# Solutions for excercise sheet 2

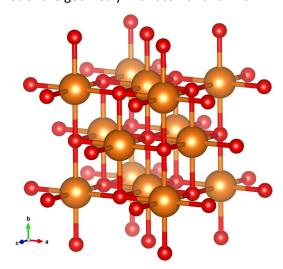
# **Exercise 1: Geometry optimization of MgO**

(a) For the conventional cell:

```
stress (Ry/bohr**3)
                                                         (kbar)
                                                                         0.03
          total
   0.00000018
               0.00000000
                            0.00000000
                                                                    0.00
                                                0.03
                                                          0.00
   0.00000000
               0.00000018
                            0.00000000
                                                0.00
                                                          0.03
                                                                    0.00
   0.00000000
               0.00000000
                            0.00000018
                                                          0.00
                                                                    0.03
     bfgs converged in 5 scf cycles and 4 bfgs steps
     (criteria: energy < 1.0E-04 Ry, force < 4.0E-04Ry/Bohr, cell < 5.0E-02kbar)
     End of BFGS Geometry Optimization
     Final enthalpy =
                        -606.0955898960 Ry
Begin final coordinates
     new unit-cell volume =
                              488.47164 a.u.^3 ( 72.38403 Ang^3 )
     density =
                   3.69804 g/cm^3
CELL_PARAMETERS (angstrom)
   4.167550922 0.000000000 0.0000000000
                4.167550922 0.000000000
0.000000000 4.167550922
   0.000000000
   0.000000000 0.000000000
ATOMIC_POSITIONS (crystal)
         0.000000000 0.000000000 -0.000000000
Mg
         0.500000000
                      0.500000000
                                    0.000000000
                      0.000000000
                                    0.500000000
Mg
         0.500000000
         0.000000000
                      0.500000000
                                    0.500000000
Mg
0
         0.500000000
                      0.000000000
                                    0.000000000
         0.000000000
                      0.500000000
                                    0.000000000
0
        0.000000000
                      0.000000000
                                    0.500000000
                      0.500000000
0
        0.500000000
                                    0.5000000000
End final coordinates
```

(the cell pressure does not converge further, which is ok in this case, because 0.03 kbar already is very small)

Plot of the geometry with atomsk and VESTA:



In order to get to plot above, one needs to tweak the calculations a bit: Atomsk apparently takes the lattice vectors from the geometry optimization and then, for some reason, multiplies them with the value of alat that is defined at the beginning of the QE output file. With the form of the QE input files that were provided, this means that the lattice vectors extracted by atomsk are about a factor 4 too large in this case, because Quantum Espresso initially sets alat to the lattice constant in Bohr. A work around is to explicitly give alat=celldm(1) in the input file as the conversion factor between Angstrom and Bohr, like in the following input file:

```
&control
    calculation = 'vc-relax'
    prefix='Mg0',
    tprnfor = .true.
    pseudo_dir = '../',
    outdir='TMP_DIR/'
    forc_conv_thr = 0.0004
    ibrav=0, nat= 8, ntyp= 2, celldm(1)=1.88973,
    ecutwfc =80.0, input_dft = 'lda'
 &electrons
    conv_thr = 1.0d-6
&ions
 ion_dynamics = 'bfgs'
&cell
 cell_dynamics = 'bfgs',
 press = 0.0,
press_conv_thr = 0.01
ATOMIC_SPECIES
 Mg 24.3 Mg.nc.UPF
     16.0
           O.nc.UPF
ATOMIC_POSITIONS (crystal)
        0.00000000 0.00000000 -0.000000000
Mg
         0.500000000 0.500000000 0.000000000
Mg
         0.500000000 0.000000000 0.500000000

        0.00000000
        0.50000000
        0.50000000

        0.50000000
        0.00000000
        0.00000000

Mg
0
         0.000000000 0.500000000 0.000000000
0
0
         0.00000000 0.000000000 0.500000000
         0.500000000 0.500000000 0.5000000000
K_POINTS {automatic}
888000
CELL_PARAMETERS
   4.167550922 0.000000000 0.0000000000
   0.000000000 4.167550922 0.000000000
   0.000000000 0.000000000 4.167550922
```

```
(b)
```

