

Software-Hardware Interface for Multi-Many-Core (SHIM) Specification V2.00 Draft

Document ID: SHIM Specification

Document Version: 2.00 (rev3)

Status: Draft

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Preface

This document is intended primarily for tool developers and hardware developers who would use SHIM to exchange hardware description for software tools. It also attempts to provide software developers with insights into what hardware information is described in SHIM to foster understanding of the intention and the extent of SHIM.

This document begins with the introduction to SHIM, providing the background, the overall concept, and model. It is followed by a chapter detailing the concept of SHIM, such as the purpose, scope, design, interface, limitation, providing the basic idea why SHIM is as specified in this document, and also trying to explain the basic principles for future extension of the specification. A chapter describing the interface follows, which is a description of SHIM XML schema and APIs that are mostly derived directly from the schema via XML data binding technique. A chapter providing some of the detailed use cases follows, allowing the reader to gain insights into how SHIM can be used in action. Finally, this document ends with various Appendixes providing further detailed information.

Definitions

All new terms are defined at the first appearance, either in the main text body or as a footnote.

1. Introduction

1.1 Overview

Multicore processors have become the norm, and processors with tens, and even more than a hundred cores are emerging. These multicore processors vary not only in the number of cores, but also in inter-connects, cluster organization, and memory systems (including hierarchy and cache coherency), among others. While the trend for an increasing number of cores is both natural and unavoidable from a processor design perspective, this poses tremendous challenges to the software developers to cope with the significant hardware variance, while bearing a burden to re-use the existing and newly created software for different hardware. Moreover, all this must occur while achieving the performance expected from the multicore processors, which requires deep understanding of the specific multicore architecture. Various tools, such as auto-parallelizing compilers, parallelization tools, OS/middleware configurators, and performance analysis tools, aid developers to design, implement, and analyze the software. However, these tools must comprehend the complex multicore processor, transferring the burden to the tool developers. Therefore, it is critical to lower the cost of supporting new multicore hardware by various tools, but there has been a lack of effort in academia or industry to solve these issues, thereby hindering the development of the multicore tool eco-system.

The SHIM, Software-Hardware Interface for Multi-many-core, is a joint industrial and academic effort to standardize the interface between the multicore hardware and the software tools. As a result, we aim to lower the cost of supporting new multicore hardware using the standard interface. This will encourage the development of new innovative multicore tools, resulting in a richer eco-system of multicore technologies, which in turn should benefit system developers, semiconductor vendors, and tool vendors.

1.2 Interface

The SHIM is defined as an XML schema. A multicore hardware implementation is expressed as a SHIM XML file which can be used by various tools (Figure 1).

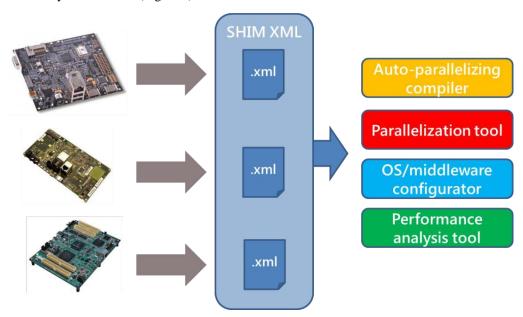


Figure 1. SHIM provides the interface between the hardware and the software tools

The SHIM XML file has a tree structure (Figure 4. SHIM XML file example). A system description starts with a *SystemConfiguration* element with up to five children components, namely *ComponentSet*, *AddressSpaceSet*, *CommunicationSet*, *FrequencyVoltageSet* and *ContentionGroupSet* each containing further child elements. The *ComponentSet* contains *MasterComponent* (representing a processor or accelerator) and *SlaveComponent*

(representing a memory block or memory subsystem). The *AddressSpaceSet* contains one or more *AddressSpace*, which in turn contains *SubSpace*. The *CommunicationSet* contains any number of *Communication* elements, describing the connection and communication between a pair of *MasterComponents*. The *FrequencyVoltageSet* contains definitions of frequency and voltage domains, allowing to define sets of component sharing the same clocks or input voltage. It also contains definitions of component operating points, through *OperatingPointsSet*. Finally, the *ContentionGroupSet* allows to define *ContentionGroups* which are communication resources used by component-to-component communications. Using those *ContentionGroups* allows to figure out communication resources utilization and potential contentions.

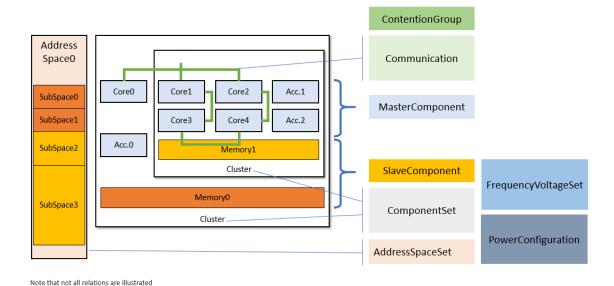


Figure 2. The SHIM elements mapped to a pseudo-multicore hardware

A *ComponentSet* can nest itself. For example, it can be used to express a chip that contains multiple hardware clusters, each cluster containing multiple cores with a cluster local memory. It can also be used to describe a board, which in turn may contain one or more multicore chips. A *ComponentSet* can even be used to describe a system with multiple boards, each board connected via PCI Express, for example. As such, the *ComponentSet* tree describes the multicore hardware system topology. This topological architectural information is important for software tools to be able to identify the number of cores, location of the memory devices, and how cores are organized into different clusters.

Since SHIM is for software tools, it is essential to understand from a software perspective, the connection and communication mechanism between the cores (including accelerators), as well as how these cores can access the different memories. The former is described as *CommunicationSet* containing different communication classes. A simple example of defined classes is *InterruptCommunication*, which contains one or more "connection" class, which binds a pair of *MasterComponents*. For memory access, the *SubSpace* contained in the *AddressSpace* includes its start address and size and one or more *MasterSlaveBinding*, containing references to a

MasterComponent and *SlaveComponent*, describing which core/accelerator can access which memory through the address range.

The hardware architectural information described so far allows tools to understand the hardware topology, and how the cores and memory devices are connected. However, this alone is often insufficient for many tools, since the application software supported by these tools must not just 'run', but run with performance qualifiers. To achieve this, the tools must 'estimate' the rough performance so that the system designers and software developers know the expected performance from the given application and multicore hardware. Therefore, SHIM, in addition to the hardware topological information, describes the performance properties associated with the processor cycles

consumed to perform the various core-to-core communication (CommunicationSet) and also the memory access cycles by different cores and accelerators. The performance is described as Performance element, which contains Latency and Pitch, expressed in processor cycles. The Performance element exists for each CommunicationSet, for each specific pair of two MasterComponents. For memory access performance, for each MasterSlaveBinding of each SubSpace, and for each AccessType, which are defined for each MasterComponent, a specific Performance element is included. So for each different access type (e.g., read or write, word access or double word access), a different Performance element is provided. The cycles can be described in a form of triplet, which are 'best', 'typical', and 'worst', to accommodate the possible performance variance. The tool must be intelligent enough to benefit from these figures, such as analyzing the application code if it is issuing a sequential memory access, which generally falls into use of the 'best' cycles. Note that the cycles mentioned here are processor-cycles, whose related frequency is defined using OperatingPoints.

1.3 Software View - what is in and what is not

Tools should primarily use SHIM to aid developing software that runs on multi-many-core hardware. Therefore, the key strategy in defining the SHIM specification is to describe the hardware but only for the information that is relevant to such tools. We call this a 'software view' of hardware, as opposed to 'hardware view', where the focus would be the physical/electrical means of inter-connects between processing cores, the NoC¹ protocol used to route the memory read request by a particular core, the number of processor pipeline stages, the cache coherency protocol, etc., unless these features matter greatly to some class of tools that aid software development.

It is tempting and relatively easy to include additional hardware properties in SHIM, however this will result in a more complex SHIM XML, requiring more effort to grasp the schema and complicating the effort for tools to use this information. Furthermore, the most critical issue is the challenge to create a SHIM XML in the first place – leading to limited adoption of the SHIM standard.

The basic principle is to capture the properties that affect the software at the architectural design level. This is to say, if a design-aid tool uses SHIM to produce an appropriate software design for a particular hardware described by an SHIM XML, then the design should not require modification at the software architectural level at the later stages of system development.

Although the "software architectural design level" is the baseline, it is sometimes difficult to agree on whether a particular hardware property is important. The rule of thumb is that if we cannot derive an actual (even imaginable) use case, the SHIM specification excludes it.

For various reasons, a number of potential hardware properties have not been included into the current specification. One of the primary reasons is that the excluded types of hardware properties are peripheral to existing properties in the specification. Such hardware properties may be included in a future version of the specification, but we decided to take an evolutionary approach and stabilize the more basic properties first.

The most basic properties selected for inclusion in SHIM are the following: topology, address space, inter-core communication, and performance and configuration.

With SHIM 2.0, several optional additions have been included in the interface, namely *FunctionalUnitSet*, to define in which functional unit an instruction is executed and improve estimation accuracy, *ContentionGroup* to handle communication resource utilization and contention, which may impact software execution performance, *OperatingPoints* and *PowerConfiguration*, which may influence software implementation strategy or automatic optimization.

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1.4 **XML**

The SHIM interface uses extensible markup language (XML); specifically, the SHIM XML uses the XML Schema (XSD) to define its XML structure. XSD is essentially the same as an UML class diagram. Each SHIM XML file represents a unique hardware, but all must conform to the SHIM XML schema. The UML class diagram representation of SHIM XML schema is shown in Figure 3.

The XML schema allows the definition of the SHIM XML structure, but with the help of a validating parser that reads XML files, the schema also allows validation of SHIM XML. Validating parsers are readily available, both openly and commercially, often bundled with various XML related libraries in many different programming languages.

Therefore, technically speaking, the SHIM XML schema, or the shim 20.xsd, is the core interface definition of SHIM.

1.4.1 **Data Binding**

A common technique to read XML files is via SAX or DOM libraries. Using XSD, it is possible to generate class libraries in many choices of programming languages by running a schema compiler against the shim.xsd. The generated library includes all the SHIM XML classes of the chosen programming language, with automatically added methods or functions to get and set the data. This allows tools to access hardware properties expressed in a SHIM XML similar to accessing normal objects in their programming languages.

1.4.2 Who Creates SHIM XML

The hardware provider is expected to create and provide the SHIM XML, which will then be used by the software tools. On the other hand, a hardware provider may not provide the SHIM XML. If SHIM XML can only be authored by the hardware provider, it can be a significant roadblock in the hardware's adoption. Therefore, Reference authoring tools are made freely available along with the specification. If a user has access to the basic technical reference manuals, and either simulator or actual hardware (e.g., evaluation board), the Reference authoring tools allows for the creation of the SHIM XML for most multi-many-core hardware in fewer than 1-2 days.

1.5 SHIM Editor

Although a SHIM XML schema is relatively simple, as can be seen on the UML Class diagram representation of the SHIM XML schema (Figure 3), the resulting SHIM XML file can be quite large, mostly due to all the *Performance* element descriptions for all types of memory accesses. Writing it manually can be tedious and error prone, so we have developed an editor tool called the SHIM Editor, to foster authoring a SHIM XML file. The generated SHIM XML file is shown in Figure 4, and the SHIM Editor prototype's main window is shown in Figure 5.

1.6 Reference Authoring Tools

In addition to the specification itself, SHIM also provides a free set of reference authoring tools. As a reference, anyone can provide their own version of the SHIM authoring tools. The Multicore Association provides the reference authoring tool, SHIM Editor, for the following reasons:

- 1. Easy authoring of SHIM XML to enable better adoption
- 2. Serves as a sample SHIM application with source code

1.7 Changes introduced in SHIM 2.0

- SHIM 2.0 introduces processor functional units modeling with the new *FunctionalUnitSet* element, which allows to define the functional units of a processor and their supported instructions. See sections 4.6.7 and 4.6.8 for more information.
- SHIM 2.0 improves the SHIM XML file componentization and reuse by allowing to define a processor model in a separate file, through the use of the *FunctionalUnitSetFile*. See section 4.6.8 FunctionalUnitSetFile.
- The *Instruction* element has been extended with new parameters allowing, for instance, to define the instruction bit width, the number of input and output, the supported signedness, the instruction SIMD width, etc. The complete parameter list and more information can be found in section 4.6.10 Instruction.
- Instruction modeling has been further extended by allowing to define custom instructions using the *CustomInstruction* element. This element provides support for complex instructions. You will find more information in section 4.6.11 CustomInstruction.
- SHIM 2.0 replaces the previous *ClockFrequency* element, which was limited to clocks definition, with the new *OperatingPointSet* element which allows to define one or more operating points (consisting in a frequency value and an optional voltage value) for system components. This enables modeling of dynamic frequency scaling and/or dynamic voltage scaling for systems that support it. See section 2.4 Frequency & Voltage FrequencyVoltageSet for more information.
- SHIM 2.0 introduces frequency and voltage domains, which allow to define sets of components sharing resp. the same frequencies or the same voltages. See section 2.4 Frequency & Voltage Frequency Voltage Set for more information.
- SHIM 2.0 allows improves cache hierarchy definition by allowing definition of more complex cache hierarchies, like for instance memory-side caches. *MasterComponents* now refer to their related *Caches* using the *CacheRef* element. See section 4.6.3 Cache for more information.
- SHIM 2.0 further improves cache modeling by extending the *Cache* element with new parameters such as replacement policy, write back/write allocation policy, prefetch support, etc. The complete parameter list and more information can be found in section 4.6.3 Cache.
- In SHIM 2.0, cached regions can be explicitly defined by referencing the cache element caching the memory accesses in a *PerformanceSet* element. See section 4.8.7 PerformanceSet.
- SHIM 2.0 introduces support for communication resource utilization and contention modeling with the new element *ContentionGroup* and *ContentionGroupSet*. See section 2.5 Communication Network Utilization and Contention ContentionGroupSet for more information.
- SHIM 2.0 introduces the new *PowerConfiguration* element, which enables power consumption modeling by defining the system components' power consumption. To further improve componentization and flexibility, *PowerConfiguration* is an optional element defined in a separate file. See section 2.7 Power PowerConfiguration for more information.
- SHIM 2.0 introduces the new *VendorExtension* element, which provides a solution for vendor desiring to extend a SHIM model with their own functionalities. To further improve componentization and flexibility, *VendorExtension* is an optional element defined in a separate file. See section 2.8 Vendor Extensions for more information.
- SHIM 2.0 enforces a stricter XML schema to improve interoperability. A SHIM 2.0 XML file only allows the new *shim* element as root element. This root element only accepts a *SystemConfiguration*, a *FunctionalUnitSet*, a *PowerConfiguration* or a *VendorExtension* child element. See section 4 SHIM Interface for more details.

