# **Assignment 1**

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#### 1-1

**1.** Decide whether you think the following statement is true or false. If it is true, give a short explanation. If it is false, give a counterexample.

True or false? In every instance of the Stable Matching Problem, there is a stable matching containing a pair (m, w) such that m is ranked first on the preference list of w and w is ranked first on the preference list of m.

False. It's obvious this is false, let's construct a condition to explain why.

For anyone in this condition, the person (A) who is ranked first on the preference list of him doesn't rank him first on it's (A) preference list. And this condition can be the Stable Matching Problem.

ex. Suppose m prefer w' to w, m' prefer w to w', w prefer m to m', w' prefer m' to m. One of the stable matching set is (m, w')、 (m', w), where the men get their first ranked women and the women get their last ranked men at the same time.

#### 1-2

**2.** Decide whether you think the following statement is true or false. If it is true, give a short explanation. If it is false, give a counterexample.

True or false? Consider an instance of the Stable Matching Problem in which there exists a man m and a woman w such that m is ranked first on the preference list of w and w is ranked first on the preference list of m. Then in every stable matching S for this instance, the pair (m, w) belongs to S.

True. Let's use proof by contradiction to prove it's true.

Suppose there exists a stable matching S', where (m, w) doesn't belong to it. So there must exist m'(not equal to m), w'(not equal to w) where (m', w) and (m, w') belong to S'. According to the problem set, m is ranked first on the preference list of w and w is ranked first on the preference list of m, that is, m prefer w to w' and w prefer m to m'. So (m, w) is the instability in S', contradicting the claim that S' is stable and hence contradicting our initial assumption.

**3.** There are many other settings in which we can ask questions related to some type of "stability" principle. Here's one, involving competition between two enterprises.

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Suppose we have two television networks, whom we'll call  $\mathcal{A}$  and  $\mathcal{B}$ . There are n prime-time programming slots, and each network has n TV shows. Each network wants to devise a *schedule*—an assignment of each show to a distinct slot—so as to attract as much market share as possible.

Here is the way we determine how well the two networks perform relative to each other, given their schedules. Each show has a fixed *rating*, which is based on the number of people who watched it last year; we'll assume that no two shows have exactly the same rating. A network *wins* a given time slot if the show that it schedules for the time slot has a larger rating than the show the other network schedules for that time slot. The goal of each network is to win as many time slots as possible.

Suppose in the opening week of the fall season, Network  $\mathcal{A}$  reveals a schedule S and Network  $\mathcal{B}$  reveals a schedule T. On the basis of this pair of schedules, each network wins certain time slots, according to the rule above. We'll say that the pair of schedules (S,T) is *stable* if neither network can unilaterally change its own schedule and win more time slots. That is, there is no schedule S' such that Network  $\mathcal{A}$  wins more slots with the pair (S',T) than it did with the pair (S,T); and symmetrically, there is no schedule S' such that Network S' wins more slots with the pair S' than it did with the pair S' than

The analogue of Gale and Shapley's question for this kind of stability is the following: For every set of TV shows and ratings, is there always a stable pair of schedules? Resolve this question by doing one of the following two things:

- (a) give an algorithm that, for any set of TV shows and associated ratings, produces a stable pair of schedules; or
- (b) give an example of a set of TV shows and associated ratings for which there is no stable pair of schedules.

There is not always a stable pair of schedules for this kind of stability. This is like Tian Ji's strategy for a horse racing. Let's take a counter-example to explain it.

ex. Suppose for television network A, the fixed rating of its two shows are 1% and 3% respectively. While for television network B, the fixed rating of its two shows are 2% and 4%. It's obvious A can win at most 1 slot and B can win at most 2 slots, which is contradictory. More specifically, in the condition of (A:1%, B:2%)、(A:3%, B:4%), A can change its schedule to get better performance. And in the condition of (A:1%, B:4%)、(A:3%, B:2%), B can change its schedule to get better performance.

As a result, there is no stable pair of schedules in this situation, indicating that there is not always a stable pair of schedules for every set of TV shows and ratings.

## 2-1

- 1. Suppose you have algorithms with the five running times listed below. (Assume these are the exact running times.) How much slower do each of these algorithms get when you (a) double the input size, or (b) increase the input size by one?
  - (a)  $n^2$
  - **(b)**  $n^3$
  - (c)  $100n^2$
  - (d)  $n \log n$
  - **(e)** 2<sup>n</sup>