(c)

```
total
                 stress (Ry/bohr**3)
                                                         (kbar)
                                                                         0.00
   0.00000003 0.00000000
                            0.00000000
                                                                    0.00
                                                0.00
                                                          0.00
   0.00000000
               0.00000003
                            0.00000000
                                                0.00
                                                          0.00
                                                                    0.00
   0.00000000
               0.00000000
                            0.00000003
                                                0.00
                                                                    0.00
    BFGS Geometry Optimization
     bfgs converged in 1 scf cycles and 0 bfgs steps
     (criteria: energy < 1.0E-04 Ry, force < 4.0E-04Ry/Bohr, cell < 5.0E-02kbar)
     End of BFGS Geometry Optimization
     Final enthalpy =
                       -606.0955647332 Ry
Begin final coordinates
    new unit-cell volume = 488.47164 a.u.^3 ( 72.38403 Ang^3 )
                  3.69804 g/cm^3
     density =
CELL_PARAMETERS (angstrom)
   4.167550922 0.000000000
0.000000000 4.167550922
                              0.000000000
                              0.000000000
   0.000000000 0.000000000
                              4.167550922
ATOMIC_POSITIONS (crystal)
         0.000000000 0.000000000
                                    0.000000000
Mg
Mg
         0.500000000
                      0.500000000
                                    0.000000000
Mg
         0.500000000
                      0.000000000
                                    0.500000000
Mg
         0.000000000
                      0.500000000
                                    0.500000000
0
         0.500000000
                      0.000000000
                                    0.000000000
0
         0.000000000
                      0.500000000
                                    0.000000000
0
         0.000000000
                      0.000000000
                                    0.500000000
0
        0.500000000
                      0.500000000
                                    0.500000000
End final coordinates
     Computing stress (Cartesian axis) and pressure
          total stress (Ry/bohr**3)
                                                          (kbar)
                                                                    P= 0.02
   0.00000010 0.00000000 0.00000000
                                                 0.02
                                                                     0.00
                                                           0.00
   0.00000000 0.00000010
                             0.00000000
                                                 0.00
                                                           0.02
                                                                     0.00
   0.00000000 0.00000000
                             0.00000010
                                                 0.00
                                                           0.00
                                                                     0.02
     bfgs converged in 3 scf cycles and 2 bfgs steps
     (criteria: energy < 1.0E-04 Ry, force < 4.0E-04Ry/Bohr, cell < 5.0E-02kbar)
     End of BFGS Geometry Optimization
     Final enthalpy =
                        -606.0965514132 Ry
Begin final coordinates
     new unit-cell volume = 490.03141 a.u.^3 ( 72.61516 Ang^3 )
                  3.68627 g/cm<sup>3</sup>
     density =
CELL_PARAMETERS (angstrom)
   4.171982101 0.000000000 0.000000000
0.000000000 4.171982101 0.000000000
   0.000000000 0.000000000 4.171982101
ATOMIC POSITIONS (crystal)
         0.000000000 0.000000000
                                     0.000000000
Μø
                      0.500000000
Mg
         0.500000000
                                     0.000000000
Mg
         0.500000000
                      -0.000000000
                                     0.500000000
         0.000000000
                       0.500000000
                                     0.500000000
Mg
         0.500000000
                       0.000000000
                                    -0.000000000
0
         0.000000000
                       0.500000000
                                     0.000000000
0
         0.000000000
                       0.000000000
                                     0.500000000
0
                      0.500000000
         0.500000000
                                     0.500000000
0
End final coordinates
```

