\mathfrak{a}_i, U_i)$ there exists $i \in I$ such that $y \in \mathrm{App}(\mathfrak{a}_i, U_i)$ and so there exists $V \subseteq U_i$ for which $(V, y) \in \mathfrak{a}_i$. We have that $y \in f_i(V) \subseteq f(V) \subseteq f(U)$. Thus, V is a subset of U such that $(V, y) \in \mathrm{tr}(f)$, that is, $y \in \mathrm{App}(\mathrm{tr}(f), U)$. Here, we used crucially Definition (119).

Conversely, if $y \in \mathrm{App}(\mathrm{tr}(f), U)$ then $y \in f(U) = \bigcup_{i \in I} f(U_i)$ by stability of f. Hence, there exists $i \in I$ such that $y \in f(U_i)$ and so taking U_i as a subset of itself, we see that (U_i, y) satisfy the condition required so that $y \in f_i(U_i)$. That is, there exists $i \in I$ such that $y \in \mathrm{App}(\mathfrak{a}_i, U_i)$. This completes the

proof. □

Lemma 7.0.12. *Let* $(X, \mathscr{X}), (Y, \mathscr{Y})$ *be qualitative domains. For every subset* $\mathfrak{a} \subseteq Y$ *there exists a stable function* $f : X \longrightarrow Y$ *and a set* $U \subseteq X$ *such that*

$$\mathfrak{a} = \mathrm{App}(\mathrm{tr}(f), U) \tag{125}$$

Proof. Consider the constant function

$$f : \mathscr{X} \longrightarrow \mathscr{Y}$$
$$W \longmapsto \mathfrak{a}$$

We first claim that this is stable. Clearly, if $W \subseteq W'$ then $f(W) = f(W')$ and so in particular, $f(W) \subseteq f(W')$.

Next, if $\{U_i\}_{i \in I}$ is a directed system of sets in $\mathscr{X}$ we have

$$\bigcup_{i \in I} f(U_i) = \bigcup_{i \in I} \mathfrak{a} = \mathfrak{a} = f(\bigcup_{i \in I}) \tag{126}$$

Lastly, if $W_1 \cup W_2 \in \mathscr{X}$ then we again (trivially) have

$$f(W_1 \cap W_2) = \mathfrak{a} = \mathfrak{a} \cap \mathfrak{a} = f(W_1) \cap f(W_2) \tag{127}$$

Next, we claim that the empty set $\varnothing$ is an appropriate choice for U, that is

$$\mathfrak{a} = \mathrm{App}(\mathrm{tr}(f), \varnothing) \tag{128}$$

We have

$$y \in \mathfrak{a} \Leftrightarrow y \in f(\varnothing)$$
$$\Leftrightarrow \exists V \subseteq \varnothing \text{ st } (V, y) \in \mathrm{tr}(f)$$
$$\Leftrightarrow y \in \mathrm{App}(\mathrm{tr}(f), \varnothing)$$

□

Lemma 7.0.12 shows that

$$\mathrm{App}(\mathscr{X} \Rightarrow \mathscr{Y}, \mathscr{X}) = \mathcal{P}(Y) \tag{129}$$

and so in particular $(Y, \mathrm{App}(\mathscr{X} \Rightarrow \mathscr{Y}, \mathscr{X}))$ is binary. However, this result is not so interesting, as we have considered all the stable functions $f : X \longrightarrow Y$

in the set $\mathscr{X} \Rightarrow \mathscr{Y}$ and the proof of Lemma 7.0.12 makes critical use of the constant function.

