



# **SE240: Introduction to Database Systems**

**Lecture 01:  
Introduction**

# Overview

- **Database & Database Systems**
- Data Models
- Application & History

# What is a Database?

- A collection of data, typically describing the activities of one or more related organizations.  
*Handwritten notes: ② above 'data', 'just ②' above 'related', 'raw data' with an arrow pointing to 'related', and ① → ② to the right.*
- Models real-world enterprise
  - Entities
    - e.g. students, professors, courses, classrooms
  - Relationships between entities
    - e.g. student's enrollment in courses, professor teaching courses, and use of room for courses.

# What is a Database?

- Databases play a critical role in almost all areas
  - **Banking:** all transactions
  - **Airline:** reservation, schedules
  - **Universities:** registration, grades
  - **Sales:** customers, products, purchases
  - **Manufacturing:** production, inventory, orders, supply chain
  - **Human resources:** employee records, salaries, tax deductions

# What is a Database?

- A database can be of **any size** and of **varying complexity**.
  - For example, the list of names and address of friends
  - The book catalog of a large library may contain half a million records
  - A database of much greater size and complexity is maintained by the government to keep track of the tax information filed by taxpayers.

# What is a Database?

| Student Name  | ID     | Age | Gender | Entrance Year | Grade |
|---------------|--------|-----|--------|---------------|-------|
| Chan Mei Yee  | A34455 | 20  | F      | 1998          | A     |
| Lee Wai Man   | C23444 | 19  | M      | 1999          | B     |
| Wong Wing Nam | C73334 | 19  | M      | 2000          | C     |

- A **schema** is the *definition* of a database. It defines the *meaning* of data.
- An **instance** of a database is *the collection of data* in the database at a particular point of time (snapshot).
- For example, in the above, the schema is “*Student Name, ID, Age, Gender, Entrance Year, Grade*”. The remaining rows in the table make up an instance of the database.

# What is a DBMS?

- **DBMS – Database Management System**
- A DBMS is a collection of *software programs* to enable users to *create*, *maintain* and *utilize* a *database.*

# What is a DBMS?

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- DBMS
  - Insert records
  - Delete records
  - Update records
  - Query records



# What is a DBMS?

- Commercial DBMS

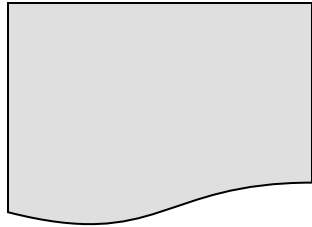
| Company   | Product               |
|-----------|-----------------------|
| Oracle    | Oracle 8i, 9i, 10g    |
| IBM       | DB2, Universal Server |
| Microsoft | Access, SQL Server    |
| Sybase    | Adaptive Server       |
| Informix  | Dynamic Server        |



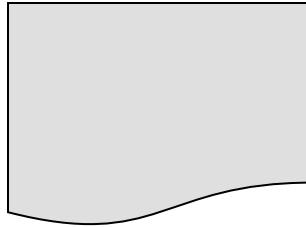
# Can we do without a DBMS?

- Sure! Start by storing the data in files:

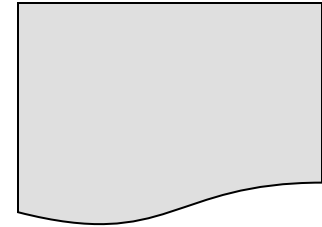
student.txt



course.txt



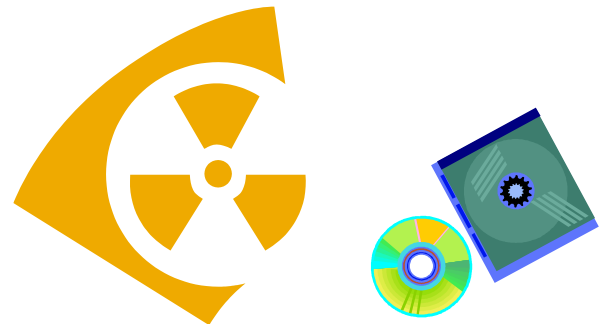
teacher.txt



- Now write C or Java programs to implement specific tasks...