#### (d) ecutwfc=100 Ry

```
stress (Ry/bohr**3)
                                                             (kbar)
                                                                              0.01
          total
   0.00000009 0.00000000 0.00000000
                                                                          0.00
                                                    0.01
                                                               0.00
   0.00000000
                 0.00000009
                               0.00000000
                                                    0.00
                                                               0.01
                                                                          0.00
   0.00000000
                 0.00000000
                              0.00000009
                                                    0.00
                                                               0.00
                                                                          0.01
     bfgs converged in 3 scf cycles and 2 bfgs steps
     (criteria: energy < 1.0E-04 Ry, force < 4.0E-04Ry/Bohr, cell < 5.0E-02kbar)
     End of BFGS Geometry Optimization
                         -606.9173217108 Ry
     Final enthalpy =
Begin final coordinates
     new unit-cell volume =
                                506.37138 a.u.^3 ( 75.03650 Ang^3 )
                    3.56732 g/cm^3
     density =
CELL_PARAMETERS (angstrom)
   4.217847255 0.000000000
                                0.000000000

      0.000000000
      4.217847255
      0.000000000

      0.000000000
      0.000000000
      4.217847255

ATOMIC_POSITIONS (crystal)
         0.000000000 -0.000000000
                                       0.000000000
Mg
         0.500000000
                        0.500000000
                                       0.000000000
Mg
Mg
         0.500000000
                        0.000000000
                                       0.500000000
         0.000000000
                        0.500000000
                                       0.500000000
Mg
                        0.000000000 -0.000000000
         0.500000000
0
         0.000000000
                        0.500000000
                                       0.000000000
0
0
         0.000000000
                        0.000000000
                                       0.500000000
         0.500000000
                        0.500000000
                                       0.500000000
End final coordinates
```

### 110 Ry

```
Computing stress (Cartesian axis) and pressure
                   stress (Ry/bohr**3)

    0.00000008
    0.00000000
    0.00000000

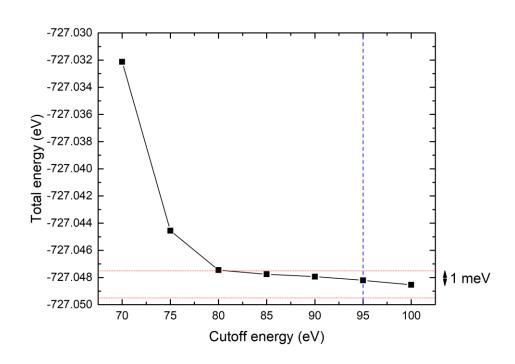
    0.00000000
    0.00000000
    0.00000000

                                                    0.01
                                                               0.00
                                                                          0.00
                                                    0.00
                                                               0.01
                                                                          0.00
   0.00000000
                0.00000000
                              0.00000008
                                                    0.00
                                                               0.00
                                                                          0.01
     bfgs converged in 3 scf cycles and 2 bfgs steps
     (criteria: energy < 1.0E-04 Ry, force < 4.0E-04Ry/Bohr, cell < 5.0E-02kbar)
     End of BFGS Geometry Optimization
     Final enthalpy =
                         -606.9173743000 Ry
Begin final coordinates
     new unit-cell volume =
                                 506.35193 a.u.^3 ( 75.03361 Ang^3 )
                    3.56745 g/cm^3
     density =
CELL_PARAMETERS (angstrom)
   4.217793258 0.000000000 0.000000000
0.000000000 4.217793258 0.000000000
   0.000000000 0.000000000 4.217793258
ATOMIC_POSITIONS (crystal)
         0.000000000 -0.000000000
Mg
                                       0.000000000
         0.500000000
                        0.500000000
Mg
                                       -0.000000000
Mg
         0.500000000
                        0.000000000
                                       0.500000000
Mg
         0.000000000
                        0.500000000
                                       0.500000000
0
         0.500000000
                        0.000000000
                                       0.000000000
0
         0.000000000
                                       0.000000000
                        0.500000000
         0.000000000
                        0.000000000
                                       0.500000000
         0.500000000
                        0.500000000
                                       0.500000000
End final coordinates
```

```
(e)
                     stress (Ry/bohr**3)
                                                                             0.00
             total
                                                             (kbar)
                                                                        P=
      0.00000001 0.00000000
                                0.00000000
                                                     0.00
                                                               0.00
                                                                        0.00
                                                               0.00
                                                                        0.00
      0.00000000
                   0.00000001
                                 0.00000000
                                                     0.00
                                                               0.00
                                                                         0.00
      0.00000000
                  0.00000000
                                 0.00000001
                                                     0.00
        bfgs converged in 5 scf cycles and 4 bfgs steps
         (criteria: energy < 1.0E-04 Ry, force < 4.0E-04Ry/Bohr, cell < 1.0E-02kbar)
        End of BFGS Geometry Optimization
        Final enthalpy =
                            -151.6213860864 Ry
    Begin final coordinates
        new unit-cell volume =
                                  123.50439 a.u.^3 (
                                                        18.30146 Ang^3 )
        density =
                       2.54051 g/cm<sup>3</sup>
   CELL_PARAMETERS (angstrom)
       2.635291090
                    0.000000000
                                  0.000000000
      0.000000000
                    2.635291090
                                  0.000000000
      0.000000000
                    0.000000000
                                  2.635291090
   ATOMIC_POSITIONS (crystal)
            0.000000000
                          0.000000000
                                       -0.000000000
            0.500000000
                          0.500000000
                                        0.500000000
   End final coordinates
```