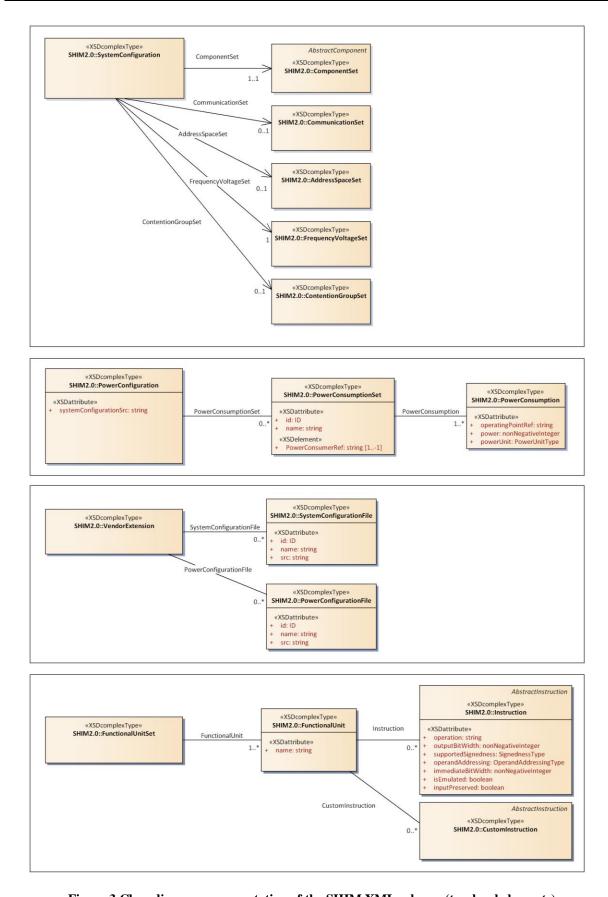


Figure 3 Class diagram representation of the SHIM XML schema (top-level elements)

```
1. All SystemConfiguration root elements
    <?xml version="1.0" encoding="UTF-8"?>
    <shim:Shim
       xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
       xmlns:shim="http://www.multicore-association.org/2017/SHIM2.0/"
xsi:schemaLocation="http://www.multicore-association.org/2017/SHIM2.0/ ../schemas/shim20.xsd"
       name="MySystem" shimVersion="2.0">
       commonifydration>
componentSet name="CS_GENPLAT_MODULE" id="CS_GENPLAT_MODULE"> [135 lines]
communicationSet> [21 lines]
                                                                                             2. ComponentSet expanded
 9 🕨
145 🕨
                                                167 ▶
       <AddressSpaceSet> [161 lines]
<FrequencyVoltageSet> [30 lines]
329
360 ▶
       <ContentionGroupSet> [37 lines]
                                          8 🕨
    </SystemConfiguration>
398
399
    </shim:Shim>
                                         68 ▶
                                         98 🕨
                                         128
                                                          <Cache name="CA_GENPLAT_CLUSTERO_L2" id="CA_GENPLAT_CLUSTERO_L2" cacheTy|</pre>
                                         129
                                                       </ComponentSet>
                                         130
                                                    </ComponentSet>
                                                    <SlaveComponent name="SC_GENPLAT_EXTMEM_DDR" id="SC_GENPLAT_EXTMEM_DDR" size="1"</pre>
                                         131
3. Performance under AddressSpaceSet
                                                 </ComponentSet>
        <AddressSpaceSet>
155 🔻
           156 ▽
157 ▽
158 🔻
                     159 ▽
160 5
                            161 🗸
162 7
163
164
165
                                </Performance>
                            166
167
168 🔻
                                <Performance accessTypeRef="AT_GENPLAT_CLUSTERO_CPUO_RD64">
```

Figure 4. SHIM XML file example

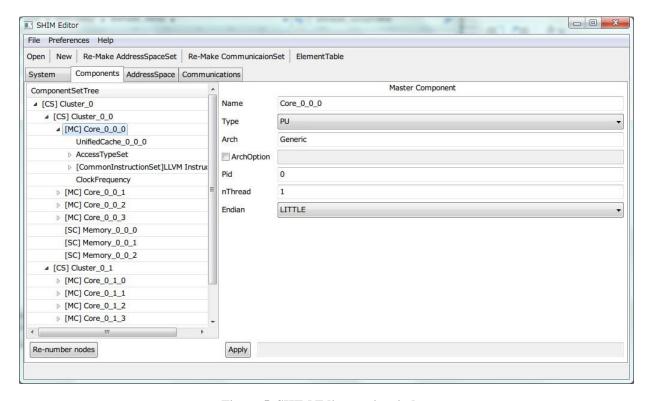


Figure 5. SHIM Editor main window

2. SHIM Concepts

This section describes the major SHIM concepts, providing the basic idea why SHIM is as specified in this document, and also attempts to indicate the principle for future extension of the specification. This chapter should provide a foundation for understanding the SHIM interface, so it is strongly recommended to read this thoroughly before diving into the interface details.

2.1 Topology - ComponentSet

A simple hardware setup may consist of a single processor core and a single memory – however, the multi-manycore hardware has multiple processor cores and memory devices of various types in various configurations. The combination and configuration of processor and memory characterizes the multi-many-core hardware, and it is essential for software tools to comprehend them.

SHIM expresses the particular mix of processors and memory devices as 'topology'. In the electrical circuits' terminology, topology "is the form taken by the network of interconnections of the circuit components. Different specific values or ratings of the components are regarded as being the same topology. Topology is not concerned with the physical layout of components in a circuit, nor with their positions on a circuit diagram. It is only concerned with what connections exist between the components. There may be numerous physical layouts and circuit diagrams that all amount to the same topology²." From the SHIM's perspective, the topology is extended further. In addition to processor cores and memory devices, which are components in the electrical terminology, we also include 'clusters', which is a particular set or grouping of processor cores and memory devices. Usually there are electrical connections between a cluster and other hardware elements, however SHIM does not necessarily deal with actual electrical connections, so the cluster may not form any connection. However, it is critical for software tools to see how processor cores and memory devices are grouped as it is often an indication of a performance difference, therefore SHIM includes cluster as a part of its topological expression.

A *cluster* is composed of any combination of another (inner) cluster, processor core, and memory device. SHIM has its own way of classifying and naming these objects (Table 1). A processor core is represented as a *MasterComponent* object. As can be seen from the table, a *MasterComponent* can also be some type of accelerator (e.g., a DMA controller). The objective of MasterComponent is to represent those electrical components that play the role of master component in the traditional master-slave bus setup, but only if they are relevant to the software view SHIM defines.

SHIM termHardware termComponentSetCluster of any level (a hardware board itself is also a cluster)MasterComponentProcessor core, accelerator, or other master devicesSlaveComponentMemory

Table 1. SHIM representation of hardware components

The *cluster*, or *ComponentSet*, can be used to express not only a processor core cluster, but also a hardware board. It can also be extrapolated to represent a system composed of multiple boards – in this case, the outermost cluster is the system boundary itself.

² http://en.wikipedia.org/wiki/Topology (electronics)

2.2 Memory - AddressSpaceSet

A software program accesses memory through a logical window called the address space. Processor hardware usually supports multiple address spaces, for different access privileges, for example. An address space is further subdivided into multiple subspaces, or address blocks. When a program makes an access somewhere in a memory device, it performs this by issuing a load or store instruction with its source or destination address falling into any one of the subspaces. To accommodate this memory setup, SHIM has a group of objects called *AddressSpaceSet*. An *AddressSpaceSet* can contain multiple *AddressSpace*, and each *AddressSpace* can contain multiple *SubSpace*.

A SubSpace is mapped to a physical memory device, or SlaveComponent, residing in some cluster, or ComponentSet. To describe the binding for which SlaveComponent is mapped to a specific SubSpace, the SHIM specification uses an object called MasterSlaveBinding. The object describes the mapping between a memory device and a memory subspace; it also indicates which MasterComponent (e.g., a processor core) has access to the memory. Since it is possible for multiple MasterComponents to have access to a memory SubSpace, a set object called MasterSlaveBindingSet is also defined to group multiple MasterSlaveBinding objects.

By exploring the objects under the *AddressSpaceSet*, a tool can discover what memory spaces are available and which processor core or accelerator has what kind of access to those. Multiple *AddressSpace/SubSpace* may share the same *SlaveComponent*. If the sharing occurs for only parts of the physical memory, it can be divided into multiple *SlaveComponents*.

2.3 Inter-core communication – CommunicationSet

For software to run on multiple processor cores and accelerators with some degree of cooperative manner, it often exchanges data, which may be available via a shared memory region. The software must also trigger, synchronize, or perform mutual exclusion in some way. In cases where shared memory is not available, some form of core-to-core or *MasterComponent* to *MasterComponent* communications is required. To accommodate this situation, SHIM defines a class of objects called *CommunicationSet*. All SHIM objects have a child object called *ConnectionSet*, which includes one or more *Connection* that describes the source and destination *MasterComponents* for the communication. The variety of *ConnectionSet* classes have similar communication mechanisms (Table 2).

CommunicationSet classes Description Shared register based communication. Often such hardware provides a set of SharedRegisterCommunication registers that can be accessed by multiple processor cores. SharedMemoryCommunication Shared memory based communications. An operation type is specified from TAS (Test and set), LLSC (Load-link/Store conditional), CAX (Compare and exchange), and OTHER (other unspecified operation). **EventCommunication** An event is often a register bitmap based communication – if a processor core raises an event (Boolean), that is sent to another core and can be seen as the mapped event signaled in its event register. It may or may not trigger an interrupt. **FIFOCommunication** A FIFO is sometimes used for inter-core communication and often implemented as FIFO registers, possibly with buffers of varying depth. This is a typical inter-processor-interrupt. This object only has the InterruptCommunication ConnectionSet.

Table 2. Inter-core communication classes

Each class has its unique properties or attributes. All classes include connection information describing which pairs of cores are connected by the particular communication object. Since there can be multiple connections, the object

contains *ConnectionSet*, which in turn contains any number of *Connection*. Each *Connection* contains references to a pair of *MasterComponents*.

Software tools can use this information to obtain the type of *MasterComponent*-to-*MasterComponent* communication mechanisms are supported by a particular hardware implementation represented by a SHIM XML. Note that the connection can be across multiple *ComponentSet* boundaries, even if it traverses the chip or hardware board boundaries.

2.4 Frequency & Voltage - Frequency Voltage Set

Software execution time strongly depends on the component clock frequencies. As such, specifying the clock frequencies of those components is necessary if one wants to estimate software performances, which is relevant for SHIM (see Use Cases).

To define the components' clock frequencies, SHIM 1.0 provided basic support through the *ClockFrequency* object. However, modern multi- and many-core platforms support advanced techniques such as dynamic frequency scaling which allows to change the clock frequency of a component dynamically. These techniques, combined with dynamic voltage scaling, allows to tune the operating point of the platform components to optimize the power consumption while still providing the desired quality of service or meeting real-time execution deadlines. Nowadays, most of those techniques are unavoidable, e.g. to fit an application power budget or to maximize the autonomy of autonomous battery-operated systems.

The software architecture and implementation must generally be adapted to take the most benefit of those techniques. It is therefore greatly relevant for SHIM to include some level of modeling of the dynamic frequency and voltage scaling capabilities of a platform. Consequently, SHIM 2.0 provides an improved support for the definition of component frequency and voltage operating points. The main classes associated to this feature are listed in Table 3.

Most platform clock trees are designed in such a way that components are partitioned in several frequency domains. Inside those domains, all components share the same clock frequency. For instance, in a CPU cluster, all the cores typically run at the same frequency. Similarly, the input voltage trees are designed in such a way that components are partitioned in voltage domains, where all components inside a domain share the same input voltage. There is, however, no direct correspondence between both domains and it is very often the case that all components inside a voltage domain do not belong the same frequency domain. For instance, in a platform, a GPU and a CPU cluster might share the same voltage input but run at different clock frequencies. In SHIM 2.0, it is possible to model these complex configurations by defining *FrequencyDomain* and *VoltageDomain* objects, which allow to define separately groups of components sharing respectively the same clock frequency or the same input voltage.

In platforms supporting dynamic frequency and/or voltage scaling, the components will generally support several operating points, characterized by different clock frequencies and input voltages. To define those operating points, SHIM 2.0 provides the *OperatingPointSet* class, which contains a list of *OperatingPoint* objects. Each of those *OperatingPoint* object defines a valid component operating point. This *OperatingPoint* must provide a supported clock frequency value and optionally the associated input voltage.

To associate a FrequencyDomain, a VoltageDomain and OperatingPointSet to any component, one should use respectively the component object's frequencyDomainRef, voltageDomainRef, and operatingPointSetRef attributes.

Table 3. Component frequency and voltage classes

FrequencyVoltageSet classes	Description
FrequencyDomain	A frequency domain allows to define a group of components which will share the same clock frequency.
VoltageDomain	A voltage domain allows to define a group of components which will share the same voltage input.
OperatingPointSet	An <i>OperatingPointSet</i> allows to define all the valid operating points supported by a <i>Component</i> .
OperatingPoint	An <i>OperatingPoint</i> defines the frequency and, optionally, the associated input voltage of a component operating point.

2.5 Communication Network Utilization and Contention – ContentionGroupSet

Multi- and many-core platforms can be leveraged by distributing the computation tasks on the available computation resources. Those tasks must generally communicate data between them to keep executing the program, which they do by using various communication mechanisms (see Inter-core communication for more information on how SHIM model those aspects). To be able to perform the data transfer between the communicating components, a platform provides several communication resources such as busses, crossbars, network-on-chips, DMA, etc.

Those communication resources are limited in bandwidth as they can only accept a maximum amount of data at any time. Consequently, if several communication components end up using a communication resource simultaneously, they might reach the maximal bandwidth of this resource and create a communication contention which will slow down the communication time and potentially negatively impact software performances.

For instance, Figure 6 illustrates a situation where two cores try to write to the same memory through a shared bus. If both accesses are simultaneous, the bus bandwidth will be shared, each core getting half of the available bus bandwidth. Consequently, transfer times will be longer and software execution might also increase.

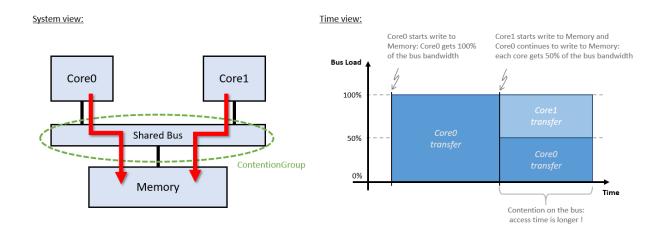


Figure 6. Contention in a Shared Bus

Previous versions of SHIM were not able to model those situations as it provided no way of modeling communication resource bandwidth and contention. To improve estimation accuracy, SHIM 2.0 provides the *ContentionGroupSet* class which allows to define communication resources using *ContentionGroup* objects. To avoid modelling unnecessary details (see <u>Software View – what is in and what is not</u>), a *ContentionGroup* does not care about the resource behavior, which allows to ignore complex aspects such as protocol, buffers, etc. A *ContentionGroup* only models a communication resource utilization, and allows to specify its maximum bandwidth.

Each ContentionGroup explicitly lists all the accesses that make use of the modelled communication resource. This information can then be used (for instance by a performance estimation tool) to analyze and evaluate the communication resource utilization and potential contentions. To define this list, each ContentionGroup object contains one or more PerformanceSetRef objects, each one defining a reference to the PerformanceSet object making use of the communication resource.

Optionally, a *ContentionGroup* can be given a maximum bandwidth by using the *DataRate* and *Throughput* elements. The *DataRate* element allows to define the bandwidth in terms of amount of data per second, whereas the *Throughput* element allows to define the bandwidth in terms of amount of data per cycle. When using the *Throughput* attribute, it is therefore mandatory to define the related frequency domain as well.

In the example of Figure 6, a *ContentionGroup* could be defined to model the shared bus. Its bandwidth could then be specified using a *DataRate* or *Throughput* element, and the *PerformanceSetRef* of both accesses would be listed in the *ContentionGroup* object.

2.6 **Performance**

2.6.1 General

As expected, different processor hardware has different performance characteristics. The performance characteristics can be very complex for a multi-many-core hardware and will have tremendous impact on the software design. Since SHIM's principle is to capture the properties that affect the software at the architectural design level, it is intrinsic to include such performance properties (Table 4).

There are significant performance variations among different processor, memory, and inter-connect architectures, so all performance properties are expressed as a triplet of best, typical, and worst cycles. Some architectures are highly deterministic and may have little variation in the performance of some operations; this will be depicted with the triplet bearing similar, if not the same, values. The software tool can use this information to determine the hardware dynamism or determinism by examining the deviation in the values. For such hardware, the estimation based on SHIM XML can be highly accurate, well under the 20% error rate that SHIM targets (even possibly nearing single digits of error percentage). Some hardware could have fairly dynamic performance characteristics, performing some operations mostly in two cycles, and possibly in 200 cycles in some cases, for example. This dynamic behavior often is derived from a wide range of speculative and probabilistic algorithms employed by modern hardware; this 'best-effort' approach as opposed to a 'guarantee' approach is quite popular and the trend continues.

SHIM, as said in Software View - what is in and what is not, provides software with a simpler view of the underlying hardware and it avoids descriptions of what speculative algorithm is supported and its detailed spec. The triplet performance representation provides a window to adapt the dynamism by carefully setting up the three values, encapsulating the various hardware mechanism underneath. After all, it is technically infeasible, if not impossible, to achieve 100% accuracy in the hardware performance estimation – the idea is to obtain accurate enough performance estimation for system architectural design – the rest must be optimized in the later phase of system development. This approach is reasonable since the final set of software is unavailable before the system development stage and there are many other factors that influence the development, and thus the design, as the project progresses.

SHIM.xml is created for a specific hardware (and system software if necessary) configuration. If, for example, quality of service (QoS) is to impact the performance characteristics at a level greater than the goal of 20% error rate, multiple SHIM XML files must be authored or a <u>Common Configuration File (CCF)</u> must be used to describe the variation in performance.

