

## Category theory, lecture 2

(1)

Theorem: A function  $f: A \longrightarrow B$  is a bijection iff it is injective and surjective.

Is this a tautology? No, why?

Recall:

Def<sup>n</sup>: A function  $f: A \longrightarrow B$  is a bijection if there exists a function  $g: B \longrightarrow A$  such that

$$f \circ g = \text{id}_B, \quad g \circ f = \text{id}_A.$$

Proof of theorem:

Injectivity: Say  $a_1, a_2 \in A$  such that

$$f(a_1) = f(a_2)$$

$$\text{then } g(f(a_1)) = g(f(a_2))$$

$$\Rightarrow a_1 = a_2.$$

Surjectivity: Say  $b \in B$ . Then  $g(b)$  is such that

$$f(g(b)) = b.$$

□

Theorem: A linear transformation  $f: V \longrightarrow W$  between vector spaces  $V, W$  is an isomorphism iff it is injective and surjective.

□

all:

(2)

Def<sup>n</sup>: A Group  $G$  is a set (also called  $G$ ) along with an operation (function)

$$\circ : G \times G \longrightarrow G$$

and an identity element  $e \in G$  such that:

$$\bullet \forall x, y, z \in G : (x \circ y) \circ z = x \circ (y \circ z)$$

$$\bullet \forall x \in G, e \circ x = x \circ e = x$$

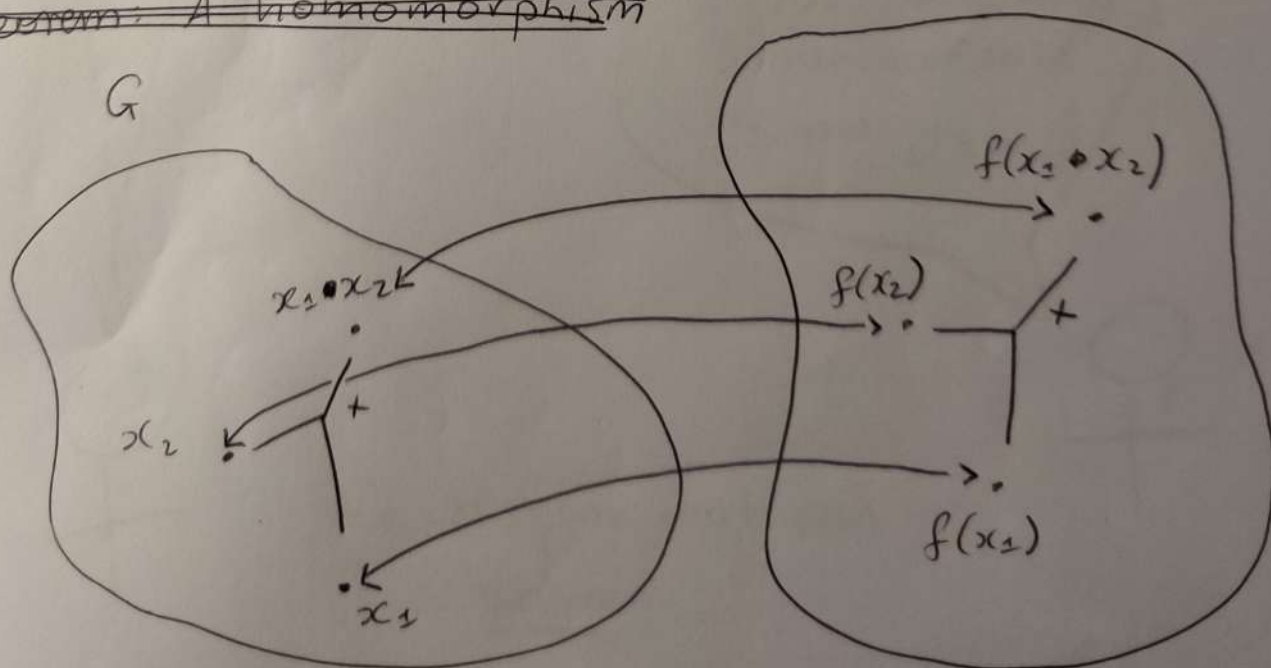
$$\bullet \forall x \in G, \exists y \in G, x \circ y = y \circ x = e.$$