## Exercise 2: van-der-Waals interaction in hexagonal boron nitride

(a)



```
total stress (Ry/bohr**3)
                                                  (kbar)
                                                           P= -0.04
 -0.00000048 -0.00000000 -0.00000000
                                         -0.07
                                                  -0.00
                                                           -0.00
 -0.00000000 -0.00000048 0.00000000
                                         -0.00
                                                   -0.07
                                                           0.00
 -0.00000000 0.00000000 0.00000013
                                                   0.00
                                                            0.02
                                          -0.00
    Message from routine bfgs:
    history already reset at previous step: stopping
    bfgs converged in 4 scf cycles and 1 bfgs steps
    (criteria: energy < 1.0E-04 Ry, force < 2.0E-04Ry/Bohr, cell < 1.0E-02kbar)
    End of BFGS Geometry Optimization
    Final enthalpy =
                      -53.4596328489 Ry
Begin final coordinates
    new unit-cell volume =
                           237.46448 a.u.^3 ( 35.18860 Ang^3 )
    density = 2.26510 \text{ g/cm}^3
CELL_PARAMETERS (angstrom)
  2.506702498 -0.000000000 0.000000000
  1.253351249
             2.170868043 -0.0000000000
 -0.000000000 -0.000000000
                          6.466448560
ATOMIC_POSITIONS (crystal)
       0.000000000 -0.000000000
                               0.250000000
       В
       0.000000000 -0.000000000 0.750000000
End final coordinates
```