Thus, one may be tempted to fix the choice of stable function first. More precisely, we could define

$$\mathrm{App}(\mathrm{tr}(f), \mathscr{X}) := \{\mathrm{App}(\mathrm{tr}(f), U) \mid U \in \mathscr{X}\} \tag{130}$$

and then consider the pair

$$\big(Y, \mathrm{App}(\mathrm{tr}(f), \mathscr{X})\big) \tag{131}$$

However, (131) in general is *not* a qualitative domain. To see this, consider two qualitative domains $(X, \mathscr{X}), (Y, \mathscr{Y})$ and the constant function $f : \mathscr{X} \longrightarrow \mathscr{Y}, f(X) = Y$ for some fixed set $Y \in \mathscr{Y}$. If Y is non-empty and $Y' \subsetneq Y$ is a proper subset, then there is no set $X' \in \mathscr{X}$ such that $f(X') = Y'$, that is, there exists no set $X' \in \mathscr{X}$ such that

$$Y' = \mathrm{App}(\mathrm{tr}(f), X') \tag{132}$$

In general, a qualitative domain $(Z, \mathscr{Z})$ is required to be such that if $U \in \mathscr{Z}$ and $U' \subseteq U$ is a subset of U then $U' \in \mathscr{Z}$. The above argument shows that this does *not* hold for $\big(Y, \mathrm{App}(\mathrm{tr}(f), \mathscr{X})\big)$ and so this is not a qualitative domain.

Furthremore, a qualitative domain $(Z, \mathscr{Z})$ always has the empty set $\varnothing$ as an element of $\mathscr{Z}$. There is no way to guarantee this for $\big(Y, \mathrm{App}(\mathrm{tr}(f), \mathscr{X})\big)$ as stable functions are *not* required to satisfy $f(\varnothing) = \varnothing$. That is, the image of a stable function need not contain the empty set.

8 Coherence spaces

We recall the definition of a *reflexive graph.*

Definition 8.0.1. A multigraph $G = (V, E)$ is **reflexive** if for every vertex $v \in V$ there exists an edge $\{v, v\}$ (recall that in a multigraph, the set E is a *multiset*, and so here the notation $\{v, v\}$ does *not* mean the set $\{v\}$).

Definition 8.0.2. A **coherence space** A is a pair $(|A|, \mathord{\frown}_A)$ consisting of a set $|A|$ and a reflexive, symmetric relation $\mathord{\frown}_A$ on $|A|$. The set $|A|$ is the **web** of A and the relation $\mathord{\frown}_A$ is the **coherence** of A.

Lemma 8.0.3. *Let $(X, \mathscr{X})$ be a binary qualitative domain. Define a relation R on X in the following way.*

$$(x_1, x_2) \in R \Leftrightarrow \{x_1, x_2\} \in \mathscr{X} \tag{133}$$

This relation is reflexive and symmetric.

Proof. Since X is a qualitative domain, the sets $\mathscr{X}$ cover X, that is,

$$\bigcup_{U \in \mathscr{X}} U = X \tag{134}$$

Thus, since every subset of every elemenet of $\mathscr{X}$ is also an element of $\mathscr{X}$, it follows that $\{x\} \in \mathscr{X}$ for each $x \in X$. In other words, R is reflexive.

The defining statement of R is symmetric in x_1, x_2 and so R is clearly symmetric as a relation. □

Definition 8.0.4. A **clique** in a coherence space $A = (|A|, \frown_A)$ is a subset $C \subseteq |A|$ subject to

$$\forall c_1, c_2 \in C \qquad c_1 \frown_A c_2 \tag{135}$$

Lemma 8.0.5. *Let $A = (|A|, \frown_A)$ be a coherence space. Let $\mathscr{X}$ denote the set of cliques of A. Then $(|A|, \mathscr{X})$ is a binary qualitative domain.*

Proof. Since $\frown_A$ is reflexice, we have that for all $a \in |A|$ that $\{a\} \in \mathscr{X}$, and so $\mathscr{X}$ covers $|A|$.

The empty set is vacuously a clique, and so $\varnothing \in \mathscr{X}$.

If $\{U_i\}_{i \in I}$ is a directed family of cliques, then $U := \bigcup_{i \in I} U_i$ is satisfies:

$$\forall u_1, u_2 \in U, \exists i \in I, \{u_1, u_2\} \in U \tag{136}$$

and so $u_1 \frown_A u_2$. That is, U is a clique.

If $U, V \in \mathscr{X}$ are such that $U \cup V \in \mathscr{X}$ then we see that $U \cap V$, being a subset of $U \cup V$, is a clique. Thus $U \cap V \in \mathscr{X}$.