Table 4. Performance properties in SHIM

Performance Property	Related SHIM Object	Description
Instruction execution	CommonInstructionSet, Instruction	The execution cycles of processor instructions. The instruction set is described as LLVM IR, and the cycles of a particular processor architecture is expressed in terms of these LLVM IR instructions.
Memory access	SubSpace, MasterSlaveBinding, Accessor, AccessType	The processor cycles for accessing a memory. Each processor core can have different cycles for different access types such as read or write and accessing by byte, word, double word accesses, etc.
Inter-core communication	CommunicationSet classes	The time needed for a particular connection of two <i>MasterComponent</i> for a particular <i>Communication</i> class, such as <i>InterruptCommunication</i> , in processor cycles.

2.6.2 Latency and Pitch

The performance object is characterized by a pair of triplets - one associated with 'latency', the other for the 'pitch' (Figure 7). The latency, or *Latency* in terms of SHIM class, is specifically the processor cycles for performing the particular operation. The *Pitch* is a trickier process – it is the size of stride when executing the operation in consecutive manner, also expressed in processor cycles. As indicated previously, modern hardware has a mechanism to speculate what would be the next software action. When accessing a memory, for example, the hardware has a cache that reads the memory in its line size, even if a smaller size of memory is requested by a particular 'load' instruction. In essence, it can read the next memory address ahead of time, hoping the next 'load' instruction will follow at the consecutive address (called a speculative fetch). If that fetch proves true, the next read operation can complete by reading from the cache, without actually accessing the slower main memory. The hardware supports other similar mechanisms – all trying to take advantage of repetitive software behavior. This action results in the performance characteristics that, if the similar operation is performed repeatedly in some way, the average execution cycles per operation are less than it would be if it is not. The *Pitch* is specifically meant to describe this performance property.

The software tool's job is to see if a particular operation is repeated, and use the *Latency* and *Pitch* triplets accordingly.

2.6.3 Using triplets

Any factors which influence performance characteristics should be expressed in the triplet of 'best', 'typical' and 'worst' to describe the performance variations. This is described with examples in Table 5. Using Triplets).

Please note that, statistically speaking, the 'typical' value is not the average but the mode.

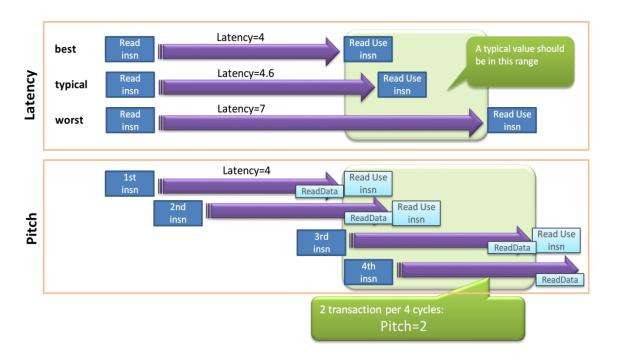
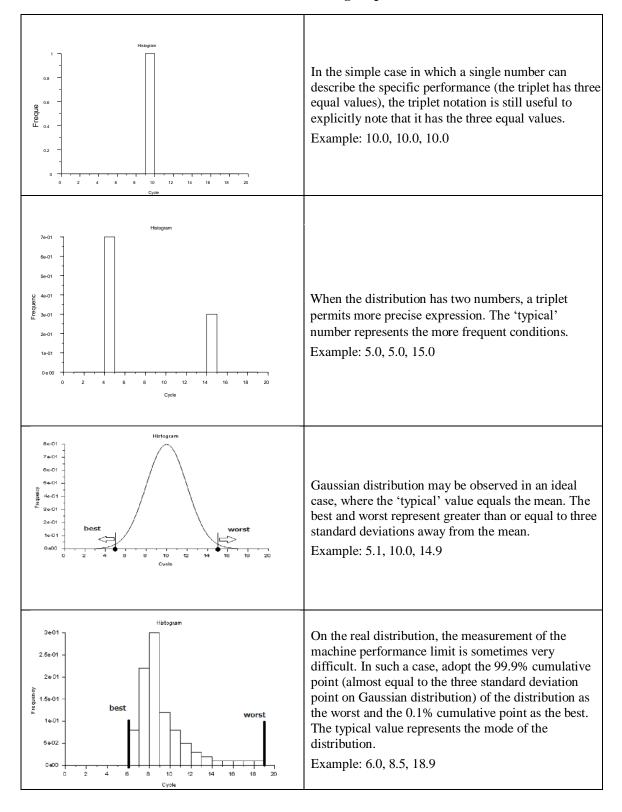


Figure 7. Latency and Pitch represent the primary performance characteristics.

Table 5. Using Triplets



2.7 Power - PowerConfiguration

Power consumption is a significant concern for many applications. Indeed, some applications must fit in a limited power budget due to thermal limitations, input power limitation or even system stability. Some systems can also be battery-operated, and the power consumption is therefore directly linked to their autonomy.

Modern multi- and many-core platforms often integrates a rich set of heterogeneous components that have very different performance and power profiles (e.g. general-purpose CPUs, DSPs and GPUs). It is the responsibility of the developers to find the best way to leverage those components to meet the application constraints. To help the developers in their work, many software development tools now integrate some level of power estimation to guide the software development. Some tools can even automate software development tasks such as mapping and scheduling based on power information.

Consequently, SHIM 2.0 introduces support for power modeling to provide developers and tools with the appropriate information to perform their work optimally (see <u>Use Cases</u> for more information). The main classes associated to this feature are listed in Table 6.

To further improve componentization of the SHIM file (see <u>Roadmap</u>), the power-related elements must be defined in a separate file dedicated to power-related information.

PowerConfiguration classes	Description
PowerConfiguration	The root element hosting the PowerConsumptionSet
PowerConsumptionSet	A <i>PowerConsumptionSet</i> contains a list of <i>PowerConsumption</i> and <i>PowerConsumerRef</i> objects respectively defining operating points power consumption and the components whose power consumption is defined.
PowerConsumption	This object defines the power consumption of an operating point.
PowerConsumerRef	A reference to the component whose power consumption is defined by the parent <i>PowerConsumptionSet</i> . A <i>PowerConsumptionSet</i> can contain one or more <i>PowerConsumerRef</i> objects.

Table 6. Component power consumption classes

The *PowerConfiguration* class is the root element that host the *PowerConsumptionSet* objects defining components power consumption.

The *PowerConsumptionSet* objects allow to define the power consumption of one or more components. Those components are specified by using one or several *PowerConsumerRef* objects, each one of those objects providing a single component reference.

The actual power consumption values are defined using *PowerConsumption* objects, using the *power* and *powerUnit* attributes. As the power consumption of a component depends on the component's operating point, each *PowerConsumption* object also contains a reference to its associated *OperatingPoint*.

2.8 Vendor Extensions

SHIM provides a standard interface between the multicore hardware and the software tools. To remain practical and ease its adoption, SHIM follows an evolutionary approach and starts by including the first essential elements first, and including new ones as the needs emerge.

However, some end-user might already face those needs and require extending the information provided by SHIM files with additional details. Other users might also need to extend the file with confidential information or with proprietary technology that cannot be included in the standard itself.

To accommodate with this issue, SHIM 2.0 provides support for vendor extensions which allow the end-user to integrate SHIM XML files with other files to complement and extend its functionality.

In respect with the goal of increasing the componentization of the SHIM (see <u>Roadmap</u>), all vendor extensions are to be defined in separate files. These files can contain references to one or several SHIM system XML files, as well as other files like, for instance, power consumption files or proprietary technology XML files.

VendorExtension is the root element of any vendor extension file. This element contains one or several objects referencing other files. In SHIM 2.0, the *VendorExtension* element accept two types of child elements: *SystemConfigurationFile*, which allows to reference a SHIM system XML file, and *PowerConfigurationFile*, which allows to reference a SHIM power XML file. It is allowed to reference several system and power files.

2.9 Configuration

2.9.1 General

There are two different aspects of configuration in SHIM that are needed by software tools. One aspect is the configuration of software tools based on the basic hardware properties (e.g., cluster organization, number of cores, memory size, processor ISA). These are static hardware properties and tools are able to read the SHIM XML file and configure themselves accordingly. The other aspect is configuring the hardware dynamic properties (e.g., clock frequency, various modes and setting for transfer accelerator) that can be modified according to the system design. For dynamic properties, the tools' user is often required to input the configuration, thus the tools must provide a user interface (either command line or graphical). SHIM provides a mechanism called Common Configuration File (CCF), to serve both for describing the configurable properties and also simultaneously defining the user interface.

Changing the configuration often affects the performance properties. The CCF is designed so that it can also describe how the selection or input value of particular configurable items affects the performance properties.

2.9.2 Common Configuration File (CCF)

The CCF extends SHIM to describe configurable hardware elements and also defines a standard way to generate configuration UI by the tools that support it. The CCF describes the configurable items in a file called CCF XML; this is a separate XML file from the SHIM XML. Software tools using SHIM can utilize this mechanism to provide a Configuration tool user interface within its tool, or as a separate standalone tool. When the configuration tool is executed, along with the SHIM XML and CCF, it provides a mechanism to modify the specific parts of SHIM XML, according to the inputs made by the tool user, which can also be automated by the tool.

The SHIM XML and CCF are inter-linked via XPath, the XML Path Language (a query language for selecting nodes from an XML document). In addition, XPath may be used to compute values (e.g., strings, numbers, or Boolean values) from the content of an XML document.

Here is an example of an actual CCF:

```
ccf-sample-for-shim.xml
                                     <?xml version="1.0" encoding="UTF-8"?>↓
<ConfigurationSet xmlns:xsi="<a href="http://www.w3.org/2001/XMLSchema-instance">http://www.w3.org/2001/XMLSchema-instance</a>" name="CCF Sample for SHIM" xsi:noNamespac
                                     eSchemaLocation="ccf-schema.xsd"
                                           <DefineSet>↓
                                                <Def name="@sclock" path="/SystemConfiguration/ClockFrequency/@clockValue" uri="shim_sample_data.xml"/>\
                                                  <Def name="@cashSize" path="//Cache[@name='UnifiedCache_0_0_0']/@size" uri="shim_sample_data.xml"/>\
                                            </DefineSet>↓
                                            <Configuration formType="select" name="System clockValue-Select" path="/SystemConfiguration/ClockFrequency/@clockV
                                     alue" uri="shim_sample_data.xml
                                                <Item key="value" value="20.0"/>↓
<Item key="value" value="40.0"/>↓
                                                  <Item key="value" value="100.0"/>↓
                   10
                                            </Configuration>
                                           <\!\!\text{Configuration } \textcolor{red}{\textbf{formType}} = \textcolor{red}{\textbf{"expression" }} \textcolor{red}{\textbf{name}} = \textcolor{red}{\textbf{"Sample Expression" }} \textcolor{red}{\textbf{path}} = \textcolor{red}{\textbf{"/MasterComponent/ClockFrequency/@clockValue }} \textcolor{red}{\textbf{(Configuration }} \textcolor{red}{\textbf{(Confi
                   12
                                           uri="shim_sample_data.xml">
                                                <Expression>↓
                  14
15
                                                      <description>description</description>\downarrow
                                                       <Exp>@sclock * 2</Exp>
                                                  </Expression>↓
                   16
                                            </Configuration>
                                          18
                   19
                                   <Configuration formType="float" name="ClockFrequency:clockValue" path="/SystemConfiguration/ClockFrequency/@clockValue" uri="shim_sample_data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/>\data.xml"/\data.xml"/>\data.xml"/>\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml"/\data.xml
                                           <Configuration formType="bool" name="BooleValue Sample"/>↓
                                      </ConfigurationSet>↓
                   23 [EOF]
                                                                                                                                                                                                                                                                                                                                                                                                     Unicode(UTF-8)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         q 11pt
```

Figure 8. CCF example

This CCF, when opened by a CCF capable tool, will dynamically create a GUI like below (this is a CCF sample application available with source codes from MCA).

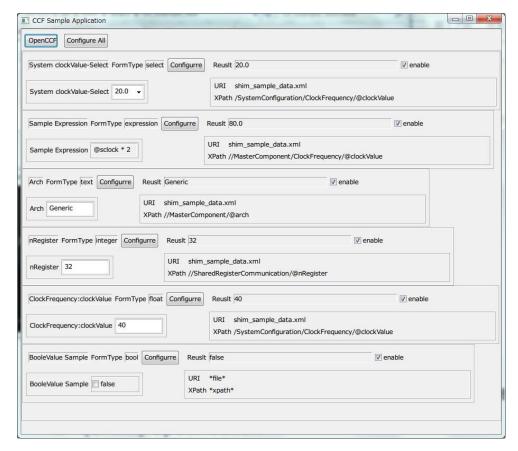


Figure 9. GUI generated by CCF

Please refer to Common Configuration File (CCF).

3. Roadmap

The first version of SHIM contains the fundamental and critical hardware properties that many tools will find useful. However, as mentioned in <u>Software view - what is in and what is not</u>, the SHIM authors have decided to follow an evolutionary approach and revise the standard regularly to include new relevant concepts. SHIM is an open technology and wider adoption will fuel its innovative use; this may require enhancements to the specification and we would like to remain open to such changes.

Properties that are under consideration for future versions of the spec include: debug/trace, power consumption, and basic peripheral components. A few other items are worth mentioning such as componentization of SHIM XML, hardware-related software properties, and schema refinement for smaller XML. Some of those items have already been addressed in SHIM 2.0.

3.1 Componentization of SHIM XML

The current version of SHIM must stand alone, meaning that a SHIM XML file should describe an executable hardware platform (e.g., a virtual simulator or an actual hardware board). However, a dedicated multi-many-core chip is rarely designed for each board, therefore, the same chip is often deployed in multiple boards. This means that separate SHIM XML files must exist for each board, though the SHIM XML description of the multi-manycore chip description is redundant for these two files.

Once SHIM's use starts to spread, it is natural to reuse a particular component description in a SHIM XML file among multiple SHIM XML files. This is essentially componentization of SHIM XML, a feature already under consideration for inclusion in the next major version of SHIM. Meanwhile, one can use an existing SHIM XML file resembling your target hardware as a basis of authoring a new SHIM XML file. SHIM Editor indeed supports editing of an existing SHIM XML file.

A challenge of componentizing SHIM XML is that a SHIM XML class, such as *MasterComponent*, contains properties that are static for the hardware board design it is being included with, and also properties that may differ depending on how it is integrated into a particular hardware board. The two must be decoupled in order to reuse the SHIM XML description of the *MasterComponent*. One idea is to use Common Configuration File (CCF), which allows for adjusting the performance value based on some other information, as long as it can be found in the final SHIM XML file or somewhere within the CCF.

Also, along with the componentization of SHIM XML itself, we are investigating the possibility to align SHIM with IP-XACT where appropriate, to ease SHIM XML authoring tools ability to support importing IP-XACT XML files to semi-automate authoring a SHIM XML using the relevant information contained in IP-XACT. The objects contained in the *ComponentSet*, at least the topology part and the object names, should be importable, while others are unique to SHIM XML and must be added.

SHIM 2.0 has gone further in the componentization of the system XML file by allowing to define the *CommonInstructionSet* of a processor in a separate XML file. This approach allows to avoid having to repeat identical *Instruction* definitions whenever the same processor core is used several times in a system. It also allows to reuse the same XML file across different systems that use the same processor core architecture.

SHIM 2.0 also introduces support for power modelling and vendor extensions with the *PowerConfiguration* and *VendorExtension* classes. To further improve componentization, power-related and vendor extension elements are hosted in separate files.

3.2 Hardware-Related Software Properties

In addition to the hardware properties that SHIM describes, some tools have a dependency on the system software properties such as the operating system and even some middleware. For example, for a parallelization design aid tool such as a parallelizing compiler, the performance of OS mutual exclusion primitives is critical in deciding on an appropriate lock mechanism for particular processing. Similarly, the tool may need to know the performance of some message passing mechanism. Currently, this kind of information is not included in SHIM, partly because it

will require separate SHIM XML files for different system software implementations, along with the library interface definition; a future version of SHIM may extend its coverage into this kind of information.

SHIM 2.0 introduces the power XML files, which allows to specify the power performance of various system components. This information, which is related to hardware, is indeed increasingly more relevant for multi-core software as the software implementation of a solution might have to be adapted – possibly automatically by a tool - to lower power consumption and fit the application power budget.