The pressure acting on the cell does not converge further.

```
(c)
            total stress (Ry/bohr**3)
                                                     (kbar)
                                                               P= -0.01

    -0.00000006
    0.00000000
    0.00000000

    0.00000000
    -0.00000000
    -0.00000000

                                                      0.00
                                             -0.01
                                                               0.00
                                              0.00
                                                      -0.01
                                                               -0.00
     -0.00000000 0.00000000 0.00000000
                                              -0.00
                                                       0.00
                                                                0.00
        bfgs converged in 16 scf cycles and 15 bfgs steps
        (criteria: energy < 1.0E-04 Ry, force < 2.0E-04Ry/Bohr, cell < 1.0E-02kbar)
        End of BFGS Geometry Optimization
        Final enthalpy =
                          -53.6340439194 Ry
    Begin final coordinates
        new unit-cell volume = 309.22488 a.u.^3 ( 45.82240 Ang^3 )
        density = 1.73945 g/cm<sup>3</sup>
   CELL_PARAMETERS (angstrom)
      2.511029759 0.000000000 -0.000000000
      1.255514880 2.174615560 0.0000000000
      0.000000000 -0.000000000 8.391574893
   ATOMIC_POSITIONS (crystal)
           0.000000000 -0.000000000 0.250000000
0.33333333 0.33333333 0.250000000
           В
           0.000000000 -0.000000000 0.750000000
   End final coordinates
(d)
                                                                     P= -0.00
                                                            (kbar)
             total stress (Ry/bohr**3)
      -0.00000008 0.00000000 0.00000000
                                                             0.00
                                                                      0.00
                                                 -0.01
                                                  0.00
                                                             -0.01
                                                                      -0.00
      0.00000000 -0.00000008 -0.00000000
      0.00000000 -0.00000000 0.00000014
                                                   0.00
                                                             -0.00
                                                                       0.02
        Message from routine bfgs:
        history already reset at previous step: stopping
        bfgs converged in 4 scf cycles and 3 bfgs steps
        (criteria: energy < 1.0E-04 Ry, force < 2.0E-04Ry/Bohr, cell < 1.0E-02kbar)
        End of BFGS Geometry Optimization
        Final enthalpy =
                           -53.9243142017 Ry
   Begin final coordinates
        new unit-cell volume = 243.11827 a.u.^3 ( 36.02641 Ang^3 )
        density = 2.21243 g/cm^3
   CELL PARAMETERS (angstrom)
      2.515667489 -0.0000000000
                                  0.000000000
                   2.178631954 0.0000000000
      1.257833745
      -0.000000000 0.000000000 6.573306470
   ATOMIC POSITIONS (crystal)
            0.000000000 -0.000000000 0.250000000
   N
            В
                                       0.750000000
            0.000000000 -0.000000000
                                       0.750000000
   End final coordinates
```

	Bulk	Monolayer	Binding energy
PBE	-53.63404667 Ry	-26.81674171 Ry	0.56 mRy
optB88-vdW	-53.92431420 Ry	-26.95150179 Ry	21.3 mRy