Say $U \notin \mathscr{X}$. Then by definition, there exists u_1, u_2 such that $u_1 \not\frown_A u_2$. That is, $\{u_1 u_2\} \notin \mathscr{X}$, and so $(|A|, \mathscr{X})$ is binary. □

We thus have a bijection between the collection of coherence spaces and the collection of binary qualitative domains.

9 Monad-comonad interaction

9.1 Semi-cocartesian rig categories

Definition 9.1.1. A **rig category** (also called a *bimonoidal category*) is a category $\mathcal{C}$ carrying two monoidal structures $\oplus$ and $\otimes$ with respective unit objects 0 and 1, such that $\otimes$ distributes over $\oplus$ (on both sides) and 0 is an absorbing element for $\otimes$, in the sense that $0 \otimes B \cong 0 \cong B \otimes 0$.

We say a rig category is **semi-cocartesian** if 0 is initial, or equivalently if there exist natural coprojection morphisms $A \to A \oplus B \leftarrow B$.

Example 9.1.2. The following families of examples will be sufficient for our purposes:

(a) $\mathcal{C}$ = FinSet, with cartesian product and coproduct for the monoidal product and sum respectively, is a semi-cocartesian rig category. More generally, any *distributive category* (a category with finite products and coproducts with the former distributing over the latter) is a semi-cocartesian rig category. This includes the two-element poset $\{0 \leq 1\}$.

(b) $\mathcal{C}$ = $\mathbb{N}$, viewed as a poset, with addition and multiplication as the monoidal sum and product respectively, is a semi-cocartesian rig category. Similarly, any *ordered rig* (poset with addition and multiplication respecting the order in both components) can be viewed as a rig category, and it is semi-cocartesian if and only if 0 is a minimal element in the ordering. This includes the rig of non-negative rational numbers $\mathbb{Q}_{\geq 0}$.

(c) For a field k, the category $\mathrm{Vect}_k^{\mathrm{fd}}$ of finite-dimensional vector spaces over k is a semi-cocartesian rig category with respect to the tensor product and direct sum. Similarly, the category of finitely generated or finitely presentable modules of any commutative ring provides an example.

(d) $\mathcal{C}$ = FinSet$_{\mathrm{bij}}$, the category of finite sets and bijections, is a rig category (inheriting the monoidal product and sum from FinSet) but it is *not* semi-cocartesian since the empty set is not initial.

Let $\mathcal{C}$ be a small semi-cocartesian rig category. Consider the (pseudo)functor $\mathcal{I} : \mathrm{Set} \to \mathrm{Cat}$ given on objects by,

$$\mathcal{I}(X) \coloneqq \mathrm{Fun}_{fs}(X, \mathcal{C})$$

where Fun_{fs} denotes the category of functors of **finite support**, which take the value 0 at all but finitely many elements of X. For a function $f : X \to Y$, we define $\mathcal{I}(f)(F)(y) \coloneqq \bigoplus_{x \in f^{-1}(y)} F(x)$, which is well-defined since there are only finitely many x for which $F(x)$ is not 0. These functor categories inherit all of the finitary structure of $\mathcal{C}$ pointwise; in particular, they are semi-cocartesian rig categories.

Since $\mathcal{C}$ is small, we can compose $\mathcal{I}$ with the truncation functor $\mathrm{Cat} \to \mathrm{Set}$ which sends a small category to the set of isomorphism classes of its objects to view it as an endofunctor on Set (we abuse notation and use $\mathcal{I}$ to denote both functors). This endofunctor is naturally a monad. The unit $\eta_X : X \to \mathcal{I}(X)$ sends x to the function which is 0 on the complement of $\{x\}$ and 1 at x, and multiplication given by 'flattening',

$$\mu : \mathcal{I}(\mathcal{I}(X)) \to \mathcal{I}(X)$$

$$[G : \mathcal{I}(X) \to \mathcal{C}] \mapsto \left[x \mapsto \bigoplus_{F \in \mathcal{I}(X)} G(F) \otimes F(a) \right],$$

where once again the monoidal sum is well defined since G has finite support. The truncation moreover transforms the rig category structure into the structure of a rig (also known as semiring) on $\mathcal{I}(X)$. If $\mathcal{C}$ is a poset, $\mathcal{I}(X)$ is thus an ordered rig for any X.