3.3 Schema Refinement for Smaller XML

The SHIM schema is intended to be simple, while allowing it to support both homogeneous and heterogeneous hardware. This has led to using repetitive sets of lines in the XML for homogeneous hardware that have multiple instances of the same component, like a hardware composed of multiple instances of the same cluster configuration. If the clusters are heterogeneous, with each cluster having a different configuration of processing cores, then the number of XML lines does not change but they will have different lines. If SHIM can provide a mechanism to express the redundancy in the schema, the size of SHIM XML file for homogenous hardware can be reduced. We intend to consider this along with Componentization of SHIM XML.

4. SHIM Interface

The major part of the SHIM interface is the SHIM XML schema itself. Therefore, understanding the schema comprises the major part of understanding the interface. The basics are described in the chapter SHIM Concepts and it assumes the schema is divided into the following groups:

- Enumeration
- SystemConfiguration
- ComponentSet
- AddressSpaceSet
- CommunicationSet
- FrequencyVoltageSet
- ContentionGroupSet
- PowerConfiguration
- VendorExtension

For each group above, the schema and the description are explained in the following sections. For each object or XML element contained in each group, the description and example XML are provided.

The schema is converted into different programming language bindings, using various schema compilers. The SHIM specification does not specify the programming language as this can vary according to the nature of the tools and intended use cases. However, Java is assumed to be one of the primary languages used and the Java class library interface of SHIM, called the SHIM API library, is also provided. Some utility interfaces are defined along with the reference implementation in Java to further ease the programming using of the SHIM class libraries.

The following sections describe each part, detailing the XML elements and their attributes, along with a pointer to the Java class library interface.

4.1 shim 20.xsd

The SHIM XML schema file. Please refer to the following sections for description of elements.

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema targetNamespace="http://www.multicore-association.org/2017/SHIM2.0/"</pre>
          xmlns="http://www.multicore-association.org/2017/SHIM2.0/"
          xmlns:xs="http://www.w3.org/2001/XMLSchema">
 <xs:element name="Shim" type="Shim"/>
 <xs:simpleType name="CacheCoherencyType">
   <xs:restriction base="xs:string">
     <xs:enumeration value="HARDWARE"/>
      <xs:enumeration value="SOFTWARE"/>
   </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="CachePrefetchType">
    <xs:restriction base="xs:string">
      <xs:enumeration value="ALWAYS"/>
      <xs:enumeration value="NEVER"/>
     <xs:enumeration value="ONCE"/>
      <xs:enumeration value="ONMISS"/>
   </xs:restriction>
 </xs:simpleTvpe>
  <xs:simpleType name="CacheReplacementType">
    <xs:restriction base="xs:string">
     <xs:enumeration value="FIFO"/>
     <xs:enumeration value="LRU"/>
     <xs:enumeration value="RANDOM"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="CacheType">
    <xs:restriction base="xs:string">
     <xs:enumeration value="DATA"/>
      <xs:enumeration value="INSTRUCTION"/>
      <xs:enumeration value="UNIFIED"/>
    </xs·restriction>
```

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```
</xs:simpleType>
<xs:simpleType name="CacheWriteAllocateType">
  <xs:restriction base="xs:string">
    <xs:enumeration value="ALWAYS"/>
    <xs:enumeration value="NEVER"/>
    <xs:enumeration value="NOFETCH"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CacheWriteBackType">
  <xs:restriction base="xs:string">
    <xs:enumeration value="ALWAYS"/>
    <xs:enumeration value="NEVER"/>
    <xs:enumeration value="NOFETCH"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="DataRateUnitType">
  <xs:restriction base="xs:string">
    <xs:enumeration value="B/s"/>
    <xs:enumeration value="KiB/s"/>
    <xs:enumeration value="MiB/s"/>
   <xs:enumeration value="GiB/s"/>
    <xs:enumeration value="TiB/s"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="EndianType">
  <xs:restriction base="xs:string">
   <xs:enumeration value="LITTLE"/>
    <xs:enumeration value="BIG"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="FrequencyUnitType">
  <xs:restriction base="xs:string">
    <xs:enumeration value="Hz"/>
    <xs:enumeration value="KHz"/>
    <xs:enumeration value="MHz"/>
    <xs:enumeration value="GHz"/>
  </xs:restriction>
</xs:simpleType>
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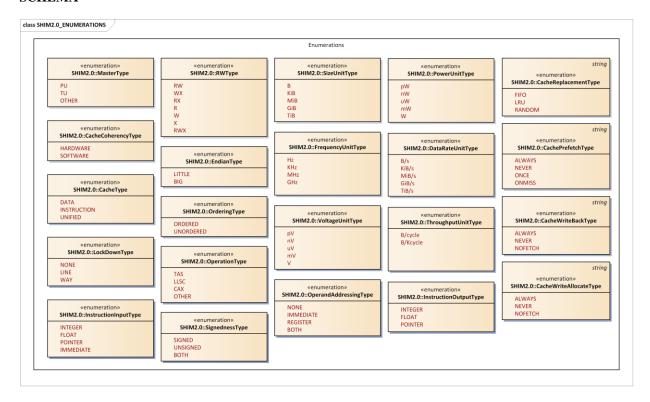
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  </xs:complexType>
  <xs:complexType name="SystemConfiguration">
    <xs:sequence>
      <xs:element name="ComponentSet" type="ComponentSet" minOccurs="1" maxOccurs="1"/>
      <xs:element name="CommunicationSet" type="CommunicationSet" minOccurs="0" maxOccurs="1"/>
<xs:element name="AddressSpaceSet" type="AddressSpaceSet" minOccurs="0" maxOccurs="1"/>
      <xs:element name="FrequencyVoltageSet" type="FrequencyVoltageSet" minOccurs="1" maxOccurs="1"/>
      <xs:element name="ContentionGroupSet" type="ContentionGroupSet" minOccurs="0" maxOccurs="1"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="SystemConfigurationFile">
      <xs:attribute name="id" use="optional" type="xs:ID"/>
    <xs:attribute name="name" use="optional" type="xs:string"/>
    <xs:attribute name="src" use="optional" type="xs:string"/>
  </xs:complexType>
  <xs:complexType name="Throughput">
      <xs:attribute name="value" use="required" type="xs:nonNegativeInteger"/>
    <xs:attribute name="unit" use="optional" type="ThroughputUnitType"/>
    <xs:attribute name="frequencyDomainRef" use="required" type="xs:IDREF"/>
  </xs:complexType>
  <xs:complexType name="VendorExtension">
    <xs:sequence>
      <xs:element name="SystemConfigurationFile" type="SystemConfigurationFile" minOccurs="0"</pre>
maxOccurs="unbounded"/>
      <xs:element name="PowerConfigurationFile" type="PowerConfigurationFile" minOccurs="0"</pre>
maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="VoltageDomain">
     <xs:attribute name="name" use="required" type="xs:string"/>
    <xs:attribute name="id" use="required" type="xs:ID"/>
  </xs:complexType>
</xs:schema>
```

4.2 Conventions

- The interface is grouped into Enumeration, SystemConfiguration, ComponentSet, FrequencyVoltageSet, AddressSpaceSet, CommunicationSet. and ContentionGroupSet
- Each group has SCHEMA and DESCRIPTION.
- Each group describes its objects in separate subsections. These have **DESCRIPTION** and **EXAMPLE**.
- The objects and attributes use **bold** style, and the types use *italic*.

4.3 Enumeration

SCHEMA



DESCRIPTION

Enumeration is a special group which defines various constants used in some of the SHIM object attributes. The objects use the constants as values for selected attributes. When the attributes take one enumeration as its value, its attribute types specify which enumeration type it uses.

The following enumeration types are defined:

- *MasterType* specifies a type of *MasterComponent*. The values can be one of PU (Processor Unit, such as CPU), TU (Transfer Unit, such as DMA), or OTHER.
- *CacheCoherencyType* specifies the type of cache coherency mechanism supported. It can be either HARDWARE for hardware-based coherency or SOFTWARE for software-based coherency.
- *CacheType* specifies the type of cache, which can be DATA for data cache, INSTRUCTION for instruction cache, and UNIFIED for a unified cache.
- *LockDownType* specifies the type of supported cache content lockdown operation. The values can be one of LINE for line-lockdown, WAY for way-lockdown, and NONE if the lockdown is not supported.
- *RWType* specifies memory access types, which can be R for read, W for write, X for execute, RW for both R and W, RWX for all of R, W and X, WX for both W and X, and RX for both R and X.
- *EndianType* specifies the endian, or byte-order.
- *OrderingType* specifies the memory consistency model. It can be ORDERED for ordered memory consistency or UNORDERED for unordered memory consistency.
- *OperationType* specifies the type of shared memory communication, which can be TAS for Test and Set, LLSC for Load-link/Store Conditional, CAX for Compare and Exchange, and OTHER for other unspecified operation.

- *SizeUnitType* specifies the unit for data size, which can be B for byte, KiB for kilo binary byte, MiB for mega binary byte, GiB for giga binary byte, and TiB for tera binary byte.
- Frequency Unit Type specifies the unit for frequencies, which can be Hz, kHz, MHz, or GHz.
- *Voltage Unit Type* specifies the unit for voltages, which can be V, mV, uV, nV, or pV.
- PowerUnitType specifies the unit for power values, which can be W, mW, uW, nW, or pW.
- Datarate Unit Type specifies the unit for data rate, in terms of amount of data transferred per second, which can be B/s for byte per second, KiB/s for kilo binary byte per second, MiB/s for mega binary byte per second, GiB/s for giga binary byte per second or TiB/s for tera binary byte per second.
- *ThroughputUnitType* specifies the unit for data throughput, in term of amount of data transferred per cycle, which can be B/cycle for byte per cycle, B/Kcycle for binary byte per kilo cycle (1000 cycles).
- SignednessType specifies the supported signedness of an instruction, which could be SIGNED if the instruction exclusively supports signed operation (e.g. signed multiply), UNSIGNED is the instruction exclusively supports unsigned operations (e.g. unsigned multiply), or BOTH if the instruction supports both types of operation.
- *InstructionInputType* specifies the type of an instruction input, the input type can either be INTEGER if it is an integer value, FLOAT it is a float or double value, POINTER if it is a memory pointer, or IMMEDIATE if it is a constant value included in the instruction binary code.
- *InstructionOutputType* specifies the type of an instruction output, the output type can either be INTEGER if it is an integer value, FLOAT it is a float or double value, or a POINTER if it is a memory pointer.
- *CacheReplacementType* specifies the replacement policy of cache. The policy can be FIFO (first-in, first-out), LRU (least recently used) or random
- *CachePrefetchType* specifies the prefetch mechanism of a cache. The mechanism can be ALWAYS if the cache always initiates a prefetch, ONMISS if it prefetches only on cache misses, ONCE if it prefetches only on the first cache miss per cache line, or NEVER if it never prefetches.
- CacheWriteBackType specifies the writeback policy of a cache. The policy can be ALWAYS if the dirty data is always held in the cache and written back towards memory later, NEVER if the cache implements a write-through policy, or NOFETCH if the dirty data is held in the cache as long as no fetch is required. (Note: a fetch would be required if the write was not for an integral number of cache lines).
- CacheWriteAllocateType specifies the write allocation policy of a cache. The policy can be ALWAYS if the cache allocates a new cache line on every write miss, NEVER if it never allocates a new cache line, or NOFETCH if it allocates a new cache line on a write miss as long as no fetch is required. (Note: a fetch would be required if the write was not for an integral number of cache lines).

See examples for objects that use these types in the following sections.

4.4 Shim

The Shim object is a root object. All shim XML starts with this object as root.

It may have only one of the following objects: SystemConfiguration, PowerConfiguration, VendorExtension, or FunctionalUnitSet.

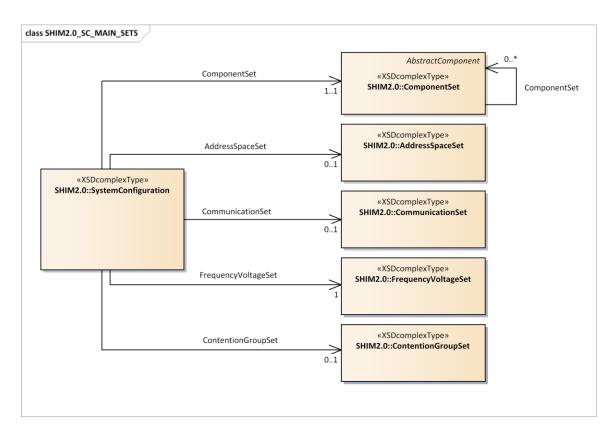
- **name** (mandatory; type: *string*): the name of this SHIM description.
- **shimVersion** (mandatory; type: *string*): the version SHIM interface specification. For this version of SHIM interface, it is "2.0". It may be trailed with minor revision numbers (e.g., "2.0.1").

EXAMPLE

```
<shim:Shim
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
   xmlns:shim="http://www.multicore-association.org/2017/SHIM2.0/"
   xsi:schemaLocation="http://www.multicore-association.org/2017/SHIM2.0/ shim20.xsd"
   name="MySystem" shimVersion="2.0">
<SystemConfiguration>
    <ComponentSet name="Cluster_0"></ComponentSet>
    <CommunicationSet></CommunicationSet>
    <AddressSpaceSet></AddressSpaceSet>
    <FrequencyVoltageSet>
        <FrequencyDomain name="fd main"/>
        <OperatingPointSet>
            <OperatingPoint name="op default" frequency="500" frequencyUnit="MHz"/>
        </OperatingPointSet>
    </FrequencyVoltageSet>
</SystemConfiguration>
</shim:Shim>
```

4.5 SystemConfiguration

SCHEMA



DESCRIPTION

All SHIM system description XML starts with the SystemConfiguration object.

A SystemConfiguration object has one ComponentSet, one FrequencyVoltageSet, and zero or one AddressSpaceSet, CommunicationSet. and ContentionGroupSet.

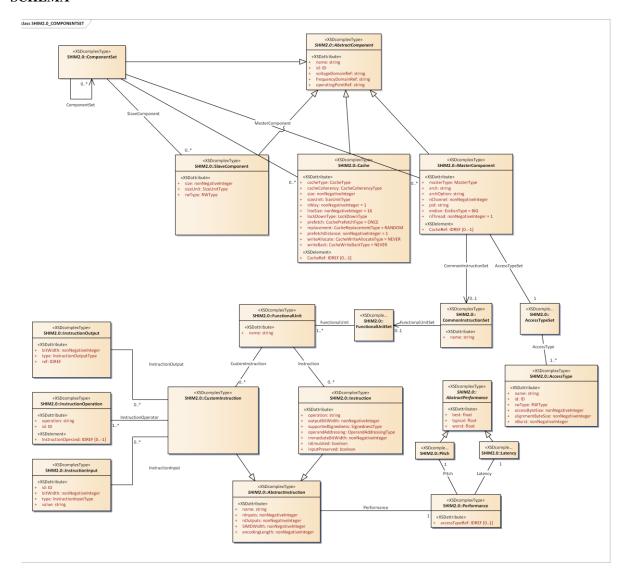
Refer to ComponentSet, FrequencyVoltageSet, AddressSpaceSet, CommunicationSet and ContentionGroupSet for more information about those objects.

EXAMPLE

See Shim

4.6 ComponentSet

SCHEMA



DESCRIPTION

ComponentSet is the root of the hardware component topology description that SHIM contains. It has a name (mandatory) attribute. It may have MasterComponent, Cache, SlaveComponent, or another ComponentSet.

4.6.1 MasterComponent

DESCRIPTION

MasterComponent is a processor core, accelerator (including DMA accelerator), or any other type of component that can be a master. It has the following objects and/or attributes.

- AccessTypeSet (mandatory): refer to AccessTypeSet.
- CommonInstructionSet (optional): refer to CommonInstructionSet.
- **name** (mandatory; type *string*): the name of this object. It should follow the same text used in the hardware reference manual.

