



## Pre-lecture brain teaser

Prove at the following languages are regular:

- All strings that end in 1011
- All strings that contain 101 or 010 as a substring.
- All strings that do **not** contain 111 as a substring.

# ECE-374-B: Lecture 5 - RegExp-DFA-NFA Equivalence

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Sept 06, 2022

University of Illinois at Urbana-Champaign

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## Theorem

*Languages accepted by **DFAs**, **NFAs**, and regular expressions are the same.*

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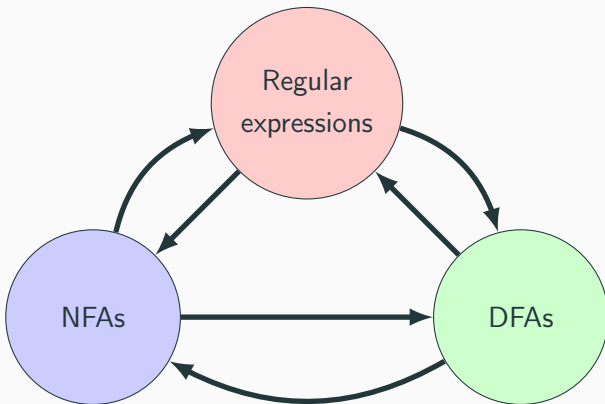
*Languages accepted by **DFAs**, **NFAs**, and regular expressions are the same.*

- **DFAs** are special cases of **NFAs** (easy)
- **NFAs** accept regular expressions (seen)
- **DFAs** accept languages accepted by **NFAs** (shortly)
- Regular expressions for languages accepted by **DFAs** (shown previously)

# Regular Languages, DFAs, NFAs

## Theorem

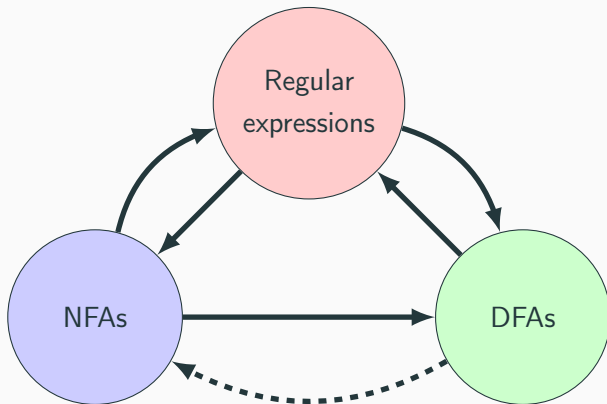
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# Regular Languages, DFAs, NFAs

## Theorem

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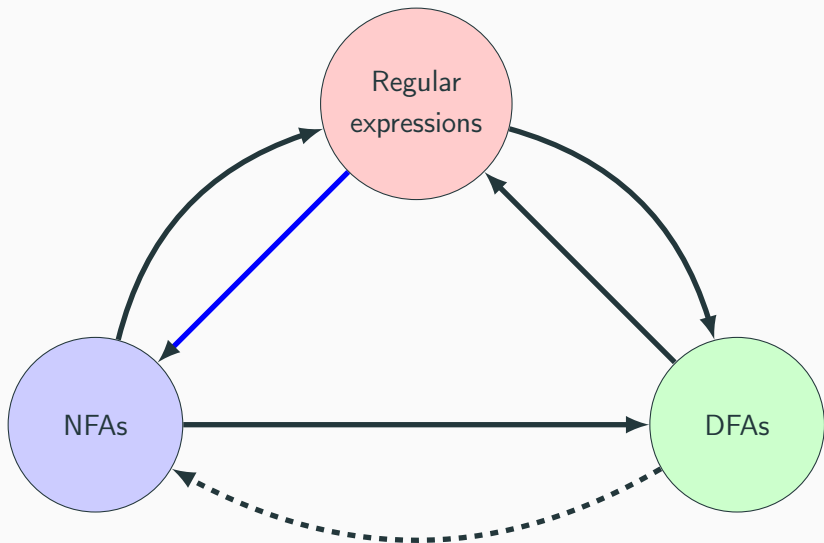




## Regular Expression to NFA

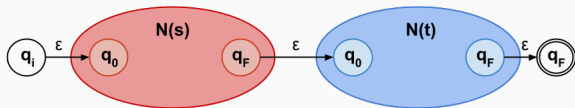
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# Proving equivalence



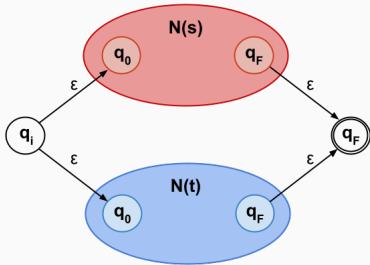
# Thompson's algorithm

Given two NFAs  $s$  and  $t$ :