9.2   Ind-completion

Definition 9.2.1. Let $\mathcal{C}$ be a small category. Recall that the **Ind-completion** of $\mathcal{C}$, denoted $\mathrm{Ind}(\mathcal{C})$ is the free cocompletion of $\mathcal{C}$ under directed colimits, which comes with a canonical functor $i : \mathcal{C} \to \mathrm{Ind}(\mathcal{C})$.

The construction of $\mathrm{Ind}(\mathcal{C})$ we shall employ in the present article is as the category of diagrams $F : \mathcal{D} \to \mathcal{C}$ where $\mathcal{D}$ ranges over small directed diagrams. For $G : \mathcal{D}' \to \mathcal{C}$, we set

$$\mathrm{Hom}_{\mathrm{Ind}(\mathcal{C})}(F, G) \coloneqq \lim_{d \in \mathcal{D}^{\mathrm{op}}} \mathrm{colim}_{d' \in \mathcal{D}'} \mathrm{Hom}_{\mathcal{C}}(Fd, Gd').$$

The inclusion of $\mathcal{C}$ sends an object X to the corresponding functor $\ulcorner X \urcorner : 1 \to \mathcal{C}$. A downside of this construction is that it produces a proper class of objects, even though the resulting category can in certain cases be equivalent to a small category. To remedy this, we will typically take a skeleton of the

category where needed; when $\mathcal{C}$ is a poset, $\mathrm{Ind}(\mathcal{C})$ is a preorder, so we may simply take the poset quotient of that preorder.

Proposition 9.2.2. *Let $\mathcal{C}$ be a semi-cocartesian rig category and $\mathrm{Ind}(\mathcal{C})$ its ind-completion. Then $\mathrm{Ind}(\mathcal{C})$ carries the structure of a rig category extending the operations on $\mathcal{C}$ and moreover $\oplus$ extends to an infinitary operation $\bigoplus$ over which $\otimes$ distributes.*

Proof. For directed diagrams $F : \mathcal{D} \to \mathcal{C}$ and $G : \mathcal{D}' \to \mathcal{C}$, observe that $\mathcal{D} \times \mathcal{D}'$ is also a directed poset, so we may use functoriality of the monoidal operations to define:

$$F \otimes G : \mathcal{D} \times \mathcal{D}' \to \mathcal{C}$$
$$(d, d') \mapsto Fd \otimes Gd', \text{ and}$$
$$F \oplus G : \mathcal{D} \times \mathcal{D}' \to \mathcal{C}$$
$$(d, d') \mapsto Fd \oplus Gd'.$$

This extends the operations on $\mathcal{C}$ by inspection. To deduce distributivity, we simply assemble the distributivity components index-wise; for example, given $H : \mathcal{D}'' \to \mathcal{C}$,

$$Fd \otimes (Gd' \oplus Hd'') \cong (Fd \otimes Gd') \oplus (Fd \otimes Hd'')$$

provides a component of the isomorphism $F \otimes (G \oplus H) \cong (F \otimes G) \oplus (F \otimes H)$. Notice that if $\mathcal{C}$ is semi-cocartesian, then $\mathrm{Ind}(\mathcal{C})$ is too, since the inclusion $i : \mathcal{C} \to \mathrm{Ind}(\mathcal{C})$ preserves the initial object.

To define the infinitary operation, we first observe that given an infinite family $\{\mathcal{D}_i \mid i \in I\}$ of directed posets, we may construct an extended poset $\bigvee_{i \in I} \mathcal{D}_i$ where an element consists of a finite list $i_1, \ldots, i_k$ of (distinct) elements of I and a tuple of elements $(d_{i_1}, \ldots, d_{i_k})$ with $d_i \in \mathcal{D}_i$. We define $(d_{i_1}, \ldots, d_{i_k}) \le (d'_{j_1}, \ldots, d'_{j_l})$ if $\{i_1, \ldots, i_k\} \subseteq \{j_1, \ldots, j_l\}$ and $d_i \le d'_i$ for $i = i_1, \ldots, i_k$. This is a directed poset since the posets $\mathcal{D}_i$ are; observe that we may factor any morphism in this poset as a morphism in $\mathcal{D}_{i_1} \times \cdots \times \mathcal{D}_{i_k}$ followed by an inclusion of one tuple into a larger tuple.