- **id** (mandatory; type *ID*): the ID of this object.
- masterType (mandatory): the type of master and *MasterType*
- **arch** (mandatory; type *string*): specifies the name of this component's architecture and is intended mostly for describing processor instruction architecture. It is advised to use the official identifier for the ISA generally found in the architecture reference manual or similar.
- archOption (optional): specifies additional architecture properties.
- **pid** (optional): the ID of this *MasterComponent*. It is intended to be used for processor core id when the processor has some way of identifying the processor core when there are multiple cores. The scheme for describing the processor ID can be different, and it should follow the semantics used in the architecture reference manual of the processor. Since ID may not be expressed by an integer or single integer, this attribute is of type *string*.
- **nChannel** (optional; type *nonNegativeInteger*): specifies the number of channels and is intended for describing a number of channels of DMA, when **masterType** is **TU**.
- **nThread** (optional; type *nonNegativeInteger*): specifies the number of hardware thread, and intended for a processor core that supports hardware-threading.
- **endian** (optional; type *EndianType*): the endianness of this object.
- **frequencyDomainRef** (optional; type *IDREF*): the ID of the related frequency domain, see <u>FrequencyDomain</u> for more information.
- **voltageDomainRef** (optional; type *IDREF*): the ID of the related voltage domain, see <u>VoltageDomain</u> for more information
- **operatingPointSetRef** (optional; type *ID*): the ID of the related operating point set, see <u>OperatingPointSet</u> for more information.
- CacheRef (optional; type *IDREF*): specifies the *id* of a *Cache* object that is one level from *MasterComponent*. Several CacheRef elements can be specified.

```
<MasterComponent name="Core 0 0 0" id="SHIMEDITOR25331849408820141005130142974" masterType="PU"</pre>
    arch="Generic" archOption="" pid="16" nThread="1" endian="LITTLE">
    <CommonInstructionSet name="LLVM Instructions">
    <FunctionalUnit name="B">
        <Instruction name="B IMM" operation="br" nInputs="1" nOutputs="1" outputBitWidth="64"</pre>
                     encodingLength="32" addressingMode="IMMEDIATE" addressingImmWidth="64">
                <Pitch best="1.0" typical="1.0" worst="1.0" />
                <Latency best="1.0" typical="1.0" worst="1.0" />
            </Performance>
        </Instruction>
    <CacheRef> SHIMEDITOR8622411901820141005130145548</CacheRef>
    </CommonInstructionSet>
    <AccessTypeSet>
       <AccessType name="AT 0 0 0 0 0" id="SHIMEDITOR27237422393120141005130145551" rwType="R"</pre>
    accessByteSize="4" alignmentByteSize="4" nBurst="8"/>
    </AccessTypeSet>
</MasterComponent>
```

4.6.2 **SlaveComponent**

DESCRIPTION

SlaveComponent is for describing a slave device such as memory. It has the following objects and/or attributes:

- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *ID*): the ID of this object.
- **size** (mandatory; type *nonNegativeInteger*): the size of this memory.
- **sizeUnit** (mandatory; type *SizeUnitType*): the unit of **size**.
- **rwType** (mandatory; type *RWType*): specifies this memory is readable and/or writable.
- **frequencyDomainRef** (optional; type *ID*): the ID of the related frequency domain, see <u>FrequencyDomain</u> for more information.
- **voltageDomainRef** (optional; type *ID*): the ID of the related voltage domain, see <u>VoltageDomain</u> for more information
- **operatingPointSetRef** (optional; type *ID*): the ID of the related operating point set, see <u>OperatingPointSet</u> for more information.

EXAMPLE

```
<SlaveComponent name="Memory_0_0_0" id="SHIMEDITOR8181774865020141005130142975" size="128"
sizeUnit="KiB" rwType="RW"/>
```

4.6.3 Cache

DESCRIPTION

This object describes a cache with the following objects and/or attributes:

- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *ID*): the ID of this object.
- **cacheType** (mandatory; type *CacheType*): specifies this cache type.
- **cacheCoherency** (mandatory; type *CacheCoherencyType*): specifies what cache coherency mechanism is provided.
- **size** (mandatory; type *nonNegativeInteger*): this cache size.
- **sizeUnit** (mandatory; type *SizeUnitType*): the unit of **size**.
- **nWay** (optional; type *nonNegativeInteger*): specifies the number of cache ways.
- **lineSize** (optional; type *nonNegativeInteger*): specifies the cache line size.
- **lockDownType** (optional; type *LockDownType*): specifies the supported cache lock down operation.
- **prefetch** (optional; type *CachePrefetchType*): defines the cache prefetch policy.
- **replacement** (optional; type *CacheReplacementType*): defines the cache replacement policy.
- **prefetchDistance** (optional; type *nonNegativeInteger*): defines the number of cache lines that are prefetched (for the selected prefetch policy).

- writeAllocate (optional; type CacheWriteAllocateType): defines the cache write allocation policy.
- writeBack (optional; type CacheWriteBackType): defines the cache writeback policy.
- CacheRef (optional; type *IDREF*): specifies the *id* of another *Cache* that is one level away from *MasterComponent*. Several CacheRef elements can be specified.
- **frequencyDomainRef** (optional; type *ID*): the ID of the related frequency domain, see FrequencyDomain for more information.
- **voltageDomainRef** (optional; type *ID*): the ID of the related voltage domain, VoltageDomain for more information
- **operatingPointSetRef** (optional; type *ID*): the ID of the OperatingPointSet defining the operating points of this component.

```
<Cache name="UnifiedCache_0_0_0" id="SHIMEDITOR8622411901820141005130145548"
  cacheType="UNIFIED" cacheCoherency="SOFTWARE" size="64" sizeUnit="KiB" nWay="16"
  lineSize="128" lockDownType="LINE">
  <CacheRef>SHIMEDITOR8622411901820141005130145549</CacheRef>
</Cache>
```

4.6.4 AccessTypeSet

DESCRIPTION

This object bundles one or more AccessType. It has the following objects and/or attributes:

AccessType (mandatory): refer to <u>AccessType</u>.

EXAMPLE

4.6.5 AccessType

DESCRIPTION

This object describes the type of access, mostly intended for, but not limited to, memory access by a processor. It has the following objects and/or attributes.

- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *ID*): the ID of this object.
- **rwType** (optional; type *RWType*): specifies the type of access.
- accessByteSize (optional; type nonNegativeInteger): specifies the data size of access in bytes.
- alignmentByteSize (optional; type nonNegativeInteger) specifies the alignment requirement in byte of this
 access.
- **nBurst** (optional; type *nonNegativeInteger*): specifies the burst length. The burst size is accessByteSize. It is mostly intended for masterType=TU.

See AccessTypeSet.

4.6.6 CommonInstructionSet

DESCRIPTION

This object allows to specify the *Instructions* and *CustomInstructions* supported by the *MasterComponent* and the *FunctionalUnits* that composes it.

The *MasterComponent*'s Instructions and *CustomInstructions* are defined in terms of instructions or sequence of instructions of a reference instruction set (see <u>Instruction</u> and <u>CustomInstruction</u> for more details). This reference instruction set is expected to be the LLVM instruction set³, although it is not explicitly enforced by the XML schema to allow for extensions or alternative instruction sets.

The CommonInstructionSet can either contain a FunctionalUnitSet or a FunctionalUnitSetFile, but not both.

- name (mandatory; type string): the name of the supported instruction set e.g. "LLVM Instructions".
- This object only accepts a single child, among the following objects:
 - o FunctionalUnitSet: refer to FunctionalUnitSet.
 - FunctionalUnitSetFile: refer to FunctionalUnitSetFile. This is the recommended way of integrating the FunctionalUnitSet in your SHIM system XML.

EXAMPLE

```
<CommonInstructionSet name="LLVM Instructions">
<FunctionalUnitSet>
<FunctionalUnit name="BR">
   <Instruction operation="ret" outputBitWidth="32">
        <Performance>
            <Pitch best="10.0" typical="10.0" worst="10.0"/>
            <Latency best="10.0" typical="10.0" worst="10.0"/>
        </Performance>
   </Tnstruction>
   <Instruction operation="br" outputBitWidth="32">
        <Performance>
            <Pitch best="10.0" typical="10.0" worst="10.0"/>
            <Latency best="10.0" typical="10.0" worst="10.0"/>
   </Instruction>
</FunctionalUnit>
</FunctionalUnitSet>
</CommonInstructionSet>
```

4.6.7 FunctionalUnitSet

DESCRIPTION

This object lists the FunctionalUnit that composes the related MasterComponent.

EXAMPLE

See CommonInstructionSet

³ http://llvm.org/docs/LangRef.html#instruction-reference

4.6.8 FunctionalUnitSetFile

DESCRIPTION

This object provides a reference to an external file defining the *FunctionalUnits* composing the related *MasterComponent*.

• **src** (mandatory; type *string*): the path to the referenced external file, this path is relative to the system XML file

EXAMPLE

See CommonInstructionSet

4.6.9 FunctionalUnit

DESCRIPTION

This object allows to define the *FucntionalUnits* of the related *MasterComponent*. Each *FunctionalUnit* object lists the *Instructions* and *CustomInstructions* that they support.

• **name** (mandatory; type *string*): the name of the functional unit, it is recommended to use identical names as in the *MasterComponent* technical reference manual.

EXAMPLE

See CommonInstructionSet

4.6.10 Instruction

DESCRIPTION

This object describes an instruction supported by the *MasterComponent* in the related *FunctionalUnit*. It has following objects and/or attributes:

- **name** (mandatory; type *string*): the name of this object.
- **operation** (mandatory; type *string*): the name of the operation or instruction in the reference instruction set that is supported by this Instruction (e.g. "add" or "getelementptr" in LLVM instruction set).
- **nInputs** (mandatory; type *nonNegativeInteger*): the number of input of this instruction.
- **nOutputs** (mandatory; type *nonNegativeInteger*): the number of outputs of this instruction.
- **outputBitWidth** (mandatory; type *nonNegativeInteger*): specifies the bit size of the instruction output result
- **Performance** (mandatory): refer to <u>Performance</u>.
- **supportedSignedness** (optional; type *nonNegativeInteger*): specifies if the instruction supports signed execution, unsigned execution, or both.
- **operandAddressing** (optional; type *OperandAdressingType*): specifies if the instruction can provide its operands using a register reference, an immediate encoded in the instruction, or both.
- **immediateBitWidth** (optional; type *nonNegativeInteger*): if the instruction supports immediate operands (see *operandAdressing*), this attribute specifies the maximum supported immediate bit size.

- **encodingLength** (optional; type *nonNegativeInteger*): specifies the bit size of the corresponding instruction.
- **SIMDWidth** (optional; type *nonNegativeInteger*): if the instruction supports SIMD execution, this attribute defines its amount of data-parallelism.
- **isEmulated** (optional; type *boolean*): allows to indicate that the MasterComponent supports the corresponding Instruction operation using software emulation, for instance by using a call to a library (e.g. some CPU do not have floating point ALUs and support floating operations using software libraries).
- **inputPreserved** (optional; type *boolean*): set to false if the instruction overwrites one of its input register, set to true if the instruction do not change the content of its input register.

See CommonInstructionSet.

4.6.11 CustomInstruction

DESCRIPTION

This object allows to define an instruction that is supported by the *MasterComponent*, but can only be described by a combination of instructions from the reference instruction set and/or a specific configuration of inputs (e.g. an implied constant value).

A *CustomInstruction* must define its inputs using *InstructionInput* objects, the operations it performs using one or several *InstructionOperation* objects, and its outputs using *InstructionOutput* objects.

- **name** (mandatory; type *string*): the name of this object.
- **nInputs** (mandatory; type *nonNegativeInteger*): the number of input of this instruction.
- **nOutputs** (mandatory; type *nonNegativeInteger*): the number of outputs of this instruction.
- **Performance** (mandatory): refer to <u>Performance</u>.
- **InstructionInput** (optional): refer to **InstructionInput**.
- **InstructionOperation** (optional): refer to <u>InstructionOperator</u>.
- InstructionOutput(optional): refer to <u>InstructionOutput</u>.

EXAMPLE

```
<CustomInstruction name="FMADD" nInputs="3" nOutputs="1">
   <Performance>
       <Pitch best="1.66" typical="1.66" worst="1.0" />
        <Latency best="10.0" typical="10.0" worst="10.0" />
   </Performance>
   <!-- Inputs -->
   <InstructionInput id="FMADD_IN_A" bitWidth="32" type="FLOAT"/>
   <InstructionInput id="FMADD IN B" bitWidth="32" type="FLOAT"/>
   <InstructionInput id="FMADD_IN_C" bitWidth="32" type="FLOAT"/>
    <!-- Operations -->
   <InstructionOperation id="FMADD_MULT" operation="mult">
        <InstructionOperand>FMADD IN B</InstructionOperand>
       <InstructionOperand>FMADD IN C</InstructionOperand>
   </InstructionOperation>
    <InstructionOperation id="FMADD MULT ADD" operation="add">
        <InstructionOperand>FMADD IN A</InstructionOperand>
        <InstructionOperand>FMADD MULT</InstructionOperand>
   </InstructionOperation>
   <!-- Outputs -->
   <InstructionOutput ref="FMADD MULT ADD" bitWidth="32" type="FLOAT" />
</CustomInstruction>
```

4.6.12 InstructionInput

DESCRIPTION

This object allows to define a CustomInstruction input.

- **id** (mandatory; type *string*): the id of this object.
- bitWidth (mandatory; type nonNegativeInteger): specifies the bit size of the instruction input
- **type** (mandatory; type *InstructionInputType*): specifies the type of the input, it can either be INTEGER if the input is an integer, FLOAT if the input is a floating-point value, IMMEDIATE if the value is provided by the instruction or POINTER is the input is a memory pointer.
- **value** (optional, type *string*): if the instruction input type is IMMEDIATE, this attribute allows to provide the immediate value.

EXAMPLE

See CustomInstruction.

4.6.13 InstructionOperation

DESCRIPTION

This object allows to define a *CustomInstruction* operation. This object can have zero, one or several *InstructionOperands* to define the operands on which it performs the operation. The result of this object can be used as the operand of another *InstructionOperation* object or as a *CustomInstruction* output.

- **id** (mandatory; type *string*): the id of this object.
- **operation** (mandatory; type *string*): specifies the operation from the reference instruction set provided by this object.
- **InstructionOperand** (optional, type *IDREF*): specify one or several id references of *InstructionInputs* that are used as this object operands. Alternatively, it can also be the id of another *InstructionOperation* object whose result is then used as this object operand.

EXAMPLE

See CustomInstruction.

4.6.14 InstructionOutput

DESCRIPTION

This object allows to define a *CustomInstruction* output.

- **bitWidth** (mandatory; type *nonNegativeInteger*): specifies the bit size of the instruction output.
- **type** (mandatory; type *InstructionOutputType*): specifies the type of the output, it can either be INTEGER if the output is an integer, FLOAT if the output is a floating-point value or POINTER is the output is a memory pointer.
- **ref** (mandatory; type *IDREF*): the id reference of the value provided by this instruction output. It can either be a *InstructionInput* object id or a *InstructionOperation* object id of the related *CustomInstruction*.

See CustomInstruction.

4.6.15 Performance

DESCRIPTION

This object describes performance. It has the following objects and/or attributes:

- Latency (mandatory): refer to <u>Latency</u>.
- **Pitch** (mandatory): refer to <u>Pitch</u>.
- **accessTypeRef** (optional; type *IDREF*) a reference to <u>AccessType</u> **id**. This is intended to be used when describing the performance of memory access.

EXAMPLE

See CommonInstructionSet.

4.6.16 **Latency**

DESCRIPTION

It has the following objects and/or attributes. Refer to Latency and Pitch.

- **best** (optional; type *float*): the number of processor cycles for the best-case latency.
- **typical** (mandatory; type *float*): the number of processor cycles for the typical latency.
- worst (optional; type *float*): the number of processor cycles for the worst-case latency.

EXAMPLE

See CommonInstructionSet.

4.6.17 Pitch

DESCRIPTION

It has following objects and/or attributes:

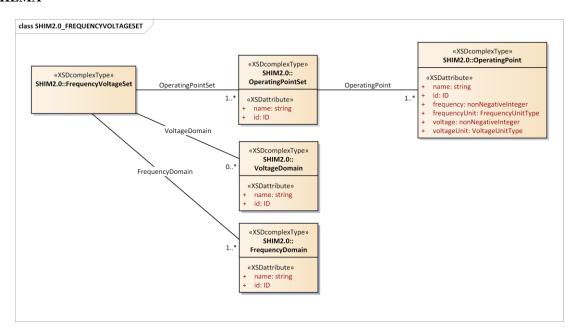
- **best** (optional; type *float*): the number of processor cycles for the best-case pitch.
- **typical** (mandatory; type *float*): the number of processor cycles for the typical pitch.
- worst (optional; type *float*): the number of processor cycles for the worst-case pitch.

EXAMPLE

See CommonInstructionSet.