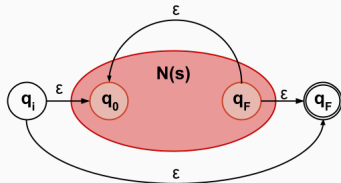


$$L = L_s \cdot L_t$$

$$L = L_s \cup L_t$$



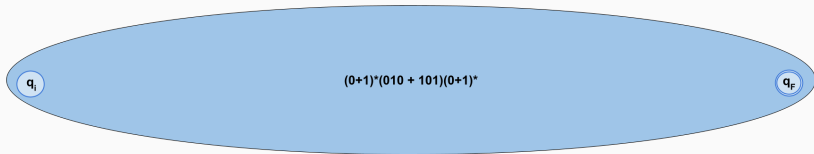
$$L = (L_s)^*$$



## Regular expression to NFA example

Let's take a regular expression and convert it to a DFA.

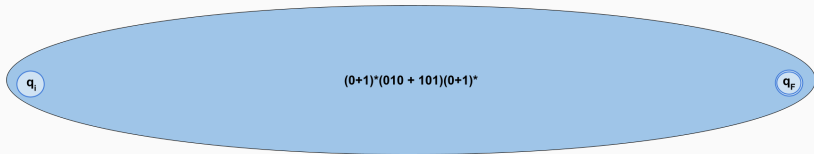
Example:  $(0 + 1)^*(101 + 010)(0 + 1)^*$



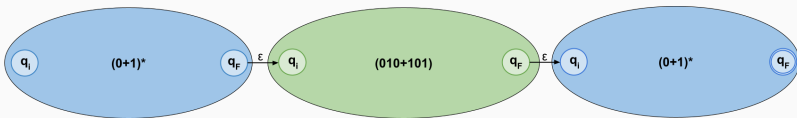
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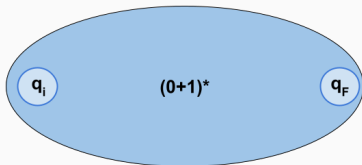


Using the concatenation rule:



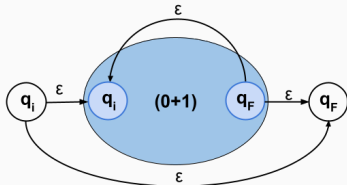
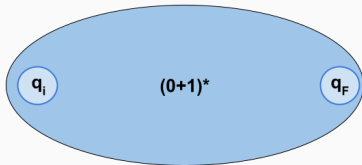
## Regular expression to NFA example

Find NFA for  $(0 + 1)^*$



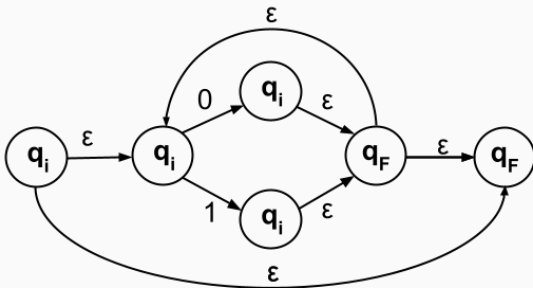
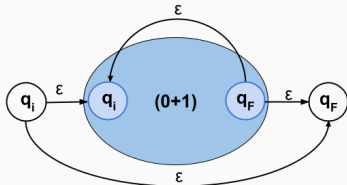
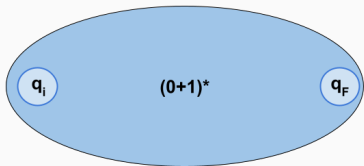
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# Regular expression to NFA example

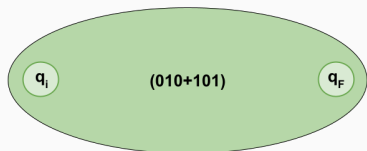
Find NFA for  $(0 + 1)^*$





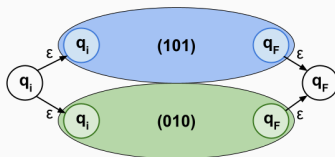
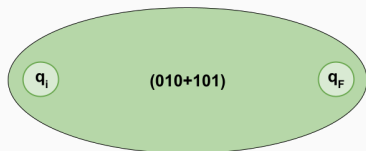
## Regular expression to NFA example