# Why do we need a DBMS?

- To reduce application development time
- Suppose we are given a collection of raw files which occupy 500GB
- Need to store in disk or tape, and bring relevant parts into main memory for processing as needed.



# Why do we need a DBMS?

## 1. Data redundancy and inconsistency

- E.g., consider a bank application
  - *Address of a customer* in
    - the file of “saving-accounts” and
    - the file of “checking-accounts”



A good design of DBMS can avoid data redundancy and inconsistency.

# Why do we need a DBMS?

## 2. Difficulty in accessing data

- Need to write a *new program* to carry out each *new task*

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It is easy to obtain data with DBMS

# Why do we need a DBMS?

## 3. Integrity problems

- E.g., consider a bank application
  - The balance *cannot be* below \$1000
  - The day of a month *cannot exceed* 31



DBMS can check the integrity automatically

# Why do we need a DBMS?

## 4. Atomicity of updates

- E.g., consider a bank application
  - We want to transfer \$100 from account A to account B
  - Steps:
    - **Step 1:** We deduct \$100 from account A
    - **Step 2:** Then, we increment \$100 in account B
  - If the system *crashes* at Step 1, then Step 2 cannot be executed

DBMS makes sure that Step 1 and Step 2 can be executed together even with a crash (We call the execution is **atomic**.)

# Why do we need a DBMS?

## 5. Concurrent Access by multiple users

- Uncontrolled concurrent accesses can lead to inconsistencies
- E.g., consider a bank application
  - There is an account shared by 2 customers A and B
  - Customers A and B withdraw \$1000 concurrently

| A           | B           |
|-------------|-------------|
| Read 5000   |             |
| 5000 - 1000 | Read 5000   |
| Write 4000  | 5000 - 1000 |
|             | Write 4000  |

DBMS makes sure that the concurrent access cannot lead to this problem



# Why do we need a DBMS?

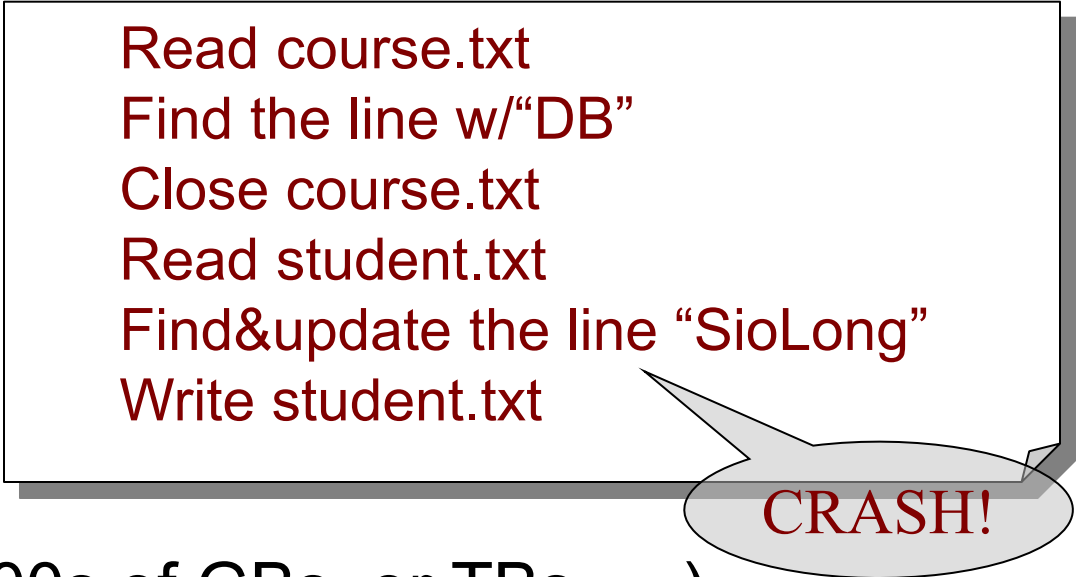
## 6. Security Problems

- E.g., consider a bank application
  - We do not want system programmers to have permissions to read some data  
(e.g., Andy Lau's saving account and Joey Yung's saving account)
- Need a lot of effort to re-write a program for this permission system