4.7 FrequencyVoltageSet

SCHEMA



DESCRIPTION

FrequencyVoltageSet allows to describe the system FrequencyDomains, VoltageDomains, and OperatingPoints.

4.7.1 FrequencyDomain

DESCRIPTION

A Frequency Domain allows to define a group of components that share the same clock frequency.

It has following objects and/or attributes:

- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *string*): the id of this object.

EXAMPLE

<FrequencyDomain name="FD_CPU_CLUSTER" id="SHIMEDITOR29805129821320141005130145552" />

4.7.2 VoltageDomain

DESCRIPTION

A VoltageDomain allows to define a group of components that share the same input voltage.

It has following objects and/or attributes:

- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *string*): the id of this object.

EXAMPLE

<VoltageDomain name="VD_CPU_CLUSTER" id="SHIMEDITOR29805129821320141005130145553" />

4.7.3 OperatingPointSet

DESCRIPTION

An *OperatingPointSet* allows to define a list of valid operating points sahred by a set of system components. A SHIM system description must contain at least one *OperatingPointSet* in its *FrequencyVoltageSet*. It has following objects and/or attributes:

- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *string*): the id of this object.

EXAMPLE

4.7.4 **OperatingPoint**

DESCRIPTION

An OperatingPoint defines a component operating point.

It has following objects and/or attributes:

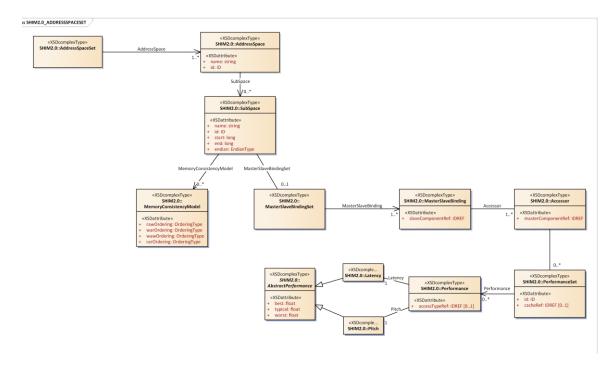
- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *string*): the id of this object.
- **frequency** (mandatory; type *nonNegativeInteger*): the operation point clock frequency value
- **frequencyUnit** (optional; type *FrequencyUnitType*): define the unit of the related clock frequency value (see *frequency* attribute)
- voltage (optional; type nonNegativeInteger): the operation point input voltage value
- **voltageUnit** (optional; type *VoltageUnitType*): define the unit of the related input voltage value (see *voltage* attribute)

EXAMPLE

See OperatingPointSet

4.8 AddressSpaceSet

SCHEMA



DESCRIPTION

AddressSpaceSet describes how the memory address spaces are organized and which MasterComponent is bound to which SlaveComponent.

4.8.1 AddressSpace

DESCRIPTION

It has following objects and/or attributes:

- **SubSpace** (optional): refer to <u>SubSpace</u>.
- name (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *ID*): the ID of this object.

```
<AddressSpaceSet>
    <AddressSpace name="AS 0 0" id="SHIMEDITOR22375265206920141005130143354">
       <subSpace name="SS 0 0 0" id="SHIMEDITOR9140938132320141005130143355" start="0"</pre>
    end="128"
                  endian="LITTLE">
            <MemoryConsistencyModel rawOrdering="ORDERED" warOrdering="ORDERED"</pre>
                                     wawOrdering="ORDERED" rarOrdering="ORDERED"/>
            <MasterSlaveBindingSet>
                <MasterSlaveBinding slaveComponentRef="SHIMEDITOR8181774865020141005130142975">
                    <Accessor masterComponentRef="SHIMEDITOR25331849408820141005130142974">
                       <PerformanceSet id="SHIMEDITOR27237422393120141005130145545"</pre>
                                       cacheRef="SHIMEDITOR27237422393120141005130178945">
                          <Performance accessTypeRef="SHIMEDITOR27237422393120141005130145551">
                              <Pitch best="10.0" typical="10.0" worst="10.0"/>
                              <Latency best="10.0" typical="10.0" worst="10.0"/>
                          </Performance>
                          <Performance accessTypeRef="SHIMEDITOR29805129821320141005130145552">
                              <Pitch best="10.0" typical="10.0" worst="10.0"/>
                              <Latency best="10.0" typical="10.0" worst="10.0"/>
                          </Performance>
                       </PerformanceSet>
                    </Accessor>
                </MasterSlaveBinding>
             </MasterSlaveBindingSet>
         </SubSpace>
    </AddressSpace>
<AddressSpaceSet>
```

4.8.2 SubSpace

DESCRIPTION

This object describes a segment in *AddressSpace*. It has the following objects and/or attributes:

- MasterSlaveBindingSet (mandatory): refer to <u>MasterSlaveBindingSet</u>.
- MemoryConsistencyModel (optional): refer to MemoryConsistencyModel.
- **name** (mandatory; type *string*): the name of this object.
- **id** (mandatory; type *ID*): the ID of this object.
- **start** (mandatory; type *long*): the start address.
- **end** (mandatory; type *long*): the end address.
- **endian** (optional; type *EndianType*): the endianness of this object.

EXAMPLE

See AddressSpace.

4.8.3 MemoryConsistencyModel

DESCRIPTION

It has the following objects and/or attributes:

- rawOrdering (optional; type OrderingType): specifies the memory ordering of read-after-write access.
- warOrdering (optional; type OrderingType): specifies the memory ordering of write-after-read access.
- wawOrdering (optional; type OrderingType) specifies the memory ordering of write-after-write access.
- rarOrdering (optional; type OrderingType): specifies the memory ordering of read-after-read access.

EXAMPLE

See AddressSpace.

4.8.4 MasterSlaveBindingSet

DESCRIPTION

It has the following objects and/or attributes:

• MasterSlaveBinding (mandatory): refer to MasterSlaveBinding.

EXAMPLE

See AddressSpace.

4.8.5 MasterSlaveBinding

DESCRIPTION

This object binds a MasterComponent to a SlaveComponent. It has the following objects and/or attributes:

- Accessor (mandatory): refer to <u>Accessor</u>.
- **slaveComponentRef** (mandatory; type *IDREF*): specifies the **id** of *SlaveComponent*.

EXAMPLE

See AddressSpace.

4.8.6 Accessor

DESCRIPTION

It has the following objects and/or attributes:

- **PerformanceSet** (optional): refer to <u>PerformanceSet</u>.
- masterComponentRef (mandatory; type IDREF) specifies the id of MasterComponent.

EXAMPLE

See AddressSpace.

4.8.7 **PerformanceSet**

DESCRIPTION

This groups one or more **Performance**. It has the following objects and/or attributes:

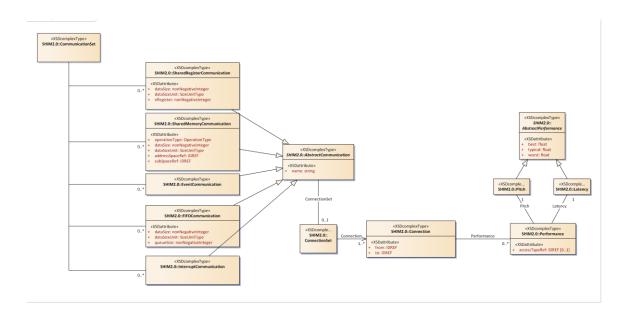
- **id** (mandatory, type *ID*): defines the id of this object.
- **cacheRef** (optional, type *IDREF*): this attribute allows to specify a cache that intercepts the related memory access, i.e. the cache where the related memory access produces a cache hit.
- **Performance** (optional): refer to <u>Performance</u>.

EXAMPLE

See AddressSpace.

4.9 CommunicationSet

SCHEMA



DESCRIPTION

CommunicationSet describes the available MasterComponent-to-MasterComponent communication. There are six objects that describe different types of communication.

4.9.1 FIFOCommunication

DESCRIPTION

This object describes FIFO-based communication. It has the following objects and/or attributes:

- ConnectionSet (mandatory): refer to ConnectionSet.
- name (mandatory; type *string*): the name of this object.
- **dataSize** (mandatory; type *nonNegativeInteger*): the data size of this FIFO.
 - dataSizeUnit (mandatory; type SizeUnitType): the unit of dataSize of this FIFO.
- **queueSize** (mandatory; type *nonNegativeInteger*): the queue size (multiples of dataSize in dataSizeUnit, so that the total capacity is a product of dataSize * dataSizeUnit * queueSize) of this FIFO.

4.9.2 SharedRegisterCommunication

DESCRIPTION

This object describes a shared-register based communication. It has the following objects and/or attributes:

- ConnectionSet (mandatory): refer to ConnectionSet.
- **name** (mandatory; type *string*): the name of this object.
- **dataSize** (mandatory; type *nonNegativeInteger*): the data size of one shared register.
- dataSizeUnit (mandatory; type SizeUnitType): the unit of dataSize of this shared register.
- **nRegister** (mandatory; type *nonNegativeInteger*): the number of shared registers.

EXAMPLE

4.9.3 InterruptCommunication

DESCRIPTION

It has the following objects and/or attributes:

- ConnectionSet (mandatory): refer to ConnectionSet.
- **name** (mandatory; type *string*): the name of this object.

4.9.4 SharedMemoryCommunication

DESCRIPTION

It has the following objects and/or attributes:

- ConnectionSet (mandatory): refer to ConnectionSet.
- **name** (mandatory; type *string*): the name of this object.
- **operationType** (optional; type *OperationType*): the type of this shared memory communication.
- dataSize (optional; type nonNegativeInteger): the data size of this SharedMemoryCommunication.
- **dataSizeUnit** (mandatory; type *SizeUnitType*): the unit of **dataSize** of this shared memory.
- **addressSpaceRef** (optional; type *IDREF*): specifies the **id** of <u>AddressSpace</u> that the shared memory this object uses. If this attribute is not declared and the subsequent *subSpaceRef* is not declared, then it means the *SharedMemoryCommunication* mechanism is valid for all *AddressSpace* and *SubSpace*.
- **subSpaceRef** (optional; type *IDREF*) specifies the **id** of <u>SubSpace</u> that this *SharedMemoryCommunication* supports. When this attribute is declared, the corresponding *addressSpaceRef* must also be declared as above. When this attribute is omitted and *addressSpaceRef* is declared, it means the *SharedMemoryCommunication* mechanism is valid for all *SubSpace* for the declared *AddressSpace*. When *addressSpaceRef* is omitted but *subSpaceRef* is declared, the interpretation is undefined and must not be used.

EXAMPLE

4.9.5 EventCommunication

DESCRIPTION

This object describes an event-based communication. It has the following objects and/or attributes:

• ConnectionSet (mandatory;): refer to ConnectionSet.

name (mandatory; type string): the name of this object.

EXAMPLE

4.9.6 ConnectionSet

DESCRIPTION

It has the following objects and/or attributes:

• Connection (mandatory): refer to Connection.

EXAMPLE

See examples in various communication objects.

4.9.7 Connection

DESCRIPTION

It has the following objects and/or attributes:

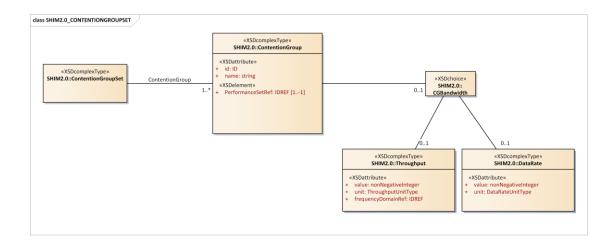
- Performance (optional): refer to <u>Performance</u>.
- **from** (mandatory; type *IDREF*): the **id** of *MasterComponent* that forms the initiator of connection.
- **to** (mandatory; type *IDREF*): the **id** of *MasterComponent* that forms the terminal of connection.

EXAMPLE

See examples in various communication objects.

4.10 ContentionGroupSet

SCHEMA



DESCRIPTION

ContentionGroupSet describes one or more ContentionGroup present in the system.

EXAMPLE

4.10.1 ContentionGroup

DESCRIPTION

A ContentionGroup defines a communication resource that is used by MasterComponent accesses to SlaveComponents. This element contains one or several references to the PerformanceSet that uses this communication resource. The maximum bandwidth available in this communication resource can be optionally defined by a Throughput or DataRate object.

It has the following objects and/or attributes:

- **id** (mandatory; type *ID*): the id of this object.
- **name** (mandatory; type *string*): the name of this object, it is recommended to use identical names as in the system technical reference manual.
- **PerformanceSetRef** (mandatory; type *IDREF*): this object allows to specify the id reference of one or several PerformanceSet objects that make use of this contention group.

See ContentionGroupSet.

4.10.2 Throughput

DESCRIPTION

A *Throughput* object defines a throughput value and its related *FrequencyDomain*.

It has the following objects and/or attributes:

- **value** (mandatory; type *nonNegativeInteger*): the throughput value
- unit (optional; type *ThroughputUnitType*): this attribute allows to specify the throughput units.
- **frequencyDomainRef** (required, type *IDREF*): this attribute allows to specify the id of the frequency domain related to this object.

EXAMPLE

See ContentionGroupSet.

4.10.3 **DataRate**

DESCRIPTION

A DataRate object defines a data rate value.

It has the following objects and/or attributes:

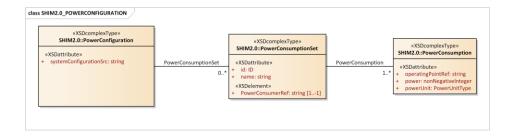
- **value** (mandatory; type *nonNegativeInteger*): the data rate value
- unit (optional; type *DataRateUnitType*): this attribute allows to specify the data rate units.

EXAMPLE

See ContentionGroupSet.

4.11 **PowerConfiguration**

SCHEMA



DESCRIPTION

PowerConfiguration allows to define the power consumption of one or several SHIM system components. It contains one or more *PowerConsumptionSet* elements.

This element is a root object. It is not part of the SHIM 2.0 system file and should be hosted in a separate XML file.

It has the following objects and/or attributes:

• **systemConfigurationSrc** (mandatory; type *string*): the path pointing to the related SHIM 2.0 system XML file. This path is relative to the current XML file.

EXAMPLE

```
<?xml version="1.0" encoding="UTF-8"?>
<shim:Shim
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
   xmlns:shim="http://www.multicore-association.org/2017/SHIM2.0/"
   xsi:schemaLocation="http://www.multicore-association.org/2017/SHIM2.0/ shim20.xsd"
   name="MySystemPower" shimVersion="2.0">
<PowerConfiguration systemConfigurationSrc="com.vendor.mysystem.system.xml">
    <PowerConsumptionSet>
        <PowerConsumerRef>CS CPU CLUSTER
        <PowerConsumption operatingPointRef="OP CPU CLUSTER OFF"</pre>
           power="10" powerUnit="mW"/>
        <PowerConsumption operatingPointRef="OP CPU CLUSTER LOWSPEED"</pre>
           power="176" powerUnit="mW"/>
        <PowerConsumption operatingPointRef="OP CPU CLUSTER MAWSPEED"</pre>
           power="510" powerUnit="mW"/>
   </PowerConsumptionSet>
</PowerConfiguration>
</shim:Shim>
```

4.11.1 PowerConsumptionSet

DESCRIPTION

A *PowerConsumptionSet* defines the power consumption points of one or several system components. This element contains one or more *PowerConsumption* elements that define the power consumption of their corresponding *OperatingPoint*. It also contains one or more *PowerConsumerRef* which points to the system components sharing those *PowerConsumption* definitions.

It has the following objects and/or attributes:

- **id** (mandatory; type *ID*): the id of this object.
- name (mandatory; type *string*): the name of this object.
- **powerConsumerRef** (mandatory; type *IDREF*): this object allows to specify the id reference of one or several system components that share the same *PowerConsumptionSet*.

EXAMPLE

See PowerConfiguration.

4.11.2 PowerConsumption

DESCRIPTION

A *PowerConsumption* defines the power consumption associated to an *OperatingPoint*. It has the following objects and/or attributes:

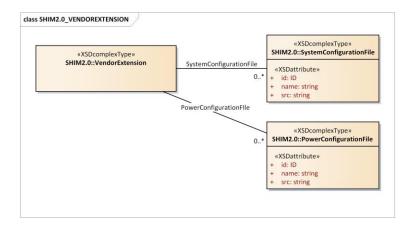
- **id** (mandatory; type *ID*): the id of this object.
- **name** (mandatory; type *string*): the name of this object.
- **operatingPointRef** (mandatory; type *IDREF*): this object allows to specify the id reference of the *OperatingPoint* related to this power consumption definition.
- **power** (optional; type *nonNegativeInteger*): this attribute allows to specify the power consumption value.
- **powerUnit** (optional; type *PowerUnitType*): this attribute allows to specify the power units of the power attribute.

