1 Mathematical Preliminaries and Notation

Sets

A set is a collection of elements, without any structure other than membership.

The usual set operations are union (\cup) , intersection (\cap) , difference (-) and complementation defined as

$$S_{1} \cup S_{2} = \{ x : x \in S_{1} \text{ or } x \in S_{2} \},$$

$$S_{1} \cap S_{2} = \{ x : x \in S_{1} \text{ and } x \in S_{2} \},$$

$$S_{1} - S_{2} = \{ x : x \in S_{1} \text{ and } x \notin S_{2} \},$$

$$\overline{S} = \{ x : x \in U \text{ and } x \notin S \}.$$

DeMorgan's laws

$$\overline{S_1 \cup S_2} = \overline{S_1} \cap \overline{S_2},$$

$$\overline{S_1 \cap S_2} = \overline{S_1} \cup \overline{S_2}.$$

A set S_1 is said to be a **subset** of S if every element of S_1 is also an element of S. We write this as

$$S_1 \subset S$$
.

If $S_1 \subseteq S$, but S contains an element not in S_1 , we say that S_1 is a **proper subset** of S; we write this as

$$S_1 \subset S$$
.

If S_1 and S_2 have no common element, then the sets are said to be **disjoint**. We write this as

$$S_1 \cap S_2 = \emptyset$$
.

A set is said to be finite if it contains a **finite** number of elements; otherwise it is **infinite**. The set of all subsets of a set S is called the **powerset** of S and is denoted by S. If S is finite, then

$$|2^S| = 2^{|S|}$$
.

The sets whose elements are ordered sequences of elements from other sets are said to be the **Cartesian product** of other sets. For the Cartesian product of n sets, which itself is a set of ordered pairs, we write

$$S = S_1 \times S_2 \times \cdots \times S_n = \{ (x_1, x_2, \cdots, x_n) : x_i \in S_i \}.$$

Suppose that S_1, S_2, \dots, S_n are subsets of a given set S and that the following holds:

- 1. The subsets S_1, S_2, \dots, S_n are mutually disjoint;
- 2. $S_1 \cup S_2 \cup \cdots \cup S_n = S$;
- 3. none of the S_i is empty.

Then S_1, S_2, \dots, S_n is called a **partition** of S.

Functions and Relations

A function is a rule that assigns to elements of one set a unique element of another set. If f denotes a function, then the first set is called the **domain** of f, and the second set is its **range**. We write

$$f: S_1 \to S_2$$

to indicate that the domain of f is a subset of S_1 and that the range of f is a subset of S_2 . If the domain of f is all of S_1 , we say that f is a **total function** on S_1 ; otherwise f is said to be a **partial function**.

Let f(n) and g(n) be functions whose domain is a subset of the positive integers. We say that

1. f has **order at most** g if there exists a positive constant c such that for all sufficiently large n

$$f(n) \leqslant c|g(n)|$$
 $\xrightarrow{\text{expressed as}}$ $f(n) = O(g(n)).$

2. f has **order at least** g if there exists a positive constant c such that for all sufficiently large n

$$f(n) \geqslant c|g(n)|$$
 $\xrightarrow{\text{expressed as}}$ $f(n) = \Omega(g(n)).$

3. f and g have the **same order of magnitude** if there exist constant c_1 and c_2 such that for all sufficiently large n

$$|c_1|g(n)| \leq |f(n)| \leq |c_2|g(n)|$$
 $\xrightarrow{\text{expressed as}}$ $f(n) = \Theta(g(n)).$

Some functions can be represented by a set of pairs

$$\{(x_1,y_1),(x_2,y_2),\cdots\}.$$

where x_i is an element in the domain of the function, and y_i is the corresponding value in its range. For such a set to define a function, each x_i can occur at most once as the first element of a pair. If this is not satisfied, the set is called a **relation**.

Equivalence is a generalization of the concept of equality (identity). A relation denoted by \equiv is considered an equivalence if it satisfies three rules:

1. The reflexivity rule

$$x \equiv x \text{ for all } x;$$

2. The symmetry rule

if
$$x \equiv y$$
, then $y \equiv x$;

3. The transitivity rule

if
$$x \equiv y$$
 and $y \equiv z$, then $x \equiv z$.

If S is a set on which we have a defined equivalence relation, then we can use this equivalence to partition the set into **equivalence classes**.

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Graphs and Trees

A graph is a construct consisting of two finite sets, the set $V = \{v_1, v_2, \dots, v_n\}$ of **vertices** and the set $E = \{e_1, e_2, \dots, e_m\}$ of **edges**. Each edge is a pair of vertices from V, for instance

$$e_i = (v_i, v_k)$$

is an edge from v_j to v_k . We say that the edge e_i is an outgoing edge for v_j and an incoming edge for v_k .

- 1. A sequence of edges $(v_i, v_j), (v_j, v_k), \cdots, (v_m, v_n)$ is said to be a **walk** from v_i to v_n ;
- 2. The length of a walk is the total number of edges traversed in going from the initial vertex to the final one;
- 3. A walk in which no edge is repeated is said to be a **path**;
- 4. A path is **simple** if no vertex is repeated;
- 5. A walk from v_i to itself with no repeated edges is called a **cycle** with **base** v_i ;
- 6. An edge from a vertex to itself is called a **loop**.

A tree is a directed graph that has no cycles and that has one distinct vertex, called the **root**, such that there is exactly one path from the root to every other vertex.

- 1. The vertices which have no outgoing edges are called the **leaves** of the tree;
- 2. If there is an edge from v_i to v_j , then v_i is said to be the **parent** of v_j , and v_j the **child** of v_i ;
- 3. The **level** associated with each vertex is the number of edges in the path from the root to the vertex;
- 4. The **height** of the tree is the largest level number of any vertex;
- 5. In **ordered trees**, an ordering with the nodes is associated with the nodes at each level.

Proof Techniques

Proof by induction

Induction is a technique by which the truth of a number of statements can be inferred from the truth of a few specific instances. Suppose we have a sequence of statements P_1, P_2, \cdots we want to prove to be true. Furthermore, suppose also that the following holds:

- 1. For some $k \ge 1$, we know that P_1, P_2, \dots, P_k are true.
- 2. The problem is such that for any $n \ge k$, the truths of P_1, P_2, \dots, P_n imply the truth of P_{n+1} .

We can then use induction to show that every statement in this sequence is true.

- 1. The starting statements P_1, P_2, \dots, P_k are called the **basis** of the induction.
- 2. The step connecting P_n with P_{n+1} is called the **inductive step**.
- 3. The inductive step is generally made easier by the **inductive assumption** that P_1, P_2, \dots, P_n are true, then argue that the truth of these statements guarantees the truth of P_{n+1} .

Proof by contradiction

Suppose we want to prove that some statement P is true. We then assume, for the moment, that P is false and see where that assumption leads us. If we arrive at a conclusion that we know is incorrect, we can lay the blame on the starting assumption and conclude that P must be true.