DBMS can enforce that different users have different permissions to access different parts of the data

# Other problems without an DBMS...

## 7. System crashes:



Read course.txt  
Find the line w/"DB"  
Close course.txt  
Read student.txt  
Find&update the line "SioLong"  
Write student.txt

CRASH!

## 8. Large data sets (100s of GBs, or TBs, ...)

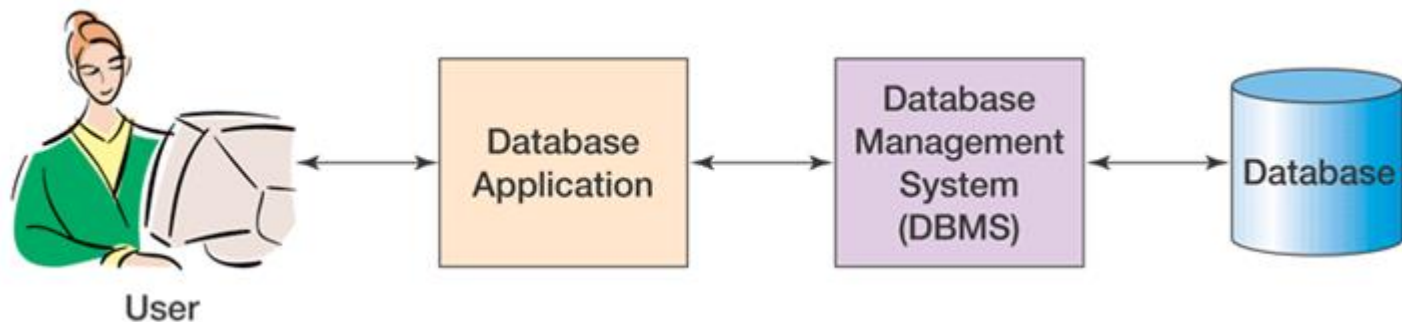
- No indices
  - Finding "SioLong" in huge flatfile is expensive
- Modifications intractable without better data structures

## 9. Application programming interface (API)?

- Interfaces, interoperability

# Advantages of DMBS

- With the use of DBMS, we have the following advantages
  - Data independence
  - Efficient data access
  - Data integrity and security
  - Data administration
  - Concurrent access and crash recovery
- **Overall:** Reduced application development time