Find NFA for  $(101 + 010)$



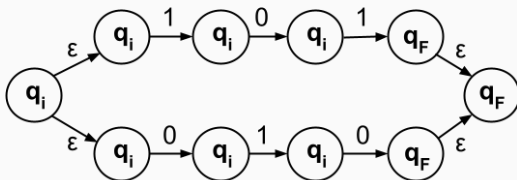
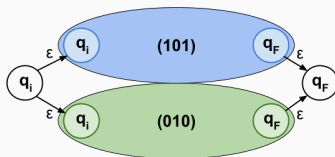
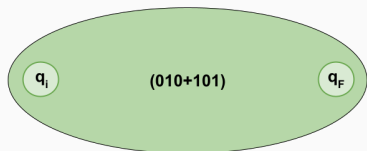
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Example:  $(0 + 1)^*(101 + 010)(0 + 1)^*$



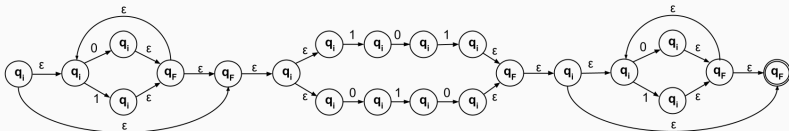
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**Example:**  $(0 + 1)^*(101 + 010)(0 + 1)^*$



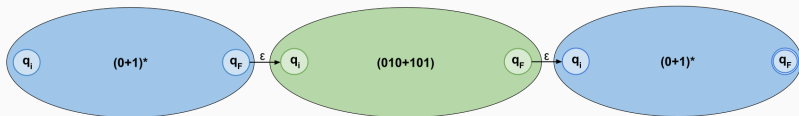
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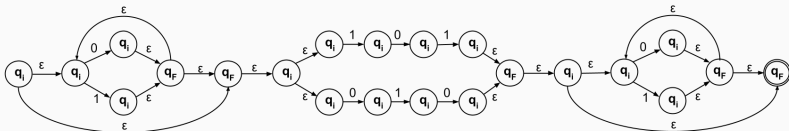
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What does Thompson's algorithm mean?!

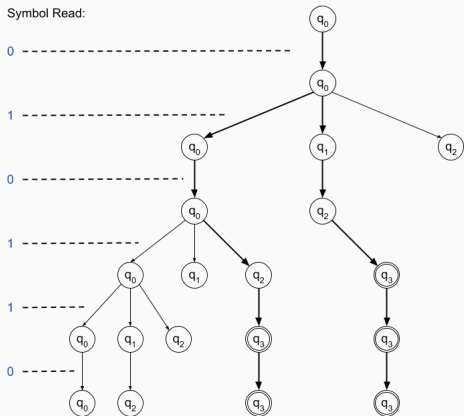
# Equivalence of NFAs and DFAs

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## Another Way to look at NFAs



Is 010110 accepted?





## Another Way to look at NFAs

Is **010110** accepted?



# Another Way to look at NFAs

Is **010110** accepted?



# Another Way to look at NFAs

Is **010110** accepted?

0

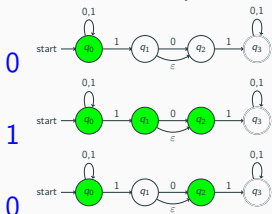


1



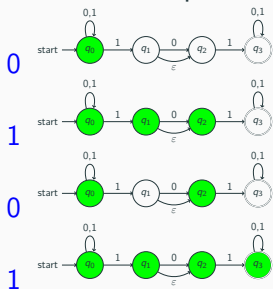
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Is **010110** accepted?



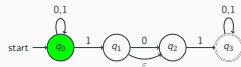
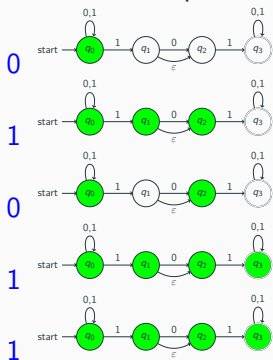
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Is **010110** accepted?



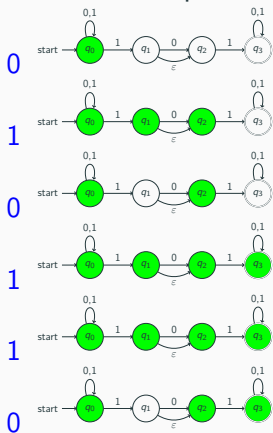
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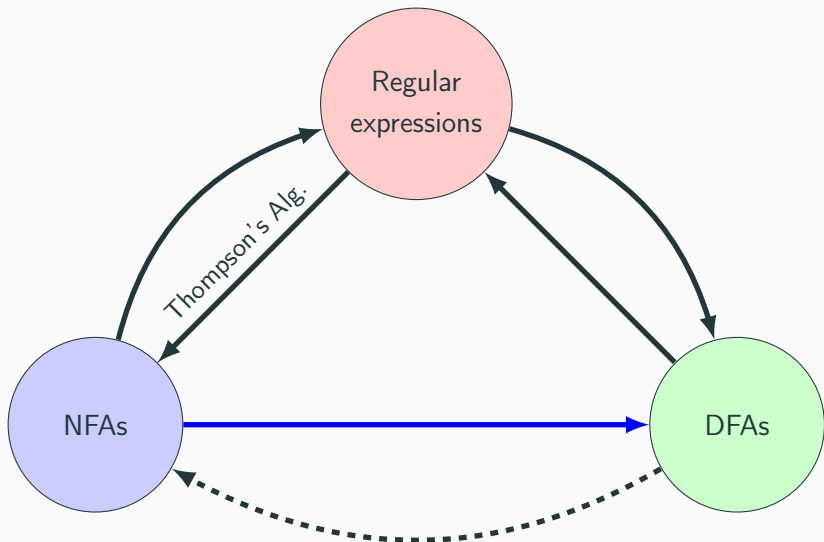


