

# Homework 2

## Question 1:

Given  $w \in \Sigma^*$ , we can represent the number in the first row of  $w$  as  $w_{up}$ , the number of the second row as  $w_{dw}$ . Then we can define  $D$  as  $\forall w \in D, w_{up} > w_{dw}$  (**Def of D**)

To show  $D$  is regular, we can construct an DFA  $M = (\Sigma, Q, s, A, \delta)$  that accept strings in  $D$ .

$$\begin{aligned}\Sigma &= \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\} \\ Q &= \{q | q \in \mathbb{R}\} \\ A &= \{q \in Q | q > 0\} \\ s &= 0 \\ \delta : \Sigma &\rightarrow \mathbb{R},\end{aligned}\tag{1}$$

$$\delta(a) = a_{up} - a_{dw} = \begin{cases} 1 & , \text{if } a = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ -1 & , \text{if } a = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \\ 0 & , \text{otherwise} \end{cases}$$

We use  $w[i]$  to represent the  $i$ -th digit in  $w$ , counting from left to right. Since we need to compare two rows' value digit by digit, and the  $i$ -th digit is 2 times larger than the  $(i + 1)$ -th digit, we construct our extended transition function as:

$$\delta^*(w) = \begin{cases} 2^{|x|}(\delta(a)) + \delta^*(x) & , \text{if } w = a \cdot x \\ 0 & , \text{if } w = \epsilon \end{cases}\tag{2}$$

Since any binary number  $a_1 a_2 \dots a_n = \sum_i 2^{n-i} a_i$  (we denote this summation as **Bi\_sum**), and  $\sum_{i=1}^{|x|} x = \sum_{i=1}^0 x = 0$  (one of properties of summation), we can merge and fully expand  $\delta^*(w)$  as:

$$\delta^*(w) = \sum_{i=1}^{|w|} 2^{|w|-i} (\delta(w[i]))\tag{3}$$

Proof  $\forall w \in \Sigma^*, \delta^*(w) \in A \iff w \in D$

- $\Rightarrow \delta^*(w) \in A$ . Then we have:

$$\begin{aligned}\delta^*(w) &= \sum_{i=1}^{|w|} 2^{|w|-i} (\delta(w[i])) && \text{Def of } \delta^* \\ &= \sum_{i=1}^{|w|} 2^{|w|-i} (w[i]_{up} - w[i]_{dw}) && \text{Def of } \delta \\ &= \sum_{i=1}^{|w|} 2^{|w|-i} (w[i]_{up}) - \sum_{i=1}^{|w|} 2^{|w|-i} (w[i]_{dw}) && \text{Sumation Property} \\ &= w_{up} - w_{dw} && \text{Def of Bi\_sum}\end{aligned}\tag{4}$$

$$\begin{aligned}\delta^*(w) \in A &\Rightarrow (w_{up} - w_{dw}) > 0 && \text{Def of } A \\ &\Rightarrow w_{up} > w_{dw} \\ &\Rightarrow w \in D && \text{Def of } D\end{aligned}$$

Thus if  $\delta^*(w) \in A \Rightarrow w \in D$

•  $\Leftarrow w \in D$ :

$$\begin{aligned}
 w \in D &\Rightarrow w_{up} > w_{dw} && \text{Def of D} \\
 &\Rightarrow w_{up} - w_{dw} > 0 \\
 &\Rightarrow \sum_{i=1}^{|w|} 2^{|w|-i} (w[i]_{up}) - \sum_{i=1}^{|w|} 2^{|w|-i} (w[i]_{dw}) > 0 && \text{Def of Bi\_sum} \\
 &\Rightarrow \sum_{i=1}^{|w|} 2^{|w|-i} (w[i]_{up} - w[i]_{dw}) > 0 && \text{Sumation Property} \\
 &\Rightarrow \sum_{i=1}^{|w|} 2^{|w|-i} (\delta(w[i])) > 0 && \text{Def of } \delta \\
 &\Rightarrow \delta^*(w) > 0 && \text{Def of } \delta^* \\
 &\Rightarrow \delta^*(w) \in A && \text{Def of A}
 \end{aligned} \tag{5}$$

Thus it is true that  $w \in D \Rightarrow \delta^*(w) \in A$

Therefore, it is true that  $\forall w \in \Sigma^*, \delta^*(w) \in A \iff w \in D$ . So D can be accepted by DFA  $M = (\Sigma, Q, s, A, \delta)$ . According to Kleene's Theorem, D is regular.