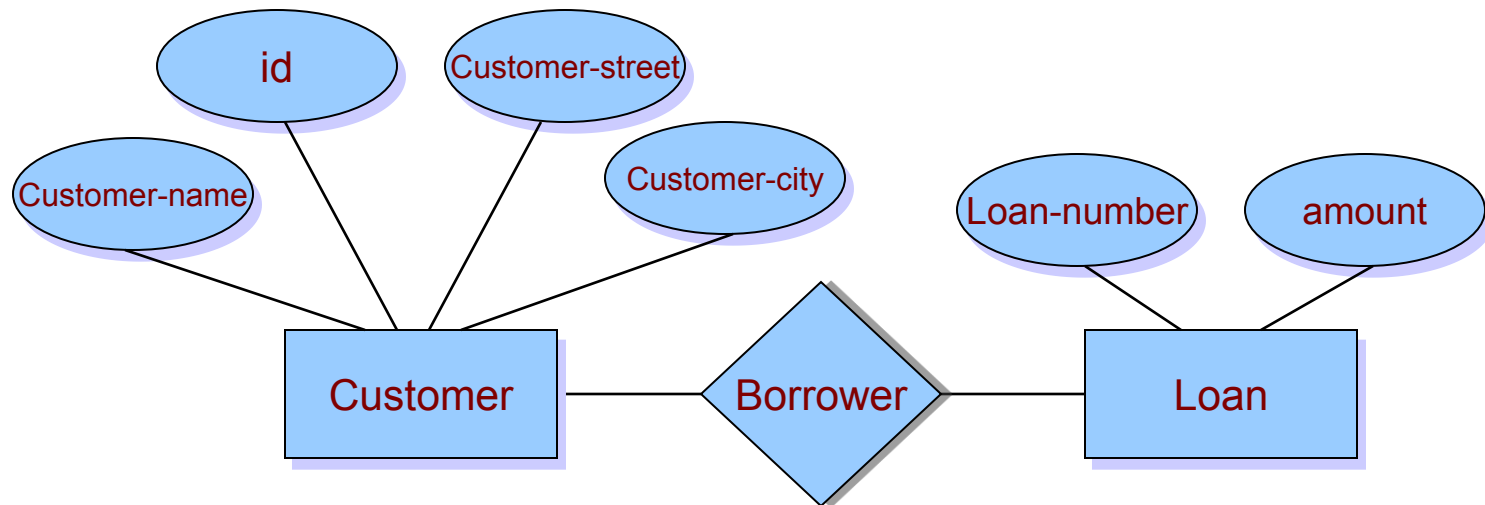


# Overview

- Database & Database Systems
- **Data Models**
- Application & History

# Data Models

- A data model is a collection of tools or concepts for describing data, the meaning of data, data relationships and data constraints.
- Object-based Logical Models
  - **Entity-Relationship Model (ER Model)**



# Data Models

- Record-based Logical Models
  - **Relational Model**

| Customer-Name | ID | customer-street | customer-city |
|---------------|----|-----------------|---------------|
|               |    |                 |               |
|               |    |                 |               |

Main concept: **relation**, basically a table with rows and columns. A column is also called a **field** or **attribute**

- Other models such as the **Network Model**, **Hierarchical Model**, **Objected-relational Model**

We will focus on the dominant ***Relational model***.

A description of data in terms of a data model is called a **schema**.

# Data Abstraction

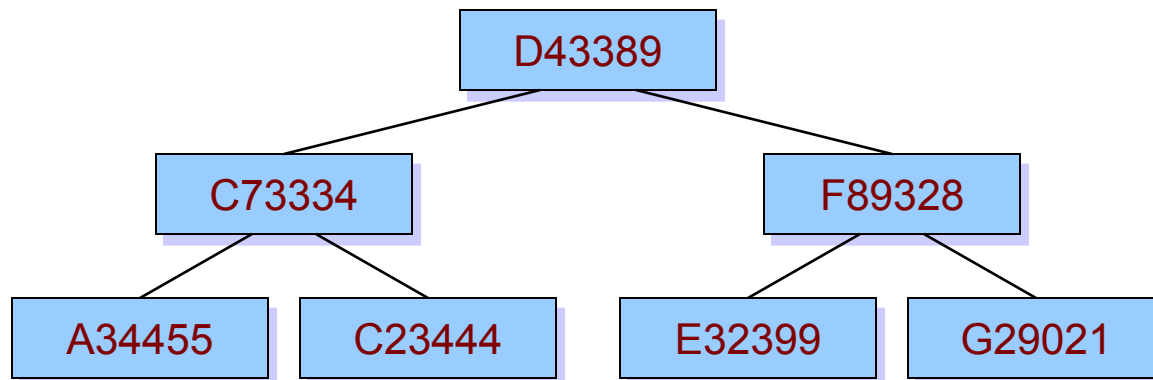
- Hide certain details of how data is stored and maintained
  - **Physical level:** how and where data are actually stored, low level data structures are specified at this level
  - **Conceptual level (logical level):** describes what data should be stored in the database, and relationship and semantics of the data
  - **View level:** Relevant partial view of the database to be particular type of users