## Conversion of NFA to DFA

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# Proving equivalence



# Equivalence of NFAs and DFAs

## Theorem

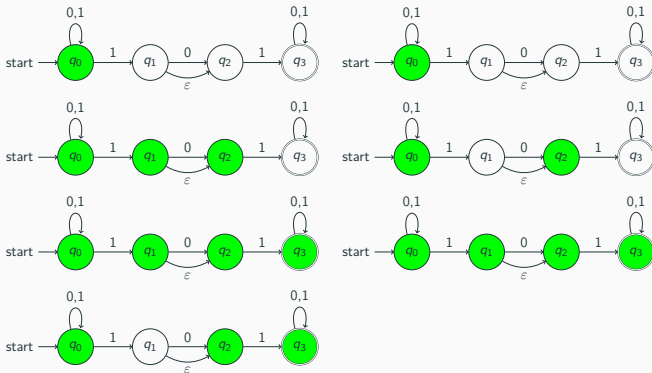
*For every NFA  $N$  there is a DFA  $M$  such that  $L(M) = L(N)$ .*

## DFAs are memoryless...

- DFA knows only its current state.
- The state is the memory.
- To design a DFA, answer the question:  
What minimal info needed to solve problem.

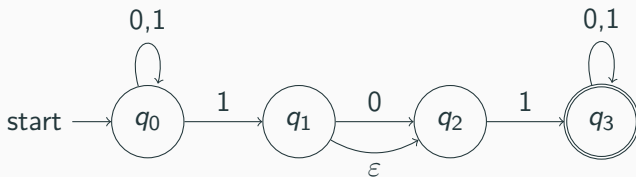
# Simulating NFA

NFAs know many states at once on input 010110.



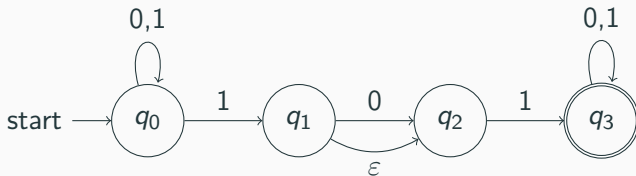
# The state of the NFA

It is easy to state that the state of the automata is the states that it might be situated at.



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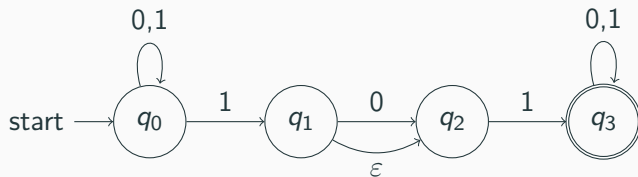
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configuration: A set of states the automata might be in.

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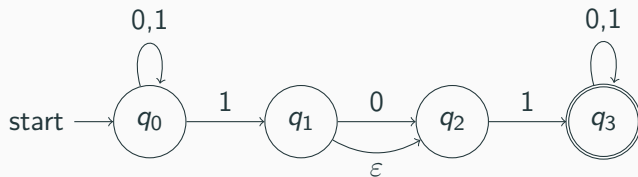


configuration: A set of states the automata might be in.

Possible configurations:  $\mathcal{P}(q) = \emptyset, \{q_0\}, \{q_0, q_1\} \dots$

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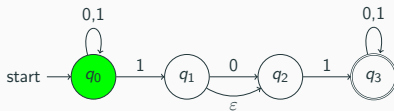
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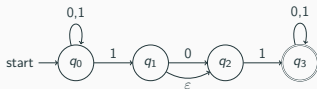
Big idea: Build a **DFA** on the configurations.