EXAMPLE

See PowerConfiguration.

4.12 VendorExtension

SCHEMA



DESCRIPTION

VendorExtension allows a vendor to define a set of extension to the standard SHIM 2.0 system file and SHIM 2.0 power files.

This element is a root object. It is not part of the SHIM 2.0 system file and should be hosted in a separate XML file. This element can contain one or several references to other SHIM2.0 system and power files.

EXAMPLE

4.12.1 SystemConfigurationFile

DESCRIPTION

 ${\it System Configuration File}\ allows\ to\ define\ a\ reference\ to\ a\ SHIM2.0\ system\ XML\ file.$

It has the following objects and/or attributes:

- **id** (mandatory; type *ID*): the id of this object.
- **name** (mandatory; type *string*): the name of this object.
- **src** (mandatory; type *string*): the path to a SHIM2.0 system XML file. The path is relative to the directory hosting the vendor extension XML file.

See VendorExtension.

4.12.2 PowerConfigurationFile

DESCRIPTION

PowerConfigurationFile allows to define a reference to a SHIM2.0 power XML file.

It has the following objects and/or attributes:

- **id** (mandatory; type *ID*): the id of this object.
- **name** (mandatory; type *string*): the name of this object.
- **src** (mandatory; type *string*): the path to a SHIM2.0 power XML file. The path is relative to the directory hosting the vendor extension XML file.

EXAMPLE

See VendorExtension

5. Use Cases

These use cases are provided as examples to see how to use the information that SHIM XML contains. The categories of tools mentioned are to exemplify and to help the user to understand the concepts. This may also apply to other types of tools.

5.1 Performance Estimation: Auto-Parallelizing Compiler

Table 7. Performance Estimation Use case

Illustrated tool (ID)	Auto-parallelizing compiler (APC1)
Applicability	Any tool that can benefit from knowing the performance characteristics of multimany-core hardware
SHIM elements illustrated	ClockFrequency, MasterComponent, CommonInstructionSet, Latency, Pitch, Cache, FIFOCommunication
Tool processing overview	The compiler takes C sequential code and outputs parallelized C source code at the thread level. The input code is analyzed first to determine what instructions it would consist of, the collection of memory size it uses, the access type (rwx and access width), the control flow and overall data flow. Based on the flow analysis, the code is split into multiple threads to match the number of cores available, so that each thread consumes approximately the same amount of cycles. The data placement is optimized based on the available cache size per core, the latency of memory access, and the inter-core communication latency.

5.1.1 Using CommonInstructionSet

- Each *MasterComponent* (such as a processor core) has a clock frequency (defined through it *FrequencyDomain* and *OperatingPointSet*) and "*CommonInstructionSet*"
- CommonInstructionSet is defined as LLVM IR instructions
- *CommonInstructionSet* has performance (processor cycles) of each instruction, expressed in best, typical, and worst cycles
- The clock value and the cycle information can be used to estimate the execution time of specific instructions
 on the hardware

5.1.2 Using PerformanceSet

- For memory operation for data read/write, or load/store instructions, performance values are calculated using Latency and Pitch of a particular *SubSpace* where the data resides
- Latency/Pitch has best/typical/worst cycles. The typical value is normally used, however, if the tool is capable of understanding repetitive memory access, the best value is used
- Based on the memory performance characteristics and the data usage, the compiler selects the best memory to locate the data

5.1.3 Using Cache

- First, the tool reads Cache::cacheCoherency to determine whether hardware cache coherency is supported. If not supported, a software-based coherency operation is inserted where necessary, while mapping data/threads to cores so that such operations are minimized
- Cache::blockSize is the cache line size this information is used by the tool to optimize the data placement
- Cache::size is the cache size used by the tool to judge the optimal work data unit size

5.1.4 Using FIFOCommunication"

- All *CommunicationSet* elements, including this *FIFOCommunication*, have *ConnectionSet* containing Connection(s) describing which pair of *MasterComponents* are connected via this communication feature
- FIFOCommunication has dataSize and queueSize which are used by the tool to determine the unit of data transferred
- All *XXXCommunication* have Performance, which contains Latency and Pitch expressed in cycles. This can be used by the tool to determine the execution cost of transferring data via this communication channel

5.2 Tool Configuration - RTOS Configuration Tool

Table 8. Tool Configuration Use case

Illustrated tool (ID)	A configuration tool for a runtime software such as RTOS or middleware (RTS1)
Applicability	This model can be utilized to generate a runtime software specific configuration file. It is also applicable to other host tools that require configuration.
SHIM elements illustrated	ClockFrequency, SlaveComponent, SubSpace, MasterSlaveBinding, Common Configuration File (CCF)
Tool processing overview	An RTOS has a configurator that generates RTOS configuration C source code, which is later compiled and linked with the RTOS libraries. The configurator has a GUI, which allows a user to select/specify the PU clock to set by RTOS boot code, the memory address and size for the RTOS memory pool.

5.2.1 Using ClockFrequency

- Each *MasterComponent* (such as a processor core) has a clock frequency (defined through it *FrequencyDomain* and *OperatingPointSet*)
- The value attribute can contain an XPath expression, which points to a separate, Common Configuration File (CCF), another XML file used to express configuration parameters.
- In this scenario, the selectable values are listed in the part of the CCF, and it has "formType" called "select".
- The configurator reads the CCF and when it reads off the formType, it dynamically displays a combo-box GUI control object with the selectable values listed.
- The text label of the GUI can be obtained from the "name" attribute of the *ClockFrequency*, and the parent text label can be in the *MasterComponent* name it is tied to.
- These names can be used as the base of C #define symbol name in the generated C source code.

Therefore the tool does not need to know it is configuring the clock frequency, but still serves the purpose.

Note: Other configurable elements can be handled in the same way, or the tool can deliberately look for a specific element and use the value.

5.2.2 Using SubSpace

- The configurator allows setting of RTOS memory pool base address and size
- · It needs to know what memory is available, its address and size
- The tool first checks all *SlaveComponents* in the *ComponentSet* and checks the attribute RWType being "rw" and record the name of the *SlaveComponent*
- Then it digs in SubSpace under AddressSpace and checks MasterSlaveBinding tied to the SubSpace
- MasterSlaveBinding contains SlaveComponentRef attribute and the tool must determine if it matches the name recorded
- Once the matching *SubSpace* is found, the name, start, end (addresses) should display to user the available memory area

5.3 Hardware Modeling

This use case uses the same SHIM classes described in <u>Performance estimation - auto-parallelizing compiler</u>, so the actual steps of accessing the SHIM objects are omitted. Table 7 provides the basic idea.

Table 9. Hardware Modeling Use Case

Illustrated tool (ID)	Quick and simple hardware modeling tool (HM1)
Applicability	This model can be applied to any tool that provides virtual hardware functionality. If such tools can import a SHIM XML, the modeling functionality itself may evolve to offer more sophisticated features
SHIM elements illustrated	ComponentSet, Latency/Pitch, and other components mentioned in Performance estimation - auto-parallelizing compiler
Tool processing overview	The tool can take a starting SHIM XML describing a multicore hardware. A performance analysis tool can take a SHIM XML and a set of software. Using the similar processing described in APC1, it can make a rough 'static' performance analysis of the software on the given SHIM XML. The hardware modeling tool can manipulate "ComponentSet", such as adding a processor core with a specific performance or change memory "latency/pitch". The modified SHIM XML can be served as the input again to the static performance analysis tool to see the change of performance for the new hardware model.

6. SHIM XML Authoring Rules and Guidelines

This section defines rules and guidelines for authoring (creating) a new SHIM XML file. The rules are the ones that are mandated to follow, while guidelines are recommendations. The following sections state either [Rules] or [Guidelines].

The software tools that consume SHIM XML will expect the SHIM XML files to follow the rules (and hopefully the guidelines). One may choose to not to follow the guidelines, but it is the responsibility of the SHIM XML provider to ensure that the expected use case and tools consuming the SHIM XML file do not face any issues by not following particular items in the guidelines.

6.1 File Name [Rule]

The SHIM Editor does not create the SHIM XML file name automatically for you – you must specify the appropriate name. The file name should describe what the specific SHIM XML is about. In most cases, a SHIM XML should describe some hardware board, which may or may not contain multiple chips and memory. The file name should describe the outermost hardware entity – so if it is a hardware board, it should describe the name of the board, or a unique name that characterizes the hardware board. If the SHIM XML is not an actual board, but instead a virtual hardware platform, use the name of the particular virtual platform instance.

In addition to the name of a hardware entity (or virtual platform), it needs to have a version information in the file name. Note that even if you use some RCS, when you export the SHIM XML file out to someone that does not have access to the RCS repository, then add a version information to avoid confusion. The version information can be any alphanumerical strings, as long as it is unique over different versions of that particular SHIM XML file. Examples would be the revision of the file from the RCS, modification date in yyyy-mm-dd format, or your own versioning scheme.

Another element worth mentioning is the compiler used to measure the performance, especially if there is a multiple choice of compilers supported for the processor architectures implemented in the hardware. The file name shall also carry the version and revision information of the compiler. If the hardware described by SHIM contains multiple ISA and if multiple compilers are used, the compilerName and compilerVersion shall denote those of SDK/tool-chain that integrates or packages these multiple tools.

The file name must be unique and the best way to do this is to use the internet domain name, in the manner Java uses to name its packages⁴. Combined with what is described above, the template for the SHIM XML file name is as below:

domainName.hardwarePlatformName.platformVersion.compilerName.compilerVersion.xml

For example, if the ABC evaluation board version 1.0.0 manufactured by XYZ Ltd, whose internet domain name is www.xyz.com and the compiler used to measure the performance is gcc 4.9.0, then the SHIM XML file name should be com.xyz.abcEvalBoard.1_0_0.gcc.4_9_0.xml

If the name of the hardware platform is too vague, it is advisable to extend the platform name part with some other sub-name, like the name of the multi-many-core processor integrated, to make the name more distinguishable.

Also, the hardware platform name shall be extended to contain any other platform-specific information that further sub-categorizes the particular SHIM XML file. This is sometimes favorable in a case where the hardware platform has multiple operation modes, and if you choose to create multiple SHIM XML files to describe this. Another option is to use CCF to use a single SHIM XML file to be configurable, but that will require one to write a CCF and a CCF-compatible SHIM XML. There are pros and cons of doing either, so it is at your discretion which model you would

⁴ http://docs.oracle.com/javase/tutorial/java/package/namingpkgs.html

employ. The rule of thumb would be to use CCF if you have a stable SHIM XML file and you are about to create similar but another SHIM XML file, and you know that there will be more, and these SHIM XML files are expected to be reused with multiple minor modification, then it should be more efficient to adapt CCF model.

6.2 Naming of Various Objects [Rule]

All the SHIM objects will have names that must be unique when expressed as an absolute XML path. It is just as in the file systems – different directories can have files with the same names, as long as the directory names are different. This means you can have *MasterComponent* with the same names, as long as the *ComponentSet* names are different.

Consistency is another thing to consider regarding naming of objects. This is nothing special to SHIM, nevertheless it is critical to maintain consistent naming within a SHIM XML. If you are authoring multiple SHIM XML, these should also be consistent. The SHIM specification does not require object names to serve any purpose other than distinguishing one from another. However, in some situations the names can be effective in conveying important information that the SHIM standard itself does not define (this could be included in a future version of SHIM). In the meantime, the consistent naming may serve the gap. Also, consistency is critical when a SHIM XML, or a part of, is reused. When SHIM supports Componentization of SHIM XML, then the consistency should greatly ease adopting the new specification.

6.3 Level of Detail and Precision [Guideline]

In principle, all the hardware properties that can be expressed in SHIM should be described. It is also advisable to match the names of components to the names given in the hardware manual, if such already exists.

Note: omitting a description of any hardware properties does not necessarily lead to the software tools being nonfunctional. The tools treat a SHIM XML file as-is, and are unable to determine if the description has been omitted, as long as the SHIM XML describes a functional hardware. So it is at the discretion of the SHIM XML author for what to expose or not, for example.

7. Common Configuration File (CCF)

This chapter describes the Common Configuration File (CCF) which provides a powerful and flexible mechanism to describe configurable hardware (and software) elements. CCF allows reuse of the same SHIM XML for different hardware configurations and also provides consistent configuration interface. It can also be used to describe vendor-specific features, such as providing some special operation mode not supported by the SHIM XML schema that, when enabled, changes the performance which is described in the SHIM XML.

Though it is strongly recommended to support CCF, it is optional and a software tool can still use SHIM without supporting CCF. If CCF is not included, its basic capability is fixed to the default configuration written in the SHIM XML. Note a SHIM XML will not reference the CCF in anyway – only vice versa (CCF XML references a SHIM XML file).

7.1 Concept

7.1.1 Multiple Hardware Configuration

A hardware platform often has multiple configurations (e.g., the system or processor clock frequency, a configurable cache size, the size of FIFO, some operation mode). SHIM tries to generalize the hardware model where possible so that we have a single interface for different hardware. However, there are still some generic items that are often configurable, such as clock frequency. If SHIM does not have the capability to express this configurable clock frequency, then one must create separate SHIM XML files differing only in the *OperatingPoint*.

The CCF describes the configurable items in a file called CCF XML (a separate XML file from the SHIM XML). Software tools using SHIM can utilize this mechanism to provide a <u>Configuration tool user interface</u> within its tool or as a separate standalone tool. When the configuration tool is executed, along with the SHIM XML and CCF, it provides a mechanism to modify the specific parts of SHIM XML, according to the inputs made by the tool user, which can also be automated by the tool. Altogether, this will relieve the SHIM XML authors from writing similar SHIM XML files, differing only in the values of configurable items, while also helping software tool developers to develop configuration user interface.

7.1.2 Vendor-Specific Hardware Features Affecting SHIM Objects

It is not possible to include in a SHIM XML any hardware mechanisms that are not defined in the schema. The use of such features often results in different hardware performance. Since SHIM describes the performance properties in terms of processor, memory, and communication, this inability to describe such mechanisms can lead to inaccurate performance estimations beyond SHIM's targeted 20% error rate. CCF can be used to describe such vendor-specific hardware features and provide software tools the configuration interface for those features. The SHIM XML author can describe in a CCF a way to modify SHIM XML according to the configuration tool user input. The configuration interface is dynamically created from the CCF, so the software tools need not be aware of the vendor-specific features, while allowing the hardware vendors to describe such features. The SHIM XML is modified according to the CCF and the tools then use the resulting SHIM XML.

7.1.3 Configuration Tool User Interface

Often software tools must provide a user interface (UI), whether graphical or not; however, there is usually support for both interfaces. Commercial tools must support a wide variety of platforms so that it can achieve a critical mass of users required to fuel the continuous evolution of their technology and business. This is especially true in the embedded systems market, which has an incredibly wide range of hardware, and also a wide range of COTS software components. Therefore, it is critical to derive ways to effectively and economically support these configuration requirements by the tools. CCF is intended to provide a standard way to achieve this.

The nature of the problem of providing a user interface for all such variations is that the actual configuration items are specific to whatever entity that it configures. There are some common items, but often they differ in the subset and sometimes they are interleaved. If a tool takes an approach of coding the configuration interface for each specific

variable entity, it can be quite costly (e.g., the configuration management cost, distribution of the software, quality maintenance issues).

The key to mitigating such issues is to bind the UI design description with the specific configuration item description, and use the same algorithm and also the code that interprets the combined description, and create the UI dynamically. This approach has already been quite popular and well-proven. The problem is that there is no standard for describing this, and even if two tools use the same configurable entities, each must create similar, but different, descriptions since it is not standardized. CCF is meant to remedy the situation.