#### 将输入规模加倍

1. 运行时间将以  $3n^2$  增加。

$$\Delta = (2n)^2 - n^2 = 4n^2 - n^2 = 3n^2 = O(n^2)$$

2. 运行时间将以  $7n^3$  增加。

$$\Delta = (2n)^3 - n^3 = 8n^3 - n^3 = 7n^3 = O(n^3)$$

3. 运行时间将以  $300n^2$  增加。

$$\Delta = 100(2n)^2 - 100n^2 = 400n^2 - 100n^2 = 300n^2 = O(n^2)$$

4. 运行时间将以 nlog(n) + 2n 增加。

$$\Delta = (2n)log(2n) - nlog(n) = nlog(n) + 2n = O(nlog(n))$$

5. 运行时间将以  $(2^{n}-1) \times 2^{n}$  增加

$$\Delta = 2^{2n} - 2^n = (2^n - 1) \times 2^n = O(2^n)$$

#### 将输入规模增加1

1. 运行时间将以 2n + 1 增加。

$$\Delta = (n+1)^2 - n^2 = (n^2 + 2n + 1) - n^2 = 2n + 1 = O(n)$$

2. 运行时间将以  $3n^2 + 3n + 1$  增加。

$$\Delta=(n+1)^3-n^3=(n^3+3n^2+3n+1)-n^3=3n^2+3n+1=O(n^2)$$
3. 运行时间将以  $200n+200$  增加。

$$\Delta=100(n+1)^2-100n^2=100(n^2+2n+1-n^2)=200n+200=O(n)$$
4. 运行时间将以  $log(n+1)$  增加。

$$\Delta=(n+1)log(n+1)-nlog(n)=nlog(n+1)-nlog(n)+log(n+1)pprox log(n+1)$$
5. 运行时间将以  $2^n$  增加

$$\Delta = 2^(n+1) - 2^n = 2 \times 2^n - 2^n = 2^n = O(2^n)$$

### 2-2

- 2. Suppose you have algorithms with the six running times listed below. (Assume these are the exact number of operations performed as a function of the input size n.) Suppose you have a computer that can perform  $10^{10}$  operations per second, and you need to compute a result in at most an hour of computation. For each of the algorithms, what is the largest input size n for which you would be able to get the result within an hour?
  - (a)  $n^2$
  - **(b)**  $n^3$
  - (c)  $100n^2$
  - (d)  $n \log n$
  - **(e)** 2<sup>n</sup>
  - **(f)**  $2^{2^n}$

$$T = 10^{10} \times 60 \times 60 = 3.6 \times 10^{13}$$

所以以下操作不能超过  $10^{13}$  次即可以在一小时内获得结果。

1. 
$$n^2 = 3.6 \times 10^{13} \Rightarrow n = 6 \times 10^6$$

$$2. \quad n^3 = 3.6 \times 10^{13} \Rightarrow n = 36^{1/3} \times 10^4 \approx 3.3 \times 10^4$$

3. 
$$100n^2 = 3.6 \times 10^{13} \Rightarrow n = 6 \times 10^5$$

4. 
$$nlog(n) = 3.6 \times 10^{13} \Rightarrow n \approx 10^{12}$$

5. 
$$2^n = 3.6 \times 10^{13} \Rightarrow n = 45$$

6. 
$$2^{2^n} = 3.6 \times 10^{13} \Rightarrow n \approx 5.49$$

## 2-3

**3.** Take the following list of functions and arrange them in ascending order of growth rate. That is, if function g(n) immediately follows function f(n) in your list, then it should be the case that f(n) is O(g(n)).

$$f_1(n) = n^{2.5}$$

$$f_2(n) = \sqrt{2n}$$

$$f_3(n) = n + 10$$

$$f_4(n) = 10^n$$

$$f_5(n) = 100^n$$

$$f_6(n) = n^2 \log n$$

$$egin{split} \sqrt{2n} & \leq n+10 \leq n^2log(n) \leq n^{2.5} \leq 10^n \leq 100^n \ & f_2 \leq f_3 \leq f_6 \leq f_1 \leq f_4 \leq f_5 \end{split}$$

**4.** Take the following list of functions and arrange them in ascending order of growth rate. That is, if function g(n) immediately follows function f(n) in your list, then it should be the case that f(n) is O(g(n)).

### Chapter 2 Basics of Algorithm Analysis

$$g_1(n) = 2^{\sqrt{\log n}}$$

$$g_2(n) = 2^n$$

$$g_4(n) = n^{4/3}$$

$$g_3(n) = n(\log n)^3$$

$$g_5(n) = n^{\log n}$$

$$g_6(n) = 2^{2^n}$$

$$g_7(n) = 2^{n^2}$$

### 全部取对数即可比较

$$egin{aligned} 2^{\sqrt{log(n)}} & \leq n (log(n))^3 \leq n^{4/3} \leq n^{log(n)} \leq 2^n \leq 2^{n^2} \leq 2^{2^n} \ & \ g_1 \leq g_3 \leq g_4 \leq g_5 \leq g_2 \leq g_7 \leq g_6 \end{aligned}$$

## 2-5

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- **5.** Assume you have functions f and g such that f(n) is O(g(n)). For each of the following statements, decide whether you think it is true or false and give a proof or counterexample.
  - (a)  $\log_2 f(n)$  is  $O(\log_2 g(n))$ .
  - **(b)**  $2^{f(n)}$  is  $O(2^{g(n)})$ .
  - (c)  $f(n)^2$  is  $O(g(n)^2)$ .
    - 1. 假。反例如下:

若 f(n)=2 且 g(n)=1,对于任意 c,  $log f(n)=log(2)=1\leq c imes log 1=0$  均不成立。

2. 假。反例:

若 f(n)=2n 且 g(n)=n ,则  $2^{f(n)}=4^n$  且  $2^{g(n)}=2^n$  ,则不存在常数 c 和  $n_0$  ,使得当  $n\geq n_0$  时, $4^n\leq c\times 2^n$  均成立。

3. 真。证明如下:

**证**: 已知对于某个常数 c 和  $n_0$  ,对所有  $n \geq n_0$  ,我们有  $f(n) \leq c \times g(n)$  。不妨设  $h = f(n)^2$  ,有:

$$h=f(n)^2 \leq (c \times g(n))^2 = c^2 \times g(n)^2$$

则对于  $c^{'}=c^2$  ,对于任意  $n\geq n_0$  ,有:

$$h \leq c^2 imes g(n)^2 = c^{'} imes g(n)^2$$

所以 
$$h = f(n)^2$$
 是  $O(g(n)^2)$ 。

**6.** Consider the following basic problem. You're given an array A consisting of n integers  $A[1], A[2], \ldots, A[n]$ . You'd like to output a two-dimensional n-by-n array B in which B[i,j] (for i < j) contains the sum of array entries A[i] through A[j]—that is, the sum  $A[i] + A[i+1] + \cdots + A[j]$ . (The value of array entry B[i,j] is left unspecified whenever  $i \ge j$ , so it doesn't matter what is output for these values.)

Here's a simple algorithm to solve this problem.

```
For i=1, 2, \ldots, n

For j=i+1, i+2, \ldots, n

Add up array entries A[i] through A[j]

Store the result in B[i,j]

Endfor
```

- (a) For some function f that you should choose, give a bound of the form O(f(n)) on the running time of this algorithm on an input of size n (i.e., a bound on the number of operations performed by the algorithm).
- (b) For this same function f, show that the running time of the algorithm on an input of size n is also  $\Omega(f(n))$ . (This shows an asymptotically tight bound of  $\Theta(f(n))$  on the running time.)
- (c) Although the algorithm you analyzed in parts (a) and (b) is the most natural way to solve the problem—after all, it just iterates through

the relevant entries of the array B, filling in a value for each—it contains some highly unnecessary sources of inefficiency. Give a different algorithm to solve this problem, with an asymptotically better running time. In other words, you should design an algorithm with running time O(g(n)), where  $\lim_{n\to\infty} g(n)/f(n) = 0$ .

1. 由于需要计算 B[i,j] 且  $i \leq j \leq n$  ,所以共需要计算  $n \times (n+1)/2$  个B[i,j],而每次计算都是 $A[i] + A[i+1] + \ldots + A[j-1] + A[j]$ ,所以共需要:

$$egin{aligned} \sum_{i=1}^n \sum_{j=i+1}^n \sum_{k=i}^j 1 &= \sum_{i=1}^n \sum_{j=i+1}^n (j-i) \ &= \sum_{i=1}^n ((n-i+1) imes (n-i)/2 \ &= 1/2 imes (\sum_{i=1}^n (n^2+n+i^2-2n imes i-i)) \ &= 1/2 imes (n^3+n^2+n imes (n+1) imes (2n+1)/6-2n imes n imes (n+1)/2-n) \ &= (2n^3+3n^2-5n)/6 \end{aligned}$$

对于常数 c=1 , 对于任意  $n \geq 100$  , 恒有:

$$(2n^3 + 2n^2 - 5n)/6 \le (2n^3 + 3n^3)/6 \le 3n^3/6 = 1/2n^3$$

所以该算法在规模为 n 的输入上的 O(f(n)) 的界是  $O(n^3)$  。

2. 由(1)可知,本算法操作数量为  $(2n^3+3n^2-5n)/6$ ,因此对于常数 c=1,对于任意 n>100,恒有:

$$(2n^3+2n^2-5n)/6 \geq (2n^3+2n^2-n^2)/6 = (2n^3+n^2)/6 \geq (2n^3)/6 = 1/3n^3$$
  
所以该算法在规模为  $n$  的输入上的运行时间也是  $\Omega(f(n))$  。

3. 利用前缀和思想,先将数组 A 不断累加,然后对于数组 B 待求的每个元素,直接进行简单的加减法即可得到,时间复杂度为  $O(n^2)$ 

```
0 -> C[0]
For i = 1, 2, ..., n
    C[i] = C[i - 1] + A[i] /* 计算前缀和 */
For i = 1, 2, ..., n
    For j = i + 1, i + 2, ..., n
    B[i, j] = A[j] - A[i - 1]
    Endfor
```