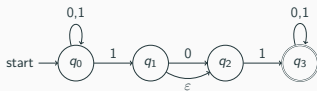
# Example



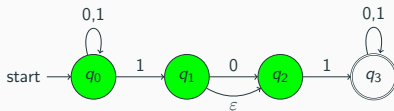
If receives 0 :



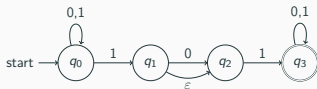
If receives 1 :



# Example



If receives 0 :



If receives 1 :



# Simulating an NFA by a DFA

- Think of a program with fixed memory that needs to simulate **NFA**  $N$  on input  $w$ .
- What does it need to store after seeing a prefix  $x$  of  $w$ ?
- It needs to know at least  $\delta^*(s, x)$ , the set of states that  $N$  could be in after reading  $x$
- Is it sufficient?

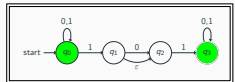
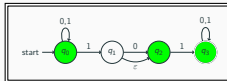
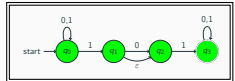
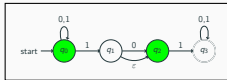
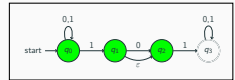
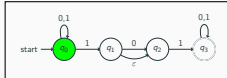
# Simulating an NFA by a DFA

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- What does it need to store after seeing a prefix  $x$  of  $w$ ?
- It needs to know at least  $\delta^*(s, x)$ , the set of states that  $N$  could be in after reading  $x$
- Is it sufficient? Yes, if it can compute  $\delta^*(s, xa)$  after seeing another symbol  $a$  in the input.
- When should the program accept a string  $w$ ? If  $\delta^*(s, w) \cap A \neq \emptyset$ .

**Key Observation:** **DFA**  $M$  simulating  $N$  should know current configuration of  $N$ .

State space of the **DFA** is  $\mathcal{P}(Q)$ .

# DFA from NFA



# Formal Tuple Notation for NFA

## Definition

A **non-deterministic finite automata (NFA)**  $N = (Q, \Sigma, \delta, s, A)$  is a five tuple where

- $Q$  is a finite set whose elements are called **states**,
- $\Sigma$  is a finite set called the **input alphabet**,
- $\delta : Q \times \Sigma \cup \{\epsilon\} \rightarrow \mathcal{P}(Q)$  is the **transition function** (here  $\mathcal{P}(Q)$  is the power set of  $Q$ ),
- $s \in Q$  is the **start state**,
- $A \subseteq Q$  is the set of **accepting/final** states.

$\delta(q, a)$  for  $a \in \Sigma \cup \{\epsilon\}$  is a subset of  $Q$  — a set of states.

# Subset State Construction

**NFA**  $N = (Q, \Sigma, s, \delta, A)$ . We create a **DFA**  $D = (Q', \Sigma, \delta', s', A')$  as follows:

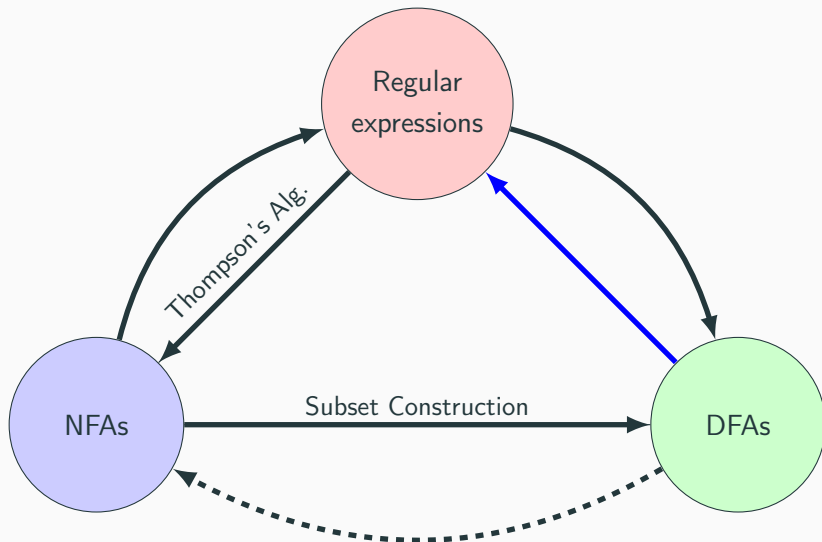
- $Q' =$
- $s' =$
- $A' =$
- $\delta'(X, a) =$