Def<sup>n</sup>: A function between groups  $f: G \longrightarrow H$  is a homomorphism if

$$\forall x, y \in G, \underset{\text{in } G}{f(x \circ y)} = f(x) \underset{\text{in } H}{\circ} f(y)$$

$H$

~~Theorem: A homomorphism~~

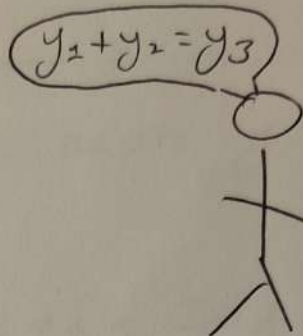
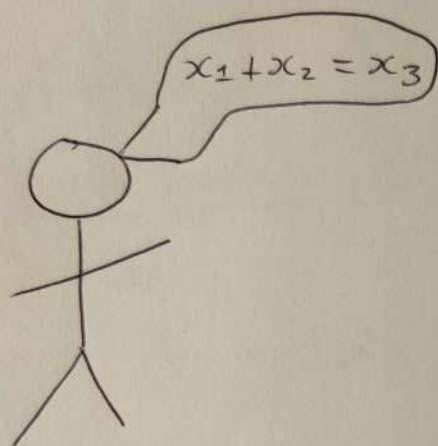


— do we distinguish between groups which are isomorphic? ③

$$x_1 \longleftrightarrow y_1$$

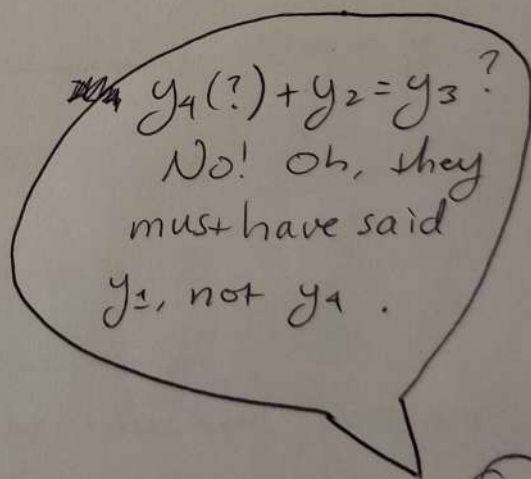
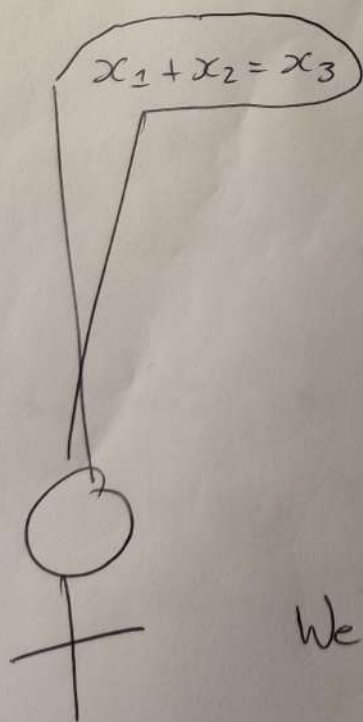
$$x_2 \longleftrightarrow y_2$$

$$x_3 \longleftrightarrow y_3$$



We agree!

Introduce  $x_4 \longleftrightarrow y_4$



We disagree, but can correct.



thus, it is not the objects (or labels) which matter, but rather their relationships (be them  $x$ 's or  $y$ 's). ④

Def<sup>n</sup>: A morphism  $f: A \longrightarrow B$  in a category is an isomorphism if there exists  $g: B \longrightarrow A$  such that

$$f \circ g = \text{Id}_B, \quad g \circ f = \text{Id}_A.$$

~~Slogan: Isomorphic~~

: Notice: the preservation of structure is crucial.

