

# MATH2040C Homework 4

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## 1 Section 5.1, Q2(e)

Given that  $\beta = \{1 - x + x^3, 1 + x^2, 1, x + x^2\}$ .

And note that  $T(1 - x + x^3) = -1 + x - x^3$ .  $T(1 + x^2) = -x - x^2 + x^3$ .  $T(1) = x^2$ .  $T(x + x^2) = -x - x^2$ .

Hence  $T(\beta) = \{-1 + x - x^3, -x - x^2 + x^3, x^2, -x - x^2\}$ .

$$[T]_{\beta} = \begin{pmatrix} -1 & 1 & 0 & 0 \\ 0 & -1 & 1 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}.$$

Suppose  $\beta$  is containing  $T$ 's eigenvectors, then  $\exists \lambda \in F$  such that

$$T(1 + x^2) = \lambda(1 + x^2).$$

Then  $\lambda + \lambda x^2 = -x - x^2 + x^3$ . Note that the degree of them do not equal in any sense. Hence  $\beta$  is not a basis consisting of eigenvectors of  $T$ .

## 2 Section 5.1, Q2(f)

Given that  $\beta = \left\{ \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 2 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 2 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & 2 \end{pmatrix} \right\}$ .

Note that  $T \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} -3 & 0 \\ -3 & 0 \end{pmatrix} = -3 \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}$ ,

$$T \begin{pmatrix} -1 & 2 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} -1 & 2 \\ 0 & 0 \end{pmatrix} = 1 \cdot \begin{pmatrix} -1 & 2 \\ 0 & 0 \end{pmatrix},$$

$$T \begin{pmatrix} 1 & 0 \\ 2 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 2 & 0 \end{pmatrix} = 1 \cdot \begin{pmatrix} 1 & 0 \\ 2 & 0 \end{pmatrix}, \text{ and}$$

$$T \begin{pmatrix} -1 & 0 \\ 0 & 2 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & 2 \end{pmatrix} = 1 \cdot \begin{pmatrix} -1 & 0 \\ 0 & 2 \end{pmatrix}.$$

Hence we deduce that  $\beta$  is a basis consisting of eigenvectors of  $T$ .

### 3 Section 5.1, Q3(d)

#### 3.1 (i)

Given that  $A = \begin{pmatrix} 2 & 0 & -1 \\ 4 & 1 & -4 \\ 2 & 0 & -1 \end{pmatrix}$ , then its characteristic polynomial is

$$f_A(t) = \det \begin{pmatrix} 2-t & 0 & -1 \\ 4 & 1-t & -4 \\ 2 & 0 & -1-t \end{pmatrix} = -t(t-1)^2.$$

Observe the  $f_A(t)$ 's zeros, we have  $A$  should have 2 eigenvalues: 1 and 0.

#### 3.2 (ii)

For eigenvalue 1, the corresponding eigenvectors should be in the span of set

$$\left\{ \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right\}.$$

For eigenvalue 0, the corresponding eigenvectors should be in the span of set

$$\left\{ \begin{pmatrix} 1 \\ 4 \\ 2 \end{pmatrix} \right\}.$$

#### 3.3 (iii)

In this case, the  $n = 3$ ,  $F = \mathbb{R}$ . So  $F^3 = \mathbb{R}^3$ .

Note that  $\left\{ \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 4 \\ 2 \end{pmatrix} \right\}$  is a 3-linear-independent set. Hence it is a basis of  $\mathbb{R}^3$ .

And by our conclusion above, these 3 vectors are eigenvectors of  $A$ .

#### 3.4 (iv)

Let  $Q = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 4 \\ 1 & 0 & 2 \end{pmatrix}$ . Note that  $Q$  is invertible and  $Q^{-1} = \begin{pmatrix} 2 & 0 & -1 \\ 4 & 1 & -4 \\ -1 & 0 & 1 \end{pmatrix}$ .

Note that

$$Q^{-1}AQ = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

#### 4 Section 5.1, Q4(h)

Let  $\beta$  be the standard basis. Note that  $[T]_\beta = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$ . By extracting its characteristic polynomial, it is

$$f_T(t) = (t - 1)^3(t + 1) = 0.$$

And note that their corresponding eigenvectors to be  $\left\{ \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \\ -1 \end{pmatrix} \right\}$ .

Note that by the diagonalizability of  $[T]_\beta$ , (for its every eigenvalue: 1 and -1: algebraic multiplicity equals geometric multiplicity) we have

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} = Q^{-1}[T]_\beta Q.$$

Where  $Q = \begin{pmatrix} 0 & 0 & -1 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & -1 \end{pmatrix}$ .

Regard  $Q$  as a change of basis matrix from another basis  $\gamma$  to our known standard basis  $\beta$ . Therefore,  $Q = [I]_\gamma^\beta$ .

Let  $\gamma = \{y_1, y_2, y_3, y_4\}$ . Therefore

$$[y_1, y_2, y_3, y_4]_\beta = \begin{pmatrix} 0 & 0 & -1 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & -1 \end{pmatrix}.$$

Hence,  $y_1 = \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix}$ ,  $y_2 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ ,  $y_3 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $y_4 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .

Note that  $[T]_\gamma = \begin{pmatrix} 0 & 0 & -1 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & -1 \end{pmatrix}$ . So  $\gamma$  is the ordered basis we need to find.

#### 5 Section 5.1, Q4(e)

Let  $\beta = \{1 + x, -3 - 13x + 4x^2, -3 + x\}$  be a ordered basis. Then

$$[T]_\beta = [4x + 4, 8x^2 - 26x - 6, 0]_\beta = \begin{pmatrix} 4 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Which is a diagonal matrix. Hence the  $\beta$  is what we want to find. And the eigenvalues of  $T$  are 4, 2 and 0, with corresponding eigenvectors elements of the ordered basis  $\beta$ .

## 6 Section 5.1, Q17

### 6.1 (a)

Note that for the identity matrix  $I$ ,  $T(I) = I = 1 \cdot I$ , hence 1 is a eigenvalue. Also note that for a matrix  $X$  with only 2 entries on the right top and left bottom being 1 and -1, then

$$T(X) = -X.$$

Hence -1 is a eigenvalue.

Suppose there exists some eigenvalue  $|\lambda| \neq 1$  such that

$$A^T = \lambda A.$$

Then we can deduce  $\lambda A^T = A = \lambda^2 A$ . Which implies  $(1 - \lambda^2)A = 0$ .

Because  $A$  is regarded as an eigenvector, hence it is not zero, so  $1 - \lambda^2$  must be 0. But other than 1 and -1, it cannot be 0.

Hence 1, -1 are the only eigenvalues of  $A$ .

### 6.2 (b)

For eigenvalue 1, the corresponding eigenvectors are all symmetric matrices.

For eigenvalue -1, the corresponding eigenvectors are all skew symmetric matrices.

### 6.3 (c)

Consider  $\gamma = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\}$ .

Then  $[T]_\gamma = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$ .

Note that

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} = Q^{-1}[T]_\gamma Q,$$

where  $Q = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & -1 \\ 1 & 0 & 0 & 0 \end{pmatrix}$ .

Where  $Q$  can be regarded as  $[I]_\beta^\gamma$ . Let  $\beta = \{v_1, v_2, v_3, v_4\}$ .

$$[I]_\beta^\gamma = [v_1, v_2, v_3, v_4]_\gamma = \left[ \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right].$$

Hence the  $\gamma$  is the basis we want.

## 6.4 (d)

Note that for 1 as an eigenvalue, its corresponding eigenvectors are

$$E_1 = \{e_{1ij}, i \leq j\}.$$

Where the  $e_{1ij}$  are defined as a matrix with i-j's slot to be 1 and j-i's slot also being 1, while others remains 0.

And for -1 as an eigenvalue, its corresponding eigenvectors are

$$E_{-1} = \{e_{2ij}, i < j\}.$$

Where  $e_{2ij}$  is defined as a matrix with i-j's slot being 1 and j-i slot being -1.

Then, take  $\gamma = \{e_{ij}, 1 \leq i, j \leq n\}$ . Take  $[E_1, E_{-1}]_\gamma$  as a change of order matrix.

If we regard the  $E_1, E_{-1}$  as a basis of  $M_{n \times n}(\mathbb{R})$ , then we can regard

$$[E_1, E_{-1}]_\gamma = [I(v_1), I(v_2), \dots, I(v_{n^2})]_\gamma.$$

Note that the eigenvectors inside  $E_1, E_{-1}$  are all linear independent, and there are  $\frac{n(n-1)}{2} + n + \frac{n(n-1)}{2} = n^2 = \dim M_{n \times n}(\mathbb{R})$ . Hence  $[E_1, E_{-1}]$  is a basis.

Than note that

$$\begin{pmatrix} \lambda_1 & \dots & \dots & 0 \\ 0 & \lambda_2 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & \dots & \dots & \lambda_n \end{pmatrix} = ([E_1, E_{-1}]_\beta^\gamma)^{-1} [T]_\gamma [E_1, E_{-1}]_\beta^\gamma$$

where  $|\lambda_i| = 1, \forall i$ .

Deifine the  $\beta = \{v_1, v_2, \dots, v_{n^2}\} = \{\text{every column of } E_1, E_{-1}\}$ .

Hence the  $\beta$  is the basis we want to find.

## 7 Section 5.1, Q18

### 7.1 (a)

If  $A$  is not invertible, then let  $c = 0$ . We have

$$\det(A + cB) = \det A = 0.$$

Since  $A$  is singular as we supposed.

If  $A$  is invertible, then note that  $A = AB^{-1}B$ , then

$$\det(A + cB) = \det AB^{-1}B + cB = \det(AB^{-1} + cI) \det(B).$$

Note that  $\det(B) \neq 0$  and  $\det(AB^{-1} + cI) = 0$  if  $-c$  is the eigenvalue of  $AB^{-1}$ . And by the fundamantal theorem of algebra, there must exist such c.

Done.

## 7.2 (b)

Let  $A = \begin{pmatrix} 2 & 1 \\ 0 & 1 \end{pmatrix}$  and  $B = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ .

Note that  $\det(A) = 2 \neq 0$ . And  $\forall c \in \mathbb{C}$ ,

$$\det(A + cB) = \det\left(\begin{pmatrix} 2 & 1+c \\ 0 & 1 \end{pmatrix}\right) = 2 \neq 0.$$

Therefore,  $A$  and  $A + cB$  are both invertible.

## 8 Section 5.2, Q3(c)

Note that  $V = \mathbb{R}^3$ .

Define  $\gamma = \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right\}$  and hence  $T(\gamma) = \left\{ \begin{pmatrix} 0 \\ -1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 2 \end{pmatrix} \right\}$  And we can

see that the characteristic polynomial of  $[T]_\gamma = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 2 \end{pmatrix}$  is

$$f_T(t) = (2 - t)(t^2 + 1).$$

Which only have one eigenvalue in  $\mathbb{R}$ . Hence it only have not enough eigenvalues, hence not diagonalizable.

## 9 Section 5.2, Q8

$$1 \leq \gamma_T(\lambda_1) = \dim(E_{\lambda_1}) = n - 1.$$

$$1 \leq \gamma_T(\lambda_2) = \dim(E_{\lambda_2}).$$

Take  $n - 1$  orthogonal and eigenvectors from  $E_{\lambda_1}$  denote them as  $v_1, v_2, \dots, v_{n-1}$ .

And then take 1 eigenvector from  $E_{\lambda_2}$ , make it orthogonal to  $v_1, v_2, \dots, v_{n-1}$  and denote it as  $w$ .

Consider  $Q = (v_1 \ v_2 \ \dots \ v_n \ w)$ . Note that  $Q^{-1} = Q^T$ .

Because  $Q^T Q = \begin{pmatrix} v_1 \\ v_2 \\ \dots \\ v_n \\ w \end{pmatrix} (v_1 \ v_2 \ \dots \ v_n \ w) = I$ . Because every  $v_i, w$  are orthogonal.

Then, note that

$$Q^{-1} A Q = Q^T [\lambda_1 v_1, \lambda_1 v_2, \dots, \lambda_1 v_{n-1}, \lambda_2 w] = \begin{pmatrix} v_1 \\ v_2 \\ \dots \\ v_n \\ w \end{pmatrix} [\lambda_1 v_1, \lambda_1 v_2, \dots, \lambda_1 v_{n-1}, \lambda_2 w]$$

Which is that  $Q^{-1}AQ = \begin{pmatrix} \lambda_1 & 0 & \dots & 0 \\ 0 & \lambda_1 & \dots & \dots \\ \dots & \dots & \dots & \dots \\ 0 & \dots & \dots & \lambda_2 \end{pmatrix}$ .

Therefore, A is diagonalizable.

## 10 Section 5.2, Q13

### 10.1 (a)

Consider matrix  $A = \begin{pmatrix} 1 & 2 \\ 1 & 0 \end{pmatrix}$ . We know that A has 2 eigenvalues 2 and -1, with corresponding eigenvectors  $\begin{pmatrix} 2 \\ 1 \end{pmatrix}$  and  $\begin{pmatrix} -1 \\ 1 \end{pmatrix}$ .

And  $A^T = \begin{pmatrix} 1 & 1 \\ 2 & 0 \end{pmatrix}$ , while having a eigenvalue 2, its corresponding eigenvector is  $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$ . So the eigenbasis  $E_2$  of  $A$  and  $A^T$  are not the same for sure.

### 10.2 (b)