## DFAs to Regular expressions

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# Proving equivalence

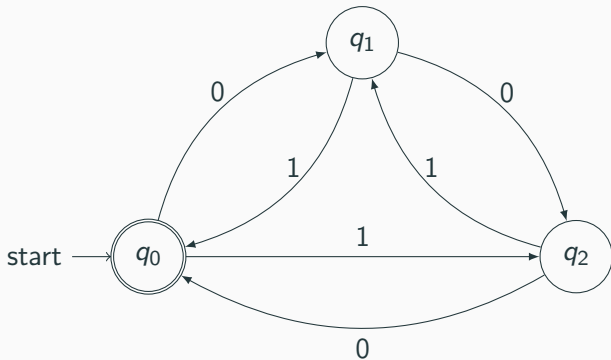


## State Removal method

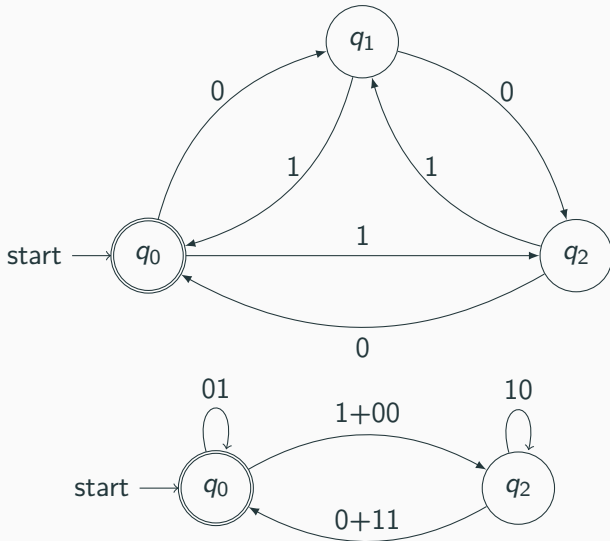
If  $q_1 = \delta(q_0, x)$  and  $q_2 = \delta(q_1, y)$

then  $q_2 = \delta(q_1, y) = \delta(\delta(q_0, x), y) = \delta(q_0, xy)$

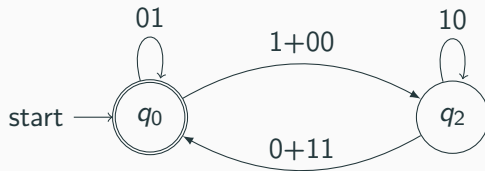
## State Removal method - Example



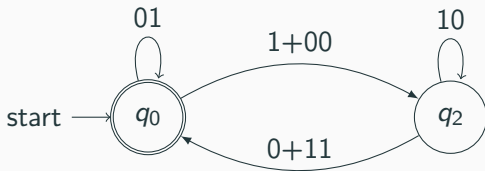
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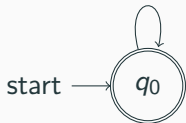
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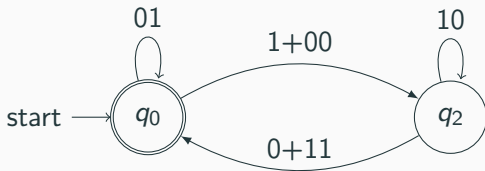
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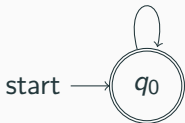
$$01 + (1 + 00)(10)^*(0 + 11)$$



## State Removal method - Example



$$01 + (1 + 00)(10)^*(0 + 11)$$



$$(01 + (1 + 00)(10)^*(0 + 11))^*$$

# Algebraic method

Transition functions are themselves algebraic expressions!

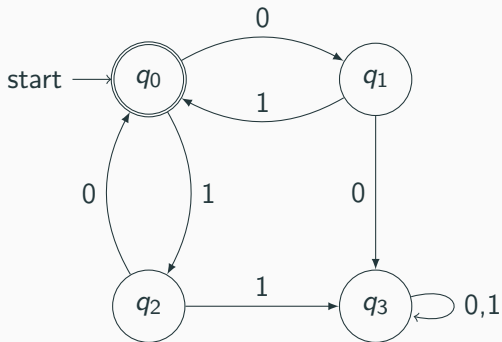
Demarcate states as variables.

Can rewrite  $q_1 = \delta(q_0, x)$  as  $q_1 = q_0x$

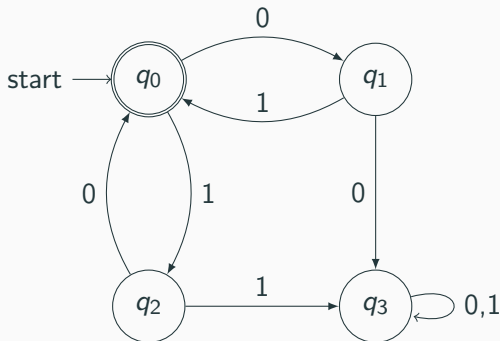
Solve for accepting state.