Eg) Let  $f: \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \longrightarrow \mathbb{Z}/4\mathbb{Z}$

$$(x, y) \longmapsto 2x + y.$$

jective:

If  $2x + y = 2x' + y'$  then

$$y = 1 \Rightarrow y' = 1 \Rightarrow x = x'$$

$$y = 0 \Rightarrow x = x'.$$

So  $(x, y) = (x', y')$ .

Surjective:

$$0 = f(0, 0)$$

$$1 = f(0, 1)$$

$$2 = f(1, 0)$$

$$3 = f(1, 1).$$

But these groups can be distinguished:

$$(0, 1) < \longrightarrow 1$$

+

+

$$(0, 1) < \longrightarrow 1$$

||

||

$$(0, 0) < \not\longrightarrow 2$$

So the bijection must be structure preserving in both directions. This is why we consider homomorphisms (or more generally, morphisms).

we can guess definitions correctly:

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Groups have: multiplication, identity element.

So ~~fun from~~ a morphism of groups should preserve:  
multiplication, identity element.

$$f(x \circ y) = f(x) \circ f(y) \quad (f: G \rightarrow H)$$

$$f(e) = e.$$

(However, in this case the second condition is redundant:

$$\cancel{\forall x \in H, x = x \cdot f(e) = f(e) \cdot x}$$

$$\begin{aligned} \Rightarrow f(e_G) &= f(e_G \circ e_G) \\ &= f(e_G) \circ f(e_G) \end{aligned}$$

$$\Rightarrow f(e_G) \circ f(e_G)^{-1} = f(e_G) \circ f(e_G) \circ f(e_G)^{-1}$$

$$\Rightarrow \underline{e_H = f(e_G)} \quad )$$

4

Vector spaces have: addition, scalar multiplication.

$$f(x_1 + x_2) = f(x_1) + f(x_2), \quad \forall x_1, x_2 \in V$$

$$f(\lambda x_1) = \lambda f(x_1), \quad \forall x_1 \in V, \forall \lambda \in k$$

(the base field)

Categories have: Composition, identity elements.

Thus:



we can guess definitions correctly:

⑥

Groups have: multiplication, identity element.

So ~~fun from~~ a morphism of groups should preserve:  
multiplication, identity element.

$$f(x \circ y) = f(x) \circ f(y) \quad (f: G \rightarrow H)$$

$$f(e) = e.$$

(However, in this case the second condition is redundant:

$$\cancel{\forall x \in H, x = x \cdot f(e) = f(e) \cdot x}$$

$$\begin{aligned} \Rightarrow \\ f(e_G) &= f(e_G \circ e_G) \\ &= f(e_G) \circ f(e_G) \end{aligned}$$

$$\Rightarrow f(e_G) \circ f(e_G)^{-1} = f(e_G) \circ f(e_G) \circ f(e_G)^{-1}$$

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4

Vector spaces have: addition, scalar multiplication.

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$$f(\lambda x_1) = \lambda f(x_1) \quad , \quad \forall x_1 \in V, \forall \lambda \in k$$

(the base field)

Categories have: Composition, identity elements.

Thus:

Def: A functor  $F: \mathcal{C} \longrightarrow \mathcal{D}$  between categories is an association of an element  $F(c) \in \mathcal{D}$  to each  $c \in \mathcal{C}$ , and for each pair  $(X, Y) \in \mathcal{C}$  a function:

$$F_{X,Y}: \text{Hom}(X, Y) \longrightarrow \text{Hom}(FX, FY)$$

such that:

- For all  $f: X \longrightarrow Y, g: Y \longrightarrow Z$  in  $\mathcal{C}$ ,

$$F_{X,Z}(g \circ f) = F_{Y,Z}(g) \circ F_{X,Y}(f)$$

- For all  $X \in \mathcal{C}$ ,

$$F_{X,X}(\text{id}_X) = \text{id}_{FX}.$$

Examples:

- $F: \text{Set} \longrightarrow \text{Set}$   
 $X \longmapsto X \amalg \{*\}$

$$(f: X \longrightarrow Y) \longmapsto \left( \begin{array}{l} Ff: FX \longrightarrow FY \\ x \longmapsto f(x) \\ * \longmapsto * \end{array} \right)$$