## Question 2

- **Part a:**

We use  $(w, s)$  to represent the current output string  $w$  and current state  $s$ , the new output character will be added to the right side of  $w$ . The character shown above the arrow is input character, the transition rule applied to the input is shown below the arrow. Then we can have:

- input **aaca**:

$$(\epsilon, n_0) \xrightarrow[a:b]{a} (b, n_0) \xrightarrow[a:b]{a} (bb, n_0) \xrightarrow[c:a]{c} (bba, n_1) \xrightarrow[a:a]{a} (bbaa, n_1) \quad (6)$$

- input **cbbc**:

$$(\epsilon, n_0) \xrightarrow[c:a]{c} (a, n_1) \xrightarrow[b:b]{b} (ab, n_0) \xrightarrow[b:c]{b} (abc, n_0) \xrightarrow[c:a]{c} (abca, n_1) \quad (7)$$

- input **bcba**:

$$(\epsilon, n_0) \xrightarrow[b:c]{b} (c, n_0) \xrightarrow[c:a]{c} (ca, n_1) \xrightarrow[b:b]{b} (cab, n_0) \xrightarrow[a:b]{a} (cabb, n_0) \quad (8)$$

- input **acbbca**:

$$(\epsilon, n_0) \xrightarrow[a:b]{a} (b, n_0) \xrightarrow[c:a]{c} (ba, n_1) \xrightarrow[b:b]{b} (bab, n_0) \xrightarrow[b:c]{b} (babcb, n_0) \xrightarrow[c:a]{c} (babca, n_1) \xrightarrow[a:a]{a} (babcaa, n_1) \quad (9)$$

- **Part b:**

$$FST_1 = (\Sigma_1, \Gamma_1, Q_1, s, \delta_1)$$

$$\begin{cases} \Sigma_1 : \text{input alphabet} \\ \Gamma_1 : \text{output alphabet} \\ Q_1 : \text{set of states} \\ s : \text{starting state, } s \in Q \\ \delta_1 : Q_1 \times \Sigma_1 \rightarrow Q_1 \times \Gamma_1 \end{cases} \quad (10)$$

- **Part c:**

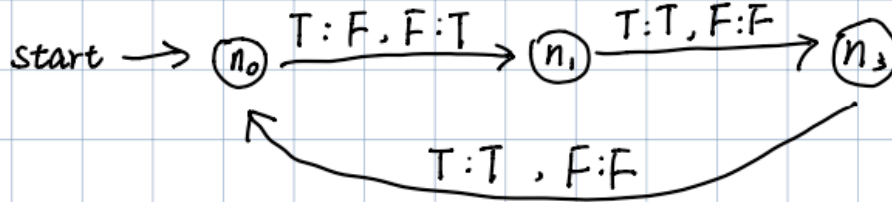
For  $FST_0$ , we set the input alphabet as  $\Sigma_0$ , output alphabet as  $\Gamma_0$ , set of state as  $Q_0$ , the initial state as  $s$

$$FST_0 = (\Sigma_0, \Gamma_0, Q_0, s, \delta_0)$$

$$\Rightarrow \left\{ \begin{array}{l} \Sigma_0 = \{a, b, c\} \\ \Gamma_0 = \{a, b, c\} \\ Q_0 = \{n_0, n_1\} \\ s = n_0 \\ \delta_0 : Q_0 \times \Sigma_0 \rightarrow Q_0 \times \Gamma_0 \\ \delta_0(q, x) = \begin{cases} (n_0, b) & , \text{ if } (q, x) = (n_0, a) \\ (n_0, c) & , \text{ if } (q, x) = (n_0, b) \\ (n_1, a) & , \text{ if } (q, x) = (n_0, c) \\ (n_1, a) & , \text{ if } (q, x) = (n_1, a) \\ (n_1, c) & , \text{ if } (q, x) = (n_1, c) \\ (n_0, b) & , \text{ if } (q, x) = (n_1, b) \end{cases} \end{array} \right. \quad (11)$$

- Part d:

(d)



There are three states because it helps me find indices divisible by 3, and  $n_0$  is the invert state. Other states will not change the character.