Now for a family of ind-objects $\{F_i : \mathcal{D}_i \to \mathcal{C} \mid i \in I\}$, we define:

$$\bigoplus_{i \in I} F_i : \bigvee_{i \in I} \mathcal{D}_i \to \mathcal{C}$$
$$(d_{i_1}, \ldots, d_{i_k}) \mapsto F_{i_1} d_{i_1} \oplus \cdots \oplus F_{i_k} d_{i_k},$$

with morphisms within $\mathcal{D}_{i_1} \times \cdots \times \mathcal{D}_{i_k}$ being mapped in the same way as for $F_{i_1} \oplus \cdots \oplus F_{i_k}$ and the inclusions of tuples constructed from the coprojections; this diagram is well-defined thanks to the assumed naturality of these coprojection morphisms. While we could have simply appealed to the existence of directed colimits in $\mathrm{Ind}(\mathcal{C})$ and presented $\bigoplus_{i \in I} F_i$ as the colimit over the finite subsets of I of the finite monoidal sums, this explicit presentation makes it easier to check the claim of distributivity. □

Remark 9.2.3. An alternative construction when $\mathcal{C}$ has finite colimits is as the category of finite-limit-preserving functors $\mathcal{C}^{\mathrm{op}} \to \mathrm{Set}$ (also called flat presheaves), with natural transformations between them. With this presentation, the monoidal operations are extended via *Day convolution*.

Example 9.2.4. Returning to Example 9.1.2, we obtain the following:

(a) When $\mathcal{C} = \mathrm{FinSet}$, $\mathrm{Ind}(\mathcal{C}) \simeq \mathrm{Set}$, and we have the familiar extension of coproducts. The two-element poset $\{0 \leq 1\}$ is equivalent to its ind-completion, and this category is similarly cocomplete.

(b) When $\mathcal{C} = \mathbb{N}$, $\mathrm{Ind}(\mathcal{C}) \simeq \overline{\mathbb{N}}$ is the rig of 'extended naturals', obtained by adding a maximal element ∞. Similarly, if $\mathcal{C} = \mathbb{Q}_{\geq 0}$, then $\mathrm{Ind}(\mathcal{C}) \simeq \overline{\mathbb{R}}_{\geq 0}$ is the rig of extended (non-negative) real numbers.

(c) When $\mathcal{C} = \mathrm{Vect}_k^{\mathrm{fd}}$, its ind-completion is the category Vect_k of all vector spaces over k, and the extended monoidal sum coincides with the infinite direct sum.

(d) $\mathcal{C} = \mathrm{FinSet}_{\mathrm{bij}}$, the ind-completion is equivalent to $\mathcal{C}$, whence we see that the semi-cocartesian condition is essential for creating infinite direct sums.

Given a small semi-cocartesian rig category $\mathcal{C}$, we may now construct the (pseudo)functor $\mathcal{Q} : \mathrm{Set} \to \mathrm{CAT}$ given on objects by,

$$\mathcal{Q}(X) := \mathrm{Fun}(X, \mathrm{Ind}(\mathcal{C})),$$

which is now the category of all functors into $\mathrm{Ind}(\mathcal{C})$. For a function $f : X \to Y$, we can define $\mathcal{Q}(f)(F)(y) := \bigoplus_{x \in f^{-1}(y)} F(x)$ thanks to the existence of infinite sums. These larger functor categories inherit all of the structure of $\mathrm{Ind}(\mathcal{C})$ pointwise; in particular, they are semi-cocartesian rig categories admitting infinite monoidal sums.

Due to size issues, we may not be able to turn $\mathcal{Q}$ into a monad on Set; this is the case for the examples $\mathcal{C} = \text{FinSet}$ and $\mathcal{C} = \text{Vect}_k^{\text{fd}}$ above. We may instead view $\mathcal{Q}$ as a pseudomonad relative to the inclusion $\text{Set} \to \text{CAT}$ in the sense of [3].

The inclusion $i : \mathcal{C} \to \text{Ind}(\mathcal{C})$ induces a natural transformation $\iota : \mathcal{I} \Rightarrow \mathcal{Q}$.

Proposition 9.2.5. *Let $\mathcal{I}, \mathcal{Q}$ be monads on* Set *and $\iota : \mathcal{I} \Rightarrow \mathcal{Q}$ a natural transformation such that:*

- *...*

Proof. ... $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad$ $\square$

Lemma 9.2.6. *ι satisfies the conditions above.*

A   Background on λ-calculus

Definition A.0.1. Let $\mathscr{V}$ be a countably infinite set of variables and let $\mathscr{L}$ be the language consisting of $\mathscr{V}$ along with the special symbols

$$\lambda \qquad . \qquad (\qquad) \tag{137}$$

Let $\mathscr{L}^*$ denote the set of words of $\mathscr{L}$, more precisely, an element $w \in \mathscr{L}^*$ is a finite sequence $(w_1, \ldots, w_n)$ where each w_i is in $\mathscr{L}$. For convenience, such an element will be written as $w_1 \ldots w_n$. Now let Λ' denote the smallest subset of $\mathscr{L}^*$ such that

- if $x \in \mathscr{V}$ then $x \in \Lambda'$,

- if $M, N \in \Lambda'$ then $(MN) \in \Lambda'$,

- if $x \in \mathscr{V}$ and $M \in \Lambda'$ then $(\lambda x.M) \in \Lambda'$.

This set Λ' consists of **preterms**. A preterm M such that $M \in \mathscr{V}$ is a **variable**, if $M = (M_1 M_2)$ for some preterms M_1, M_2 then M is an **application**, and if $M = (\lambda x.M')$ for some $x \in \mathscr{V}$ and $M' \in \Lambda'$ then M is an **abstraction**.

Need α-equivalence.

Definition A.0.2. We define an operation on preterms, **single step β-reduction** $\longrightarrow_\beta$, which is the smallest relation on Λ' satisfying:

- the **reduction axiom**:

  - for all variables x and preterms M, M'

Years? Journals?

B   Background on intuitionistic linear logic

We write down the (cut)-elimination rules for intuitionistic linear logic.

- (ax)/**anything**.

$$\cfrac{\cfrac{}{A \vdash A}\,(\text{ax}) \qquad \begin{array}{c}\pi \\ \vdots \\ \Gamma, A \vdash B\end{array}}{\Gamma, A \vdash B}\,(\text{cut}) \quad \longrightarrow \quad \begin{array}{c}\pi \\ \vdots \\ \Gamma, A \vdash B\end{array}$$

- **Anything**/(ax)

$$\cfrac{\begin{array}{c}\pi \\ \vdots \\ \Gamma \vdash A\end{array} \qquad \cfrac{}{A \vdash A}\,(\text{ax})}{\Gamma \vdash A}\,(\text{cut}) \quad \longrightarrow \quad \begin{array}{c}\pi \\ \vdots \\ \Gamma \vdash A\end{array}$$

- $(\text{R}\otimes)/(\text{L}\otimes)$

$$\cfrac{\cfrac{\begin{array}{c}\pi \\ \vdots \\ \Gamma \vdash A\end{array} \quad \begin{array}{c}\pi' \\ \vdots \\ \Delta \vdash B\end{array}}{\Gamma, \Delta \vdash A \otimes B}\,(\text{R}\otimes) \quad \cfrac{\begin{array}{c}\pi'' \\ \vdots \\ \Theta, A, B \vdash E\end{array}}{\Theta, A \otimes B \vdash E}\,(\text{R}\otimes)}{\Gamma, \Delta, \Theta \vdash E}\,(\text{cut})$$

$$\longrightarrow$$

$$\cfrac{\begin{array}{c}\pi \\ \vdots \\ \Gamma \vdash A\end{array} \quad \cfrac{\begin{array}{c}\pi' \\ \vdots \\ \Delta \vdash B\end{array} \quad \begin{array}{c}\pi'' \\ \vdots \\ \Theta, A, B \vdash E\end{array}}{\Delta, \Theta, A \vdash E}\,(\text{cut})}{\Gamma, \Delta, \Theta \vdash E}\,(\text{cut})$$

- $(\text{R} \multimap)/(\text{L} \multimap)$

$$\cfrac{\cfrac{\begin{array}{c}\pi \\ \vdots \\ \Gamma, A \vdash B\end{array}}{\Gamma \vdash A \multimap B}\,(\text{R}\multimap) \quad \cfrac{\begin{array}{c}\pi' \\ \vdots \\ \Delta \vdash A\end{array} \quad \begin{array}{c}\pi'' \\ \vdots \\ \Theta, B \vdash C\end{array}}{A \multimap B, \Delta, \Theta \vdash C}\,(\text{L}\multimap)}{\Gamma, \Delta, \Theta \vdash C}\,(\text{cut})$$

$$\longrightarrow$$

$$
\cfrac{
\begin{array}{c}
\pi' \\ \vdots \\ \Delta \vdash A
\end{array}
\quad
\cfrac{
\cfrac{
\begin{array}{c}
\pi \\ \vdots \\ \Gamma, A \vdash B
\end{array}
\quad
\begin{array}{c}
\pi'' \\ \vdots \\ \Theta, B \vdash C
\end{array}
}{\Gamma, A \vdash C}(\text{cut})
}{\Delta, \Gamma \vdash C}(\text{cut})
}{\Gamma, \Delta \vdash C}(\text{ex})
$$

- (prom)/(der)

$$
\cfrac{
\cfrac{
\begin{array}{c}
\pi \\ \vdots \\ !\Gamma \vdash A
\end{array}
}{!\Gamma \vdash !A}(\text{prom})
\quad
\cfrac{
\begin{array}{c}
\pi' \\ \vdots \\ \Delta, A \vdash B
\end{array}
}{\Delta, !A \vdash B}(\text{der})
}{!\Gamma, \Delta \vdash B}(\text{cut})
\quad\longrightarrow\quad
\cfrac{
\begin{array}{c}
\pi \\ \vdots \\ !\Gamma \vdash A
\end{array}
\quad
\begin{array}{c}
\pi' \\ \vdots \\ \Delta, A \vdash B
\end{array}
}{!\Gamma, \Delta \vdash B}(\text{cut})
$$

- **Anything**/(ctr)

$$
\cfrac{
\begin{array}{c}
\pi \\ \vdots \\ \Gamma \vdash !A
\end{array}
\quad
\cfrac{
\begin{array}{c}
\pi' \\ \vdots \\ \Delta, !A, !A \vdash B
\end{array}
}{\Delta, !A \vdash B}(\text{ctr})
}{\Gamma, \Delta \vdash B}(\text{cut})
$$

$$
\longrightarrow
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\cfrac{
\begin{array}{c}
\pi \\ \vdots \\ !\Gamma \vdash !A
\end{array}
\quad
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\cfrac{
\begin{array}{c}
\pi \\ \vdots \\ !\Gamma \vdash !A
\end{array}
\quad
\begin{array}{c}
\pi' \\ \vdots \\ \Delta, !A, !A \vdash B
\end{array}
}{!\Gamma, !A \vdash B}(\text{cut})
}{!\Gamma, !\Gamma \vdash B}(\text{cut})
}{!\Gamma \vdash B}(\text{ex})/(\text{ctr})
$$

- (prom)/(weak)

$$
\cfrac{
\cfrac{
\begin{array}{c}
\pi \\ \vdots \\ !\Delta \vdash A
\end{array}
}{!\Delta \vdash !A}(\text{prom})
\quad
\cfrac{
\begin{array}{c}
\pi' \\ \vdots \\ \Gamma \vdash B
\end{array}
}{\Gamma, !A \vdash B}(\text{weak})
}{!\Delta, \Gamma \vdash B}(\text{cut})
\quad\longrightarrow\quad
\cfrac{
\begin{array}{c}
\pi' \\ \vdots \\ \Gamma \vdash B
\end{array}
}{!\Delta, \Gamma \vdash B}(\text{weak})
$$

There are more but these are the important ones.

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