## COMP5214 Proposal: Improving factual faithfulness for prompt-based knowledge-grounded dialog in few-shot settings\*

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## 1 Proposal

Knowledge-grounded dialogue agents are conversational systems that leverages external information provided by the user, such as a supporting document or a webpage, to generate a discussion on the given topic. All state-of-the-art and best performing agents are based on very large language models (LMs) pretrained on considerable amount of text data (Gopalakrishnan et al. (2019), Roller et al. (2021)), that are then further finetuned for the downstream task. By leveraging the internal representation of natural language that those models have learned during pre-training, their ability to generate near-fluent responses became much better. While those dialogue agents seem more natural and human-like in their response, previous work has shown that the generated text is often not faithful to the provided knowledge: in other words those large LMs often tend to hallucinate (Ji et al. (2022), Dziri et al. (2021b)). This raises serious concerns over safety and controllability of such agents as they may answer to questions counterfactually but in very convincing ways.

Previous research has been done in improving the factual faithfulness of such models mainly through a more controlled training setup (Rashkin et al., 2021), or using external knowledge graphs (Dziri et al., 2021a). However, those methods are very expensive both computationally and timewise. In this work, we explore a way to improve goundedness without any further training for the models, but by leveraging the few-shot capability of the larger LMs (Madotto et al., 2021). Recent work has shown that simply tuning the prompt or providing a few in-context examples can generate as good -if not better- predictions (Lester et al. (2021), Reynolds and McDonell (2021)). More specifically, we propose a framework for few-shot learning in knowledge-grounded dialog that aims at improving

the factual faithfulness of the answers by automatically crafting prompts that will guide the model in better understanding the concept of what an answer grounded in knowledge is. To do that, we will craft the few-shot examples carefully by using a mix of one extracted unanswerable question and two generated answerable questions according to the given context.

We will use T0\_3B (Sanh et al., 2021), a version of T5 (Raffel et al., 2020) with 3 billion parameters which has been trained to do zero-shot predictions. We will use examples from QA datasets containing unanswerable questions to craft the prompts, such as SQuAD 2.0 (Rajpurkar et al., 2018), TidyQA (Clark et al., 2020) or QuAC (Choi et al., 2018). As T0 has been pre-trained on the first two datasets, we might focus more on QuAC to avoid any biases the model might have picked up during pre-training. In our evaluation, we will focus on two points: if the answer is correct with BLEU score (Papineni et al., 2002), as we want to ensure that our method does not damage the performance of the model; and if the answer is more grounded in knowledge by using the  $Q^2$  metric (Honovich et al., 2021), a recently proposed metric using question generation and question answering to evaluate the factual faithfulness of a given answer. If we have enough time, we would like to try with one more generative model such as GPT-Neo (Black et al., 2021), and add human evaluation.

<sup>\*</sup>Not final.

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