- ~~$F: \mathcal{C}\text{-Vect} \longrightarrow \mathcal{C}\text{-Vect}$   
 $V \longmapsto V \oplus V$~~



8: Preservation of identity:

$$F(\text{id}_V) : V^{**} \longrightarrow V^{**}$$
$$\psi \longmapsto \psi \circ \text{id}^*$$

Let  $\psi \in V^{**}$  be arbitrary, let  $e \in V^*$  be arbitrary.

$$\text{Then } F(\text{id}_V)(\psi)(e) = (\psi \circ \text{id}^*)(e) \quad (1)$$

$$\text{where } \text{id}^* : V^* \longrightarrow V^*$$
$$\delta \longmapsto \delta \circ \text{id}$$

$$\text{So } (1) = (\psi \circ \text{id})(e) = \psi(e).$$

$$\text{So } F(\text{id}_V) = \text{id}_{V^{**}}.$$

9: Preservation of Composition:

Let  $f : V \longrightarrow W$ ,  $g : W \longrightarrow Z$ .

Then

$$F(g \circ f) : V^{**} \longrightarrow Z^{**}$$

$$\text{Need } \forall \psi \in V^{**}, F(g \circ f)(\psi) = (F(g) \circ F(f))(\psi)$$

That is, for all  $e \in V^*$ :

$$F(g \circ f)(\psi)(e) = (F(g) \circ F(f))(\psi)(e)$$

We calculate:

$$r: \mathbb{C}\text{-Vect} \longrightarrow \mathbb{C}\text{-Vect}$$

$$V \longmapsto V^{**}$$

$$(f: V \rightarrow W) \longmapsto \left( \begin{array}{c} f^{**}: V^{**} \longrightarrow W^{**} \\ \psi \longmapsto \psi(- \circ f) \end{array} \right)$$

Composition:  $f: V \rightarrow W, g: W \rightarrow Z, \psi \in V^{**} (V^* \rightarrow \mathbb{C})$ .

$$F(g \circ f)(\psi) = \psi(- \circ g \circ f)$$

$$\begin{aligned} (F(g) \circ F(f))(\psi) &= F(g)(\psi(- \circ f)) \\ &= (\psi(- \circ f) \circ) (- \circ g) \\ &= \psi(- \circ g \circ f) \end{aligned}$$

$$\text{So } F(g \circ f) = F(g) \circ F(f).$$

□

$f^{-1}$ : A morphism  $f: A \rightarrow B$  in a category (10)  
 $f$  is a monomorphism (or is monic) if for all  
 $g, h: I \rightarrow A$  we have:

$$f \circ g = f \circ h \Rightarrow g = h$$

(Easy to remember: injectivity is:

$$f(x) = f(y) \Rightarrow x = y$$

monomorphism is the same but  $x$  and  $y$  are now morphisms).

Lemma: In the category of sets a function  
 $f: A \rightarrow B$  is monic iff it is injective.

Proof: Say  $f: A \rightarrow B$  is monic. Let  $x, y \in A$  be  
such that  $f(x) = f(y)$ . We consider the functions  
 $g, h: \{ \bullet \} \rightarrow A$  given by

$$g(\bullet) = x$$

$$h(\bullet) = y.$$

$$\text{then } (f \circ g)(\bullet) = (f \circ h)(\bullet) \Rightarrow f \circ g = f \circ h$$

$$\Rightarrow g = h$$

$$\Rightarrow g(\bullet) = h(\bullet)$$

$$\Rightarrow x = y.$$



Say  $g, h: C \longrightarrow A$  are such that  
 $f \circ g = f \circ h$ .

Then  $\forall x \in C$  we have:

$$(f \circ g)(x) = (f \circ h)(x)$$

$$\Rightarrow f(g(x)) = f(h(x))$$

$$\Rightarrow g(x) = h(x), \text{ by injectivity.}$$

Thus  $g = h$ .