CCF defines six types of configuration input interface objects: *select, bool, text, integer, hex_integer,* and *expression* (described later). The tool developer must determine what kind of UI controls it maps the configuration input interface objects to, but the *select* is intended as a combo box, *text* is a text field, *integer* is an integer field, and so on. These controls can be grouped in any combination and are also capable of switching a sub-set of configuration items, depending upon an input on the particular configuration item (often residing at a higher level of configuration items). Most of these control objects, or *Form-Type*, as named in CCF, are simple to use, and, when used in combination, can describe most configuration items needed. Sometimes, a configuration item may depend on the values of other multiple configuration items, so it is necessary to express the relationship in some arithmetic or logical way. Finally, *expression* is a special object that is a pseudo-interface object which serves as a bucket to contain various XPath expression string objects. Since XPath allows the tool to describe basic arithmetic operations, it can be used to calculate a value that is dependent on the values of other configuration items. Along with the Form-type supported, including the capability to describe arithmetic operations with XPath, and the capability to group configuration items and describe them hierarchically, CCF provides a simple yet powerful way to cover most or all of the configuration interface and description needs.

7.2 Interface

7.2.1 XML Schema

The CCF class diagram is shown in Figure 10. Common Configuration File (CCF) class diagram.

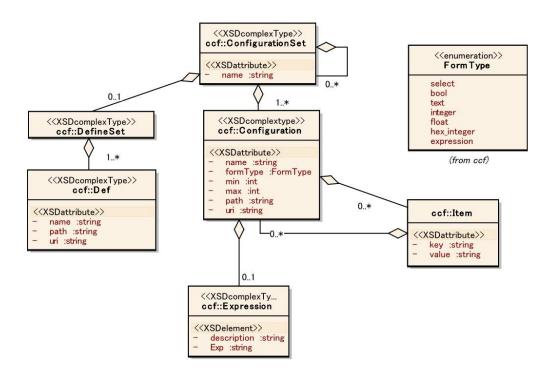


Figure 10. Common Configuration File (CCF) class diagram

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
    <xs:element name="Configuration" type="Configuration"/>
    <xs:complexType name="Configuration">
      <xs:sequence>
               <xs:element name="Item" type="Item" minOccurs="0" maxOccurs="unbounded"/>
              <xs:element name="Expression" type="Expression" minOccurs="0" maxOccurs="1"/>
      </xs:sequence>
      <xs:attribute name="name" use="optional" type="xs:string"/>
      <xs:attribute name="formType" use="required" type="FormType"/>
      <xs:attribute name="min" use="optional" type="xs:int"/>
      <xs:attribute name="max" use="optional" type="xs:int"/>
      <xs:attribute name="path" use="optional" type="xs:string"/>
      <xs:attribute name="uri" use="optional" type="xs:string"/>
    </xs:complexType>
    <xs:element name="Item" type="Item"/>
    <xs:complexType name="Item">
      <xs:sequence>
                          name="Configuration"
                                                                                  minOccurs="0"
       <xs:element</pre>
                                                      type="Configuration"
   maxOccurs="unbounded"/>
      </xs:sequence>
      <xs:attribute name="key" use="optional" type="xs:string"/>
      <xs:attribute name="value" use="required" type="xs:string"/>
    </xs:complexType>
    <xs:simpleType name="FormType">
      <xs:restriction base="xs:string">
              <xs:enumeration value="select"/>
               <xs:enumeration value="bool"/>
              <xs:enumeration value="text"/>
               <xs:enumeration value="integer"/>
               <xs:enumeration value="float"/>
               <xs:enumeration value="hex integer"/>
               <xs:enumeration value="expression"/>
      </xs:restriction>
    </xs:simpleType>
    <xs:element name="ConfigurationSet" type="ConfigurationSet"/>
    <xs:complexType name="ConfigurationSet">
      <xs:sequence>
                                                       type="Configuration"
                                                                                   minOccurs="1"
       <xs:element</pre>
                          name="Configuration"
   maxOccurs="unbounded"/>
              <xs:element name="DefineSet" type="DefineSet" minOccurs="0" maxOccurs="1"/>
   <xs:element name="ConfigurationSet" type="ConfigurationSet" minOccurs="1" maxOccurs="1"/>
      <xs:attribute name="name" use="required" type="xs:string"/>
    </xs:complexType>
    <xs:element name="Expression" type="Expression"/>
    <xs:complexType name="Expression">
      <xs:sequence>
               <xs:element name="description" type="xs:string" minOccurs="1" maxOccurs="1"/>
               <xs:element name="Exp" type="xs:string" minOccurs="1" maxOccurs="1"/>
      </xs:sequence>
    </xs:complexType>
    <xs:element name="DefineSet" type="DefineSet"/>
    <xs:complexType name="DefineSet">
      <xs:sequence>
              <xs:element name="Def" type="Def" minOccurs="1" maxOccurs="unbounded"/>
      </xs:sequence>
    </xs:complexType>
    <xs:element name="Def" type="Def"/>
    <xs:complexType name="Def">
      <xs:sequence/>
```

7.2.2 Semantics

ConfigurationSet is the topmost object, which includes at least one Configuration object that indicates which FormType it uses. For select FormType, multiple Item objects are listed that comprise the entries in the combo box control. The key attribute is used as the text to display for the entry, where the value is the actual configuration value. The value itself is often self-explanatory, and the key and value are the same. The name attribute of the parent Configuration object can be used as the label for the control. If a FormType of Configuration object is an integer, then the min and max attributes define the minimum and maximum values that users can input, respectively. The ConfigurationSet object can nest itself, forming a hierarchical configuration item tree. Also, an Item object can have another Configuration object underneath, which is useful when FormType is select and if you need a particular set of configuration only when the user selects a specific Item. This hierarchical model can be used to group a particular set of configuration items that tools can use to group configuration UI controls accordingly.

If FormType of Configuration object is expression, then an Expression object is defined that has Exp attribute, which is literally the XPath expression to use. The XPath allows the CCF to perform basic calculations, taking some values of another XML as parameters. The ConfigurationSet object can contain another object called DefineSet (this is similar to #define in C language). In the XPath expression, one often references the value of the particular configuration item. Def object, which hangs onto the DefineSet, can be used in the XPath expression in a short text string. The shorter string can be used in the Exp attributes and also path attributes of Configuration objects that share the same parent ConfigurationSet object.

All *Configuration* objects have "path" and "uri" attributes that specify where the result of each *formType* is targeted. The path is an XPath expression and uri is the location of the target XML file. It is the CCF author's responsibility to match the type of *formType* and the target XPath expression. The configuration tool also uses the path and uri to obtain the default configuration values by reading the current values from the target XML. Therefore, when the configuration tool starts up, the input fields are initialized with values read from the target XML, specified by path and uri.

The expression *formType* usually takes one or more values from some XML file (usually SHIM XML). These values, however, may also be modified by other Configuration objects in the same CCF. Therefore, it is important how *Configuration* objects are processed. The CCF must be processed top-down, and CCF must be authored assuming this order of processing.

7.2.3 FormType

DESCRIPTION

This is enumeration (constants) of CCF form type.

- **select** is of the combo box form type.
- **bool** is of checkbox form type.
- **text** is of text field form type.
- **integer** is of integer (decimal) form type.
- **float** is of float (floating decimal) form type.
- **hex_integer** is of integer (hex) form type.
- **expression** is of <u>Expression</u> form type. See <u>Expression</u>.

7.2.4 ConfigurationSet

DESCRIPTION

It has the following objects and/or attributes:

- ConfigurationSet (optional).
- Configuration (mandatory): refer to <u>Configuration</u>.
- **name** (mandatory; type *string*): the name of this object.

7.2.5 Configuration

DESCRIPTION

It has the following objects and/or attributes:

- **Item** (optional): refer to <u>Item</u>.
- **Expression** (optional): refer to <u>Expression</u>.
- **name** (mandatory; type *string*): the name of this object.
- **formType** (mandatory; type *FormType*) specifies the type of form this configuration object use.
- **min** (optional; type *int*): the minimum value of this configuration, when **formType** is integer or hex_integer.
- max (optional; type *int*): the maximum value of this configuration, when **formType** is integer or hex_integer.
- **path** (optional; type *string*): the XPath expression describing the destination of resulting configuration according to **formType**.
- **uri** (optional; type *string*): the XML file that **path** is applied.

7.2.6 **Item**

DESCRIPTION

It has the following objects and/or attributes:

- **Configuration** (optional): refer to <u>Configuration</u>.
- **key** (mandatory; type *string*): the name of this configuration item.
- **value** (mandatory; type *string*): the value of this configuration item.

7.2.7 Expression

DESCRIPTION

It has the following objects and/or attributes:

- **description** (mandatory; type *string*): the description of this expression.
- **Exp** (mandatory): refer to **Exp**.

7.2.8 **Exp**

DESCRIPTION

It has the following objects and/or attributes:

• **Def** (mandatory;): refer to <u>Def</u>.

7.2.9 **Def**

DESCRIPTION

It has the following objects and/or attributes:

- **name** (mandatory; type *string*): the name of this object.
- path (mandatory; type *string*): the XPath expression that maps to name.
- **uri** (optional; type *string*): the XML file that **path** is applied.

7.3 Examples

7.3.1 Generic

```
<?xml version="1.0" encoding="UTF-8"?>
<ConfigurationSet xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" name="CCF Sample for
    SHIM" xsi:noNamespaceSchemaLocation="ccf-schema.xsd">
  <DefineSet>
    <Def name="@sclock" path="/SystemConfiguration/ClockFrequency/@clockValue"</pre>
    uri="shim_sample_data.xml"/>
    <Def name="@cashSize" path="//Cache[@name='UnifiedCache 0 0 0']/@size"</pre>
    uri="shim_sample_data.xml"/>
  <Configuration formType="select" name="System clockValue-Select"
    path="/SystemConfiguration/ClockFrequency/@clockValue" uri="shim sample data.xml">
    <Item key="value" value="20.0"/>
    <Item key="value" value="40.0"/>
    <Item key="value" value="100.0"/>
  </Configuration>
  <Configuration formType="expression" name="Sample Expression"</pre>
                 path="//MasterComponent/ClockFrequency/@clockValue" uri="shim sample data.xml">
    <Expression>
      <description>description</description>
      <Exp>@sclock * 2</Exp>
    </Expression>
  </Configuration>
  <Configuration formType="text" name="Arch" path="//MasterComponent/@arch"
    uri="shim sample data.xml"/>
  <Configuration formType="integer" name="nRegister"</pre>
    path="//SharedRegisterCommunication/@nRegister" uri="shim sample data.xml"/>
  <Configuration formType="float" name="ClockFrequency:clockValue"
    path="/SystemConfiguration/ClockFrequency/@clockValue" uri="shim sample data.xml"/>
  <Configuration formType="bool" name="BooleValue Sample"/>
</ConfigurationSet>
```

7.3.2 Nested configuration

This example shows a nested configuration. Based on the selection of the system clock frequency, different choices for processor clock frequency (*MasterComponent*) are displayed and configured.

```
<DefineSet>
   <Def name="@sclock" path="/SystemConfiguration/ClockFrequency/@clockValue"</pre>
    uri="datas/shim sample data.xml"/>
   <Def name="@cashSize" path="//Cache[@name='UnifiedCache_0 0 0']/@size"</pre>
    uri="datas/shim_sample_data.xml"/>
 </DefineSet>
 <Configuration formType="select" name="System clockValue-Select"</pre>
    path="/SystemConfiguration/ClockFrequency/@clockValue" uri="datas/shim sample data.xml">
    <Item key="value" value="20.0">
       <Configuration formType="select" name="Processor clockValue-Select"
    path="//MasterComponent/ClockFrequency/@clockValue" uri="datas/shim_sample_data.xml">
          <Item key="value" value="20.0"/>
          <Item key="value" value="40.0"/>
          <Item key="value" value="60.0"/>
        </Configuration>
    </Item>
    <Item key="value" value="40.0"/>
       <Configuration formType="select" name="Processor clockValue-Select"</pre>
    path="//MasterComponent/ClockFrequency/@clockValue" uri="datas/shim_sample_data.xml">
          <Item key="value" value="40.0"/>
          <Item key="value" value="80.0"/>
          <Item key="value" value="100.0"/>
        </Configuration>
    <Item key="value" value="100.0"/>
       <Configuration formType="select" name="Processor clockValue-Select"</pre>
    path="//MasterComponent/ClockFrequency/@clockValue" uri="datas/shim sample data.xml">
          <Item key="value" value="100.0"/>
          <Item key="value" value="200.0"/>
          <Item key="value" value="300.0"/>
        </Configuration>
 </Configuration>
</ConfigurationSet>
```

8. **FAQ**

Q: Why is the SHIM working group using an XML schema to describe the multicore and many-core architectures and devices?

A: We have selected to use an XML schema because you can use the technology called XML data binding. It allows you to generate a class library for handling the SHIM XML data as data objects, not as XML elements and attributes. For example, you can create a C++ or Java object called *MasterComponent* from a SHIM XML and access the attributes of the *MasterComponent* element just like you would reference/retrieve a member variable of the C++/Java object. There are many popular open source implementations of XML data binding tools. Without the data binding technology, you can still access the SHIM XML via legacy XML libraries of SAX/DOM. Essentially, you read the XML as a file and iterate over each XML element and attribute, however, this is quite tedious programming and your code becomes dependent on the given XML structure and will not be portable should it change. With the XML data binding, when we update the SHIM spec, there is a high probability that the legacy tools code will still operate as is. *Also refer to* SHIM Concepts, XML.

O: What is the difference between SHIM and IP-XACT?

A: IP-XACT is basically a 'design' language, primarily focusing on a description of how hardware IP components are electronically tied together. On the other hand, SHIM is a 'descriptive' language, primarily focusing on only the hardware property descriptions that matter to the software development tools. Hence, SHIM does not describe the type of interconnect or bus in any direct way. However, it does describe the master/slave IP components and slave components in a hierarchical manner, but there are no specifics regarding how these are connected together (e.g., whether it is a traditional bus, a cross-bar, or NoC). In SHIM, the IP components are listed mostly for describing memory access properties such as latency, any master-to-master communication like FIFO register, and also for basic processor properties such as clock, instruction set (ABI), cache size and type - which all matters to software tools to estimate the configuration. Also refer to possible alignment with IP-XACT in Componentization of SHIM XML.

Q: How does the OpenMPI HWloc compare to SHIM?

A: HWloc⁵ is a little similar to SHIM where it deals with the static chip IP organization. However, there are some major differences. One of the major differences seems to be that HWloc depends on information provided by the OS through its interfaces at runtime, and providing that information through the standard API defined by hwloc. SHIM is intended to be used primarily without running the system - its information is used to construct the OS configuration, by which itself is used to create the information hwloc obtains through the OS interfaces. So, it does not focus on the standard description of hardware from a software perspective, but standardizing the run-time API for retrieving the hw topology. Unlike SHIM, HWloc doesn't appear to handle hardware performance metrics information. The hwloc seems to focus on the hw topology so that the application using the hwloc library can use the provided information to bind a thread/process to a particular core, for example. This is indeed one possible use case of SHIM.xml but instead we are focusing on tool use cases, such as performance estimation, tool configuration, and hardware modeling. The hwloc seems to have the ability to describe a virtual hardware by using commands or texts, but the capability seems limited. Having said this, SHIM specification is defined by its XML schema, and through a schema compiler, it can generate C/C++ libraries also. With the help of a host of tools, it should not be difficult to provide a compact implementation of such library without requiring the XML parser and file system to store the SHIM XML file, enabling use of SHIM from the target runtime system. Aligning with hwloc, without mentioning how, is certainly a possibility, too.

The Multicore Association

⁵ http://www.open-mpi.org/projects/hwloc/

9. Appendix A: Acknowledgements

The SHIM working group would like to acknowledge the significant contributions of the following people in the creation of this specification:

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Markus Levy, Multicore Association (president)

Yukoh Matsumoto, TOPS Systems

Hitoshi Suzuki, Renesas Electronics

The SHIM working group also would like to thank the external reviewers who provided input and helped us to improve the specification. Below is a partial list of the external reviewers (others preferred to remain anonymous).

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Sunita Chandrasekaran, University of Houston

Paul Chen, Wind River

Dr. Satyadhyan Chickerur, B V Bhoomaraddi College of Engineering and Technology

Dr. Michael Deubzer, Timing-Architects

Badrinath Dorairajan, Microchip Technology

Jos van Eijndhoven, Vector Fabrics

Erik Fischer, Augment!IT

Dr.-Ing. Jens Gladigau, Robert Bosch GmbH

Christian Helm, Timing-Architects

Mark Honman, Sundance Multiprocessor Technology

Razvan Ionescu, Freescale

Andrei Kovalev, Freescale

Francois Legal, Assystem

Kenn Luecke, Boeing

Maxine Pelcat, INSA - Département EII