# Data Abstraction

- **Conceptual Level**

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| Cheung Nam    | E32399 | 21  | F      | 1998          | B+    |
| Fung Yu Siu   | D43389 | 22  | M      | 1997          | B-    |
| Chau Man Yi   | G29021 | 18  | M      | 2000          | C     |
| Yeung Chi     | F89328 | 19  | F      | 1999          | B     |

- **Physical** arrangement of records by an index tree





# Database Languages

- **Data Definition Language (DDL)**
  - A language that specifies data schemas
- **Data Manipulation Language (DML)**
  - A language to facilitate the retrieval, update of data in the database
- For retrieval, we query the database with the **query language**, which is part of the DML

# What languages does the computer speak?

- Start with SQL DDL to *create tables*:

```
CREATE TABLE Students (  
    Name CHAR(30),  
    SSN CHAR(9) PRIMARY KEY NOT NULL,  
    Category CHAR(20),  
);
```

- Continue with SQL to *populate tables*:

```
INSERT INTO Students  
VALUES('Hillary', '123456789', 'undergraduate');
```

# Querying: Structured Query Language

- Find all the students who have taken CS101:

```
SELECT SSN
FROM    Takes
WHERE   CID='CS101' ;
```

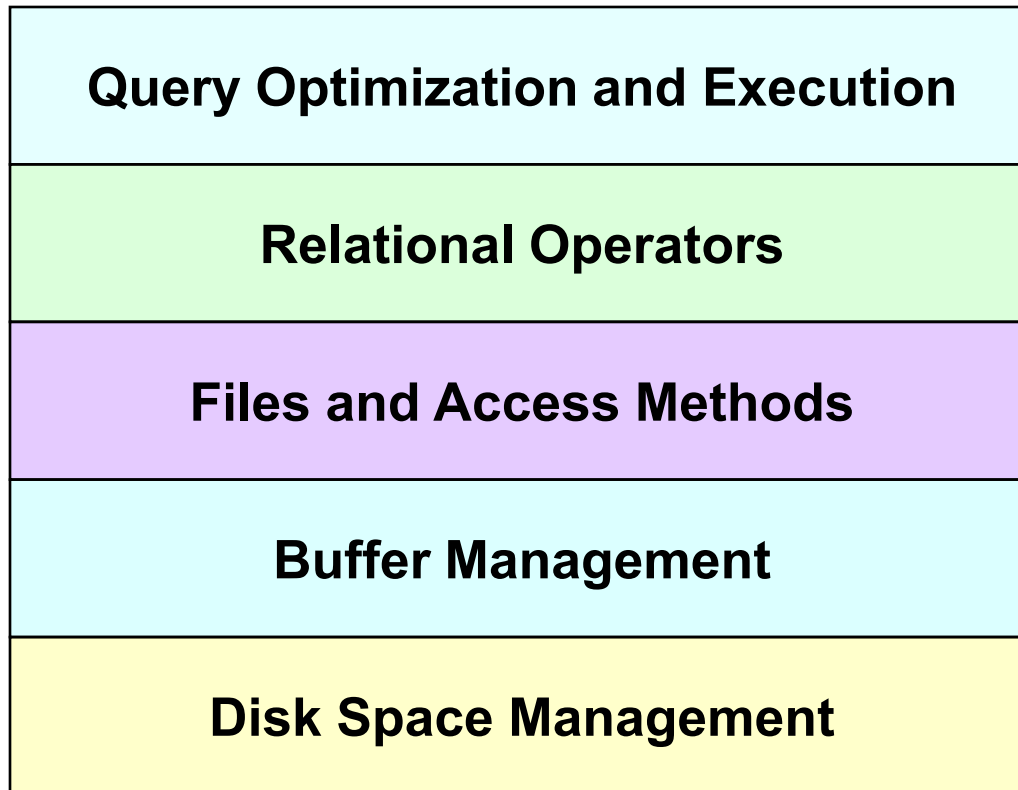
- Find all the students who CS101 *previously*:

```
SELECT SSN
FROM    Takes
WHERE   CID='CS101' AND Semester='2010' ;
```