□

Def<sup>n</sup>: A morphism  $f: A \longrightarrow B$  in a category  $\mathcal{C}$  is an epimorphism (or is epic) if for all  $g, h: B \longrightarrow C$  we have:

$$g \circ f = h \circ f \Rightarrow g = h.$$

Lemma: A function  $f: A \longrightarrow B$  is epic iff it is surjective.

Proof: ~~Say  $f$  is epic.~~ Say  $f$  is not surjective.

Let  $b \in B \setminus \text{im } f$ . Consider the two functions:

$$g: B \longrightarrow \{ \cdot, * \}$$

$$y \longmapsto \cdot$$

$$h: B \longrightarrow \{ \cdot, * \}$$

$$y \longmapsto \begin{cases} * & , y = b \\ \cdot & , \text{ else.} \end{cases}$$

then  $g \neq h$  but  $g \circ f = h \circ f$ .

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Thus  $f$  is not epic.

Now say  $f$  is surjective. Let  $g, h: B \rightarrow C$  be such that  $g \circ f = h \circ f$ .

Let  $y \in B$ . Since  $f$  is surjective,  $\exists x \in A$  such that  $f(x) = y$ .

$$\text{then } (g \circ f)(x) = (h \circ f)(x)$$

$$\Rightarrow g(y) = h(y).$$

II

Def<sup>2</sup>: A morphism  $f: A \rightarrow B$  in a category is an isomorphism if there exists  $g: B \rightarrow A$  such that

$$f \circ g = \text{id}_B, \quad g \circ f = \text{id}_A.$$

WARNING:

Monic + Epic  $\neq$  Isomorphism.

$\iota: \mathbb{Z} \rightarrow \mathbb{Q}$  in the category of rings is monic and epic but not ~~isom~~ an isomorphism.

Def: An equivalence of categories

$F: \mathcal{C} \longrightarrow \mathcal{D}$  is a functor such that:

- The induced functions

$$Ff: \text{Hom}(X, Y) \longrightarrow \text{Hom}(FX, FY)$$

for each morphism  $f: X \longrightarrow Y$  in  $\mathcal{C}$  are injective and surjective.

- $F$  is essentially surjective, that is,

for every object  $D \in \mathcal{D}$  there exists  $C \in \mathcal{C}$  such ~~that~~ and an isomorphism

$$f: FC \longrightarrow D.$$

Example: Let  $\mathbb{C}\text{-Vect}$  denote the category of complex finite dimensional vector spaces. ~~the~~ Let  $\text{Mat}(\mathbb{C})$  denote the category with objects  $\mathbb{N}$  and morphism  $n \longrightarrow m$   $m \times n$  matrices.

There is a functor

$$F: \text{Mat}(\mathbb{C})^{\text{op}} \longrightarrow \mathbb{C}\text{-Vect}$$

$$n \longmapsto \mathbb{C}^n$$

$$(M: n \longrightarrow m) \longmapsto \left( \begin{array}{ccc} \mathbb{C}^m & \longrightarrow & \mathbb{C}^n \\ z & \longmapsto & Mz \end{array} \right)$$

$\uparrow$  under the standard basis.



$F$  is an equivalence of categories.

(14)

WARNING: If  $F: \mathcal{C} \longrightarrow \mathcal{D}$  is an equivalence of categories, then this does not imply there exists  $G: \mathcal{D} \longrightarrow \mathcal{C}$  such that

$$G \circ F = \text{Id}_{\mathcal{C}} \quad F \circ G = \text{Id}_{\mathcal{D}}.$$

Our previous example is such a thing.

We will come back to this...

Def<sup>n</sup>: Let  $\mathcal{C}$  be a category. Denote by  $\mathcal{C}^{\text{op}}$  the collection of ~~the~~ objects the same as  $\mathcal{C}$ , and let

$$\text{Hom}_{\mathcal{C}^{\text{op}}}(X, Y) = \text{Hom}_{\mathcal{C}}(Y, X).$$

Then  $\mathcal{C}^{\text{op}}$  is a category.

A functor out of an opposite category is sometimes called a contravariant functor.