### Question 3

- Part a:

3. (a) Let  $M = (\Sigma, Q, s, A, \delta)$  be a DFA that accepts  $L$ . We construct an NFA  $M'$  that reads its input string  $w$  and simulates the original DFA  $M$  reading the input string  $ww^R$ , and it accepts  $\text{palin}(L)$ . Let  $h = \delta^*(s, w)$  be the state that  $M$  will reach after reading input  $w$ .  $M'$  includes a special start state  $s'$  with  $\epsilon$ -transitions to every double of the form  $(s, q)$ , where  $q \in A$ . Define  $M' = (\Sigma, Q', s', A', \delta')$  as follows.

$$Q' = (Q \times Q) \cup \{s'\}$$

$$A' = \{(h, h) \mid h \in Q\}$$

$$\delta'(s', \epsilon) = \{(s, q) \mid q \in A\}$$

$$\delta'(s', a) = \emptyset \quad \forall a \in \Sigma$$

$$\delta'((p, q), \epsilon) = \emptyset \quad \forall p, q \in Q$$

$$\delta'((p, q), a) = \{(\delta(p, a), q_1) \mid q_1 \in \delta(q, a)\} \quad \forall p, q \in Q \text{ and } a \in \Sigma$$

Because  $\text{palin}(L)$  has an NFA  $M'$ , so  $\text{palin}(L)$  is regular.

Because the length of  $w$  and  $w^R$  are the same, and we can start from the start state of  $M$  and the accepting state of  $M$  simultaneously to find the middle state of  $M$ . Thus, we use the cross product of two states in  $M$  as the states in  $M'$ . The start state of the first state of  $Q'$  is the start state of  $M$  and it goes to the middle state of  $M$ . And the start state of the second state of  $Q'$  is the accepting state of  $M$  and it goes to the middle state as well. The cross product of the two same middle states is the accepting state of  $M'$ .

- Part b:

(b) Let  $M = (\Sigma, Q, s, A, \delta)$  be a DFA that accepts  $L$ . We construct an NFA  $M'$  that reads its input string  $y$  and simulates the original DFA  $M$  reading the input string  $yx$ , and it accepts  $\text{cycle}(L)$ . Let  $h = \delta^*(s, y)$  be the state that  $M$  will reach after reading input  $y$ .  $M'$  includes a special start state  $s''$  with  $\epsilon$ -transitions to  $h$  in  $M$ . Define  $M' = (\Sigma, Q', s', A', \delta')$  as follows.

$Q' = Q \times \{s''\}$	
$s' = h$	$\forall h \in Q$
$A' = h$	$\forall h \in Q$
$\delta'(s'', \epsilon) = h$	$\forall h \in Q$
$\delta'(s'', a) = \emptyset$	$\forall a \in \Sigma$
$\delta'(q, \epsilon) = \emptyset$	$\forall q \in Q \setminus A$
$\delta'(q, \epsilon) = p$	$\forall q \in A \text{ and } p \in S$
$\delta'(q, a) = \emptyset$	$\forall q \in A \text{ and } a \in \Sigma$
$\delta'(q, a) = \delta(q, a)$	$\forall q \in Q \setminus A \text{ and } a \in \Sigma$

Because  $\text{cycle}(L)$  has an NFA  $M'$ , so  $\text{cycle}(L)$  is regular.

To distinguish  $x$  and  $y$ , we need to the break point between them, and  $h$  is the break point. And then we need to switch their positions. After that, the start state and end state of  $M'$  are both the break point. Then, the break point of  $M'$  is from the accepting state of  $M$  to the start state of  $M$ . Thus, for the transition function, when we find the accepting state of  $M$ , we need to take a free step to reach the start state of  $M$ . That's how  $yx$  becomes  $xy$ .



- Part c:

(c) Let  $M = (\Sigma, Q, s, A, \delta)$  be a DFA that accepts  $L$ .

We construct an NFA  $M'$  that reads its input string  $x$  and simulates the original DFA  $M$  reading the input string  $y$ , and it accepts  $\text{subseq}(L)$ .

$M'$  includes a special start state  $s'$  with  $\epsilon$ -transitions to  $A$  in  $M$ .

Define  $M' = (\Sigma, Q', s', A', \delta')$  as follows.

$$Q' = Q \times \{s'\}$$

$$A' = A$$

$$\delta'(s', \epsilon) = A$$

$$\delta'(s', a) = \emptyset \quad \forall a \in \Sigma$$

$$\delta'(q, \epsilon) = \delta(q, a) \quad \forall a \in \Sigma \text{ and } q \in Q$$

$$\delta'(q, a) = \delta(q, a)$$

Because  $\text{subseq}(L)$  has an NFA  $M'$ , so  $\text{subseq}(L)$  is regular.

$$\rightarrow \{ \delta(q, w) \mid \forall w \in \Sigma^* \}$$

To use the subsequence in  $M'$  to simulate the full input in  $M$ , we need to skip some characters. Thus, we have transition function  $\delta'(q, \epsilon) = \delta(q, a)$ , then we can have free steps to skip some characters.