- Find the students' *names*:

```
SELECT Name
FROM    Students, Takes
WHERE   Students.SSN = Takes.SSN AND
        CID='CS101' AND Semester='2010' ;
```

# Structure of a DBMS



# Overview

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# People who Deal With Databases

- **Database Administrator (DBA):** Person(s) who has central control over the database and is responsible for the following tasks:
  - Schema definition/modification
  - Storage structure definition/modification
  - Authorization of data access
  - Integrity constraint specification
  - Monitoring performance
  - Responding to changes in requirements

# People who Deal With Databases

- **Application Programmers**

- Embed DML calls in program written in a host language (e.g., Cobol, C, Java). (DML stands for data manipulation language)
- e.g., programs that generates payroll checks, transfer funds between accounts

- **Sophisticated Users**

- Form request in database query language

- **Naïve users**

- Invokes one of the permanent application programs that have been written previously
- e.g. transfer – transfer fund between accounts

# Types of Databases and Database Applications

- Traditional Applications:
  - Numeric and Textual Databases
- More Recent Applications:
  - Multimedia Databases
  - Geographic Information Systems (GIS)
  - Data Warehouses
  - Real-time and Active Databases
  - Many other applications



# History

- The first DBMS was designed by Bachman at GE in early 1960s
- In 1970 Codd at IBM proposed a new data representation framework called the **relational data model**.
- The SQL query for relational databases, developed as part of IBM's System R project, was standardized in the late 1980s.
- The current standard, SQL-92, was adopted by ANSI (American National Standards Institute) and ISO (International Standards Organization).

# History

- In late 1980s and 1990s, several vendors (e.g., IBM's DB2, Oracle 8) have extended their systems with the ability to store new data types such as images and text.
- Specialized systems developed for **data warehouses**, consolidating data from several databases.
- Entering the Internet Age, a new markup language **XML** is proposed for data access through a Web browser.
- As more and more data are collected, companies are also interested to **mine** useful information from their data.

# History of Database Processing

| Timeframe       | Technology   | Remarks  |
|-----------------|--|--|
| Pre-1968        | File Processing                                    | Predecessor of database processing. Data maintained in lists. Processing characteristics determined by common use of magnetic tape medium.   |
| 1968-1980       | Hierarchical and network models                    | Era of non-relational database processing. Prominent hierarchical data model was DL/I, part of IBM's first DBMS called IMS. Prominent network data model was CODASYL DBTG model; IDMS was most popular network DBMS.   |
| 1980 to present | Relational data model                              | Relational data model, first published in 1970; began to see commercial application in 1980. IBM endorsed it with DB2; other vendors followed by modifying their DBMS products or by creating new ones. Oracle achieved prominence. SQL became standard relational language. |
| 1982            | First microcomputer DBMS products                  | Ashton-Tate developed dBase products; Microrim created R:Base; Borland followed with Paradox.  |
| 1985            | Interest in object-oriented DBMS (OODBMS) develops | With advent of object-oriented programming, OODBMS were proposed. Little success commercially, primarily because advantages did not justify the cost of converting billions of bytes of organizations' data to new format. Under development today.                          |
| 1991            | Microsoft ships Access                             | Personal DBMS created as element of Windows. Gradually supplanted all other personal DBMS products.  |
| 1995            | First Internet database applications               | Databases become key component of Internet applications. Popularity of the Internet greatly increases need and demand for database expertise.  |
| 1997            | XML applied to database processing                 | Use of XML solves long-standing database problems. Major vendors begin to integrate XML into DBMS products.  |

# Summary

- What is a Database?
- What is a DBMS?
- Why do we need a DBMS?
- Data models, data abstraction and Data Independence
- DBMS languages and people with DBMS
- Read Chapter 1