## Algebraic method - Example



## Algebraic method - Example



- $q_0 = \epsilon + q_1 1 + q_2 0$
- $q_1 = q_0 0$
- $q_2 = q_0 1$
- $q_3 = q_1 0 + q_2 1 + q_3(0 + 1)$

## Algebraic method - Example

- $q_0 = \epsilon + q_1 1 + q_2 0$
- $q_1 = q_0 0$
- $q_2 = q_0 1$
- $q_3 = q_1 0 + q_2 1 + q_3(0 + 1)$

Now we simply solve the system of equations for  $q_0$ :

- $q_0 = \epsilon + q_1 1 + q_2 0$
- $q_0 = \epsilon + q_0 01 + q_0 10$
- $q_0 = \epsilon + q_0(01 + 10)$

**Theorem (Arden's Theorem)**

$$R = Q + RP = QP^*$$

## Algebraic method - Example

- $q_0 = \epsilon + q_1 1 + q_2 0$
- $q_1 = q_0 0$
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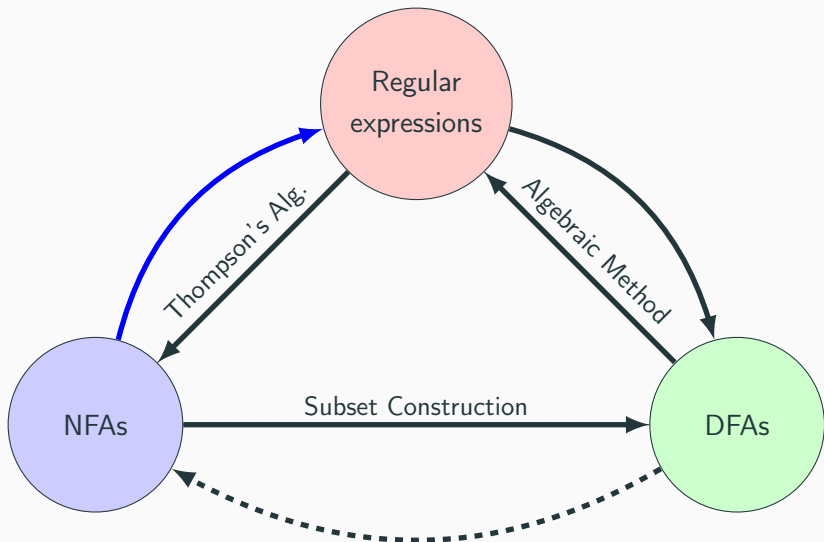
Now we simply solve the system of equations for  $q_0$ :

- $q_0 = \epsilon + q_1 1 + q_2 0$
- $q_0 = \epsilon + q_0 01 + q_0 10$
- $q_0 = \epsilon + q_0(01 + 10)$
- $q_0 = \epsilon(01 + 10)^* = (01 + 10)^*$

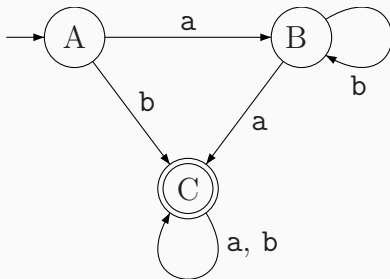
# Converting NFAs to Regular Expression

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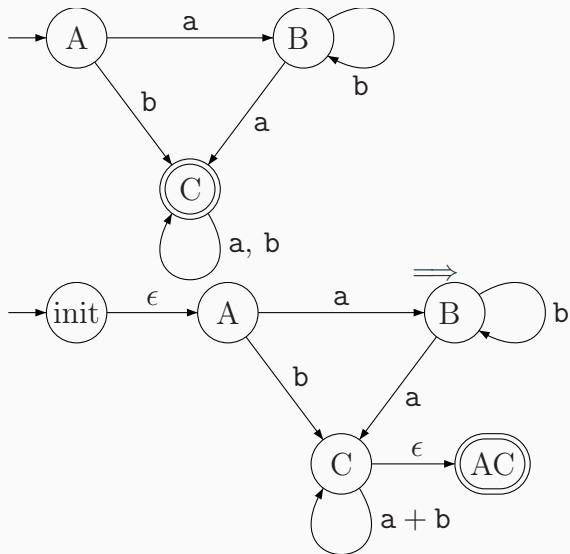
# Proving equivalence



## Stage 0: Input

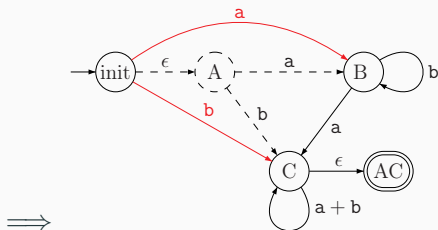


## Stage 1: Normalizing

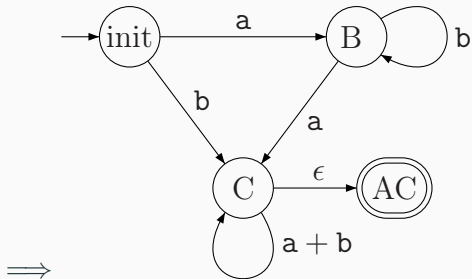




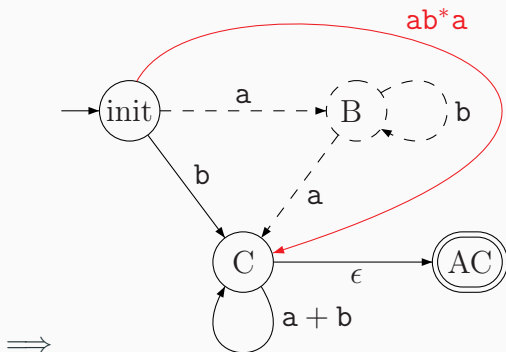
## Stage 2: Remove state A



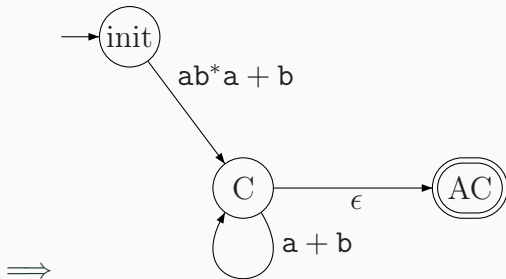
## Stage 4: Redrawn without old edges



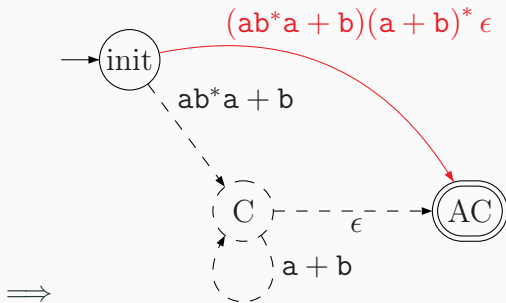
## Stage 4: Removing B



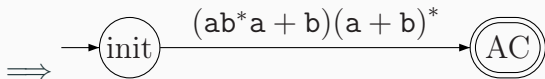
## Stage 5: Redraw



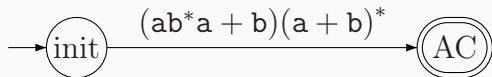
## Stage 6: Removing C



## Stage 7: Redraw



## Stage 8: Extract regular expression



Thus, this automata is equivalent to the regular expression

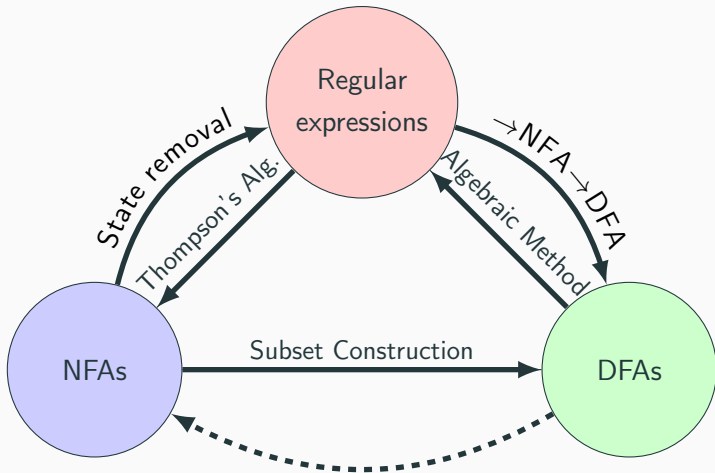
$$(ab^*a + b)(a + b)^*.$$

## Conclusion

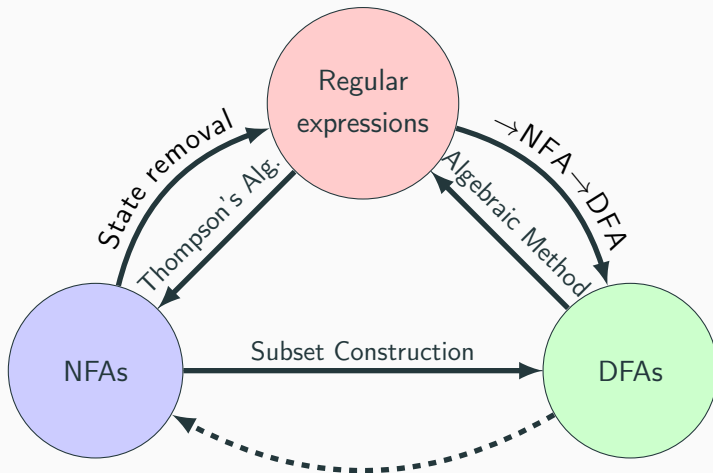
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# Proving equivalence



# Proving equivalence



But what about the expressions that aren't regular?! See on  
Thursday