# **Exercise 3: Distorted graphene nanoribbon**

### (a) With smearing:

```
Forces acting on atoms (cartesian axes, Ry/au):
                                                              -0.00072021
                                             0.00014476
                                                                                 0.00000000
      atom
                1 type 2
                              force =
                                                              -0.00018920
                                                                                 0.00000000
      atom
                2 type 2
                               force =
                                             0.00007006
                                                              0.00038438
      atom
                3 type 1 force =
                                             -0.00035964
                                                                                 0.00000000
      atom
                4 type 1
                               force =
                                             -0.00013679
                                                               0.00069139
                                                                                 0.00000000
                                            -0.00017135
                                                            -0.00067773
                                                                                 0.00000000
                5 type 1 force =
      atom
                6 type 1 force = 7 type 1 force =
                                             0.00064332
                                                              0.00063610
                                                                                 0.00000000
      atom
                                                              -0.00011989
                                                                                 0.00000000
      atom
                                             0.00000901
                8 type 1 force =
                                                              -0.00000484
      atom
                                             -0.00019937
                                                                                 0.00000000
      Total force =
                           0.001648
                                            Total SCF correction =
                                                                               0.000026
      bfgs converged in 22 scf cycles and 20 bfgs steps
      (criteria: energy < 1.0E-04 Ry, force < 1.0E-03 Ry/Bohr)
      End of BFGS Geometry Optimization
      Final energy =
                                -74.3101287406 Ry
Begin final coordinates
ATOMIC_POSITIONS (crystal)
           0.764353920 0.602091597 0.0000000000
0.264693739 0.348211759 -0.0000000000
HCCCCC

    0.764680519
    0.54821173

    0.764480819
    0.62944772

    0.264708173
    0.539016979

    0.264494259
    0.487486088

    0.764806201
    0.411260310

    0.764806201
    0.411260310

    0.764806201
    0.411260310

    0.764806201
    0.411260310

           End final coordinates
```

```
-0.00007928
                                                           0.00008784
                                                                            0.00000000
     atom
               8 type 1 force =
                                                                          0.000002
     Total force =
                           0.000339
                                         Total SCF correction =
     Computing stress (Cartesian axis) and pressure
                                                                                P= -0.00
           total stress (Ry/bohr**3)
                                                                   (kbar)
  -0.00000000 0.00000004 0.00000000
                                                        -0.00
                                                                    0.01
                                                                                0.00
   0.00000004 -0.00000004 -0.00000000
                                                        0.01
                                                                    -0.01
                                                                                -0.00
   0.00000000 -0.00000000
                                0.00000000
                                                         0.00
                                                                    -0.00
                                                                                 0.00
     bfgs converged in 12 scf cycles and 11 bfgs steps
     (criteria: energy < 1.0E-04 Ry, force < 4.0E-04Ry/Bohr, cell < 1.0E-02kbar)
     End of BFGS Geometry Optimization
                             -74.3137182003 Ry
     Final enthalpy =
Begin final coordinates
     new unit-cell volume = 6969.16759 a.u.^3 ( 1032.72407 Ang^3 )
     density =
                      0.11899 g/cm^3
CELL_PARAMETERS (alat= 1.88972599)

    2.458867405
    0.000000000
    0.000000000

    0.000000000
    0.000000000
    0.000000000

                  0.000000000 15.000000000
   0.000000000
ATOMIC_POSITIONS (crystal)
          Н

      0.764623433
      0.462644871
      0.000000000

      0.764633257
      0.563333029
      -0.000000000

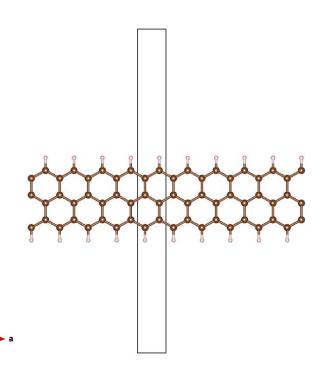
      0.264666963
      0.539089792
      -0.000000000

C
C
C
          C

    0.764677540
    0.411187116
    0.000000000

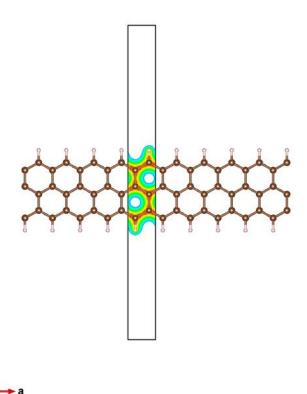
    0.264696418
    0.386944564
    0.000000000

C
C
End final coordinates
```

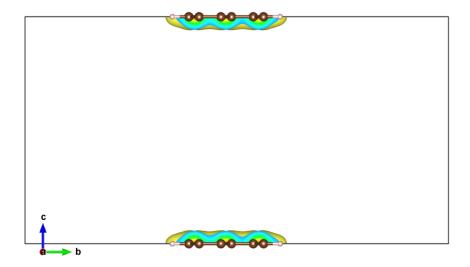


(Here, the plot worked as expected, due to the atomsk compatible definition of celldm in the input file)

# (c) Electron density from the top



From the side:



Note that here, the density is "cut" into two halfs, because the nanoribbon is located at the edge of the unit cell. We can plot the density in one piece, if we move the nanoribbon towards the middle of the cell. This is, of course, a purely aesthetic choice.

## Kohn-Sham-Potential:

