

## Algorithms HW

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2. Express each of the following as a direct sum of cyclic modules.

- The quotient of  $\mathbb{Z}^2$  by the  $\mathbb{Z}$ -submodule spanned by the vector  $\begin{pmatrix} 18 \\ 30 \end{pmatrix}$ .
- The quotient of  $\mathbb{Z}[i]^3$  by the  $\mathbb{Z}[i]$ -submodule spanned by the vectors  $\begin{pmatrix} 2+2i \\ 8+6i \\ 6 \end{pmatrix}$  and  $\begin{pmatrix} 1+i \\ 7+3i \\ 3-3i \end{pmatrix}$ .
- The quotient of  $\mathbb{Q}[x]^2$  by the  $\mathbb{Q}[x]$ -submodule spanned by the vectors  $\begin{pmatrix} x^2-1 \\ x^3-x^2 \end{pmatrix}$ ,  $\begin{pmatrix} x^3+x^2-2x \\ x^4-2x^2+x \end{pmatrix}$  and  $\begin{pmatrix} x^4+x^3-x^2-1 \\ x^5-x^3 \end{pmatrix}$ .

I will outline the general procedure for how we decompose  $M$  a finitely generated module over a PID  $R$  into it's direct sum of cyclic modules.

Since  $M$  is finitely generated, say by  $n$  generators, then we have a surjection from the free module  $R^n$  into  $M$ ,  $f : R^n \twoheadrightarrow M$ . Moreover,  $\ker(f)$  is also finitely generated as a submodule of a finitely generated module over a Noetherian ring (since  $R$  is a PID). Let  $m := \text{rank } \ker(f)$  and then, by similar reasoning, we have another map given by the composition  $g : R^m \twoheadrightarrow \ker f \hookrightarrow R^n$ . Moreover, by the first isomorphism theorem of modules, we have that  $M \cong R^n / \text{im}(g) = \text{coker}(g)$ . And so, if we determine the  $\text{coker}(g)$  we have a representation of  $M$ .

Since  $g : R^m \rightarrow R^n$  we can represent it by a  $m \times n$  matrix  $A$ . Then, if we put  $A$  into Smith Normal Form (SNF) (which amounts to representing the same transformation under a change of basis of  $R^m$  and  $R^n$ ) then we can write  $M \cong \langle e_1, \dots, e_n \rangle / \langle d_1 e_1, \dots, d_k e_k \rangle$  where  $d_i$  are the Smith Normal Form entries, and where  $k \leq n$ .

With this procedure outlined, let me now actually answer the given questions lol.

- We have  $M = \mathbb{Z}^2 / \langle (18, 30) \rangle$  and the surjection  $f : \mathbb{Z}^2 \twoheadrightarrow M$  via the quotient map. Moreover, manifestly, we have  $\ker(f) = \langle (18, 30) \rangle$  and so we have a map  $g : \mathbb{Z} \rightarrow \mathbb{Z}^2$  via  $g(a) = a \cdot (18, 30)$ . We can represent  $g$  as the  $2 \times 1$  matrix  $A = [18, 30]^T$ . Let us now put  $A$  into Smith Normal Form. The SNF of  $A$  is of the form  $[d_1, 0]^T$  and we have that generally the first Smith factor  $d_1$  is the greatest common divisor of all the entries of  $A$ . That is  $A \sim [\gcd(18, 30), 0]^T = [6, 0]^T$ . And now we can write our

decomposition

$$M \cong \frac{\langle e_1, e_2 \rangle}{\langle 6e_1 \rangle} \cong \mathbb{Z}/6\mathbb{Z} \oplus \mathbb{Z}.$$

- Following in a similar fashion to part (a), we have a surjective map  $f : \mathbb{Z}[i]^3 \twoheadrightarrow M$  given by the quotient map. We have  $\ker f \cong \langle (2+2i, 8+6i, 6), (1+i, 7+3i, 3-3i) \rangle$  and then the matrix representing  $g : \mathbb{Z}[i]^2 \rightarrow \mathbb{Z}[i]^3$  is given by

$$A = \begin{bmatrix} 2+2i & 1+i \\ 8+6i & 7+3i \\ 6 & 3-3i \end{bmatrix}.$$

Whose SNF will be of the form

$$A \sim \begin{bmatrix} d_1 & 0 \\ 0 & d_2 \\ 0 & 0 \end{bmatrix}.$$

Once, again we can compute  $d_1 = \gcd(1+i, 2+2i, 8+6i, 7+3i, 6, 3-3i)$ . Notice that  $1+i$  is a Gaussian Prime (it has norm 2, and so it is straightforward to verify by enumeration of elements with smaller norm that it has no divisors other than 1 and itself). And so, if  $1+i$  divides every element in  $A$  then  $d_1 = 1+i$  otherwise  $d_1 = 1$ .

It turns out that  $1+i$  divides every element in  $A$ . I will only outline how I attempted to divide one element by  $1+i$ , because I am curious if there's a better method. But I will not write out the details of every check. Consider  $7+3i$ , we want to know if there's some Gaussian integer  $a$  such that  $a(1+i) = 7+3i$ . Notice that  $\|1+i\| = 2$  and  $\|7+3i\| = 58$ . And so any such  $a = x+yi$  must satisfy  $\|a\| = 29$ . Enumerating all the squares up to 100 gives us that we must have  $\|x\| = 4$  and  $\|y\| = 25$  or vice versa. Then, checking the four possibilities for  $x, y$  gives  $7+3i = (1+i) \cdot (5-2i)$ . And so,  $1+i$  is a divisor of  $7+3i$ . Using a similar method gives that  $1+i$  is a divisor of every element in  $A$  and so  $d_1 = 1+i$ . *oh, shit, what if there's a greater common divisor. nooo, since  $1+i$  is a Gaussian prime, the gcd of the whole list is already "bounded above" by this number.*

Now to compute  $d_2$  we have that the 2nd invariant factor of  $A$ , given by the gcd of all the  $2 \times 2$  minors of  $A$ , is equal to  $d_1 d_2$ . Computing all the  $2 \times 2$  minors of  $A$  gives

$$d_2 = \gcd(-24i, 6 - 6i, 6 + 6i).$$

Given the computations I already did for  $d_1$ , it is easy to write down a unique (up to units) factorization of each of the elements

$$6 + 6i = 6(1 + i) = 3(1 + i)^2(1 - i)$$

$$6 - 6i = 6(1 - i) = 3(1 - i)^2(1 + i)$$

$$-24i = -12(1 + i)^2,$$

And then we can inspect that  $d_2 = 1 + i$ . Quick question, I noticed that we can also write  $-24i = 12(1 - i)(-1 + i)$ , which would then imply that the gcd of these elements is  $(1 - i)$ . Although, of course,  $1 + i = i(1 - i)$ , and so is the gcd only unique up to units? (I suppose even in  $\mathbb{Z}$  it is true that the gcd is unique only up to  $\pm 1$ .)

To summarize, the SNF of  $A$  is given by

$$A \sim \begin{bmatrix} 1 + i & 0 \\ 0 & 1 + i \\ 0 & 0 \end{bmatrix}$$

And hence, our decomposition of  $M$  is given by

$$M \cong \frac{\langle e_1, e_2, e_3 \rangle}{\langle (1 + i)e_1, (1 + i)e_2 \rangle} \cong \mathbb{Z}[i]/(1 + i) \oplus \mathbb{Z}[i]/(1 + i) \oplus \mathbb{Z}[i].$$

Question for self, how can we tell that each of these factors is in fact cyclic? Is  $\mathbb{Z}[i]/(1 + i) \cong \mathbb{Z}$ ?

come back and do part 3 later

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4. (Aluffi Exercise VI.7.3) Show that two  $3 \times 3$  matrices are similar if and only if they have the same characteristic and minimal polynomials. Is this true for  $4 \times 4$  matrices?

Suppose we have two matrices  $A, B$  over a field (so that  $k[x]$  is a PID) have the same characteristic and minimal polynomials. To show that  $A \sim B$  we can show that they have the same rational canonical forms. Recall that the rational canonical form of a matrix is of the form

$$\begin{bmatrix} C_{f_1} & & \\ & C_{f_2} & \\ & & C_{f_3} \end{bmatrix},$$

where the  $C_{f_i}$  are companion matrices to the  $f_i$ . And the  $f_i$  are the invariant factors for the cyclic decomposition of the finitely generated  $k[x]$ -module induced by the  $k$ -linear transformation  $A$ , say. That is, we can show that  $A \sim B$  if we can show that they have the same invariant polynomials. In generality, since  $A$  and  $B$  are  $3 \times 3$  matrices, let us say that their characteristic polynomials are of degree 3. Let  $f_1, f_2, f_3$  be the invariant factors for  $A$  and let  $g_1, g_2, g_3$  be the invariant factors for  $B$ , where  $f_1 | f_2 | f_3$  and  $g_1 | g_2 | g_3$ .

Recall from Aluffi that the characteristic and minimal polynomials are related to the invariant factors by  $P_A = f_1 f_2 f_3$  and, since the minimal polynomial is defined to be the minimal degree polynomial dividing  $P_A$ ,  $m_A = f_1$ .

Since  $m_A = m_B$  we have  $f_1 = g_1 := k$ . Since  $k$  is in particular an integral domain we then have  $k(f_2 f_3 - g_2 g_3) = 0$ , and since  $k \neq 0$ ,  $f_2 f_3 = g_2 g_3$ . **Now how, from here, do we show that  $f_2 = g_2$  and  $f_3 = g_3$ ? probably also need to discuss the cases of the different degrees also**

**The following is the if direction.** On the other hand, suppose that  $A \sim B$ . Then  $A = CBC^{-1}$  for some invertible matrix  $C \in GL_3(k)$ . Note that conjugation by an invertible matrix amounts to a change of basis of the original transformation. Then  $CBC^{-1}$  has the same eigenvalues as  $B$  counted with multiplicity (although, it's eigenvectors must change under this change of basis). And so, since the characteristic polynomial of a linear transformation is determined by its eigenvalues with multiplicity,  $A = CBC^{-1}$  has the

same characteristic polynomial as  $B$ .

Now we claim that  $A$  and  $B$  also have the same minimal polynomial. *i think i might need to think about their jordan normal forms to understand this one*

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7. Let  $p$  be a prime number. How many conjugacy classes are there in  $GL_4(\mathbb{F}_p)$ ?  
[Hint: rational canonical form]

Recall that the conjugacy classes of  $GL_4(\mathbb{F}_p)$  are those matrices which are related to each other by conjugation. That is, the classes of similar matrices over  $GL_4(\mathbb{F}_p)$ . Recall that every similarity class of matrices has a unique rational canonical form. And so, if we can enumerate the different possible rational canonical forms we will have found the number of conjugacy classes  $GL_4(\mathbb{F}_p)$ .

Recall that the rational canonical form of a matrix is of the form

$$A = \begin{bmatrix} C_{f_1} & & & \\ & C_{f_2} & & \\ & & \dots & \\ & & & C_{f_k} \end{bmatrix},$$

where the  $C_{f_i}$  are the companion matrices of the invariant factors  $f_i$ . Recall that the invariant factors satisfy  $f_1 | f_2 | \dots | f_k$ . And so, we have cases given by increasing integer partitions of 4, which will correspond to the possible degrees of the  $f_i$ : 1, 1, 1, 1, 1, 1, 2, 2, 2, 1, 3, 4. This follows a degree  $n$  polynomial has an  $n \times n$  companion matrix and we have  $A$  is  $4 \times 4$ .

*1,1,1,1:* Let us start with the case where we have four invariant factors, all of which are degree 1. Since each  $f_i$  is degree 1 and monic, it follows that we must in fact have  $f_1 = f_2 = f_3 = f_4 =: f = x + a_0$ . In this case  $A$  takes the form

$$A = \begin{bmatrix} -a_0 & & & \\ & -a_0 & & \\ & & -a_0 & \\ & & & -a_0 \end{bmatrix}.$$

In principle, we have one such distinct rational canonical form matrix for each element of  $\mathbb{F}_p$ . However,  $A$  should be an element of  $GL_4(\mathbb{F}_p)$  we should restrict to those  $A$  which are invertible. In particular, we have  $\det(A) = (a_0)^4$  which is zero exactly when  $a_0 = 0$  since  $\mathbb{F}_p$  is in particular an integral domain. And so we have  $p - 1$  such rational canonical matrices of the above form.

1,1,2: We have three invariant factors  $f_1|f_2|f_3$ . However, since  $f_1|f_2$  and both are monic, we must have  $f_1 = f_2 = x + a_0$ . Moreover, since  $f_2|f_3$  we have  $f_3 = (x + a_0)(x + b_0) = x^2 + (a_0 + b_0)x + a_0b_0$ . Then rational canonical form matrix is given by

$$A = \begin{bmatrix} -a_0 & & & \\ & -a_0 & & \\ & & 0 & -a_0b_0 \\ & & 1 & -(a_0 + b_0) \end{bmatrix}.$$

In principle we have  $p$  choices for  $a_0$  and  $p$  choices for  $b_0$ , but we should restrict our choices to those which give invertible  $A$ . We have  $\det A = (-a_0)(-a_0)(a_0b_0) = -(a_0)^3b_0$ . And so we should exclude those choices with  $a_0 = 0$  or  $b_0 = 0$ . This gives  $(p-1)(p-1)$  rational canonical matrices of this form.

2,2: We consider two invariant factors  $f_1, f_2$  each of degree two. Since  $f_1|f_2$  and both are monic and of equal degree, we have  $f_1 = f_2 := f = x^2 + a_1x + a_0$ . We then have

$$A = \begin{bmatrix} 0 & -a_0 & 0 & 0 \\ 1 & -a_1 & 0 & 0 \\ 0 & 0 & 0 & -a_0 \\ 0 & 0 & 1 & -a_1 \end{bmatrix}.$$

Now we exclude those  $A$  which are non-invertible. We have  $\det(A) = (a_0)^2$ , and so we need to exclude the choices with  $a_0 = 0$ . Thus, we have  $p(p-1)$  rational canonical matrices of this form.

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